

1 Introduction

Relativistic heavy ion collisions allow experimental studies of the quark-gluon plasma (QGP), the equilibrated high-temperature state of deconfined quarks and gluons. At the Large Hadron Collider (LHC), the abundant production of hard probes such as vector bosons, heavy quarks, jets, and quarkonia produced in the highest energy heavy ion collisions has opened a new era in the characterization of QGP, providing new information on initial state properties, parton energy loss and color screening. The capabilities of the Compact Muon Solenoid (CMS) detector in the detection of high momentum photons, electrons, muons, charged particles, and jets have proven to be a unique match for the opportunities at the LHC. A key aspect of this success has been the convergence of detector needs for precision measurements in p-p discovery physics in a high luminosity, high pileup environment and for QGP studies in high multiplicity Pb-Pb collisions. This convergence has allowed CMS to adapt a large number of new analysis techniques to studies of heavy ion collisions, such as particle-flow jet reconstruction, studies of jet substructures, lifetime fits for secondary J/ψ studies, b- and c-tagging of jets, missing p_T measurements of W production and energy flow in dijet events.

The full power of these and other techniques will be exploited in future heavy ion studies beginning in 2018 and Run III, when an increase in the collision energy to $\sqrt{s_{NN}} = 5.02$ TeV and an eventual increase in Pb-Pb collision rate to as high as $\sim 30 - 50$ kHz will enhance the production rate of hard probes by more than an order of magnitude. In this high energy and high luminosity era, CMS will undertake precision studies of complex observables such as γ -, Z^0 - and W^\pm -jet correlations, heavy flavor jet quenching, multi-jet correlations and the azimuthal anisotropy of high p_T jets and quarkonia. Recently, extensive studies of fully reconstructed heavy flavor mesons such as D^0 and B^+ in heavy ion collisions also show that CMS is ideally suited for the studies of heavy flavor production and heavy quark energy loss in the quark-gluon plasma.

The CMS trigger and data acquisition system is the key to achieving the ultimate high p_T physics reach in heavy ion collisions. Uniquely, the CMS trigger system only has two main components, the hardware-based Level-1 (L1) trigger and the High-Level Trigger (HLT), which is implemented as “offline” algorithms running on a large computer farm with access to the full event information. The conceptual aspects of applying this system to heavy ion collisions, which are characterized by a much lower rate but a much higher multiplicity than those encountered in p-p collisions, were first developed by the US CMS HI group. The HLT trigger work formed the basis for our previous proposals to the DOE Office of Nuclear Physics. As we demonstrated in those proposals, triggering on high p_T probes for Pb-Pb collision rates up to several kHz (i.e. the design value for Pb-Pb) is possible using event rejection solely or mostly at the HLT level. In the 2011 Pb-Pb run, this allowed a reduction of the event rate to storage by more than an order of magnitude compared to the collision rate, without prescaling the most interesting high p_T observables. In the 2015 Pb-Pb run, the heavy flavor meson trigger developed by the US CMS HI group from a previous DOE proposal was deployed for the first time. Those triggers increased the high p_T D^0 meson statistics in p-p (Pb-Pb) collisions by a factor of 600 (20), opening a new era for precision heavy flavor physics in heavy ion collisions.

It has now become clear that the LHC will be able to significantly exceed the Pb-Pb design luminosity in future runs, possibly reaching up to 50 kHz already in Run III. This will place an even greater emphasis on the CMS trigger and DAQ system. The CMS during Pb-Pb collisions is being operated close to its hardware limit in terms of readout rate (see Sec. ?? for details).

We propose to modify the CMS tracker FW to allow higher L1 trigger rates. This upgrade will need to be commissioned in 2017-2018 before the next heavy-ion running period at the end of 2018, to make successful Pb-Pb data-taking possible. The technical details of the proposed upgrade project are described in Section ?. The proposed schedule, management plan, and the budget are described in Sections ? and ?.

