



Mechanical transmission at spine synapses: Short-term potentiation and working memory

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Abstract

Do dendritic spines, which comprise the postsynaptic component of most excitatory synapses, exist only for their structural dynamics, receptor trafficking, and chemical and electrical compartmentation? The answer is no. Simultaneous investigation of both spine and presynaptic terminals has recently revealed a novel feature of spine synapses. Spine enlargement pushes the presynaptic terminals with muscle-like force and augments the evoked glutamate release for up to 20 min. We now summarize the evidence that such mechanical transmission shares critical features in common with short-term potentiation (STP) and may represent the cellular basis of short-term and working memory. Thus, spine synapses produce the force of learning to leave structural traces for both short and long-term memories.

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Introduction

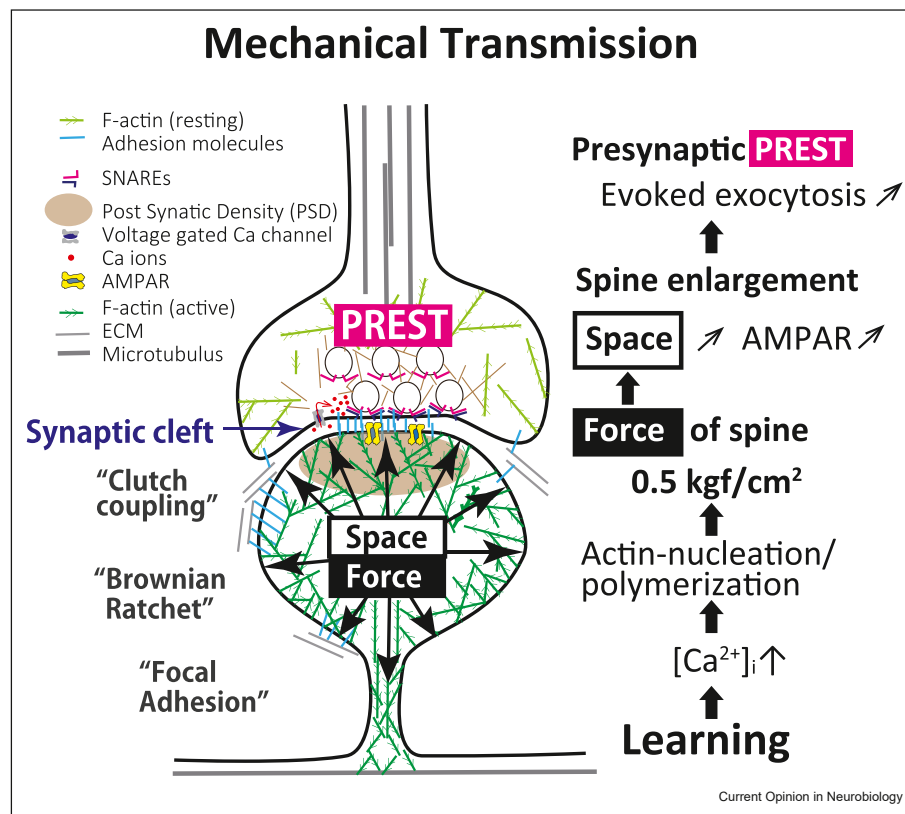
The spiny protrusions on dendrites called dendritic spines form the postsynaptic component of most excitatory synapses. The dendritic spines, however, are not required for excitatory transmission *per se*, as exemplified by excitatory synapses on the smooth dendritic shaft of cortical inhibitory neurons [1,2]. Thus, what is the functional role of spines? Recently, dendritic spines were discovered in a nematode, *Caenorhabditis elegans* [3], where spines have head and neck structures. As in

higher-order animals, its spines form synaptic contacts with the head, contain filamentous actin (F-actin), and exhibit dynamic motility as well as Ca^{2+} transients. Thus, the key conserved feature of dendritic spines is their actin-based motility. Spines express the same concentration of F-actin as smooth muscle cells of brain microvessels [4]. They display resting motilities [5–8] and activity-dependent plasticity in the hippocampus [9–11] as well as in the neocortex [12,13] (Figure 1), which can be observed as spine enlargement or shrinkage. Spine enlargement has two phases consisting of a rapid, short-term enlargement (STE) and a slow long-term enlargement (LTE) [9,12,14,15] (Figure 2a and b). During the LTE phase, increases in glutamate sensitivity proportional to the spine volume are observed [9,11,16,17]. Thus, spine enlargement is considered the structural basis for long-term potentiation (LTP) of excitatory synapses [9,18] and is termed structural LTP (sLTP = LTE) [15,18–20]. Although STE is often more pronounced than LTE [9,14,15,21], the increases in glutamate sensitivity are never greater than LTE [9,11,16,22].

