

# A.I. IN AUDIO & SIGNAL PROCESSING

Session 3: HMM for speech processing



# COURSE STRUCTURE



#### Quick Summary

#### Audio processing for Al

- Signal, audio, speech encoding (4h)
- Deep learning for audio processing (4h+4h)

#### Automata for language modelling

- HMM for speech processing (4h)
- Automata and transducer (4h)

#### Towards speaking with an Al-bot

- Speech synthesis (4h)
- Automatic speech recognition (4h)
- Speaker and emotion recognition (4h)

## SESSION 3: HMM FOR SPEECH PROCESSING



#### Quick Summary

- 1. Markov models & HMM
- 2. Scoring a sentence
- 3. Decoding a sequence of phonems
- 4. Training a language model

Markov models and HMM



#### Markov property defining a Markov Model

$$\forall n \geq 0, (i_0, ..., i_{n-1}, i, j) \in \mathbf{E}^{n+2},$$

$$P(X_{n+1} = j | X_0 = i_0, X_1 = i_1, ..., X_{n-1} = i_{n-1}, X_n = i) = P(X_{n+1} = j | X_n = i)$$

We consider homogeneous models ( $p_{i,j}$  is constant over time).

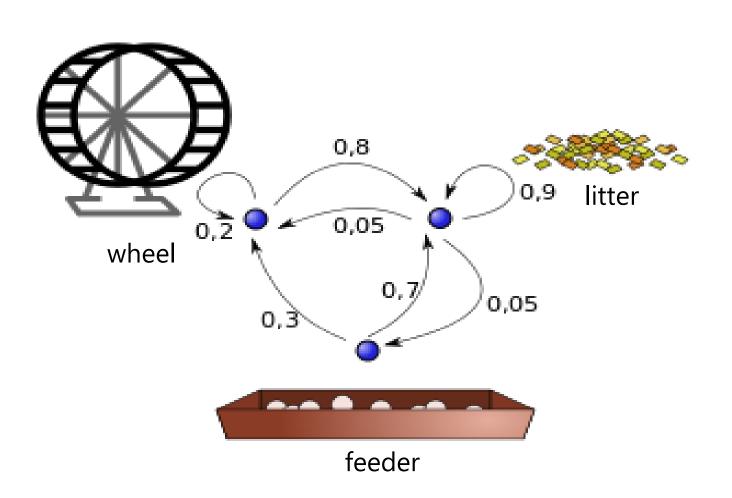
#### Transition probability

$$p_{i,j} = P(X_1 = j | X_0 = i)$$
 with  $\forall i \in E, \sum_{j \in E} p_{i,j} = 1$ 

E	states space
$X_0, X_1, \dots, X_{n-1}, X_n$	random variable sequence of successive states
$p_{i,j}$	transition probability from state i to state j
n	time index (noted t further)

#### **Example of Markov process**

- Hamster pet
  - $\rightarrow$  hamster activity at  $t_n$  is predictable, knowing its activity at  $t_0$





#### Hidden Markov Model

Markov model with "partially observable" states Usually, part only of the model is known:

- → either the sequence of observations O is unknown
- → either the sequence of states Q is unknown
- → either the transition probabilities are unknown

#### **Elements of a discrete HMM**

$$S = \{S_0, S_1, \dots, S_N\}$$
 set of possible states  $V = \{V_0, V_1, \dots, V_M\}$  set of possible observations

