

# Lecture Note on Terwilliger Algebra

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# About this lecturenote

## Setting

This note is created by `bookdown` package on RStudio.

1. Log-in to my GitHub Account
2. Go to RStudio/bookdown-demo repository: <https://github.com/rstudio/bookdown-demo>
3. Use This Template
4. Input Repository Name
5. Select Public - default
6. Create repository from template
7. From Code download ZIP
8. Move the extracted folder into a favorite directory
9. Open RStudio Project in the folder
10. Use Terminal in the bottom left pane
  - confirm that the current directory is the home directory of the project by `pwd`
11. (failed to proceed by ssh)
12. Use Console
  1. `library(usethis)`
  2. `use_git()`
  3. `use_github()` — Error
  4. `gh_token_help()`
  5. `create_github_token()`: create a token in the github page. Copy the token
  6. `gitcreds::gitcreds_set()`: paste the token, the token is to be expired in 30 days
13. Use Terminal
  1. `git remote add origin https://github.com/icu-hsuzuki/t-algebra.git`
  2. `git push -u origin main`

3. type in the password of the computer
14. Use GIT in R Studio

## **Another Host**

1. `library(usethis)`
2. `use_git()`
3. `create_github_token()`
4. `gitcreds::gitcreds_set()`: Replace these credentials

# Chapter 1

## Lecture 1

**Wednesday, January 20, 1993**

A graph (undirected, without loops or multiple edges) is a pair  $\Gamma = (X, E)$ , where

$$X = \text{finite set (of vertices)} \quad (1.1)$$

$$E = \text{set of (distinct) 2-element subsets of } X \text{ (= edges of } \Gamma). \quad (1.2)$$

vertices  $x$  and  $y \in X$  are adjacent if and only if  $xy \in E$ .

**Example 1.1.** Let  $\Gamma$  be a graph.  $X = \{a, b, c, d\}$ ,  $E = \{ab, ac, bc, bd\}$ .

Set  $n = |X|$ , the order of  $\Gamma$ .

Pick a field  $K$  ( $= \mathbb{R}$  or  $\mathbb{C}$ ). Then  $\text{Mat}_X(K)$  denotes the  $K$  algebra of all  $n \times n$  matrices with entries in  $K$ . (rows and columns are indexed by  $X$ )

*Adjacency matrix*  $A \in \text{Mat}_X(K)$  is defined by

$$A_{xy} = \begin{cases} 1 & \text{if } xy \in E \\ 0 & \text{else .} \end{cases} \quad (1.3)$$

**Example 1.2.** Let  $a, b, c, d$  be labels of rows and columns. Then

$$A = \begin{pmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

The subalgebra  $M$  of  $\text{Mat}_X(K)$  generated by  $A$  is called the *Bose-Mesner algebra* of  $\Gamma$ .

Set  $V = K^n$ , the set of  $n$ -dimensional column vectors, the coordinates are indexed by  $X$ .

Let  $\langle \cdot, \cdot \rangle$  denote the Hermitean inner product:

$$\langle u, v \rangle = u^\top \cdot v \quad (u, v \in V)$$

$V$  with  $\langle \cdot, \cdot \rangle$  is the *standard module* of  $\Gamma$ .

$M$  acts on  $V$ : For every  $x \in X$ , write

$$\hat{x} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

where 1 is at the  $x$  position.

Then

$$A\hat{x} = \sum_{y \in X, xy \in E} \hat{y}.$$

Since  $A$  is a real symmetric matrix,

$$V = V_0 + V_1 + \cdots + V_r \quad \text{some } r \in \mathbb{Z}^{\geq 0},$$

the orthogonal direct sum of maximal  $A$ -eigenspaces.

Let  $E_i \in \text{Mat}_X(K)$  denote the orthogonal projection,

$$E_i : V \longrightarrow V_i.$$

Then  $E_0, \dots, E_r$  are the primitive idempotents of  $M$ .

$$M = \text{Span}_K(E_0, \dots, E_r),$$

$$E_i E_j = \delta_{ij} E_i \quad \text{for all } i, j, \quad E_0 + \cdots + E_r = I.$$

Let  $\theta_i$  denote the eigenvalue of  $A$  for  $V_i$  in  $\mathbb{R}$ . Without loss of generality we may assume that

$$\theta_0 > \theta_1 > \cdots > \theta_r.$$

Let

$$m_i = \text{the multiplicity of } \theta_i = \dim V_i = \text{rank } E_i.$$

Set

$$\text{Spec}(\Gamma) = \begin{pmatrix} \theta_0, & \theta_1, & \cdots, & \theta_r \\ m_0, & m_1, & \cdots, & m_r \end{pmatrix}.$$

**Problem.** What can we say about  $\Gamma$  when  $\text{Spec}(\Gamma)$  is given?



The following Lemma 1.1, is an example of Problem.

