# Stabilized Mixed Finite Element Formulation

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## **Definitions**

F: Deformation gradient

I: second-order unit tensor

u: Displacement

J: determinant of the deformation gradient

C: Right Cauchy-Green Strain Tensor

 $\mathcal{W}(\mathbf{F})$ : strain energy function

P: first Piola-Kirchhoff stress tensor

S: second PK stress tensor

 $\alpha$ : cracks are represented by a scalar phase-field variable

p: Lagrange multiplier, hydrostatic pressure field

 $\kappa$ : bulk modulus

$$\kappa = \frac{E}{3(1 - 2\nu)} \tag{0.1}$$

 $\mu$ : shear modulus

$$\mu = \frac{E}{2(1+\nu)}\tag{0.2}$$

 $\lambda$ : Lamé modulus

$$\lambda = \frac{\nu E}{(1+\nu)(1-2\nu)}\tag{0.3}$$

For Plane Stress

$$\kappa = \frac{3 - \nu}{1 + \nu}, \quad \lambda = \frac{E\nu}{(1 - \nu)^2} \tag{0.4}$$

 $\mathcal{E}_{\ell}$ : potential energy functional

 $a(\alpha)$  is the decreasing stiffness modulation function

 $w(\alpha)$  is an increasing function representing the specific energy dissipation per unit of volume  $c_w$  is a normalization constant

# 1 Hyperelastic Phase-Field Fracture Models

**Deformation Gradient** 

$$\mathbf{F} = \mathbf{I} + \nabla \otimes \mathbf{u} \tag{1.1}$$

where  $J = \det \mathbf{F}$  and  $\mathbf{C} = \mathbf{F}^T \mathbf{F}$ .

The strain energy function  $\mathcal{W}(\mathbf{F})$  is defined per unit reference volume such that the first PK and second PK

$$\mathbf{P} = \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} \tag{1.2a}$$

$$\mathbf{S} = 2\frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{C}} \tag{1.2b}$$

where P = FS.

### 1.1 Phase-Field Fracture Model

Non-modified strain energy function is the compressible Neo-Hookean:

$$\mathcal{W}(\mathbf{F}) = \frac{\mu}{2} (I_1 - 3 - 2\ln J) \tag{1.3}$$

For incompressible hyperelastic materials, the strain energy function is defined as

$$\widetilde{\mathcal{W}}(\mathbf{F}) = \mathcal{W}(\mathbf{F}) + p(J-1),$$
 (1.4)

We instead consider a damage dependent relaxation of the incompressibility constraint and the phase-field couples to the strain energy through the modified function

$$\widetilde{\mathcal{W}}(\mathbf{F}, \alpha) = a(\alpha)\mathcal{W}(\mathbf{F}) + a^3(\alpha)\frac{1}{2}\kappa (J - 1)^2$$
(1.5)

where the decreasing stiffness modulation function is  $a(\alpha)$  and  $w(\alpha)$  is an increasing function representing the specific energy dissipation per unit of volume

$$a(\alpha) = (1 - \alpha)^2 \quad w(\alpha) = \alpha \tag{1.6}$$

In the code, we have the following definition

$$b(\alpha) = (1 - \alpha)^3 = \sqrt{a^3(\alpha)}$$

To circumvent numerical difficulties, we resort to the classical mixed formulation and introduce the pressure-like field

$$p = -\sqrt{a^3(\alpha)}\kappa (J - 1)$$

$$p = -b(\alpha)\kappa (J - 1)$$
(1.7)

as an independent variable along with the displacement field.