It has recently been reported that rapid spine enlargement directly pushes the presynaptic boutons and facilitates evoked neurotransmitter release [23]. This process is referred to as “mechanical transmission” because the force transmits information from post-to presynaptic terminals (Figure 1). Presynaptic terminals are an ideal structure for receiving the force of enlargement as they face the spines and undergo Ca^{2+} -dependent exocytosis of neurotransmitters, where Ca^{2+} signaling is sensitive to nano-distances [24] and exocytosis involves nanostructures alterations [25–27]. Thus, spine enlargement can modify presynaptic functions [23,28] as spines push the terminal by 20–130 nm. Presynaptic functions can be rapidly modified also by presynaptic Ca^{2+} signals in the traditional short-term presynaptic plasticity, such as paired-pulse facilitation (PPF), augmentation, depression, and post-tetanic potentiation (PTP) [27,29].

This review will first focus on the mechanical force during synaptic plasticity and its roles in the post-synaptic and presynaptic compartments. The existing lines of evidence for the role of mechanical transmission

Figure 1



Schematic representation of “mechanical transmission.” Learning stimuli activate NMDARs by associative synaptic input to postsynaptic spines, which in turn activates CaMKII and Rho family GTPases, and triggers nucleation, branching, as well as (a) polymerization of actin to generate 0.5 kg/cm^2 muscle-like force (arrows), for example, via the “Brownian ratchet,” “clutch coupling,” and “focal adhesion” mechanisms. (b) The force primarily expands the spine and utilizes the functional readout of AMPAR and persistent structural modification. (c) Next, the force also works on the presynaptic terminal via postsynaptic membranes, adhesion molecules of the synaptic cleft, and presynaptic membrane, and compresses the button as a physical reaction (arrows), which includes closer apposition of vesicles and the plasma membrane. This leads to the enhancement of evoked release of glutamate. We refer to the presynaptic responses as PREST and the spine action on PREST as mechanical transmission.

in short-term potentiation (STP) and working memory will then be summarized.

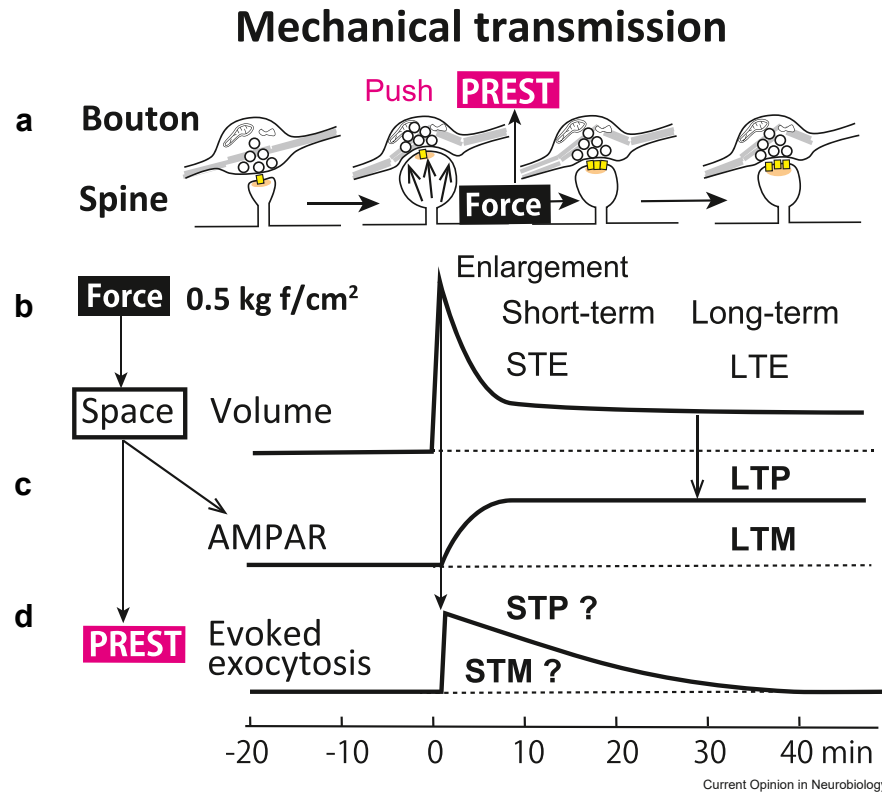
Mechanical force induces spine enlargement

