## BBM 205 Discrete Mathematics Hacettepe University http://web.cs.hacettepe.edu.tr/~bbm205

## Lecture 2: Proof Techniques Lecturer: Lale Özkahya

#### Resources:

Kenneth Rosen, "Discrete Mathematics and App." cs.colostate.edu/cs122/.Spring15/home\_resources.php http://www.cs.nthu.edu.tw/wkhon/math16.html

#### **Proof Terminology**

Theorem: statement that can be shown to be true

Proof: a valid argument that establishes the truth of a theorem

Axioms: statements we assume to be true

Lemma: a less important theorem that is helpful in the proof of other results

Corollary: theorem that can be established directly from a theorem that has been proved

Conjecture: statement that is being *proposed* to be a true statement

#### Learning objectives

- · Direct proofs
- Proof by contrapositive
- Proof by contradiction
- Proof by cases

#### Technique #1: Direct Proof

- Direct Proof:
  - First step is a premise
  - Subsequent steps use rules of inference or other premises
  - Last step proves the conclusion

#### Methods of Proving

A direct proof of a conditional statement

$$p \rightarrow q$$

first assumes that p is true, and uses axioms, definitions, previously proved theorems, with rules of inference, to show that q is also true

- The above targets to show that the case where p is true and q is false never occurs
  - Thus,  $p \rightarrow q$  is always true

#### Direct Proof (Example 1)

Show that
 if n is an odd integer, then n<sup>2</sup> is odd.

#### • Proof:

Assume that n is an odd integer. This implies that there is some integer k such that

$$n = 2k + 1$$
.

Then  $n^2 = (2k+1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$ . Thus,  $n^2$  is odd.

#### Direct Proof (Example 2)

Show that

if m and n are both square numbers, then mn is also a square number.

#### Proof:

Assume that m and n are both squares. This implies that there are integers u and v such that  $m = u^2$  and  $n = v^2$ .

Then  $m n = u^2 v^2 = (uv)^2$ . Thus, m n is a square.

#### Class Exercise

• Prove: If n is an even integer, then  $n^2$  is even.

- If n is even, then n = 2k for some integer k.

$$-n^2 = (2k)^2 = 4k^2$$

– Therefore,  $n = 2(2k^2)$ , which is even.

## Can you do the formal version?

|    | Step                                | Reason                     |
|----|-------------------------------------|----------------------------|
| 1. | <b>n</b> is even                    | Premise                    |
| 2. | $\exists k \in \mathbb{Z} \ n = 2k$ | Def of even integer in (1) |
| 3. | $n^2 = (2k)^2$                      | Squaring (2)               |
| 4. | $=4k^2$                             | Algebra on (3)             |
| 5. | $=2(2k^2)$                          | Algebra on (4)             |
| 6. | ∴ <b>n²</b> is even                 | Def even int, from (5)     |

# Technique #2: Proof by Contrapositive

- A direct proof, but starting with the contrapositive equivalence:
  - $p \rightarrow q \equiv \neg q \rightarrow \neg p$
- If you are asked to prove p → q
- you instead prove ¬q → ¬p
- Why? Sometimes, it may be easier to directly prove
   ¬q → ¬p than p → q

#### Methods of Proving

 The proof by contraposition method makes use of the equivalence

$$p \rightarrow q \equiv \neg q \rightarrow \neg p$$

To show that the conditional statement p → q
is true, we first assume ¬ q is true, and use
axioms, definitions, proved theorems, with
rules of inference, to show ¬ p is also true

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## Proof by Contraposition (Example 1)

Show that
 if 3n + 2 is an odd integer, then n is odd.

#### • Proof:

Assume that n is even. This implies that n = 2k for some integer k.

Then, 3n + 2 = 3(2k) + 2 = 6k + 2 = 2(3k + 1), so that 3n + 2 is even. Since the negation of conclusion implies the negation of hypothesis, the original conditional statement is true

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## Proof by Contraposition (Example 2)

· Show that

if n = a b, where a and b are positive, then a  $\leq \sqrt{n}$  or b  $\leq \sqrt{n}$ .

#### • Proof:

Assume that both a and b are larger than  $\sqrt{n}$ . Thus, ab > n so that  $n \ne ab$ . Since the negation of conclusion implies the negation of hypothesis, the original conditional statement is true

## Proof by contrapositive

Prove: If  $n^2$  is an even integer, then n is even.

$$(n^2 \text{ even}) \rightarrow (n \text{ even})$$

By the contrapositive: This is the same as showing that

- •¬ $(n \text{ even}) \rightarrow \neg (n^2 \text{ even})$
- •If *n* is odd, then n<sup>2</sup> is odd.
- •We already proved this on slides 4 and 5.

