

Problem 1, Part 1. (6 points) Let $V = \mathbb{R}^2$, the set of all ordered pairs (x, y) of real numbers. Define an operation of **addition** and **scalar multiplication** by

$$(x, y) \oplus (x', y') = (x + x' + 1, y + y' - 2),$$

$$\alpha \odot (x, y) = (\alpha x, \alpha y),$$

for all $(x, y), (x', y') \in V$ and $\alpha \in \mathbb{R}$.

The set V is **NOT** a vector space under the operations \oplus and \odot .

Determine **only two** of the vector space axioms which fail to hold.

Justify your answer.

① Distributive Property: Let $c \in \mathbb{R}, \vec{u} = (x, y), \vec{v} = (x', y')$

$$\begin{aligned} c(\vec{u} \oplus \vec{v}) &= c(x + x' + 1, y + y' - 2) \\ &= (cx + cx' + c, cy + cy' - 2c) \\ c\vec{u} \oplus c\vec{v} &= (cx, cy) \oplus (cx', cy') \\ &= (cx + cx' + 1, cy + cy' - 2) \\ &\neq c(\vec{u} \oplus \vec{v}) \end{aligned}$$

② Distributive property: Let $c, d \in \mathbb{R}$

$$\begin{aligned} (c+d)\vec{u} &= ([c+d]x, [c+d]y) = (cx + dx, cy + dy), \\ c\vec{u} \oplus d\vec{u} &= (cx, cy) \oplus (dx, dy) \\ &= (cx + dx + 1, cy + dy - 2) \\ &\neq (c+d)\vec{u} \end{aligned}$$

Problem 1, Part 2. (6 points) Give an example of the following or state that it does not exist. **Do not justify your answer.**

- (i) A set of vectors S that spans \mathbb{R}^3 but not linearly independent.

$$S = \{ (1, 0, 0), (0, 1, 0), (0, 0, 1), (1, 1, 1) \}$$

- (ii) 2-dimensional subspaces U and W of \mathbb{R}^3 that intersect in a line.

$$U = \{ (x, y, 0) \mid x, y \in \mathbb{R} \} \quad (xy \text{ plane})$$

$$W = \{ (0, y, z) \mid y, z \in \mathbb{R} \} \quad (yz \text{ plane})$$

- (iii) A matrix A such that $\text{RowSpace}(A) = \text{ColumnSpace}(A)$.

$$\text{Any } A \text{ s.t. } A = A^T$$

$$\text{ex/ } I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

- (iv) An infinite set of linearly independent vectors in $C(-1, 1)$, which is not a basis for $C(-1, 1)$.

$$P_{\infty} \subseteq C(-1, 1) \rightarrow S = \{ 1, x, x^2, \dots \}$$

- (v) A linear transformation $T: \mathbb{R}^2 \rightarrow \mathcal{M}_{2 \times 2}$ which is 1-1 but not onto.

$$T(x, y) = \begin{bmatrix} x & y \\ 0 & 0 \end{bmatrix} \quad \text{Rank} + 0 = 2 \rightarrow \text{Rank} = 2 < 4$$

- (vi) A 2×2 matrix A that has every vector in \mathbb{R}^2 as an eigenvector.

$$A = 0, \vec{x} = t \begin{bmatrix} 1 \\ 0 \end{bmatrix} + s \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

Problem 2. (8 points) Find the eigenvalues and their algebraic multiplicities, a basis and the dimension of each eigenspace for the 3×3 matrix:

$$A = \begin{pmatrix} 1 & 1 & 1 \\ 2 & 0 & -2 \\ 2 & 1 & -1 \end{pmatrix}.$$

$$|A - \lambda I| = 0 \rightarrow \begin{vmatrix} \lambda-1 & -1 & -1 \\ -2 & \lambda & 2 \\ -2 & -1 & \lambda+1 \end{vmatrix} = 0$$

$$\rightarrow (\lambda-1) \begin{vmatrix} \lambda & 2 \\ -1 & \lambda+1 \end{vmatrix} - \begin{vmatrix} -2 & 2 \\ -2 & \lambda+1 \end{vmatrix} - \begin{vmatrix} -2 & \lambda \\ -2 & -1 \end{vmatrix} = 0$$

$$\rightarrow (\lambda-1)[\lambda(\lambda+1)+2] + [-2(\lambda+1)+4] - [2+2\lambda] = 0$$

$$\rightarrow (\lambda-1)[\lambda^2+\lambda+2] + [-2\lambda+2] - [2+2\lambda] = 0$$

