

# The Science Case for an Orbital Mission to Uranus

**Exploring the Origins and Evolution of Ice Giant Planets**

A paper submitted in response to the ESA 2013 Call for White Papers for the Definition of the L2 and L3 Missions in the ESA Science Programme

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Fourteen of the twenty ESA Member and European Cooperating states are represented in the support for a Uranus orbiter mission. The table below lists the supporters by country, first listing the ESA member and European Cooperating states, then the rest of the world.

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## Executive Summary

Giant planets account for more than 99% of the mass of the Sun’s planetary system, and helped to shape the conditions we see in the Solar System today. The Ice Giants (Uranus and Neptune) are fundamentally different from the Gas Giants (Jupiter and Saturn) in a number of ways and Uranus in particular is the most challenging to our understanding of planetary formation and evolution. A mission to the uranusian system will open a new window on the origin and evolution of the Solar System and directly addresses two of the Cosmic Vision themes “What are the conditions for Planet Formation and the Emergence of Life?” and “How Does the Solar System Work?”. The fundamental processes occurring within the uranusian system confirm that the exploration of Uranus is essential in meeting ESA’s Cosmic Vision goals.

The science case for a Uranus mission is arranged into three key themes: 1) Uranus as an Ice Giant Planet, 2) An Ice Giant Planetary System, and 3) Uranus’ Aeronomy, Aurorae and Highly Asymmetrical Magnetosphere. In addition, a mission to Uranus naturally provides a unique opportunity to study the outer heliosphere and fundamental gravitational physics and so we present a significant cruise phase science programme. The mission concept we propose consists of a Uranus orbiter combined with an atmospheric entry probe to provide crucial ground-truth measurements in the atmosphere of Uranus. The mission requires the development of radioisotope power sources that are already under development through ESA contracts, but which are expected to be available in good time before the next L-class launch opportunity. Otherwise the mission can be achieved with current technology.

This white paper has significant community support, reflected by (i) the 219 scientists across the world (170 in Europe from 14 of the 20 ESA member and European cooperating states) lending their support to this white paper; (ii) the key planetary objectives specified by white paper submissions to NASA’s Planetary and Heliophysical Decadal Surveys; and (iii) the wide support provided to the Uranus Pathfinder (Arridge et al., 2012) M-class mission proposal in 2010. Perhaps unsurprisingly, the level of community support is highest among early- and mid-career scientists. In September 2013 a Uranus-focused workshop will be held in France, demonstrating the world-wide interest in studies of Uranus. A European mission to a strange and distant world like Uranus provides a unique opportunity for public engagement, inspiring the next generation of European scientists. Its value to ESA and Europe should not be underestimated. The potential scientific return from the level of investment from ESA and the European community is without peer.

## Uranus Mission Summary

<b>Orbiter scientific payload</b>	Focussed set of space qualified high (>5) TRL instruments, most with significant heritage on ESA missions: magnetometer, radio science, accelerometer, imaging spectroscopy in UV and near-IR, thermal infrared bolometer, narrow/wide angle visible cameras, radio and plasma wave science, dust detector, plasma/energetic particle detectors, Doppler imager.
<b>Atmospheric Entry Probe</b>	Probe to reach >5 bar depth with a mass of 312 kg. Instruments: nephelometer, ultrastable oscillator for radio science, accelerometer, and a mass spectrometer.
<b>Mission profile</b>	Launch from Kourou on Ariane 5 injecting into a polar science orbit. Nominal mission of 20 orbits with targeted flybys of all five major natural satellites.
<b>Platform</b>	Mars Express/Venus Express/Rosetta heritage platform with 4 m HGA and 100 W transmitter. AOCS capable of spin stabilisation and three-axis stabilisation.
<b>Power</b>	ESA radioactive power source ( <sup>241</sup> Am) providing 400 W during prime mission
<b>Operational lifetime</b>	~16 years.
<b>Launch and interplanetary cruise</b>	Ariane 5 from Kourou. Estimate 10 – 15 year interplanetary cruise utilising a variety of gravity assists, consistent with previous mission designs.
<b>Telemetry band</b>	X / Ka
<b>Data volume</b>	160 Mbit per 8 hour downlink (5.6 kbps) over Ka-band
<b>Orbit</b>	Polar science orbit with multiple targeted flybys of major moons. Low periapsis (<1.1 R <sub>U</sub> ) orbits with Earth visibility required for studies of Uranus’ interior.

## 1 Science Case

Giant planets account for more than 99% of the mass of the Sun’s planetary system, and helped to shape the conditions we see in the Solar System today. Our Solar System provides the only local laboratory in which we can perform studies that help us to understand the nature of planetary systems in general. Kepler observations that Uranus/Neptune class planets are a common class of planet (Fressin et al., 2013) make it all the more timely and compelling to better explore these fascinating systems. The Ice Giants are fundamentally different from the Gas Giants (Jupiter and Saturn) in a number of ways and Uranus in particular is the most challenging to our understanding of planetary formation and evolution, with its puzzling interior structure, unclear energy balance and internal energy transport mechanisms, and its high obliquity. Yet our exploration of the Ice Giants in our own Solar System remains incomplete, with several fundamental questions unanswered. Voyager 2 remains the only spacecraft to have returned data from the uranian environment and by the time of the L2/L3 launch, more than 40 years will have passed since new measurements were returned from Uranus.

A mission to Uranus will provide observations and measurements that are vital for understanding the origin and evolution of Uranus as an Ice Giant planet, answer the fundamental question of why some giant planets become icy and other so gas rich, and provide a missing link between our Solar System and planets around other stars. Observations of Uranus’ rings and satellite system will also bring new perspective on the origin of giant planet systems and will help validate the models proposed for the origin and evolution of Jupiter’s and Saturn’s systems. A new planetary science mission to Uranus thus represents the quintessential aspects of ESA’s Cosmic Vision.

The science case and main science questions are arranged into three themes: Uranus as an Ice Giant Planet, An Ice Giant Planetary System, and Uranus’ Aeronomy, Aurorae and Highly Asymmetrical Magnetosphere. In addition we propose a significant cruise phase science programme.

### 1.1 Uranus as an Ice Giant Planet: The Interior and Atmosphere of Uranus

Table 1 lists the gross properties of Uranus. The bulk composition and internal structure of the Ice Giants reflect their different formation environments and evolutionary processes relative to the Gas Giants (e.g., Guillot, 2005), providing a window onto the early Solar System. Jupiter is an H/He planet with an ice and rock mass fraction of 4 – 12% as inferred from standard

interior models (Saumon and Guillot, 2004). Uranus and Neptune seem to consist mostly of ices and rocks, but current observations are only able to provide an upper limit of 85% on the ice and rock mass fraction (Fortney and Nettelmann, 2010). There is currently no interior model for Uranus that agrees with all the observations, representing a significant gap in our understanding of the Solar System. Understanding the internal structure of Uranus (the nearest Ice Giant) is indispensable for estimating the bulk composition of outer planets, in particular their ice:rock ratio. A Uranus orbiter mission will reveal the fundamental processes that shape the formation, evolution, dynamic circulation and chemistry of Ice Giant atmospheres.

Equatorial radius	25 559 km (=1 R <sub>U</sub> )
Mass	14.5 M <sub>E</sub>
Sidereal spin period	17h12m36s ( $\pm 72$ s)
Obliquity	97.77°
Semi-major axis	19.2 AU
Orbital period	84.3 Earth years
Dipole moment	50 M <sub>E</sub>
Magnetic field	Highly complex, surface field up to 11000 nT
Dipole tilt	-59°
Natural satellites	27 (9 irregular)

*Table 1: Physical and orbital parameters of Uranus.*

The origin of Uranus’ large obliquity is perhaps one of the most outstanding mysteries of our Solar System. A variety of explanations have been invoked, including a giant impact scenario which may also be implicated in Uranus’ low luminosity and small heat flux, and tidal interactions (Boué and Laskar, 2010; Morbidelli et al. 2012). Examining the interior structure and composition of Uranus and its natural satellites, and studying the ring system may allow us to unravel the origin of this Solar System mystery.

Planets are warm inside and cool down as they age. Gravitational energy from material accretion was converted to intrinsic, thermal energy during formation and is steadily radiated away through their tenuous atmospheres as they age. Thermal evolution models probe the energy reservoir of a planet by predicting its intrinsic luminosity. Such models reproduce the observed luminosity of Jupiter and Neptune after 4.56 Gyrs of cooling, independent of detailed assumptions about their atmosphere, albedo, and solar irradiation. The same models, however underestimate it for Saturn and overestimate it for Uranus. Indeed, Uranus’s atmosphere appears so cold (its intrinsic luminosity so low), that according to standard thermal evolution theory Uranus should be more than 3 billion years older than it is. However, the error bars on the Voyager-

determined energy balance are large enough to substantially reduce that discrepancy. In particular, as the observational error bars (Pearl et al., 1990) of the albedo and brightness-temperature data based effective temperature are significant, Uranus could as well cool down adiabatically, just as Neptune, if its real heat loss is close to the observed upper limit. This demonstrates the need for improved observational data for constraining Uranus' evolution and the derived structure and formation. The intrinsic luminosity of Uranus also has implications for understanding planetary dynamos and magnetic field generation. The unusual field properties suggest some fundamental difference between the dynamos of Uranus and Neptune and those of the other planets.