Following the code, we have the following energy functional of the energy problem

$$\widetilde{W}(\mathbf{F}, \alpha) = (a(\alpha) + k_{\ell})\mathcal{W}(\mathbf{F}) - b(\alpha)p(J - 1) - \frac{p^{2}}{2\lambda}$$

$$\widetilde{W}(\mathbf{F}, \alpha) = (a(\alpha) + k_{\ell})\frac{\mu}{2}(I_{c} - 3 - 2\ln J) - b(\alpha)p(J - 1) - \frac{p^{2}}{2\lambda}$$

Changes to relieve the residual stresses seen in the plane stress model:

$$\widetilde{W}(\mathbf{F},\alpha) = a(\alpha)\frac{\mu}{2}(I_1 - 3 - 2\ln J) - b(\alpha)p(J - 1) - (\alpha^2 + k_\ell)\frac{p^2}{2\lambda}$$
(1.8)

Where we know  $k_{\ell}$  is a modeling parameter, so we can list the final energy functional as:

$$\widetilde{W}(\mathbf{F},\alpha) = a(\alpha)\frac{\mu}{2}(I_1 - 3 - 2\ln J) - b(\alpha)p(J - 1) - \alpha^2 \frac{p^2}{2\lambda}$$
(1.9)

The first Piola-Kirchhoff stress tensor is given:

$$\mathbf{P} = \frac{\partial \widetilde{\mathcal{W}}(\mathbf{F}, \alpha)}{\partial \mathbf{F}}$$

$$= \frac{\partial}{\partial \mathbf{F}} \left[ a(\alpha) \mathcal{W}(\mathbf{F}) + a^{3}(\alpha) \frac{1}{2} \kappa (J - 1)^{2} \right]$$

$$= a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} + a^{3}(\alpha) \frac{1}{2} \kappa \frac{\partial (J - 1)^{2}}{\partial \mathbf{F}}$$

$$= a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} + a^{3}(\alpha) \kappa (J - 1) \frac{\partial J}{\partial \mathbf{F}} \quad \text{where } \frac{\partial J}{\partial \mathbf{F}} = J \mathbf{F}^{-T}$$

$$= a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} + a^{3}(\alpha) \kappa (J - 1) J \mathbf{F}^{-T} \quad \text{substituting in pressure equation}$$

$$\mathbf{P} = a(\alpha) \mu (\mathbf{F} - \mathbf{F}^{-T}) - b(\alpha) p J \mathbf{F}^{-T}$$

### 1.1.1 Derivation from Bin2020 Paper

Here, unlike Eq. 21 from Bin2020, we drop  $\lambda_b$  which is not a consideration in this formulation

$$\mathcal{E}_{\ell}(\boldsymbol{u},\alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F},\alpha) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega$$
 (1.11)

The normalization constant is defined as:

$$c_w = \int_0^1 \sqrt{w(\alpha)} d\alpha \tag{1.12}$$

Starting from Eq. 25 in the 2020 Li and Bouklas paper where  $\kappa$  is the bulk modulus

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \Lambda, \alpha) = \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) + \int_{\Omega} \frac{p^2}{2\kappa} d\Omega + \int_{\Omega} \Lambda(p + \sqrt{a^3(\alpha)}\kappa(J - 1)) d\Omega$$
(1.13)

Identify the stationary point of the energy functional with respect to pressure (not  $\Lambda$ )

$$\frac{\partial \mathcal{E}_{\ell}}{\partial p} = \int_{\Omega} \frac{p}{\kappa} d\Omega + \int_{\Omega} \Lambda d\Omega$$
$$0 = \frac{p}{\kappa} + \Lambda \to \Lambda = -p/\kappa$$

Substituting this relationship into the energy functional yields:

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) + \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega + \int_{\Omega} -\frac{p}{\kappa} (p + \sqrt{a^{3}(\alpha)}\kappa(J - 1)) d\Omega$$

$$= \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) + \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega - \int_{\Omega} \frac{p^{2}}{\kappa} d\Omega - \int_{\Omega} \frac{p}{\kappa} \sqrt{a^{3}(\alpha)}\kappa(J - 1)) d\Omega$$

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) - \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^{3}(\alpha)}p(J - 1) d\Omega$$

$$(1.14)$$

Substitute in  $\mathcal{E}_{\ell}(\boldsymbol{u},\alpha)$  and substitute Eq. 1.3

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J-1) d\Omega$$

The prior equation includes the full weak form, unless we want to consider linear interpolation of all fields. In that case, we can introduce the stabilization term

$$-\frac{\varpi h^2}{2\mu} \sqrt{a^3(\alpha)} \sum_{e=1}^{n_{el}} \int_{\Omega^e} J\mathbf{C}^{-1} : (\nabla p \cdot \nabla q) \, dV = 0$$

