

# Nondeterministic Asynchronous Dataflow in Isabelle/HOL

Rafael Castro G. Silva, Laouen Fernet and, Dmitriy Traytel

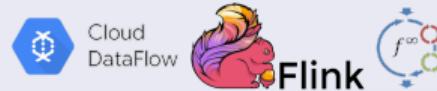
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University of Copenhagen

28/09/2025

# Motivation

## Context:

- Stream Processing: programs that compute with (possibly) unbounded sequences of data
- Frameworks: Google Cloud Dataflow, Apache Flink, and Timely Dataflow



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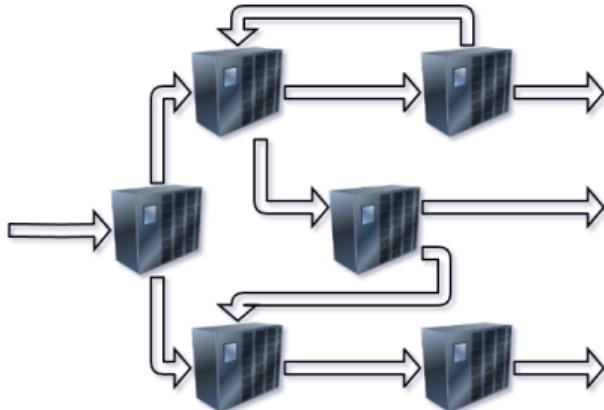
## Our long term goal:

Mechanically Verify Timely Dataflow algorithms

# A Good Foundation

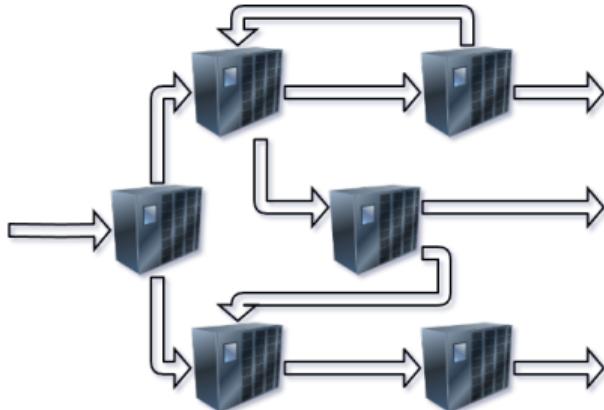
# A Good Foundation

- Nondeterministic Asynchronous Dataflow



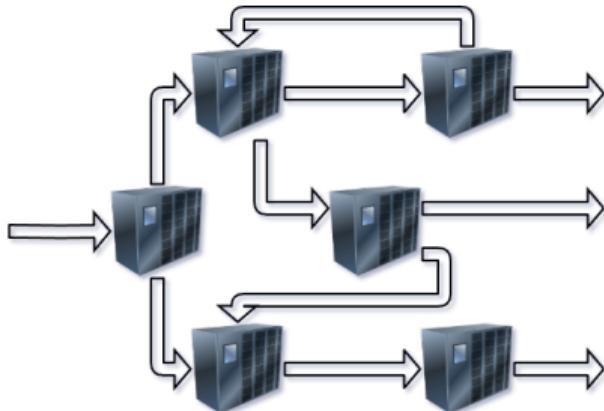
# A Good Foundation

- Nondeterministic Asynchronous Dataflow
  - Dataflow: Directed graph of interconnected operators
    - Operators are (possibly) non-terminating processes that communicate with the network
    - Networks are unbounded FIFO queues



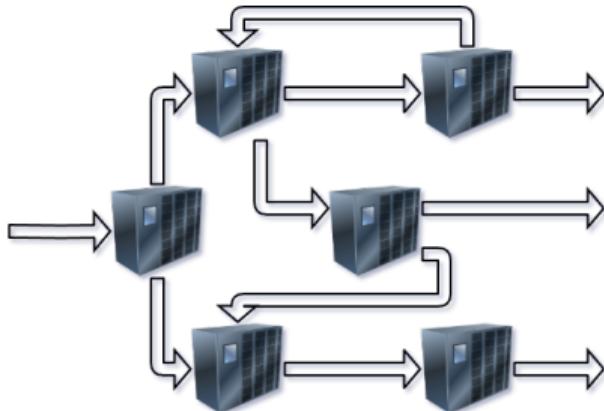
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## Question

How do we know something is Nondeterministic Asynchronous Dataflow?

