



A Verified Cost Model for Call-by-Push-Value

ITP2025

Zhuo Zoey Chen, Johannes Åman Pohjola, Christine Rizkallah





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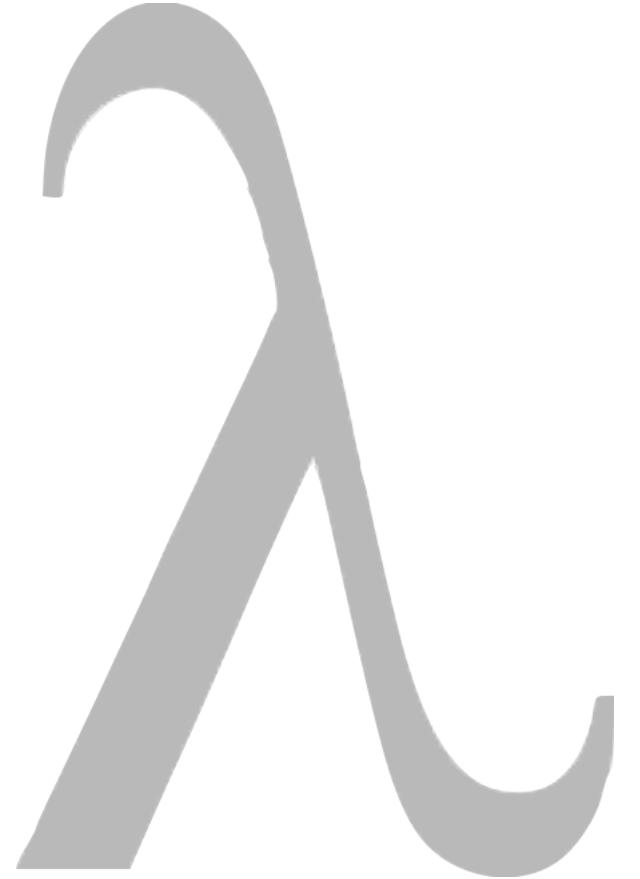




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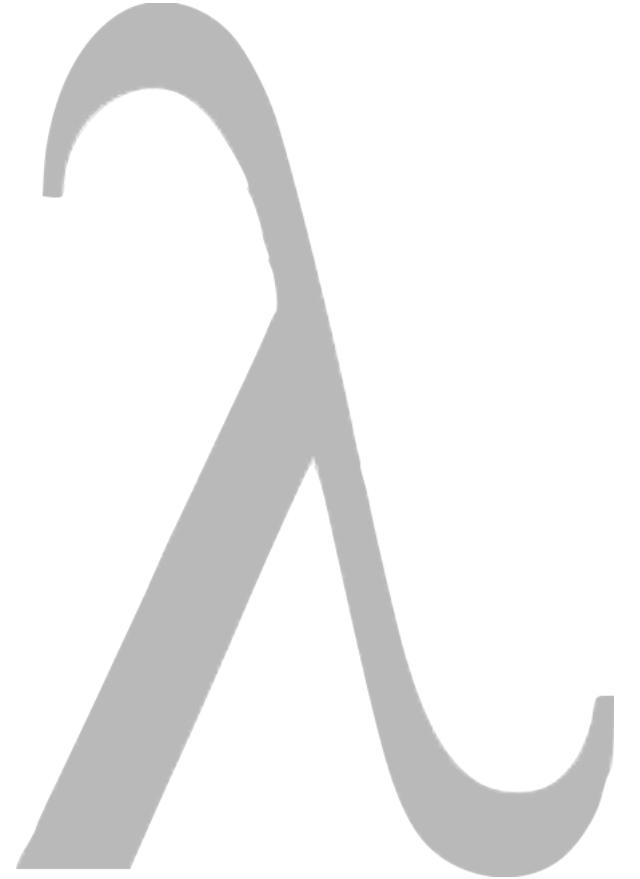




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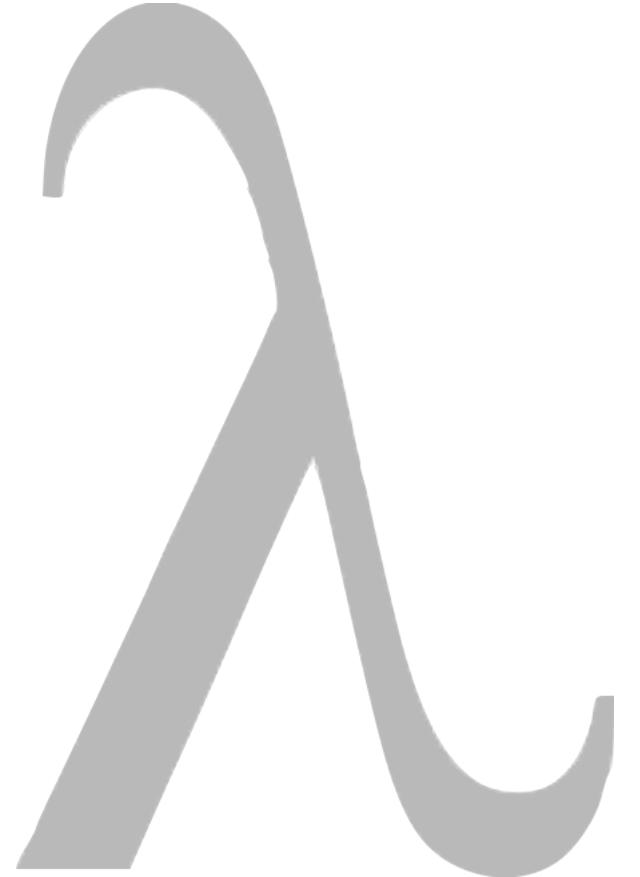




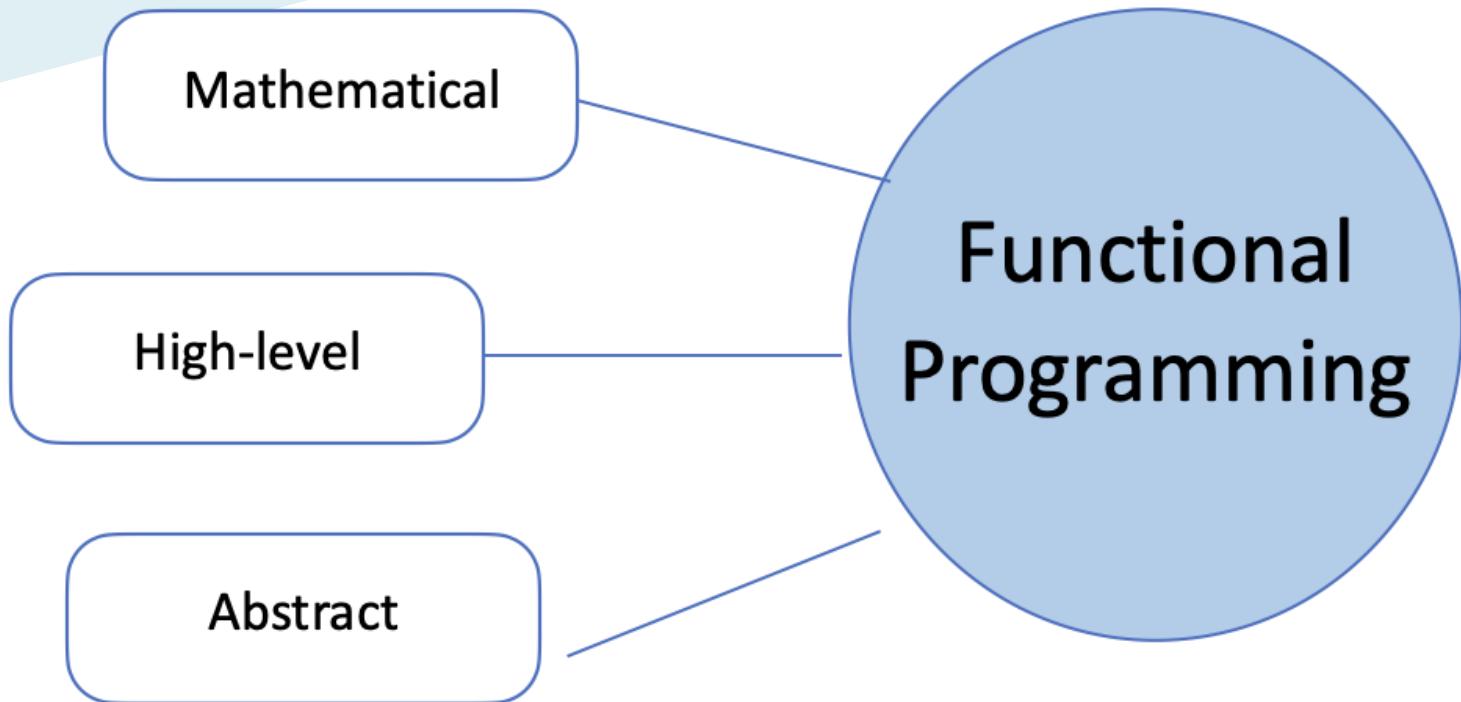
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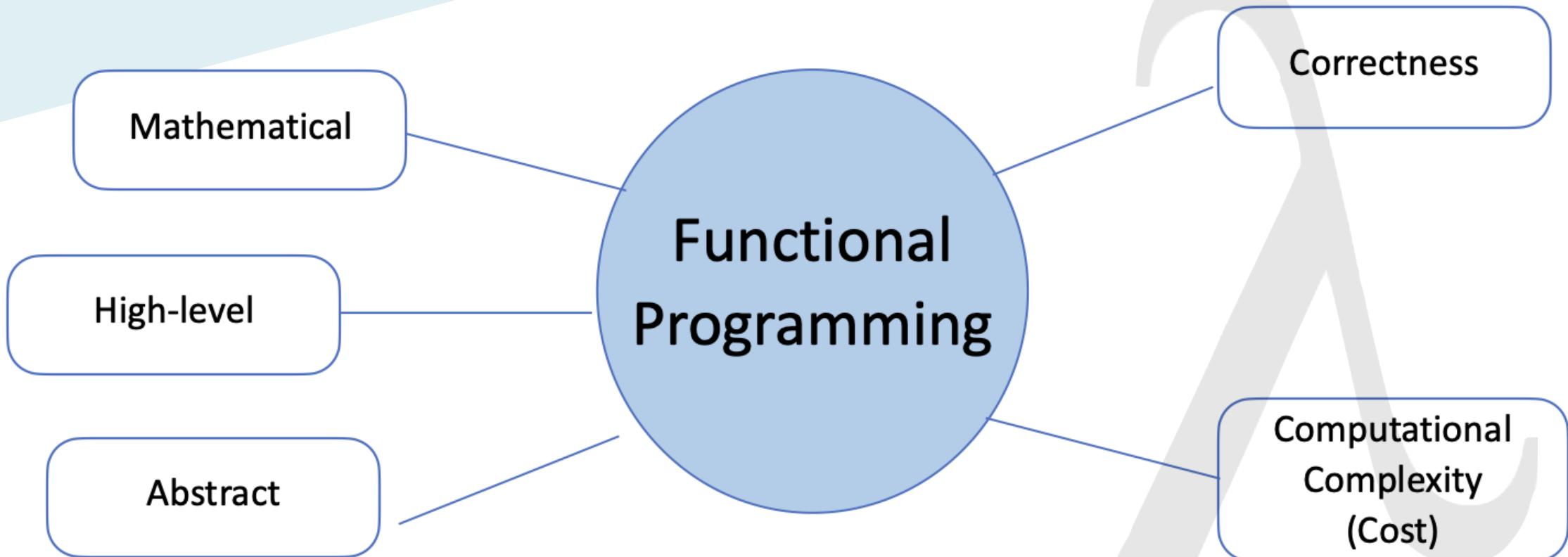
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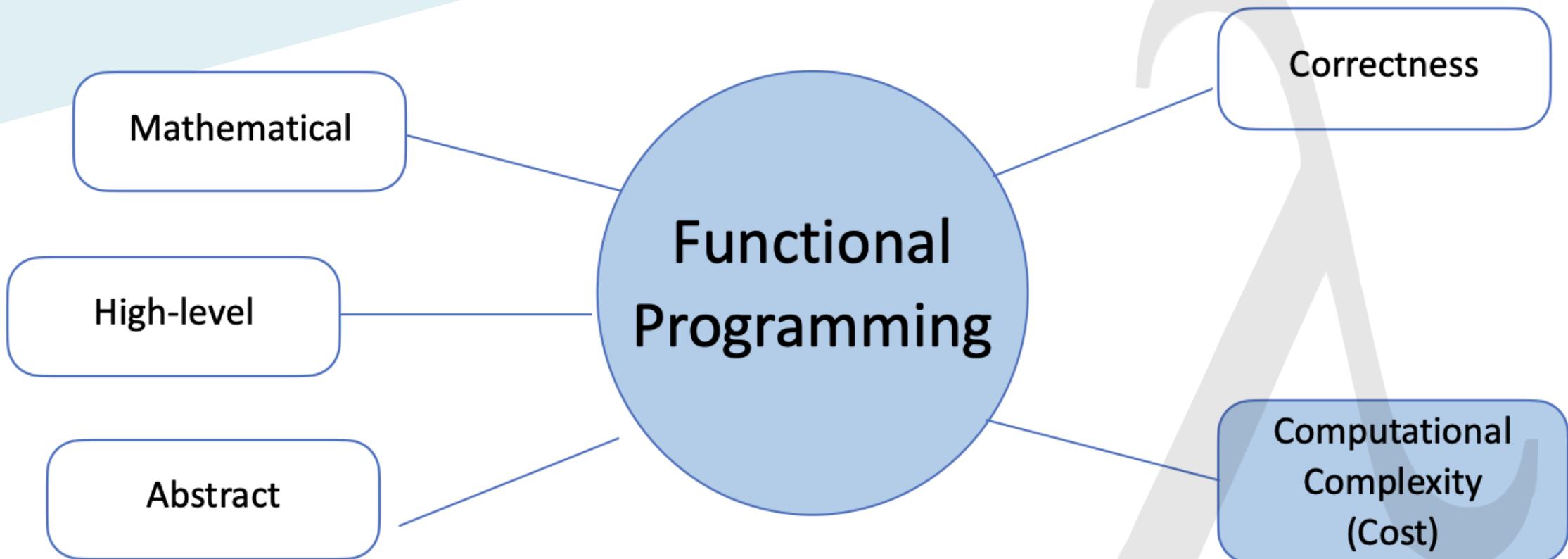
Problem and Motivation



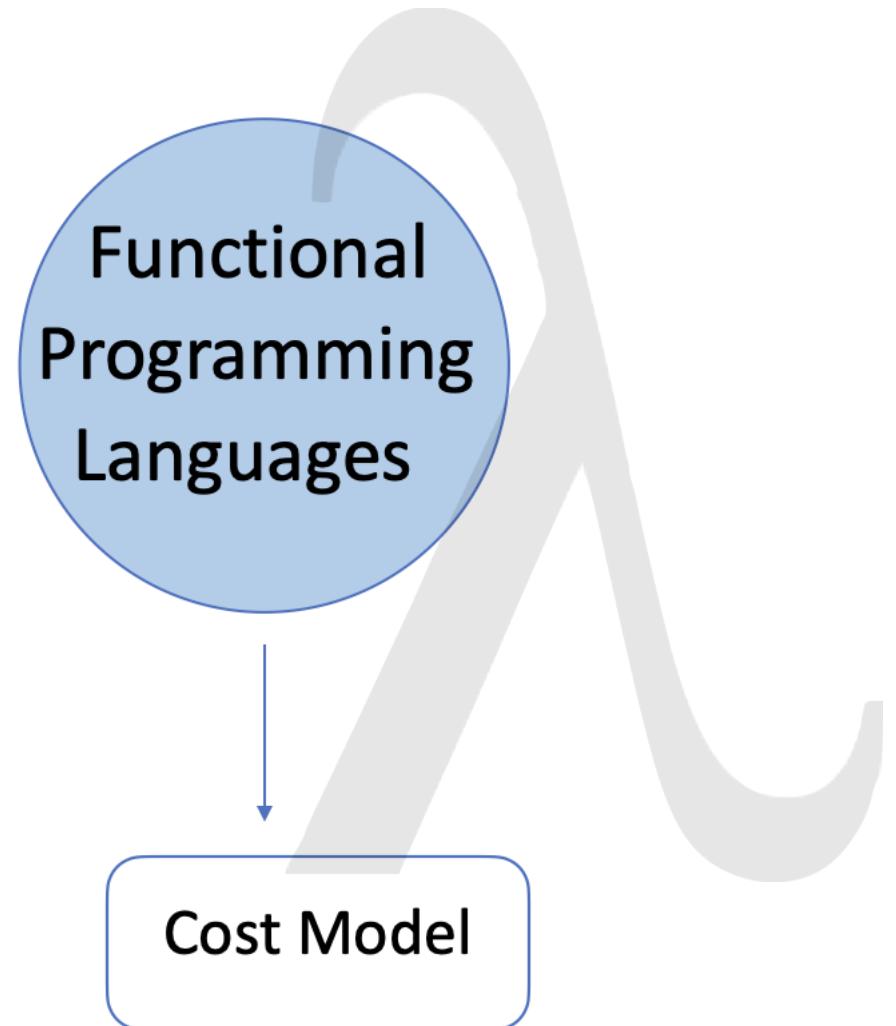
Problem and Motivation



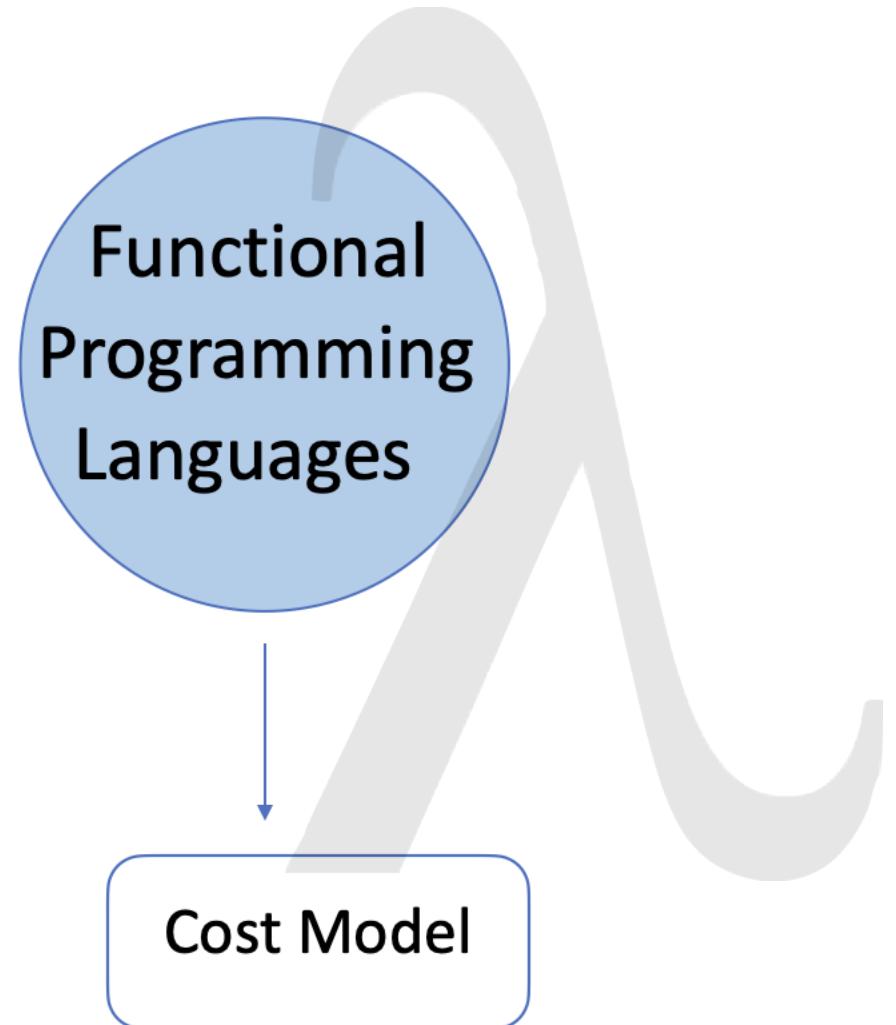
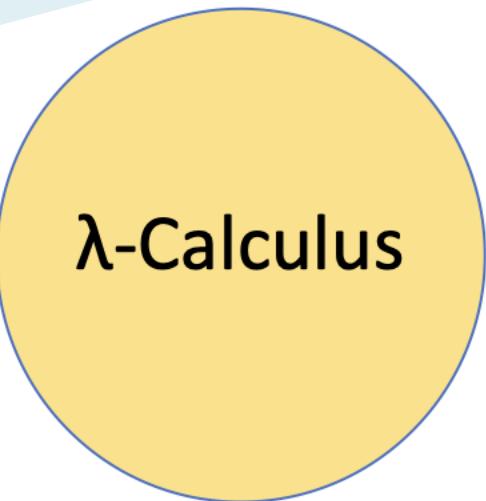
Problem and Motivation



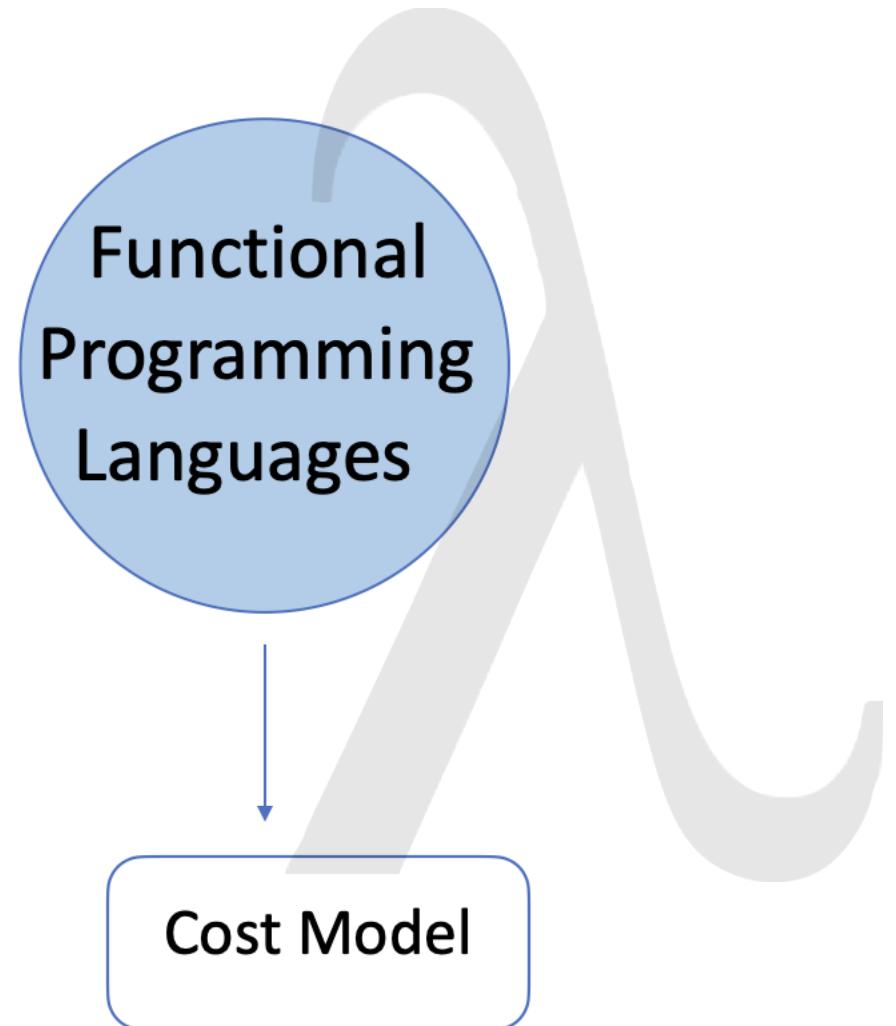
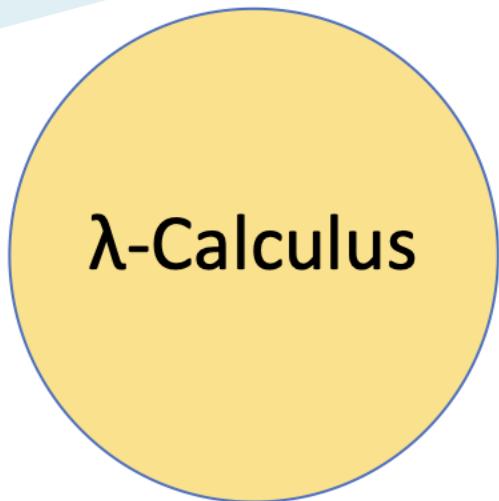
Problem and Motivation



