Notes for qRBM-AFQMC

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1 Introduction

This note is going to introduce a new "variational trial wave function" for CPMC and the following construction as "technical details".

All the discussion below is based on one-band Hubbard

$$H = -t \sum_{\langle i,j \rangle, \sigma} C_{i,\sigma}^{\dagger} C_{j,\sigma} + U \sum_{j} n_{j,up} n_{j,dn}$$

where $C_{j,\sigma}^{\dagger}$ ($C_{j,\sigma}^{\dagger}$) creates (annihilates) an electron with spin σ ($\sigma = up, dn$) at lattice site j and j connects two nearest neighbor sites, $n_{j,\sigma} \equiv C_{j,\sigma}^{\dagger} C_{j,\sigma}$. and 2-D lattice set with Closed Boundary Condition.

2 Trial Wave Function

The trial wave function is

$$|\psi\rangle \equiv \sum_{\{h_k\}} \prod_{k=1}^M e^{\sum_{i,j,\sigma} w_{i,j,\sigma}^k h_k C_{i\sigma}^\dagger C_{j\sigma}} |\phi_0\rangle = \sum_{\{h_k\}} \prod_{k=1}^M e^{D^k h_k} |\phi_0\rangle$$

where $D^k \equiv \sum_{i,j,\sigma} w_{i,j,\sigma}^k C_{i\sigma}^{\dagger} C_{j\sigma}$, $h_k = \pm 1$ is a set of auxiliary field and $|\phi_0\rangle$ is any single Slater determinate (noted as "DET state").

The reasons we choose it as an trial wave function are:

- 1. In AFQMC and RBM trial wave function, Auxiliary field can be used to describe the interaction in quantum state.
- 2. when the number of h_k goes to 2*Nsites, it agree with AFQMC. (Where Nsites is the numer of sites in lattice.)
 - 3. In Theory, this trial wave function can approach to any DET states.

3 Technical Details

3.1 Energy for $|\psi\rangle$

As a variational approach, the expected value of H is supposed to be minimized for the trial wave function.

$$\begin{split} \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} &= \frac{\sum_{\{h_k\}, \{h_k'\}} \langle \phi_0 | \prod_{k=1}^M e^{D^k \dag_k} H \prod_{k=1}^M e^{D^k h_k'} | \phi_0 \rangle}{\sum_{\{h_k\}, \{h_k'\}} \langle \phi_0 | \prod_{k=1}^M e^{D^k \dag_k} \prod_{k=1}^M e^{D^k h_k'} | \phi_0 \rangle} = \frac{\sum_{\{h_k\}, \{h_k'\}} \langle \phi_0 (h) | H | \phi_0 (h') \rangle}{\sum_{\{h_k\}, \{h_k'\}} \langle \phi_0 (h) | \phi_0 (h') \rangle} \\ & \langle \phi_0 (h) | \phi_0 (h') \rangle = \det(\Phi_0 (h)^\dagger \Phi_0 (h')) = \det(A) \\ & \langle \phi_0 (h) | C_{i\sigma}^\dagger C_{j\sigma} | \phi_0 (h') \rangle = \det(A) * [\Phi_0 (h) A^{-1} \Phi_0 (h')^\dagger]_{j\sigma, i\sigma} \\ & \langle \phi_0 (h) | n_{i,up} n_{j,dn} | \phi_0 (h') \rangle = \frac{\langle \phi_0 (h) | n_{i,up} | \phi_0 (h') \rangle \langle \phi_0 (h) | n_{j,dn} | \phi_0 (h') \rangle}{\langle \phi_0 (h) | \phi_0 (h') \rangle} \end{split}$$

where $|\phi_0(h)\rangle \equiv \prod_{k=1}^M e^{D^k h'_k} |\phi_0\rangle$, $A \equiv \Phi_0(h)^{\dagger} \Phi_0(h')$ and Φ is the matrix of DET state $|\phi_0\rangle$.

For a given trial wave function $|\psi\rangle$, $2\times 2^M\times 2^M$ times matrix (2*Nsites by Ntot) multiplication is needed (without considering any symmetry) where Ntot is the number of electrons in this system.

3.2 Derivative of Energy for $|\psi\rangle$

3.2.1 Wick's Theorem in single DET state: prove

Claim: for n-body operators in the average of DET states $|\phi_1\rangle$, $|\phi_2\rangle$, Wick's Theorem can be applied. For example:

$$\langle \phi_1 | C_i^{\dagger} C_j C_k^{\dagger} C_l | \phi_2 \rangle \langle \phi_1 | \phi_2 \rangle = \langle \phi_1 | C_i^{\dagger} C_j | \phi_2 \rangle \langle \phi_1 | C_k^{\dagger} C_l | \phi_2 \rangle$$
$$+ \langle \phi_1 | C_i^{\dagger} C_l | \phi_2 \rangle \langle \phi_1 | C_j C_k^{\dagger} | \phi_2 \rangle - \langle \phi_1 | C_i^{\dagger} C_k^{\dagger} | \phi_2 \rangle \langle \phi_1 | C_j C_l | \phi_2 \rangle$$

The proving goes like this:

First prove Wick's Theorem can be applied to empty state $|0\rangle$ and electron creation and annihilation operators on position. $\langle 0|C_i^{\dagger}\cdots C_i|0\rangle$.

Then prove Wick's Theorem can be applied to empty state $|0\rangle$ and linear combination $\{B_i\}$ of electron creation and annihilation operators on position. $\langle 0|B_i^{\dagger}\cdots B_j|0\rangle$.