### 1.2 Changes for 2D Plane-Stress Models

Recalling the 1st PK stress in Eq. 1.10.

$$\mathbf{P} = a(\alpha)\mu(\mathbf{F} - \mathbf{F}^{-T}) - b(\alpha)pJ\mathbf{F}^{-T}$$

In a plane-stress case, the  ${\bf P_{33}}$  component is zero:

$$\mathbf{P}_{33} = a(\alpha)\mu(\mathbf{F}_{33} - \mathbf{F}_{33}^{-1}) - b(\alpha)pJ\mathbf{F}_{33}^{-1} = 0$$

This can be multiplied by its associated test function to obtain the weak form

$$\int_{\Omega} \left( a(\alpha) \mu(\mathbf{F}_{33} - \mathbf{F}_{33}^{-1}) - b(\alpha) p J \mathbf{F}_{33}^{-1} \right) v_{F_{33}} dV = 0$$

In the FEniCS code, we expand the solution space to include displacement, pressure, and a component of the deformation gradient  $\mathbf{F_{33}}$ . Therefore, we include a change to the invariants of the deformation tensors:

$$J = det(F)*F33$$
  
 $Ic = tr(C) + F33**2$ 

Together with the weak form from above:

$$F_{-u} = derivative (elastic_potential, w_p, v_q) \\ + (a(alpha)*mu*(F33 - 1/F33) - b(alpha)*p*J/F33)*v_F33*dx$$

### 1.2.1 Changes for 2D Discrete Crack Model

If we are considering a discrete fracture method

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J-1) d\Omega$$

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} \mathcal{W}(\mathbf{F}) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} p(J-1) d\Omega$$

where we have assumed for the energy functional

$$\widetilde{\mathcal{W}}(\mathbf{F}, \alpha) = \frac{\mu}{2}(I_c - 3 - 2\ln J) - p(J - 1) - \frac{p^2}{2\lambda}$$

Therefore, we can calculate the 1st Piola Kirchoff Stress as:

$$\mathbf{P} = \frac{\mu}{2} (2\mathbf{F} - \frac{2}{J}J\mathbf{F}^{-T}) - pJ\mathbf{F}^{-T}$$
$$= \mu(\mathbf{F} - \mathbf{F}^{-T}) - pJ\mathbf{F}^{-T}$$

Taking the third component to be zero

$$P_{33} = \mu(F_{33} - F_{33}^{-1}) - pJF_{33}^{-1} = 0$$
$$= F_{33} - F_{33}^{-1} - \frac{pJ}{\mu}F_{33}^{-1} = 0$$
$$P_{33} = F_{33}^{2} - 1 - \frac{pJ}{\mu} = 0$$

with the stabilization term and plane stress in the weak form

$$-\frac{\varpi h^2}{2\mu} \int_{\Omega} J \mathbf{C}^{-1} : \left(\nabla p \cdot \nabla q\right) dV = 0$$
$$\int_{\Omega} \left(\mathbf{F}_{33}^2 - 1 - \frac{pJ}{\mu}\right) v_{F_{33}} dV = 0$$

### 1.2.2 Changes for 2D displacement formulation

Removing pressure terms

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J - 1) d\Omega$$

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega$$

with plane stress in the weak form (no need for stabilization terms)

$$\int_{\Omega} \left( a(\alpha)\mu(F_{33} - F_{33}^{-1}) \right) v_{F_{33}} dV = 0$$

We have assumed the modified energy functional

$$\widetilde{W}(\mathbf{F},\alpha) = a(\alpha)\frac{\mu}{2}(I_c - 3 - 2\ln J)$$
(1.15)

Therefore, we can calculate the 1st Piola Kirchoff Stress as:

$$\mathbf{P} = a(\alpha) \frac{\mu}{2} (2\mathbf{F} - \frac{2}{J} J \mathbf{F}^{-T})$$
$$= a(\alpha) \mu (\mathbf{F} - \mathbf{F}^{-T})$$