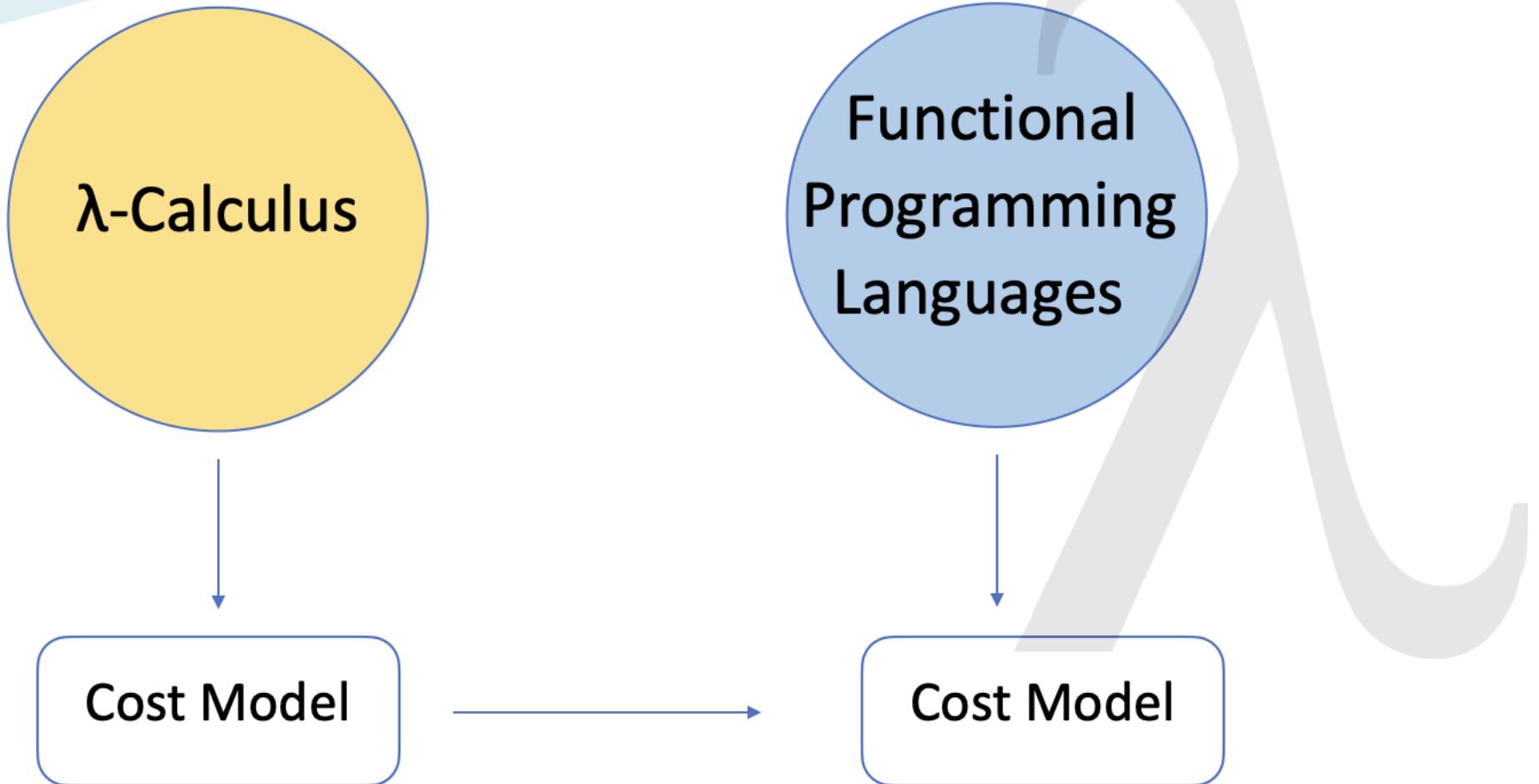
Problem and Motivation



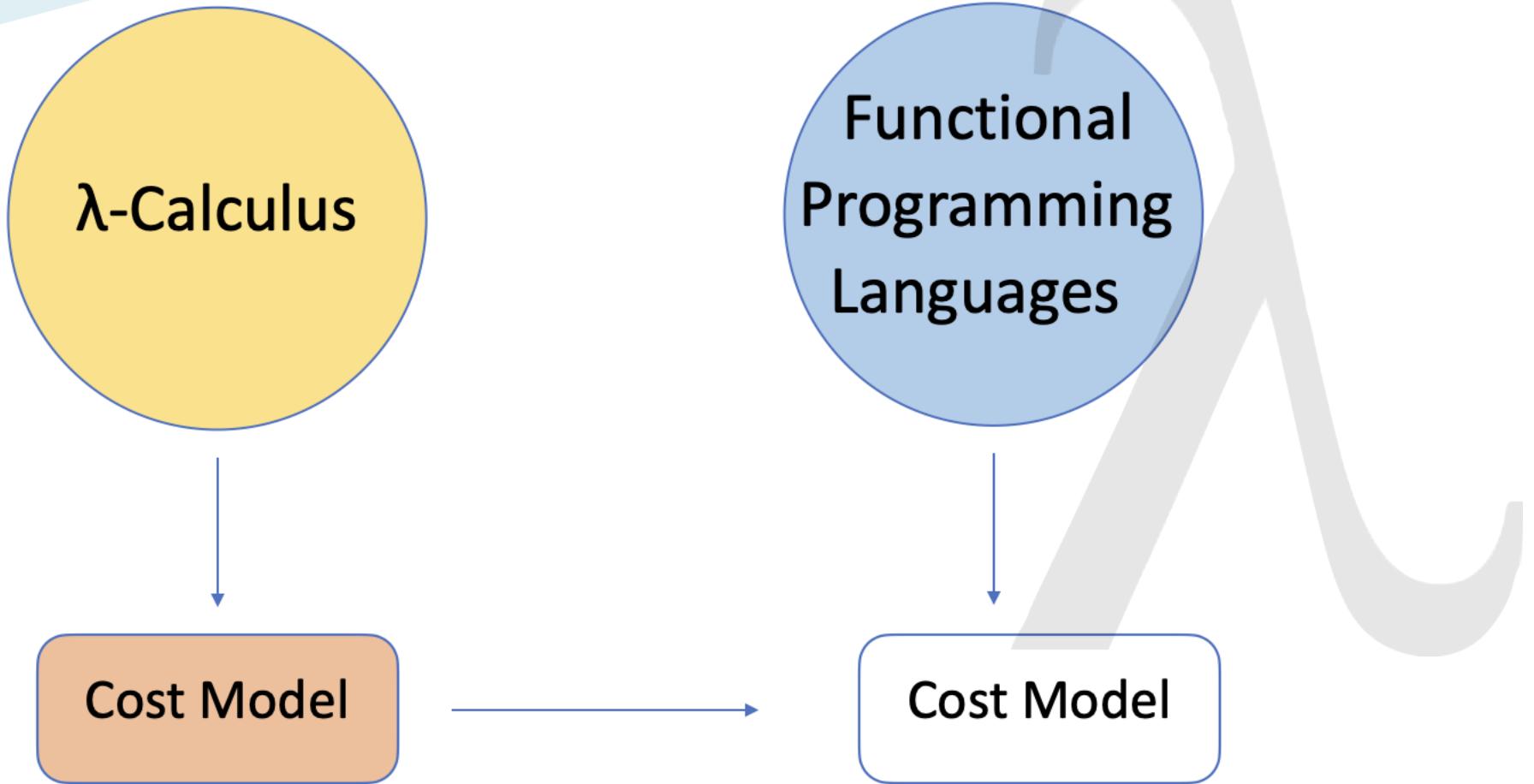
Problem and Motivation



Problem and Motivation



Problem and Motivation





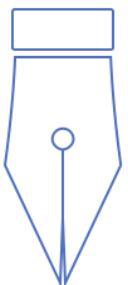
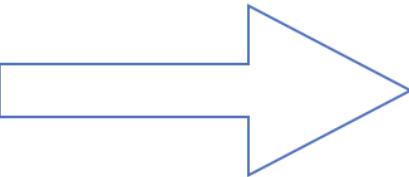
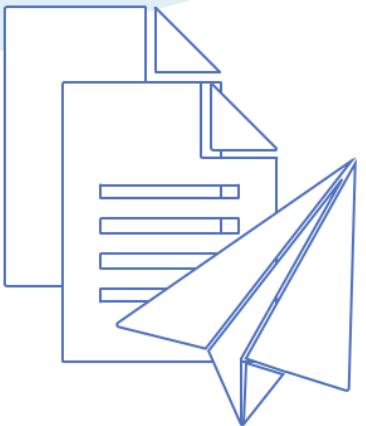
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Formal Verification



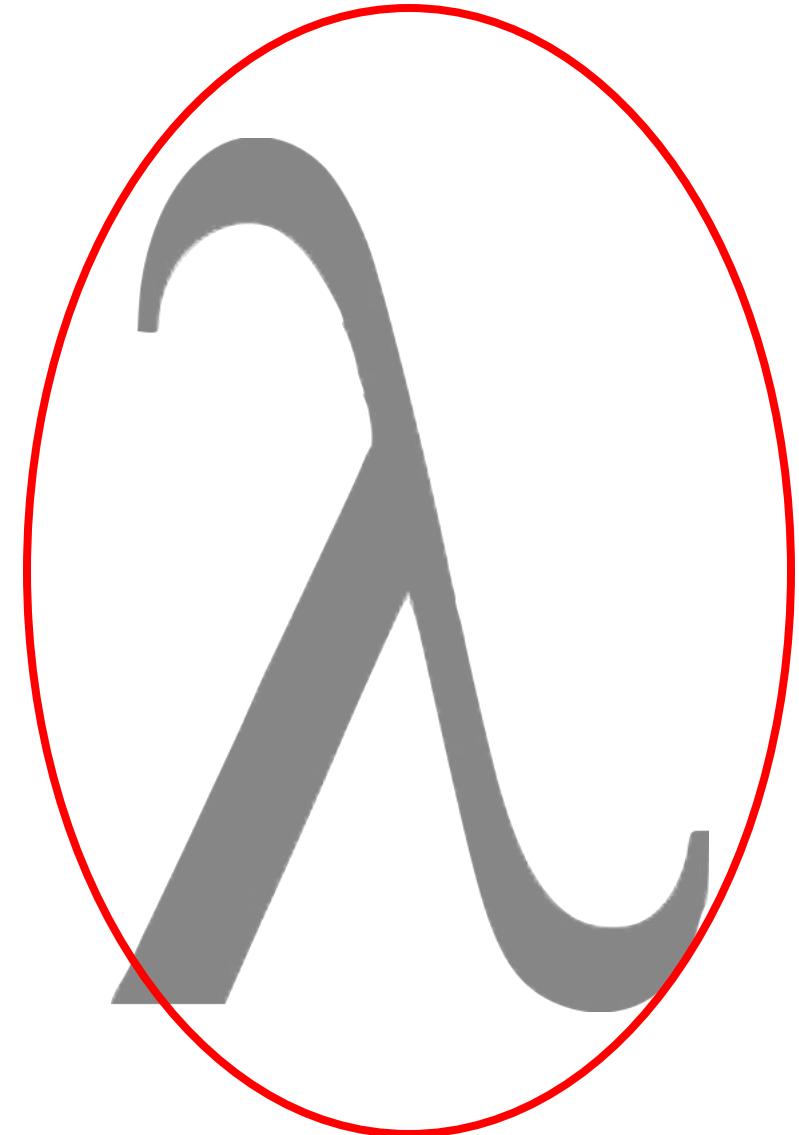
Interactive
Theorem Prover



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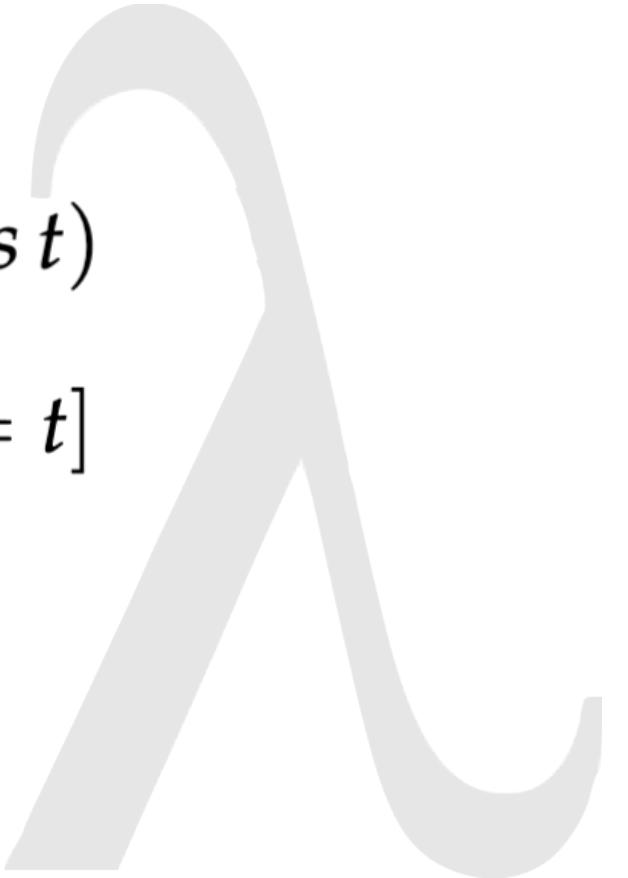