Activity-dependent spine enlargement was induced via selective stimulation of spines under the associative learning conditions that stimulate N-methyl-D-aspartate (NMDA) receptors in a solution without magnesium or via spike-timing-dependent protocol (STDP) [9–11,17,23]. Considering that the enlargement is generated by a force within a spine and that not all molecular processes are involved in force generation, one can distinguish three physical processes during spine enlargement: 1) Force generation within the spine; 2) utilization of the expanded space in the spine; and 3) pushing of the presynaptic terminal (Figure 1).

Force generation was associated with forming an enlargement pool of F-actin throughout the spine head

[30]. This process is primarily induced by activating Ca^{2+} /calmodulin-dependent protein kinase II (CaMKII) [9,31–33], the most abundant protein in the postsynaptic density (PSD), which regulates F-actin nucleation and branching [14,34] and phosphorylates LIM domain kinase (LIMK) to prevent severing of actin fibers and promote the formation of additional actin fibers and their elongation [14,19,33,35]. Actin polymerization occurs at the barbed end of F-actin and causes a retrograde flow of F-actin from the leading edge of the plasma membrane toward the cytosol [30]. Polymerization and branching of F-actin happen in all spine-heads [34,36] neck regions [37] and results in force generation [38–40], involving the following mechanisms: 1) The “Brownian ratchet” mechanism, which converts the free energy of actin polymerization into force by clamping a thermal motion of the plasma membrane [38–40]; 2) The “clutch coupling” mechanism, which extracts the force from the retrograde flow of actin fibers by coupling with the extracellular matrix

Figure 2



Pre- and postsynaptic dynamics in short and long-term memory. (A) Mechanical transmission: The actin-based force within the spine induces PREST in the axon terminal. (B) The expansive force induces spine enlargement in the short-term (STE) and long-term phases (LTE). They constitute the structural LTP (sLTP = STE + LTE). (C) The expansive force strengthens the evoked exocytosis of glutamate (PREST) in the presynaptic terminal, which can last 20 min and possibly give rise to short-term memory (STM). (D) The persist phase is accompanied by proportional increases in glutamate sensitivity and is considered to underlie long-term memory (LTM).

(ECM) [41,42]; 3) The cofilin-F-actin (cofilactin) complex formation, which may assist actin polymerization during spine enlargement [15,43,44]; and 4) The “focal adhesion” complexes, which tightly anchor the actin-cytoskeletons to ECM, support enlargement, and prevent the outflow of the enlarged actin pool into the dendritic shaft [30] (Figure 1).

How much force can actin polymerization cause during spine enlargement? The force has been difficult to measure because a presynaptic bouton covers the spine, and there is no way to put a cantilever or a glass pipette within the synaptic cleft. However, a previous study revealed that the presynaptic active zone (AZ) could act as a pressure sensor [23], where the pressure can be read out by the soluble N-ethylmaleimide-sensitive-factor attachment protein receptor (SNARE) assembly in AZ [45] and the evoked glutamate release [23]. This measurement indicated that the force of spine enlargement equals the osmotic pressure of 20 mM sucrose, which can diffuse into the synaptic cleft and push AZ from the front as the spine does. The osmotic

pressure of 20 mOsm is equal to 0.5 kg f/cm² or 10 nN per the mean synaptic contact area of 0.2 μm². Thus, the force of the spine enlargement is comparable to that of the isometric contraction of smooth muscle [46]. How is such a strong force generated in the spine? Notably, the actin concentration in the spines was as high as those in smooth muscles of the brain microvasculature [47,48]. Thus, the force-generating mechanisms occur in masses of actin filaments, each generating a force of 20–30 pN per F-actin filament [38–40]. Even though the force is large, spines perform only a small amount of work (= force × distance) as they move a very short distance (~100 nm), unlike muscles.