# The Algebra for Nondeterministic Asynchronous Dataflow

- Bergstra et al. presents an algebra for Nondeterministic Asynchronous Dataflow
- Primitives:  
sequential and parallel composition; feedback loop...
- 52 axioms
- A process calculus instance

Network Algebra for Asynchronous Dataflow\*

J.A. Bergstra<sup>1,2,†</sup>   C.A. Middelburg<sup>2,3,§</sup>   Gh. Ștefănescu<sup>4,‡</sup>

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P.O. Box 41882, 1009 DB Amsterdam, The Netherlands

<sup>2</sup>Department of Philosophy, Utrecht University  
P.O. Box 80126, 3508 TC Utrecht, The Netherlands

<sup>3</sup>Department of Network & Service Control, KPN Research  
P.O. Box 421, 2260 AK Leidschendam, The Netherlands

<sup>4</sup>Institute of Mathematics of the Romanian Academy  
P.O. Box 1-764, 70700 Bucharest, Romania

E-mail: janb@fwi.uva.nl - keesm@phil.ruu.nl - ghstef@imar.ro

# Main Contributions

- An Isabelle/HOL instance of Nondeterministic Asynchronous Dataflow
  - Operators as a shallow embedding as codatatypes
  - 51 axioms proved

## Operators as a Codatatype

# Operators

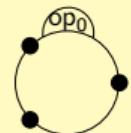
## Operators in Isabelle/HOL

**codatatype** ('i, 'o, 'd) op =

Read 'i ('d  $\Rightarrow$  ('i, 'o, 'd) op) | Write (('i, 'o, 'd) op) 'o 'd |

Silent (('i, 'o, 'd) op) | Choice (('i, 'o, 'd) op) cset

(2, 1, nat) op shape



- Type parameters:  
inputs/output ports; data
- Operator's actions
- Possibly infinite trees

# Operators

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## Uncommunicative operators

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$\emptyset$  = Choice  $\{\}$ <sub>c</sub>

$\odot$  = Silent  $\odot$

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# Operators

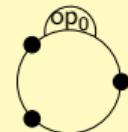
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### Uncommunicative operators

$\emptyset$  = Choice  $\{\}$ \_c

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$\otimes$  = Choice  $\{\otimes\}$ \_c

### More examples

ex1 = Choice {Write ex1 1 42,  $\emptyset$ }\_c

ex2 = Choice {Write ex2 1 42, ex2}\_c

ex3 = Choice {Write ex3 1 42, Silent ex3}\_c

- Type parameters:  
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# Operators Equivalences: Weak Bisimilarity

## An ideal equivalence relation

- Only equate operators that **behave** the same
- Useful reasoning principle

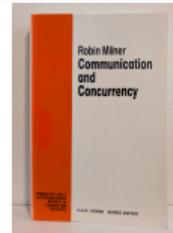
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- Milner's approach!  
The classic chapter on **weak bisimilarity**

- Based on labeled transition systems (LTS)
- **Weak**: silent computations are abstracted away



# Operators Equivalences: Weak Bisimilarity

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## Weak bisimilarity of operators

- Labels (actions): read, write, silent ( $\tau$ )
- Weak bisimilarity of operators ( $\approx$ ): LTS + weak simulation + greatest weak bisimulation
- $\approx$  has a useful coinduction principle
- $\odot \approx \odot$ ,  $\odot \approx \otimes$ ,  $\text{ex1} \approx \text{ex2}$ , and  $\text{ex2} \approx \text{ex3}$

## Asynchronous Dataflow Operators

# Auxiliary Definitions

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- Buffers:  $'p \Rightarrow 'd\ list$

## Buffer functions

BHD  $p\ buf = \text{hd}\ (buf\ p)$

BTL  $p\ buf = buf(p := \text{tl}\ (buf\ p))$

BENQ  $p\ x\ buf = buf(p := buf\ p @ [x])$

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map\_op ::  $('i_1 \Rightarrow 'i_2) \Rightarrow ('o_1 \Rightarrow 'o_2) \Rightarrow ('i_1, 'o_1, 'd)\ op \Rightarrow ('i_2, 'o_2, 'd)\ op$

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$\curvearrowright :: ('a + 'b) + 'c \Rightarrow 'a + 'b + 'c$

$\curvearrowleft :: 'a + 'b + 'c \Rightarrow ('a + 'b) + 'c$

## Equation of the identity operator

$\text{id\_op} :: ('p \Rightarrow 'd\ list) \Rightarrow ('p, 'p, 'd)\ op$   
 $\text{id\_op}\ buf = \text{Choice}$