Background – Lambda Calculus

λ -expression $s, t := x | (\lambda x. s) | (s\ t)$

(β -reduction). $(\lambda x. s)\ t \rightarrow_{\beta} s[x := t]$

(Substitution). $s[x := t]$



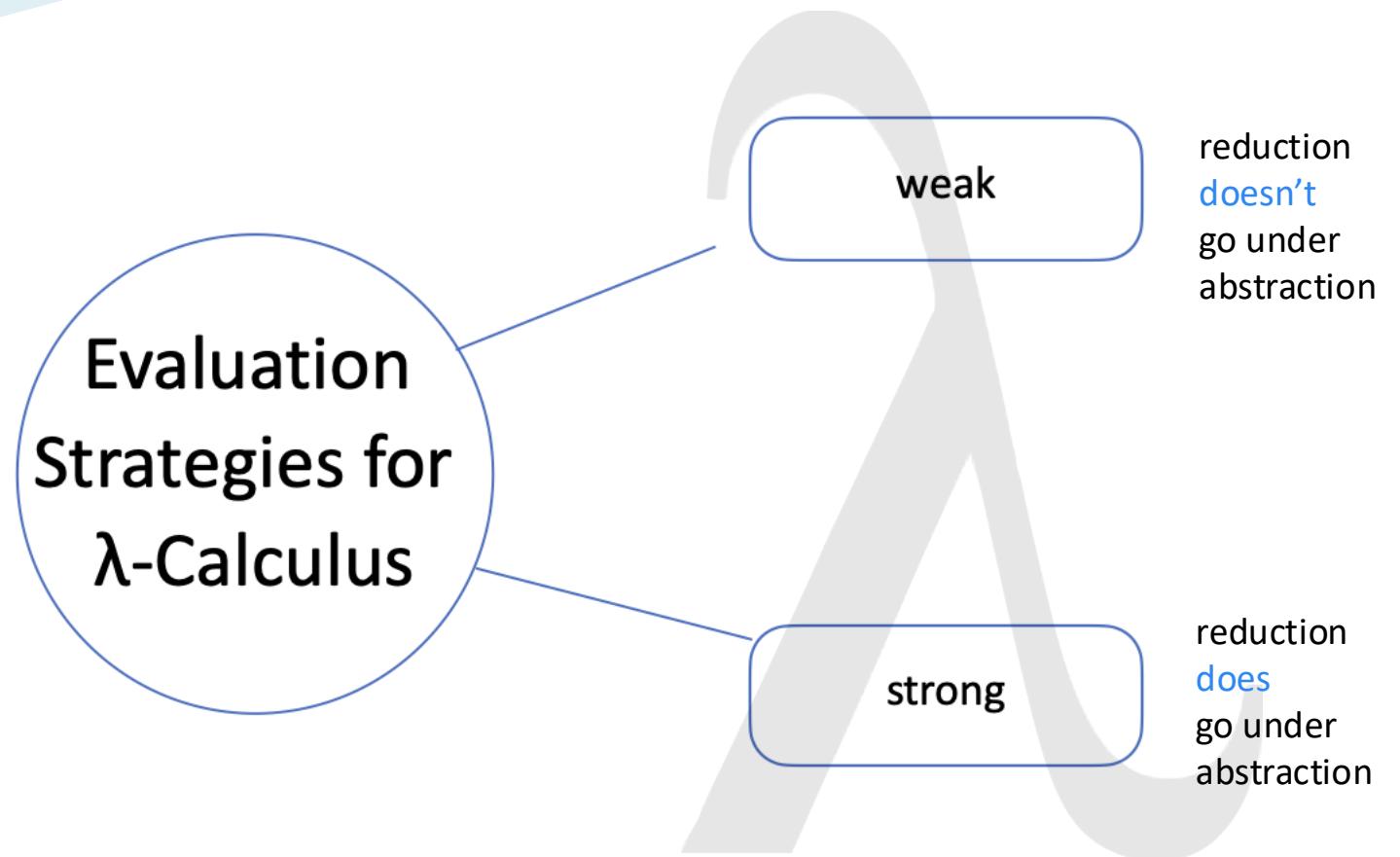


Background – Lambda Calculus

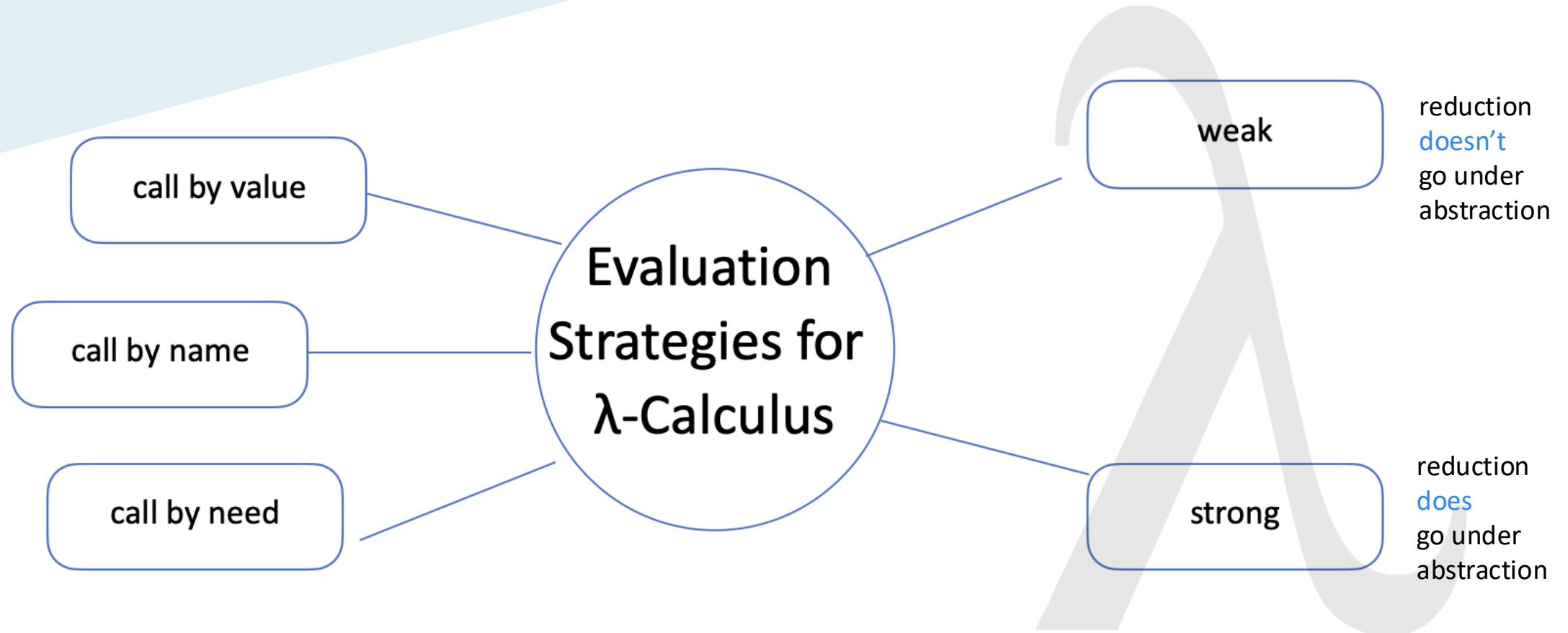
Evaluation
Strategies for
 λ -Calculus



Background – Lambda Calculus



Background – Lambda Calculus



Background – Lambda Calculus

Evaluates the function argument **before** passing them into the function call

call by value

call by name

call by need

Evaluation
Strategies for
 λ -Calculus

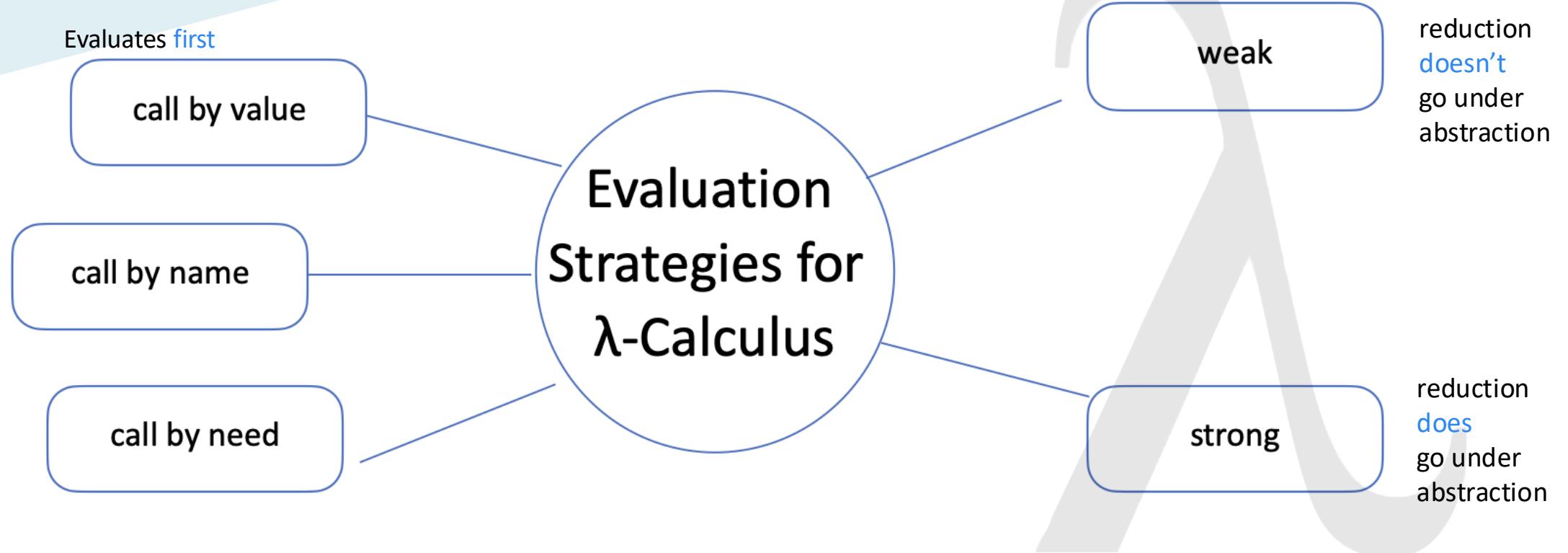
weak

strong

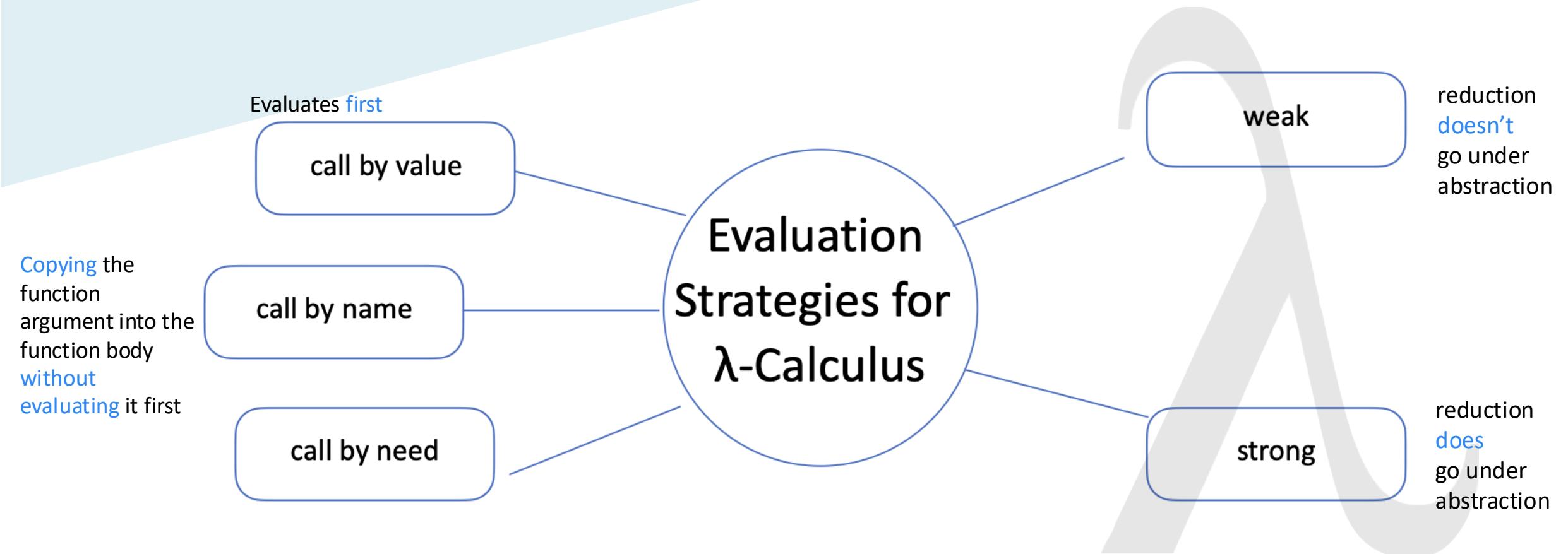
reduction
doesn't
go under
abstraction

reduction
does
go under
abstraction

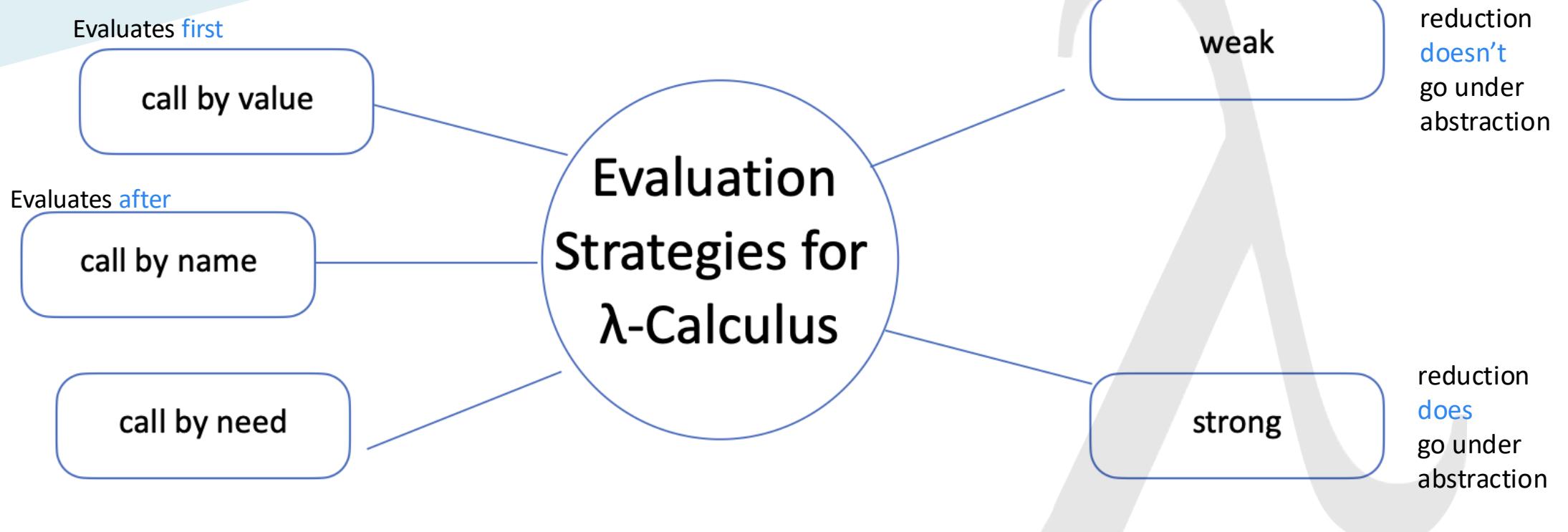
Background – Lambda Calculus



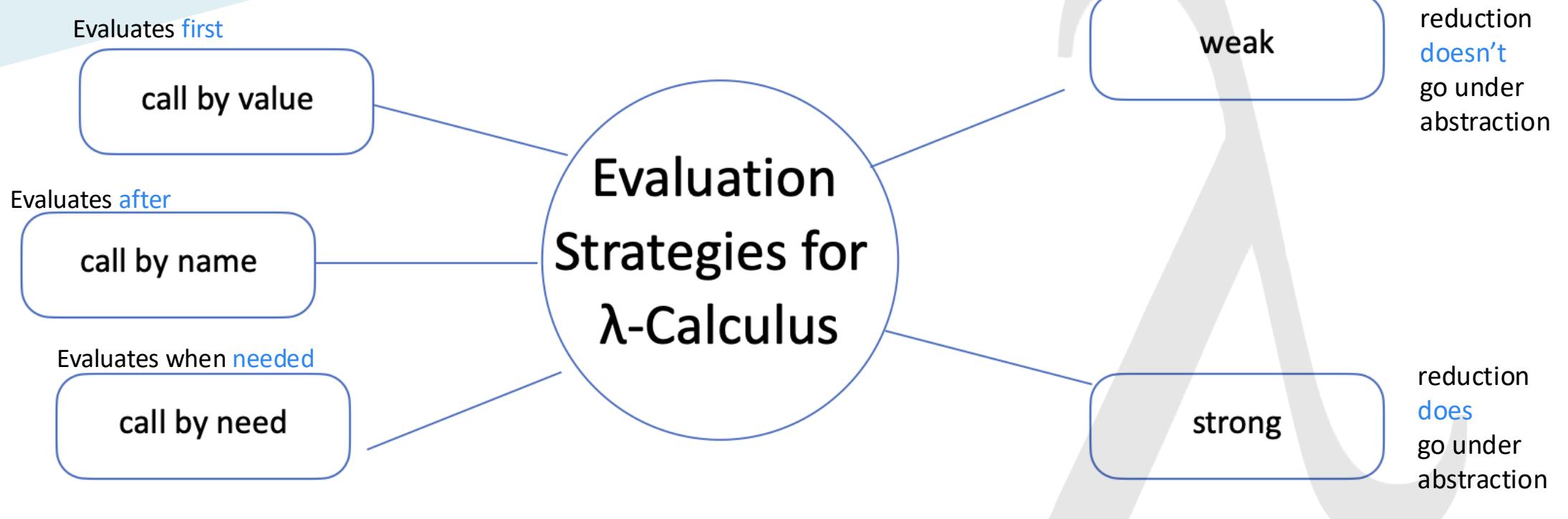
Background – Lambda Calculus



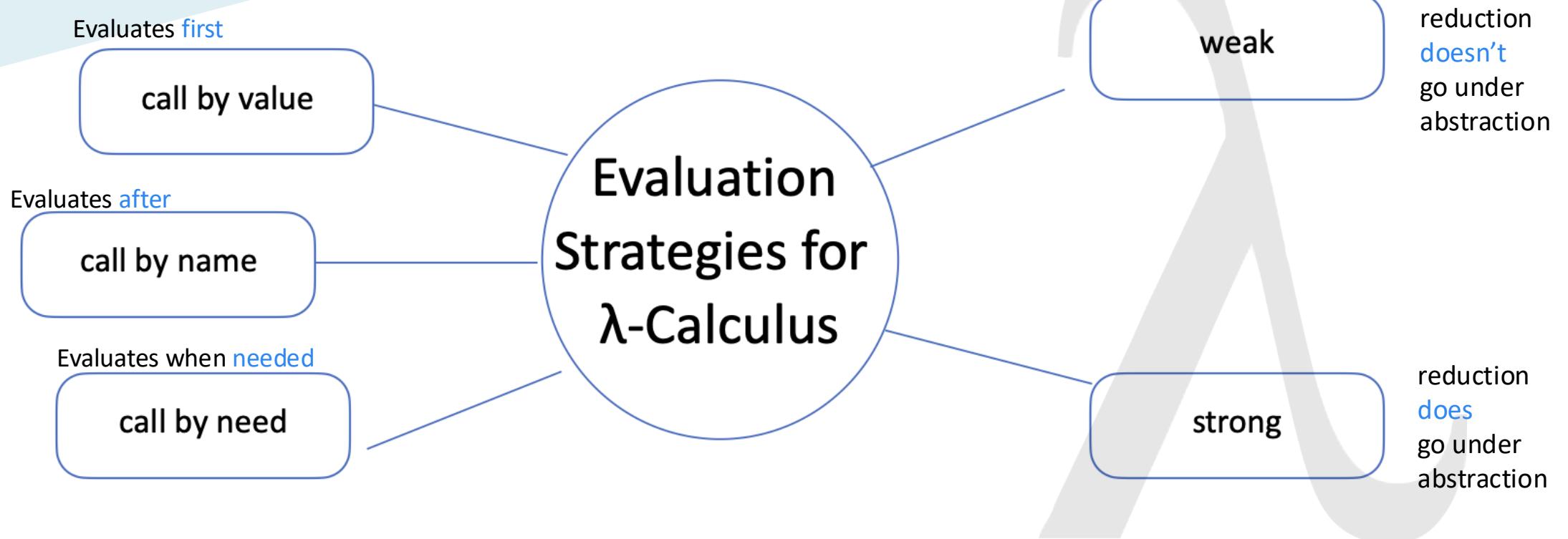
Background – Lambda Calculus



Background – Lambda Calculus



Background – Lambda Calculus



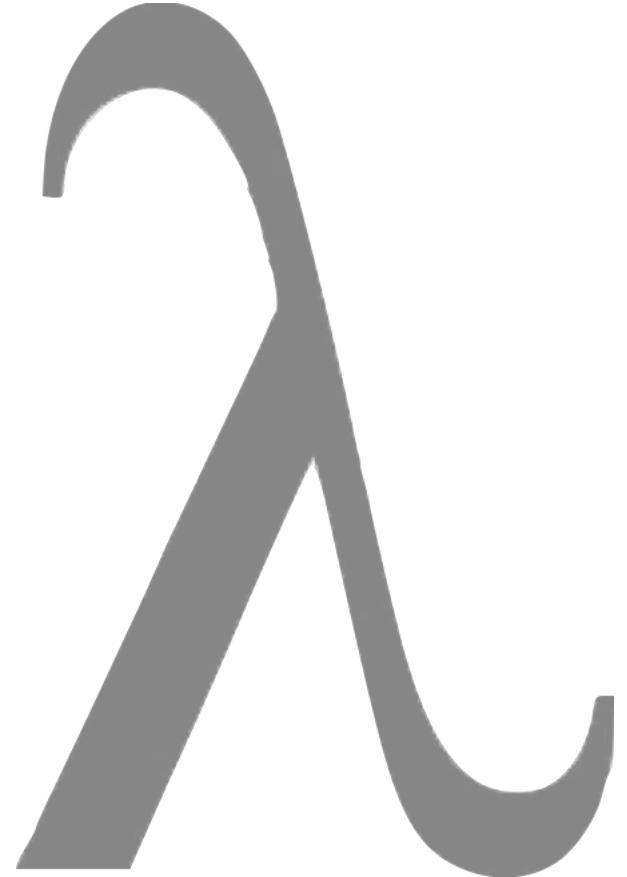
Need different cost models!



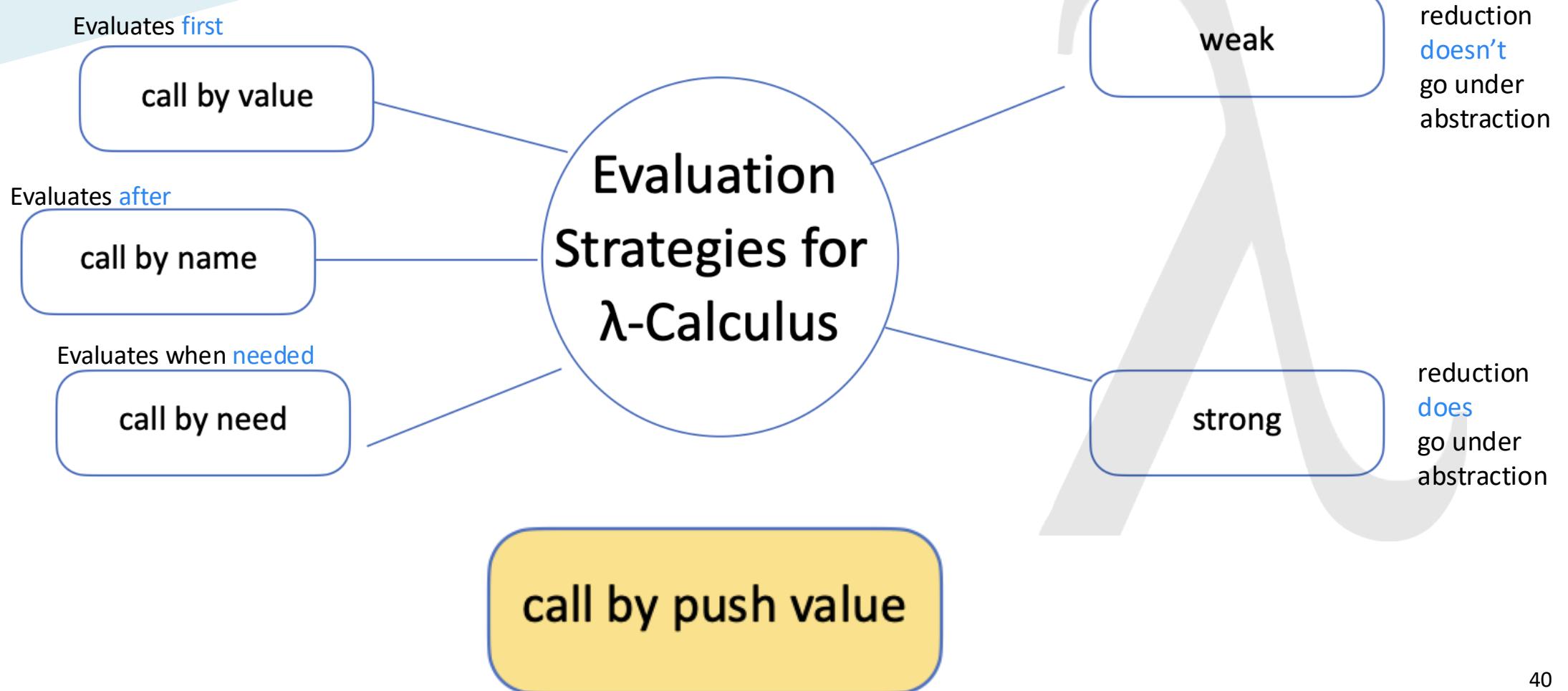
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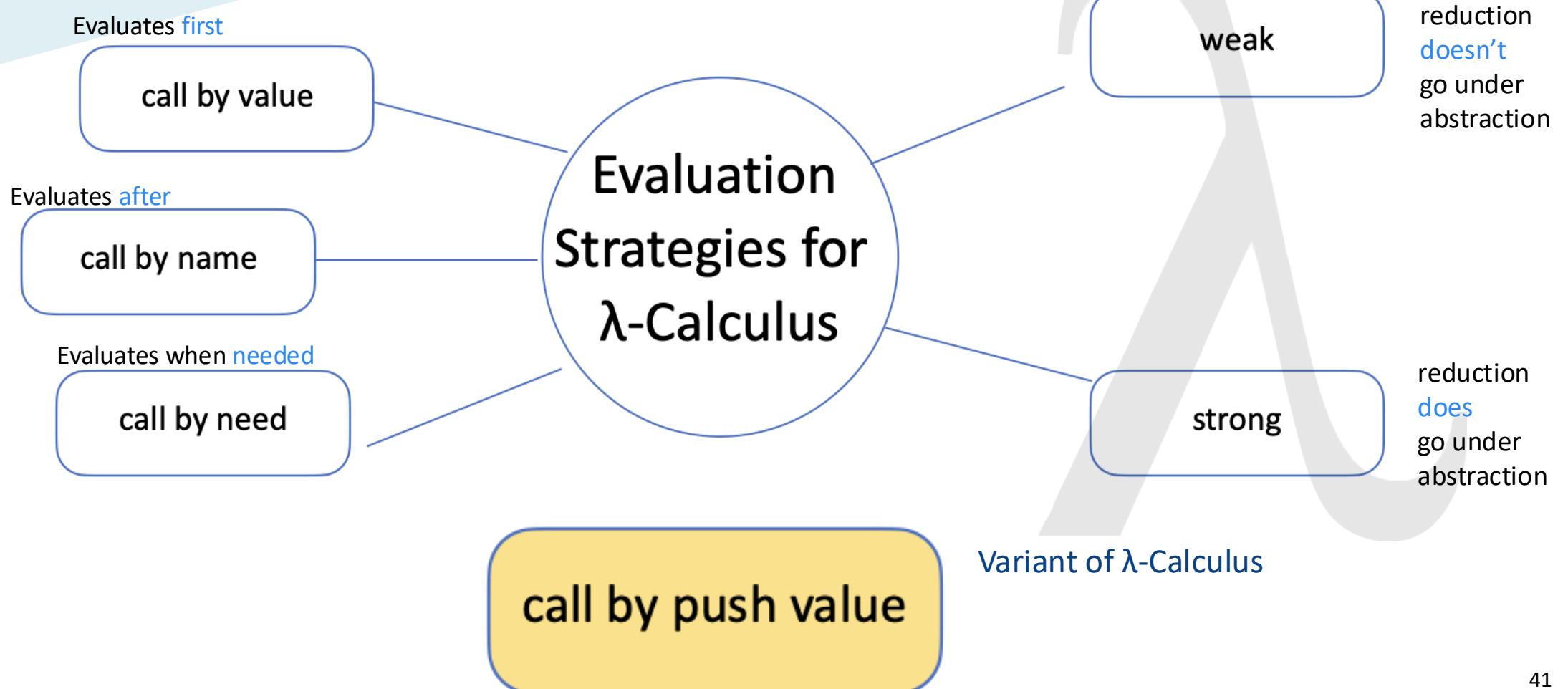
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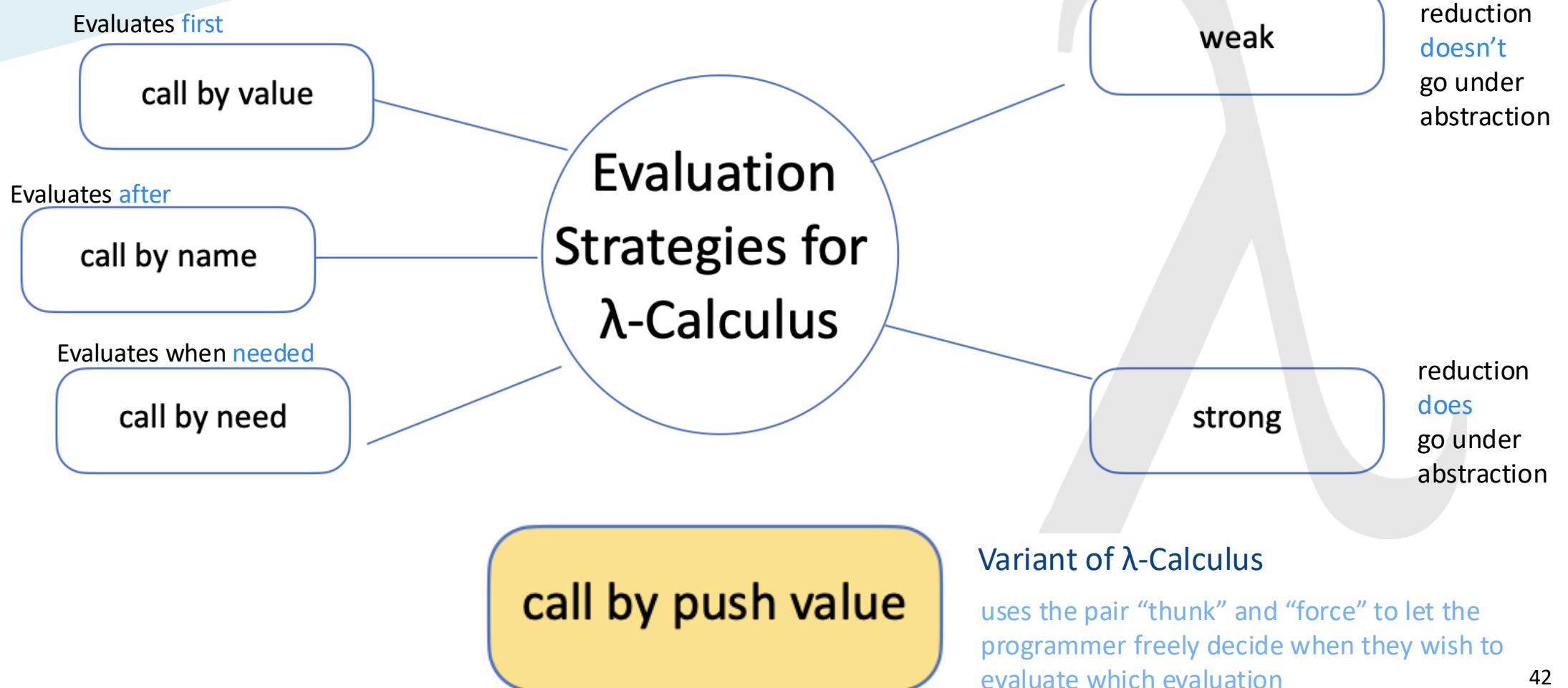
Background – CBPV [Levy, 1999]