Then prove Wick's Theorem can be applied to DET state $|\phi_1\rangle$, $|\phi_2\rangle$ and electron creation and annihilation operators on position. $\langle B_1B_2\cdots B_M|C_i^{\dagger}\cdots C_j|B_M'^{\dagger}\cdots B_2'^{\dagger}B_1'^{\dagger}\rangle = \langle \phi_1|C_i^{\dagger}\cdots C_j|\phi_2\rangle$.

3.2.2 Wick's Theorem in single DET state: apply

The derivative of E is:

$$\frac{\partial E}{\partial w_{i\sigma,j\sigma}^k} = \frac{\partial \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}}{\partial w_{i\sigma,j\sigma}^k} = \frac{\partial \langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle \partial w_{i\sigma,j\sigma}^k} - \frac{\partial \langle \psi | \psi \rangle}{\langle \psi | \psi \rangle \partial w_{i\sigma,j\sigma}^k} E$$

Suppose $w_{i,j}^k$ are real number and $C_i^{\dagger}C_j$ (produced from the derivative of $e^{D^kh_k}$) can be moved to the middle of $\langle\phi_1|\phi_2\rangle$ (This may suggest $[D^i,D^j]=0$ and needed to be considered carefully):

$$\frac{\partial \langle \psi | \psi \rangle}{\partial w_{i\sigma,j\sigma}^k} = \sum_{\{h_k\},\{h_k'\}} (\langle \phi(h) | h_k C_{j\sigma}^{\dagger} C_{i\sigma} | \phi(h') \rangle + \langle \phi(h) | h_k' C_{i\sigma}^{\dagger} C_{j\sigma} | \phi(h') \rangle)$$

Be careful! The normalization of Wick's Theorem is not showed below:

$$\frac{\partial \langle \psi | H | \psi \rangle}{\partial w_{i\sigma,j\sigma}^{q}} = \sum_{\{h_{k}\},\{h_{k}'\}} \{-t * h_{q} \sum_{,l\rangle}^{\dagger} {}_{j\sigma} C_{l\sigma} \rangle_{i\sigma} C_{k\sigma}^{\dagger} \rangle + h_{qj\sigma}^{\dagger} C_{i\sigma} \rangle \rangle$$

$$+ U * h_{q} \sum_{k} {}_{k\sigma'} \rangle_{j\sigma}^{\dagger} C_{k\sigma} \rangle_{i\sigma} C_{k\sigma}^{\dagger} \rangle + h_{qj\sigma}^{\dagger} C_{i\sigma} \rangle \rangle$$

$$- t * h_{q}' \sum_{,l\rangle}^{\dagger} {}_{l\sigma} C_{j\sigma} \rangle_{k\sigma} C_{i\sigma}^{\dagger} \rangle + h_{qi\sigma}'^{\dagger} C_{j\sigma} \rangle \rangle$$

$$+ U * h_{q}' \sum_{k} {}_{k\sigma'} \rangle_{k\sigma}^{\dagger} C_{j\sigma} \rangle_{k\sigma} C_{i\sigma}^{\dagger} \rangle + h_{qi\sigma}'^{\dagger} C_{j\sigma} \rangle \rangle \}$$

where \rangle,\rangle are kinetic energy and potential energy, $_{j\sigma}^{\dagger}C_{l\sigma}\rangle$ is the simplification of $\langle \phi(h)|C_{j\sigma}^{\dagger}C_{l\sigma}|\phi(h')\rangle$, σ' denote the negative direction of σ .

Since the Green Function (Density Matrix) is calculated in the process to get Energy, there is nearly no cost to find the derivative of Energy.

3.3 Symmetry for D^k

In the Closed Boundary Condition, $w_{i,j}^k = w_{i-j}^k$.

In the real case, $\langle \phi(h)|C_{j\sigma}^{\dagger}C_{l\sigma}|\phi(h')\rangle = \langle \phi(h')|C_{l\sigma}^{\dagger}C_{j\sigma}|\phi(h)\rangle$. The cost may be reduced by 2.

4 Conclusion

In summary, if we request:

 $1.w_{i,j}^k$ are real number.

 $2.C_i^{\dagger}C_j$, (produced from the derivative of $e^{D^kh_k}$) can be moved to the middle of $\langle \phi_1|\phi_2\rangle$.

The cost of calculating E and derivative of E for a given $|\psi\rangle$ (a given $w_{i,j}^k$) is nearly the cost of $2\times 2^M\times 2^M$ times matrix (2*Nsites by Ntot) multiplication.

5 Update 11/26/2018

This is an update about my recent work

I have written a C++ code to get the minimal energy state with LBFGSpp from our "qRBM trial wave function" (The RBM-like function in this note) and put the minimal energy state into CPMC as trial wave function. (just like what we are supposed to do.)

But there are several things I want to mention:

- 0. The equations for derivative in this note do not work! (We can not neglect the effects of commutator and the x derivative of $e^(xA + yB)$ wouldn't be $Ae^(xA + yB)$ except [A, B] = 0 where A, B are matrix) So in my code we use the numerical derivative which may cost a lot but work at least for small system.
- 1. The minimal energy state is not a physical state. (The DET states (Slater Determinants) generated by our qRBM are not normalized), those column vectors in physical DET state must be normalized to 1.
- 2. No matter if we normalize the qRBM state (the state generated by qRBM) or not:

For 2 by 2 system with 2 $Spin_{up}$, 2 $Spin_{dn}$ U=4, the minimal energy is nearly -5.32 for the number of hidden elements to be 1, 2, 3, 4 (which means we have 2, 4, 8, 16 DET states) and the exact results is 5.6(1) (from S.C.CPMC with a small number (400) of walkers -it's easy and gives a good scale for our results).

Since the increasing number of our hidden parameter wouldn't change the results too much (which is not expected) and the normalization becomes a problem, I plan to use imaginary number in our notwork parameters (like real time evolution).

References