$\cup_c$

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id_op :: ('p ⇒ 'd list) ⇒ ('p, 'p, 'd) op
id_op buf = Choice
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- $\Omega_c$  is the set of usable ports provide by its type

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 ((λp. Write (id_op (BTL p buf)) p (BHD p buf)) `c {p ∈c Ωc | buf p ≠ []}))
```

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$\text{id\_op} :: ('p \Rightarrow 'd\ list) \Rightarrow ('p, 'p, 'd)\ op$

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$((((\lambda p. \text{Read } p (\lambda x. \text{id\_op} (\text{BENQ } p \times buf)))) \ `_c \ \mathfrak{U}_c)$

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$((\lambda p. \text{Write} (\text{id\_op} (\text{BTL } p\ buf))\ p (\text{BHD } p\ buf))) \ `_c \ \{p \in_c \mathfrak{U}_c \mid buf\ p \neq []\})$

- $\mathfrak{U}_c$  is the set of usable ports provide by its type
- Stream delay: always can read, and it may eventually output

# Identity

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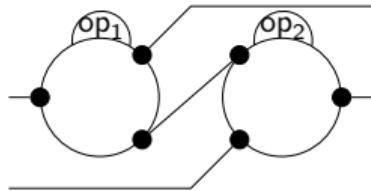
## Identity operator with an empty buffer

$$\mathcal{I} = \text{id\_op} (\lambda_.\ [ ])$$

# Composition: Preliminaries

## Composition operator type

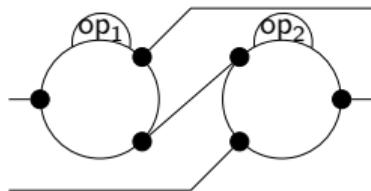
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comp_op :: ('o1 ⇒ 'i2 option) ⇒ ('i2 ⇒ 'd list) ⇒ ('i1, 'o1, 'd) op ⇒ ('i2, 'o2, 'd) op ⇒ ('i1 + 'i2, 'o1 + 'o2, 'd) op
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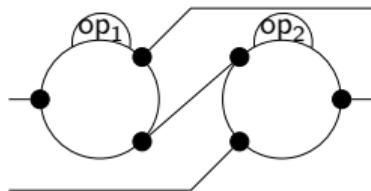
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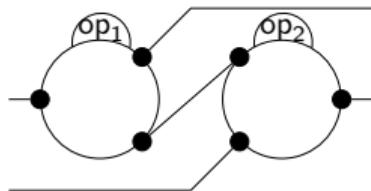
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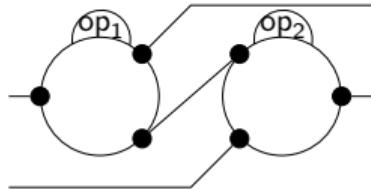
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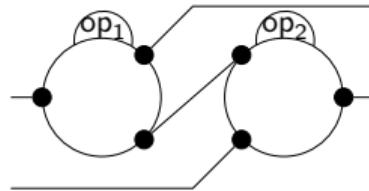
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```



## Sequential and parallel composition

$$op_1 \parallel op_2 = \text{comp\_op} (\lambda_. \text{None}) (\lambda_. []) op_1 op_2$$

$$op_1 \bullet op_2 = \text{map\_op projl projr} (\text{comp\_op Some} (\lambda_. [])) op_1 op_2)$$

# Composition: Equation

Equation of the composition operator

`comp_op wire buf op1 op2 =`

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`comp_op wire buf op1 op2 = Choice`

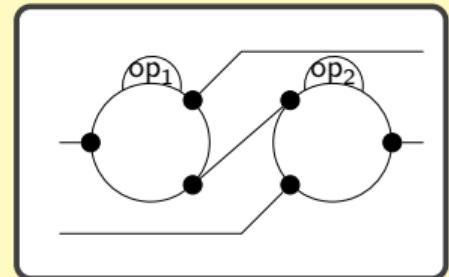
$\cup_c$

# Composition: Equation

Equation of the composition operator

`comp_op wire buf op1 op2 = Choice (((λop. case op of`

``c choices op1) ∪c`

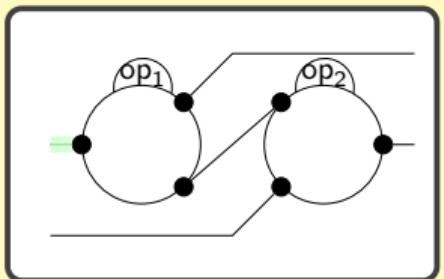