Postsynaptic actions of mechanical force: spine enlargement and LTP

The expansive force enlarges the spine head to create a new space that may increase molecule mobility and drive spatial reorganizations within the spine (Figure 1). For instance, in a previous study, two-photon fluorescence correlation spectroscopy (FCS) was used to show an increase in the diffusion of cytosolic monomeric enhanced

green fluorescent protein (EGFP) immediately after spine enlargement [49]. The enlarged space and enhanced mobility harness multifarious downstream reactions to yield persistent structural alterations [15,50], including invasion of the spine apparatus, trafficking of α -Amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors through endosomal exocytosis or lateral diffusion [51], local synthesis of component proteins [52], as well as binding of CaMKII and other molecules [49]. Some molecules may function in force generation and space utilization processes, as exemplified for CaMKII. Most importantly, PSDs gradually increase in size following protein synthesis to maintain structural plasticity [15,53,54]. Furthermore, thickening of the spine neck was detected by two-photon [55,56], electron [15], and stimulated emission depletion (STED) microscopy [57], in part due to the integration of the endoplasmic reticulum [58]. Moreover, the spines twitch [23,56,59,60] to make mRNA and RNA protein complexes (RNPs) more accessible to the spine head [61]. Spine twitching and thickening reduce the electrical decay [59,62,63] and avoid saturation of excitatory input [57]. These findings indicate that the mechanical force is the origin of the spine enlargement (STE and LTE) and related cellular processes (Figures 1 and 2).

The spine volume altered by the mechanical force is strongly correlated with the number of functional AMPA receptors [64–68], as well as the ultrastructural [69–71] and biochemical [72] expression of AMPA receptors (Figure 2C, Table 1). This may be ascribed to the binding of AMPAR auxiliary subunits to PSD scaffolding proteins [73]. Furthermore, as glutamate sensitivity gradually increases during spine enlargement [74], spines reinstate the initial structure-function relationship. Spine enlargement can leave traces for a long time and subserve the endurance of spines and memory [8].

Presynaptic actions of mechanical force: PREST

Pushing of a presynaptic terminal by rapid STE results in an immediate increase in SNARE assembly and

glutamate release, both of which continue unabated for 20 min [23] (Figure 2D; Table 1). The pushing force is required for the processes because no such effect is observed when spine enlargement is prevented from pushing the terminals due to the twitching of the spine neck. Upon enlargement, spines elongate by ~ 150 nm, and boutons recess by ~ 50 nm in full width at half-maximal diameter (FWHM), which is well below the damaging threshold (<120 nm) [23]. The force is active only when synapses are in contact. Thus, the following scenario is envisaged (Figure 1): A spine elongates via actin polymerization, and the spine plasma membrane pushes the presynaptic membrane via the synaptic adhesion molecules from the synaptic cleft. The force physically compresses presynaptic structures, including the active zone, synaptic vesicle clusters, cytoskeletons, and organelles within the presynaptic terminal. The compression of the cytosol induces closer apposition of synaptic vesicle clusters to the plasma membrane, which is sensed by SNARE proteins in the vesicles and presynaptic plasma membrane. These processes can occur rapidly (<10 s or earlier) and persistently (<30 min) as they are mechanical and structural. Slow chemical processes may also come into play [75]. This cellular sensation and mechano-transduction mechanism [76] has been termed ‘PREST’ (Pressure Sensation and Transduction).