$$\rightarrow (\lambda-1)[\lambda^2+\lambda+2] - 2\lambda + 2 - 2 - 2\lambda = 0$$

$$\rightarrow (\lambda-1)[\lambda^2+\lambda+2] - 4\lambda = 0$$

$$\rightarrow \lambda^3 + \lambda^2 + 2\lambda - \lambda^2 - \lambda - 2 - 4\lambda = 0$$

$$\rightarrow \lambda^3 - 3\lambda - 2 = 0$$

$$\rightarrow (\lambda+1)^2(\lambda-2) = 0$$

$$\rightarrow \lambda_1 = -1 \text{ (mult 2)}$$

$$\rightarrow \lambda_2 = 2 \text{ (mult 1)}$$

$$\vec{v}_{\lambda_1}: \left[\begin{array}{ccc|c} -2 & -1 & -1 & 0 \\ -2 & -1 & 2 & 0 \\ -2 & -1 & 0 & 0 \end{array} \right] \xrightarrow[R_2 \rightarrow R_1 - R_2]{R_2 \rightarrow R_1 \rightarrow R_2} \left[\begin{array}{ccc|c} -2 & -1 & -1 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 1 & 0 \end{array} \right]$$

$$\text{from } R_2, R_3: \vec{v}_3 = 0$$

$$R_1: -2\vec{v}_1 - \vec{v}_2 = 0$$

$$\therefore \vec{v}_1 = t \begin{bmatrix} 1 \\ -2 \\ 0 \end{bmatrix} \rightarrow \vec{v}_2 = -2\vec{v}_1 \text{ (let } \vec{v}_1 = t) \rightarrow \vec{v}_2 = -2t \rightarrow \vec{v}_2 = -2\vec{v}_1$$

$$\rightarrow (\text{Eigenspace } \lambda_1) = \text{span} \left\{ \begin{bmatrix} 1 \\ -2 \\ 0 \end{bmatrix} \right\}$$

$$B_{E_{\lambda_1}} = \left\{ \begin{bmatrix} 1 \\ -2 \\ 0 \end{bmatrix} \right\}$$

$$\vec{v}_{\lambda_2}: \left[\begin{array}{ccc|c} 1 & -1 & -1 & 0 \\ -2 & 2 & 2 & 0 \\ -2 & -1 & 3 & 0 \end{array} \right] \xrightarrow[R_3 \rightarrow R_1 + R_3]{R_2 \rightarrow R_1 - R_2} \left[\begin{array}{ccc|c} 1 & -1 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 3 & 1 & 0 \end{array} \right]$$

$$\text{from } R_3: -3\vec{v}_2 + \vec{v}_3 = 0 \rightarrow \vec{v}_3 = 3\vec{v}_2$$

$$\rightarrow \vec{v}_3 = 3t \text{ let } \vec{v}_2 = t \in \mathbb{R}$$

$$\vec{v}_{\lambda_2} = t \begin{bmatrix} 4 \\ 1 \\ 3 \end{bmatrix} \rightarrow (\text{Eigenspace } \lambda_2) = \text{span} \left\{ \begin{bmatrix} 4 \\ 1 \\ 3 \end{bmatrix} \right\} \rightarrow B_{E_{\lambda_2}} = \left\{ \begin{bmatrix} 4 \\ 1 \\ 3 \end{bmatrix} \right\}$$

Problem 3, Part 1. (4 points) Find the value(s) of a which will guarantee that A has eigenvalues 0, 3, and -3 .

$$A = \begin{pmatrix} -2 & 0 & 1 \\ -5 & 3 & a \\ 4 & -2 & -1 \end{pmatrix}.$$

$$|\lambda E - A| = 0$$

$$\rightarrow \begin{vmatrix} \lambda+2 & 0 & -1 \\ 5 & \lambda-3 & -a \\ -4 & 2 & \lambda+1 \end{vmatrix} = 0$$

$$\rightarrow (\lambda+2) \begin{vmatrix} \lambda-3 & -a \\ 2 & \lambda+1 \end{vmatrix} - \begin{vmatrix} 5 & \lambda-3 \\ -4 & 2 \end{vmatrix} = 0$$

$$\rightarrow (\lambda+2) [(\lambda-3)(\lambda+1) + 2a]$$

$$- [10 + 4(\lambda-3)] = 0$$

$$\rightarrow (\lambda+2)(\lambda-3)(\lambda+1) + 2(\lambda+2)a - 10 - 4(\lambda-3) = 0$$

$$\lambda = 0 \rightarrow -6 + 4a - 10 + 2 = 0$$

$$\rightarrow \boxed{a = 7}$$

$$\lambda = 3 \rightarrow 10a - 10 = 0$$

$$\rightarrow \boxed{a = 1}$$

$$\lambda = -3 \rightarrow -12 - 2a - 10 + 2a = 0$$

$$\rightarrow \boxed{a = 1}$$

$\therefore a = 1$ is the unique value that guarantees $\lambda = 0, -3, 3$ are eigenvalues for A simultaneously.