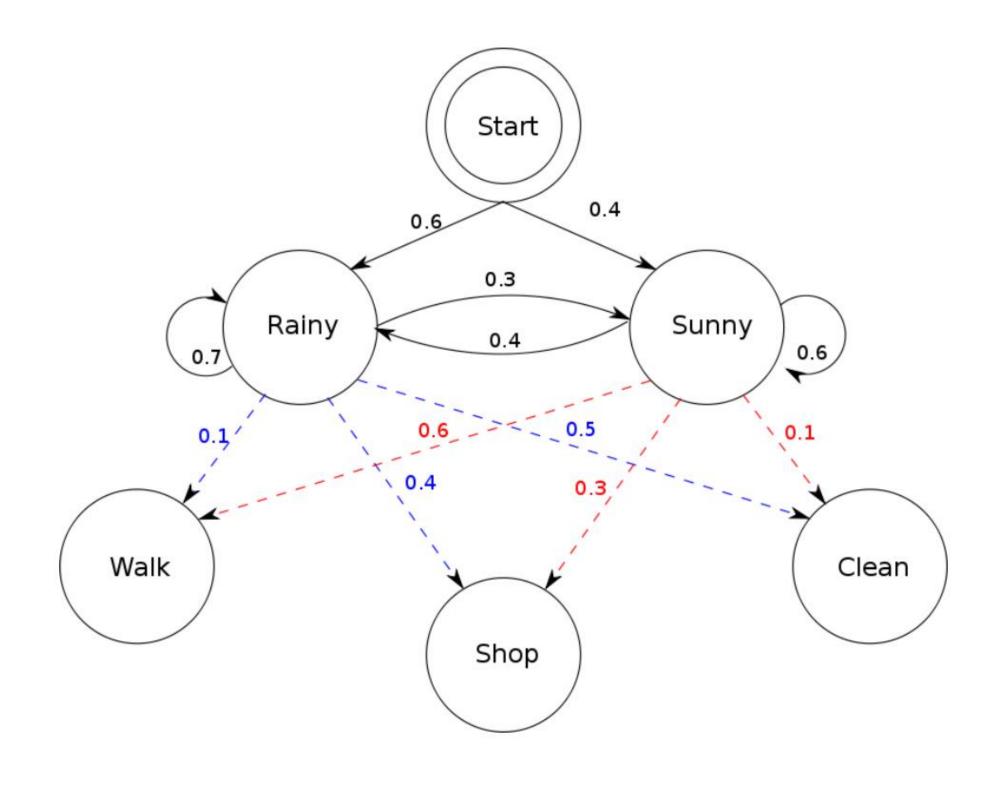
$$Q=(q_0,q_1,\ldots,q_T)$$
 sequence of states with  $t$  from  $0$  to  $T$   $\mathcal{O}=(\sigma_0,\sigma_1,\ldots,\sigma_T)$  sequence of observations with  $t$  from  $0$  to  $T$ 

$$a_{i,j} = P(q_{t+1} = S_j | q_t = S_i)$$
 state transition probability (matrix  $A$ )  $b_j(k) = P(\sigma_t = V_k | q_t = S_j)$  observation probability (matrix  $B$ )

$$\pi = {\pi_0, \pi_2, ..., \pi_N}$$

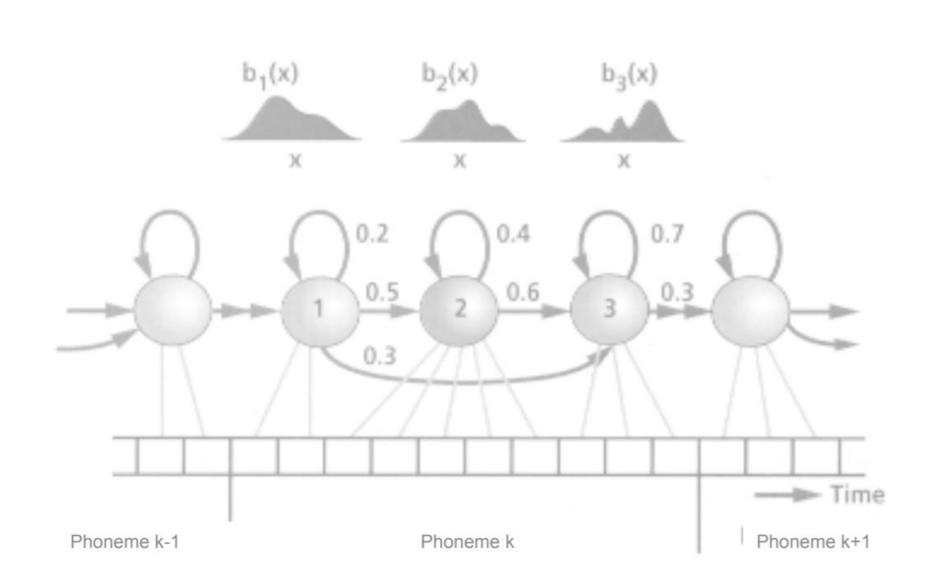
initial state distribution, with  $\pi_i = P(q_0 = S_i)$ 

