

Generating Higher Identity Proofs in Homotopy Type Theory

RocqShop 2025, Reykjavik

Thibaut Benjamin

27 September 2025

Université Paris-Saclay, CNRS, ENS Paris-Saclay, Laboratoire Méthodes Formelles

Dealing with identity types in Rocq

Example: transitivity lemma

$$x \stackrel{p}{=} y \stackrel{q}{=} z \longrightarrow x \stackrel{\text{trans}(p,q)}{=} z$$

Example: transitivity lemma

$$x \underset{p}{=} y \underset{q}{=} z \longrightarrow x \underset{\text{trans}(p,q)}{=} z$$

```
Lemma trans : forall {A : Type} {x y z : A}
  (p : x = y) (q : y = z), x = z.
```

```
Proof.
```

```
  intros.
```

```
  induction q.
```

```
  induction p.
```

```
  reflexivity.
```

```
Defined.
```

A direct definition of the transitivity proof term

$$x \underset{p}{=} y \underset{q}{=} z \longrightarrow x \underset{\text{trans}(p,q)}{=} z$$

A direct definition of the transitivity proof term

$$x \underset{p}{=} y \underset{q}{=} z \longrightarrow x \underset{\text{trans}(p,q)}{=} z$$

```
Definition trans : forall {A : Type} {x y z : A}
  (p : x = y) (q : y = z), x = z :=
  fun A z y z p q =>
    match q with
    | eq_refl =>
      match p with
      | eq_refl => eq_refl
      end
    end.
```

A more involved example: “whiskering”

$$\begin{array}{c} p \\ \frown \\ x \quad \parallel a \quad y \\ \smile \\ q \end{array} \xrightarrow{\quad r \quad} z \quad \longrightarrow \quad \begin{array}{c} \text{trans}(p,r) \\ \frown \\ x \quad \parallel \quad z \\ \smile \\ \text{trans}(q,r) \end{array}$$

A more involved example: “whiskering”

$$x \begin{array}{c} \xrightarrow{p} \\ \parallel a \\ \xrightarrow{q} \end{array} y \xrightarrow{r} z \longrightarrow x \begin{array}{c} \xrightarrow{\text{trans}(p,r)} \\ \parallel \\ \xrightarrow{\text{trans}(q,r)} \end{array} z$$

```
Definition whisk : forall {A : Type} {x y z : A}
  {p q : x = y} (a : p = q)
  (r : y = z), trans p r = trans q r :=
fun A z y z p q a r =>
  match r with
  | eq_refl =>
    match a with
    | eq_refl =>
      match p with
      | eq_refl => eq_refl
      end
    end
  end.
```


Streamlining these arguments

- ▶ These arguments are purely structural
No transport, no interaction of identity with other structure

Streamlining these arguments

- ▶ These arguments are purely structural
No transport, no interaction of identity with other structure
- ▶ The proof strategies are very similar:
Pattern match every equality against `eq_refl`, and the proof is `eq_refl`

Streamlining these arguments

- ▶ These arguments are purely structural
No transport, no interaction of identity with other structure
- ▶ The proof strategies are very similar:
Pattern match every equality against `eq_refl`, and the proof is `eq_refl`
- ▶ Yet, they are not trivial
See the Eckmann-Hilton example at the end of the talk

Streamlining these arguments

- ▶ These arguments are purely structural
No transport, no interaction of identity with other structure
- ▶ The proof strategies are very similar:
Pattern match every equality against `eq_refl`, and the proof is `eq_refl`
- ▶ Yet, they are not trivial
See the Eckmann-Hilton example at the end of the talk
- ▶ Aim of the talk: streamline as much of these arguments as possible

Identity types, weak ω -groupoids and Catt

Weak ω -groupoids and identity types

- ▶ Weak ω -groupoids are the algebraic structure that describe identity types.
They describe exactly the arguments we want to streamline

Weak ω -groupoids and identity types

- ▶ Weak ω -groupoids are the algebraic structure that describe identity types.
They describe exactly the arguments we want to streamline
- ▶ They are a flavour of higher-dimensional categories.

Weak ω -groupoids and identity types

- ▶ Weak ω -groupoids are the algebraic structure that describe identity types.
They describe exactly the arguments we want to streamline
- ▶ They are a flavour of higher-dimensional categories.
- ▶ In this talk, we present the language Catt, a DSL to work with weak ω -categories.
We will not introduce formally the theory of weak ω -groupoids

Weak ω -groupoids and identity types

- ▶ Weak ω -groupoids are the algebraic structure that describe identity types.
They describe exactly the arguments we want to streamline
- ▶ They are a flavour of higher-dimensional categories.
- ▶ In this talk, we present the language Catt, a DSL to work with weak ω -categories.
We will not introduce formally the theory of weak ω -groupoids
- ▶ Weak ω -groupoids are a particular case of weak ω -categories.
We use a language for the latter, for historical reasons, but we will ignore the difference in this talk