# Composition: Equation

Equation of the composition operator

$\text{comp\_op wire buf } op_1 \ op_2 = \text{Choice (((}\lambda \text{op. case op of}$   
 $\text{Read } p \ f \Rightarrow \text{Read (Inl } p) (\lambda x. \text{comp\_op wire buf } (f \ x) \ op_2)$

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# Composition: Equation

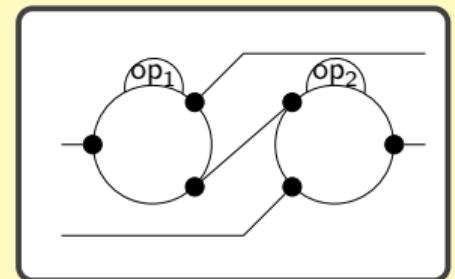
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$\text{comp\_op wire buf } op_1 \ op_2 = \text{Choice (((}\lambda \text{op. case op of}$

Read  $p \ f \Rightarrow \text{Read} (\text{Inl } p) (\lambda x. \text{comp\_op wire buf } (f \ x) \ op_2)$

| Write  $op \ p \ x \Rightarrow (\text{case wire } p \ \text{of}$

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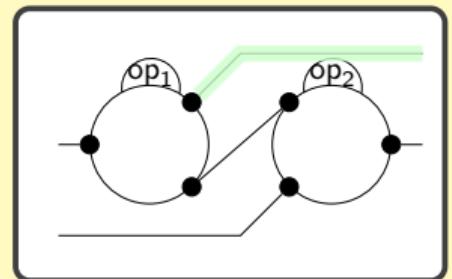


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Equation of the composition operator

$$\begin{aligned} \text{comp\_op wire buf } op_1 \text{ } op_2 &= \text{Choice } (((\lambda op. \underline{\text{case}} \text{ } op \text{ } \underline{\text{of}} \\ \text{Read } p \text{ } f &\Rightarrow \text{Read } (\text{Inl } p) (\lambda x. \text{comp\_op wire buf } (f x) \text{ } op_2) \\ \mid \text{Write } op \text{ } p \text{ } x &\Rightarrow (\underline{\text{case}} \text{ } \text{wire } p \text{ } \underline{\text{of}} \\ \text{None} &\Rightarrow \text{Write } (\text{comp\_op wire buf } op \text{ } op_2) (\text{Inl } p) \text{ } x \end{aligned}$$

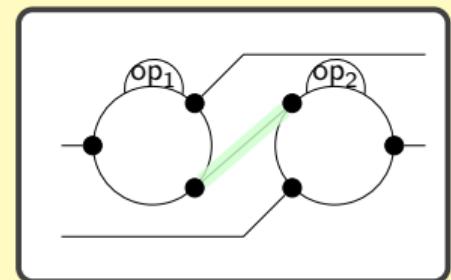
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Equation of the composition operator

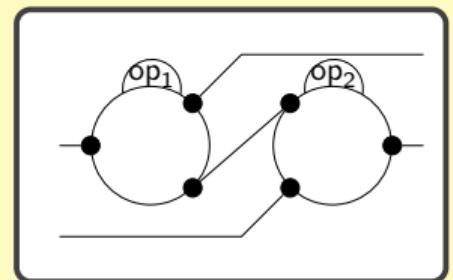
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| Write  $op \ p \ x \Rightarrow (\underline{\text{case}} \ \text{wire } p \ \underline{\text{of}}$   
| None  $\Rightarrow \text{Write} (\text{comp\_op wire buf } op \ op_2) (\text{Inl } p) \ x$   
| Some  $q \Rightarrow \text{Silent} (\text{comp\_op wire (BENQ } q \times \text{buf) } op \ op_2))$   
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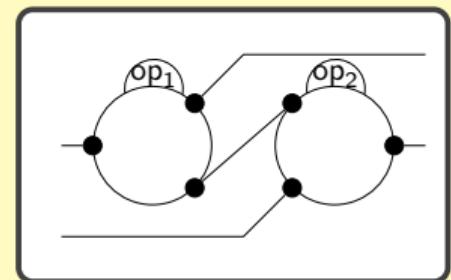
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        | Some  $q \Rightarrow \text{Silent} (\text{comp\_op wire } (\text{BENQ } q \ x \ \text{buf}) \ op \ op_2))$   
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# Composition: Equation

Equation of the composition operator

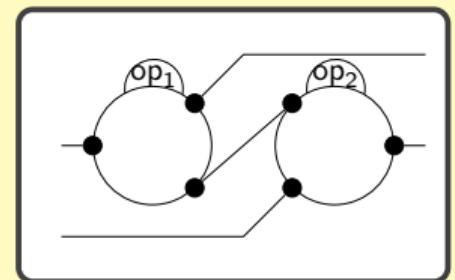
$\text{comp\_op wire buf } op_1 \ op_2 = \text{Choice (((}\lambda \text{op. case op of}$   
    Read  $p \ f \Rightarrow \text{Read} (\text{Inl } p) (\lambda x. \text{comp\_op wire buf } (f \ x) \ op_2)$   