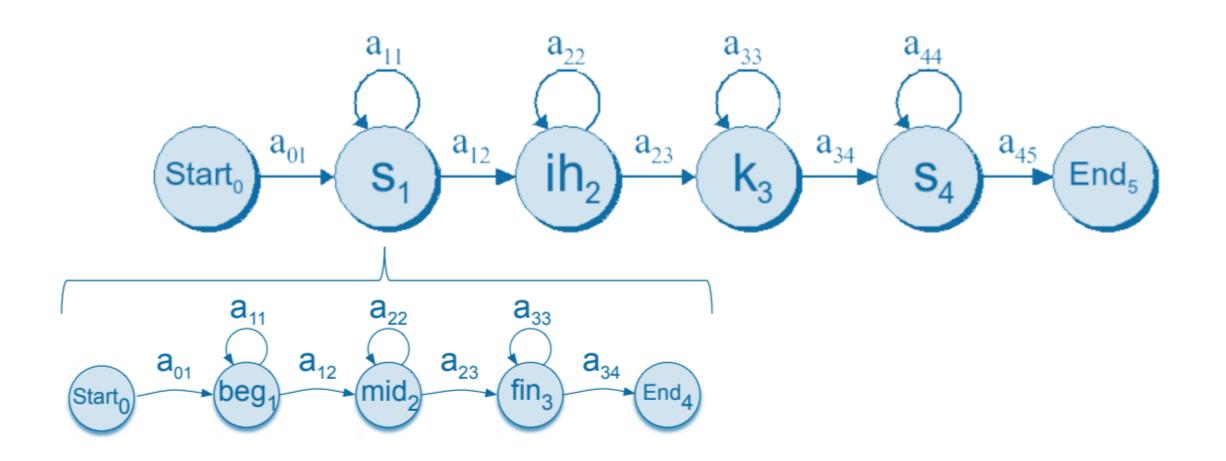
#### Example of Hidden Markov Model

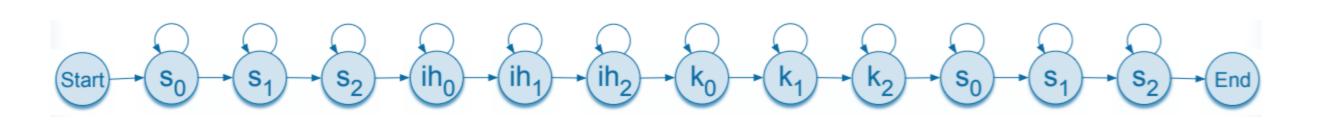




#### HMM application to speech









#### Basic problems for HMMs

Scoring

Given the state sequence  $Q = (q_0, q_1, ..., q_T)$ , and a model  $\lambda = (A, B, \pi)$ , how do we efficiently compute  $P(O|\lambda, Q)$ , the probability of the observation sequence, given the model?

#### → Forward algorithm

Matching/Decoding

Given the observation sequence  $\mathcal{O}=(\sigma_0,\sigma_1,\ldots,\sigma_T)$ , and a model  $\lambda$ , how do we choose a corresponding state sequence  $Q=(q_0,q_1,\ldots,q_T)$  which is optimal in some meaningful sense (i.e., best "explains" the observations).  $P(Q|\lambda,\mathcal{O})$ ?

#### → Viterbi algorithm

Training

How do we adjust the model parameters model  $\lambda = (A, B, \pi)$  to maximize  $P(\lambda | Q, O)$ ?