2 Physics motivation

In this Section we discuss the physics performance of the L1 trigger rate upgraded system for the 2018 high luminosity Pb-Pb run using three examples of measurements with rare probes. The performance of the upgraded system, which uses background subtraction for L1 event rejection and provides access to the full expected luminosity of 3 nb^{-1} , is compared to that of the current system. These examples illustrate the large improvements in statistical precision afforded by the improved ability to select jet events and high p_T particles during high luminosity Pb-Pb collisions with L1 trigger rate upgrade.

Below we discuss a selection of measurements that exploit the future high-luminosity data sets to provide important insights into the parton energy loss mechanism and heavy flavor particle production:

1. The flavor dependence of jet quenching is an important test ground for various parton energy loss models. Compared to light quarks, heavy quarks are expected to suffer from smaller radiative energy loss when passing through the medium because gluon radiation is suppressed at angles smaller than the ratio of the quark mass M to its energy E [?]. This effect (and its disappearance at high p_T) can be studied using charged particles, heavy flavor mesons and b-jets.
2. Measurements of the charged particle and heavy flavor meson azimuthal anisotropy (v_2) at very high p_T give access to the in-medium path-length dependence of energy loss. The azimuthal anisotropy as a function of particle p_T also yields important information about the parton energy dependence of the energy loss. At low p_T , hadronization of heavy quarks such as parton-to-jet fragmentation and the recombination of heavy quarks and light flavors has a significant impact on the magnitude of the heavy flavor meson v_2 .
3. Studies of jet-heavy flavor meson correlation could give important insights into the parton energy loss mechanism and for the studies of medium induced radiation and medium response to energetic heavy quarks.

These and other measurements rely on a sufficiently selective jet and heavy flavor meson trigger to provide access to the full delivered luminosity. At the same time, a large minimum-bias sample is needed in order to access very low p_T heavy flavor mesons and to perform data-driven tracking and jet energy corrections. To illustrate the impact of the L1 rate upgrade, data collected during the 2015 Pb-Pb run were used to estimate physics reach for a future high-luminosity run (assuming $L_{int} = 3 \text{ nb}^{-1}$) for these three physics cases.

2.1 Nuclear Modification Factors of Heavy Flavor Mesons

One of the proposed observables that reveal the flavor dependence of in-medium parton energy loss is the reduction of heavy flavor meson yield. This can be studied by measurements of nuclear modification factors (R_{AA}), defined as the ratio of the yield in nucleus-nucleus collisions to that observed in pp collisions, scaled by the number of binary nucleon-nucleon collisions. Theoretical calculations of R_{AA} for fully reconstructed D and B mesons are shown in Figure 1. At low p_T , the production rate of heavy flavor mesons in Pb-Pb is sensitive to the elastic energy loss of the heavy quark, the gluon shadowing effect in the nuclear parton distribution function, and the rate of recombination of the heavy quark and light flavors at the hadronization stage. At high p_T , the size of the suppression is sensitive to the heavy quark radiative energy loss in the pQCD-based models. Precision measurement of the R_{AA} from intermediate p_T ($\sim 10 \text{ GeV}$) to very high p_T (200-400 GeV) in bins of

event centrality could provide insights about the pathlength and momentum dependence of the heavy quark energy loss and potentially distinguish between models based on AdS/CFT and pQCD.