Uranus' atmosphere is unique in our solar system in that it receives a negligible flux of heat from the deep interior and experiences extremes of seasonal forcing due to the high 98° obliquity, with each pole spending 42 years in darkness. This unusual balance between internal and radiative heating means that Uranus' unique weather is governed principally by seasonal forcings. Furthermore, the substantial enrichment of some heavy elements (but perhaps not all, N being strongly depleted in the troposphere) and small envelopes of H<sub>2</sub>-He in the Ice Giants and the cold atmospheric temperatures relative to the Gas Giants yield unique physiochemical conditions. Uranus therefore provides an extreme test of our understanding of planetary atmospheric dynamics; energy and material transport; seasonally varying chemistry and cloud microphysics; structure and vertical coupling throughout giant planet atmospheres. Even though Earth-based observations of Uranus (ISO, Spitzer, Herschel, ground-based) have improved dramatically in the decades since Voyager 2, many questions about this unexplored region of our Solar System remain unanswered. Certain spectral regions, particularly those longward of 20 cm, are inaccessible from the ground. The overarching atmospheric science objective is to explore the fundamental differences in origin, meteorology and chemistry between the Ice and Gas Giants; to reveal the underlying mechanisms responsible for Uranus' unique conditions.

The temperature in Uranus' thermosphere is several hundred degrees hotter than can be explained by solar heating (as is also found for Saturn and Jupiter) and remains a fundamental problem in our understanding of giant planet upper atmospheres in general. Moreover, this temperature is strongly correlated with season such that at Solstice, the upper atmosphere is more than 200 K hotter than at Equinox. The exosphere and co-located thermosphere and ionosphere form a crucial transition region between interplanetary space and the planet itself.

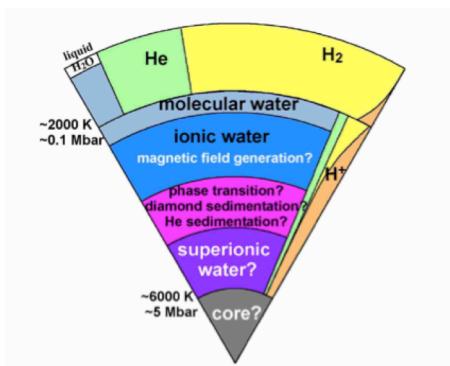
Powerful currents, generated by electric fields imposed by the magnetosphere of magnetised planets, may result in large energy inputs to the upper atmosphere due to Joule heating and ion drag; the energy from these sources may be tens to hundreds of times greater than that due to the absorption of solar (EUV) radiation. The unique orientations of Uranus' magnetic dipole and spin axis combined with strong seasonal driving produce a highly time-dependent and complex interaction between the solar wind, magnetosphere, ionosphere and thermosphere. This system provides a unique opportunity to understand how insolation and particle precipitation from the solar wind magnetosphere contribute to the energy balance in the upper atmosphere.

The composition of Uranus contains clues to the conditions in the protosolar cloud and the locations in which it formed. For instance, a subsolar C:O ratio could indicate formation at a distance where water (but not CH<sub>4</sub>) was frozen. The common picture of gaseous planet formation by first forming a 10 M<sub>E</sub> core and then accreting a gaseous envelope is challenged by state-of-the-art interior models, which instead predict rock core masses below 5 M<sub>E</sub> (Saumon and Guillot, 2004; Fortney and Nettelmann, 2010). Uranus inclination and low heat loss may point to another catastrophic event and provides additional important constraints for planetary system formation theory. New observations of Ice Giants are therefore crucial in order to resolve this and achieve Cosmic Vision goals on the formation of planets.

### 1.1.1 What is the internal structure and composition of Uranus?

At present there is no Uranus interior model that is consistent with all of the physical constraints, such as Uranus' gravity field, luminosity, magnetic field, and realistic ice:rock ratio. Figure 1 illustrates a model that is consistent with the gravity and magnetic field data but not with the luminosity of Uranus. Uranus and Neptune are known to have substantial elemental enrichments in carbon and deuterium (Owen and Encrenaz, 2006; Feuchtgruber et al., 2013), but abundances of other simple elements (N, S and O), their isotopic ratios (<sup>12</sup>C/<sup>13</sup>C, <sup>14</sup>N/<sup>15</sup>N, <sup>16</sup>O/<sup>17</sup>O) and the noble gases (He, Ne, Ar, Xe, Kr) have never been adequately constrained. Nevertheless, Uranus' bulk atmospheric composition provides a key diagnostic of planetary formation models.

To develop improved models of Uranus' interior better compositional data must be obtained (Helled et al., 2010). The mass of the core also places constraints on planetary formation models. If H/He is mixed into the deep interior with only a small central core this would suggest gas accretion onto a low-mass proto-planetary



*Figure 1: Illustration of an interior model which satisfies some but not all of the observational constraints.*

core, or efficient vertical mixing, or inclusion of disk-gas into the building planetesimals, rather than accretion onto a large ice-rock core of  $\sim 10 M_E$ . Furthermore, the predicted large size of Uranus' core relative to the H<sub>2</sub>-He envelope may make Uranus our best opportunity for studying the elemental composition and thermochemistry of the outer solar nebula at the earliest stages of planetary formation. Measurements of Uranus' bulk atmospheric composition, luminosity, magnetic and gravity fields, and normal-mode oscillations will place new constraints on Uranus' interior and on the origins and evolution of Uranus. The gravity field can be measured both by radio science and by observing the precession of Uranus' ten dense narrow elliptical rings (Jacobson et al., 1992; Jacobson, 1998, 2007). Magnetic field measurements will be used to assess the structure of the dynamo region. Measurement of noble gas abundances and isotopic ratios can be achieved with a shallow (1 bar) entry probe (some isotopic ratios can be determined by remote sensing). A deep atmospheric entry probe will enable us to measure if the S/N ratio is enhanced above solar abundance. Giant-planet seismology, building upon the mature fields of helio- and astroseismology, will revolutionise our ability to probe the interior structure and atmospheric dynamics of giant planets.

Improved knowledge of the composition and interior structure of Uranus will also provide deeper insight into the processes that remixed material in the protoplanetary disk, caused for example by the formation of Jupiter (Safronov, 1972; Turrini et al., 2011) or due to extensive primordial migration of the giant planets (Walsh et al., 2011).

### 1.1.2 Why does Uranus emit very little heat?

Voyager measurements suggest that Uranus' evolution produced a planet with negligible self-luminosity, smaller than any other planet in our Solar System (Pearl et al., 1990). Combined with the sluggish appearance of

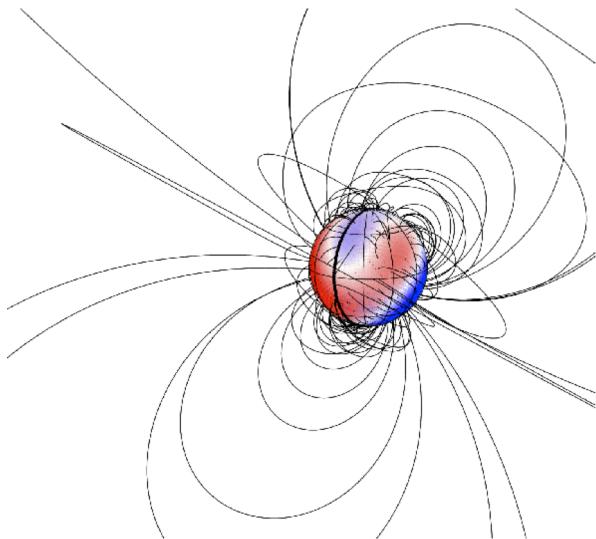
the atmosphere as viewed by Voyager, this suggests that the interior of Uranus is either (a) not fully convective or that (b) it suffered an early loss of internal heat. Case (b) would suggest that the interior is colder than in the adiabatic case, with crystalline water deep inside (Hubbard et al., 1995). This points to a catastrophic event in Uranus' early history that shocked the matter and led to a rapid energy loss. In case (a) we would expect the interior to be warmer, with water plasma implying large-scale inhomogeneities, possibly caused by immiscibility of abundant constituents such as helium and carbon or upward mixing of core material, that inhibit efficient heat transport. However, during the last decade ground-based observations have revealed the appearance of prominent cloud features suggesting localised convective regions of adiabatic thermal gradients in the deep troposphere. Vertical transport of energy and material seems to occur only in localised regions on this enigmatic planet. In fact, the inferred size of a non-convective internal region depends sensitively on the imposed intrinsic heat flux value: a mostly stable interior is predicted if the heat flux is close to zero, but a fully convective interior is possible, as for Neptune, should the upper limit of the observed heat flux value prove true.