→ Baum-Welch re-estimation procedures

(known as forward-backward algorithm)

Scoring a sentence

### SCORING A SENTENCE



#### Goal

Find  $P(\mathcal{O}|\lambda)$ ,

 $P(\mathcal{O}|\lambda)$ : probability to observe  $\mathcal{O}=(\sigma_0,\sigma_1,\ldots,\sigma_n)$ , knowing the model  $\lambda=(A,B,\pi)$ 

#### Analytical solving

law of total probability

(1) 
$$P(\mathcal{O}|\lambda) = \sum_{all \ Q} P(\mathcal{O}|Q,\lambda) \ P(Q|\lambda)$$

Indépendance of observations knowing Q

(2) 
$$P(\mathcal{O}|Q,\lambda) = \prod_{t=0}^{T} P(\sigma_t|Q,\lambda)$$

initial state and transition probabilities

(3) 
$$P(Q|\lambda) = \pi_{q_0} \prod_{t=1}^{T} a_{q_{t-1},q_t}$$

(1), (2) and (3) give the result

(4) 
$$P(\mathcal{O}|\lambda) = \sum_{all\ Q} \left[ \pi_{q_0} . b_{q_0}(\sigma_0) . \prod_{t=1}^T a_{q_{t-1}, q_t} . b_{q_t}(\sigma_t) \right]$$

 $\sigma_t$  depends on  $q_t$  and  $q_0, q_1, \dots, q_{t-1}$ 

besides, as Q follow Markov property

$$\begin{aligned} \mathbf{P}(\sigma_t|Q,\lambda) &= \mathbf{P}(\sigma_t|q_t,\lambda) \\ &= b_{q_t}(\sigma_t) \end{aligned} \quad \text{by definition}$$



#### Computational solving: Forward algorithm

Initialization

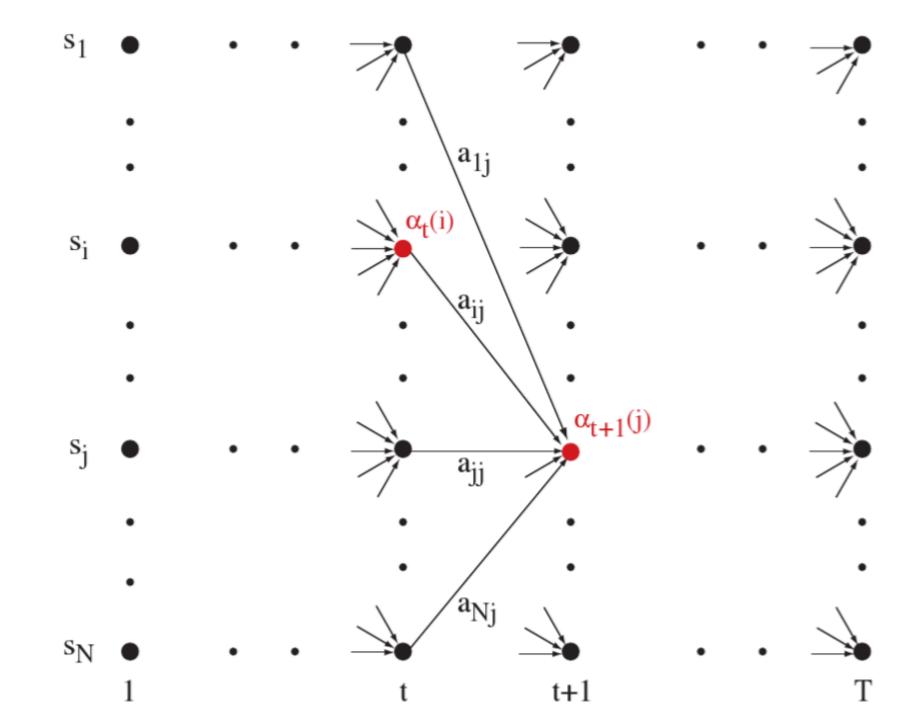
$$\alpha_0(i) = \pi_i \cdot b_i(\sigma_0) \quad \text{for } i \in \llbracket 0, N \rrbracket$$

Induction

$$\alpha_t(j) = \left[\sum_{i=0}^{N} \alpha_{t-1}(i) \cdot a_{i,j}\right] \cdot b_j(\sigma_t) \text{ for } t \in [1, T], j \in [0, N]$$

