

# 1. ELEMENTARY NUMBER-THEORETIC NOTIONS

**Definition.** if  $a = dq$  then  $d|a$ , i.e.  $d$  divides  $a$ .

**Lemma 1.** If  $d|a$  and  $d|b$  then  $d|(ax + by)$ .

*Proof.*  $a = dk$  and  $b = dk'$  then  $s = ax + by = d(kx + k'y)$ . □

**Definition.** The **greatest common divisor**  $\gcd(a, b)$  of two integers  $a, b$  is such that  $\gcd(a, b)|a$  and  $\gcd(a, b)|b$  and if  $d$  divides both  $a, b$  then  $\gcd(a, b) \leq d$ .

**Lemma 2.**  $\gcd(a, ka) = |a|$ .

*Proof.* Clearly  $\gcd(a, ka)$  divides both  $a$  and  $ka$ . No larger  $d$  could divide both since  $\gcd(a, ka)$  is the largest  $d$  that divides  $a$ . □

**Theorem 3.** *Division theorem.* For any integer  $a$  and any positive integer  $n$ , there exist unique integers  $q, r$  such that  $0 \leq r < n$  and  $a = qn + r$ .  $q = \lfloor a/n \rfloor$  is the **quotient** and  $r = a \bmod n$  is the **residue**.

**Theorem 4.**  $\gcd(a, b)$  is the smallest positive element of the set  $\{s | s = ax + by, (x, y) \in \mathbb{Z} \times \mathbb{Z}\}$ .

*Proof.* Let  $s = ax + by$ , for some  $x, y$ , be the minimum element and  $q = \lfloor a/s \rfloor$ . Then by the division theorem

$$\begin{aligned} a \bmod s &= a - qs \\ &= a - q(ax + by) \\ &= a(1 - qx) + b(-qy) \end{aligned}$$

and hence  $a \bmod s$  is a linear combination of  $a, b$ . But  $a \bmod s < s$  and so  $a \bmod s = 0$ . Similarly  $b \bmod s = 0$  and so  $s|a$  and  $s|b$  and by definition  $\gcd(a, b) \geq s$ . But  $\gcd(a, b)|(ax + by) = s$  and so  $\gcd(a, b) \leq s$ . So  $\gcd(a, b) = s$ . □

**Corollary 5.** If  $d|a$  and  $d|b$  then  $d|\gcd(a, b)$ .

*Proof.* Since  $\gcd(a, b)$  is linear a combination  $d$  divides it. □

**Corollary 6.**  $\gcd(na, nb) = n \gcd(a, b)$ .

*Proof.*  $\gcd(na, nb)$  is the smallest positive element of  $\{s | s = anx + bny, (x, y) \in \mathbb{Z} \times \mathbb{Z}\}$  which is  $n$  times the smallest element of  $\{s | s = ax + by, (x, y) \in \mathbb{Z} \times \mathbb{Z}\}$ . □

**Corollary 7.** If  $n|ab$  and  $\gcd(a, n) = 1$ , then  $n|b$ .

*Proof.* Intuitively there's nothing else for  $n$  to divide.  $1 = ax + ny$  and  $ab = nk$  implies  $b = abx + ny = n(kx + y)$ . □

**Definition.** If  $\gcd(a, b) = 1$  then  $a, b$  are **relatively prime**.

**Theorem 8.** If  $\gcd(a, p) = \gcd(b, p) = 1$  then  $\gcd(ab, p) = 1$ .

*Proof.* Since  $ax + py = bx' + py' = 1$  we have that

$$ab(xx') + p(ybx' + y'ax + pyy') = 1$$

□

**Definition.** Integers  $n_1, n_2, \dots, n_k$  are **pairwise relatively prime** if  $\gcd(n_i, n_j) = 1$  for all  $i \neq j$ .

**Theorem 9.** For all primes  $p$  and integers  $a, b$  if  $p|ab$  then either  $p|a$  or  $p|b$  or both.

*Proof.* Towards a contradiction suppose  $p \nmid a$  and  $p \nmid b$ . Then  $\gcd(a, p) = \gcd(b, p) = 1$  since the only divisors of  $p$  are  $p$  and  $1$ . Then by above  $\gcd(ab, p) = 1$ , which contradicts  $p|ab$  (which implies that  $\gcd(ab, p) = p$ ).  $\square$

**Theorem.** Unique factorization. For any integer  $a$

$$a = p_1^{e_1} p_2^{e_2} \cdots p_r^{e_r}$$

such that  $p_1 < p_2 < \cdots < p_r$  and  $e_i > 0$  is unique a factorization of  $a$ .

**Definition.**  $[a]_n = \{a + kn : k \in \mathbb{Z}\}$  is the **equivalence class** or **residue class** of  $a$  module  $n$ . Equivalently  $a \equiv b \pmod{n}$ .

**Definition.**  $\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z} = \{[a]_n \mid 0 \leq a \leq n-1\}$  is the cyclic group of order  $n$ .

### 1.1. Exercises.

**Exercise 10.** If  $0 < b < a$  and  $c = a + b$  the  $c \pmod a = b$ .

*Proof.*  $c \pmod a = (a + b) \pmod a = a \pmod a + b \pmod a = b$  since  $b < a$ .  $\square$

**Exercise 11.** Infinitude of primes.

*Proof.* Pick your finite number of primes  $p_1, p_2, \dots, p_k$ . Then  $p = p_1 p_2 \cdots p_k + 1$  is not divisible by any of them. Why? For each  $p_i$  it's the case that  $p = qp_i + 1$  and so  $p - qp_i = 1$  and therefore  $\gcd(p, p_i) = 1$ . So either  $p$  is a prime distinct from  $p_i$  or  $p$  is composite but not divisible any of the  $p_i$  and hence has a prime factor distinct from any of the  $p_i$ .  $\square$

**Exercise 12.**  $|$  is transitive.

*Proof.* If  $a|b$  then  $b = ka$ . If  $b|c$  then  $c = k'b$  and so  $c = kk'a$ .  $\square$

**Exercise 13.** If  $p$  is prime and  $0 < k < p$  then  $\gcd(k, p) = 1$ .

*Proof.* Ummm? Since  $p$  is prime (i.e. no factors)  $\gcd(k, p)$  could only be  $p$  or  $1$ . But simultaneously  $\gcd(k, p) \leq k < p$ . Hence  $\gcd(k, p) = 1$ .  $\square$

**Exercise 14.** If  $0 < k < p$  then  $p \mid \binom{p}{k}$ . Corollary  $(a + b)^p \equiv a^p + b^p \pmod p$ .

*Proof.* I don't understand this?

$$\binom{p}{k} = \frac{p!}{k!(p-k)!}$$

so clearly  $p \mid \binom{p}{k}$  since  $p|p! ???$  I guess if  $p$  weren't smaller than one of the factors in the denominator could cancel the  $p$  but since  $p$  is prime that's not possible. Finally since

$$(a + b)^p = \sum_{k=0}^p \binom{p}{k} a^{p-k} b^k$$

and so the only terms which aren't a multiple of  $p$  are  $a^{p-0}b^0$  and  $a^{p-p}b^p$ . Hence

$$\begin{aligned} (a + b)^p \pmod p &= \sum_{k=0}^p \binom{p}{k} a^{p-k} b^k \pmod p \\ &= a^p + b^p \pmod p \end{aligned}$$

□

**Exercise 15.** If  $a, b > 0$  and  $a|b$  then for any integer  $x$   $(x \bmod b) \bmod a = x \bmod a$ . Also  $x \equiv y \pmod{b}$  implies  $x \equiv y \pmod{a}$  for any integers  $x, y$ .

*Proof.* Firstly  $a|b$  implies  $b = ak$

$$\begin{aligned} x &= \lfloor x/b \rfloor b + x \bmod b \\ &= (k \lfloor x/b \rfloor) a + x \bmod b \end{aligned}$$

So  $x \bmod b = x - (k \lfloor x/b \rfloor) a$ . Then

$$(x - (k \lfloor x/b \rfloor) a) \bmod a = x \bmod a$$

If  $x \equiv y \pmod{b}$  then  $x - y \equiv 0 \pmod{b}$  which implies  $x - y = qb$ , but then  $x - y = qka$  and so  $x \equiv y \pmod{a}$ . □

**Exercise 16.** Show how to determine whether a given  $\beta$ -bit integer  $n$  is a nontrivial power of some number in time polynomial in  $\beta$ .