    | Write  $op \ p \ x \Rightarrow (\text{case wire p of}$   
        None  $\Rightarrow \text{Write} (\text{comp\_op wire buf } op \ op_2) (\text{Inl } p) \ x$   
        | Some  $q \Rightarrow \text{Silent} (\text{comp\_op wire } (\text{BENQ } q \ x \ \text{buf}) \ op \ op_2))$   
    | Silent  $op \Rightarrow \text{Silent} (\text{comp\_op wire buf } op \ op_2))$   
`<sub>c</sub> choices  $op_1) \cup_c$



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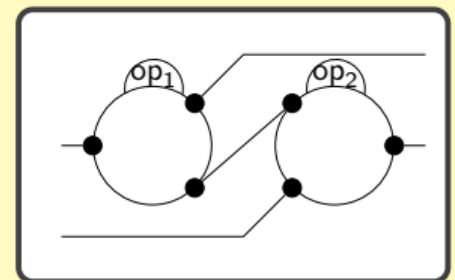
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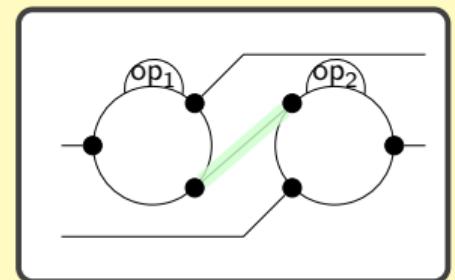
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then  $\text{Silent} (\text{comp\_op wire } (\text{BTL } p \ \text{buf}) \ op_1 (f (\text{BHD } p \ \text{buf})))$



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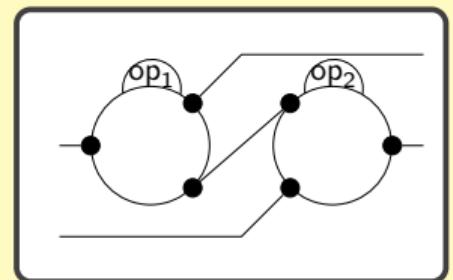
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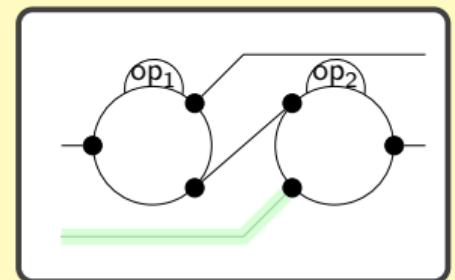
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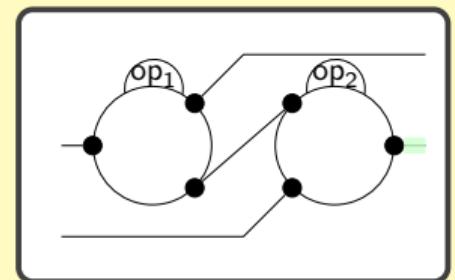
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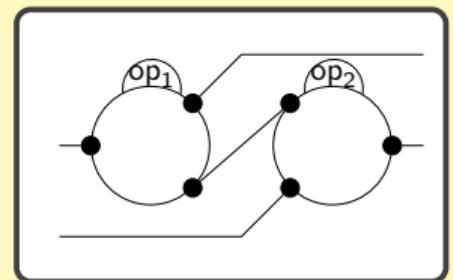
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# Asynchronous Dataflow Operators

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- Parallel composition:  $op_1 \parallel op_2$
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$$\mathcal{C} :: ('n, 'n + 'n, 'd) \text{ op}$$

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Copy operator type

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Equality test operator type

$$\mathcal{Q} :: ('n + 'n, 'n, 'd \text{ option}) \text{ op}$$

## Asynchronous Dataflow Properties

# Basic Network Algebra Properties

$$B1: op_1 \parallel (op_2 \parallel op_3) \approx \text{map\_op} \curvearrowleft \curvearrowleft (op_1 \parallel op_2) \parallel op_3$$

$$B2\_1: op \parallel (\mathcal{I} :: (0, 0, 'd) op) \approx \text{map\_op} \text{Inl} \text{Inl} op$$

$$B2\_2: (\mathcal{I} :: (0, 0, 'd) op) \parallel op \approx \text{map\_op} \text{Inr} \text{Inr} op$$

$$B3: (op_1 \bullet op_2) \bullet op_3 \approx op_1 \bullet (op_2 \bullet op_3)$$

$$B4\_1: op \bullet \mathcal{I} \approx op$$

$$B4\_2: \mathcal{I} \bullet op \approx op$$

$$B5: (op_1 \parallel op_2) \bullet (op_3 \parallel op_4) \approx (op_1 \bullet op_3) \parallel (op_2 \bullet op_4)$$

$$B6: \mathcal{I} \parallel \mathcal{I} \approx \mathcal{I}$$

$$B7: \mathcal{X} \bullet \mathcal{X} \approx \mathcal{I}$$