Termination

$$P(\mathcal{O}|\lambda) = \sum_{i=0}^{N} \alpha_T(i)$$



$$a_{i,j} = Pig(q_{t+1} = S_j \, | \, q_t = S_iig)$$
 state transition probability (matrix  $A$ )  $b_j(k) = Pig(\sigma_t = V_k \, | \, q_t = S_jig)$  observation probability (matrix  $B$ ) 
$$\pi = \{\pi_0, \pi_2, \dots, \pi_N\}$$
 initial state distribution, with  $\pi_i = P(q_0 = S_i)$ 

Decoding a sequence of phonems



#### Goal

```
Find most probable sequence of state Q = (q_0, q_1, ..., q_T), given observations \mathcal{O} and model \lambda. \rightarrow find Q maximizing P(Q|\mathcal{O}, \lambda)
```

Forward algorithm provides a probability through all path sequence Q  $\rightarrow$  find the optimum path sequence

#### Solving approaches

- Consider the path sequence maximizing successively each  $a_{i,j}$   $\rightarrow$  possibly not optimal
- Consider the path sequence maximizing  $P(Q|\mathcal{O},\lambda)$  with respect to the whole sequence  $\rightarrow$  Viterbi algorithm



#### Analytical solving

From equations (1) and (4), (see scoring previous chapter)

$$P(Q|\mathcal{O},\lambda) = \pi_{q_0}.b_{q_0}(\sigma_0).\prod_{t=1}^{T} a_{q_{t-1},q_t}.b_{q_t}(\sigma_t)$$

$$\delta_T$$

$$P(Q|O,\lambda) = \pi_{q_0}.b_{q_0}(o_0).\prod_{t=1}^{T} a_{q_{t-1},q_t}.b_{q_t}(o_t)$$

Idea to compute iteratively overtime the probability  $\delta_t$  for  $t \in [1, T]$ 

$$\delta_t(j) = \max_{0 \le i \le N} (\delta_{t-1} a_{i,j}) \cdot b_j(\sigma_t)$$

And thus, compute at each time step t, the most likely state transition

#### Viterbi algorithm assumptions

- $\mathcal{O}$  and Q are both in sequences
- $\mathcal{O}$  and Q are isomorphic (one observed event per hidden event)
- *Q* verifies Markov property



#### Viterbi algorithm

1. Initialization:

$$\delta_1(i) = \pi_i b_i(o_1), \qquad 1 \le i \le N$$

$$\psi_1(i) = 0$$

2. Recursion:

$$\begin{split} \delta_t(j) &= \max_{1 \leq i \leq N} [\delta_{t-1}(i)a_{ij}]b_j(o_t), & 2 \leq t \leq T & 1 \leq j \leq N \\ \psi_t(j) &= \underset{1 \leq i \leq N}{\operatorname{argmax}} [\delta_{t-1}(i)a_{ij}], & 2 \leq t \leq T & 1 \leq j \leq N \end{split}$$

3. Termination:

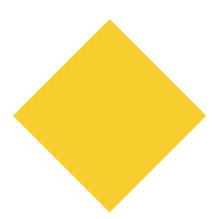
$$P^* = \max_{1 \le i \le N} [\delta_T(i)]$$