Note that  $a \geq 2$  since  $1^k = 1$  for all  $k$ . Also note that  $n$  is a nontrivial power than  $k$  is at most  $\lfloor \log n \rfloor$  since  $a^{\lfloor \log n \rfloor + 1} > n$  for all  $a \geq 2$ . To determine if  $n$  is a nontrivial power compute all  $\lfloor \log n \rfloor = O(\beta)$  roots using binary search, which can be run in  $O(\beta)$  time, and hence totally in time  $O(\beta^2)$ .

Use binary search we guess an initial value of for the  $m$ th root  $a = 2^{\lfloor \log n \rfloor / m}$  (i.e. we guess that  $n$  is a power of 2). If  $a^m = n$  then we're done. Otherwise we bisect depending on  $a^m > n$  or  $a^m < n$ . This will end after  $\lfloor \log n \rfloor$  iterations.

**Exercise 17.** Show that gcd is associative, i.e.

$$\gcd(a, \gcd(b, c)) = \gcd(\gcd(a, b), c)$$

*Proof.* First

$$\begin{aligned} \gcd(a, \gcd(b, c)) &= ax + \gcd(b, c)y \\ &= ax + (bx' + cy')y \\ \gcd(\gcd(a, b), c) &= \gcd(b, c)x'' + cy'' \\ &= (bx''' + y''')x'' + cy'' \end{aligned}$$

By minimality they're equal. □

Prove unique factorization.

*Proof.* Existence: assume it's from all numbers 1 to  $n - 1$ . If  $n$  is prime then we're done. Otherwise  $n = ab$  where  $a < n$  and  $b < n$  with  $a = p_1^{e_1} p_2^{e_2} \cdots p_m^{e_m}$  and  $b = q_1^{f_1} q_2^{f_2} \cdots q_n^{f_n}$  and so  $n = p_1^{e_1} p_2^{e_2} \cdots p_m^{e_m} q_1^{f_1} q_2^{f_2} \cdots q_n^{f_n}$ . Uniqueness: suppose

$$a = p_1^{e_1} p_2^{e_2} \cdots p_m^{e_m}$$

and

$$a = q_1^{f_1} q_2^{f_2} \cdots q_n^{f_n}$$

Then

$$\frac{a}{p_1^{e_1}} = p_2^{e_2} \cdots p_m^{e_m}$$

So  $p_1^{e_1} \mid a$ . By Euclid's lemma<sup>1</sup>  $p_1^{e_1}$  divides one  $q_i^{f_i}$ . Reliable such that  $p_1^{e_1}$  divides one  $q_1^{f_1}$ . But  $q_1^{f_1}$  is a power of a prime and only powers of  $q_1$  divide it. Therefore  $p_1 = q_1$ . Reasoning the same way

$$\frac{a}{p_1^{e_1} p_2^{e_2}} = p_3^{e_3} \cdots p_m^{e_m}$$

and on we get that  $p_i = q_i$ . This shows that  $m \leq n$  and  $p_i = q_i$  for all  $i \leq m$ . Reasoning in reverse shows that the same for  $n \leq m$ .  $\square$

## 2. GREATEST COMMON DIVISOR

**Theorem 18.** *GCD Recursion.*

*For any nonnegative integer  $a$  and any positive integer  $b$  such that  $b < |a|$*

$$\gcd(a, b) = \gcd(a \bmod b, b)$$

To understand this intuitively think about a divisor of  $a$  and  $b$  as a way of cutting up both (same size cuts) so that after some number of cuts (different for each) none of either is left. Suppose  $k = \gcd(a, b)$ . Then for some  $q$  it's the case that  $a = qk$ . Similarly  $b = rk$ , with  $r < q$ . Now  $a - b = (q - r)k$  but still a multiple of  $k$  (duh any divisor divides any linear combination of  $a, b$ ). But if  $r \ll q$  then we could write  $a - mb = (q - mr)k$  for some  $m$ . So  $a \bmod b$  is just  $a - mb$  for largest  $m$  such that  $q - mr > 0$ .

The Extended Euclid's algorithm code works because if  $d = \gcd(a, b) = ax + by$  then

$$\begin{aligned} d &= \gcd(a, b) \\ &= ax + by \\ &= \gcd(b, a \bmod b) \\ &= bx' + (a \bmod b) y' \\ &= bx' + (a - b \lfloor a/b \rfloor) y' \\ &= ay' + b(x' - \lfloor a/b \rfloor y') \end{aligned}$$

and so for the equality to hold it has to be the case that  $x = y'$  and  $y = x' - \lfloor a/b \rfloor y'$ .

For Iterative Extended Euclid let

$$\begin{aligned} x_0, x_1 &= 1, 0 \\ y_0, y_1 &= 0, 1 \end{aligned}$$

and  $r_0 = a, r_1 = b, r_i = a_i \bmod b_i$ . Note that

$$\begin{aligned} a &= q_1 b + r_2 \\ r_2 &= a - q_1 b \\ b &= q_2 r_2 + r_3 \\ r_3 &= b - q_2 r_2 \end{aligned}$$

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<sup>1</sup>If  $p \mid ab$  then  $p \mid a$  or  $p \mid b$ .

So

$$\begin{aligned} r_{i+1} &= r_{i-1} - q_i r_i \\ &\iff \\ a_{i+1} \bmod b_{i+1} &= a_{i-1} \bmod b_{i-1} - \left\lfloor \frac{a_i}{b_i} \right\rfloor \cdot (a_i \bmod b_i) \end{aligned}$$

Then

$$\begin{aligned} ax_0 + by_0 &= a = r_0 \\ ax_1 + by_1 &= b = r_1 \end{aligned}$$

So  $ax_0 + by_0 = \gcd(a, b)$  for the base case(s). Then by induction

$$\begin{aligned} r_{i+1} &= r_{i-1} - q_i r_i \\ &= (ax_{i-1} + by_{i-1}) - q_i (ax_i + by_i) \\ &= (ax_{i-1} - aq_i x_i) + (by_{i-1} - bq_i y_i) \\ &= a(x_{i-1} - q_i x_i) + b(y_{i-1} - q_i y_i) \end{aligned}$$

So letting  $x_{i+1} = x_{i-1} - q_i x_i$  and  $y_{i+1} = y_{i-1} - q_i y_i$  we get that when the algorithm terminates at step  $k$

$$\gcd(a, b) = r_k = a(x_{k-1} - q_k x_k) + b(y_{k-1} - q_k y_k)$$

**Exercise 19.** Prove  $a = p_1^{e_1} p_2^{e_2} \cdots p_m^{e_m}$  and  $b = p_1^{f_1} p_2^{f_2} \cdots p_m^{f_m}$  implies

$$\gcd(a, b) = p_1^{e_1 \wedge f_1} p_2^{e_2 \wedge f_2} \cdots p_m^{e_m \wedge f_m}$$

*Proof.* Well duh? Suppose for some of  $j$  it's the case that  $e_j < q_j < f_j$  and  $p_j^{q_j}$  is a factor of  $\gcd(a, b)$ . Well clearly for whichever of  $a, b$   $q_j$  is greater power than  $p_j$ 's power in  $a, b$  won't be divisible by  $\gcd(a, b)$ .  $\square$

Prove that for all integers  $a, b, k$

$$\gcd(a, b) = \gcd(a + kb, b)$$

*Proof.* Duh.  $a + kb \bmod b = a \bmod b$  so

$$\gcd(a + kb, b) = \gcd(a \bmod b, b) = \gcd(a, b)$$

$\square$

Show how to find integers  $x_0, x_1, \dots, x_n$  such that

$$\gcd(a_0, a_1, \dots, a_n) = a_0 x_0 + \cdots + a_n x_n$$

*Proof.* By way of example:

$$\begin{aligned} \gcd(32, 20) &= 4 = 2 \cdot 32 - 3 \cdot 20 \\ \gcd(10, 4) &= 2 = 10 - 2 \cdot 4 = 10 - 2 \cdot (2 \cdot 32 - 3 \cdot 20) \\ &= 10 - 4 \cdot 32 + 6 \cdot 20 \end{aligned}$$

$\square$

### 3. GROUPS

**Definition 20.** A group  $G = (S, \oplus)$  is a set with a binary operation such that

- (1) For  $a, b \in S$  it's the case that  $a \oplus b \in S$ .
- (2) There exists an identity  $e$  such that  $e \oplus a = a \oplus e = a$  for all  $a \in S$ .
- (3)  $\oplus$  is associative.
- (4) There exist inverses, i.e. for all  $a$  there exists  $b$  such that  $a \oplus b = b \oplus a = e$ .  
Typically written as  $-a$  or  $a^{-1}$ .