For every  $x \in X$ ,

$$k(x) \equiv \text{valency of } x \equiv \text{degree of } x \equiv |\{y \mid y \in X, xy \in E\}|.$$

**Definition 1.1.** The graph  $\Gamma$  is regular of valency  $k$  if  $k = k(x)$  for every  $x \in X$ .

**Lemma 1.1.** *With the above notation,*

1.  $\theta_0 \leq \max\{k(x) \mid x \in X\} = k^{\max}$ .
2. *If  $\Gamma$  is regular of valency  $k$ , then  $\theta_0 = k$ .*

*Proof.*

1. Without loss of generality we may assume that  $\theta_0 > 0$ , else done. Let  $v := \sum_{x \in X} \alpha_x \hat{x}$  denote the eivenvector for  $\theta_0$ .

Pick  $x \in X$  with  $|\alpha_x|$  maximal. Then  $|\alpha_x| \neq 0$ .

Since  $Av = \theta_0 v$ ,

$$\theta_0 \alpha_x = \sum_{y \in X, xy \in E} \alpha_y.$$

So,

$$\theta_0 |\alpha_x| = |\theta_0 \alpha_x| \leq \sum_{y \in X, xy \in E} |\alpha_y| \leq k(x) |\alpha_x| \leq k^{\max} |\alpha_x|.$$

2. All 1's vector  $v = \sum_{x \in X} \hat{x}$  satisfies  $Av = kv$ .

□

### Subconstituent Algebra

Let  $x, y \in X$  and  $\ell \in \mathbb{Z}^{\geq 0}$ .

**Definition 1.2.** A path of length  $\ell$  connecting  $x, y$  is a sequence

$$x = x_0, x_1, \dots, x_\ell = y, \quad x_i \in X, \quad 0 \leq i \leq \ell$$

such that  $x_i x_{i+1} \in E$  for  $0 \leq i \leq \ell - 1$ .

**Definition 1.3.** The distance  $\partial(x, y)$  is the length of a shortest path connecting  $x$  and  $y$ .

$$\partial(x, y) \in \mathbb{Z}^{\geq 0} \cup \{\infty\}.$$

**Definition 1.4.** The graph  $\Gamma$  is connected if and only if  $\partial(x, y) < \infty$  for all  $x, y \in X$ .

From now on, assume that  $\Gamma$  is connected with  $|X| \geq 2$ .

Set

$$d_\Gamma = d = \max\{\partial(x, y) \mid x, y \in X\} \equiv \text{the diameter of } \Gamma.$$

Fix a 'base' vertex  $x \in X$ .

**Definition 1.5.**

$d(x)$  = the diameter with respect to  $x = \max\{\partial(x, y) \mid y \in X\} \leq d$ .

Observe that

$$V = V_0^* + V_1^* + \cdots + V_{d(x)}^* \quad (\text{orthogonal direct sum}),$$

where

$$V_i^* = \text{Span}_K(\hat{y} \mid \partial(x, y) = i) \equiv V_i^*(x)$$

and  $V_i^* = V_i^*(x)$  is called the  $i$ -the subconstituent with respect to  $x$ .

Let  $E_i^* = E_i^*(x)$  denote the orthogonal projection

$$E_i^* : V \longrightarrow V_i^*(x).$$

View  $E_i^*(x) \in \text{Mat}_X(K)$ . So,  $E_i^*(x)$  is diagonal with  $yy$  entry

$$(E_i^*(x))_{yy} = \begin{cases} 1 & \text{if } \partial(x, y) = i \\ 0 & \text{else,} \end{cases} \quad \text{for } y \in X.$$

Set

$$M^* = M^*(x) \equiv \text{Span}_K(E_0^*(x), \dots, E_{d(x)}^*(x)).$$

Then  $M^*(x)$  is a commutative subalgebra of  $\text{Mat}_X(K)$  and is called the *dual Bose-Mesner algebra with respect to  $x$* .