$$B8: (\mathcal{X} :: ('i + 0, 0 + 'i, 'd) op) \approx \text{map\_op} \text{id} (\text{case\_sum} \text{Inr} \text{Inl}) \mathcal{I}$$

$$B9: \mathcal{X} \approx \text{map\_op} \curvearrowleft \curvearrowleft (\mathcal{X} \parallel \mathcal{I}) \bullet \text{map\_op} \text{id} \curvearrowleft (\mathcal{I} \parallel \mathcal{X})$$

$$B10: (op_1 \parallel op_2) \bullet \mathcal{X} \approx \mathcal{X} \bullet (op_2 \parallel op_1)$$

$$F1: \mathcal{I} \uparrow \approx (\mathcal{I} :: (0, 0, 'd) op)$$

$$F2: \mathcal{X} \uparrow \approx \mathcal{I}$$

$$R1: op_2 \bullet (op_1 \uparrow) \approx ((op_2 \parallel \mathcal{I}) \bullet op_1) \uparrow$$

$$R2: (op_1 \uparrow) \bullet op_2 \approx (op_1 \bullet (op_2 \parallel \mathcal{I})) \uparrow$$

$$R3: op_1 \parallel (op_2 \uparrow) \approx (\text{map\_op} \curvearrowleft \curvearrowleft (op_1 \parallel op_2)) \uparrow$$

$$R4: (op_1 \bullet (\mathcal{I} \parallel op_2)) \uparrow \approx ((\mathcal{I} \parallel op_2) \bullet op_1) \uparrow$$

$$R5: \text{map\_op} \text{Inl} \text{Inl} ((op :: ('i + 0, 'o + 0, 'd) op) \uparrow) \approx op$$

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# Basic Network Algebra Properties

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$$B4\_1: op \bullet \mathcal{I} \approx op$$

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$$B5: (op_1 \parallel op_2) \bullet (op_3 \parallel op_4) \approx (op_1 \bullet op_3) \parallel (op_2 \bullet op_4)$$

$$B6: \mathcal{I} \parallel \mathcal{I} \approx \mathcal{I}$$

$$B7: \mathcal{X} \bullet \mathcal{X} \approx \mathcal{I}$$

$$B8: (\mathcal{X} :: ('i + 0, 0 + 'i, 'd) op) \approx \text{map\_op} \text{id} (\text{case\_sum} \text{Inr} \text{Inl}) \mathcal{I}$$

$$B9: \mathcal{X} \approx \text{map\_op} \curvearrowleft \curvearrowleft (\mathcal{X} \parallel \mathcal{I}) \bullet \text{map\_op} \text{id} \curvearrowleft (\mathcal{I} \parallel \mathcal{X})$$

$$B10: (op_1 \parallel op_2) \bullet \mathcal{X} \approx \mathcal{X} \bullet (op_2 \parallel op_1)$$

$$F1: \mathcal{I} \uparrow \approx (\mathcal{I} :: (0, 0, 'd) op)$$

$$F2: \mathcal{X} \uparrow \approx \mathcal{I}$$

$$R1: op_2 \bullet (op_1 \uparrow) \approx ((op_2 \parallel \mathcal{I}) \bullet op_1) \uparrow$$

$$R2: (op_1 \uparrow) \bullet op_2 \approx (op_1 \bullet (op_2 \parallel \mathcal{I})) \uparrow$$

$$R3: op_1 \parallel (op_2 \uparrow) \approx (\text{map\_op} \curvearrowleft \curvearrowleft (op_1 \parallel op_2)) \uparrow$$

$$R4: (op_1 \bullet (\mathcal{I} \parallel op_2)) \uparrow \approx ((\mathcal{I} \parallel op_2) \bullet op_1) \uparrow$$