If the operation is commutative then the group is called an *abelian* group. If  $|S| < \infty$  then the group is a *finite* group.

**Example 21.**  $(\mathbb{Z}_n, +)$  is the integers modulo  $n$  with addition modulo  $n$  as the operation.

**Example 22.**  $(\mathbb{Z}_n^*, \times)$  is the integers modulo  $n$  which are also co-prime to  $n$  (so that each one has a unique inverse [gcd]) with multiplication modulo  $n$  as the operation.  $\mathbb{Z}_n^*$  is well-defined since  $a \equiv a + kn \pmod{n}$  and by exercise something  $\gcd(a, n) = 1$  implies  $\gcd(a + kn, n) = 1$ . Since  $[a]_n = \{a + kn : k \in \mathbb{Z}\}$ ,  $\mathbb{Z}_n^*$  is well-defined.

**Example 23.** You can use ExtendedGCD to compute inverses. Suppose  $\mathbb{Z}_{11}^*$  and we want the inverse of 5. Then  $\text{ExtendedGCD}(5, 11) = 1 = 5 \cdot (-2) + 11 \cdot 1$  so  $5 \cdot 2 \pmod{11} = 1$ .

$\phi(n)$  is Euler's phi function and it counts the number of numbers less than  $n$  and co-prime to  $n$ . Analytically for  $p$  prime

$$\phi(n) = n \left( \prod_{p|n} \left( 1 - \frac{1}{p} \right) \right)$$

Why does this work? Take for example 45 with prime factors 3 and 5. How many multiples of 3 are there in  $0, 1, \dots, 45 - 1$ ? Well  $45/3 = 15$  duh. Therefore

$$45 \left( 1 - \frac{1}{3} \right) = 45 - \frac{45}{3} = 30$$

are not divisible by 3. How many of the rest are divisible by 5? Those are just the numbers in  $0, 1, \dots, 44$  divisible by 5 but not divisible by 15 (because those have already been taken out) i.e.

$$30 - \frac{45}{5} + \frac{45}{15} = 30 - 9 + 3 = 24$$

But

$$\begin{aligned} 45 \left( 1 - \frac{1}{3} \right) - \frac{45}{5} + \frac{45}{15} &= 45 \left( 1 - \frac{1}{3} \right) - \frac{45}{5} + \frac{45}{15} \\ &= 45 \left( \left( \frac{15}{15} - \frac{5}{15} \right) - \frac{3}{15} + \frac{1}{15} \right) \\ &= 45 \left( \frac{8}{15} \right) \\ &= 45 \left( \frac{2}{3} \right) \left( \frac{4}{5} \right) \\ &= 45 \left( 1 - \frac{1}{3} \right) \left( 1 - \frac{1}{5} \right) \end{aligned}$$

Another way to look at it is to expand  $45 \left(1 - \frac{1}{3}\right) \left(1 - \frac{1}{5}\right)$

$$45 \left(1 - \frac{1}{3}\right) \left(1 - \frac{1}{5}\right) = 45 \left(1 - \frac{1}{3} - \frac{1}{5} + \frac{1}{15}\right)$$

The first subtraction removes multiples of 3, the second removes multiples of 5, the addition puts back double counts. Inductively generalizing is clear.

**Definition 24.** A subset  $S' \subset S$  is a *subgroup* if  $S'$  is also a group.

**Theorem 25.** If  $S' \subset S$  of a finite group. is nonempty and closed then  $S'$  is a subgroup.

*Proof.* Associativity of the operation on  $S'$  is inherited from associativity of the operation on  $S$ . Remains to prove identity and inverses. Since  $S$  is nonempty take  $x \in S$  and add it to itself over and over again, i.e. compute  $nx$  for  $n \in \mathbb{N}$ . Eventually there will be a repeat (otherwise  $S'$  would have cardinality equal to  $\mathbb{N}$ ). Let  $m, m'$  be distinct such that  $mx = m'x$ . Then  $|m - m'|x = 0 \in S$ . Then to prove inverses compute  $nx$  until  $n'x = 0$ . Then  $x + (n' - 1)x = 0$  and so  $(n' - 1)x$  is the inverse of  $x$ .  $\square$

*Lagrange's theorem: the order of a subgroup divides the order of the group*

*Proof.* Cosets equivalence relation partition the set.  $\square$

**Corollary 26.** If  $S' < S$  then  $|S'| \leq |S|/2$ .

*Proof.* 2 is the smallest divisor.  $\square$

**Definition 27.** The subgroup generated by an element  $a$  is  $\langle a \rangle = \{a^k : k \geq 1\}$ .

**Definition 28.** The order of the subgroup is the minimal  $t$  such that  $a^t = 1$ .

**Theorem 29.** The order of an element  $a$  is equal to the order of  $\langle a \rangle$ .

**Corollary 30.**  $a^1, a^2, \dots$  is periodic with period  $t = \text{ord}(a)$ .

**Corollary 31.**  $a^{|S|} = e$

*Proof.* By Lagrange's theorem the order of  $\langle a \rangle$  divides  $|S|$ .  $\square$

**Exercise 32.** If  $p$  is prime and  $e > 0$  then

$$\phi(p^e) = p^{e-1}(p-1)$$

*Proof.* Duh

$$p^{e-1}(p-1) = p^e - p^{e-1} = p^e \left(1 - \frac{1}{p}\right)$$

$\square$

Show that for any  $n > 1$  and  $a \in \mathbb{Z}_n^*$ ,  $f_a(x) = ax \pmod n$  is a permutation of  $\mathbb{Z}_n^*$ .

*Proof.* Coset map. Hinges on inverses.  $\square$

## 4. SOLVING MODULAR LINEAR EQUATIONS

The equation  $ax \equiv b \pmod n$  has a solution iff  $b \in \langle a \rangle$ .

**Theorem 33.** For positive integers  $a, n$  if  $d = \gcd(a, n)$  then

$$\langle a \rangle = \langle d \rangle = \{0, d, 2d, \dots, ((n/d) - 1)d\}$$

*Proof.* Firstly  $\langle d \rangle \subset \langle a \rangle$ : by Euclid's algorithm

$$ax + ny = d = \gcd(a, n)$$

so  $ax \equiv d \pmod n$  ( $d < n$ ). So  $d \in \langle a \rangle$  and hence  $\langle d \rangle \subset \langle a \rangle$ .

Now for  $\langle a \rangle \subset \langle d \rangle$ : if  $m \in \langle a \rangle$  then  $m = ax + ny$  but  $d|a$  and  $d|n$  so  $d|m$  and hence  $m \in \langle d \rangle$ . Note that this means  $|\langle a \rangle| = |\langle d \rangle| = n/d$  since there are  $n/d$   $d$ -sized blocks in  $0, \dots, n-1$ .  $\square$

**Corollary 34.**  $ax \equiv b \pmod n$  is solvable iff  $d|b$ .

*Proof.*  $ax \equiv b \pmod n$  has a solution iff  $[b] \in \langle a \rangle$  i.e.  $b \pmod n \in \langle a \rangle = \{0, d, 2d, \dots, ((n/d) - 1)d\}$  there if  $0 < b < n$  then  $b \in \langle a \rangle$  iff  $d|b$ . If  $b < 0$  or  $b \geq n$  then just find  $b'$  such that  $b \in [b']$  and  $0 < b' < n$ .  $\square$

$ax \equiv b \pmod n$  either has  $d$  distinct solutions (for  $x$ ) or none.