Since we have proved the contrapositive:

$$\neg (n \text{ even}) \rightarrow \neg (n^2 \text{ even})$$

We have also proved the original hypothesis:

$$(n^2 \text{ even}) \rightarrow (n \text{ even})$$

# Technique #3: Proof by contradiction

Prove: If *p* then *q*.

#### Proof strategy:

- Assume the negation of q.
- In other words, assume that  $p \land \neg q$  is true.
- Then arrive at a contradiction  $p \land \neg p$  (or something that contradicts a known fact).
- Since this cannot happen, our assumption must be wrong.
- Thus,  $\neg q$  is false. q is true.

### Proof by contradiction example

Prove: If (3n+2) is odd, then n is odd.

#### Proof:

- •Given: (3n+2) is odd.
- •Assume that n is not odd, that is n is even.
- •If n is even, there is some integer k such that n=2k.
- •(3n+2) = (3(2k)+2)=6k+2 = 2(3k+1), which is 2 times a number.
- •Thus 3n+2 turned out to be even, but we know it's odd.
- •This is a contradiction. Our assumption was wrong.
- •Thus, n must be odd.

## Proof by Contradiction Example

Prove that the  $\sqrt{2}$  is irrational.

Assume that " $\sqrt{2}$  is irrational" is false, that is,  $\sqrt{2}$  is rational. Hence,  $\sqrt{2} = \frac{a}{b}$  and a and b have no common factors. The fraction is in its lowest terms.

So  $a^2 = 2b^2$  which means a must be even,

Hence. a = 2c

Therefore,  $b^2 = 2c^2$  then b must be even, which means a and bmust have common factors.

Contradiction.

# Technique #4: Proof by cases

- Given a problem of the form:
  - $(p_1 \lor p_2 \lor ... \lor p_n) \rightarrow q$
  - where  $p_1, p_2, ..., p_n$  are the cases
- This is equivalent to the following:
  - $[(p_1 \rightarrow q) \land (p_2 \rightarrow q) \land ... \land (p_n \rightarrow q)]$
- So prove all the clauses are true.

### Proof by cases (example)

- Prove: If n is an integer, then  $n^2 \ge n$ 
  - $(n = 0 \lor n \ge 1 \lor n \le -1) \rightarrow n^2 \ge n$
- Show for all the three cases, i.e.,
  - $(n = 0 \rightarrow n^2 \ge n) \land (n \ge 1 \rightarrow n^2 \ge n)$  $\land (n \le -1 \rightarrow n^2 \ge n)$
- Case 1: Show that  $n = 0 \rightarrow n^2 > n$ 
  - When n=0,  $n^2=0$ .
  - 0=0 ☺

#### Proof by cases (example contd)

- Case 2: Show that  $n \ge 1 \rightarrow n^2 \ge n$ 
  - Multiply both sides of the inequality n ≥ 1 by n
  - We get  $n^2 \ge n$

## Proof by cases (example contd)

- Case 3: Show that  $n \le -1 \rightarrow n^2 > n$
- Given n ≤ -1,
- We know that n<sup>2</sup> cannot be negative, i.e., n<sup>2</sup> >
- - We know that 0 > -1
  - Thus,  $n^2 > -1$ . We also know that  $-1 \ge n$  (given)
  - Therefore,  $n^2 \ge n$

#### **Proof by Cases Example**

Theorem: Given two real numbers x and y, abs(x\*y)=abs(x)\*abs(y)

Exhaustively determine the premises

Case p1: x>=0, y>=0, so x\*y>=0 so abs(x\*y)=x\*y and abs(x)=x and abs(y)=y so abs(x)\*abs(y)=x\*y

Case p2: x<0, y>=0

Case p3: x > = 0, y < 0

Case p4: x<0, y<0

#### Methods of Proving

 When proving bi-conditional statement, we may make use of the equivalence

$$p \leftrightarrow q \equiv (p \rightarrow q) \land (q \rightarrow p)$$

 In general, when proving several propositions are equivalent, we can use the equivalence

$$\begin{aligned} & p_1 &\leftrightarrow p_2 &\leftrightarrow ... \leftrightarrow p_k \\ & \equiv (p_1 &\to p_2) \land (p_2 &\to p_3) \land ... \land (p_k &\to p_1) \end{aligned}$$

### Proofs of Equivalence (Example)

• Show that the following statements about the integer n are equivalent :

```
p := "n is even"
q := "n - 1 is odd"
r := "n² is even"
```

To do so, we can show the three propositions

$$p \rightarrow q$$
,  $q \rightarrow r$ ,  $r \rightarrow p$ 

are all true. Can you do so?