$$R5: \text{map\_op} \text{Inl} \text{Inl} ((op :: ('i + 0, 'o + 0, 'd) op) \uparrow) \approx op$$

$$R6: (op \uparrow) \uparrow \approx (\text{map\_op} \curvearrowleft \curvearrowleft op) \uparrow$$

# Basic Network Algebra Properties

$$B1: op_1 \parallel (op_2 \parallel op_3) \approx \text{map\_op} \curvearrowleft \curvearrowleft (op_1 \parallel op_2) \parallel op_3$$

$$B2\_1: op \parallel (\mathcal{I} :: (0, 0, 'd) op) \approx \text{map\_op} \text{Inl} \text{Inl} op$$

$$B2\_2: (\mathcal{I} :: (0, 0, 'd) op) \parallel op \approx \text{map\_op} \text{Inr} \text{Inr} op$$

$$B3: (op_1 \bullet op_2) \bullet op_3 \approx op_1 \bullet (op_2 \bullet op_3)$$

$$B4\_1: op \bullet \mathcal{I} \approx op$$

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$$B5: (op_1 \parallel op_2) \bullet (op_3 \parallel op_4) \approx (op_1 \bullet op_3) \parallel (op_2 \bullet op_4)$$

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$$B9: \mathcal{X} \approx \text{map\_op} \curvearrowleft \curvearrowleft (\mathcal{X} \parallel \mathcal{I}) \bullet \text{map\_op} \text{id} \curvearrowleft (\mathcal{I} \parallel \mathcal{X})$$

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$$F1: \mathcal{I} \uparrow \approx (\mathcal{I} :: (0, 0, 'd) op)$$

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# Properties of Equality Test, Merge, Copy, Split, Source and Sink operators

$$A1: (\mathcal{Q} \parallel \mathcal{I}) \bullet \mathcal{Q} \approx \text{map\_op } \curvearrowleft \text{id } ((\mathcal{I} \parallel \mathcal{Q}) \bullet \mathcal{Q})$$

$$A2: \mathcal{X} \bullet \otimes \approx \otimes \quad \text{for } \otimes \in \{\mathcal{Q}, \mathcal{V}\} \qquad \qquad A6: \otimes \bullet \mathcal{X} \approx \otimes \quad \text{for } \otimes \in \{\mathcal{C}, \Lambda\}$$

$$A3_{\mathcal{Q}}: ((i :: (0, 'a, 'd) op) \parallel \mathcal{I}) \bullet \mathcal{Q} \approx (! :: (0 + 'a, 0, 'd) op) \bullet i$$

$$A3_{\mathcal{V}}: ((i :: (0, 'a, 'd) op) \parallel \mathcal{I}) \bullet \mathcal{V} \approx \text{map\_op Inr id } \mathcal{I}$$

$$A4: \otimes \bullet ! \approx ! \parallel ! \quad \text{for } \otimes \in \{\mathcal{Q}, \mathcal{V}\} \qquad \qquad A8: i \bullet \otimes \approx i \parallel i \quad \text{for } \otimes \in \{\mathcal{C}, \Lambda\}$$

$$A5: \mathcal{C} \bullet (\mathcal{C} \parallel \mathcal{I}) \approx \text{map\_op id } \curvearrowleft (\mathcal{C} \bullet (\mathcal{I} \parallel \mathcal{C}))$$

$$A7: \mathcal{C} \bullet (! \parallel \mathcal{I}) \approx \text{map\_op id Inr } \mathcal{I} \qquad \qquad A9: i \bullet ! \approx \mathcal{I}$$