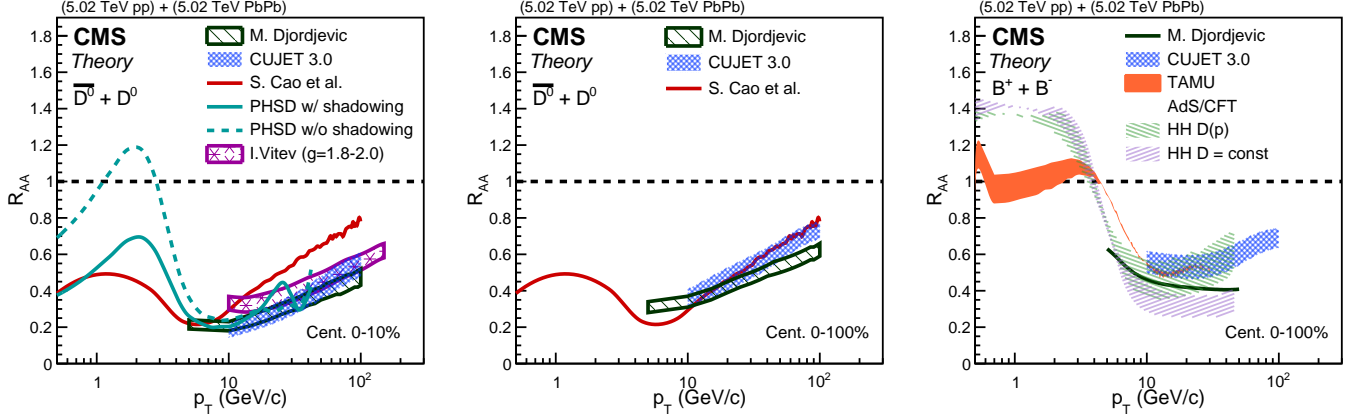


Figure 1: Theoretical calculations of nuclear modification factors of charged particles, D^0 and B^+ mesons.

It is also predicted that a significant fraction of the low p_T heavy quarks could hadronize via recombination with other quarks from the medium. This motivates measurements of the R_{AA} from D^0 , D^+ , (B^+) , D_s^+ (B_s) and Λ_c (Λ_b) which could provide crucial information about the interactions of the heavy quarks and the produced medium. The D_s and B_s measurements are especially sensitive to the strangeness content of the produced strongly interacting medium. Figure 2 shows the performance of $D^+ \rightarrow \phi\pi^+$, $D_s^+ \rightarrow \phi\pi^+$, $\Lambda_c \rightarrow pK^-\pi^+$ and $B^+ \rightarrow \bar{D}^0\pi^+$ reconstruction in heavy ion collisions with the CMS detector, projected to the expected statistics to be collected with the L1 trigger rate upgrade. Significant signals of D_s^+ and Λ_c could be observed, enabling the measurement of D_s and Λ_c R_{AA} with the CMS detector for the first time.

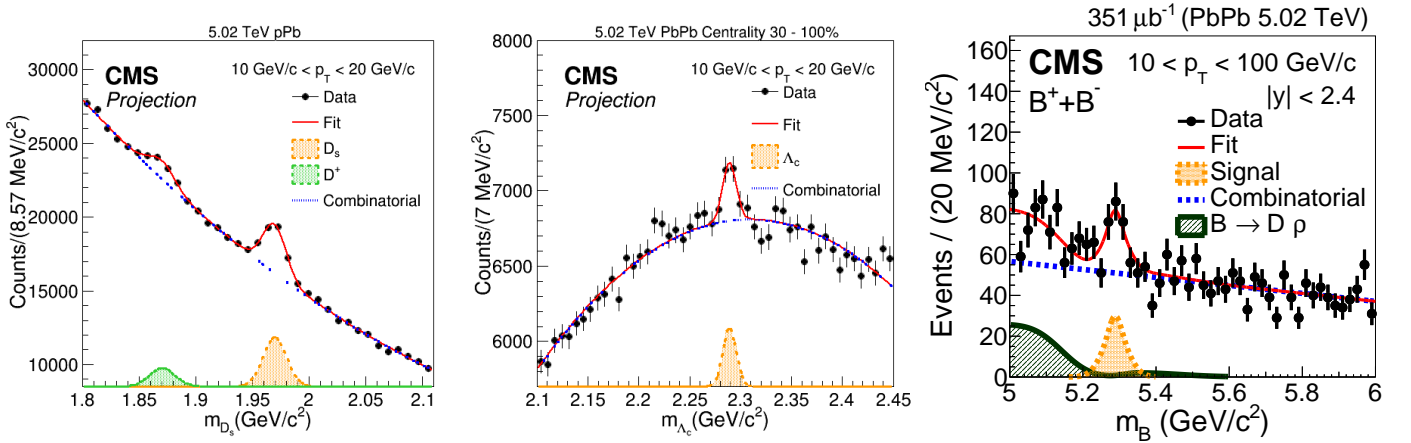


Figure 2: Invariant mass distributions of fully reconstructed heavy flavor mesons

Figure 3 shows the expected performance with the data recorded in 2015 and the projected performance in 2018 and beyond. With the high statistics jet and heavy flavor triggered sample, the precision of the R_{AA} measurements at high p_T could be greatly improved. At the same time, with the L1 trigger rate upgrade proposed in this proposal, the much larger minimum-bias sample enables CMS to perform these studies down to the very low p_T region. The expected precision of D^0 , B^+ and D_s R_{AA} measurements from low p_T to high p_T could provide a strong constraint on theoretical models, and the difference in the suppression magnitude between those mesons could be observed for the first time.