*Proof.* If  $ax \equiv b \pmod n$  then  $b \in \langle a \rangle$ . Since  $|a| = |\langle a \rangle|$  the sequence  $ai \pmod n$  is periodic with period  $|\langle a \rangle| = n/d$ . If  $b \in \langle a \rangle$  then  $b$  appears exactly  $d$  times in the sequence  $ai \pmod n$  since each value of  $ai$  repeats  $d$  times in the  $d$  length  $n/d$  blocks from 0 to  $n-1$ . The locations of the repeats of  $b$  in  $0, 1, \dots, n-1$  are the  $xs$ .  $\square$

Summary:  $ax \equiv b \pmod n$  if  $b \in \langle a \rangle, \langle a \rangle = \langle d \rangle$ , so  $ax \equiv b \pmod n$  has a solution iff  $dx \equiv b \pmod n$  has solution, which has a solution iff  $d|b$ .

**Corollary 35.** If  $ax \equiv b \pmod n$  has a solution then

$$x_0 = x' \left( \frac{b}{d} \right) \pmod n$$

where  $\gcd(a, b) = d = ax' + ny'$  is a solution.

*Proof.* Firstly  $d|b$  so  $b/d$  is an integer. Then  $ax_0 \equiv ax' \left( \frac{b}{d} \right) \pmod n$  and since  $ax' = d - ny'$  it's the case that  $ax' \equiv d \pmod n$  so

$$\begin{aligned} ax_0 &\equiv d \left( \frac{b}{d} \right) \pmod n \\ &\equiv b \pmod n \end{aligned}$$

$\square$

**Theorem 36.** Suppose  $x_0$  is a solution for  $ax \equiv b \pmod n$ . Then  $x_i = x_0 + i \left( \frac{n}{d} \right)$  for  $i = 0, 1, \dots, d-1$  are all solutions.

Just remember that  $\langle a \rangle$  repeats every  $n/d$  blocks.



*Proof.* Since  $n/d > 0$  and  $0 \leq i(n/d) < n$  the values  $x_0, x_1, \dots, x_{d-1}$  are all distinct mod  $n$ . Then since  $x_0$  is a known solution  $ax_0 \equiv b \pmod{n}$  and so since  $d|a$  and  $d|n$

$$\begin{aligned} ax_i &= a(x_0 + i(n/d)) \pmod{n} \\ &= ax_0 + ai(n/d) \pmod{n} \\ &= ax_0 \pmod{n} \\ &= b \end{aligned}$$

□

If  $d|b$  then

$$b = \frac{b}{d}d = ax' \left( \frac{b}{d} \right) + ny' \left( \frac{b}{d} \right)$$

and so

$$\begin{aligned} b &\equiv a \left( x' \left( \frac{b}{d} \right) \right) + ny' \left( \frac{b}{d} \right) \pmod{n} \\ &\equiv a \left( x' \left( \frac{b}{d} \right) \right) \pmod{n} \end{aligned}$$

This naturally suggests an algorithm for solving modular equations

---

**Algorithm 1** Modular equations

---

```

ModularEqnSolver( $a, b, n$ )
( $d, x', y'$ ) = ExtendedGCD( $a, n$ )
if  $d|b$ 
     $x_0 = x' \frac{b}{d} \pmod{n}$ 
    for  $i = 0$  to  $d - 1$ 
        print  $(x_0 + i \frac{n}{d}) \pmod{n}$ 
else
    print "no_solutions"

```

---

**Corollary 37.** For  $n > 1$  if  $\gcd(a, n) = 1$  then  $ax \equiv b \pmod{n}$  has a unique solution modulo  $n$ .

*Proof.*  $ax \equiv b$  has  $d$  distinct solutions (if any) where  $d = \gcd(a, n)$ . □

**Corollary 38.** For  $n > 1$ , if  $\gcd(a, n) = 1$  then  $ax \equiv 1 \pmod{n}$  has a unique solution modulo  $n$ . Otherwise it has no solutions.

*Proof.* If  $\gcd(a, n) = 1$  then  $1 = ax' + ny'$  and so  $ax' \equiv 1 \pmod{n}$ . Assume  $d \neq 1$ . Then  $ax \equiv 1 \pmod{n}$  has no solutions because  $d|1$  implies  $d = 1$ . □

**Exercise 39.** If  $\gcd(a, n) = 1$  then  $ax \equiv ay \pmod{n}$  implies  $x \equiv y \pmod{n}$ . Show that  $\gcd(a, n) = 1$  is necessary by producing a counter example.

*Proof.* You can cancel whenever the common factor has an inverse. Since  $\gcd(a, n) = 1$   $a$  has a multiplicative inverse. Therefore you can cancel. □

**Exercise 40.** Consider  $f(x) = f_0 + f_1x + \cdots + f_tx^t \pmod{p}$  with  $f_i \in \mathbb{Z}_p$ . Prove that if  $f(a) = 0$  then  $f(x) \equiv (x-a)g(x) \pmod{p}$  for some  $g(x)$  of degree  $t-1$ . Prove by induction that  $f$  can have at most  $t$  distinct zeros module  $p$ .

The lemma in the exercise concerns whether Euclidean division by  $(x-a)$  is possible. Suppose  $f(x) = 2x^2 + x + 1$ . Then

$$r(x) = f(x) - \frac{2}{1}x^{2-1}(x-a) = 2x^2 + x + 1 - 2x(x-a) = x + 2xa + 1 = x(2a+1) + 1$$

So  $\deg(f_1) = \deg(f) - 1$  and we get that

$$\begin{aligned} f(x) &= g(x)(x-a) + r(x) = 2x(x-a) + x(2a+1) + 1 \\ &= 2x^2 - 2ax + 2ax + x + 1 = 2x^2 + x + 1 \end{aligned}$$

When does this work in general? Meaning for arbitrary  $h(x)$ ? Well it works whenever you can cancel the highest order term in  $f(x)$ , because you're essentially reducing the order of  $f(x)$  (and to do that you just need to cancel the highest order term). Let  $f(x) = f_0 + f_1x + \cdots + f_nx^n$  and  $h(x) = h_0 + h_1x + \cdots + h_mx^m$ . Note that if  $n = 0$  or  $m > n$  then the result is trivial. Otherwise we need to be able to write

$$r(x) = f(x) - \frac{f_n}{h_m}x^{n-m}h(x)$$

So what do we need for this? We need the coefficients of  $f$  and  $h$  to come from at least commutative rings<sup>2</sup> but we also need to be able to divide  $f_n$  by  $h_m$  so the ground set should be a field. If  $h(x)$  is monic though all need is that the polynomials are over a commutative ring.

**Lemma 41.** Let  $R$  be a commutative ring and let  $f(x)$  be a polynomial with coefficients in  $R$ , of degree  $n \geq 0$ . If  $h(x)$  is a monic polynomial with coefficients in  $R$ , then there exist polynomials  $g(x)$  and  $r(x)$ , with the degree of  $r$  less than the degree of  $f$  such that

$$f(x) = g(x)h(x) + r(x)$$

*Proof.* If degree of  $f$  is zero then there's nothing to prove: either degree of  $h$  is 0, in which case  $h(x) = 1$  (since monic), or it has a higher degree in which case we take  $g(x) = 0$  and  $r(x) = f(x)$ . Therefore assume the base case and let  $n = \deg(f) > 0$ . If  $m = \deg(h) > n$  then do the same thing as before: take  $g(x) = 0$  and  $r(x) = f(x)$ . So assume  $n \geq m > 0$ . Then if  $f(x) = f_0 + f_1x + \cdots + f_nx^n$

$$f_1(x) = f(x) - f_nx^{n-m}h(x)$$

has degree less than  $n$  and so, by the induction hypothesis,  $f_1(x) = g_1(x)h(x) + r(x)$  and hence

$$f(x) = (g_1(x) + f_nx^{n-m})h(x) + r(x)$$

□

Then for our case where  $h(x) = x - a$  we have

$$f(x) = g(x)(x-a) + r(x)$$

Since  $r(x)$  must be a constant (why?) and must be  $f(a)$  since  $f(a) = g(a) \cdot 0 + r(a)$ . Finally if  $f(a) \equiv_p 0$  then

$$f(x) = (x-a)g(x)$$

---

<sup>2</sup>I guess polynomial rings are always over at least commutative rings?