$$q_T^* = \arg\max_{1 \le i \le N} [\delta_T(i)]$$

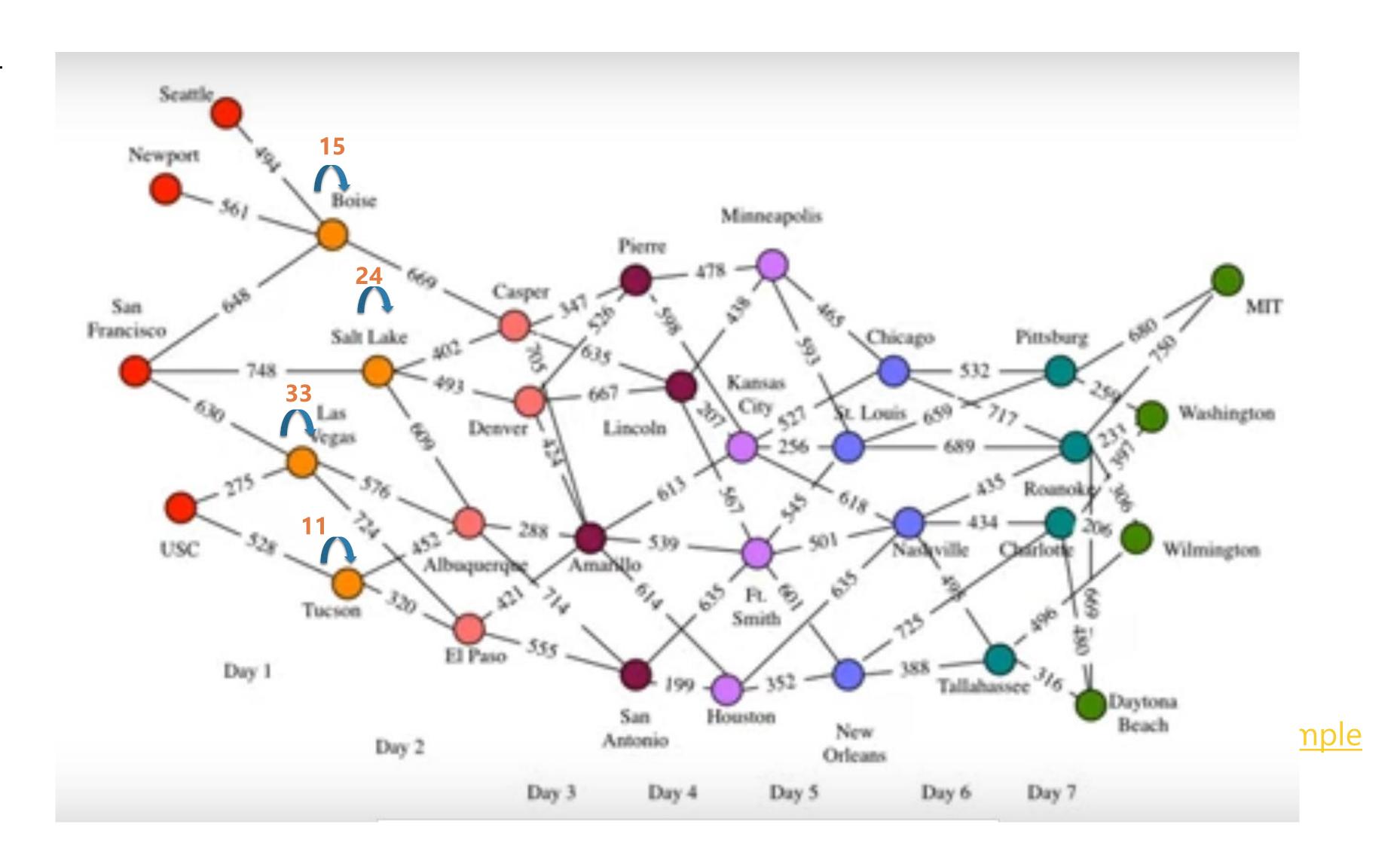
$$1 \le i \le N$$

4. Path (state-sequence) backtracking:

$$q_t^* = \psi_{t+1}(q_{t+1}^*), \qquad t = T - 1, T - 2, ..., 1$$



#### Viterbi algorithm



Training a language model

## TRAINING A LANGUAGE MODEL



#### Goal

Adjusting model parameters to maximize  $P(Q, \mathcal{O}|\lambda)$ .  $\mathcal{O} = (\sigma_0, \sigma_1, ..., \sigma_T)$  is one of the training sequence

#### Analytical solving

→ none

#### Baum-Welch re-estimation procedures

Iterative algorithm that:

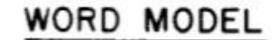
- Compute statistics on the current model given the training data
- Adapt the model given the previous statistics
- Return to 1st step until convergence