In order to better constrain Uranus' internal heat flux, which was derived by Voyager from the measured albedo and brightness temperatures, tighter observational constraints of these quantities are necessary. These inferences come from a single measurement from the Voyager flyby, at a single point in Uranus' seasonal cycle. Indeed, ground-based observations of the uranian atmosphere have revealed far more dynamic activity during the present season, just past the northern spring equinox in 2007. The appearance of convective cloud structures in localised regions (typically mid-latitudes) suggests convective regions of adiabatic thermal gradients in the deep troposphere. Thus the balance between Uranus' emission and absorption may be seasonally variable, and new global measurements of reflected solar and emitted IR radiation are required to assess the presence or absence of an internal heat source, and its importance as driving mechanisms for Uranus' meteorological activity. Atmospheric properties and profiles, measured by an atmospheric entry probe using a combination of radio science, an on-board accelerometer and a nephelometer, may also shed light on heat transport in the atmosphere.

### 1.1.3 What is the configuration and origin of Uranus' highly asymmetric magnetic field?

Understanding the configuration of Uranus' internal magnetic field is essential for correctly interpreting the

configuration of the magnetosphere, its interaction with the rings and moons, and for understanding how dynamo processes in the interior of Uranus generate the field. In contrast to the magnetic fields of Earth, Mercury, Jupiter and Saturn, which are dominated by a dipole nearly co-aligned with the rotation axis, those of Uranus and Neptune are characterised by a large tilt between the dipole and spin axes with strong quadrupole and octupole contributions to the internal magnetic field. The magnetic field data from Voyager 2 are sufficient to crudely constrain the internal field of Uranus but more complex and (currently) poorly constrained models are required to fit the data (Holme and Bloxham, 1996). At the planetary surface the magnetic dipole, quadrupole and octupole components of the total internal field are of comparable strength, but at the top of the dynamo region ( $\sim 0.75 R_U$ ) the latter two dominate. Figure 2 illustrates the highly asymmetrical nature of Uranus' internal magnetic field.



*Figure 2: Configuration of Uranus' internal magnetic field (colours indicate the magnitude and sign of the radial field at the surface, bold black curve indicates zero longitude).*

A variety of competing numerical dynamo models (e.g., Stanley and Bloxham, 2004, 2006; Soderlund et al., 2013) have been developed which can explain these fields but new magnetic field measurements are required to allow us to determine which is the closest to reality. The field is also expected to have undergone secular change since the Voyager 2 epoch (Christensen and Tilgner, 2004). Making magnetic field measurements at a variety of planetocentric latitudes and longitudes will provide a wealth of data from which to test these competing models. This will lead to significant changes in our understanding of field generation in Ice Giant planets and of planetary

magnetic field generation in general. Models of the internal field can also be greatly improved by the use of auroral images which provide additional high-latitude constraints. Herbert (2009) combined the Voyager observations of the internal field with the locus of the UV auroral oval to derive such a higher order model. Better-quality images of auroral emissions than are possible from Earth (e.g., Lamy et al., 2012) are paramount for improving the accuracy of the planetary field model.

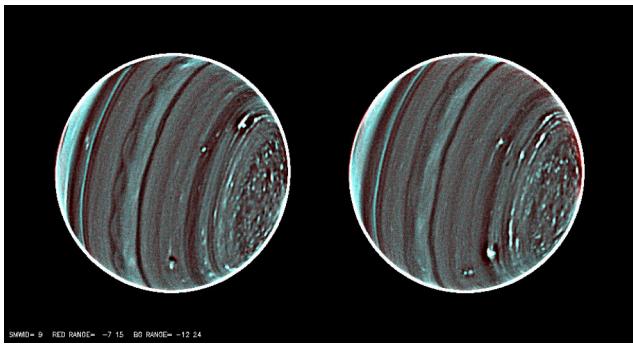
#### 1.1.4 What is the rotation rate of Uranus' interior?

A correct interpretation of the internal structure of Uranus relies on an accurate knowledge of the internal rotation rate of the planet (Nettelmann et al., 2013). Modelling of Uranus' internal magnetic field, and observations of radio emissions (Uranian Kilometric Radiation, UKR) and atmospheric motions all provide independent estimates of the rotation rate of the planet, although not always from the same region of the planet. Analyses of Voyager 2 data have yielded three estimates of the rotation rate of Uranus, between 17 hours 12 minutes 36 seconds ( $\pm 72$  seconds) (Herbert, 2009) and 17 hours 17 minutes 24 seconds ( $\pm 36$  seconds) (e.g., Ness et al., 1986). New measurements of Uranus' magnetic field and UKR will enable us to significantly improve the accuracy on the determination of the planetary period (to a few parts in  $10^{-5}$ ), and check if second order effects (e.g., Saturn displays different radio periods in both magnetic hemispheres, each varying with time) are present.

#### 1.1.5 How is Uranus' weather structure and composition influenced by its unique seasons?

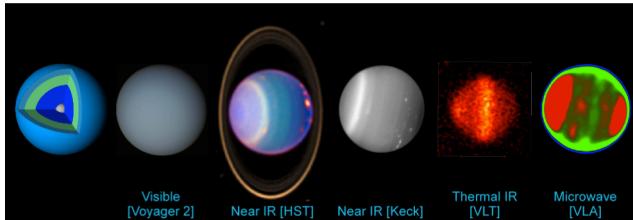
The potential absence of an internal heat source renders Uranus' weather unique among the giant planets. Neptune, with its powerful self-luminosity, provides an important counter-example of a convectively-active weather layer. The extreme  $98^\circ$  obliquity of Uranus subjects the atmosphere to extremes of seasonal forcing, with each pole spending decades in darkness.

Despite the bland visible appearance of Uranus from Voyager, recent ground-based observations have shown the planet to be more dynamically active than previously thought (figure 3). Large-scale atmospheric motions are known to be present, as ground-based microwave observations reveal the polar regions of the deep troposphere to be depleted in absorbers relative to the equator (bright poles in VLA 1.3-cm images, figure 4), while IR measurements see the same pattern at higher altitudes in the CH<sub>4</sub> distribution (Karkoschka and Tomasko, 2009).



*Figure 3: Images from the Keck telescope in 2012 revealing a wave around the equator, discrete clouds at mid latitudes, and a mottled chaotic appearance at the poles (Sromovsky et al., 2012)..*

Seasonal changes in clouds and dynamics have also been observed: in 1986, the sunlit South Pole appeared bright due to a polar ‘cap’ of stratospheric aerosols. The bright South Pole diminished over the ensuing years, and became a faint polar band of brighter material, while a new collar of bright material became visible in the northern springtime hemisphere. High resolution ground-based observations in 2012 (figure 3) reveal what may be convective clouds of CH<sub>4</sub>, which may eventually form a polar hood as was seen in the southern polar regions during the Voyager flyby (Sromovsky, Fry, Hammel, de Pater, 2012-2013). All of these are indicative of the meridional circulation, which on this highly seasonally driven planet is likely to be unique, but instructive about how planets work under more general obliquity/insolation conditions. The long temporal baseline of high spatial resolution atmospheric observations will allow us to study the nature, frequency, distribution and morphology of discrete cloud activity (e.g., storms, vortices). In particular, we aim to understand the origin, lifecycle and drift rates of Uranus’ dark spots and associated bright clouds (large anticyclonic vortices, e.g., Hammel et al., 2006), for a direct comparison with the lifecycles observed on Neptune. Finally, the relative importance of wave activity versus moist convection in vertical mixing could be uniquely tested on Uranus, given the anticipated low levels of convective activity.



*Figure 4: Images of Uranus in a variety of wavelengths compared with an interior model.*

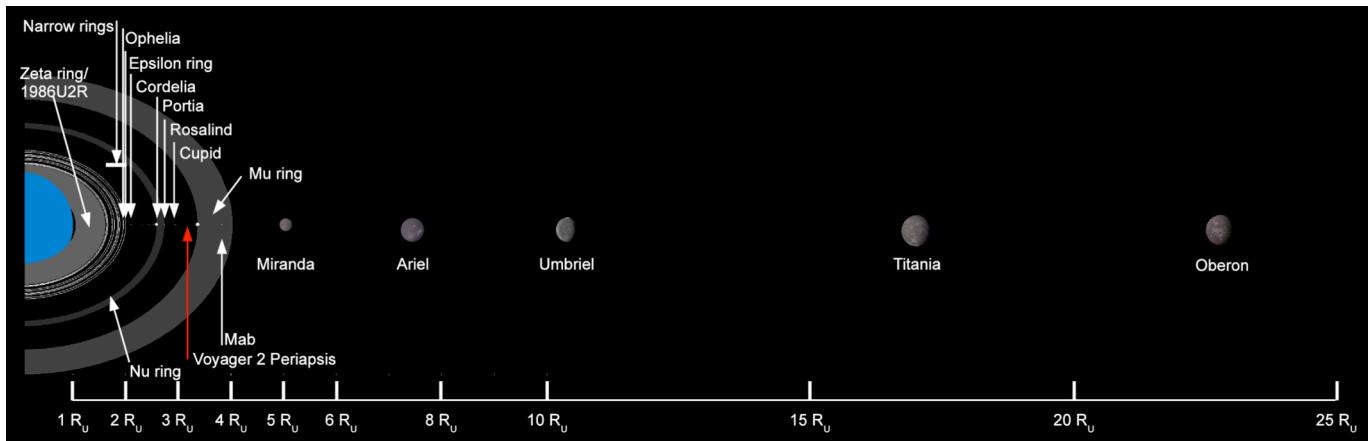
### 1.1.6 What processes shape atmospheric chemistry and cloud formation on an Ice Giant?