Spine enlargement is considered the major cause of PREST because the deformation of presynaptic structures is the essence of PREST [23]. The change in osmotic pressure caused by membrane impermeable substances in the blood can also induce PREST by fine deformation, but only until volume regulatory mechanisms restore the cytosolic volume [77]. Changes in blood pressure are unlikely to yield PREST as the intact skull confines both the brain tissue and cerebrospinal fluid, and blood pressure generates a static pressure within the skull. The static pressure does not significantly deform the tissue even up to 2100 atm, as evidenced by the high-pressure freezing technique of synapses [78]. Since muscle-like force is required to

Table 1

Comparisons of STP and short-term synaptic plasticity (STSP).

	Induction	Expression	Mechanisms	Specificity	Persistence
LTP	Post	Post	LTE = sLTP	Synaptic	>30 min
STP	Post	Pre	STE + PREST	Synaptic	<30 min
STSP	Pre	Pre	Na-spike/Ca-signal	Cellular	<1 min

Repetitive activation of spine NMDAR triggers spine enlargement whose long-term spine phase (LTE = sLTP) induces accumulation of AMPAR. The early short-term phase (STE) causes PREST in the synaptically connected presynaptic button. This review proposes the possibility that STE and PREST may mediate short-term potentiation (STP). In contrast, short-term synaptic plasticity (STSP) comprises paired-pulse facilitation (PPF), depression, augmentation and post-tetanic potentiation and is induced only by electrical stimulation of presynaptic axons and, therefore, occurs along the entire axon (cellular) and dissipates quickly.

induce PREST, spine enlargement must be the only major cause in physiological conditions.

Mechanical transmission and STP

We reported PREST as a novel consequence of spine enlargement [23]. We noticed afterward that spine enlargement and PREST share the same major properties as the STP process (Table 1) investigated 30 years ago. However, the STP process has nearly disappeared from recent studies [79]. STP can be induced with the same tetanic stimulation as LTP (for example, 100 Hz for 1 s) of presynaptic fibers using a metal electrode and recorded with the slope of the field excitatory postsynaptic potentials (fEPSPs).

- 1) Induction protocols for pure STP are weaker than for LTP [80–84], as STE and LTE [30].
- 2) STP persist for 10–30 min [30,83,84], as PREST.
- 3) Induction of STP and STE require postsynaptic NMDA receptors [9,80,83,85] and can induce associative synapse-specific plasticity, namely Hebbian plasticity, as in LTP and LTE.
- 4) The expression of STP is considered presynaptic because STP is associated with increases in presynaptic glutamate release [86] and without increases in postsynaptic glutamate sensitivity [79,87], contrary to LTP [88].
- 5) STP [89–91] and STE [9,14,19,21] are resistant to the effects of kinase inhibitors relative to LTP and LTE. PREST is resistant to the high concentration of K-252a, which non-selectively blocks serine/threonine kinases [23].
- 6) Both STP [79] and STE [56] are weakened and disappear following whole-cell perfusion of postsynaptic cells (the same for LTP and LTE), likely due to the prevention of cell motility.

In a traditional view, if STP induction is postsynaptic but its expression is presynaptic (Table 1), a retrograde chemical signaling is necessary; however, retrograde chemical messengers, which transfer postsynaptic NMDA signaling to the presynaptic terminals, have been disputed [79,92,93]. This point must have hampered the investigations of STP [79]. Mechanical transmission has removed the obstacle, as it does not require chemical messengers and enables the most intimate and physical communication from the spine to the bouton. It should be noted that STP was elicited and recorded with metal electrodes and readout with fEPSPs, while the mechanical transmission (STE + PREST) was induced with single spine stimulation and monitored with two-photon imaging of the single bouton innervating the spine. Therefore, it is surprising that their key features are the same. Mechanical transmission is likely operated in broader experimental conditions than standard STP, for example, a rapid phase of

enlargement by STDP [11], those LTPs which were considered presynaptic at least in part [86,94–97], spontaneous spine motilities [5], and other unknown *in vivo* paradigms for spine enlargement [98].

Since mechanical transmission was only recently discovered in 2021, it requires further studies and clarifications. One key issue is that although PPF occurs promptly [29], knowledge of the onset speed of STP and STE is limited (Table 1). This is because 1) the rapid components of presynaptic plasticity (e.g., PTP) ambiguated the results [85]; 2) whole-cell clamping inevitably perfuses the cytosol and greatly slows down and reduces spine motility [56,79]; and 3) the characterization of STE has been unattractive for researchers as the functional role of STE was unclear, unlike sLTP (or LTE) [15,18–20]. As strong evidence that STE induces PREST emerged, the onset of early spine enlargement and STP will become a focus for future research.