Pasting diagrams

Pasting diagrams are equality schemes that can be completely pattern-matched away against `eq_refl`¹

¹not all of them

Pasting diagrams

Pasting diagrams are equality schemes that can be completely pattern-matched away against `eq_refl`¹

Examples:

$$x \xrightarrow{p} y \xrightarrow{q} z \quad \rightsquigarrow \quad (x(p)y(q)z)$$

¹not all of them

Pasting diagrams

Pasting diagrams are equality schemes that can be completely pattern-matched away against `eq_refl`¹

Examples:

$$x \xlongequal{p} y \xlongequal{q} z \quad \rightsquigarrow \quad (x(p)y(q)z)$$

$$x \xlongequal[p]{p} a \xlongequal{r} z \quad \rightsquigarrow \quad (x(p(a)q)y(r)z)$$

¹not all of them

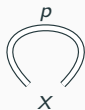
Obstructions to being a pasting diagram

Not all equality schemes can be completely pattern-matched away. The obstructions are topological

Obstructions to being a pasting diagram

Not all equality schemes can be completely pattern-matched away. The obstructions are topological

Examples:

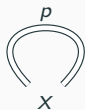


Pattern-matching the loop onto `eq_refl` requires the axiom K

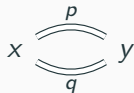
Obstructions to being a pasting diagram

Not all equality schemes can be completely pattern-matched away. The obstructions are topological

Examples:



Pattern-matching the loop onto `eq_refl` requires the axiom `K`



Pattern-matching `q` onto `eq_refl` creates loop

The language Catt^2

- ▶ types : \star , and $a \sim b$, where a, b are terms of the same type

Intuition: $a \sim b$ are abstract equalities

²terms and conditions: groupoids vs categories

The language Catt^2

- types : \star , and $a \sim b$, where a, b are terms of the same type

Intuition: $a \sim b$ are abstract equalities

- terms : generated by variables and $\text{coh}(\Gamma, A)$ where Γ is a pasting diagram and A is a type in Γ

Intuition: In a pasting diagram, every pair of terms of the same type are equal, by pattern-matching the entire diagram away

²terms and conditions: groupoids vs categories

The language Catt²

- ▶ types : \star , and $a \sim b$, where a, b are terms of the same type

Intuition: $a \sim b$ are abstract equalities

- ▶ terms : generated by variables and $\text{coh}(\Gamma, A)$ where Γ is a pasting diagram and A is a type in Γ

Intuition: In a pasting diagram, every pair of terms of the same type are equal, by pattern-matching the entire diagram away

- ▶ In the implemented language, additionnal conditions are put on the type A in a coherence, to model categories.

I hope to implement the version presented here soon

²terms and conditions: groupoids vs categories

Live demo

Generating identity proof from Catt terms

- ▶ Use terms in Catt to generate identity proofs in Rocq

Principle

- ▶ Use terms in Catt to generate identity proofs in Rocq
- ▶ Boilerplate inductive mechanism for the structure of the theory

- ▶ Use terms in Catt to generate identity proofs in Rocq
- ▶ Boilerplate inductive mechanism for the structure of the theory
- ▶ Base case: $\text{coh}(\Gamma, A)$ is handled by pattern-matching away all equalities described by Γ and the proof is then `eq_refl`.

Principle

- ▶ Use terms in Catt to generate identity proofs in Rocq
- ▶ Boilerplate inductive mechanism for the structure of the theory
- ▶ Base case: $\text{coh}(\Gamma, A)$ is handled by pattern-matching away all equalities described by Γ and the proof is then `eq_refl`.
- ▶ Implicit arguments are managed automatically

Live demo

Building complex proofs

- ▶ Catt is a small and simple language, with a direct focus
Contrarily to Rocq, which is wide and general purpose

Proof automation in Catt

- ▶ Catt is a small and simple language, with a direct focus
Contrarily to Rocq, which is wide and general purpose
- ▶ We can algorithmically manipulate Catt as a language to generate proof-terms.
Several meta-operations are already implemented
Suspension, opposites, functoriality, naturality...

Proof automation in Catt

- ▶ Catt is a small and simple language, with a direct focus
Contrarily to Rocq, which is wide and general purpose
- ▶ We can algorithmically manipulate Catt as a language to generate proof-terms.
Several meta-operations are already implemented
Suspension, opposites, functoriality, naturality...
- ▶ Our export to Rocq allows to leverage these to build proofs on the structure of identity

The Eckmann-Hilton argument

- ▶ The Eckmann-Hilton argument is an important argument in topology
It is tightly connected to homotopy theory, and in Rocq, is proven by purely structural manipulation on identity types

The Eckmann-Hilton argument

- ▶ The Eckmann-Hilton argument is an important argument in topology
It is tightly connected to homotopy theory, and in Rocq, is proven by purely structural manipulation on identity types
- ▶ It also provides a refutation axiom K
It allows one to construct an equality between a term and itself, which in some models is not the reflexivity

The Eckmann-Hilton argument: live demo

Thank you!