Analysis of reflected sunlight observations has attempted to identify the composition of the clouds, with suggestions that the bright white features are ices of CH<sub>4</sub>, overlying a putative cloud of NH<sub>3</sub> or (NH<sub>4</sub>)SH, with a deep cloud of water hypothesised at much deeper levels. Below the clouds, the atmospheric composition is poorly known. The altitude of the deep H<sub>2</sub>O condensation cloud is poorly understood because the bulk water abundance may be enhanced by 10-30 times the solar abundance (de Pater and Lissauer, 2010). The H<sub>2</sub>O cloud may exist over extended pressure ranges beneath 50-80 bar, and may even merge with a region of super-critical H<sub>2</sub>O in Uranus’ interior. It is not clear what chemical gradients are responsible for the emergence of dark spots (anti-cyclones) and associated bright orographic clouds. Above the clouds, the Infrared Space Observatory (ISO, 1995-1998) and Spitzer Space Telescope (2003-Present) showed that stratospheric chemistry initiated by the ultraviolet destruction of CH<sub>4</sub> powers a rich photochemistry, resulting in a soup of hydrocarbons in the upper atmosphere. This hydrocarbon chemistry differs from the other giant planets, as the sluggish vertical mixing means that CH<sub>4</sub> is not transported to such high altitudes, so that hydrocarbon photochemistry operates in a very different regime (i.e., higher pressures) than on the other giants. Furthermore, ISO and Herschel (2009-2013) observed oxygenated species in the high atmosphere, potentially due to infalling dust and comets. It is important to search for previously unidentified or unmapped stratospheric species (CO, HCN, CO<sub>2</sub>, etc.) such as those related to coupling between the neutral atmosphere and the uranian ring/satellite system.

Remote sounding observations are required to place constraints on Uranus’ bulk inventory, vertical distribution, composition, and optical properties of Uranus’ clouds and hazes. A deep (>5 bar) atmospheric entry probe will enable the measurement of bulk CH<sub>4</sub> and H<sub>2</sub>S abundances.

### 1.1.7 What Processes Govern Upper Atmospheric Structure?

The temperature in Uranus’ upper atmosphere (thermosphere) is several hundred degrees hotter than can be explained by solar heating alone (as is also found for Saturn and Jupiter) and remains a fundamental problem in our understanding of giant planet upper atmospheres in general. Moreover, this temperature is strongly correlated with season such that at Solstice, the upper atmosphere in the illuminated hemisphere is more than 200 K hotter than at Equinox. It seems likely that a key component of the required additional heating is



*Figure 5: Illustration of Uranus' system of natural satellites and rings.*

driven by charged particle precipitation and/or the way in which varying magnetospheric configurations couple with the upper atmosphere to produce time-variable fields and currents. Mapping temperatures, electron densities, and the distributions of ions and molecules in the ionosphere and thermosphere using UV and IR remote sensing will permit an unravelling of the thermospheric heating problem and will provide evidence for auroral activity in response to varying solar activity.

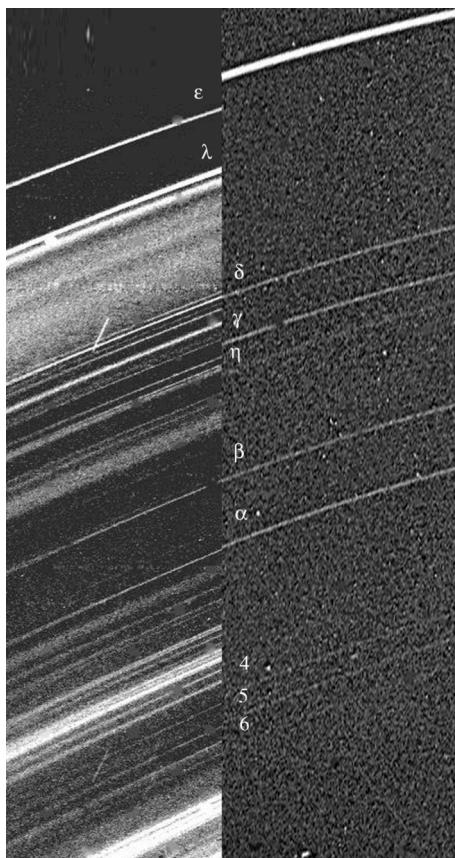
## 1.2 An Ice Giant Planetary System: Rings and Natural Satellites

Uranus has a rich planetary system of both dusty and dense narrow rings, and regular and irregular natural satellites. This unique example of a planetary system holds an important key to help us unravel the origin and evolution of the Solar System. Ground-based observations have found changes in the rings and satellites since the Voyager 2 flyby, indicating that fundamental instabilities in the coupled ring-moon system are of clear importance for understanding the evolution of planetary systems (de Pater et al., 2007). Figure 5 illustrates some of the main features of rings and natural satellites.

The study of the moons and rings of Uranus – in particular their composition and dynamical stability, their subsurface and deep interior structure, and their geological history and evolution and how that relates to their formation – are important parts of the Cosmic Vision goal for understanding the how the Solar System works. The possibility that Uranus' irregular satellites are captured Centaurs or comets can also contribute to understanding small primitive bodies and may provide lessons for our understanding of the origin of life in the Solar System, particularly since objects exposed to the solar wind are subjected to very different space weathering processes than those protected from the solar wind.

Little is known about the composition of the rings, partly because Voyager could not detect them in the near infrared, and understanding this composition would provide significant constraints on planetary evolution models. High spatial-resolution imaging of the narrow rings is needed to unravel the dynamics of their confinement and to confirm theories of self-maintenance and of shepherding by moons, which are relevant to other disk systems including protoplanetary disks. Also of interest are the rings' interaction with Uranus' extended exosphere and their accretion/disruption interplay with the nearby retinue of small moons. The ring system has also changed significantly since the Voyager flyby in ways we do not understand (Showalter and Lissauer, 2006) and new rings and satellite components have been discovered. These need to be characterised at close range in order to understand how their rapid evolution fits into various paradigms of Solar System evolution. Voyager's single high-phase image of the rings revealed a plethora of otherwise unknown dust structures (figure 6); more details of their structure and a first understanding of their evolution would be immeasurably valuable.

The five largest moons of Uranus (Miranda, Ariel, Umbriel, Titania, Oberon – see figure 7) are comparable in sizes and orbital configurations to the medium-sized moons of Saturn. They are, however, characterised by larger mean densities, about  $1.5 \text{ g cm}^{-3}$  on average, and by different insolation patterns, with their poles directed towards the Sun during solstice, owing to the large axial tilt of the planet. The moons are inside Uranus' magnetosphere; hence space weathering modifies surface properties and causes particle to be ejected from the surface. The observations performed during the flyby of Voyager 2 revealed surprising amounts of geological activity on these moons, possibly involving cryovolcanic processes. Miranda exhibits striking structural geology, despite its small size (472 km in diameter), with ridges and grooves that may be the result of internal differentiation processes (Janes and



*Figure 6: Composite image of the ring system in forward-scattered (left) and back-scattered (right) light. Credit: NASA/JPL.*



*Figure 7: Voyager 2 images of the largest natural satellites to scale. Credit: Paul Schenk.*

Melosh, 1988) or the surface expression of large-scale upwelling plumes (e.g. Pappalardo et al., 1997). Similar internal processes possibly occurred on Enceladus in the saturnian system, before its intense surface activity and cryovolcanic plumes developed. Observations of Miranda thus provide a unique opportunity to understand how small moons can become so active (Castillo-Rogez and Lunine 2012). Moreover, the convex floors of Ariel's graben may provide the only evidence for widespread cryovolcanism in the form of viscous extrusive cryolava flows (Croft and Soderblom, 1991; Schenk, 1991), a process that has been elusive in the Solar System, with only a few small examples documented elsewhere to date, for example, Sippa Sulcus on Ganymede (Schenk and Moore, 1995) and Sotra Patera on Titan (Lopes et al., 2013). However, only very limited observations were possible during

Voyager 2's brief encounter, at which time only the southern hemispheres of the satellites were illuminated. The diversity of the medium-sized icy satellites at Uranus demonstrates the complex and varied histories of this class of object.