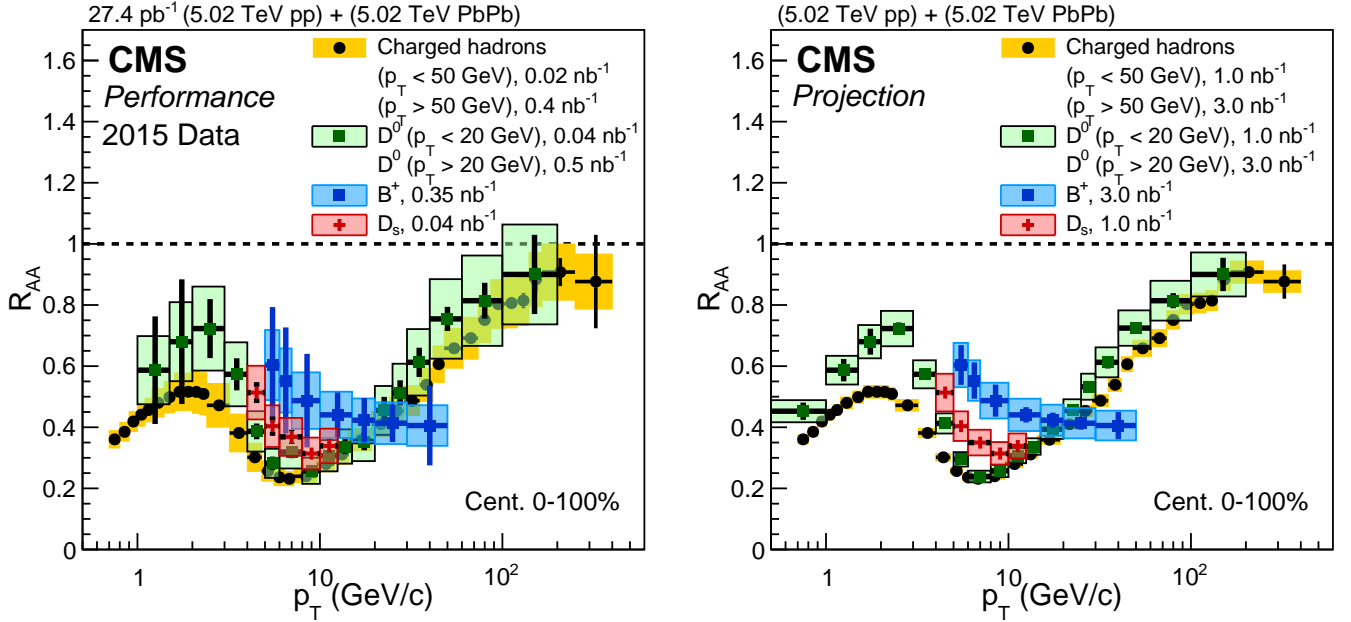


Figure 3: Nuclear modification factors of charged particles, D^0 , D_s and B^+ mesons with 2015 data (left panel) and the statistics expected with L1 trigger rate upgrade (right panel).

2.2 Azimuthal anisotropy of Heavy Flavor Mesons

Azimuthal anisotropy of hadrons provides information about their production with respect to the reaction plane (Ψ_n). At high p_T , the larger in-medium path-length of the mother partons emitted in the direction of the reaction plane leads to a stronger suppression of the yield due to jet quenching. Therefore, measurements of the v_n coefficients from Fourier expansion of the particle distributions $dN/d\psi$ are sensitive to path length dependence of the parton energy loss. At low p_T , a large v_2 (elliptic flow) signal is considered as evidence for collective hydrodynamical expansion of the medium. Measurements of heavy flavor meson v_n could provide important information about the thermalization of the heavy quarks in the medium. Precision measurements of v_n as a function of heavy flavor meson p_T could teach us how the azimuthal anisotropy of the light flavor partons contribute to the observed anisotropy through the recombination of heavy quarks and light quarks. As shown in Figure 4, the predicted elliptic flow (v_2) signal covers a large range of values due to the difference in the