Background – CBPV

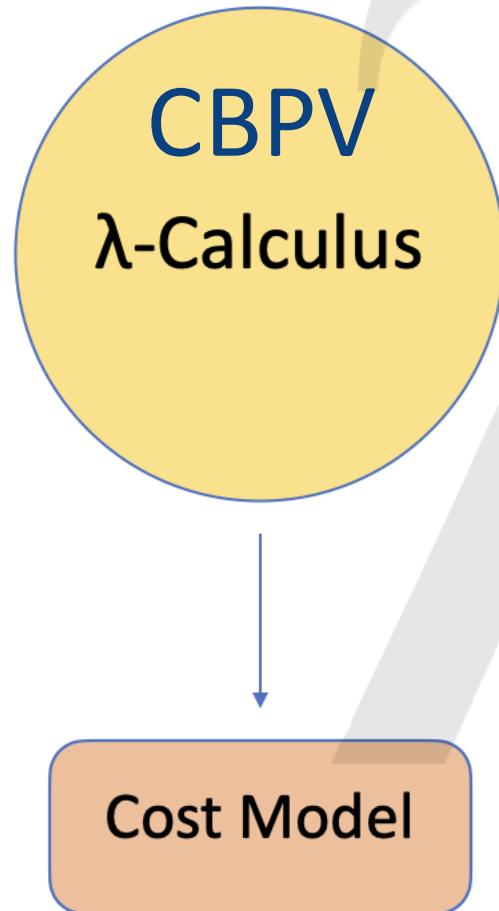


Background – CBPV

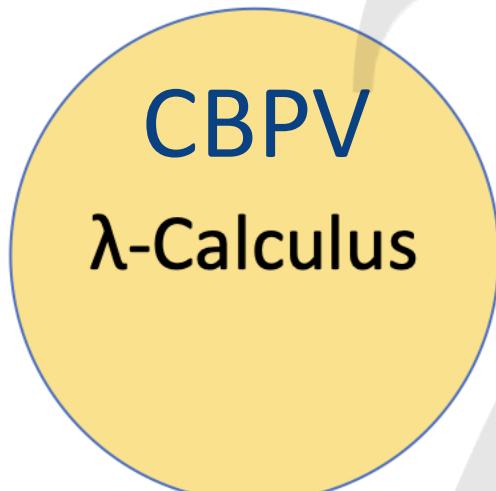




A Verified Cost Model for CBPV



A Verified Cost Model for CBPV

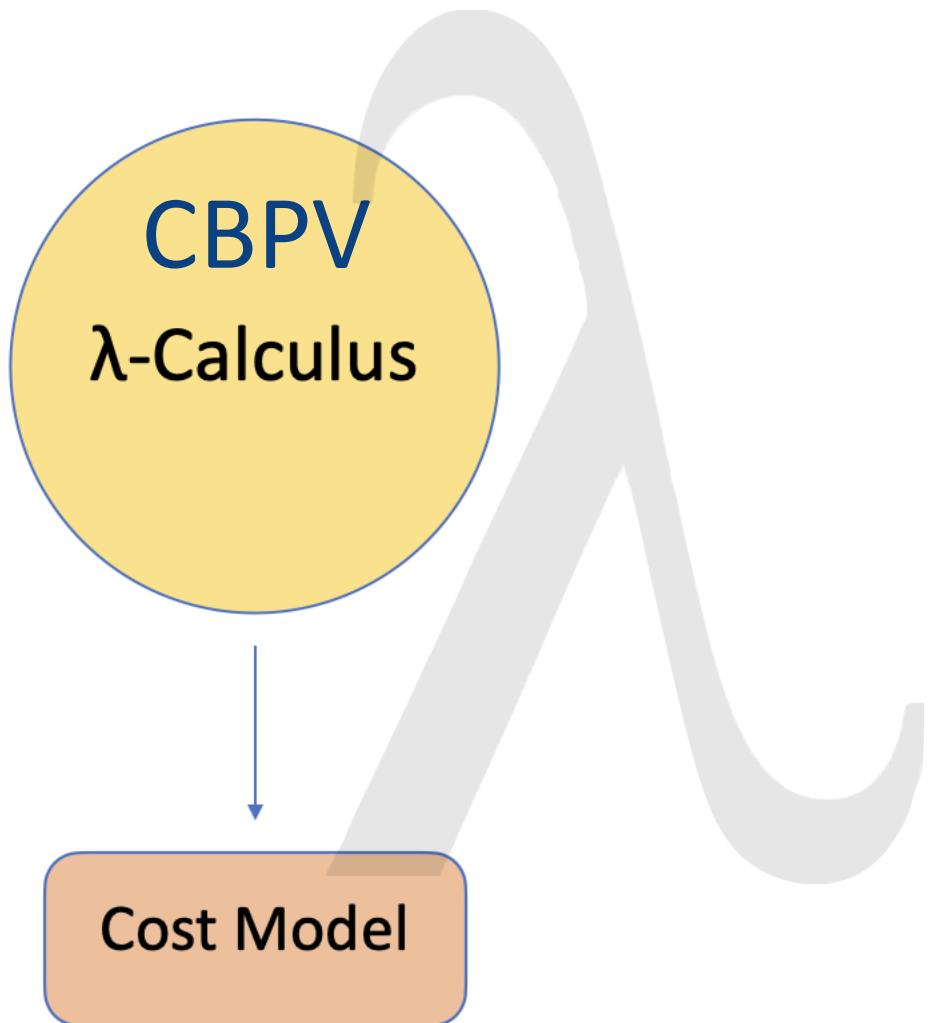


Time: Number of β -reductions
Space: Size of the biggest intermediate term

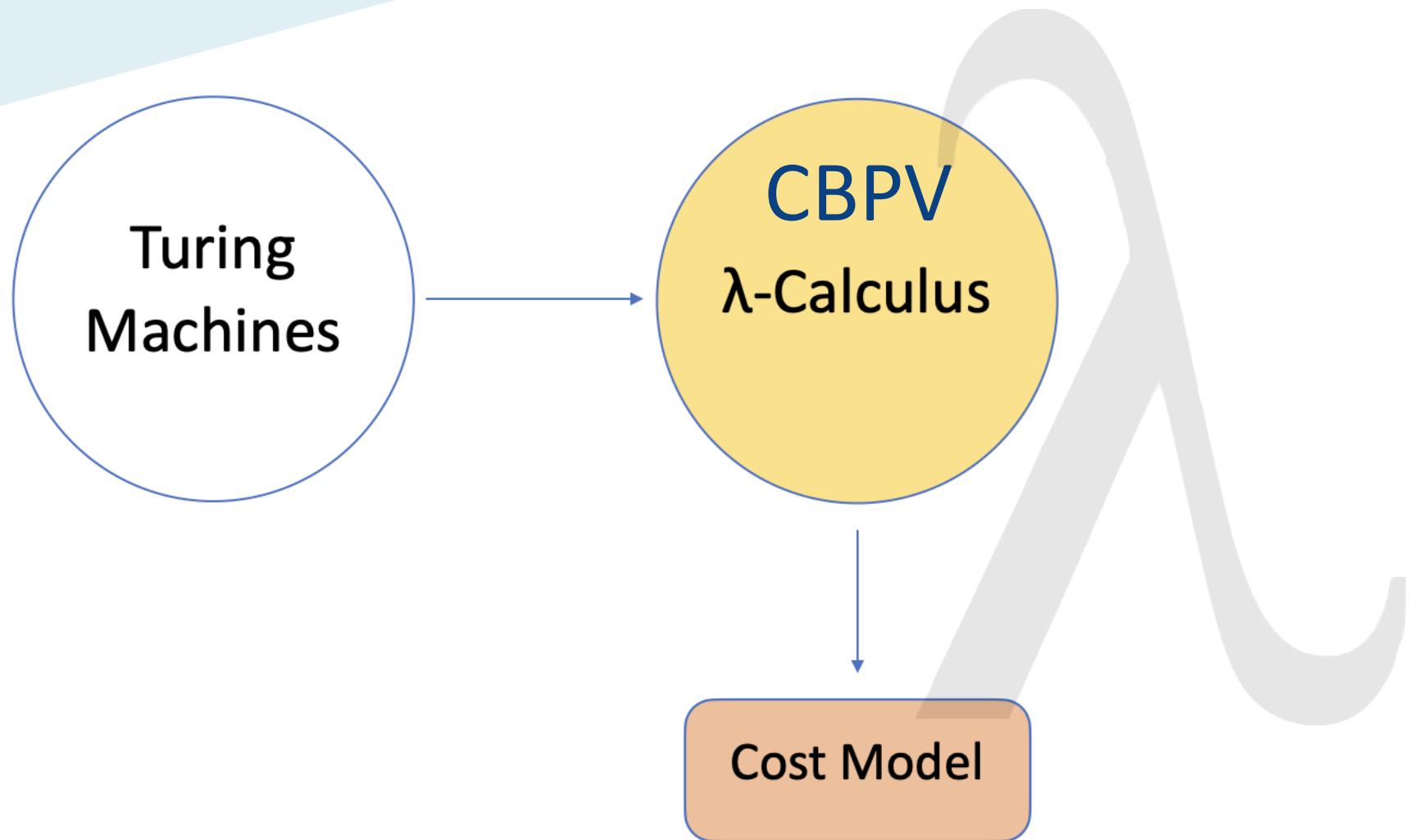
A Verified Cost Model for CBPV

?

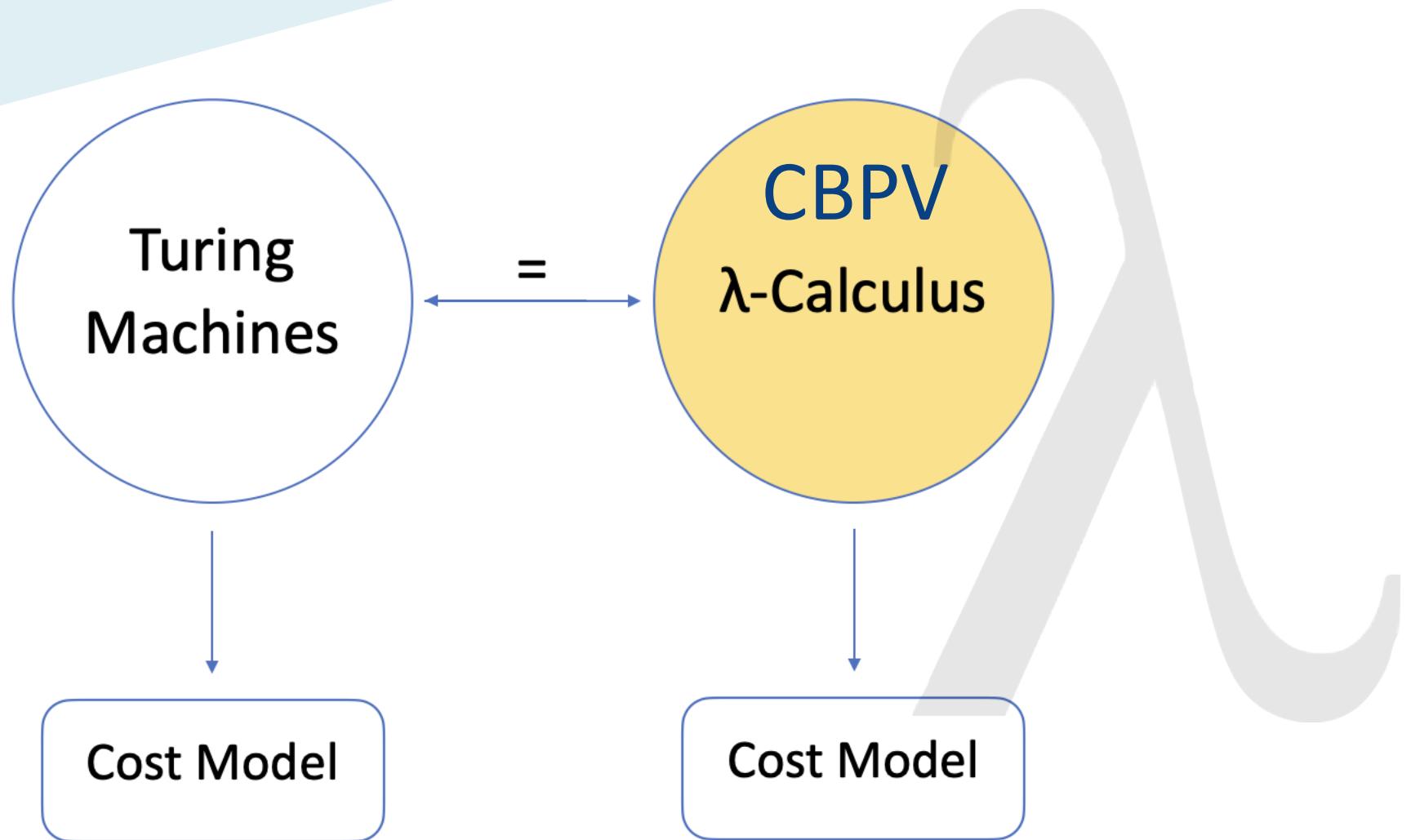
Time: Number of β -reductions
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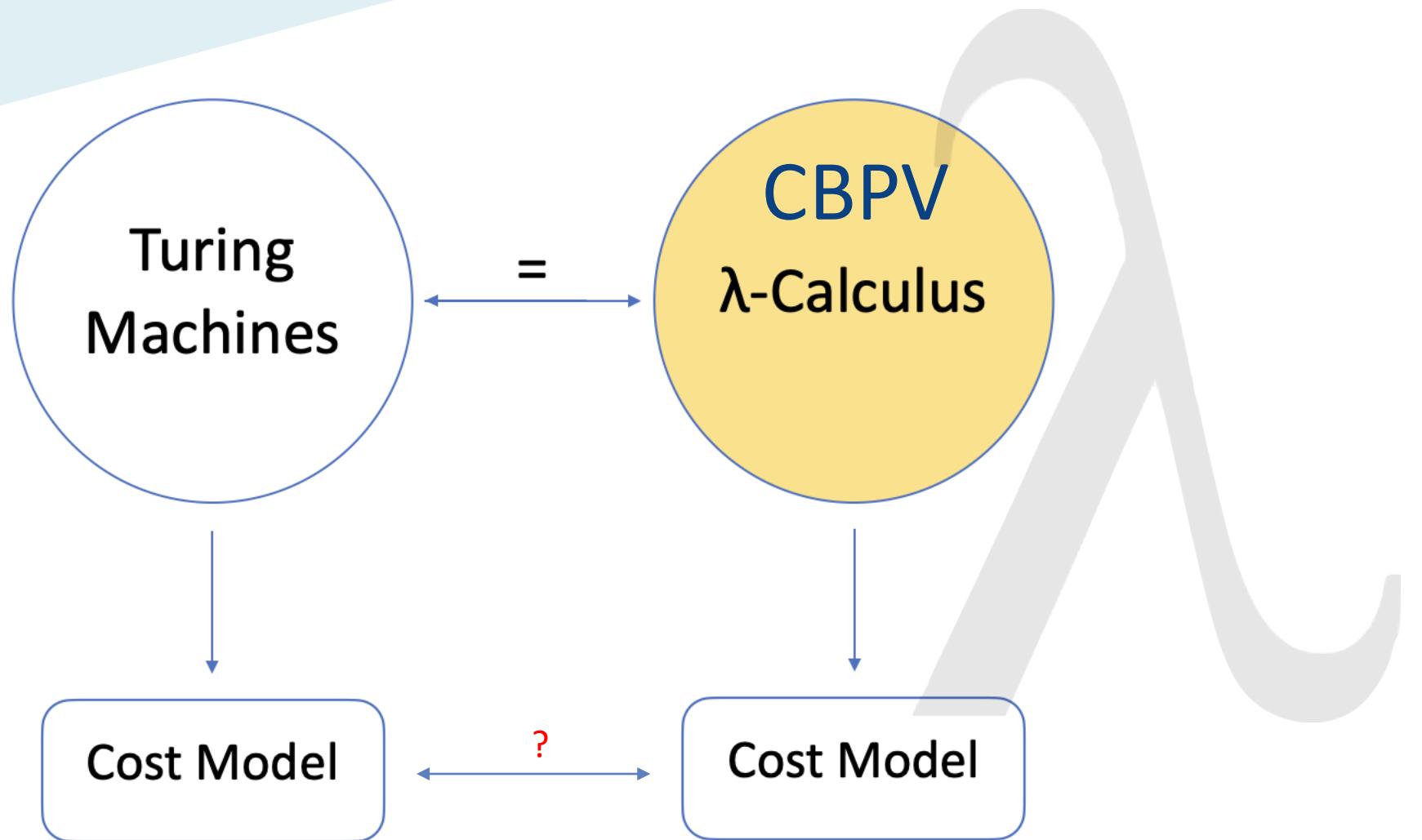
A Verified Cost Model for CBPV



A Verified Cost Model for CBPV



A Verified Cost Model for CBPV





The Invariance Thesis

Slot and van Emde Boas (1984):

“Reasonable machines simulate each other with polynomially bounded overhead in time and constant factor overhead in space”



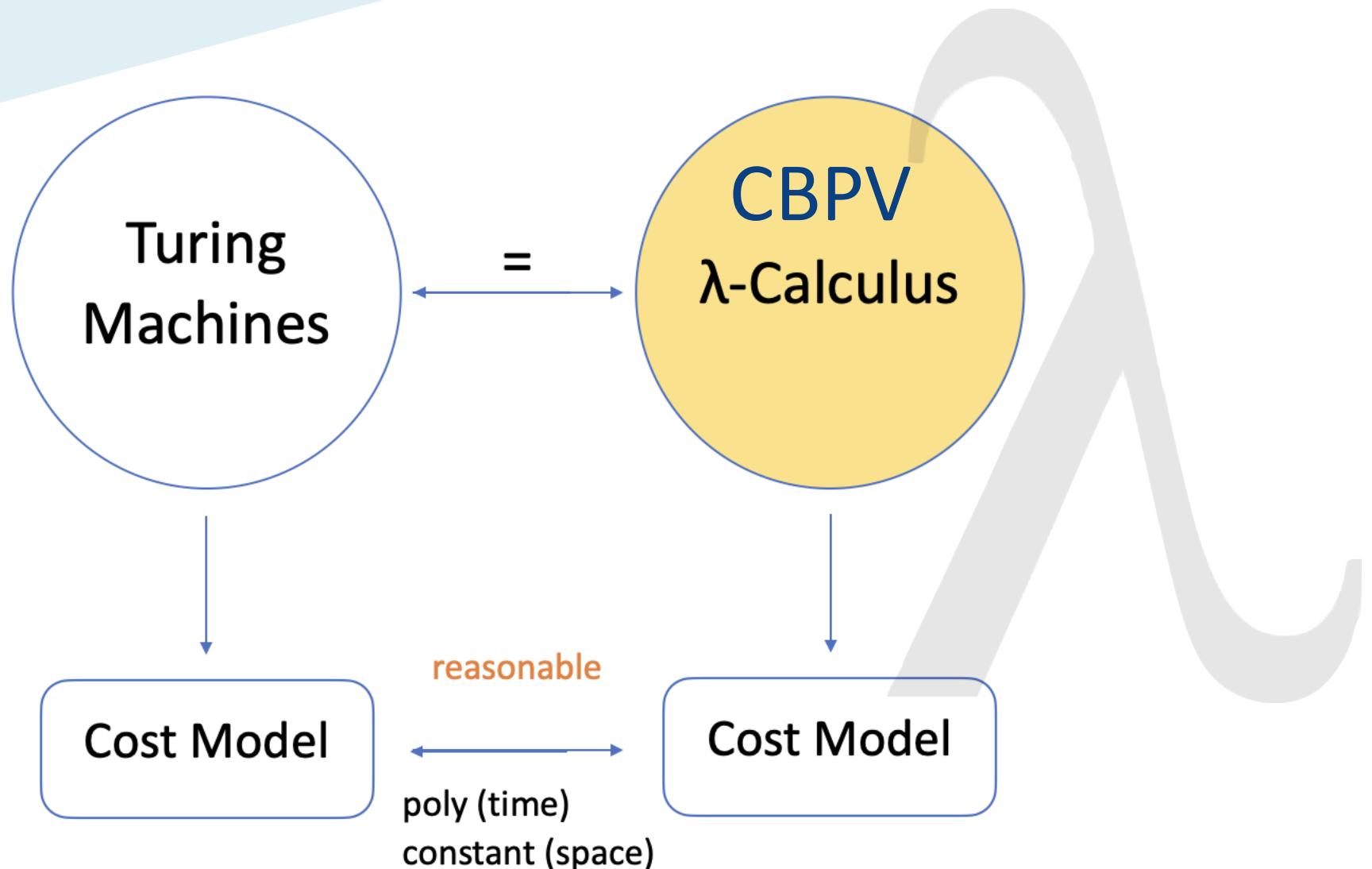
The Invariance Thesis

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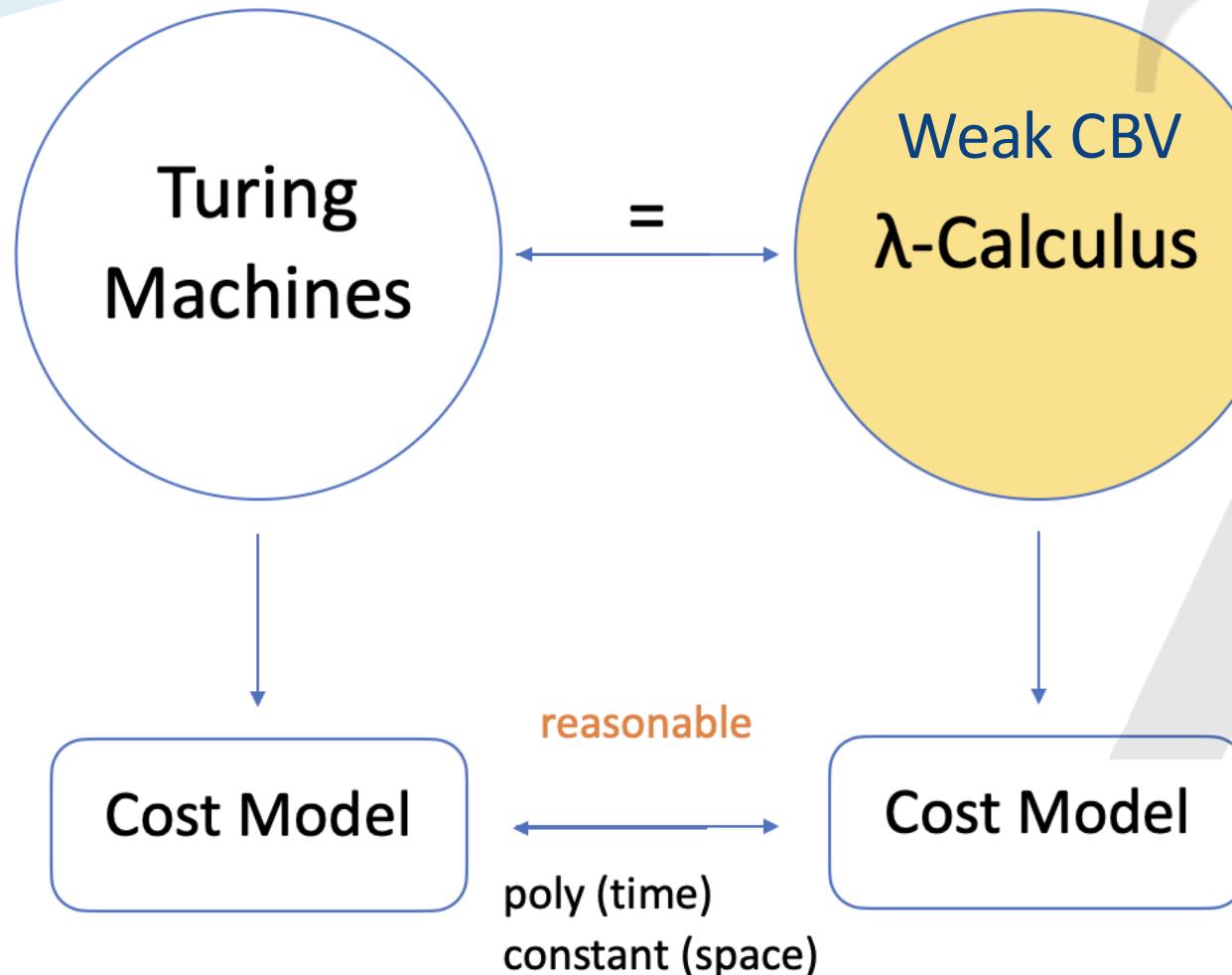
“Reasonable machines simulate each other with polynomially bounded overhead in time and constant factor overhead in space”