Suppose  $a'$  is another distinct root, i.e.  $f(a') \equiv_p 0$  and  $a \not\equiv_p a'$ . So

$$f(a') = (a' - a)g(a')$$

Then since  $a \not\equiv_p a'$  implies that  $a - a' \not\equiv_p 0$  we know that  $g(a') \equiv_p 0$  since  $\gcd(a, n) = 1$  and  $n|ab$  implies that  $n|b$ . Then we can repeat the process for with further roots  $a'', a''', \dots$

## 5. CHINESE REMAINDER THEOREM

**Definition 42.** Direct product  $A \times B$  of two rings  $A$  and  $B$  is simply the ring over the tuples  $\{(a, b) \mid a \in A, b \in B\}$  with the operations defined coordinate-wise.

How do you solve the system of equations

$$\begin{aligned} x &\equiv_3 2 \\ x &\equiv_5 3 \\ x &\equiv_7 2 \end{aligned}$$

The chinese remainder theorem

**Theorem 43.** If  $n = p_1^{r_1} \cdots p_k^{r_k}$  and  $\gcd(p_i, p_j) = 1$  then

$$\mathbb{Z}_n \cong \mathbb{Z}_{p_1^{r_1}} \times \cdots \times \mathbb{Z}_{p_k^{r_k}}$$

where  $\times$  is direct product.

*Proof.* We need to demonstrate the isomorphism. Suppose  $a \in \mathbb{Z}_n$ , then  $(a \bmod p_1^{r_1}, \dots, a \bmod p_k^{r_k})$ . For the reverse direction suppose we have  $(a_1 \bmod p_1^{r_1}, \dots, a_k \bmod p_k^{r_k})$ . We need a way to manufacture an  $a$  such that

$$\begin{aligned} a \bmod p_1^{r_1} &= a_1 \\ &\vdots \\ a \bmod p_k^{r_k} &= a_k \end{aligned}$$

Towards this end let  $m_i = n/p_i^{r_i}$  and  $c_i = m_i (m_i^{-1} \bmod p_i^{r_i})$ . Since  $m_i$  and  $p_i^{r_i}$  are coprime  $m_i^{-1}$  exists<sup>3</sup>. The  $c_i$  function as “basis vectors” since  $c_i \bmod p_j^{r_j} = 0$  and  $c_i \bmod p_i^{r_i} = (m_i (m_i^{-1} \bmod p_i^{r_i})) \bmod p_i^{r_i} = (m_i m_i^{-1}) \bmod p_i^{r_i} = 1$ . Then

$$a = \sum_{i=1}^k a_i c_i$$

and the preceding arguments show that  $a$  satisfies the modular equations. So the mapping is a bijection. To see that it's a homomorphism note that since  $p_i^{r_i} | n$  it's the case that  $(x \bmod n) \bmod p_i^{r_i} = x \bmod p_i^{r_i}$ , then let  $d = a + b$  and then

$$(a + b) \bmod n = d \bmod n \iff d_i \bmod p_i^{r_i} = ((a_i + b_i) \bmod n) \bmod p_i^{r_i} = (a_i + b_i) \bmod p_i^{r_i}$$

and similarly for  $ab \bmod n$ .  $\square$

---

<sup>3</sup>Use ExtendedGCD to compute  $\gcd(m_i, p_i^{r_i})$ .

**Corollary 44.** If  $n = p_1^{r_1} \cdots p_k^{r_k}$  and  $\gcd(p_i, p_j) = 1$  then

$$\begin{aligned} x &\equiv_{p_1^{r_1}} a_1 \\ &\vdots \\ x &\equiv_{p_k^{r_k}} a_k \end{aligned}$$

has a unique solution  $x$  modulo  $n$ .

*Proof.* Duh

$$x = \sum_{i=1}^k a_i c_i$$

□

If  $n = p_1^{r_1} \cdots p_k^{r_k}$  and  $\gcd(p_i, p_j) = 1$  then

$$\begin{aligned} x &\equiv_{p_1^{r_1}} a \\ &\vdots \\ x &\equiv_{p_k^{r_k}} a \end{aligned}$$

if and only if  $x \equiv_n a$ .

*Proof.* Let

$$\begin{aligned} x &\equiv_{p_1^{r_1}} a \\ &\vdots \\ x &\equiv_{p_k^{r_k}} a \end{aligned}$$

i.e.  $x$  solves all of the linear congruence relations. One such solution is obviously  $x = a$ . Any other solution  $x'$  is congruent to  $a$  modulo  $p_1^{r_1} \cdots p_k^{r_k}$ , i.e.  $x' \equiv_n a \pmod{n}$ . Why? Because  $n$  is the lcm of all of the  $p_i^{r_i}$  so it “rotates” all of the solutions around the right number of times. On the other hand if  $x \equiv_n a$  then

$$x \pmod{p_i^{r_i}} = (x \pmod{n}) \pmod{p_i^{r_i}} = (a \pmod{n}) \pmod{p_i^{r_i}} = a \pmod{p_i^{r_i}}$$

□

**Exercise 45.** Prove that if  $\gcd(a, n) = 1$  then

$$a^{-1} \pmod{n} \leftrightarrow (a_1^{-1} \pmod{p_1^{r_1}}, \dots, a_k^{-1} \pmod{p_k^{r_k}})$$

*Proof.* By Chinese Remainder Theorem if  $a$  is unit, i.e.  $\gcd(a, n) = 1$  then

$$1 \pmod{n} = aa^{-1} \pmod{n} \leftrightarrow (a_1 a_1^{-1} \pmod{p_1^{r_1}}, \dots, a_k a_k^{-1} \pmod{p_k^{r_k}}) = (1, \dots, 1)$$

□

**Exercise 46.** The number of  $x$  such that  $f(x) \equiv_n 0$  equals the product of the number of  $x$  of each  $f(x) \equiv_{p_i^{r_i}} 0$ .

*Proof.* By the corollary to the Chinese Remainder theorem  $f(x) \equiv_n 0$  if  $f(x) \equiv_{p_i^{r_i}} 0$  for all  $i$ . If each  $f(x) \equiv_{p_i^{r_i}} 0$  has  $r_i$  roots then there are  $\prod_{i=1}^k r_i$  ways for all components of  $(f_1, \dots, f_n)$  to be 0. □

## 6. POWERS

Recall that  $\mathbb{Z}_n^*$  is group where the operation is multiplication module  $n$  and the elements  $x$  are such that  $\gcd(x, n) = 1$ .

**Theorem 47. Euler's theorem.** For any integer  $n > 1$  and  $a \in \mathbb{Z}_n^*$

$$a^{\phi(n)} \equiv_n 1$$

*Proof.* By Langrange's theorem  $|\langle a \rangle| \mid |\mathbb{Z}_n^*| = \phi(n)$  and so

$$a^{\phi(n) \cdot |\langle a \rangle|} = \left(a^{|\langle a \rangle|}\right)^{\phi(n)} = 1^{\phi(n)}$$

□

**Lagrange's theorem.** If  $p$  is prime, then for all  $a \in \mathbb{Z}_p^*$

$$a^{p-1} \equiv_p 1$$

*Proof.* By Euler's and since for prime  $p$  it's the case that  $\phi(p) = p - 1$ . □

**Corollary 48.** For all  $a \in \mathbb{Z}_p$ , we have  $a^p \equiv_p a$ .

**Definition 49.** An element  $a$  **generates**  $\mathbb{Z}_n^*$  if  $|\langle a \rangle| = |\mathbb{Z}_n^*|$ , i.e. every element of is a power of  $a$ .

**Definition 50.** A group  $\mathbb{Z}_n^*$  is **cyclic** there exists  $a \in \mathbb{Z}_n^*$  such that  $a$  generates  $\mathbb{Z}_n^*$ .

**Theorem 51.**  $\mathbb{Z}_n^*$  is cyclic for  $2, 4, p^e, 2p^e$  for prime  $p$  and positive integer  $e$ .

**Definition 52.** If  $a$  generates  $\mathbb{Z}_n^*$  then the **discrete logarithm** of  $e \in \mathbb{Z}_n^*$  is minimum  $z$  such that  $a^z \equiv_p e$ .

**Theorem 53. Discrete logarithm theorem.** If  $|\langle a \rangle| = \mathbb{Z}_n^*$  then  $a^x \equiv_n a^y$  iff  $x \equiv_{\phi(n)} y$ .