### 1.2.1 What is the composition of the uranian rings?

The composition of the uranian rings is almost entirely unknown, as Voyager 2 did not carry an infrared spectrometer capable of detecting the rings. However, it is clear from their low albedo that at least the surfaces of the ring particles are very different from those in Saturn's rings, and must have a significant non-water-ice component. The particle-size distribution of Uranus' main rings is also mysterious, with a surprising lack of cm-size particles detected by the Voyager 2 radio occultation (French et al., 1991). The composition of outer Solar System bodies is known to be diverse, likely reflecting a diverse array of processes shaping their surfaces. A Uranus orbiter will enable high-resolution near-infrared observations of the rings and small moons which will constitute a significant advance in our understanding of the evolution of the uranian system and may shed light on that of the outer Solar System in general. Mapping the spatial variations of both composition and particle size will clarify phenomena such as pollution and material transport within the system. Stellar, solar and radio occultations will enable the determination of the ice-fraction and size distribution of ring particles. A dust detector can directly determine from *in-situ* measurements the number densities as well as the speed and size-distributions of dusty ring material. Moreover, a chemical analyzer subsystem can provide unique information on the composition of these grains, bearing the possibility to constrain isotopic ratios of the constituents (Briois et al., 2013). Because larger ring particles and the uranian satellites are the sources of the dust, dust measurements give direct information on the composition of these bodies.

### 1.2.2 How do dense rings behave dynamically?

The main rings are eccentric and inclined and generally bounded by sharp edges (see reviews by Elliot and Nicholson, 1984; French et al., 1991). Although theories exist regarding these characteristics, including resonant interactions, "shepherding" by nearby satellites, and self-maintenance, the mechanisms are far from understood. Our understanding of these mechanisms is highly relevant to other disc systems, including protoplanetary and debris discs. Existing data give preliminary hints that self-gravity wakes and spiral density waves, which are important diagnostics as well as driving phenomena in Saturn's rings (see, e.g., Cuzzi

et al., 2010), also exist in at least some parts of Uranus' rings, but much more detailed observation is needed to characterise them.

The rings of Uranus are the best natural laboratory for investigating the dynamics of dense narrow rings, an important complement to the dense broad disk exemplified by Saturn's rings, and diffusive rings at Jupiter and Neptune (Tiscareno, 2013). These observations will undoubtedly reveal many new structures and periodicities, and possibly new moons that play important roles in ring confinement. Rings can also shed light on the planet's gravitational and magnetic fields as well as the influx of interplanetary meteoroids (e.g., Hedman and Nicholson, in press). High-resolution images of the rings from a number of orbits and phase angles is needed in order to unravel their dynamics.

### 1.2.3 How do Uranus' dusty rings work?

The Cassini mission has taught us that dusty rings are shaped by solar radiation forces, which depend on particle properties (size, albedo, etc.), as well as by the gravitational influence of satellites. Thus, a study of the dynamical structure of dusty rings will unveil much about the particles' currently unknown material properties.

The post-Voyager discovery of the v ring is especially intriguing, as this dusty ring lies between the orbits of two closely-packed satellites, but does not itself have any apparent source (Showalter and Lissauer, 2006). It is quite possible that the v ring is the remains of a moon that was disrupted by a collision fairly recently. The innermost dusty  $\zeta$  ring appears to have moved several thousand km outward between the Voyager 2 flyby and recent Earth-based observations (de Pater et al., 2007), but this changing ring has not been studied closely. Finally, Voyager's single high-phase image of the rings revealed a plethora of otherwise unknown dust structures (Murray and Thompson, 1990). The bright bands and gaps in this dusty region are difficult to reconcile with conventional theories. High-resolution images of these dusty rings will allow us to determine their structure and evolution. Detailed observations may reveal one or more large source objects for this dusty region with possible evidence of accretion among them. In-situ detection with a dust detector, together with radio and plasma wave observations, allow a direct measurement of the local dust density. A dust detector can also provide information on the size-distribution and the distribution of orbital elements of the grains, as well as on their charging state, which might be key to understand the individual and collective dynamics of micron-sized particles.

### 1.2.4 How do the rings and inner satellites interact?

The inner moons of Uranus contain the most densely-packed known satellite system, with nine objects on orbits ranging from 59100 to 76400 km from the planet's centre. This densely-packed system appears to be subject to mutual collisions on timescales as short as  $\sim 10^6$  yr (Duncan and Lissauer, 1997; Showalter and Lissauer, 2006; French and Showalter, 2012), and several moons show measurable orbital changes within a decade or less, raising important questions regarding the origin, evolution, and long-term stability of the Uranus system. Lying immediately exterior to Uranus' main ring system, but outside the "Roche limit" so that collisional products are able to re-accrete into new moons, these uranian inner satellites both interact with the rings (as well as with each other) and comprise a parallel system, a natural laboratory in which the effects of collisional disruption and re-accretion can be studied. The moon Mab lies at the centre of the  $\mu$  ring, which shares with Saturn's E ring the unusual characteristic of a blue colour likely due to a preponderance of monodisperse small particles (de Pater et al., 2006). However, while Enceladus creates the E ring by means of a fine spray of water crystals escaping from geysers, Mab seems much too small ( $\sim 50$  km across) to plausibly sustain any internal activity; it is, however, important to note that the same was formerly said of Enceladus. Mab also exhibits large unexplained deviations in its orbit (Showalter et al., 2008). Close observations of the surface of Mab, as well as its orbit and its interaction with the  $\mu$  ring, are certain to yield significant discoveries on the evolution of coupled ring-satellite systems. Astrometric imaging of the uranian inner moons would significantly contribute to understanding this system, identifying resonant and chaotic interactions that can explain its current workings and past history.

### 1.2.5 What is the origin of the rings/satellite system?

The close packing of Uranus' small moons and its ring system has suggested that there could be a genetic link between the two. Colwell and Esposito (1993) have suggested that Uranus' rings may be the debris of moons destroyed by the meteroid bombardment over the age of the Solar System. The giant impact theory for Uranus' large obliquity also provides a mechanism for producing the rings from a disruption of the original satellite system (Coradini et al., 2010). More recently it has been suggested that tides themselves may destroy moons and create the rings (Leinhardt et al., 2012). These scenarios are similar to recent suggestions that Saturn's, Uranus' and Neptune's satellites systems may result from ring evolution (Crida and Charnoz 2012). These scenarios

would imply the existence of a cycle of material between rings and moons. Since Uranus' ring/moon system evolves on timescales as short as decades, *in situ* tracking of this evolution would be a formidable opportunity to study this cycle, which may be at work also for Neptune and Saturn, but on longer time-scales for these systems. By leading a comparative study of spectral characteristics of the rings and moons, we may unveil the origin of both the satellites and rings by inferring whether they are made of the same material or not.

### 1.2.6 What is the composition of the uranusian moons?

The albedos of the five major satellites of Uranus, varying between 0.21 and 0.39, are considerably lower than those of Saturn's moons (except Phoebe and the dark hemisphere of Iapetus). This reveals that water ice, which dominates their surfaces, is mixed in varying proportions to other non-ice, visually dark material.

Given the absence of a near infrared spectrometer in the payload of Voyager 2, no detailed information is available on the surface chemistry of the icy moons. Just to give a few examples, there is no indication about the chemistry of the structural provinces identified on the surfaces of Titania and Oberon, exhibiting different albedos and different crater density that reveal different ages. Similarly unknown is the nature of dark material (perhaps rich in organics) that fills the floors major impact craters on Oberon, as well as the composition of the annulus of bright material that is enclosed in the large crater Wunda on Umbriel. The chemical nature of the flows of viscous material observed on Ariel and Titania is also unknown, and we lack a certain indication of the presence of ammonia hydrate on the surface of Miranda, which has been suggested on the basis of telescopic observations (Bauer et al., 2002).

By using an imaging spectrometer in the near infrared range from 1  $\mu\text{m}$  to at least 5  $\mu\text{m}$ , it will be possible to unveil the surface composition of the moons by identifying and mapping various chemical species (with particular emphasis on non-water-ice materials, including volatiles and organics). This will ultimately enable an unprecedented correlation of surface composition with geologic units at various spatial scales. A spatially resolved chemical mapping will also help separating the relative contributions of endogenic subsurface chemistry and exogenic magnetosphere-driven radiolysis across the moons, and assess the role of processes that exchanged material between the surface and subsurface.

### 1.2.7 What is the origin of Uranus' moons and how have they evolved?

As in the jovian and saturnian systems, tidal and magnetospheric interactions are likely to have played key roles in the evolution of the uranian satellite system. For instance, intense tidal heating during sporadic passages through resonances is expected to have induced internal melting in some of the icy moons (Tittemore and Wisdom, 1990; Tittemore, 1990). One such tidally induced melting event may have triggered the geological activity that led to the late resurfacing of Ariel. The two largest moons, Titania and Oberon, with diameters exceeding 1500 km, might still harbour liquid water oceans between their outer ice shells and inner rocky cores, remnants of past melting events (Hussmann et al. 2006).