#### Methods of Proving

- A proof of the proposition of the form ∃X P(X) is called an existence proof
- Sometimes, we can find an element s, called a witness, such that P(s) is true
   This type of existence proof is constructive
- Sometimes, we may have non-constructive existence proof, where we do not find the witness

## **Existence Proof (Examples)**

- Show that there is a positive integer that can be written as the sum of cubes of positive integers in two different ways.
- Proof:  $1729 = 1^3 + 12^3 = 9^3 + 10^3$

- Show that there are irrational numbers r and s such that r<sup>s</sup> is rational.
- Hint: Consider  $(\sqrt{2}^{\sqrt{2}})^{\sqrt{2}}$

#### **Common Mistakes in Proofs**

- Show that 1 = 2.
- Proof: Let a be a positive integer, and b = a.

| Step |                           | Reason                            |
|------|---------------------------|-----------------------------------|
| 1.   | a = b                     | Given                             |
| 2.   | $a^2 = a b$               | Multiply by a in (1)              |
| 3.   | $a^2 - b^2 = a b - b^2$   | Subtract by b <sup>2</sup> in (2) |
| 4.   | (a - b)(a + b) = b(a - b) | Factor in (3)                     |
| 5.   | a + b = b                 | Divide by $(a - b)$ in $(4)$      |
| 6.   | 2b = b                    | By (1) and (5)                    |
| 7.   | 2 = 1                     | Divide by b in (6)                |

#### **Common Mistakes in Proofs**

Show that
 if n<sup>2</sup> is an even integer, then n is even.

#### Proof:

Suppose that n<sup>2</sup> is even.

Then  $n^2 = 2k$  for some integer k.

Let n = 2m for some integer m.

Thus, n is even.

#### **Common Mistakes in Proofs**

Show that

if x is real number, then  $x^2$  is positive.

Proof: There are two cases.

Case 1: x is positive

Case 2: x is negative

In Case 1,  $x^2$  is positive.

In Case 2, x<sup>2</sup> is also positive

Thus, we obtain the same conclusion in all cases, so that the original statement is true.

#### **Proof Strategies**

- Adapting Existing Proof
- Show that

 $\sqrt{3}$  is irrational.

 Instead of searching for a proof from nowhere, we may recall some similar theorem, and see if we can slightly modify (adapt) its proof to obtain what we want

#### **Proof Strategies**

- Sometimes, it may be difficult to prove a statement q directly
- Instead, we may find a statement p with the property that p → q, and then prove p
   Note: If this can be done, by Modus Ponens, q is true
- This strategy is called backward reasoning

## Backward Reasoning (Example)

- Show that for distinct positive real numbers x and y,  $0.5 (x + y) > (x y)^{0.5}$
- · Proof: By backward reasoning strategy, we find that

1. 
$$0.25 (x + y)^2 > xy \rightarrow 0.5 (x + y) > (xy)^{0.5}$$

2. 
$$(x + y)^2 > 4xy$$
  $\rightarrow 0.25(x + y)^2 > xy$ 

3. 
$$x^2 + 2 x y + y^2 > 4 x y \rightarrow (x + y)^2 > 4 x y$$

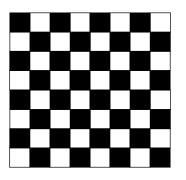
4. 
$$x^2 - 2xy + y^2 > 0$$
  $\rightarrow x^2 + 2xy + y^2 > 4xy$ 

5. 
$$(x-y)^2 > 0$$
  $\rightarrow x^2 - 2xy + y^2 > 0$ 

6.  $(x-y)^2 > 0$  is true, since x and y are distinct.

Thus, the original statement is true.

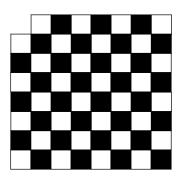
#### **Interesting Examples**





Can a checkerboard be tiled by  $1 \times 2$  dominoes?

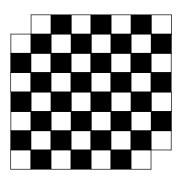
## **Interesting Examples**





What if the top left corner is removed?

#### Interesting Examples





What if the lower right corner is also removed?