*Proof.* Suppose  $x \equiv_{\phi(n)} y$ . Then  $x = y + k\phi(n)$  for some  $k$ . Then by Euler's theorem

$$\begin{aligned} a^x &\equiv_n a^{y+k\phi(n)} \\ a^x &\equiv_n a^y a^{k\phi(n)} \\ a^x &\equiv_n a^y \end{aligned}$$

Suppose  $a^x \equiv_n a^y$ . Since  $a$  generates  $\mathbb{Z}_n^*$  it's the case that  $|\langle a \rangle| = \phi(n)$ . Therefore the sequence of powers of  $a$  is periodic with period  $\phi(n)$ . This is equivalent to  $a^x \equiv_n a^y$  iff  $x$  and  $y$  are some number of periods apart, i.e.  $x \equiv_{\phi(n)} y$ . □

Now on to roots of 1 modulo a prime power.

**Theorem 54.** If  $p$  is an odd prime and  $e \geq 1$ , then

$$x^2 \equiv_{p^e} 1$$

only has solutions  $x = \pm 1$ .

*Proof.*  $x^2 \equiv_{p^e} 1$  is equivalent to  $(x+1)(x-1) \equiv_{p^e} 0$  which is equivalent to  $p^e \mid (x-1)(x+1)$ . Since  $p > 2$  it's the case that  $p$  divides either  $x-1$  or  $x+1$  but not both (otherwise  $p$  would divide  $(x-1) - (x+1) = 2$ ). If  $p \nmid (x-1)$ , then  $\gcd(p^e, x-1) = 1$  and so  $p^e \mid (x+1)$ , i.e.  $x \equiv_{p^e} -1$ . The symmetric argument is the same. □

**Definition 55.**  $x$  is a **nontrivial** square root of 1, modulo  $n$ , if  $x^2 \equiv_n 1$  and  $x \neq -1 \neq 1$ .

**Corollary 56.** *If there exists a nontrivial square root of 1, modulo  $n$ , then  $n$  is composite.*

*Proof.* By contrapositive of the previous theorem, if there exists a nontrivial root then  $n$  cannot be an odd prime or power of an odd prime.  $\square$

**Exercise 57.** Given  $\phi(n)$ , how to compute  $a^{-1} \bmod n$  for  $a \in \mathbb{Z}_n^*$  using Modular-Exponentiation.

Easy: compute  $a^{\phi(n)-1}$  and then  $a^{\phi(n)-1}a = a^{\phi(n)} = 1 \bmod n$ .

How does exponentiation by repeated squaring work? Take for example  $3^8$ :

$$\left(\left((3^2)^2\right)^2\right)^2 = 3^8$$

How about  $3^7$ ? The trick is to divide only even exponents:  $3^7$  doesn't divide "evenly" but  $3^6$  does. Then  $3^3$  again doesn't divide evenly but  $3^2$  does. So what should you do? Go in reverse order

$$3 \cdot \left((3 \cdot 3^2)^2\right) = 3 \cdot \left((3^3)^2\right) = 3 \cdot (3^6) = 3^7$$

How about another example:  $3^{19}$

$$\begin{aligned} 3 \cdot 3^{18} &\rightarrow 3^{18} \\ 3^{18} = (3^9)(3^9) &\rightarrow 3^9 \\ 3 \cdot 3^8 &\rightarrow 3^8 \\ 3^8 = (3^4)(3^4) &\rightarrow 3^4 \\ 3^4 = (3^2)(3^2) &\rightarrow 3^2 \\ 3^2 = 3 \cdot 3 &\rightarrow 3^1 \\ 3^1 = 3 \cdot 1 &\rightarrow 1 \end{aligned}$$

Do you see the pattern? The binary representation of 19 is 10011, which matches the order in which we took the square root or factored out a 3 and then took the square root. Why?

$$3^{19} = 3^{(1 \cdot 2^0 + 1 \cdot 2^1 + 0 \cdot 2^2 + 0 \cdot 2^3 + 1 \cdot 2^4)}$$

You can think of exponentiation by repeated squaring as building up the exponent using shift and add: every squaring is a shift and every multiplication by the base is adding 1. For example: first shift in one 3

$$(3 \cdot 1) = 3^{0b1}$$

Then shifting left twice

$$\left((3^{0b1})^2\right)^2 = (3^{2 \times 0b1})^2 = (3^{0b10})^2 = 3^{2 \times 0b10} = 3^{0b100}$$

Then shift left again and add 1

$$3 \cdot (3^{0b100})^2 = (3^{0b1000 + 0b0001}) = 3^{0b1001}$$

and again

$$3 \cdot (3^{0b1001})^2 = 3^{0b10011} = 3^{19}$$

## 7. RSA

**7.1. Public-key cryptosystems.** Let  $P_A$  and  $S_A$  be the public key and secret keys of agent  $A$  respectively. The **keys specify bijections** from the message space  $\mathcal{D}$  that are mutual inverses, i.e.  $P_A : \mathcal{D} \rightarrow \mathcal{D}$  and  $S_A : \mathcal{D} \rightarrow \mathcal{D}$  and for  $M \in \mathcal{D}$

$$\begin{aligned} P_A(S_A(M)) &= M \\ S_A(P_A(M)) &= M \end{aligned}$$

In public key cryptography the constraint on  $S_A$  is that no one but agent  $A$  can compute  $S_A$  in any practical amount of time even if  $P_A$  is known.

The typical workflow is agent  $B$  obtains a representation  $P_A$  and use it to encode message  $M$  into a ciphertext  $C = P_A(M)$  and then sends it to agent  $A$ . Agent  $A$  can then apply  $S_A$  to recover  $M$ , i.e.  $S_A(C) = S_A(P_A(M)) = M$ . Another workflow is for **digital signatures**: agent  $A$  computes her digital signature  $\sigma = S_A(M')$  for a message she wants to sign and sends  $(M', \sigma)$  to agent  $B$ . Agent  $B$  then applies  $M'' = P_A(\sigma) = P_A(S_A(M'))$  and compares to  $M'$ . If they are indeed equal then the messages is authentic since only agent  $A$  could have encoded  $M'$  such that  $M'' = M'$ .

**7.2. RSA.** RSA is based on factorization of large semiprimes. Let  $n = pq$ , where  $p, q$  are prime and  $e$  be coprime with  $\phi(n) = (p-1)(q-1)$ . Then compute the multiplicative inverse  $d$  of  $e$  modulo  $\phi(n)$ , which exists since  $e$  is coprime  $\phi(n)$ . The public key is then  $(e, n)$  and the private key is  $(d, n)$ . These keys specify functions from  $\mathbb{Z}_n$  to  $\mathbb{Z}_n$

$$\begin{aligned} P(M) &= M^e \pmod{n} \\ S(C) &= C^d \pmod{n} \end{aligned}$$

**Proposition.** *With*

$$\begin{aligned} P(M) &= M^e \pmod{n} \\ S(C) &= C^d \pmod{n} \end{aligned}$$

*it's the case that*

$$P(S(M)) = ((M^d) \pmod{n})^e \pmod{n} = M^{de} \pmod{n}$$

*Proof.* Since  $ed = 1 + k\phi(n) = 1 + k(p-1)(q-1)$  and if  $M \not\equiv_p 0$  then

$$\begin{aligned} M^{ed} &\equiv_p M^{1+k(p-1)(q-1)} \\ &\equiv_p M(M^{p-1})^{k(q-1)} \\ &\equiv_p M \left( (M \pmod{p})^{p-1} \right)^{k(q-1)} \text{ since the whole thing is } \pmod{p} \text{ anyway} \\ &\equiv_p M(1)^{k(q-1)} \text{ by Fermat's theorem} \\ &\equiv_p M \end{aligned}$$

Similarly  $M^{ed} \equiv_q M$  and therefore by Chinese remainder theorem  $M^{ed} \equiv_n M$ .  $\square$

RSA relies on the difficulty of factoring  $n$ . If you are able to factor  $n = pq$  of  $(e, n)$  then you can easily compute  $d$  in exactly the same way the creator of keys did.