Problem 3, Part 2. (6 points) Let A be a 2×2 matrix that has eigenvalues 4 and -2 . **Cayley-Hamilton Theorem** guarantees that there are constants b_k and c_k for every $k \geq 2$ such that

$$A^k = b_k A + c_k I.$$

Find b_k and c_k for $k = 2$ and 3 , and then find a recursive relationship to find b_k and c_k for every $k \geq 2$.

Hint: Show that $b_{n+1} = 2b_n + c_n$ and $c_{n+1} = 8b_n$.

$$(x-4)(x+2) = 0$$

$$\rightarrow x^2 - 2x - 8 = 0$$

$$\text{C.H.T} \rightarrow A^2 - 2A - 8I = 0$$

$$\rightarrow A^2 = 2A + 8I$$

$$\boxed{b_2 = 2, c_2 = 8}$$

$$\rightarrow A^3 = AA^2 = A(2A + 8I)$$

$$= 2A^2 + 8A$$

$$= 2(2A + 8I) + 8A$$

$$= 4A + 16I + 8A$$

$$\rightarrow A^3 = 12A + 16I$$

$$\boxed{b_3 = 12, c_3 = 16}$$

$$A^{k+1} = b_{k+1}A + c_{k+1}I = AA^k$$

$$b_{k+1}A + c_{k+1}I = A(b_k A + c_k I)$$

$$= b_k A^2 + c_k A$$

$$= b_k (2A + 8I) + c_k A$$

$$= 2b_k A + 8b_k I + c_k A$$

$$= (2b_k + c_k)A + 8b_k I$$

$$\rightarrow b_{k+1}A + c_{k+1}I = (2b_k + c_k)A + 8b_k I$$

$$\rightarrow \boxed{b_{k+1} = 2b_k + c_k}$$

$$\rightarrow \boxed{c_{k+1} = 8b_k}$$

Problem 4. Let $V = \mathcal{M}_{2 \times 2}$ be the space of 2×2 matrices, and let $W = \mathcal{P}_{\leq 2}$ be the space of polynomials of degree 2 or less in x .

Let $T : V \rightarrow W$ be the transformation that transforms any

$$\underline{\mathbf{v}} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in V \text{ to } T(\underline{\mathbf{v}}) = 2a + (b-d)x - (b+c)x^2 \in W.$$

(i) (2 points) Find the images of $\underline{\mathbf{u}} = \begin{pmatrix} 1 & 2 \\ 0 & 2 \end{pmatrix}$ and $\underline{\mathbf{v}} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$.

$$T(\underline{\mathbf{u}}) = T\left(\begin{pmatrix} 1 & 2 \\ 0 & 2 \end{pmatrix}\right) = \underline{2 - 2x^2}$$

$$T(\underline{\mathbf{v}}) = T\left(\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}\right) = \underline{2 - 2x^2}$$

(ii) (4 points) Show that T is a linear transformation. $\underline{\mathbf{u}} = \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix}$, $\underline{\mathbf{v}} = \begin{pmatrix} a_2 & b_2 \\ c_2 & d_2 \end{pmatrix}$

$$\begin{aligned} T(\underline{\mathbf{u}} + \underline{\mathbf{v}}) &= 2(a_1 + a_2) + (b_1 + b_2 - d_1 - d_2)x - (b_1 + b_2 + c_1 + c_2)x^2 \\ &= 2a_1 + (b_1 - d_1)x - (b_1 + c_1)x^2 \\ &\quad + 2a_2 + (b_2 - d_2)x - (b_2 + c_2)x^2 \\ &= \underline{T(\underline{\mathbf{u}}) + T(\underline{\mathbf{v}})} \end{aligned}$$

$$\begin{aligned} T(\alpha \underline{\mathbf{u}}) &= 2\alpha a + (\alpha b - \alpha d)x - (\alpha b + \alpha c)x^2 \\ &= 2\alpha a + \alpha(b - d)x - \alpha(b + c)x^2 \\ &= \alpha[2a + (b - d)x - (b + c)x^2] \\ &= \underline{\alpha T(\underline{\mathbf{u}})} \end{aligned}$$

