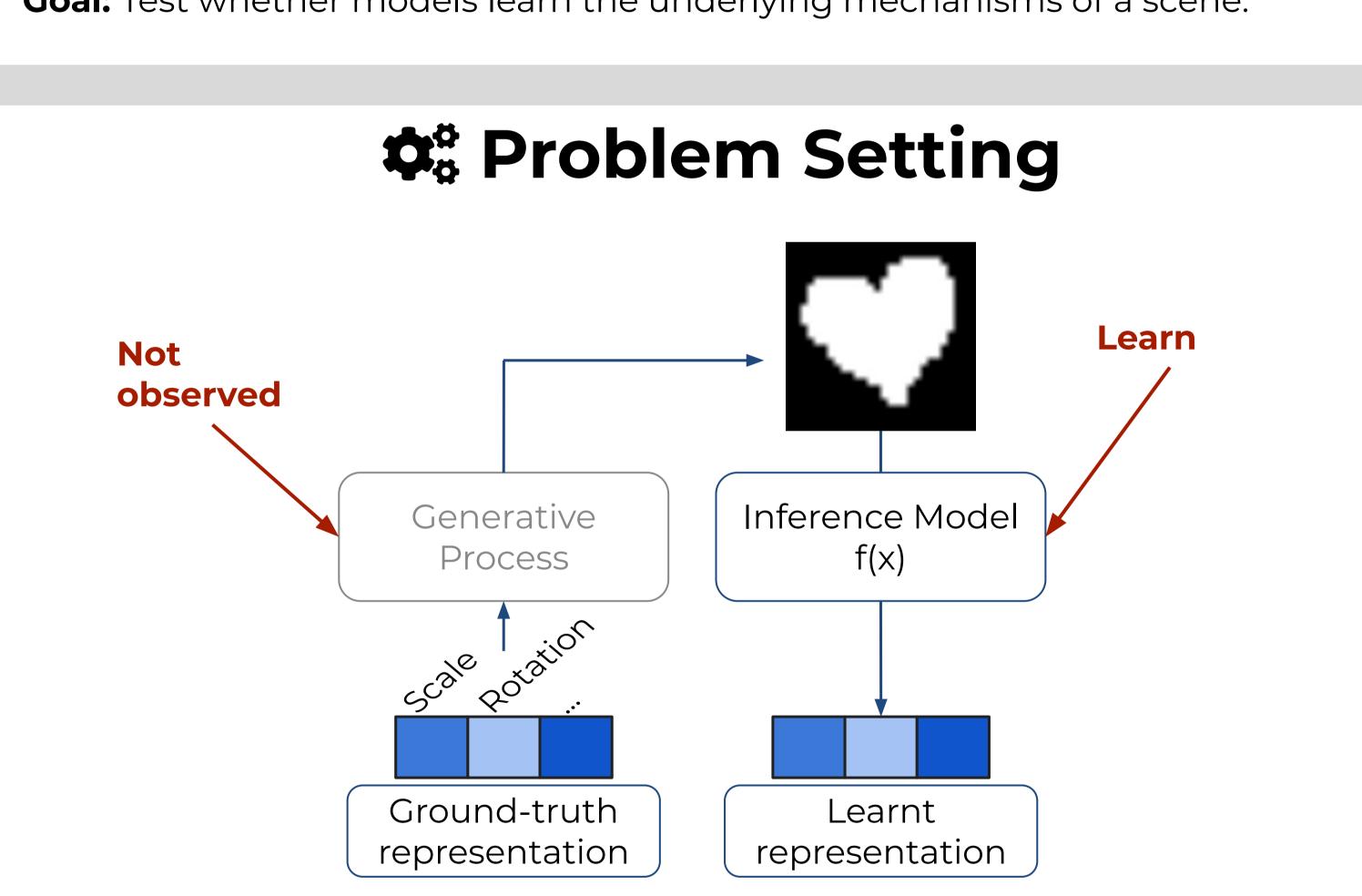
## Visual Representation Learning Does Not Generalize Strongly Within the Same Domain