## 8. PRIMALITY TESTING

**Definition 58.** The **prime distribution** function  $\pi(n)$  is the number of primes less than or equal to  $n$ .

**Theorem 59. Prime number theorem.**

$$\lim_{n \rightarrow \infty} \frac{\pi(n)}{n / \ln(n)} = 1$$

So there are  $\approx 48,254,942$  primes less than  $10^9$ . How to figure out whether a number is in fact prime? There is a polynomial time algorithm for doing this but there is a faster probabilistic algorithm called Millar-Rabin.

**8.1. Pseudoprimality.** Let  $\mathbb{Z}_n^+ = \{1, \dots, n-1\}$ . If  $n$  is prime then  $\mathbb{Z}_n^+ = \mathbb{Z}_n^*$ .

**Definition 60.**  $n$  is a **base- $a$  pseudoprime** if  $n$  is composite and  $a^{n-1} \equiv_n 1$ .

Fermat's theorem says that if  $n$  is prime then  $a^{n-1} \equiv_n 1$  for every  $a \in \mathbb{Z}_n^+$ . So a base- $a$  pseudoprime is one that tricks you: for some  $a$  it's the case that  $a^{n-1} \equiv_n 1$  but for some other  $a'$  it's the case that  $(a')^{n-1} \not\equiv_n 1$ . The converse almost holds, i.e. if for  $a = 2$  it's the case that  $a^{n-1} \equiv_n 1$  then  $n$  is probably prime (it could be a base-2 pseudoprime).

---

**Algorithm 2** Primality testing

---

```

Witness( $a, n$ )
if Modular-Exp( $a, n-1, n$ )  $\not\equiv_n 1$ 
    return TRUE
else
    return FALSE

Psuedoprime( $n$ )
if Witness(2,  $n$ )
    return composite # definitely
else
    return prime # probably (could be psuedoprime)

```

---

Witness( $a, n$ ) returns TRUE if  $a$  is a “witness” that  $n$  is composite, i.e.  $a^{n-1} \not\equiv_n 1$ . It's called a witness since it can only confirm that  $n$  is composite, not that  $n$  is prime. Pseudoprime( $n$ ) is correct with fairly high probability. Only 22 in the first 10,000  $n$  are base-2 pseudoprimes. For a 512bit number the chance of Pseudoprime being wrong is 1 in  $10^{20}$  and for a 1024bit number it's 1 in  $10^{41}$ . So if you need large prime numbers (such as for RSA) just pick large numbers until Pseudoprime returns prime.

You can't eliminate all errors by checking against a different base.

**Definition 61.** A **Carmichael number**  $n$  is composite but satisfies  $a^{n-1} \equiv_n 1$  for all  $a \in \mathbb{Z}_n^*$ .

561, 1105, and 1729 are the first 3 Carmichael numbers.



---

**Algorithm 4** Miller-Rabin
 

---

```

Miller-Rabin( $n, s$ )
for  $j = 1$  to  $s$ 
     $a = \text{Random}(1, n - 1)$ 
    if  $\text{Witness}(a, n)$ 
        return COMPOSITE
return PRIME
  
```

---

**8.2. Miller-Rabin.** Miller-Rabin improves on Pseudoprime so that it's not fooled by Carmichael numbers. It tries several bases but also uses the fact that if there exists a nontrivial<sup>4</sup> root of 1 modulo  $n$  then  $n$  is composite. Hence we update  $\text{Witness}(a, n)$  to take this into account and we make it more efficient: first pick  $t$  and odd  $u$  such that  $n - 1 = 2^t u$ , i.e. factor out as many power of 2 as possible (since  $n$  is odd  $n - 1$  must be even). Then  $a^{n-1} = (a^u)^{2^t}$  and so we can compute  $a^{n-1} \bmod n$  by computing  $a^u \bmod n$  first and then squaring the result  $t$  times.

---

**Algorithm 3**  $\text{Witness}(a, n)$ 


---

```

Witness( $a, n$ )
compute  $t, u$  as above
 $x_0 = \text{Modular-Exp}(a, u, n)$ 
// do the squaring
for  $i = 1$  to  $t$ 
     $x_i = x_{i-1}^2 \bmod n$ 
    // test non-trivial square root
    if  $x_i == 1$  and  $x_{i-1} \neq \pm 1$ 
        return TRUE
if  $x_t \neq 1$ 
    return TRUE
return FALSE
  
```

---

Why does the test  $x_i == 1 \wedge x_{i-1} \neq 1 \wedge x_{i-1} \neq n - 1$  return true when  $x_{i-1}$  is a nontrivial square root of 1 modulo  $n$ ? Well duh if  $x_i = (x_{i-1})^2 == 1$  but  $x_{i-1} \neq 1 \neq -1$  then clearly  $x_{i-1}$  is a non-trivial square root<sup>5</sup> of 1 modulo  $n$ .

Now Miller-Rabin simply runs  $\text{Witness}(a, n)$  over and over again

Without proof the error rate of Miller-Rabin( $n, s$ ) is at most  $2^{-s}$ .

**Exercise 62.** If  $n$  is composite, then there exists a nontrivial square root of 1 module  $n$ .

*Proof.* Let  $n = pq$ . The number of roots of  $f(x) = x^2 - 1 \equiv_n 0$  is equal to the number product of the number of roots of  $f_p(x) = x^2 - 1 \equiv_p 0$  and  $f_q(x) = x^2 - 1 \equiv_q 0$ . Since each of  $p, q$  is prime each of the  $f_p, f_q$  has only the trivial roots 1, -1. So  $f(x)$  has  $2 \times 2 = 4$  roots and so must have roots other than -1, 1.  $\square$

---

<sup>4</sup>i.e. not  $\pm 1$ .

<sup>5</sup>In the book the test is  $x_{i-1} \neq n - 1$  since  $-1 \equiv_n n - 1$ .

**Exercise 63.** A strong version of Euler's theorem is that for all  $a \in \mathbb{Z}_n^*$

$$a^{\lambda(n)} \equiv_n 1$$

where  $n = p_1^{e_1} \cdots p_r^{e_r}$  and  $\lambda(n) = \text{lcm}(\phi(p_1^{e_1}), \dots, \phi(p_r^{e_r}))$ .

*Proof.* Firstly  $\lambda(n) \mid \phi(n)$ . Why?  $\text{lcm}(a, b, c)$  always divides  $abc$  so  $\text{lcm}(\phi(p_1^{e_1}), \dots, \phi(p_r^{e_r}))$  divides  $\phi(p_1^{e_1}) \cdots \phi(p_r^{e_r})$  but

$$\begin{aligned} \phi(n) &= n \left(1 - \frac{1}{p_1}\right) \cdots \left(1 - \frac{1}{p_r}\right) \\ &= p_1^{e_1} \cdots p_r^{e_r} \left(1 - \frac{1}{p_1}\right) \cdots \left(1 - \frac{1}{p_r}\right) \\ &= \phi(p_1^{e_1}) \cdots \phi(p_r^{e_r}) \end{aligned}$$

and so  $\lambda(n) \mid \phi(n)$ . Since  $a \in \mathbb{Z}_n^*$  it's the case that  $\gcd(a, n) = 1$  and so  $\gcd(a, p_i^{e_i}) = 1$  and so

$$a^{\lambda(n)} \equiv_{p_i^{e_i}} 1$$

since  $\phi(p_i^{e_i}) \mid \lambda(n)$ . By the Chinese remainder theorem

$$a^{\lambda(n)} \equiv_n 1$$

□

**Exercise 64.** If  $x$  is nontrivial square root of 1 modulo  $n$  then  $\gcd(x-1, n)$  and  $\gcd(x+1, n)$  are nontrivial divisors of  $n$ .