Turing machines are reasonable

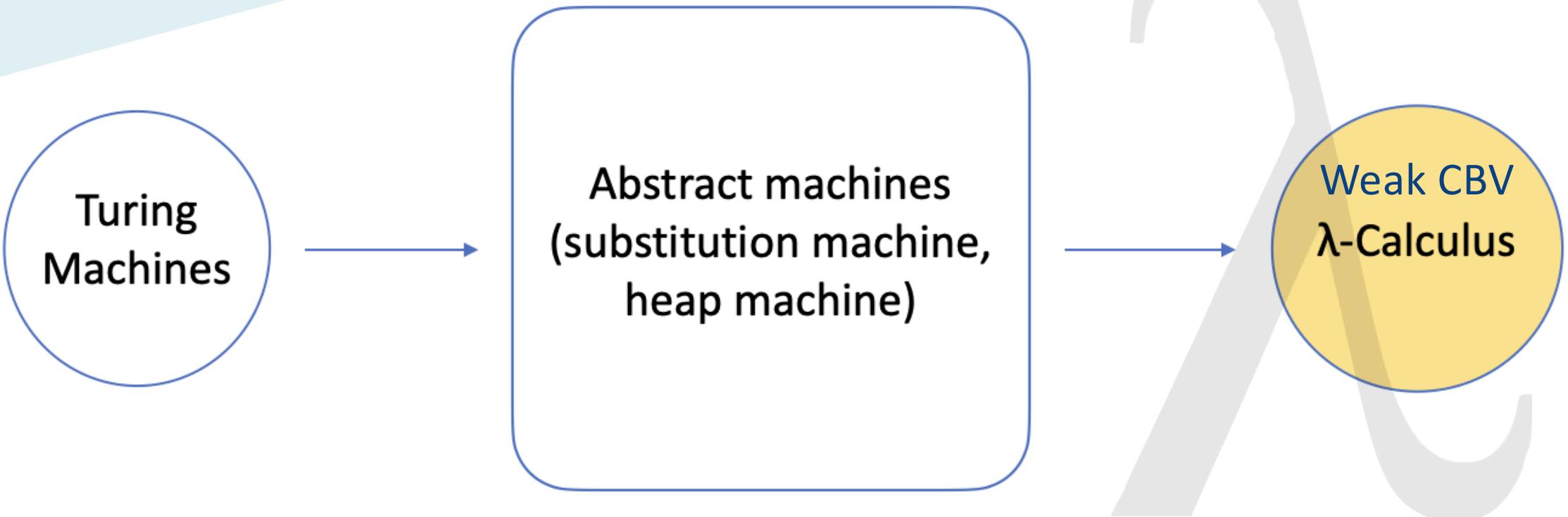
A Verified Cost Model for CBPV



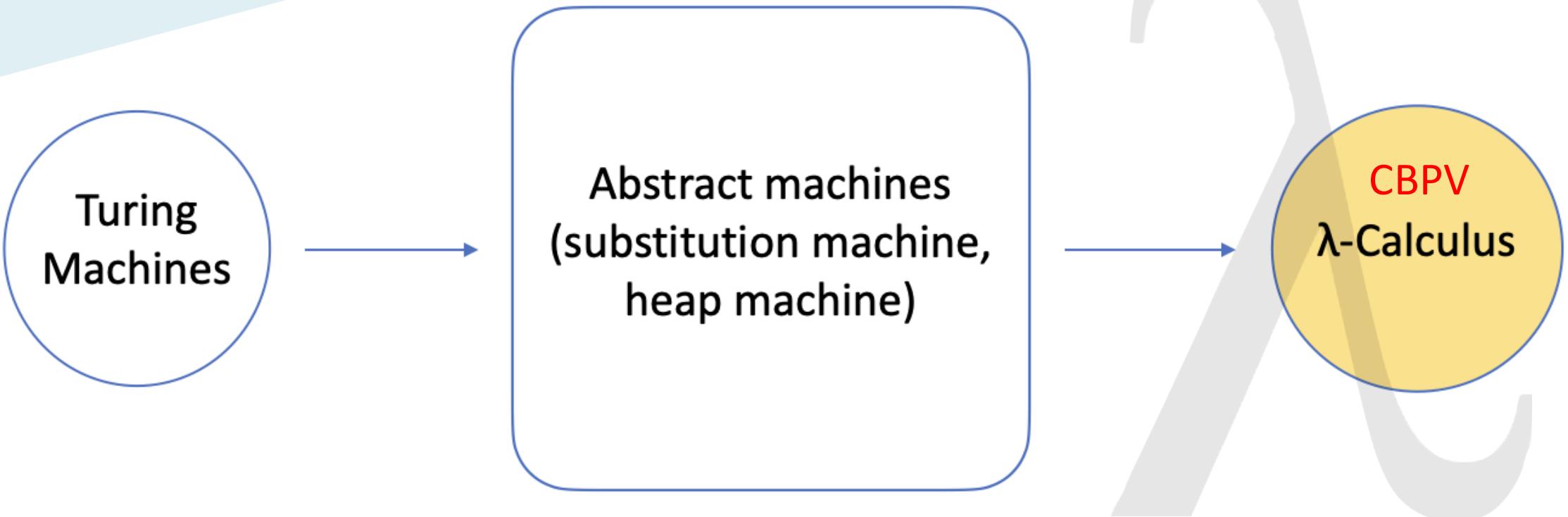
Related Work [Forster, Kunze, and Roth 2020]



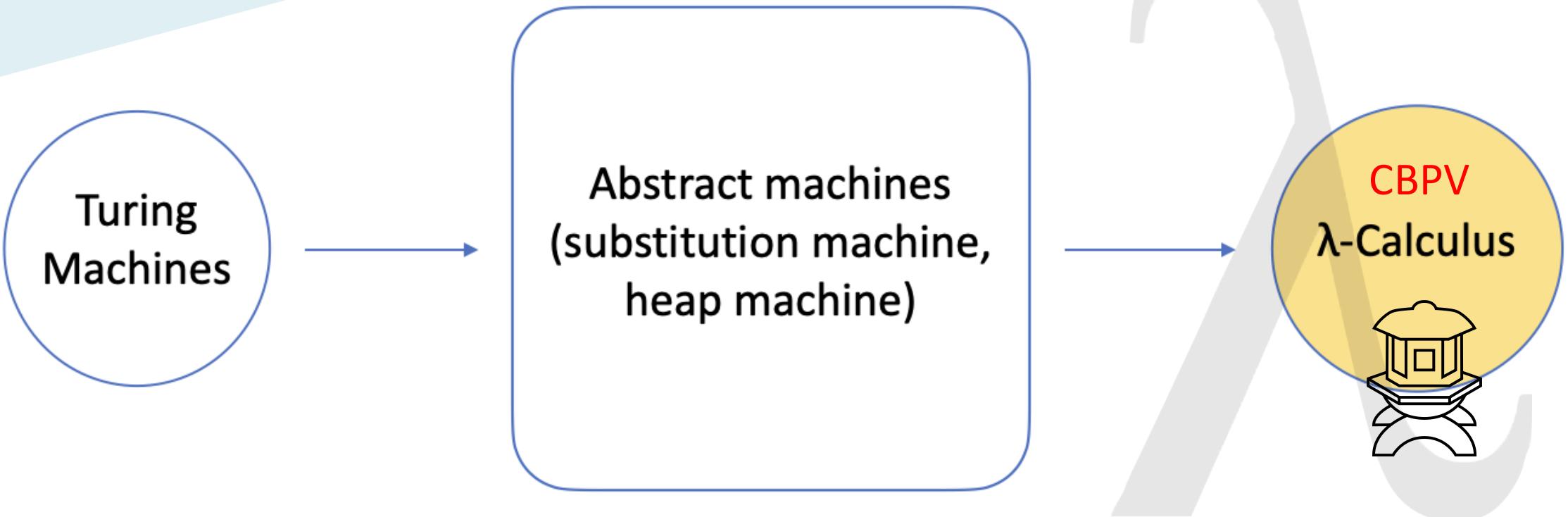
Related Work [Forster, Kunze, and Roth 2020]



A Verified Cost Model for CBPV



A Verified Cost Model for CBPV



Definition 33 (Syntax for CBPV).

Values

$$V ::= \text{var } x \mid \text{thunk } M$$

Computations

$$M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\ \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{ in } M$$

de Bruijn syntax

Definition 33 (Syntax for CBPV).

Values

$V ::= \text{var } x \mid \text{thunk } M$

Computations

$M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid$

$\text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{ in } M$

Definition 33 (Syntax for CBPV).

Values

$V ::= \text{var } x |$

thunk M

Computations

$M ::= \lambda. M |$

app $M V |$

force $V |$

ret $V |$

seq $M M |$ pseq $M M M |$ let $V.$ in M

Definition 33 (Syntax for CBPV).

Values

$$V ::= \text{var } x \mid \text{thunk } M$$

Computations

$$M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid$$
$$\text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M$$

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$$V ::= \text{var } x \mid \text{thunk } M$$

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$$M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\ \text{seq } M M \mid \text{let } V. \text{in } M \\ \text{pseq } M M M$$

Definition 33 (Syntax for CBPV).

Values

$$V ::= \text{var } x \mid \text{thunk } M$$

Computations

$$M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M$$

$$\text{pseq } m_2 \ m_1 \ n = \text{seq } m_2 \ (\text{seq } m_1 \ n)$$

Definition 34. (*Substitution function*)

$$\begin{aligned}
 (\lambda.m)_u^i &= \lambda.(m_u^{i+1}) \\
 (\text{app } m v)_u^i &= \text{app } (m_u^i) (v_u^i) \\
 (\text{ret } v)_u^i &= \text{ret } (v_u^i) \\
 (\text{seq } m n)_u^i &= \text{seq } (m_u^i) (n_u^{i+1}) \\
 (\text{pseq } m_2 m_1 n)_u^i &= \text{pseq } (m_2 u^i) (m_1 u^i) (n_u^{i+2})
 \end{aligned}$$

$$\begin{aligned}
 (\text{var } x)_u^i &= u \text{ (if } x = i) \\
 (\text{var } x)_u^i &= \text{var } x \text{ (if } x \neq i) \\
 (\text{thunk } m)_u^i &= \text{thunk } (m_u^i) \\
 (\text{force } v)_u^i &= \text{force } (v_u^i) \\
 (\text{let } v. \text{in } m)_u^i &= \text{let } (v_u^i). \text{in } (m_u^{i+1})
 \end{aligned}$$

CBPV

$$\begin{array}{c}
 \frac{}{\lambda.m \Downarrow \lambda.m} \quad \frac{}{\text{ret } v \Downarrow \text{ret } v} \quad \frac{m \Downarrow m'}{\text{force (thunk } m) \Downarrow m'} \quad \frac{m_v^0 \Downarrow m'}{(\text{let } v. \text{in } m) \Downarrow m'} \\
 \\
 \frac{m \Downarrow \lambda.n \quad n_v^0 \Downarrow n'}{\text{app } m v \Downarrow n'} \quad \frac{m \Downarrow \text{ret } v \quad n_v^0 \Downarrow n'}{\text{seq } m n \Downarrow n'} \\
 \\
 \frac{m_1 \Downarrow \text{ret } v_1 \quad m_2 \Downarrow \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow n'}{\text{pseq } m_2 m_1 n \Downarrow n'}
 \end{array}$$

FIGURE 4.3: Big-Step Semantics for CBPV

CBPV

$$\begin{array}{c}
 \frac{}{\lambda.m \Downarrow \lambda.m} \quad \frac{}{\text{ret } v \Downarrow \text{ret } v} \quad \frac{m \Downarrow m'}{\text{force (thunk } m) \Downarrow m'} \quad \frac{m_v^0 \Downarrow m'}{(\text{let } v. \text{in } m) \Downarrow m'} \\[10pt]
 \frac{m \Downarrow \lambda.n \quad n_v^0 \Downarrow n'}{\text{app } m v \Downarrow n'} \quad \frac{m \Downarrow \text{ret } v \quad n_v^0 \Downarrow n'}{\text{seq } m n \Downarrow n'} \\[10pt]
 \frac{m_1 \Downarrow \text{ret } v_1 \quad m_2 \Downarrow \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow n'}{\text{pseq } m_2 m_1 n \Downarrow n'}
 \end{array}$$

FIGURE 4.3: Big-Step Semantics for CBPV

CBPV

$$\begin{array}{c}
 \frac{}{\lambda. m \Downarrow \lambda. m} \quad \frac{}{\text{ret } v \Downarrow \text{ret } v} \quad \frac{m \Downarrow m'}{\text{force (thunk } m) \Downarrow m'} \quad \frac{m_v^0 \Downarrow m'}{(\text{let } v. \text{in } m) \Downarrow m'} \\[10pt]
 \frac{m \Downarrow \lambda. n \quad n_v^0 \Downarrow n'}{\text{app } m v \Downarrow n'} \quad \frac{m \Downarrow \text{ret } v \quad n_v^0 \Downarrow n'}{\text{seq } m n \Downarrow n'} \\[10pt]
 \frac{m_1 \Downarrow \text{ret } v_1 \quad m_2 \Downarrow \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow n'}{\text{pseq } m_2 m_1 n \Downarrow n'}
 \end{array}$$

FIGURE 4.3: Big-Step Semantics for CBPV

CBPV

$$\begin{array}{c}
 \frac{}{\lambda.m \Downarrow_0 \lambda.m} \\
 \frac{m_v^0 \Downarrow_k m'}{\text{let } v. \text{in } m \Downarrow_{k+1} m'} \\
 \frac{m \Downarrow_{k_1} \lambda.n \quad n_v^0 \Downarrow_{k_2} n'}{\text{app } m v \Downarrow_{k_1+k_2+1} n'} \\
 \frac{m \Downarrow_{k_1} \text{ret } v \quad n_v^0 \Downarrow_{k_2} n'}{\text{seq } m n \Downarrow_{k_1+k_2+1} n'} \\
 \frac{m_1 \Downarrow_{k_1} \text{ret } v_1 \quad m_2 \Downarrow_{k_2} \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow_{k_3} n'}{\text{pseq } m_2 m_1 n \Downarrow_{k_1+k_2+k_3+1} n'}
 \end{array}$$

FIGURE 4.4: Big-Step Semantics for CBPV with Time Cost

CBPV

$$\begin{array}{c}
 \frac{}{\lambda.m \Downarrow_0 \lambda.m} \\
 \\
 \frac{m_v^0 \Downarrow_k m'}{\text{let } v. \text{in } m \Downarrow_{k+1} m'} \quad \frac{\text{ret } v \Downarrow_0 \text{ ret } v}{\text{app } m v \Downarrow_{k_1+k_2+1} n'} \quad \frac{m \Downarrow_k m'}{\text{force (thunk } m) \Downarrow_{k+2} m'} \\
 \\
 \frac{m \Downarrow_{k_1} \lambda.n \quad n_v^0 \Downarrow_{k_2} n'}{\text{seq } m n \Downarrow_{k_1+k_2+1} n'} \quad \frac{m \Downarrow_{k_1} \text{ ret } v \quad n_v^0 \Downarrow_{k_2} n'}{\text{seq } m n \Downarrow_{k_1+k_2+1} n'} \\
 \\
 \frac{m_1 \Downarrow_{k_1} \text{ ret } v_1 \quad m_2 \Downarrow_{k_2} \text{ ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow_{k_3} n'}{\text{pseq } m_2 m_1 n \Downarrow_{k_1+k_2+k_3+1} n'}
 \end{array}$$

FIGURE 4.4: Big-Step Semantics for CBPV with Time Cost

$$\begin{array}{c}
 \frac{}{\lambda.m \Downarrow_0 \lambda.m} \\
 \frac{m_v^0 \Downarrow_k m'}{\text{let } v. \text{in } m \Downarrow_{k+1} m'} \quad \frac{\text{ret } v \Downarrow_0 \text{ ret } v}{\text{app } m v \Downarrow_{k_1+k_2+1} n'} \quad \frac{m \Downarrow_k m'}{\text{force (thunk } m) \Downarrow_{k+2} m'} \\
 \frac{m \Downarrow_{k_1} \lambda.n \quad n_v^0 \Downarrow_{k_2} n'}{\text{seq } m n \Downarrow_{k_1+k_2+1} n'} \\
 \frac{m_1 \Downarrow_{k_1} \text{ret } v_1 \quad m_2 \Downarrow_{k_2} \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow_{k_3} n'}{\text{pseq } m_2 m_1 n \Downarrow_{k_1+k_2+k_3+1} n'}
 \end{array}$$

FIGURE 4.4: Big-Step Semantics for CBPV with Time Cost

CBPV

$$\begin{array}{c}
 \frac{}{\lambda. m \Downarrow^{\|\lambda. m\|} \lambda. m} \\
 \\[10pt]
 \frac{m \Downarrow^s m'}{\text{force (thunk } m) \Downarrow^{\max(s, \|m\|+2)} m'}
 \end{array}
 \quad
 \begin{array}{c}
 \frac{}{\text{ret } v \Downarrow^{\|\text{ret } v\|} \text{ret } v} \\
 \\[10pt]
 \frac{m_v^0 \Downarrow^s m'}{\text{let } v. \text{in } m \Downarrow^{\max(s, \|v\|+\|m\|+1)} m'}
 \end{array}$$

$$\frac{m \Downarrow^{s_1} \lambda. n \quad n_v^0 \Downarrow^{s_2} n'}{\text{app } m v \Downarrow^{\max(s_1+\|n\|+1, s_2)} n'}
 \quad
 \frac{m \Downarrow^{s_1} \text{ret } v \quad n_v^0 \Downarrow^{s_2} n'}{\text{seq } m n \Downarrow^{\max(s_1+\|n\|+1, s_2)} n'}$$

$$\frac{m_1 \Downarrow^{s_1} \text{ret } v_1 \quad m_2 \Downarrow^{s_2} \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow^{s_3} n'}{\text{pseq } m_2 m_1 n \Downarrow^{\max(s_1+\|m_2\|+\|n\|+1, \max(\|v_1\|+s_2+\|n\|+1, s_3))} m}$$

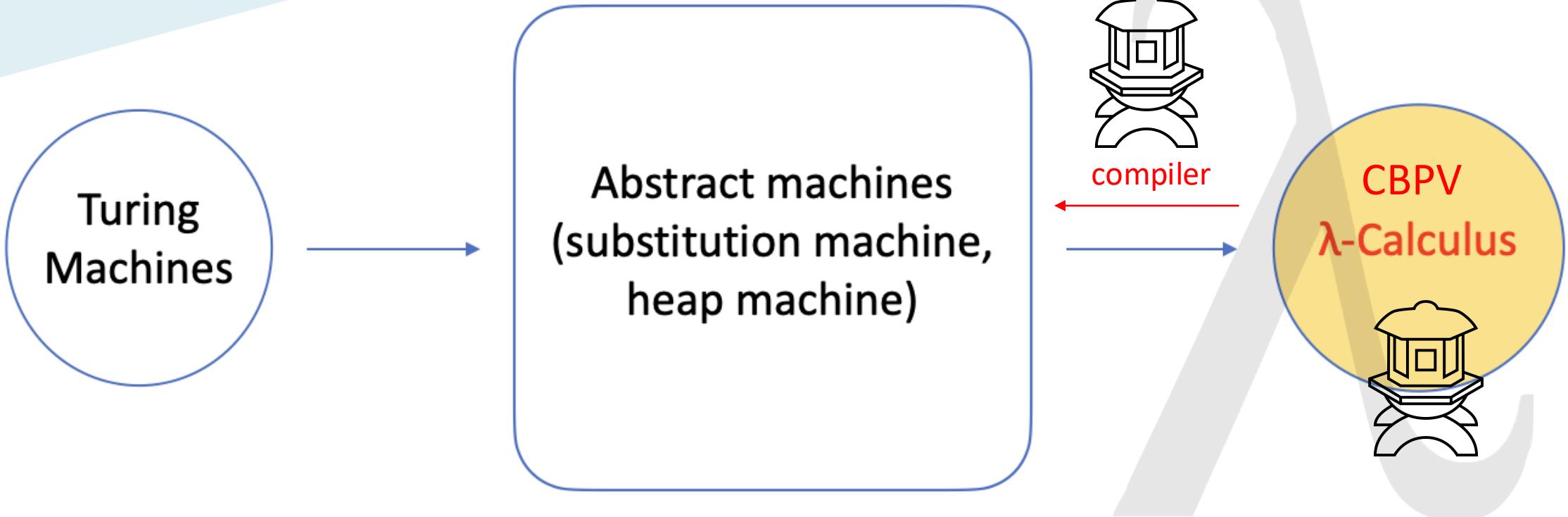
FIGURE 4.5: Big-Step Semantics for CBPV with Space Cost

CBPV

$$\begin{array}{c}
 \frac{}{\lambda. m \Downarrow^{\|\lambda.m\|} \lambda. m} \\
 \\
 \frac{m \Downarrow^s m'}{\text{force (thunk } m) \Downarrow^{\max(s, \|m\|+2)} m'} \\
 \\
 \frac{m \Downarrow^{s_1} \lambda. n \quad n_v^0 \Downarrow^{s_2} n'}{\text{app } m v \Downarrow^{\max(s_1+\|n\|+1, s_2)} n'} \\
 \\
 \frac{m \Downarrow^{s_1} \text{ret } v \quad n_v^0 \Downarrow^{s_2} n'}{\text{seq } m n \Downarrow^{\max(s_1+\|n\|+1, s_2)} n'} \\
 \\
 \frac{m_1 \Downarrow^{s_1} \text{ret } v_1 \quad m_2 \Downarrow^{s_2} \text{ret } v_2 \quad (n_{v_1}^0)_{v_2}^1 \Downarrow^{s_3} n'}{\text{pseq } m_2 m_1 n \Downarrow^{\max(s_1+\|m_2\|+\|n\|+1, \max(\|v_1\|+s_2+\|n\|+1, s_3))} m}
 \end{array}$$

FIGURE 4.5: Big-Step Semantics for CBPV with Space Cost

A Verified Cost Model for CBPV



Compiler

Definition 36 (Program Tokens).

$$\begin{aligned} t \in \text{Tok} := & \text{ varT } x \mid \text{ thunkT} \mid \text{ endThunkT} \mid \text{ forceT} \mid \text{ applyT} \mid \\ & \text{ lamT} \mid \text{ endLamT} \mid \text{ retT} \mid \text{ endRetT} \mid \text{ seqT} \mid \text{ endSeqT} \mid \\ & \text{ pseqT} \mid \text{ endPseqT} \mid \text{ letinT} \mid \text{ endLetinT} \end{aligned}$$

Compiler

Definition 39 (Compilation Function for Substitution Machine).