**Definition 1.6** (Subconstituent Algebra). Let  $\Gamma = (X, E)$ ,  $x$ ,  $M$ ,  $M^*(x)$  be as above. Let  $T = T(x)$  denote the subalgebra of  $\text{Mat}_X(K)$  generated by  $M$  and  $M^*(x)$ .  $T$  is the *subconstituent algebra* of  $\Gamma$  with respect to  $x$ .

**Definition 1.7.** A  $T$ -module is any subspace  $W \subset V$  such that  $aw \in W$  for all  $a \in T$  and  $w \in W$ .

$T$ -module  $W$  is *irreducible* if and only if  $W \neq 0$  and  $W$  does not properly contain a nonzero  $T$ -module.

For any  $a \in \text{Mat}_X(K)$ , let  $a^*$  denote the conjugate transpose of  $a$ .

Observe that

$$\langle au, v \rangle = \langle u, a^*v \rangle \quad \text{for all } a \in \text{Mat}_X(K), \text{ and for all } u, v \in V.$$

**Lemma 1.2.** Let  $\Gamma = (X, E)$ ,  $x \in X$  and  $T \equiv T(x)$  be as above.

1. If  $a \in T$ , then  $a^* \in T$ .
2. For any  $T$ -module  $W \subset V$ ,

$$W^\perp := \{v \in V \mid \langle w, v \rangle = 0, \text{ for all } w \in W\}$$

is a  $T$ -module.

3.  $V$  decomposes as an orthogonal direct sum of irreducible  $T$ -modules.

*Proof.*

1. It is because  $T$  is generated by symmetric real matrices

$$A, E_0^*(x), E_1^*(x), \dots, E_{d(x)(x)}^*.$$

2. Pick  $v \in W^\perp$  and  $a \in T$ , it suffices to show that  $av \in W^\perp$ . For all  $w \in W$ ,

$$\langle w, av \rangle = \langle a^*w, v \rangle = 0$$

as  $a^* \in T$ .

3. This is proved by the induction on the dimension of  $T$ -modules. If  $W$  is an irreducible  $T$ -module of  $V$ , then

$$V = W + W^\perp \quad (\text{orthogonal direct sum}).$$

□

**Problem.** What does the structure of the  $T(x)$ -module tell us about  $\Gamma$ ?

Study those  $\Gamma$  whose modules take ‘simple’ form. The  $\Gamma$ ’s involved are highly regular.

*Remark.*

1. The subconstituent algebra  $T$  is semisimple as the left regular representation of  $T$  is completely reducible. See Curtis-Reiner 25.2.
2. The inner product  $\langle a, b \rangle_T = \text{tr}(a^\top \bar{b})$  is nondegenerate on  $T$ .
3. In general,

$$T: \text{Semisimple and Artinian} \Leftrightarrow T: \text{Artinian with } J(T) = 0$$

$$\Leftrightarrow T: \text{Artinian with nonzero nilpotent element}$$

$$\Leftrightarrow T \subset \text{Mat}_X(K) \text{ such that for all } a \in T \text{ is normal.}$$



## Chapter 2

## Lecture 2

Here is a review of existing methods.



# Chapter 3

## Lecture 3

We describe our methods in this chapter.

Math can be added in body using usual syntax like this

### 3.1 math example

$p$  is unknown but expected to be around  $1/3$ . Standard error will be approximated

$$SE = \sqrt{\left(\frac{p(1-p)}{n}\right)} \approx \sqrt{\frac{1/3(1-1/3)}{300}} = 0.027$$

You can also use math in footnotes like this<sup>1</sup>.

We will approximate standard error to  $0.027^2$

---

<sup>1</sup>where we mention  $p = \frac{a}{b}$

<sup>2</sup> $p$  is unknown but expected to be around  $1/3$ . Standard error will be approximated

$$SE = \sqrt{\left(\frac{p(1-p)}{n}\right)} \approx \sqrt{\frac{1/3(1-1/3)}{300}} = 0.027$$





## Chapter 4

# Applications

Some *significant* applications are demonstrated in this chapter.

### 4.1 Example one

### 4.2 Example two



## Chapter 5

# Final Words

We have finished a nice book.