*Proof.*  $n \mid (x-1)(x+1)$ . If  $\gcd(x-1, n) = n$  then  $x \equiv_n 1$ , which means  $x$  is a trivial square root of 1. So that can't be. Suppose  $\gcd(x-1, n) = 1$ , then  $n \mid x+1$  and so  $x \equiv_n -1$  which means  $x$  is a trivial square of 1. So that can't be either. Suppose  $\gcd(x+1, n) = n$ , then  $x \equiv_n -1$ , which means  $x$  is a trivial root of 1 modulo  $n$ . Suppose  $\gcd(x+1, n) = 1$ , then  $n \mid x-1$  and  $x \equiv_n 1$  which shows that  $x$  is a trivial root of 1 modulo  $n$ . Therefore  $\gcd(x+1, n) \neq 1, n$  nor  $\gcd(x-1, n) \neq 1, n$ . □

**8.3. Cycle finding.** For any function  $f$  that maps a finite set  $S$  to itself, and any initial value  $x_0$  in  $S$ , the sequence of iterated function values

$$x_0, x_1 = f(x_0), x_2 = f(x_1), \dots, x_i = f(x_{i-1}), \dots$$

must repeat itself, i.e. there must exist indices  $i \neq j$  such that  $x_i = x_j$ . Note that the values repeat again after the first repetition. That is suppose  $\mu$  is the first index such that  $x_\mu = x_{\mu+\lambda}$  for some  $\lambda$ . Then  $x_{\mu+i} = x_{\mu+\lambda+i}$  for  $i \geq 0$ . That's why it's called a cycle, where  $\lambda$  is the period of length of the cycle. Cycle detection is the problem of finding  $\mu, \lambda$  given  $f, x_0$ .

The key to solve the problem is realizing that after you hit  $\mu$  for all  $k$  you have  $x_i = x_{i+k\lambda}$ . In particular when  $i = k\lambda$ , a multiple of the cycle period,  $x_i = x_{k\lambda+k\lambda} = x_{2i}$ . So you really only need to look for when values  $x_i$  and  $x_{2i}$  agree for the first time. At that point  $\nu = i$  is equal to the distance between the two pointers and is a multiple of the period of the cycle. To find  $\mu$  leave one of the pointers where it is so that it has value  $x_\nu$ , from then on advance it step by step, and reset the other pointer to  $x_0$ . Now the distance between them is fixed to be  $2\nu$ , a multiple of  $\lambda$ , and so they will agree at the beginning of the cycle, i.e.  $x_\mu = x_{\mu+\nu}$ . Finding  $\lambda$  after finding  $\mu$  is easy.

---

**Algorithm 5** Floyd's cycle finding algorithm

---

```

Floyds( $f, x_0$ )
 $p_1 = f(x_0)$ 
 $p_2 = f(p_1)$ 

while  $p_1 \neq p_2$  :
     $p_1 = f(p_1)$ 
     $p_2 = f(f(p_2))$ 

//  $p_1$  is now at distance  $\nu$  from the beginning and
// distance  $\nu$  from  $p_2$ . reset  $p_2$  to the beginning.
// even after reset  $p_1$  and  $p_2$  are still distance  $\nu$  apart
// a multiple of the fundamental period of the cycle.
// advancing one step at a guarantees they'll agree at  $\mu$ 
// since by virtue of because whole periods apart they
// iterate through the same values once they're both on the cycle.
// once  $p_2$  is on the cycle it will agree with  $p_1$ .
 $\mu = 0$ 
 $p_2 = x_0$ 
while  $p_1 \neq p_2$  :
     $p_1 = f(p_1)$ 
     $p_2 = f(p_2)$ 
     $\mu = \mu + 1$ 

// finding  $\lambda$  is easy now. just advance one
// and wait till they're equal again

 $p_2 = f(p_1)$ 
 $\lambda = 1$ 
while  $p_1 \neq p_2$  :
     $p_2 = f(p_2)$ 
     $\lambda = \lambda + 1$ 

```

---

**8.4. Pollard's Rho.** Suppose  $n = pq$ . Randomly select, with replacement, from  $S_1 = \{0, 1, 2, \dots, n-1\}$  to form a sequence  $x_1, x_2, x_3, \dots$ . Also define a sequence  $x'_i = x_i \bmod p$ , where  $x'_i \in S_2 = \{0, 1, 2, \dots, p-1\}$ . Because both  $S_1, S_2$  are finite eventually each of the sequences  $x_i, x'_i$  have to repeat eventually, and  $x'_i$  should repeat sooner since  $|S_2| < |S_1|$ . Suppose  $x'_i = x'_j$ . Then  $x_i \equiv_p x_j$  and so  $p | x_i - x_j$  and thus  $\gcd(|x_i - x_j|, n) \neq 1$  (since at least  $p$  divides both). As long as  $\gcd(|x_i - x_j|, n) \neq n$  we have found a divisor of  $n$ . Note you don't need to compute  $x_i \bmod p$  (which you can't since you don't know  $p$ ), but you just have to

compute  $\gcd(|x_i - x_j|, n)$ . I want to be clear about the chain equivalences

$$\begin{array}{ccc}
 x'_i & = & x'_j \\
 \Longleftrightarrow & & \\
 x_i & \equiv_p & x_j \\
 \Longleftrightarrow & & \\
 p & | & (x_i - x_j) \\
 \Longleftrightarrow & & \\
 \gcd(|x_i - x_j|) & \neq & 1
 \end{array}$$

So everywhere that you'd want to check whether  $x_i \equiv_p x_j$  you just need to check  $\gcd(|x_i - x_j|, n)$ . Enter Floyd's cycle detection algorithm, which performs the equality check  $x_i \equiv_p x_j$ . So Pollard's Rho algorithm is just Floyd's cycle detection algorithm but with the  $\gcd(|x_i - x_j|, n)$  check replacing  $x_i \equiv x_j$ , and the function  $f(x) = x^2 + 1 \pmod n$ . Generating  $x_i$  using  $f(x)$  simulates drawing randomly.

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**Algorithm 6** Pollard's Rho

---

```

PollardRho( $n$ )
 $f(x) = x^2 + 1 \pmod n$ 
 $x_0 = \text{Random}(0, n - 1)$ 

```

```

 $p_1 = f(x_0)$ 
 $p_2 = f(p_1)$ 

```

```

while True :
    if  $1 < \gcd(|p_1 - p_2|, n) < n$  :
        print  $|p_1 - p_2|$ 
         $p_1 = f(p_1)$ 
         $p_2 = f(f(p_2))$ 

```

---

So what are the chances that picking two numbers from  $S_1$  results in a collision?

**Theorem 65.** *Birthday Problem.* Let  $x_0, x_1, \dots$  be a sequence where  $x_i$  is iid random uniform  $\{0, 1, \dots, n - 1\}$ , and  $s$  be the smallest index such that  $x_s = x_i$  for some  $i < s$ . Then  $s = O(\sqrt{n})$ .

*Proof.* The probability that in  $x_0, x_1, x_2, x_3$  no  $i$  exists such that  $x_i = x_3$  is one minus the probability that it does in  $x_0, x_1, x_2$ . The probability that  $x_0 = x_3$  is  $1/n$ , the probability that either  $x_0 = x_3$  or  $x_1 = x_3$  is  $1/n + 1/n = 2/n$ , the probability that either  $x_0 = x_3$  or  $x_1 = x_3$  or  $x_2 = x_3$  is  $1/n + 1/n + 1/n$ . Therefore the probability that in  $x_0, \dots, x_3$  no  $i < 3$  exists such that  $x_i = x_3$  is

$$1 - \frac{3}{n}$$

Then generalizing for any  $j \geq 1$  the probability that for  $i < j$  no  $x_i$  equals  $x_j$  is  $(1 - \frac{i}{n})$  and so the probability that  $s \geq j$  is

$$P(s \geq j) = \prod_{i=0}^{j-1} \left(1 - \frac{i}{n}\right) \leq \prod_{i=0}^{j-1} e^{-i/n} \leq e^{-(j-1)^2/2n}$$

The first inequality comes from the definition of the exponential, the second comes from the integral approximation to the sum. Then

$$\begin{aligned} E[s] &= \sum_{j=0}^{\infty} P[s \geq j] = 1 + \sum_{j=1}^{\infty} P[s \geq j] \\ &\leq 1 + \sum_{j=1}^{\infty} e^{-\frac{(j-1)^2}{2n}} \leq 2 + \sqrt{2n} \int_0^{\infty} e^{-x^2} dx \\ &\leq 2 + \sqrt{2n} \int_0^{\infty} e^{-x} dx = 2 + \sqrt{2n} \end{aligned}$$

and so  $E[s] = O(\sqrt{n})$ . □

Since  $x_i \equiv_p x_j$  is what we're really waiting for is  $E[s] = O(\sqrt{p})$  and  $p = O(\sqrt{n})$  we have that  $E[s] = O(\sqrt[4]{n})$ .