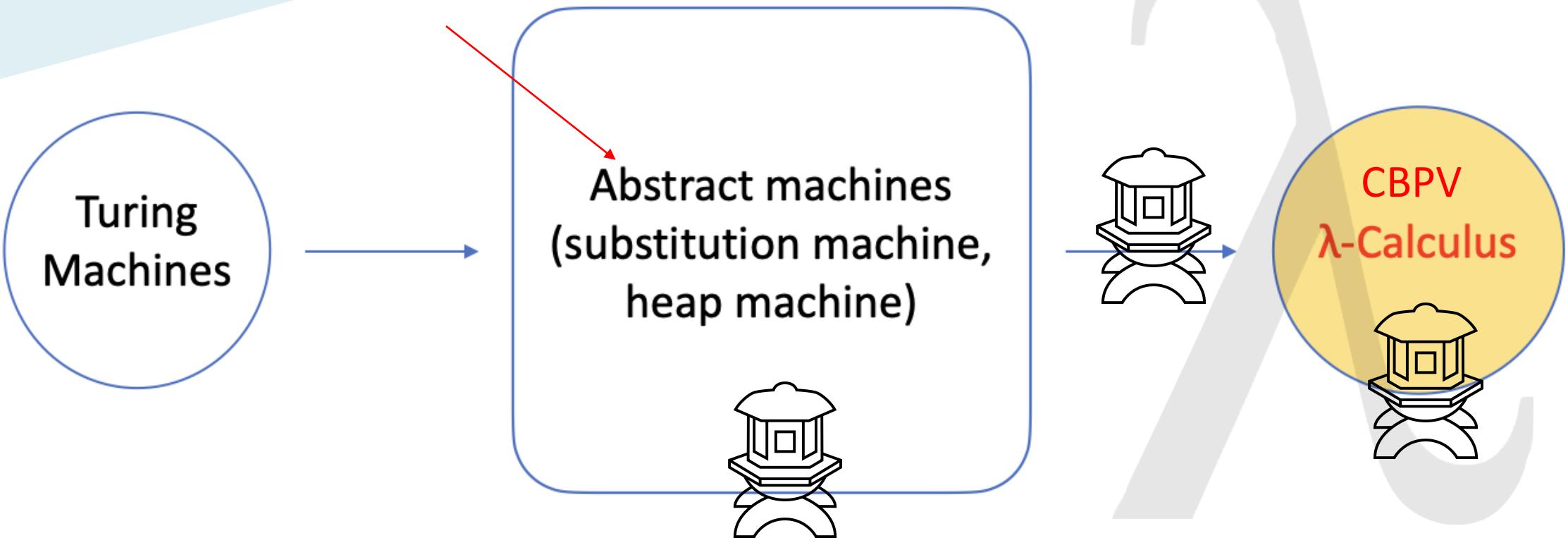
$$\begin{aligned}\gamma(\text{var } x) &= \text{varT } x \\ \gamma(\text{thunk } m) &= \text{thunkT} :: \gamma(m) ++ [\text{endThunkT}] \\ \gamma(\text{force } v) &= \gamma(v) ++ [\text{forceT}] \\ \gamma(\text{ret } v) &= \text{retT} :: \gamma(v) ++ [\text{endRetT}] \\ \gamma(\lambda. m) &= \text{lamT} :: \gamma(m) ++ [\text{endLamT}] \\ \gamma(\text{app } m v) &= \gamma(m) ++ \gamma(v) ++ [\text{applyT}] \\ \gamma(\text{seq } m n) &= \gamma(m) ++ [\text{seqT}] ++ \gamma(n) ++ [\text{endSeqT}] \\ \gamma(\text{pseq } m_1 m_2 n) &= \gamma(m_1) ++ \gamma(m_2) ++ [\text{pseqT}] ++ \gamma(n) ++ [\text{endPseqT}] \\ \gamma(\text{let } v. \text{in } m) &= \gamma(v) ++ [\text{letinT}] ++ \gamma(m) ++ [\text{endLetinT}]\end{aligned}$$

Compiler

Definition 40 (Compilation Function for Heap Machine).

$\gamma(\text{var } x)$	= varT x
$\gamma(\text{thunk } m)$	= thunkT :: $\gamma(m) ++ [\text{endThunkT}]$
$\gamma(\text{force } v)$	= $\gamma(v) ++ [\text{forceT}]$
$\gamma(\text{ret } v)$	= $\gamma(v) ++ [\text{retT}]$
$\gamma(\lambda. m)$	= lamT :: $\gamma(m) ++ [\text{endLamT}]$
$\gamma(\text{app } m v)$	= $\gamma(m) ++ \gamma(v) ++ [\text{applyT}]$
$\gamma(\text{seq } m n)$	= $\gamma(m) ++ [\text{seqT}] ++ \gamma(n) ++ [\text{endSeqT}]$
$\gamma(\text{pseq } m_1 m_2 n)$	= $\gamma(m_1) ++ \gamma(m_2) ++ [\text{pseqT}] ++ \gamma(n) ++ [\text{endPseqT}]$
$\gamma(\text{let } v. \text{in } m)$	= $\gamma(v) ++ [\text{letinT}] ++ \gamma(m) ++ [\text{endLetinT}]$

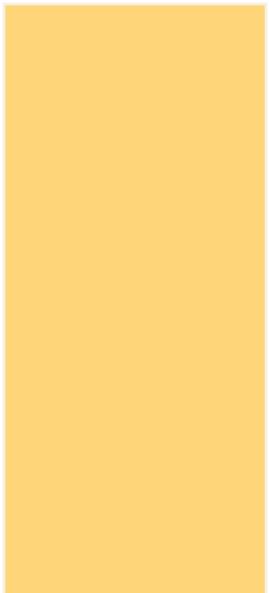
Abstract Machines





Substitution Machine

Task Stack | Value Stack





Substitution Machine

Definition 33 (Syntax for CBPV).

<i>Values</i>	$V ::= \text{var } x \mid \text{thunk } M$
<i>Computations</i>	$M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid$
	$\text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M$



Substitution Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll} \textit{Values} & V ::= \text{var } x \mid \text{thunk } M \\ \textit{Computations} & M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\ & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M \end{array}$$

Example Transition Rule for Substitution Machine - Variable

$$\triangleright \frac{(\text{varT } n :: P) :: T}{P ::_{tc} T} \quad \mid \quad \frac{V}{\text{varT } n :: V}$$

Substitution Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll}
 \text{Values} & V ::= \text{var } x \mid \text{thunk } M \\
 \text{Computations} & M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\
 & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M
 \end{array}$$

Example Transition Rule for Substitution Machine - Variable

$$\triangleright \frac{(\text{varT } n :: P) :: T}{P ::_{tc} T} \quad \mid \quad \frac{V}{\text{varT } n :: V}$$

Lemma 45 (Substitution Machine Time Simulation). *If $m \Downarrow_k n$, then there exists k' such that $(P_m, []) \triangleright_{k'} ([] , P_n)$ where $k' \leq 3 * k + 1$ and $P_m \gg m$ and $P_n \gg n$.*

Lemma 46 (Substitution Machine Space Simulation). *If $m \Downarrow^s n$ then there exists σ such that $(P_m, []) \triangleright_*^\sigma ([] , P_n)$, where $s \leq \sigma \leq 9 * s$ and $P_m \gg m$ and $P_n \gg n$.*

Substitution Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll}
 \text{Values} & V ::= \text{var } x \mid \text{thunk } M \\
 \text{Computations} & M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\
 & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M
 \end{array}$$

Example Transition Rule for Substitution Machine - Variable

$$\triangleright \frac{(\text{varT } n :: P) :: T}{P ::_{tc} T} \quad \mid \quad \frac{V}{\text{varT } n :: V}$$

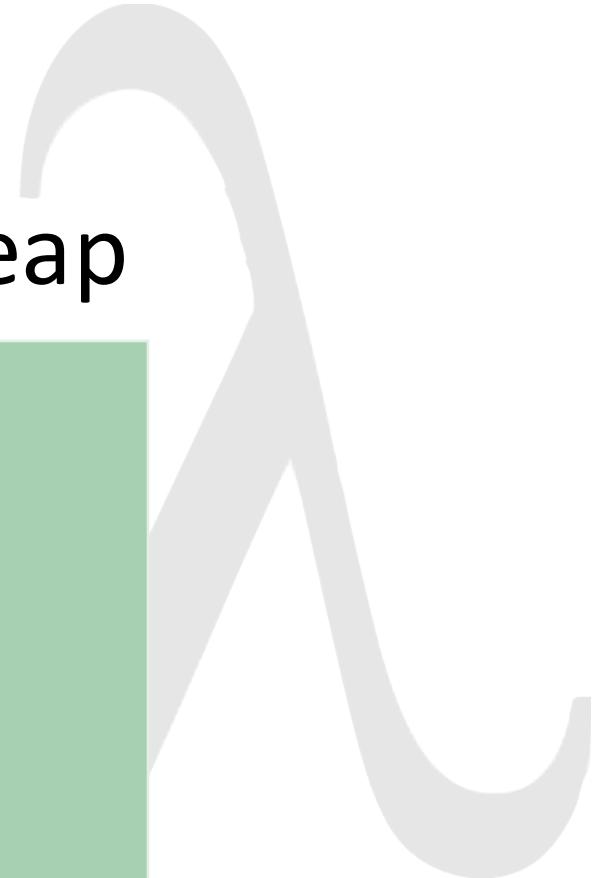
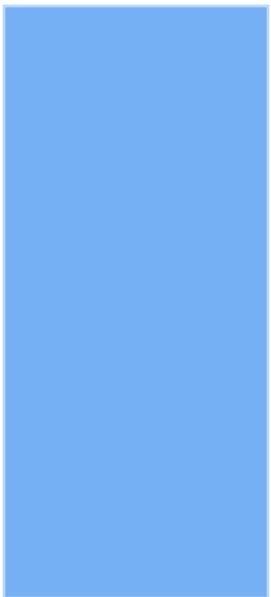
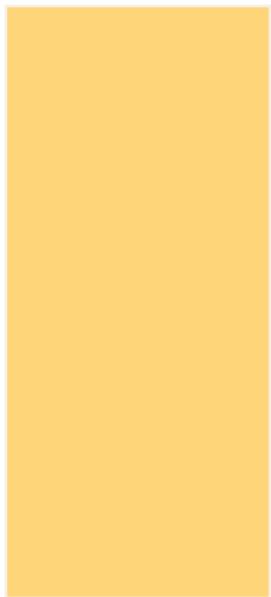
Lemma 45 (Substitution Machine Time Simulation). If $m \Downarrow_k n$, then there exists k' such that $(P_m, []) \triangleright_{k'} ([] , P_n)$ where $k' \leq 3 * k + 1$ and $P_m \gg m$ and $P_n \gg n$.

Lemma 46 (Substitution Machine Space Simulation). If $m \Downarrow^s n$ then there exists σ such that $(P_m, []) \triangleright_*^\sigma ([] , P_n)$, where $s \leq \sigma \leq 9 * s$ and $P_m \gg m$ and $P_n \gg n$.



Heap Machine

Task Stack | Value Stack | Heap





Heap Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll} \text{Values} & V ::= \text{var } x \mid \text{thunk } M \\ \text{Computations} & M ::= \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\ & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M \end{array}$$

Example Transition Rule for Substitution Machine - Application

$$\triangleright \langle \text{applyT} :: P, a \rangle :: T \mid Q :: \langle \text{lamT} :: M ++ [\text{endLamT}], b \rangle :: V \mid H \quad \Big| \quad \text{put } H\{Q, b\} = (H', c) \\ \langle M, c \rangle :: \langle P, a \rangle :: T \qquad \qquad \qquad V \qquad H' \quad \Big| \quad$$

Heap Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll}
 \text{Values} & V := \text{var } x \mid \text{thunk } M \\
 \text{Computations} & M := \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\
 & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M
 \end{array}$$

Example Transition Rule for Substitution Machine - Variable

$\langle \text{varT } x :: P, a \rangle :: T$	V	H	lookup $H a x = g$
$\triangleright \langle P, a \rangle :: T$	$g :: V$	H	

Heap Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll}
 \text{Values} & V := \text{var } x \mid \text{thunk } M \\
 \text{Computations} & M := \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\
 & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M
 \end{array}$$

Example Transition Rule for Substitution Machine - Variable

$\langle \text{varT } x :: P, a \rangle :: T$	V	H	$\text{lookup } H a x = g$
$\triangleright \langle P, a \rangle :: T$	$g :: V$	H	

Lemma 55 (Heap Machine Time Simulation). *If $m \Downarrow_k n$ then there exists k' such that $(\langle P_m, 0 \rangle, [], []) \triangleright_{k'} ([], \langle P_n, a \rangle, H)$, where $k' \leq 7 * k + 5$ and $\langle P_m, 0 \rangle \gg m$ and $\langle P_n, a \rangle \gg_H n$.*

Lemma 56 (Heap Machine Space Simulation). *If $(P_m, [], []) \triangleright_k (T, N, H)$ and $P_m \gg m$ then $\|T ++ N ++ H\| \leq (k + 1) * (3 * k + 4 * \|m\|)$.*

Heap Machine

Definition 33 (Syntax for CBPV).

$$\begin{array}{ll}
 \text{Values} & V := \text{var } x \mid \text{thunk } M \\
 \text{Computations} & M := \lambda. M \mid \text{app } M V \mid \text{force } V \mid \text{ret } V \mid \\
 & \quad \text{seq } M M \mid \text{pseq } M M M \mid \text{let } V. \text{in } M
 \end{array}$$

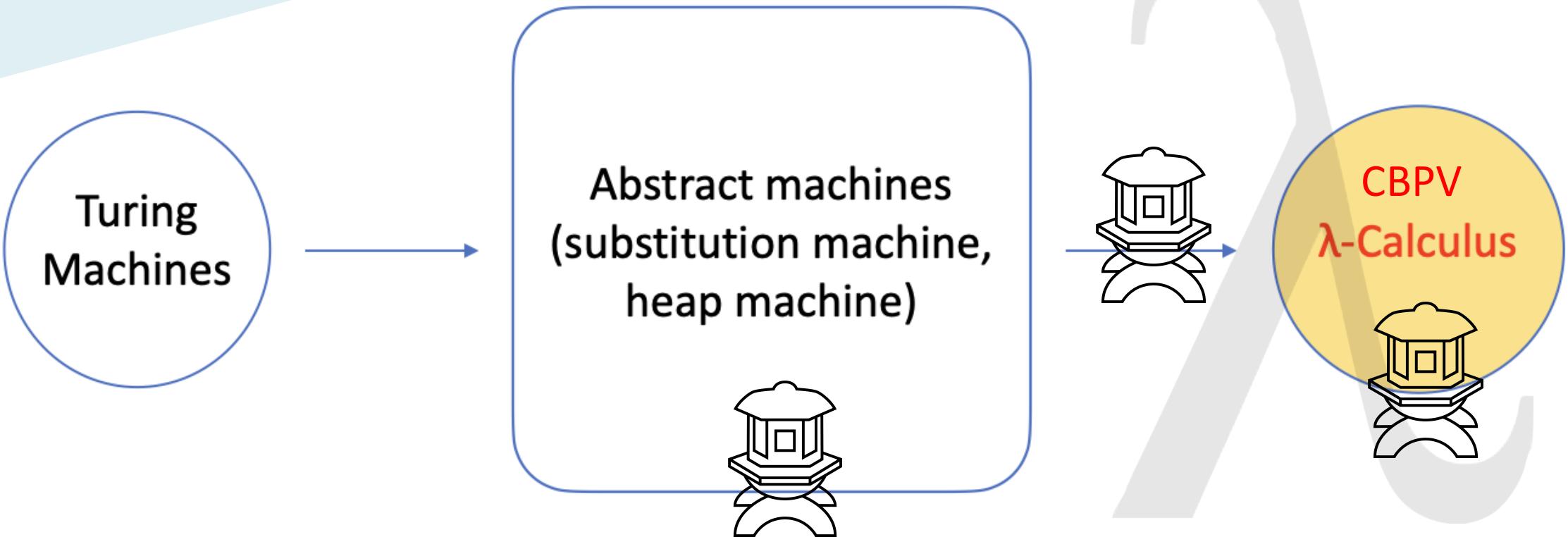
Example Transition Rule for Substitution Machine - Variable

$\langle \text{varT } x :: P, a \rangle :: T$	V	H	$\text{lookup } H a x = g$
$\triangleright \langle P, a \rangle :: T$	$g :: V$	H	

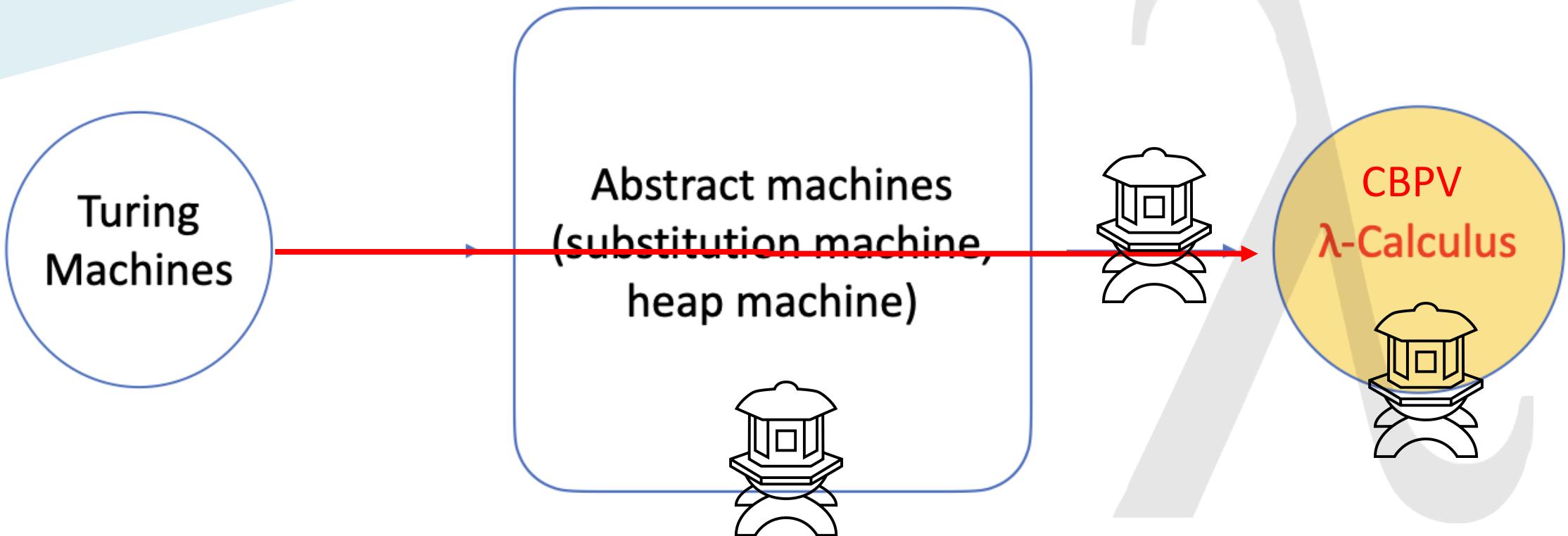
Lemma 55 (Heap Machine Time Simulation). If $m \Downarrow_k n$ then there exists k' such that $(\langle P_m, 0 \rangle, [], []) \triangleright_{k'} ([], \langle P_n, a \rangle, H)$, where $k' \leq 7 * k + 5$ and $\langle P_m, 0 \rangle \gg m$ and $\langle P_n, a \rangle \gg_H n$.

Lemma 56 (Heap Machine Space Simulation). If $(P_m, [], []) \triangleright_k (T, N, H)$ and $P_m \gg m$ then $\|T ++ N ++ H\| \leq (k + 1) * (3 * k + 4 * \|m\|)$.