The surfaces of the five major satellites of Uranus exhibit extreme geologic diversity; however, understanding of their geologic evolution and tectonic processes has suffered greatly from incomplete Voyager image coverage (imaging restricted to the southern hemispheres) and only medium to low image resolutions (order of several kilometres per pixel, except for part of Miranda) which only allow characterization of the largest geologic units in the areas that could be imaged by Voyager (e.g., Croft and Soderblom, 1991). The crater size-frequency distributions of the five satellites, used as a tool for dating surface features and for constraining impactor origin, are known only for the southern hemispheres and crater sizes larger than a few kilometres (e.g. Plescia, 1987). There are also still large uncertainties in the bulk composition of the moons (e.g. Hussmann et al., 2006), which provide fundamental constraints on their origins.

High-resolution images of the satellite surfaces, which will provide key information on the ages and compositions of the surfaces and will constrain the dynamical and geologic histories that led to the observed diversity. For example, Miranda and Ariel exhibit evidence of significant endogenic geological activity. High-resolution surface mapping will enable us to determine the degree to which tectonic and cryovolcanic activity has occurred, permitting characterisation of the role played by tidal dissipation and understanding whether uranian moons have experienced internal activity similar to that at Enceladus. Mapping of the moons will help constrain the nature and timescale of this activity, and characterizing the environment in their vicinity may reveal outgassing if, as at Enceladus, activity is continuing. Collisional activity amongst the irregular satellites can produce contamination of the regular satellite surfaces with material from the irregular satellites via dust transport

(Schubert et al., 2010). High-resolution imagery might reveal evidence of such processes.

Accurate astrometric measurements can also be used to quantify the influence of tidal interactions in the system at present, providing fundamental constraints on the dissipation factor of Uranus (Lainey et al., 2008). Gravimetric and magnetic measurements, combined with global shape data, will greatly improve the models of the satellites' interiors, bringing fundamental constraints on their bulk composition (density) and evolution (mean moment of inertia). Understanding the composition (particularly the ice-to-rock ratio) and the internal structure of the natural satellites will also enable us to understand if Uranus' natural satellite system was the original population of bodies that formed around the planet, or if they were subsequently disrupted, potentially via a giant impact that might have produced Uranus' large obliquity (Coradini et al., 2010).

Crater statistics will be crucial in determining the satellites' geological histories as well as projectile flux in the outer Solar System. Near- and mid-infrared spectroscopy will enable us to understand the surface composition of the moons yielding further information on their origin and evolution. Occultations will enable us to probe any tenuous atmospheres that may be present and UV spectroscopy may then lead constraints on their chemistry, with implications for the subsurface. The dayside magnetopause lies at a distance of  $18 R_U$  and, therefore, the major moons are embedded within the magnetosphere. This implies that their water-ice surfaces are eroded by magnetospheric charged particles in addition to photons and micro-meteoroids. Measuring the properties of the charged particles that these moons can encounter and the energetic neutral particles released after the ions impact the surface will constrain the role of plasma bombardment on surface evolution. These data will constitute strong constraints to allow us to understand how satellite systems form and evolve around Ice Giants. The composition of the moons will represent an essential data point in understanding the nature and origins of organic and volatile material in the outer Solar System.

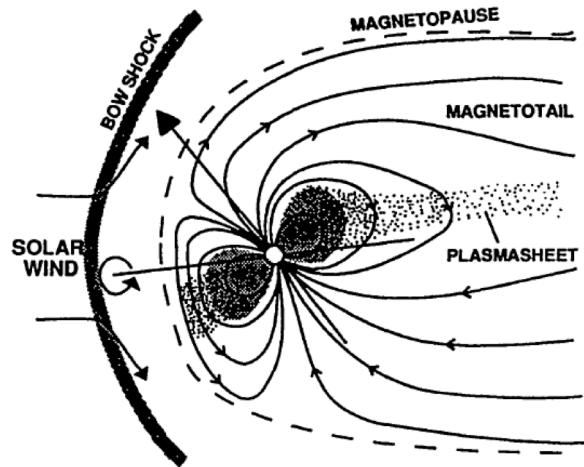
Recent models of icy satellite interiors suggest the larger uranian satellites, Titania and Oberon, may contain subsurface oceans (Hussmann et al., 2006) and Miranda may be subject to recent or even ongoing activity (Castillo-Rogez and Turtle 2012). The magnetic field induced in Europa's subsurface ocean was readily detectable by Galileo (e.g., Khurana et al., 1998) and any such signatures at Uranus are expected to be strong due to Uranus' asymmetrical field.

Remote observations of Uranus' irregular satellites can be used to search for potential genetic relationships with

the irregular satellites found in other giant planet systems and thus understand the evolution of Solar System minor bodies and giant planet natural satellites.

### 1.3 **Uranus' Aeronomy, Aurorae, and Highly Asymmetrical Magnetosphere**

The configuration of all the planetary magnetospheres in the Solar System is determined by the relative orientations of the planet's spin axis, its magnetic dipole axis, and the solar wind flow. In the general case, the angle between the magnetic dipole axis and the solar wind flow is a time-dependent quantity and varies on both diurnal and seasonal timescales. Uranus presents a particularly interesting and poorly understood case because this angle not only varies seasonally but because of Uranus' large obliquity the extent of diurnal oscillation varies with season. At solstice this angle does not vary with time and Uranus' magnetic dipole simply rotates around the solar wind flow. This is a magnetospheric configuration not found anywhere else in the Solar System. Figure 8 illustrates the configuration of Uranus' magnetosphere near equinox, as sampled by Voyager 2.



*Figure 8: Schematic of the uranian magnetosphere near equinox (Bagenal, 1992).*

Because of this unique extreme orientation, its magnetosphere is expected to vary from a pole-on to orthogonal configuration during a uranian year and to change from an “open” (connected to the solar wind) to a “closed” configuration during a uranian day. Such a rapidly reconfiguring magnetosphere with a highly asymmetric internal magnetic field (section 2.1.3) at its core provides a challenge for our theories of how magnetospheres work and will bring new insight in fundamental and universal magnetospheric processes. Uranus also presents a special case because of its distant location in the heliosphere where the properties of the

solar wind are very different to the near-Earth environment. This provides opportunities to investigate fundamental processes such as magnetic reconnection under a different parameter regime. Furthermore, in order to further our understanding of how life and the platforms for life exist in the wide variety of magnetic environments in the Universe it is vital that we make comprehensive measurements in the widest possible variety of environments. These aspects make a study of Uranus' magnetosphere a very important objective for understanding how the Solar System works and for achieving ESA's Cosmic Vision goals. These are not only relevant for the important question of understanding how asymmetric Ice Giant magnetospheres work, but are also highly relevant in providing "ground-truth" for understanding exoplanet magnetospheres.

Along with the planetary magnetic field, the ionosphere of Uranus is the internal core of the magnetosphere. Models indicate that Uranus' ionosphere is dominated by H<sup>+</sup> at higher altitudes and H<sub>3</sub><sup>+</sup> lower down (Capone et al., 1977; Chandler and Waite, 1986; Majeed et al., 2004), produced by either energetic particle precipitation or solar ultraviolet (UV) radiation. Recent analysis of observations of H<sub>3</sub><sup>+</sup> emissions from Uranus spanning almost 20 years (Melin et al., 2011), have revealed a phenomenon that is not seen at the other Gas Giants in our Solar System. The temperature is strongly correlated with season, such that at solstice, the upper atmosphere is more than 200 K hotter than at equinox. It seems likely that a key component of the required additional heating is driven by particle precipitation and/or the way in which varying magnetospheric configurations couple with the upper atmosphere.

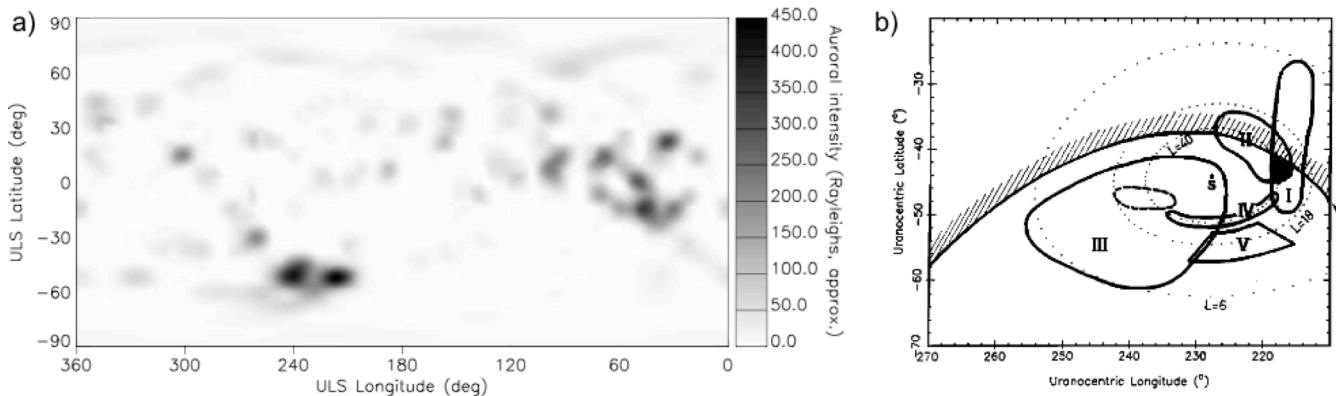
Auroral emissions are also generated at kilometric (radio) wavelengths (1-1000 kHz), which cannot be observed from Earth or distant observers. As at other planets, UKR is thought to be generated by the Cyclotron Maser Instability. However, UKR appears to be more complex than similar radio emissions at Earth, Saturn or Jupiter and only comparable to Neptune's ones. Understanding the circumstances under which these peculiar radio emissions are generated is of prime

importance for the ground-based radio detection of exoplanets with a magnetic field (essential to the development of life), particularly those with highly inclined magnetic axis with respect to the stellar flow.