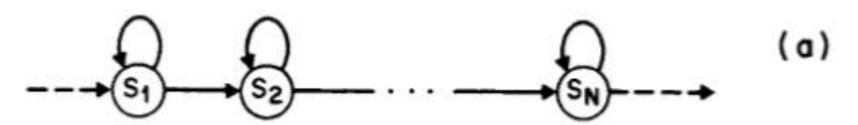
Also known as forward-backward algorithm

## TRAINING A LANGUAGE MODEL

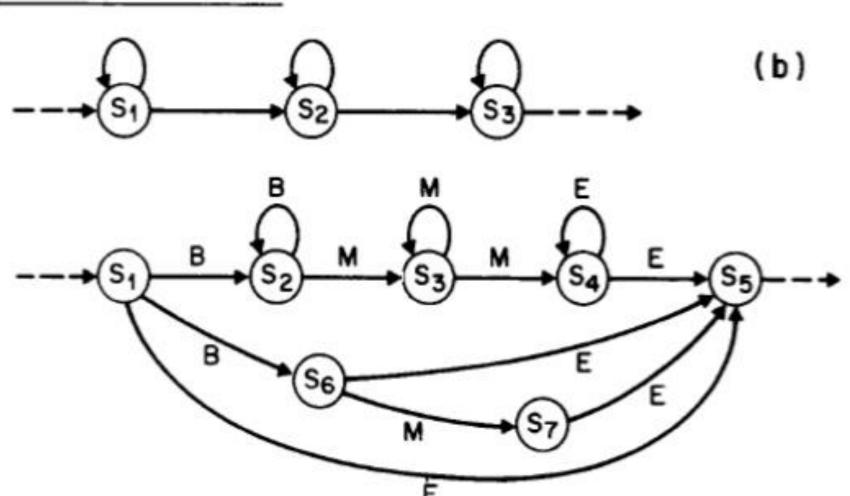


#### Language model using HMM





#### SUB-WORD UNIT



Thank you for your attention.

### References:

Xavier Anguera

## PRACTICAL EXERCISE



#### 1. Modelize Rainy-sunny model with hmmlearn

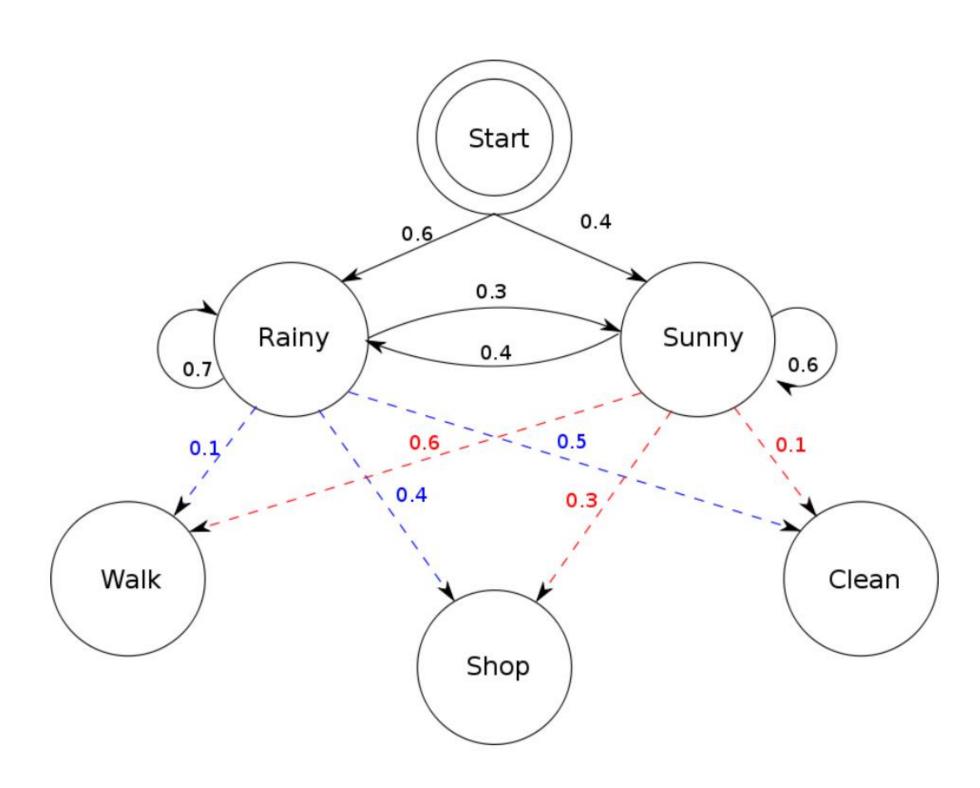
#### Use the following items:

- from hmmlearn import hmm
- MultinomialHMM
- startprob\_
- transmat\_
- emissionprob\_

#### TO DO:

- write starting probability
- transition matrix
- emission probability

#### Example of <u>Hidden Markov Model</u>



## PRACTICAL EXERCISE



#### 2. Solve scoring problem

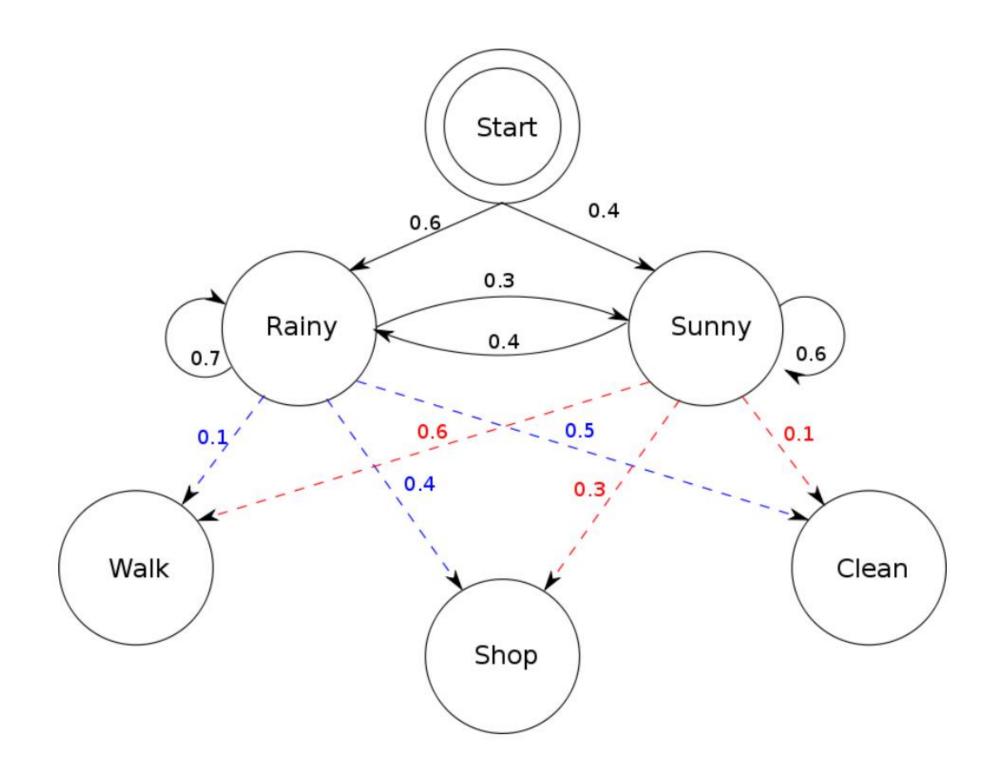
Find probability of observations for the following sequences of states:

- (Start)
- (Rainy)
- (Sunny)
- -(Sunny, Sunny, Sunny)

#### Use the following items:

- model.score

#### Example of <u>Hidden Markov Model</u>



## PRACTICAL EXERCISE



#### 2. Solve scoring problem

Find the sequence of states for the following observations:

- (Walk)
- (Shop)
- (Clean)
- -(Clean, Clean, Clean)

#### Use the following items:

- model.decode

#### Example of <u>Hidden Markov Model</u>