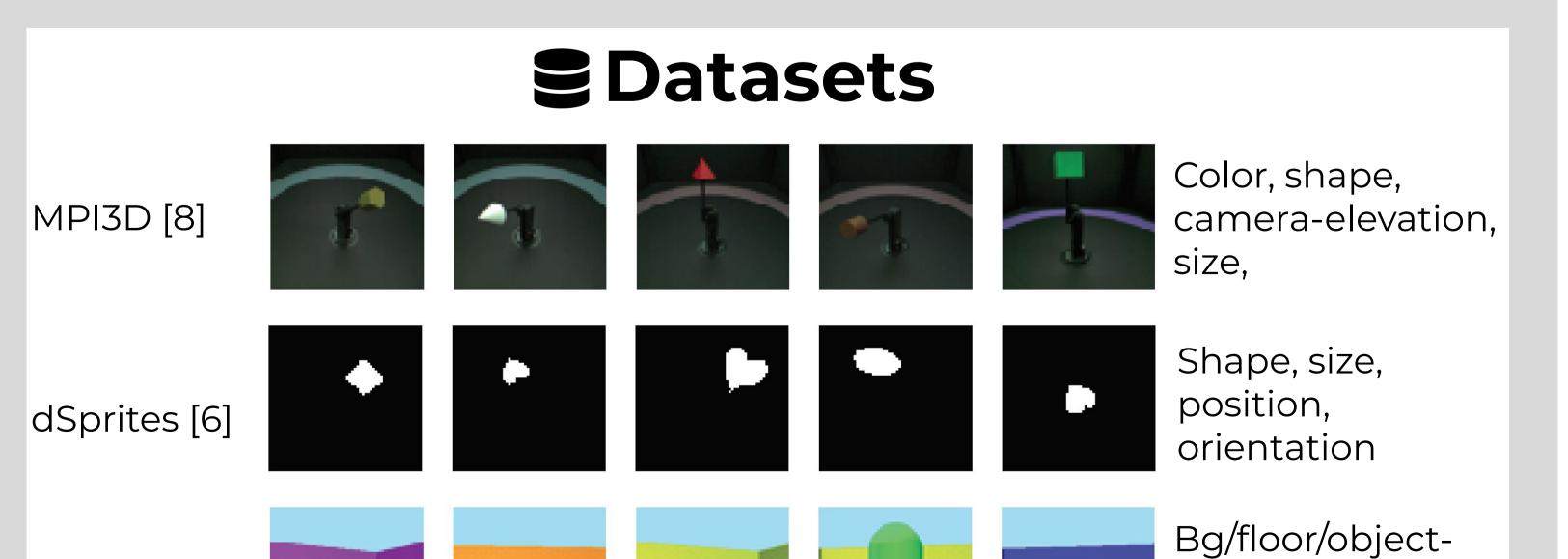
Lukas Schott<sup>1, 3</sup>, Julius von Kügelgen<sup>2, 3, 4</sup>, Frederik Träuble<sup>2, 3</sup>, Peter Gehler<sup>3</sup>, Chris Russell<sup>3</sup>, Matthias Bethge<sup>1, 3</sup>, Bernhard Schölkopf<sup>2, 3</sup>, Francesco Locatello<sup>3,‡</sup>, Wieland Brendel<sup>1,‡</sup>

(1) University of Tübingen, Germany (2) Max Planck Institute for Intelligent Systems (3) Amazon Web Services (4) University of Cambridge **#** shared senior authorship