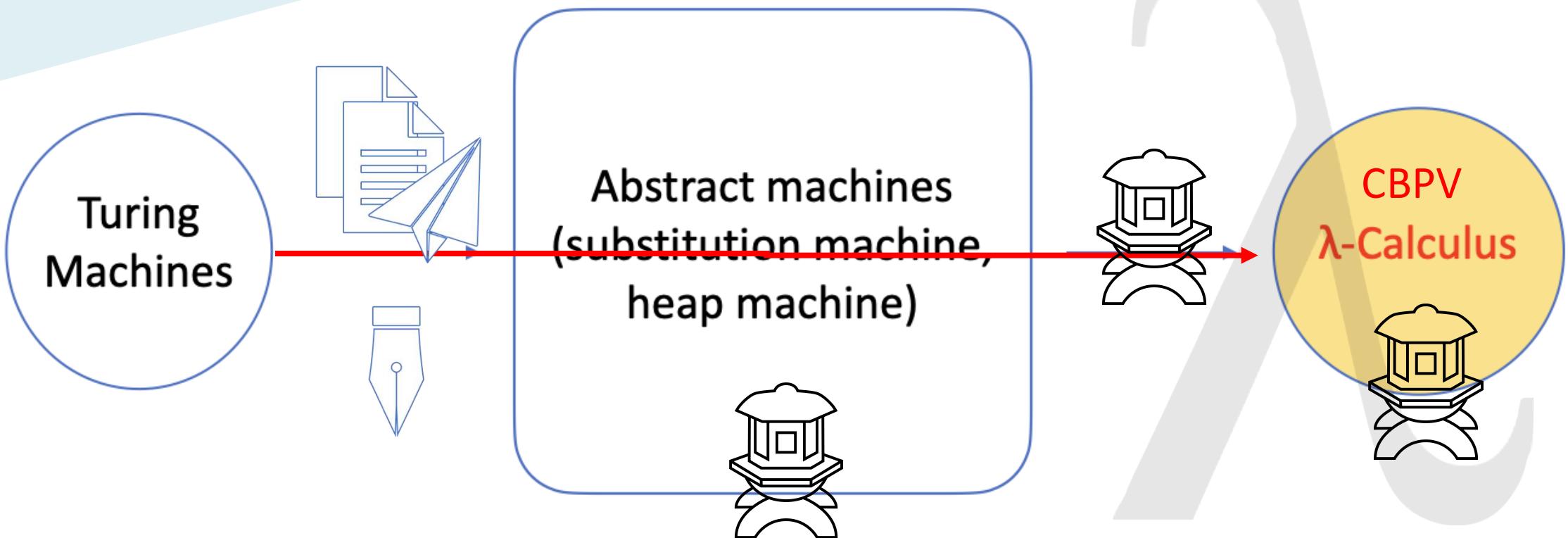
A Verified Cost Model for CBPV



A Verified Cost Model for CBPV



A Verified Cost Model for CBPV



Turing Machine Simulating CBPV

Task Stack	Value Stack	Assumption
$(\text{varT } n :: P) :: T$ ▷ $P ::_{tc} T$	V $\text{varT } n :: V$	
$(\text{thunkT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $\text{thunkT} :: M ++ [\text{endThunkT}] :: V$	$\varphi P = (M, Q)$
$(\text{forceT} :: P) :: T$ ▷ $(M ++ P) ::_{tc} T$	$(\text{thunkT} :: K) :: V$ V	$\varphi K = (M, [])$
$(\text{lamT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{lamT} :: M ++ [\text{endLamT}]) :: V$	$\varphi P = (M, Q)$
$(\text{applyT} :: P) :: T$ ▷ $M_Q^0 :: (P ::_{tc} T)$	$Q :: (\text{lamT} :: M ++ [\text{endLamT}]) :: V$ V	
$(\text{retT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{retT} :: U ++ [\text{endRetT}]) :: V$	$\varphi P = (U, Q)$
$(\text{seqT} :: P) :: T$ ▷ $N_U^0 :: (Q ::_{tc} T)$	$(\text{retT} :: U ++ [\text{endRetT}]) :: V$ V	$\varphi P = (N, Q)$
$(\text{pseqT} :: P) :: T$ ▷ $(N_{U_1}^0)_{U_2}^1 :: (Q ::_{tc} T)$	$(\text{retT} :: U_2 ++ [\text{endRetT}]) :: (\text{retT} :: U_1 ++ [\text{endRetT}]) :: V$ V	$\varphi P = (N, Q)$
$(\text{letinT} :: P) :: T$ ▷ $M_K^0 :: (Q ::_{tc} T)$	$K :: V$ V	$\varphi P = (M, Q)$



FIGURE 4.6: Transition Rules for the Substitution Machine

Turing Machine Simulating CBPV

Task Stack	Value Stack	Assumption
(varT n :: P) :: T ▷ P :: _{tc} T	V varT n :: V	
(thunkT :: P) :: T ▷ Q :: _{tc} T	V thunkT :: M ++ [endThunkT] :: V	φP = (M, Q)
(forceT :: P) :: T ▷ (M ++ P) :: _{tc} T	(thunkT :: K) :: V V	φK = (M, [])
(lamT :: P) :: T ▷ Q :: _{tc} T	V (lamT :: M ++ [endLamT]) :: V	φP = (M, Q)
(applyT :: P) :: T ▷ M _Q ⁰ ::(P :: _{tc} T)	Q ::(lamT :: M ++ [endLamT]) :: V V	
(retT :: P) :: T ▷ Q :: _{tc} T	V (retT :: U ++ [endRetT]) :: V	φP = (U, Q)
(seqT :: P) :: T ▷ N _U ⁰ ::(Q :: _{tc} T)	(retT :: U ++ [endRetT]) :: V V	φP = (N, Q)
(pseqT :: P) :: T ▷ (N _{U₁} ⁰) _{U₂} ¹ ::(Q :: _{tc} T)	(retT :: U ₂ ++ [endRetT]) ::(retT :: U ₁ ++ [endRetT]) :: V V	φP = (N, Q)
(letinT :: P) :: T ▷ M _K ⁰ ::(Q :: _{tc} T)	K :: V V	φP = (M, Q)

M_1



FIGURE 4.6: Transition Rules for the Substitution Machine

Turing Machine Simulating CBPV

Task Stack	Value Stack	Assumption
$(\text{varT } n :: P) :: T$ ▷ $P ::_{tc} T$	V $\text{varT } n :: V$	
$(\text{thunkT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $\text{thunkT} :: M ++ [\text{endThunkT}] :: V$	$\varphi P = (M, Q)$
$(\text{forceT} :: P) :: T$ ▷ $(M ++ P) ::_{tc} T$	$(\text{thunkT} :: K) :: V$ V	$\varphi K = (M, [])$
$(\text{lamT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{lamT} :: M ++ [\text{endLamT}]) :: V$	$\varphi P = (M, Q)$
$(\text{applyT} :: P) :: T$ ▷ $M_Q^0 :: (P ::_{tc} T)$	$Q :: (\text{lamT} :: M ++ [\text{endLamT}]) :: V$ V	
$(\text{retT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{retT} :: U ++ [\text{endRetT}]) :: V$	$\varphi P = (U, Q)$
$(\text{seqT} :: P) :: T$ ▷ $N_U^0 :: (Q ::_{tc} T)$	$(\text{retT} :: U ++ [\text{endRetT}]) :: V$ V	$\varphi P = (N, Q)$
$(\text{pseqT} :: P) :: T$ ▷ $(N_{U_1}^0)_{U_2}^1 :: (Q ::_{tc} T)$	$(\text{retT} :: U_2 ++ [\text{endRetT}]) :: (\text{retT} :: U_1 ++ [\text{endRetT}]) :: V$ V	$\varphi P = (N, Q)$
$(\text{letinT} :: P) :: T$ ▷ $M_K^0 :: (Q ::_{tc} T)$	$K :: V$ V	$\varphi P = (M, Q)$

M_1

M_2



FIGURE 4.6: Transition Rules for the Substitution Machine

Turing Machine Simulating CBPV

Task Stack	Value Stack	Assumption
$(\text{varT } n :: P) :: T$ ▷ $P ::_{tc} T$	V $\text{varT } n :: V$	
$(\text{thunkT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $\text{thunkT} :: M ++ [\text{endThunkT}] :: V$	$\varphi P = (M, Q)$
$(\text{forceT} :: P) :: T$ ▷ $(M ++ P) ::_{tc} T$	$(\text{thunkT} :: K) :: V$ V	$\varphi K = (M, [])$
$(\text{lamT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{lamT} :: M ++ [\text{endLamT}]) :: V$	$\varphi P = (M, Q)$
$(\text{applyT} :: P) :: T$ ▷ $M_Q^0 :: (P ::_{tc} T)$	$Q :: (\text{lamT} :: M ++ [\text{endLamT}]) :: V$ V	
$(\text{retT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{retT} :: U ++ [\text{endRetT}]) :: V$	$\varphi P = (U, Q)$
$(\text{seqT} :: P) :: T$ ▷ $N_U^0 :: (Q ::_{tc} T)$	V $(\text{retT} :: U ++ [\text{endRetT}]) :: V$	$\varphi P = (N, Q)$
$(\text{pseqT} :: P) :: T$ ▷ $(N_{U_1}^0)_{U_2}^1 :: (Q ::_{tc} T)$	V $(\text{retT} :: U_2 ++ [\text{endRetT}]) :: (\text{retT} :: U_1 ++ [\text{endRetT}]) :: V$	$\varphi P = (N, Q)$
$(\text{letinT} :: P) :: T$ ▷ $M_K^0 :: (Q ::_{tc} T)$	$K :: V$ V	$\varphi P = (M, Q)$

M_1

M_2

M_...



FIGURE 4.6: Transition Rules for the Substitution Machine

Turing Machine Simulating CBPV

Task Stack	Value Stack	Assumption
$(\text{varT } n :: P) :: T$ ▷ $P ::_{tc} T$	V $\text{varT } n :: V$	
$(\text{thunkT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $\text{thunkT} :: M ++ [\text{endThunkT}] :: V$	$\varphi P = (M, Q)$
$(\text{forceT} :: P) :: T$ ▷ $(M ++ P) ::_{tc} T$	$(\text{thunkT} :: K) :: V$ V	$\varphi K = (M, [])$
$(\text{lamT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{lamT} :: M ++ [\text{endLamT}]) :: V$	$\varphi P = (M, Q)$
$(\text{applyT} :: P) :: T$ ▷ $M_Q^0 :: (P ::_{tc} T)$	$Q :: (\text{lamT} :: M ++ [\text{endLamT}]) :: V$ V	
$(\text{retT} :: P) :: T$ ▷ $Q ::_{tc} T$	V $(\text{retT} :: U ++ [\text{endRetT}]) :: V$	$\varphi P = (U, Q)$
$(\text{seqT} :: P) :: T$ ▷ $N_U^0 :: (Q ::_{tc} T)$	$(\text{retT} :: U ++ [\text{endRetT}]) :: V$ V	$\varphi P = (N, Q)$
$(\text{pseqT} :: P) :: T$ ▷ $(N_{U_1, U_2}^1)^1 :: (Q ::_{tc} T)$	$(\text{retT} :: U_2 ++ [\text{endRetT}]) :: (\text{retT} :: U_1 ++ [\text{endRetT}]) :: V$ V	$\varphi P = (N, Q)$
$(\text{letinT} :: P) :: T$ ▷ $M_K^0 :: (Q ::_{tc} T)$	$K :: V$ V	$\varphi P = (M, Q)$

M_{subst}

FIGURE 4.6: Transition Rules for the Substitution Machine

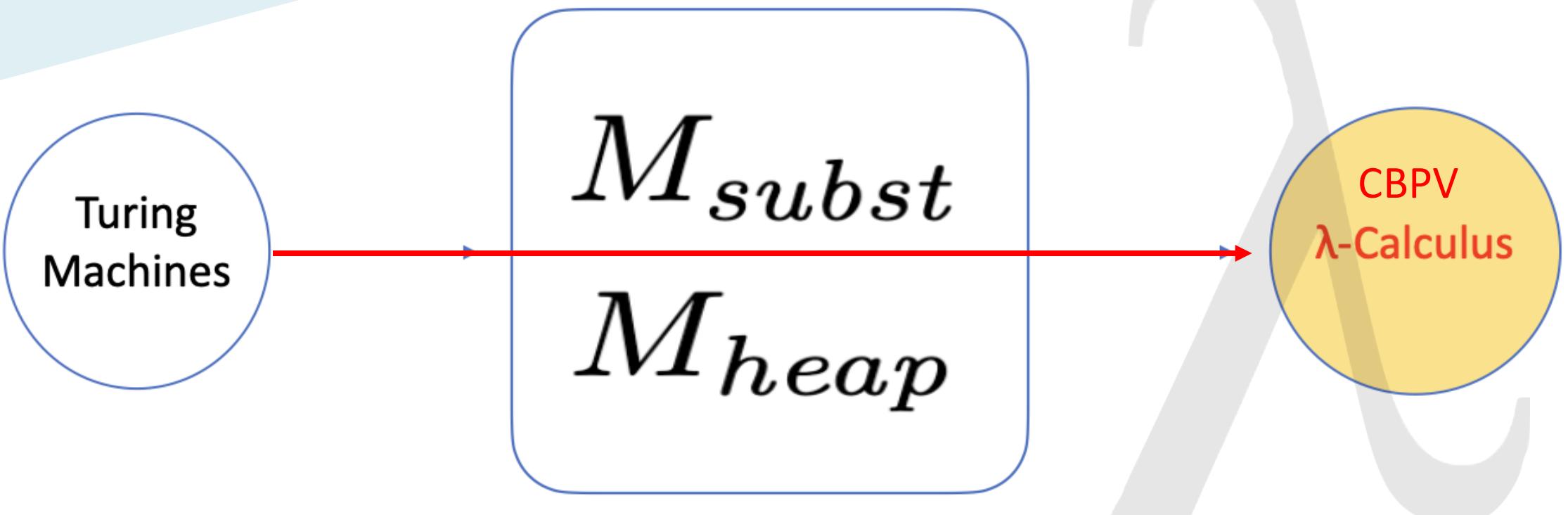
Turing Machine Simulating CBPV

Task Stack	Value Stack	Heap	Assumption
$\langle \text{varT} \, x :: P, a \rangle :: T$ ▷ $\langle P, a \rangle :: T$	V $g :: V$	H H	$\text{lookup } H \, a \, x = g$
$\langle \text{thunkT} :: P, a \rangle :: T$ ▷ $\langle Q, a \rangle :: T$	V $\langle \text{thunkT} :: M ++ [\text{endThunkT}], a \rangle :: V$	H H	$\varphi P = (M, Q)$
$\langle \text{forceT} :: P, a \rangle :: T$ ▷ $\langle M, b \rangle :: \langle P, a \rangle :: T$	$\langle \text{thunkT} :: M ++ [\text{endThunkT}], b \rangle :: V$ V	H H	
$\langle \text{lamT} :: P, a \rangle :: T$ ▷ $\langle Q, a \rangle :: T$	V $\langle \text{lamT} :: M ++ [\text{endLamT}], a \rangle :: V$	H H	$\varphi P = (M, Q)$
$\langle \text{applyT} :: P, a \rangle :: T$ ▷ $\langle M, c \rangle :: \langle P, a \rangle :: T$	$Q :: \langle \text{lamT} :: M ++ [\text{endLamT}], b \rangle :: V$ V	H H'	$\text{put } H\{Q, b\} = (H', c)$
$\langle \text{retT} :: P, a \rangle :: T$ ▷ $\langle P, a \rangle :: T$	$\langle M, b \rangle :: V$ $\langle M, b \rangle :: V$	H H	
$\langle \text{seqT} :: P, a \rangle :: T$ ▷ $\langle N, c \rangle :: \langle Q, a \rangle :: T$	$\langle M, b \rangle :: V$ V	H H'	$\varphi P = (N, Q)$ $\text{put } H\{\langle M, b \rangle, a\} = (H', c)$
$\langle \text{pseqT} :: P, a \rangle :: T$ ▷ $\langle N, c_2 \rangle :: \langle Q, a \rangle :: T$	$\langle M_2, b_2 \rangle :: \langle M_1, b_1 \rangle :: V$ V	H H_2	$\varphi P = (N, Q)$ $\text{put } H\{\langle M_2, b_2 \rangle, a\} = (H_1, c_1)$ $\text{put } H_1\{\langle M_1, b_1 \rangle, a\} = (H_2, c_2)$
$\langle \text{letinT} :: P, a \rangle :: T$ ▷ $\langle M, b \rangle :: \langle Q, a \rangle :: T$	$K :: V$ V	H H'	$\varphi P = (M, Q)$ $\text{put } H\{K, a\} = (H', b)$
$\langle [], a \rangle :: T$ ▷ T	V V	H H	



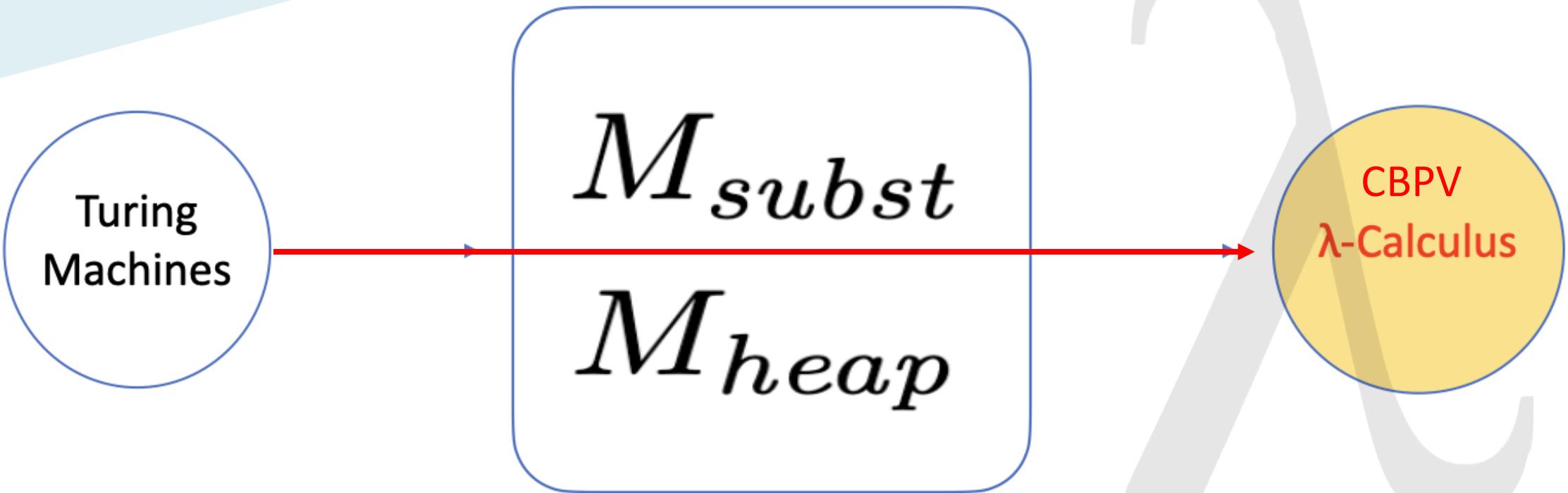
FIGURE 4.8: Transition Rules for the Heap Machine

Turing Machine Simulating CBPV

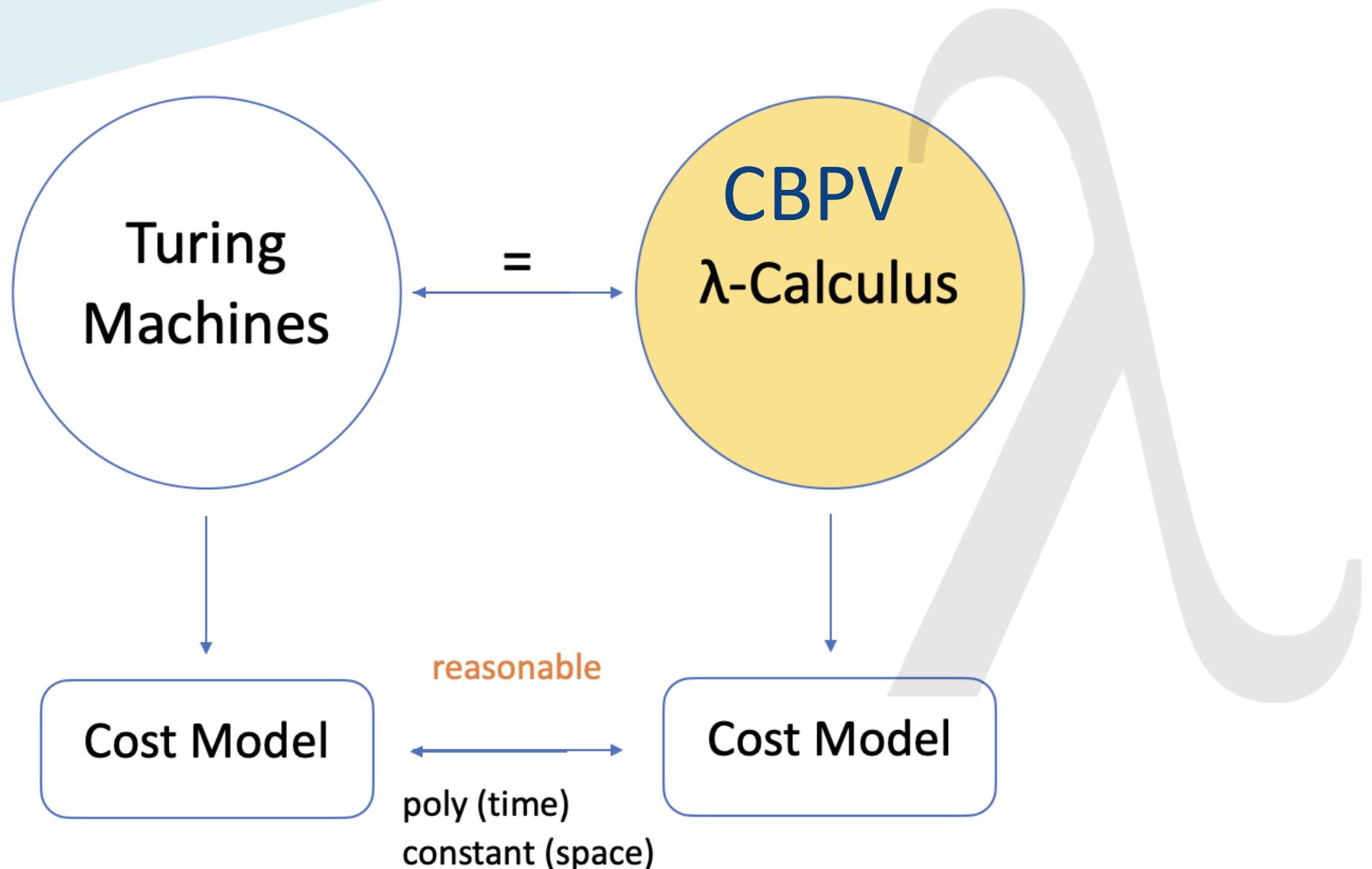


Turing Machine Simulating CBPV

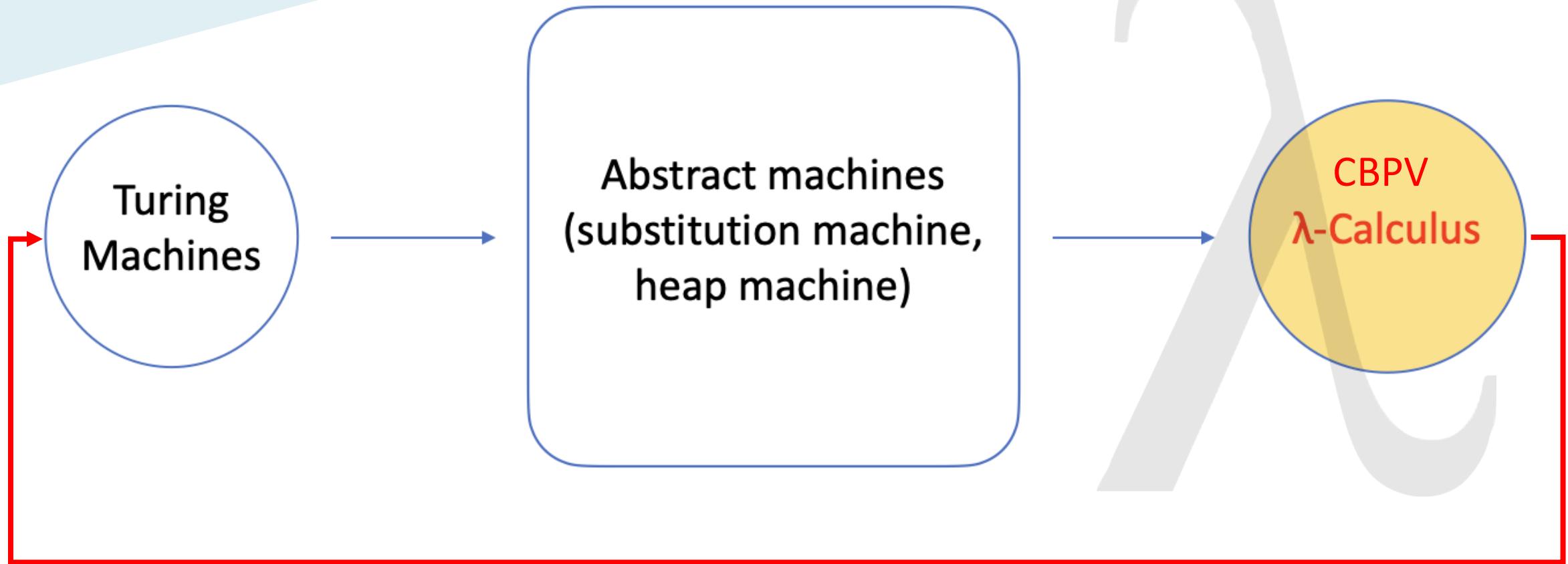
Alternating simulation strategy: [Forster, Kunze, and Roth 2020]