Taking the third component to be zero

$$P_{33} = a(\alpha)\mu(F_{33} - F_{33}^{-1}) = 0$$

# 2 Following Borden: Derivations of Analytical Phase Field

Note the full potential energy functional, which can also be called the lagrangian

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J-1) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega$$

We can use the Euler-Lagrange equations to arrive at the equations of motion by taking the derivative with respect to displacement, pressure, and the scalar damage field. Starting with displacement:

$$\begin{split} \frac{\partial \mathcal{E}_{\ell}}{\partial \boldsymbol{u}} &= \int_{\Omega} a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{u}} d\Omega \\ \frac{\partial \mathcal{E}_{\ell}}{\partial p} &= -\int_{\Omega} \frac{p}{\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} (J-1) d\Omega \\ \frac{\partial \mathcal{E}_{\ell}}{\partial \alpha} &= -\int_{\Omega} 2(1-\alpha) \, \mathcal{W}(\mathbf{F}) \, d\Omega + \int_{\Omega} 3p(1-\alpha)^2 (J-1) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left[ \frac{1}{\ell} + 2\ell \nabla^2 \alpha \right] d\Omega \end{split}$$

Therefore we have three equations:

First should be mechanical eq, second is a an equation for pressure,

$$-\frac{p}{\kappa} - \sqrt{a^3(\alpha)}(J-1) = 0$$
$$-\frac{p}{\kappa} - (1-\alpha)^3(J-1) = 0$$
$$-\kappa(J-1)(1-\alpha)^3 = p$$

Lastly,

$$-2(1-\alpha)\mathcal{W}(\mathbf{F}) + 3p(1-\alpha)^2(J-1) + \frac{G_c}{c_w} \left[ \frac{1}{\ell} + 2\ell\nabla^2\alpha \right] = 0$$

Substitute second equation into third

$$-2(1-\alpha)\mathcal{W}(\mathbf{F}) - 3\kappa(1-\alpha)^5(J-1)^2 + \frac{G_c}{c_w} \left[ \frac{1}{\ell} + 2\ell\nabla^2\alpha \right] = 0$$

## 2.1 Homogeneous Solution

Therefore, we can study the homogeneous solution by ignoring spatial derivatives of  $\alpha$ 

$$-2(1 - \alpha_h) \mathcal{W}(\mathbf{F}) - 3\kappa (1 - \alpha_h)^5 (J - 1)^2 + \frac{G_c}{c_w \ell} = 0$$

We can expand and arrange

$$-2\mathcal{W}(\mathbf{F}) + 2\alpha_h \mathcal{W}(\mathbf{F}) - 3\kappa(J-1)^2 (1 - 5\alpha_h + 10\alpha_h^2 - 10\alpha_h^3 + 5\alpha_h^4 - \alpha_h^5) + \frac{G_c}{c_w \ell} = 0$$

$$-2\mathcal{W}(\mathbf{F}) + 2\alpha_h \mathcal{W}(\mathbf{F}) + \frac{G_c}{c_w \ell}$$

$$-3\kappa(J-1)^2 + 15\kappa(J-1)^2 \alpha_h - 30\kappa(J-1)^2 \alpha_h^2 + 30\kappa(J-1)^2 \alpha_h^3 - 15\kappa(J-1)^2 \alpha_h^4 + \kappa(J-1)^2 \alpha_h^5 = 0$$

$$-2\mathcal{W}(\mathbf{F}) - 3\kappa(J-1)^2 + \frac{G_c}{c_w \ell} + \left[2\mathcal{W}(\mathbf{F}) + 15\kappa(J-1)^2\right] \alpha_h$$

$$-30\kappa(J-1)^2 \alpha_h^2 + 30\kappa(J-1)^2 \alpha_h^3 - 15\kappa(J-1)^2 \alpha_h^4 + \kappa(J-1)^2 \alpha_h^5 = 0$$

# 2.2 Non-Homogeneous Solution

Now for the Non-homogenous solution, we have the following

$$-2(1-\alpha)\mathcal{W}(\mathbf{F}) - 3\kappa(1-\alpha)^5(J-1)^2 + \frac{G_c}{c_w} \left[ \frac{1}{\ell} + 2\ell\nabla^2\alpha \right] = 0$$

(2.1)