$$A10: \mathcal{Q} \bullet \mathcal{C} \approx (\mathcal{C} \parallel \mathcal{C}) \bullet (\text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\mathcal{I} \parallel \mathcal{X}) \parallel \mathcal{I})) \bullet (\mathcal{Q} \parallel \mathcal{Q})$$

$$A11: \mathcal{C} \bullet \mathcal{Q} \approx \mathcal{I} \qquad \qquad \qquad A12: i \approx (\mathcal{I} :: (0, 0, 'd) op)$$

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$$A15: \otimes \approx \text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\mathcal{I} \parallel \mathcal{X}) \parallel \mathcal{I}) \bullet (\otimes \parallel \otimes) \quad \text{for } \otimes \in \{\mathcal{Q}, \mathcal{V}\}$$

$$A18: \text{map\_op Inl id } (\otimes :: (0, 0 + 0, 'd) op) \approx \mathcal{I} \quad \text{for } \otimes \in \{\mathcal{C}, \Lambda\}$$

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$$F5: ((\mathcal{I} \parallel \mathcal{C}) \bullet \text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\mathcal{X} \parallel \mathcal{I}) \bullet (\mathcal{I} \parallel \mathcal{Q})) \uparrow \approx ! \bullet i$$

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$$A10: \mathcal{Q} \bullet \mathcal{C} \approx (\mathcal{C} \parallel \mathcal{C}) \bullet (\text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\text{map\_op } \curvearrowleft \curvearrowleft \curvearrowleft (\mathcal{I} \parallel \mathcal{X}) \parallel \mathcal{I})) \bullet (\mathcal{Q} \parallel \mathcal{Q})$$

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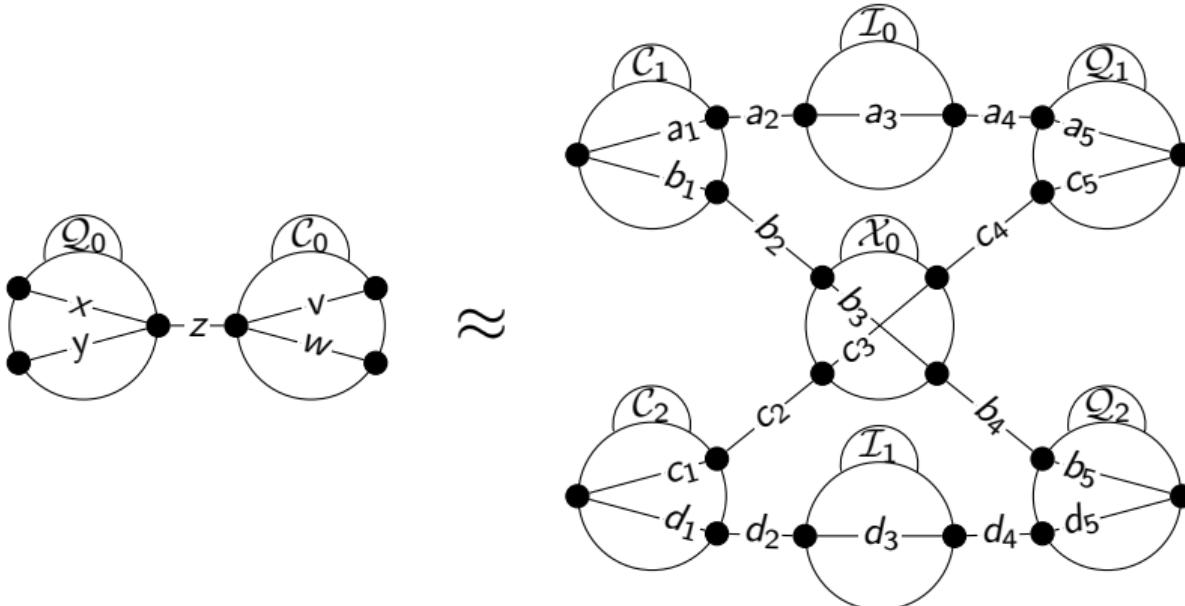
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- $\approx$  coinduction principle
- Buffers generalization
- Buffers invariant (details in the paper and artifact)

## Related Work

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- Vélus project
  - Verified Lustre compilation
  - Synchronous dataflow language

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  - Axiom A1 for the merge operator does not hold for us:



- Around 28 000 lines of definitions and proofs

# Conclusion

- An Isabelle/HOL instance of Nondeterministic Asynchronous Dataflow
- Isabelle/HOL has a good tool set:
  - Codatatypes, corecursion, coinductive predicates
- 51/52 axioms proved
  - Axiom A1 for the merge operator does not hold for us:



- Around 28 000 lines of definitions and proofs
- Future work:
  - Brock–Ackermann Time anomaly
  - Formalize Timely Dataflow infrastructure (finished)
  - Verify Timely Dataflow algorithms (on-going)

Questions, comments and suggestions