treatments of in-medium parton transport and parton energy loss. Figure 5 shows the expected performance of elliptic flow (v_2) measurements with the data recorded in 2015 and the projected performance in 2018 and beyond. The new precise data will be able to constrain various components of the theoretical models to determine the heavy quark diffusion coefficient in QGP, and to reveal flavor dependence of the parton energy loss.

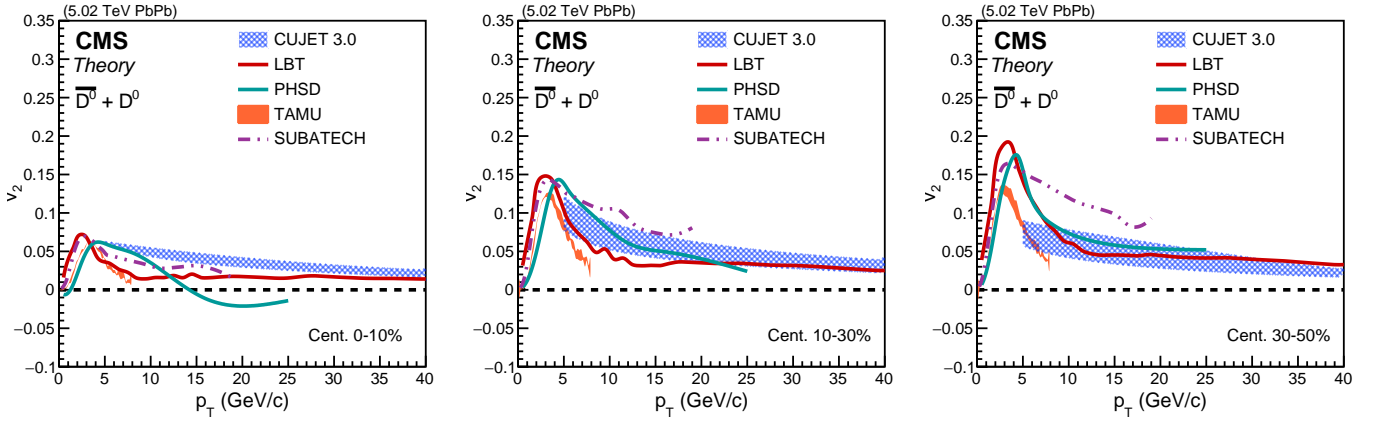


Figure 4: Theoretical calculations of heavy flavor meson v_2 in 0-10% (left) 10-30% (middle) and 30-50% (right) PbPb collisions at 5.02 TeV.

2.3 D^0 -Jet and D^0 -hadron correlations

CMS has published jet-hadron and jet shape and dijet missing transverse momentum measurements which showed a significant modification of the angular structure of the jets. A significant amount of energy is transferred to very large angle with respect to the jet axis. Studies of D^0 -jet and D^0 -hadron angular correlation are sensitive to the energy loss mechanism. Due to the large mass of the c-quark, the proposed measurement could also potentially distinguish models that explain the out-of-cone radiation as signals of medium response from the ones that describe the data with medium-induced semi-hard gluon radiations. Figure 6 shows the performance of D^0 meson reconstruction as a function of the distance with respect to the jet axis ΔR . With the high statistics data taken in 2018 with jet trigger, high precision D^0 -Jet correlation and D^0 -hadron correlation could be performed for the first time (Figure 7).

2.4 Summary

The current and projected physics performance of various measurements are shown in Table 1. With the proposed work on the L1 trigger rate upgrade project, the kinematic range covered by CMS could be greatly increased and many more observables that are not yet accessible with 2015 data will become feasible for the first time with the data taken in 2018 and beyond.