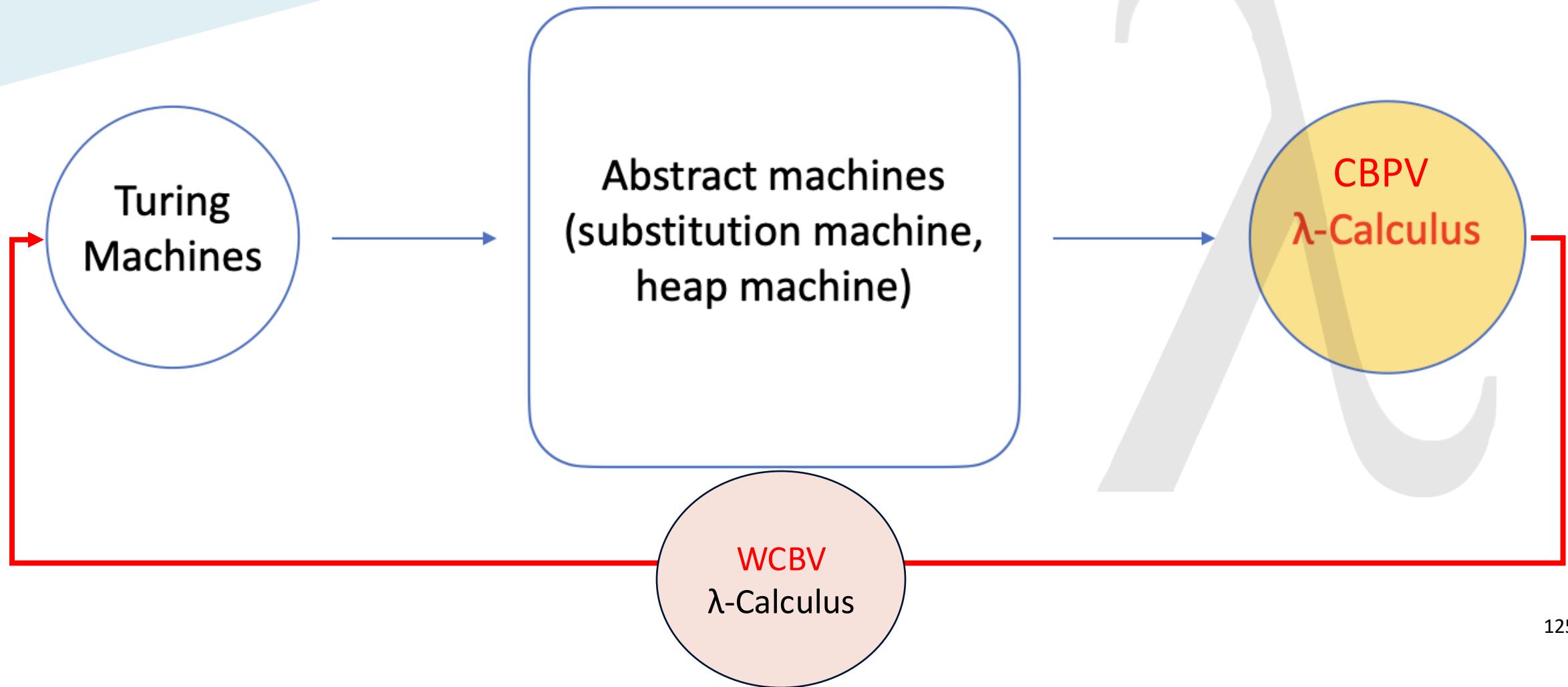
A Verified Cost Model for CBPV



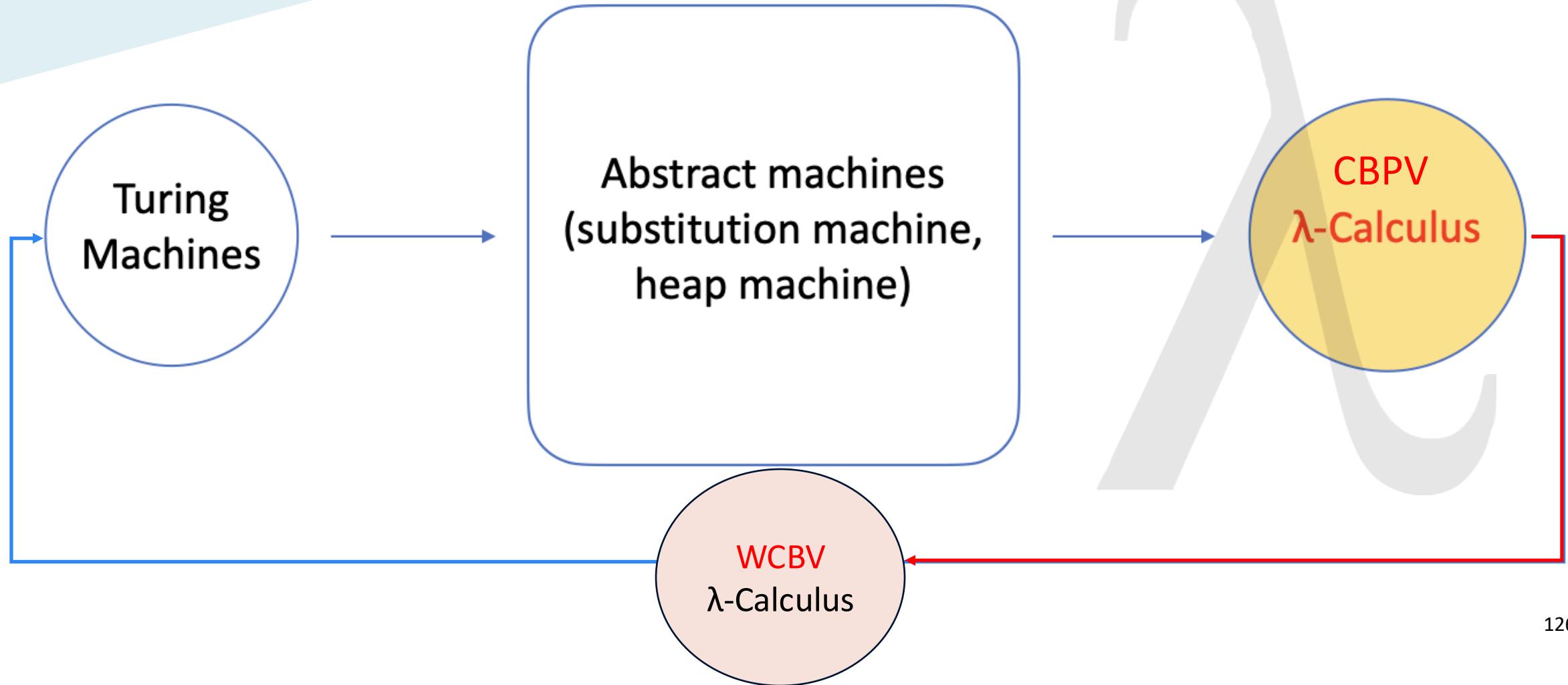
CBPV Simulating Turing Machines



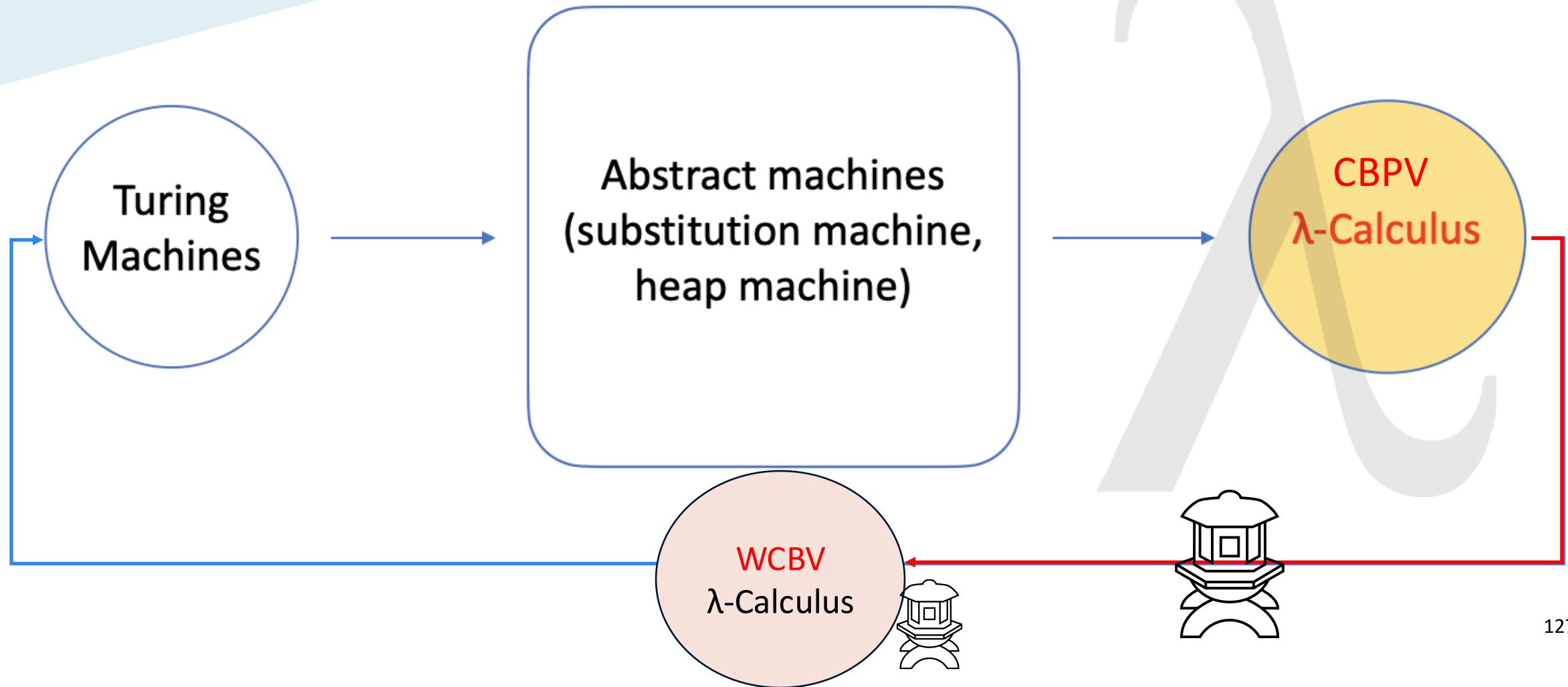
CBPV Simulating Turing Machines



CBPV Simulating Turing Machines



CBPV Simulating Turing Machines





CBPV Simulating WCBV

Definition 18 (Syntax for WCBV). *n is a constant.*

$$s, t, u, v = \text{var } n | \text{app } s \ t | \lambda. \ s$$



CBPV Simulating WCBV

Definition 18 (Syntax for WCBV). *n is a constant.*

$$s, t, u, v = \text{var } n | \text{app } s \ t | \lambda. \ s$$

Compilation Function:

$$\begin{aligned} c(\text{var } x) &= \text{var } x & c(\lambda. \ t) &= \text{ret thunk } \lambda. \ c(t) \\ c(\text{app } t \ u) &= \text{pseq } (c(u)) \ (c(t)) \ (\text{app } (\text{force var } 0) \ (\text{var } 1)) \end{aligned}$$

CBPV Simulating WCBV

Definition 18 (Syntax for WCBV). *n is a constant.*

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Compilation Function:

$$\begin{aligned} c(\text{var } x) &= \text{var } x & c(\lambda. \ t) &= \text{ret thunk } \lambda. \ c(t) \\ c(\text{app } t \ u) &= \text{pseq } (c(u)) \ (c(t)) \ (\text{app } (\text{force var } 0) \ (\text{var } 1)) \end{aligned}$$

Theorem 62. *For each closed WCBV term t, we have:*

1. *If $t \Downarrow_k u$, then there exists k' such that $c(t) \Downarrow_{k'} c(u)$ and $k' \leq 5 * k$; and*
2. *If $t \Downarrow^s u$, then there exists s' such that $c(t) \Downarrow^{s'} c(u)$ and $s' \leq 6 * s$.*

CBPV Simulating WCBV

Definition 18 (Syntax for WCBV). n is a constant.

$$s, t, u, v = \text{var } n | \text{app } s \ t | \lambda. \ s$$

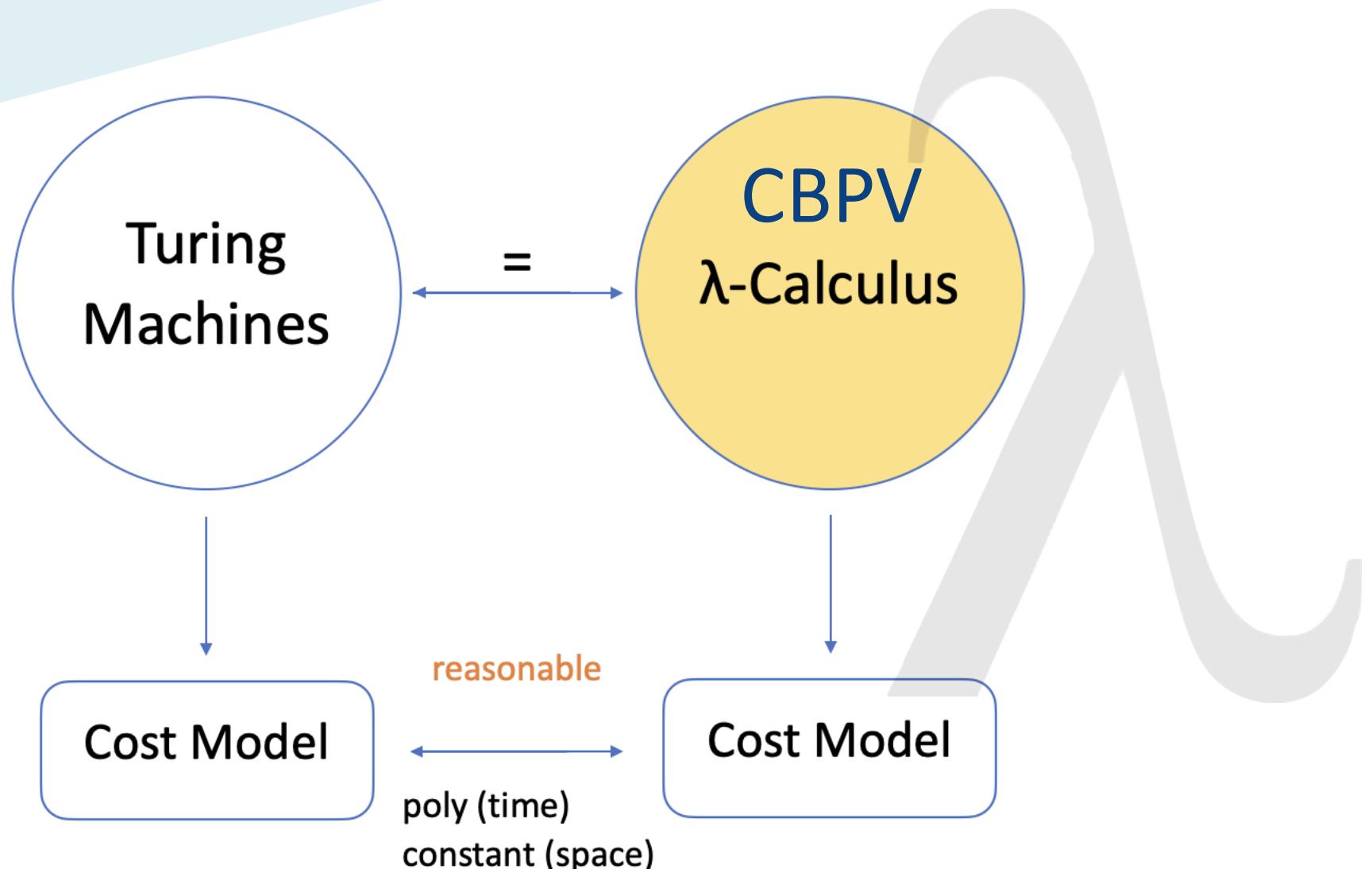
Compilation Function:

$$\begin{aligned} c(\text{var } x) &= \text{var } x & c(\lambda. \ t) &= \text{ret thunk } \lambda. \ c(t) \\ c(\text{app } t \ u) &= \text{pseq } (c(u)) \ (c(t)) \ (\text{app } (\text{force var } 0) \ (\text{var } 1)) \end{aligned}$$

Theorem 62. For each closed WCBV term t , we have:

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A Verified Cost Model for CBPV



A Verified Cost Model for CBPV

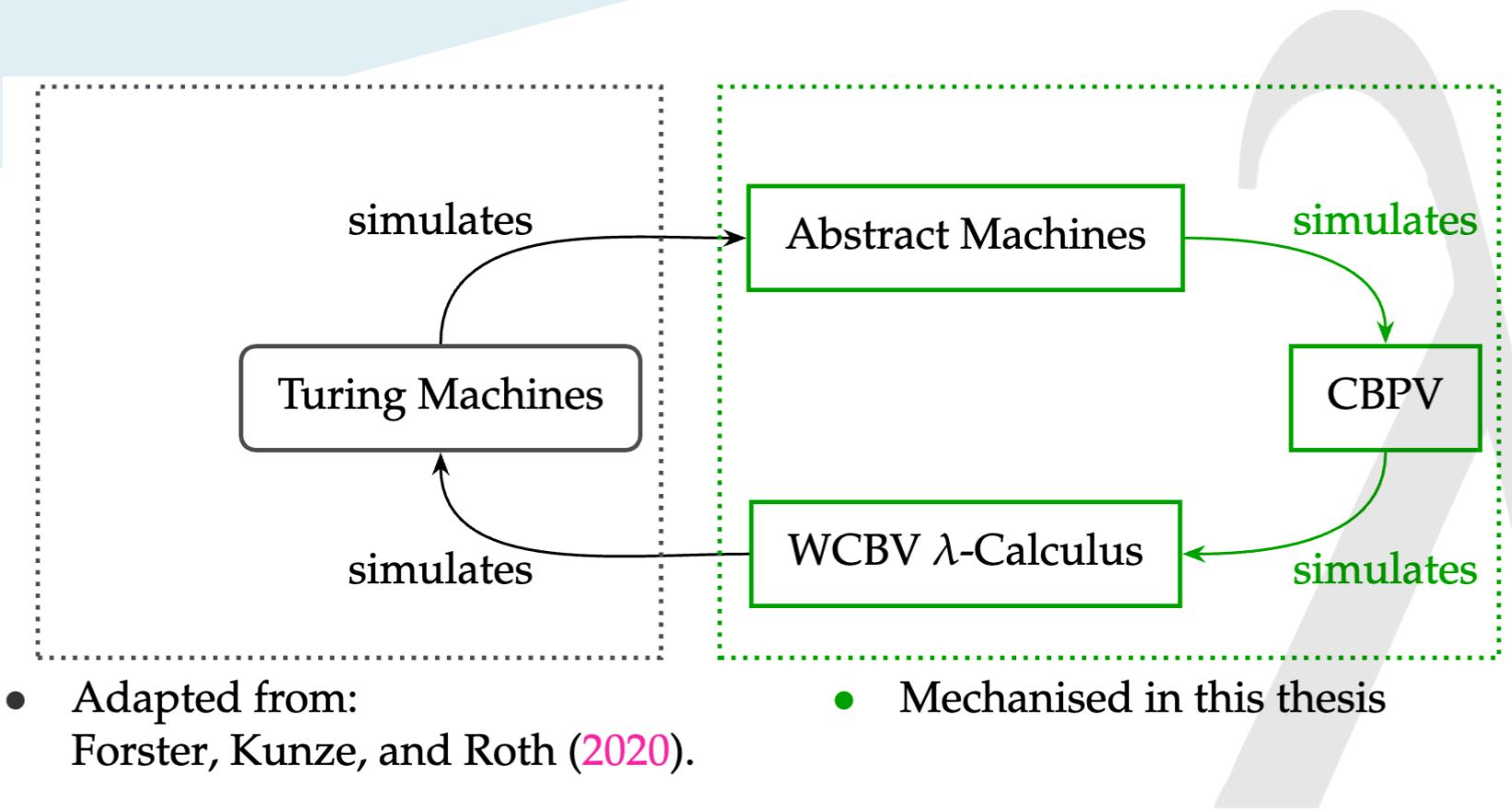


FIGURE 4.10: Simulation between Turing Machines and CBPV
with intermediate models



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Thank you

Questions?

Formalisation available at:

<https://github.com/ZhuoZoeyChen/cbpv-reasonable-HOL/>

References

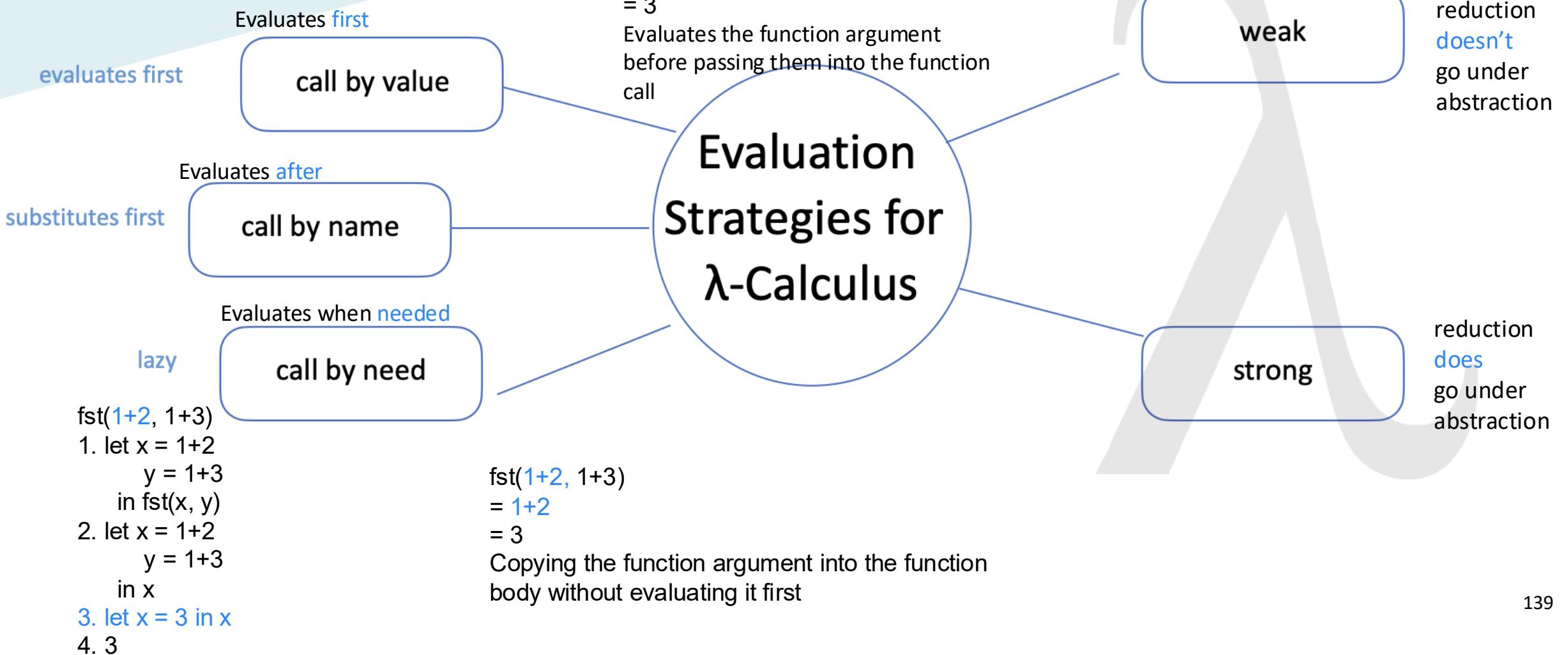
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Method - Why CBPV?



Method - Why CBPV?

```
fst(1+2, 1+3)  
= fst(3, 1+3)  
= fst(3, 4)  
= 3
```

```
fst(1+2, 1+3)  
= 1+2  
= 3
```

```
fst(1+2, 1+3)  
1. let x = 1+2  
   y = 1+3  
   in fst(x, y)  
2. let x = 1+2  
   y = 1+3  
   in x  
3. let x = 3 in x  
4. 3
```

call by value

call by name

call by need

Evaluation Strategies for λ -Calculus

weak

strong

reduction
doesn't
go under
abstraction