

# Online Robust Visual Tracking via Superpixel-based Collaborative Appearance Model

## Abstract

## 1 Introduction

Recent years have witnessed significant progress in visual tracking with the development the efficient algorithms. Most of recent algorithms for visual tracking in terms of three modules: target representation, search scheme and model update. Sparse representation have been widely applied in visual tracking [1][2][3][4][5], Mei and Ling[1] apply sparse representation in visual tracking, the target candidate is sparsely represented as a linear combination of the atoms of a dictionary which consists of dynamic target templates and trivial templates. And occlusion, corruption and other challenging issues can be deal with by trivial templates. In [3], a tracking algorithm based on histogram of local sparse representation is proposed, and the target object is located by a local representation based voting map and reconstruction error regularized mean-shift. In [4], the structural local sparse appearance model exploits both partial information and spatial information of the target based on a alignment-pooling method. In [5], a collaborative model which exploits both holistic templates and local representation is proposed.

Mid level visual cues also have been widely used in visual tracking, due to its effective representation with sufficient structure and great flexibility when compared with high level appearance models and low level features. Specifically, superpixels [9] have been one of the most effective and efficient image features, which have proved to be success in applications such as image segmentation [8], body model estimation and object recognition. In [7], a tracking method based by repeated figure/ground segmentation, which uses a superpixel\_based CRF to match region. Wang et al. [6] propose a discriminative appearance model with structural information captured in superpixel. However, in[7], it processed the entire frame with CRF for region match which leads to high computational complexity. In[6], as its feature dictionary is redundant, its also has high cost of computation. Further, its confidence map does not have consideration of distance of spatial. To overcome these problem, we proposed a tracking method with feature selection and clustering method with spatial information.

## 2 Superpixel-based Collaborative Appearance Model

In this section, we present the proposed algorithm in details. We first introduce the theory background of our algorithm, and then describe the clustering method with spatial information and superpixel-based Collaborative Appearance Model .The update scheme with feature selection of our appearance model is then present.

## 2.1 Bayesian Tracking Framework with Superpixel

Our algorithm is carried out with the Bayesian inference framework. Given the observation set of the target  $z_{1:t} = \{z_1, \dots, z_t\}$  up to the t-th frame, the target state variable  $x_t$  can be computed by the maximum a posterior estimation,

$$\hat{x}_t = \arg \max_{x_t^i} p(x_t^i | z_{1:t}) \quad (1)$$

where  $x_t^i$  denotes the state of the i-th sample. The posterior probability  $p(x | z_{1:t})$  is inferred by the Bayesian theorem recursively,

$$p(x_t | z_{1:t}) \propto p(z_{1:t} | x_t) \int p(x_t | x_{t-1}) p(x_{t-1} | z_{1:t-1}) dx_{t-1}, \quad (2)$$

where  $p(x_t | x_{t-1})$  denotes the dynamic motion model and  $p(z_t | x_t)$  denotes the observation model .

The dynamic motion model  $p(x_t | x_{t-1})$  describes the temporal correlation of the states between two consecutive frames. In the tracking framework, we apply the affine transformation with six parameters  $x_t = (\alpha_1, \alpha_2, \alpha_3, \alpha_4, t_x, t_y)$  to model the target between two consecutive frames, where  $\{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}$  are the deformation parameters and  $(t_x, t_y)$  are the 2D translation parameters. The states transition distribution is formulated by a Gaussian distribution. We also assume the six parameters of the affine transformation are independent.

The observation model  $p(z_t | x_t)$  denotes the likelihood of the observation  $z_t$  at state  $x_t$ . It plays a key role in the object tracking. The observation model in our method is constructed by:

$$p(z_t | x_t) \propto \hat{C}(x_t) \quad (3)$$

where  $\hat{C}(x_t)$  denotes the confidence of the observation  $z_t$  at state  $x_t$ . With the feature dictionary updated incrementally, the observation model is able to adapt to the appearance change of the target.

## 2.2 Efficient Superpixel Clustering Scheme

Given the image set of the target templates  $T = [T_1, T_2, \dots, T_n]$ . For each template  $T_i$ , we first segment it into  $N_i$  superpixels. Each superpixel  $sp(i, r) (i = 1, \dots, n, r = 1, \dots, N_i)$  is represented by a feature vector  $f_i^r$ , then we obtain a feature dictionary  $D = \{f_i^r | i = 1, \dots, n, r = 1, \dots, N_i\}$ . Next we apply the kmeans clustering algorithm on the feature dictionary D, and obtain m clusters, which denote Dic\_cluster. Each Dic\_cluster

$Dic\_clst(j)(j=1,\dots,m)$  is represented by its cluster center  $f_{Dic\_c}(j)$ , its cluster radius  $r_{Dic\_c}(j)$ , and its own cluster members  $\{f_i^r | f_i^r \in Dic\_clst(j)\}$ .