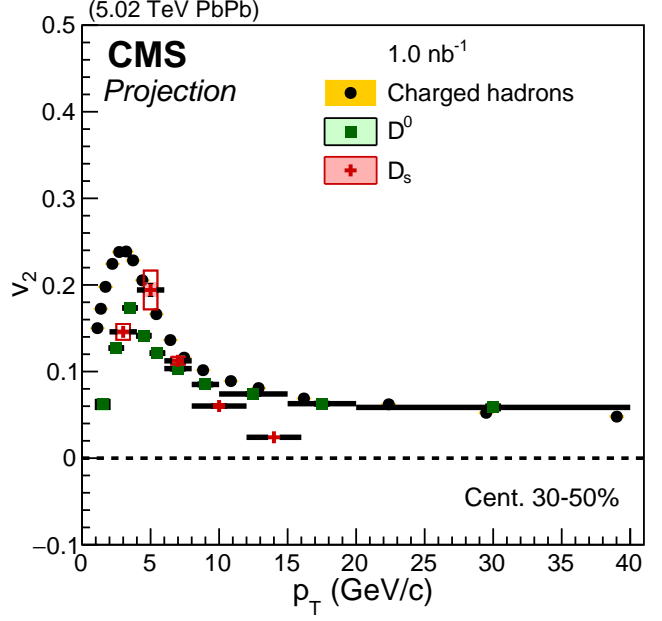
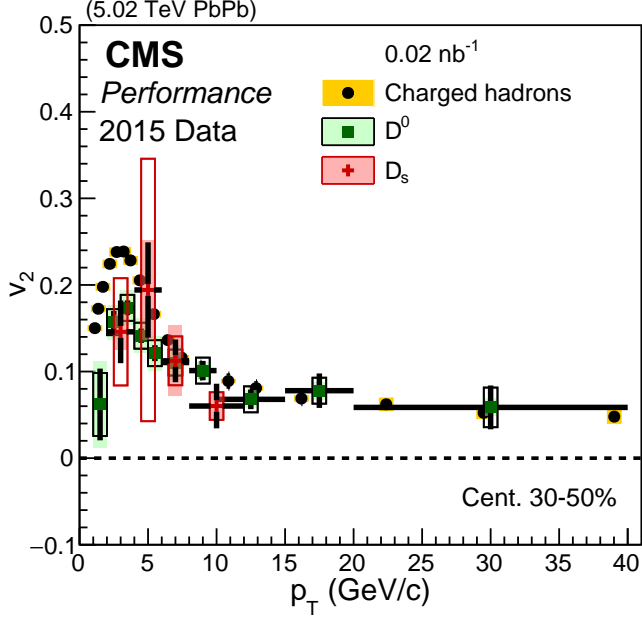


Figure 5: v_2 of charged particles, D^0 and D_s with 2015 data (left panel) and the statistics expected with L1 trigger rate upgrade (right panel).