Short-term and working memory

Since LTP associated with LTE are involved in long-term memory (LTM) formation [20,44], it follows that STP associated with STE may be involved in short-term memory (STM) formation (Figure 2). STM can be divided into “short-term memory” which is a capacity to hold information in an active, readily available state for a short time interval, and “working memory” which does so for further processing purposes and decision making. Both types of STM are stored in an associative fashion [99–101] and play a crucial role in the execution of a wide range of cognitive tasks. In the delayed response paradigm, a stimulus that is briefly presented to an animal must be maintained for several seconds until the execution of the task. Enhanced stimulus-specific spiking activity has been observed during the delay period and is regarded as the neuronal correlate of STM [99,102]. The involvement of synaptic plasticity was suggested because the increase in the activity sometimes disappears completely during the delay period (activity-silent working memory) [103–105] and because STM is associative memory. Moreover, STM gradually transforms to LTM [99,106], as STP does to LTP and STE to LTE.

The involvement of STP in working memory has been proposed. STP was significantly reduced in a mutant mouse line (GluA1 KO mice with unknown mechanisms) [107–109], where working memory was impaired [110], while maintaining intact spatial reference learning of maze tasks [110,111], which was partly restored by over-expression of GluA1 [112,113]. Likewise, NMDA receptor inhibitors blocked STP, resulting in working memory deficits [114,115]. NMDARs containing NR2A are required for working memory in mice, but not spatial reference memory [116]. NR2A, but not the NR2B subunit in the prefrontal cortex, is required for working

memory in aged rats [117]. It is noteworthy that GluA1 and NR2A are listed in the 108 loci which are most susceptible to schizophrenia in genome-wide association studies (GWAS) [118] because impairment of working memory is a core cognitive symptom. Other genes related to long-term synaptic plasticity [8,119] may also affect STP. Impairments in PREST would account for STM deficits in schizophrenic patients and other subjects [100] with relatively intact LTM. In schizophrenic patients, there is a reduction in the number of synaptic vesicles [120] and synapsin II expression [121]. A computational neuronal network model mimicking STP is constructed to explain how prefrontal cortex could maintain and update novel associations [122].

Traditional short-term synaptic plasticities (STSP), such as PPF, depression, augmentation, and PTP, is also presynaptic and proposed to support working memory (Table 1) [123–125]. In fact, simulation studies suggest that STSP helps a neuronal network focus on already acquired long-term memory, and such activity is readily updated and short-lasting, as is often the case with working memory in humans [126]. However, STSP does not show associativity and synaptic selectivity and occurs along the entire axon (Table 1). Thus, it is questionable whether STSP can account for a new working memory involving association, for example, between a novel scenery and an image that is remembered. Furthermore, although working memory can be transferred to LTM if repeated [101,127,128], STSP cannot generate LTM because it does not cause LTP (Table 1). Moreover, unlike STP, there is no mutant or pharmacological evidence for the involvement of STSP in working memory, unlike STP. However, there are kinetic differences between STP and STSP, and it will be important to clarify how STP (or mechanical transmission) and STSP differentially and cooperatively support activity-silent working memory.

Conclusions

Simultaneous investigation of both pre- and postsynaptic sites of the same spine synapse has revealed that the generation of muscle-like force underlies spine enlargement. Although spine synapses usually operate under electrochemical mechanisms, they generate mechanical force during associative learning to leave their traces for some time. In the early phase, the force is transmitted to the presynaptic terminal. Since the transmission is physical, it can be rapid, intimate, flexible, and mnemonic, representing a hidden basis of dynamic working memory.

Author contributions

Conceptualization: H. Kasai, H. Ucar, H. Okazaki.
Writing: H. Kasai, H. Ucar, Y. Morimoto, F. Eto.

Conflict of interest

Nothing declared.

Data availability

No data was used for the research described in the article.

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