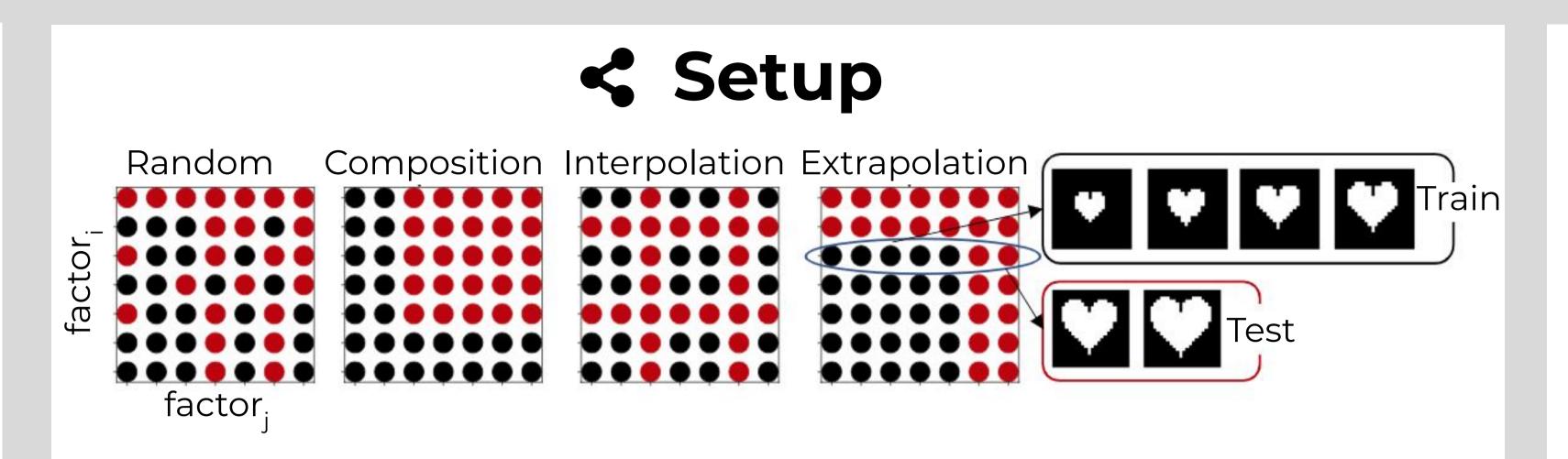


# Motivation Can neural networks generalize factors of variation? **Question:** Do neural networks learn underlying mechanisms? **Extrapolation:** Interpolation: Composition: Goal: Test whether models learn the underlying mechanisms of a scene.





Shapes3D [7]



Factors of variation: Size, color, ...

**Test-train-split:** split all factors except shape: ~30%(train) : ~70%(test) samples

**Training:** Sample from the generative process on the training data in any way.

Un-/ weakly supervised: VAE, Ada-GVAE, SlowVAE, PCL

**Fully Supervised:** MLP, CNN, CoordConv, SetEncoder, Equivariant, ... **Transfer Learning:** RN50 on IN-21k, RN101 on IN21-k, DenseNet on IN1-k

#### **II** Evaluation

#### Regression of factors of variation:

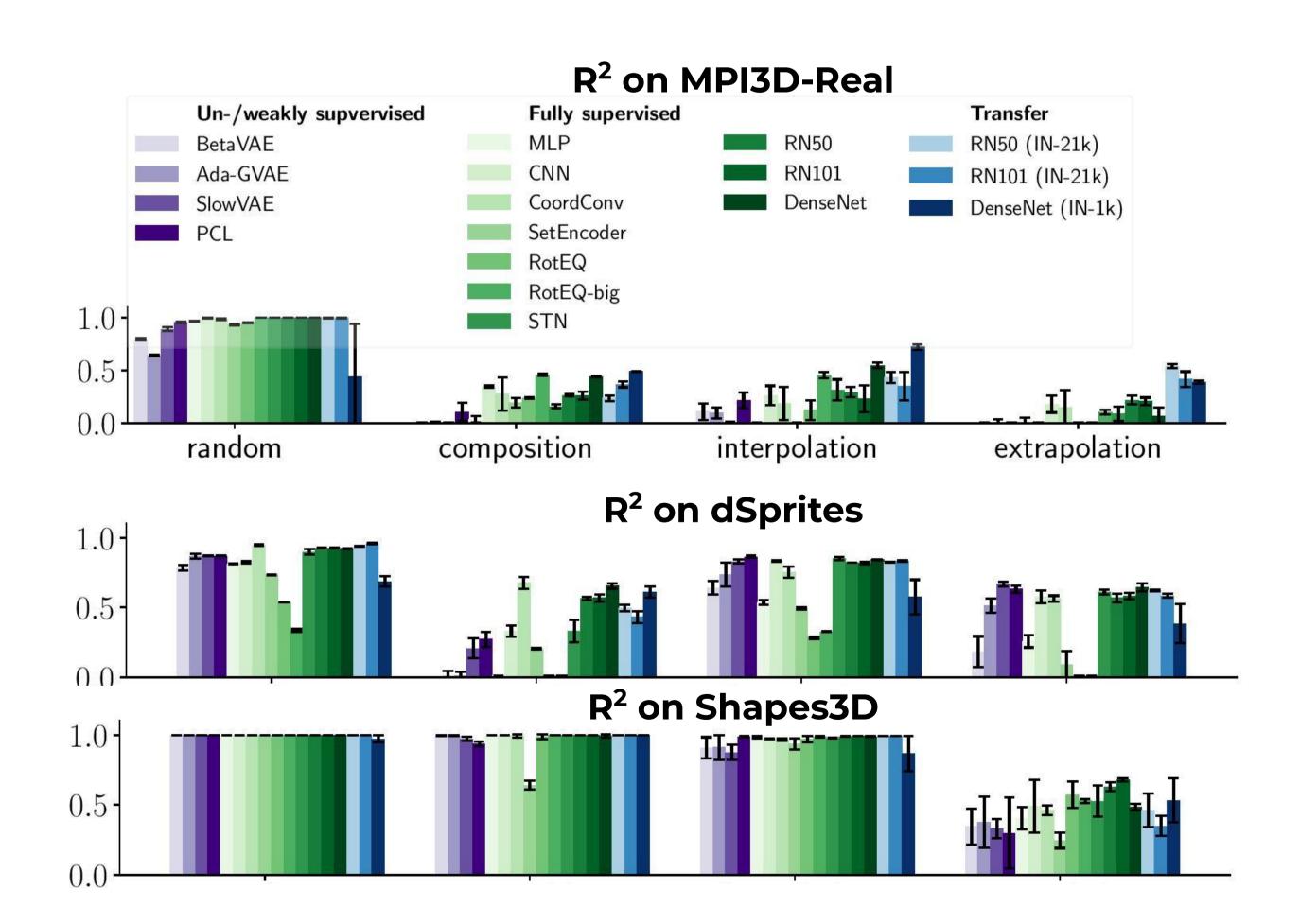
$$R_i^2 = 1 - \frac{\text{MSE}_i}{\sigma_i^2}$$
  $\text{MSE}_j = \mathbb{E}_{(\mathbf{x}, \mathbf{y}) \sim p_{\text{te}}} \left[ (\mathbf{y}_j - f_j(\mathbf{x}))^2 \right]$ 