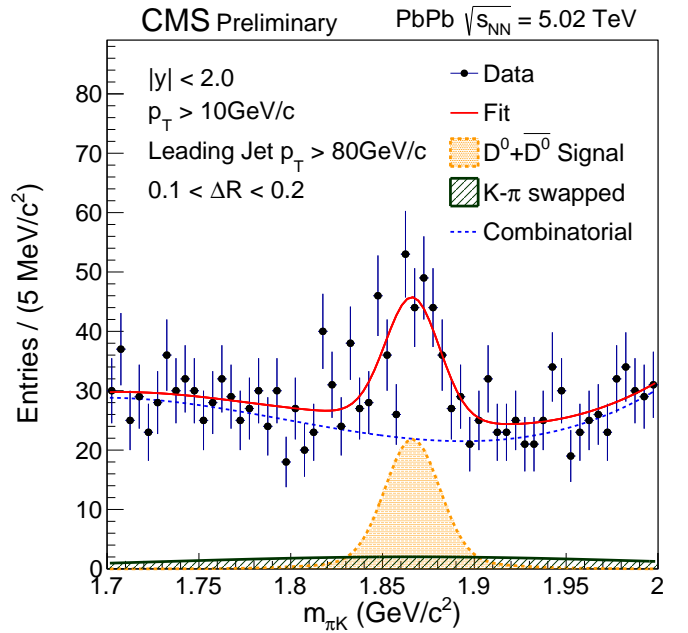
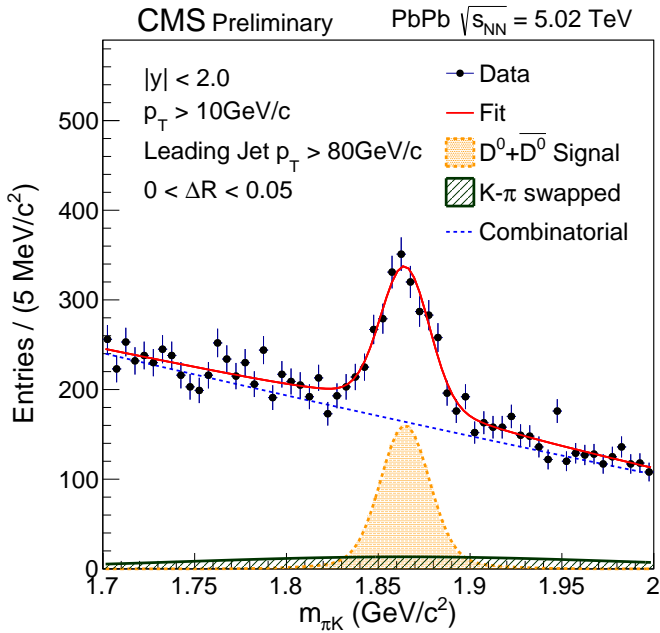


Figure 6: D^0 signal extracted in bins of distance with respect to the jet axis ΔR .

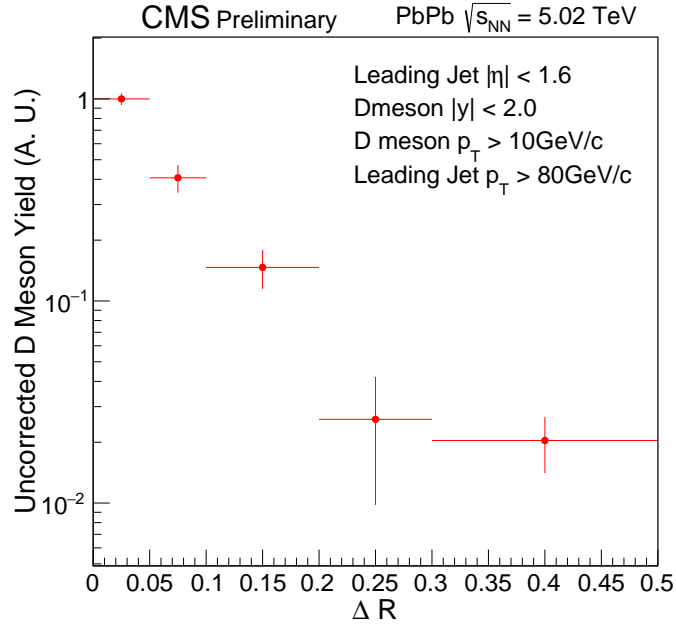


Figure 7: Extracted D^0 yield as a function of ΔR .

	Current 0.04 nb^{-1} + Present System		2018 Pb-Pb 1 nb^{-1} + Upgraded System	
Observables	p_T min	Statistical Uncertainty	p_T min	Statistical Uncertainty
$D^0 R_{AA}$	2	15%	0.5 - 1	10%
$D_s R_{AA}$	~ 4	20%	< 4	4%
$\Lambda_c R_{AA}$	10	$> 20\%$	< 10	4%
$B \rightarrow D R_{AA}$	6	20%	2	10%
$B^+(D^0\pi)R_{AA}$	Not accessible		~ 4	
Low p_T c and b jets	Not accessible		~ 30	
$D^0 v_2 (= 0.06)$	1	80%	0.5 - 1	18%
$D_s v_2$	Not accessible		~ 4	
$B \rightarrow D v_2$	Not accessible		~ 2	
$\Lambda_c v_2$	Not accessible		~ 6	

Table 1: Summary of the heavy flavor measurements with 2015 data and 2018 data with L1 trigger rate upgrade