(iii) (4 points) Determine the **kernel** of T , and a **basis** for it.

$$\ker(T) = \{ \vec{v} \in \mathbb{R}^4 \mid T(\vec{v}) = \vec{0} \}$$

$$T(\vec{v}) = 2a + (b-d)x - (b+c)x^2 = 0$$

$$\rightarrow \begin{cases} a = 0 \\ b = d \\ b = -c \end{cases} \quad \begin{cases} \text{let } b = t \in \mathbb{R} \\ \rightarrow a = 0, \rightarrow c = -t \\ \rightarrow d = t \end{cases}$$

$$\rightarrow \ker(T) = \left\{ \begin{bmatrix} 0 & t \\ -t & t \end{bmatrix} \mid t \in \mathbb{R} \right\}$$

$$= \text{span} \left\{ \begin{bmatrix} 0 & 1 \\ -1 & 1 \end{bmatrix} \right\}$$

$$B_{\ker(T)} = \left\{ \begin{bmatrix} 0 & 1 \\ -1 & 1 \end{bmatrix} \right\}$$

$$* \text{NOTE} * \dim(\ker(T)) = \underline{1}$$

(iv) (3 points) Determine the **range** of T , and a basis for it.

$$\text{Rank}(T) + \text{Nullity}(T) = \dim(\mathbb{R}^3)$$

$$\rightarrow \text{Rank}(T) + 1 = 4$$

$$\rightarrow \text{Rank}(T) = 3 = \dim(\mathbb{P}_2)$$

$\therefore T$ onto

$$\therefore \text{Range}(T) = \mathbb{P}_2$$

$$\text{B}_{\text{Range}(T)} = \{1, x, x^2\}$$

(v) (3 points) Is T one-to-one? onto? **Justify your answer.**

T is NOT 1-1 $\because \dim(\ker(T)) = 1$

and $\ker(T)$ contains vectors other than $\vec{0}_V$

T is onto since $\text{Rank}(T) = \dim(\mathbb{P}_2)$

Problem 5. (4 points each) True or False (Circle one and state your reason):

- (i) In any vector space V , there is unique additive inverse for each vector $\underline{v} \in V$.

Reason:

☒ True ☐ False

Assume that \vec{u}, \vec{w} are inverses for \vec{v}

$$\rightarrow \vec{u} = \vec{u} + \vec{0} = \vec{u} + (\vec{v} + \vec{w}) = (\vec{u} + \vec{v}) + \vec{w} = \vec{0} + \vec{w} = \vec{w}$$

$$\therefore \vec{u} = \vec{w}$$

- (ii) Let V be a 3-dimensional vector space. Then, V has infinitely many subspaces of dimension 2.

Reason:

☒ True ☐ False

V is isomorphic to \mathbb{R}^3 and \mathbb{R}^3 contains infinitely many subspaces of dimension two (each of them is a plane through the origin)

- (iii) There is a transformation $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$, not necessarily linear, such that $\text{Ker}(T) = \{\mathbf{0}\}$ but T is not 1-1.

Reason:

True ☐ False ☐

$$T(x, y) = (x^2, y^2)$$

$$\text{Let } \vec{u} = (x_1, y_1), \vec{v} = (x_2, y_2)$$

$$T(\vec{u} + \vec{v}) = ((x_1 + x_2)^2, (y_1 + y_2)^2) \text{ clearly not equal to } T(\vec{u}) + T(\vec{v})$$

Similarly for scalar multiplication.

$$\begin{aligned} T(x, y) = \mathbf{0} & \text{ iff } (x^2, y^2) = \mathbf{0} \\ & \text{ iff } x^2 = 0, y^2 = 0 \\ & \text{ iff } x = 0, y = 0 \\ & \rightarrow \text{Ker}(T) = \{\mathbf{0}\} \end{aligned}$$



$$T(-1, 1) = (1, 1), \quad T(1, 1) = (1, 1) \rightarrow T \text{ NOT 1-1}$$

- (iv) Let A and B be $n \times n$ matrices that commute ($AB = BA$). Then, A and B must share the same eigenvectors.

Reason:

True ☐ False ☐

$$A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad B = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

$$AB = BA$$

but $x_A = (1, 1)$ eigenvector for A as

$Ax = 1x$ but it is not an eigenvector of B as $x_B = (0, 1)$ is the form for eigenvectors of B .