 $R^2 = 1 \rightarrow \text{perfect regression}, 100\% \text{ variance explained}$ 

 $R^2 = 0 \rightarrow e.g.$  always predicting the mean

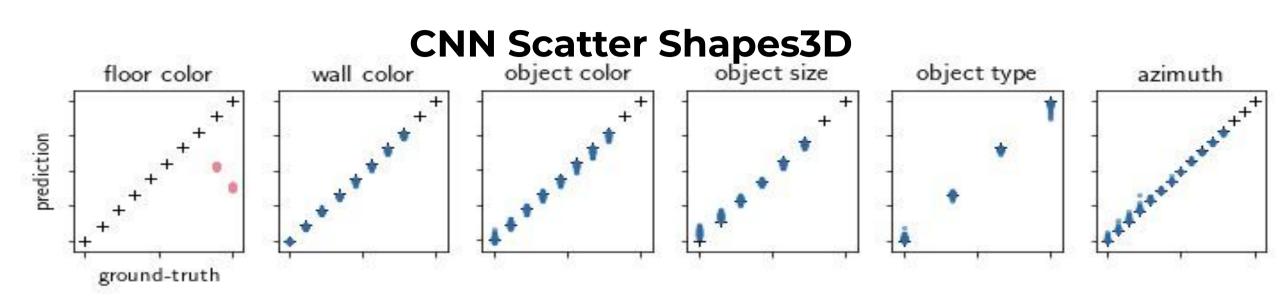
 $R^2 < 0 \rightarrow$  worse than predicting the mean