### 1.3.1 What is the overall configuration of the uranian magnetosphere?

Our understanding of the uranian magnetosphere is currently essentially limited to data from the Voyager 2 flyby which provided a single snapshot where the angle of attack between the solar wind axis and the magnetic dipole axis varied between 68° and 52°, to some extent similar to the Earth's magnetosphere. However, the near alignment of the rotation axis with the planet-Sun line during solstice means that plasma motions produced by the rotation of the planet and by the solar wind were effectively decoupled (Vasyliunas, 1986). Therefore, in contrast with Jupiter and Saturn, solar wind plasma may be able to penetrate deep within the magnetosphere despite the planet being a fast oblique rotator. This may result in short residence times for magnetospheric plasma produced deep within the magnetosphere and may limit amount of plasma trapping inside the magnetosphere and consequently the amount of charged particle acceleration. Proton and electron radiation belts (with energies up to tens of MeV) albeit slightly less intense than those at Saturn were also observed in the inner magnetosphere of Uranus (Cheng et al., 1991) but their diurnal and seasonal variability is largely unknown.

The significant asymmetries in the magnetosphere results in large-scale diurnal reconfigurations of the system on timescales of hours resulted in a twisted magnetotail topology (Tóth et al., 2004). The main plasma sources, transport modes and loss processes in the uranian magnetosphere, and the modes of interaction (pick-up, sputtering, and charge exchange) between the magnetospheric plasma and the rings and moons of Uranus are also largely unknown. The configuration and dynamics of the uranian magnetosphere at equinox are entirely unknown and it is not clear if this will result in a fairly quiescent magnetosphere such as Neptune, or a more rotationally dominated magnetosphere like Jupiter or Saturn.



*Figure 9:  $H_2$  band emission map of Uranus' aurorae from Voyager 2 (Herbert, 2009) (left). Source regions for the most intense UKR component (Zarka and Lecacheux, 2007).*

### 1.3.2 What are the characteristics and origins of the uranian aurorae

Aurorae are the most striking diagnosis of the magnetosphere dynamics, as they can be traced back to the currents generated by the magnetospheric interactions. Several kinds of interactions have been characterised at Earth, Jupiter and Saturn, but the Uranus optical and radio aurorae, as they are known from Voyager 2 observations seem to indicate new kinds of interactions. The charged particles responsible for both optical and radio auroral emissions and their source regions are also unknown. A study of the uranian auroral regions can also lead to information on the thermosphere due to atmospheric sputtering produced by auroral particle precipitation. Such sputtered particles can be monitored by a neutral particle detector.

There has only been one spatially resolved observation of the UV aurora of Uranus (Herbert, 2009), using a mosaic of Voyager 2 UV observations mapping emission from H Lyman- $\alpha$  and EUV  $H_2$  band emission (Figure 9, left). The emission appeared patchy and was generally centred on the magnetic poles, with the emission being the brightest about midnight magnetic local time. There have been subsequent attempts to observe the aurora in both the FUV using the Hubble Space Telescope (HST) (Ballester et al., 1998) and in the IR using ground-based telescopes (e.g., Trafton et al., 1999). Uranus' aurorae was recently redetected in the UV using HST (Lamy et al., 2012) and revealed a radically different set of auroral processes controlled by the interaction between the magnetosphere and the solar wind, and raising important questions on the generation of planetary auroral emissions and possible secular drift of Uranus' intrinsic magnetic field.

The UKR components, which indicate different active regions in the magnetosphere, divide into two categories: (i) “bursty” (<10 min) emissions comparable

to that at Earth and Gas Giants, and (ii) “smooth emissions” time-stationary emissions (lasting for hours) that are specific to Ice Giants. These latter components require a continuous source of free energy that has not yet been identified and is apparently maintained in a highly variable magnetosphere (Figure 9, right). New radio observations with a modern instrumentation will provide wave properties that were inaccessible to Voyager 2, such as the wave direction and polarisation. Continuous remote observations of UKR and in situ measurements within their various source regions will provide essential information to understand the origin and characteristics of the variety of known uranian radio components and check for new ones.

Recent calculations show that new ground-based radio telescopes could detect radio emissions from hot Jupiters (Zarka et al., 2007). Unlike our Solar System, eccentric and complex orbital characteristics appear to be common in other planetary systems, so that the understanding of radio emission produced by Uranus could have profound importance in interpreting future radio detections of exoplanets.

### 1.3.3 How does magnetosphere-ionosphere-Solar Wind coupling work at Ice Giants?

The uranian magnetosphere interacts with a fast magnetosonic Mach number and high-beta solar wind, which is an important plasma regime in which to understand magnetic reconnection. Evidence of dynamics, similar to Earth-like substorm activity but possibly internally-driven, was also reported at Uranus by Mauk et al. (1987) which indicate that important energy sources need to be quantified, including the energy input from the solar wind. We do not know how the solar wind-magnetosphere interaction is interrupted and modulated by the diurnally changing geometry. Understanding how the aurorae of Uranus respond to changes in the solar wind is essential to understanding the Solar Wind interaction with giant planets more

generally. While these responses are well studied for the Earth the situation for the outer planets is less well understood, due to the lack of dedicated deep space solar wind monitors. Recent theoretical work (Cowley, in press) has argued for distinct differences in magnetotail processes between equinox and solstice thus providing a framework for the interpretation of new auroral images and demonstrating the need for new in situ measurements. The magnetosphere of Uranus was observed to be the site of intense plasma-wave activity with remarkably intense whistler mode emissions (Kurth et al., 1991). The role of wave-particle interactions for the magnetosphere-ionosphere coupling and the generation of Uranus' auroral emissions, as well as for the overall energy budget of the magnetosphere requires further consideration.

## 1.4 Cruise phase science in the outer heliosphere

A mission to Uranus naturally involves a relatively long duration interplanetary transfer. However, this presents an opportunity to undertake studies of the outer heliosphere, minor Solar System bodies, and fundamental gravitational physics.

### 1.4.1 Physics of the interplanetary medium

The structure of the heliosphere originates in the structure of the solar magnetic field and is strongly modified by the solar corona. There are a range of important questions on how this structure is further modified and processed in the heliosphere and goes on to modulate cosmic ray flux in the inner heliosphere, on the generation of turbulence, and how minor bodies interact with the heliosphere. One of the major issues of the physics of interplanetary medium is to understand the mechanisms of energy dissipation. Injected with large spatial scales by the Sun, the energy is transferred to smaller scales (ion/electron), where it is dissipated as heat. Measurements made by the Voyager probes have revealed variations of the exponents of the power law of certain parameters (eg, speed, magnetic field, density) with distance from the Sun, suggesting regime change in the process of energy transfer (Burlaga et al. 1997). Few observations of the heliospheric environment beyond 10 AU have been made since Pioneer 10 and 11, Voyagers 1 and 2, and New Horizons with very few observations made at solar maximum. Energetic particle observations during cruise will facilitate further study of the interaction between the outer heliosphere and interstellar medium, as carried out by Cassini at 9.5 AU and IBEX at 1 AU.

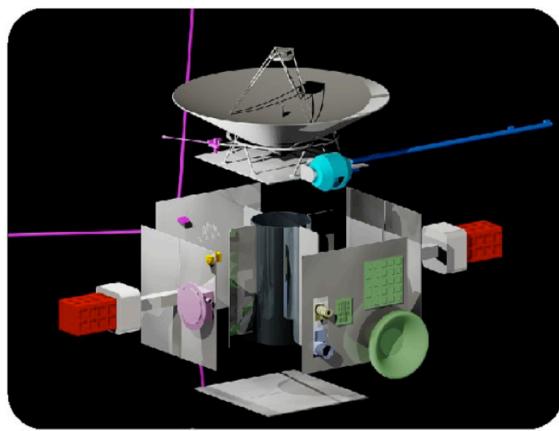
### 1.4.2 Fundamental physics and departures from General Relativity

General Relativity is in good agreement with most experimental tests of gravitation. But experimental tests leave open windows for deviations from this theory at short (Antoniadis et al., 2011) or long (Reynaud and Jaekel, 2005) distances. General Relativity is also challenged by observations at galactic and cosmic scales. The rotation curves of galaxies and the relation between redshifts and luminosities of supernovae deviate from the predictions of the theory. These anomalies are interpreted as revealing the presence of so-called “dark matter” and “dark energy”. Their nature remains unknown and, despite their prevalence in the energy content, they have not been detected up to now by other means than gravitational measurements.