Every Dic\_cluster  $Dic\_clst(j)$  has a score to evaluate how probable its superpixel members belong to the target or background, the higher the score, the higher the probability its members belonging to the target, the lower the score, the higher the probability its members belonging to the background. The score of every Dic\_cluster contains two part:  $S^+(i)$  and  $S^-(i)$ . The  $S^+(i)$  denotes the number of pixels belonging to foreground, the  $S^-(i)$  denotes the number of pixels belonging to background. Intuitively, the larger the  $S^+(i)$ , the more likely the superpixel members of  $Dic\_clst(j)$  appear in the target area. So we evaluate the score of each Dic\_cluster  $Dic\_clst(j)$  by a confidence measure between 1 and -1 to indicate the probability its superpixel members belong to the target or background,

$$Dic\_C_j^c = \frac{S^+(i) - S^-(i)}{S^+(i) + S^-(i)} \quad (4)$$

When a new frame arrives, we first exact a surrounding region of the target and segment it into  $N_t$  superpixels. If we direct using cluster algorithm to cluster  $N_t$  superpixels into m clusters according to Dictionary, it ignores the spatial information between superpixels. So we propose a bilateral kmeans clustering algorithm(BKC) to cluster the superpixels by taking both spatial information and intensity information into account. The BKC is constructed by two part: pre\_cluster and post\_cluster. In the pre\_cluster, we first randomly select K superpixels as centers, then we calculate the spatial distance  $d_s$  and intensity distance  $d_r$  between each superpixel in the current frame and each center, if  $d_r < \theta_r$  and  $d_s < \theta_s$ , then this superpixel belongs to the center, we call it BKC\_center. Each BKC\_cluster  $SKC(k)(k=1,\dots,K)$  is represent by its cluster center  $f_{SKC\_c}(k)$ , its cluster radius  $r_{SKC\_c}(k)$  and its own cluster members  $\{f_i^r | f_i^r \in SKC(k)\}$ . In the post cluster, we then cluster  $f_{SKC\_c}(k)$  into the Dic\_cluster  $Dic\_clst(j)$  with the smallest distance. By using our BKC algorithm, the superpixels with small spatial distance and intensity distance would be in the same cluster. However, the superpixels with small intensity distance but large spatial distance would be in the different cluster.

### 2.3 Superpixel-based Collaborative Appearance Model

When a new frame arrives, we have extracted a surrounding region of the target and segment it into  $N_t$  superpixels and cluster them into different clusters. A certain superpixel has its own members, which cluster it belongs to and the distance between this superpixel and its corresponding cluster center in the dictionary. Intuitively, the closer the superpixel lies to its corresponding cluster center, the more likely this superpixel belongs to. The confidence measure of each superpixel is computed as follows:

$$dis(r, c(i)) = \exp(-\sigma \times \frac{\|f_t^r - f_{Dic\_c}(i)\|}{r_{Dic\_c}(i)}) \quad (5)$$

$$C_r^s = dis(r, c(i)) \times C_i^c \quad \forall r = 1, \dots, N_t, i = 1, \dots, n \quad (6)$$

where  $dis(r, c(i))$  denotes the weighting term based on the distance between this superpixel  $f_t^r$  and its corresponding cluster center  $f_{Dic\_c}(i)$  in the dictionary.  $r_{Dic\_c}(i)$  denotes the cluster radius of  $Dic\_cst(i)$  in the feature dictionary.

### 2.4 Robust Template Update

Since the appearance of the object often changes during the tracking process, the update scheme is essential and important. However, if we update the dictionary too often, the small errors are introduced each time. The errors are likely to accumulate and the tracker drifts from the target. If we do not update the dictionary, the tracker is prone to fail in dynamic scene due to the dictionary without capturing the appearance variation, because of the illumination and pose change. So we solve this problem by dynamically updating the template.

In many tracking methods, the earlier tracking results are more accurate so they should be stored longer than newly acquired results in the template stack. To balance the old and new templates, our templates consist of two parts: static templates and dynamic templates. The static templates are constructed by our training process, the first  $n$  tracking results (4 in our experiments), and the static templates remain the same in the entire tracking process. In order to capture the new appearance and adapt to the dynamic scene, we create the dynamic templates by adding the follow  $m$  tracking frames (6 in our experiment). For every  $W$  frames, we put the new frame into the dynamic templates and delete the oldest one.

Intuitively, the occlusion to pollute our template may not be desirable. So we present a simple but efficient method to handle occlusion problem. For the current state, the candidate with largest confidence value  $C_{max}$  is what we need, and  $C_{mean}$  is the average of the confidence in the templates,  $C_{sum}$  is the sum confidence in the template, so we set a threshold to detect the occlusion condition:

$$Occlusion = (C_{mean} - C_{max}) / C_{sum} > th$$

If occlusion is larger than  $th$ , it indicates that the confidence value of the current frame is much less than the average of the confidence in the templates, and it has high probability to the background, so we don't update the dynamic template. By this template update scheme, our tracker can handle the occlusion much better, even more, when heavy occlusion happened, we can recover from it and robust results can be obtained.

## **4 Experimental Results**

### **4.1 Quantitative Comparison**

### **4.2 Qualitative Comparison**

## **5 Conclusion**

## **References**

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