#### Results - All Models



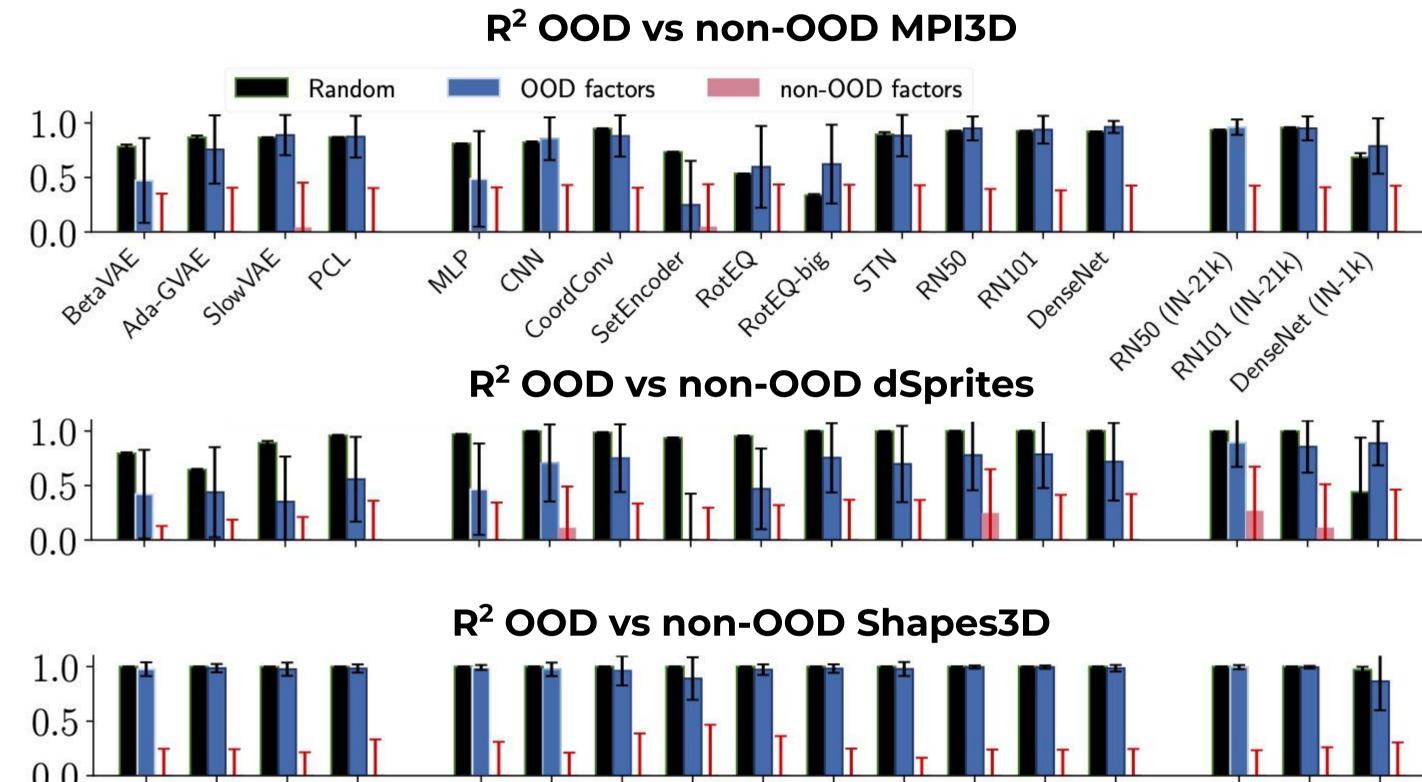
- On realistic dataset (MPI3D): Models do **not** learn underlying mechanism
- Shapes3D color composition/interpolation works fairly well
- Extrapolation most difficult → investigate further

#### 



- → OOD factors different behavior on non-OOD vs OOD factors
- → OOD factors tend towards the mean

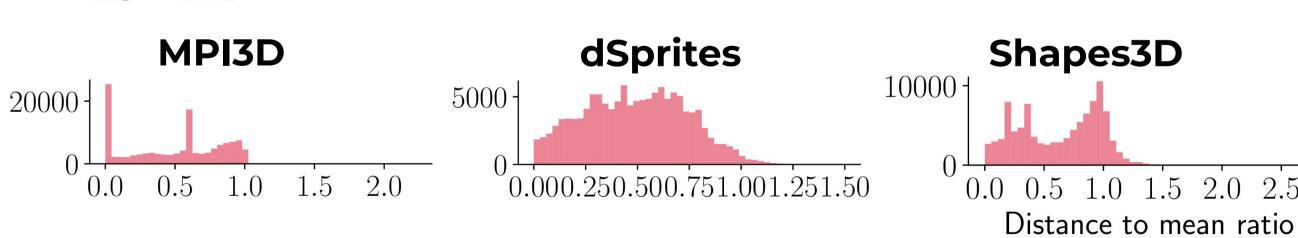
### **Q Extrapolation - Error Analysis**



- → non-OOD R<sup>2</sup> better
- → OOD factors R² low → Models do not extrapolate
  - → Models are surprisingly modular

#### © Extrapolation Towards the Mean

if in [0, 1] → prediction closer to mean than ground-truth → further away from mean



Values mostly in [0,1]→ Models tend to extrapolate towards the mean

#### **E**Conclusions

- In more difficult settings, our tested ML models are unable to generalize and do not learn the underlying model.
- Models are surprisingly modular and tend to extrapolate towards the mean.
- On the non-artificial MPI3D we found transfer learning to be most helpful.

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