Given the immense challenge posed by these large scale observations, in a context dominated by the quest for the nature of dark matter and dark energy, it is important to explore every possible explanation including the hypothesis that General Relativity is not the correct description of gravity at large scales (Aguirre et al., 2001; Nojiri and Odintsov, 2007). Testing gravity at the largest scales reachable by therefore essential to bridge the gap between experiments in the Solar System and astrophysical or cosmological observations (Turyshev, 2008). Combining radio-science and acceleration measurements not only improves the precision and quality of spacecraft navigation but also allows us to remove, as fully as possible, the systematic effects of non-gravitational forces acting on the spacecraft (Iafolla et al., 2010). These scientific goals are intimately connected since gravitation is directly connected to planetary ephemeris (Fienga et al., 2010) as well as to the origins of the solar system (Blanc et al., 2005).

## 2 Strawman Mission Concept

The primary trade space in mission options is between an orbiter and a flyby mission. Some goals can be partially satisfied with a flyby mission but to full answer the questions laid out in section 1 requires an orbiting platform to make repeated observations of Uranus and its planetary system. There exists an additional trade space between enhanced remote sensing instrumentation and an entry probe. But some science questions (1.1.1/1.1.5/ 1.1.6) can only be answered with an atmospheric entry probe to a >5 bar depth. For the purposes of this Uranus white paper the outline mission concept consists of an orbiter in a polar science orbit with an atmospheric entry probe.



*Figure 10: Illustration of the Uranus Pathfinder spacecraft. Credit: P. Dunn/C.S. Arridge.*

Key items in the mission concept summary are presented in the table in the executive summary, and various items discussed in detail below, including critical items and technology development requirements. Figure 10 illustrates the strawman configuration for Uranus Pathfinder spacecraft as proposed for ESA M3 call in 2010 (Arridge et al., 2012) and which is a reasonable strawman concept for an L-class Uranus orbiter. The adoption of an L-class design over an M-class mission permits the inclusion of an atmospheric entry probe, an expanded suite of instruments, and additional fuel which greatly increases the science return. Table 2 illustrates the strawman instrument suite, composed of high TRL instruments with excellent European heritage.

## 2.1 Interplanetary transfers and orbital entry

Interplanetary transfers to Uranus have been studied in a number of mission analyses (Arridge et al., 2012; Hubbard et al., 2010) and demonstrate the feasibility of a mission to Uranus with current technology and including an interplanetary transfer between 10 and 16 years. The range of acceptable periapsis latitudes and radial distances at Uranus orbit insertion are limited due to the largely unknown ring plane hazards. This can be mitigated with a high latitude periapsis and orbit insertion manoeuvre followed by a ring plane crossing beyond 52000 km, inside of which are the main ring plane hazards. Although aerocapture is a natural technology to use at orbit insertion, the atmosphere of Uranus is poorly understood and aerocapture is low TRL technology, thus representing a high risk option.

Uranus' large obliquity naturally results in a polar science orbit which is ideal for studies of Uranus' interior, atmosphere and magnetic field that are required to meet the goals in section 1.

## 2.2 Atmospheric entry probe

An atmospheric entry probe for Uranus has been studied by the ESA Concurrent Design Facility, which led to a 312 kg entry probe (including 20% system margin) using a dedicated carrier platform. The mission concept we outline would involve using the Uranus orbiter as a carrier and communications relay. The instrumentation for such an entry probe is all available within Europe and is high TRL. The key technology development requirement is the thermal protection system for the entry probe.

## 2.3 Critical issues

### 2.3.1 Electrical Power

The key technology development requirement for a mission to Uranus is the provision of sufficient electrical power at 19.2 AU. Scaling ESA's Rosetta mission solar arrays out to Uranus we estimate that providing 400 W<sub>e</sub> at Uranus would require 800 m<sup>2</sup> solar arrays producing system level issues associated with a large launch mass and spacecraft moment of inertia. At present a nuclear (radioisotope) power source (RPS) is the only viable alternative. <sup>241</sup>Am is the isotope that has been selected for ESA RPS devices that are currently in the developmental stage (see Arridge et al. (2012) for a discussion of issues relating to the use of <sup>241</sup>Am). To provide target electrical power of 400 W<sub>e</sub> at Uranus after 14 years flight time would require a total RPS system mass of 200 kg based on an RTG design (with a specific power of 2.0 W<sub>e</sub>/kg, compared with 2.3 W<sub>e</sub>/kg for a NASA MMRTG using <sup>238</sup>Pu). Although the development of such technology presents a schedule and cost risk, this is currently under development via ESA contracts and should be available and high TRL before the proposed L2/L3 launch windows.

### 2.3.2 Thermal control

Thermal control is an important driver for every mission. Extreme differences in thermal environment between the inner heliosphere and Uranus, and due to the continuous supply of thermal energy from RPS units present the most important issues. Such thermal control issues can be adequately managed by modifying existing designs from Rosetta and Mars/Venus Express. We have studied thermal control for a Uranus mission using ThermXL, based on a spacecraft of a similar size to Mars Express and including heat dissipation from the RPS, and estimate that electrical heaters consuming around 50 W will be sufficient to maintain an internal spacecraft temperature of -30° against losses to space. Waste electrical power from the RPS can be dissipated via externally- or internally-mounted shunt resistors and spot heating might be provided by radioactive heating

Instrument	Heritage
<b>Orbiter</b>	
Magnetometer	Cassini/MAG Solar Orbiter
Plasma and Particle Package	Rosetta/RPC-IES New Horizons/PEPPSI
Radio and Plasma Wave Experiment	Cassini/RPWS Bepi-Colombo/MMO/PWI
Microwave radiometer	Juno/MWR
Thermal Infrared Bolometer	LRO/Diviner BepiColombo (detectors)
Visual and Near-Infrared Mapping Spectrometer	New Horizons/RALPH Rosetta/VIRTIS Dawn/VIR
Ultraviolet Imaging Spectrometer	BepiColombo/PHEBUS Mars Express/SPICAM-UV
Visible Camera	Mars Express/SRC New Horizons/LORRI
Radio Science Experiment	Venus Express/VeRa Rosetta/RSI
Accelerometer	CHAMP/STAR
Dust detector	Cassini/CDA
<b>Probe</b>	
Mass spectrometer	Huygens/GCMS Galileo/GPMS
Nephelometer	Galileo/NEP
Radio science	Huygens/DWE
Accelerometer	Huygens/HASI

Table 2: Strawman scientific payload.

units based on  $^{241}\text{Am}$ , thus lessening the demands for electrical heating.

### 2.3.3 Telemetry rates

To answer the questions in section 1 requires significant volumes of data to be returned over  $\lesssim 20.9$  AU. Downlink transmissions over Ka-band to ESA’s Cebreros station, using a 4m (3m) high gain antenna on an orbiter with a pointing accuracy of  $0.05^\circ$  (comparable to the Cassini orbiter) will achieve a downlink rate of 5.6 (3.6) kbps, equivalent to 160 (100) Mbit per 8 hour downlink. These data volumes should be sufficient to achieve the essential science goals.

### 2.3.4 Long cruise phase duration

To reduce cruise phase costs a Uranus mission can employ hibernation modes (similar to those used on New Horizons and Rosetta) to minimise operations costs and deep space antenna usage. A cruise phase science programme, as outlined in section 1, will periodically enable the platform and science instruments to be utilised and tested. In addition, special hibernation modes would permit some instruments to collect low-

rate cruise phase science data. The use of high TRL technology and minimising the cruise phase operations will reduce demands on spacecraft platform components, will reduce the mission cost-at-completion, and lessen demands on the electrical power system.

## 2.4 International cooperation

Such a large and significant interplanetary mission would naturally benefit from collaboration with other space agencies. The List of Supporters shows broad support within NASA and JAXA, and within Europe. Uranus has been named a priority by NASA as recommended by the NRC Planetary Decadal Survey. In the context of international cooperation, a partner agency may provide an atmospheric entry probe, provide instruments for the orbiter/entry probe thus lessening the demand on ESA member states, or may provide a launch vehicle.

## 3 Acronyms

AOCS	Attitude and Orbit Control System
FUV	Far Ultraviolet
EUV	Extreme Ultraviolet
HGA	High Gain Antenna
HST	Hubble Space Telescope
IBEX	Interstellar Boundaries Explorer
IR	Infrared
ISO	Infrared Space Observatory
MMRTG	Multimission Radioisotope Thermoelectric Generator
NRC	National Research Council
RPS	Radioisotope Power Source
RTG	Radioisotope Thermoelectric Generator
TRL	Technology Readiness Level
UKR	Uranian Kilometric Radiation
UV	Ultraviolet
VLA	Very Large Array
VLT	Very Large Telescope

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