# Stabilized Mixed Finite Element Formulation

## Personal Notes by Ida Ang

June 21, 2021

### **Definitions**

F: Deformation gradient

I: second-order unit tensor

u: Displacement

J: determinant of the deformation gradient

C: Right Cauchy-Green Strain Tensor

 $\mathcal{W}(\mathbf{F})$ : strain energy function

P: first Piola-Kirchhoff stress tensor

S: second PK stress tensor

 $\alpha$ : cracks are represented by a scalar phase-field variable

p: Lagrange multiplier, hydrostatic pressure field

 $\kappa$ : bulk modulus

$$\kappa = \frac{E}{3(1 - 2\nu)} \tag{0.1}$$

 $\mu$ : shear modulus

$$\mu = \frac{E}{2(1+\nu)}\tag{0.2}$$

 $\lambda$ : Lamé modulus

$$\lambda = \frac{\nu E}{(1+\nu)(1-2\nu)}\tag{0.3}$$

For Plane Stress

$$\kappa = \frac{3 - \nu}{1 + \nu}, \quad \lambda = \frac{E\nu}{(1 - \nu)^2} \tag{0.4}$$

 $\mathcal{E}_{\ell}$ : potential energy functional

 $a(\alpha)$  is the decreasing stiffness modulation function

 $w(\alpha)$  is an increasing function representing the specific energy dissipation per unit of volume  $c_w$  is a normalization constant

# 1 Hyperelastic Phase-Field Fracture Models

**Deformation Gradient** 

$$\mathbf{F} = \mathbf{I} + \nabla \otimes \mathbf{u} \tag{1.1}$$

where  $J = \det \mathbf{F}$  and  $\mathbf{C} = \mathbf{F}^T \mathbf{F}$ .

The strain energy function  $\mathcal{W}(\mathbf{F})$  is defined per unit reference volume such that the first PK and second PK

$$\mathbf{P} = \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} \tag{1.2a}$$

$$\mathbf{S} = 2\frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{C}} \tag{1.2b}$$

where P = FS.

Non-modified strain energy function is the compressible Neo-Hookean:

$$\mathcal{W}(\mathbf{F}) = \frac{\mu}{2} (I_1 - 3 - 2\ln J) \tag{1.3}$$

For incompressible hyperelastic materials, the strain energy function is defined using the Lagrangian formulation

$$\widetilde{\mathcal{W}}(\mathbf{F}) = \mathcal{W}(\mathbf{F}) + p(J-1),$$
 (1.4)

If we consider the perturbed lagrangian formulation

$$\widetilde{\mathcal{W}}(\mathbf{F}) = \mathcal{W}(\mathbf{F}) + p(J-1) - \frac{p^2}{2\kappa},$$
 (1.5)

Decreasing stiffness modulation function is  $a(\alpha)$  and  $w(\alpha)$  is an increasing function representing the specific energy dissipation per unit of volume

$$a(\alpha) = (1 - \alpha)^2 \quad w(\alpha) = \alpha \tag{1.6}$$

In the code, we have the following definition

$$b(\alpha) = (1 - \alpha)^3 = \sqrt{a^3(\alpha)}$$

The normalization constant is defined as:

$$c_w = \int_0^1 \sqrt{w(\alpha)} d\alpha \tag{1.7}$$

## 1.1 Derivation from 2020 Li and Bouklas Paper

Here, unlike Eq. 21 from Bin2020, we drop  $\lambda_b$  which is not a consideration in this formulation

$$\mathcal{E}_{\ell}(\boldsymbol{u},\alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F},\alpha) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega$$
 (1.8)

We want to enforce the following relationship for pressure with a Lagrange multiplier

$$p = -\sqrt{a^3(\alpha)}\kappa (J - 1) \tag{1.9}$$

Giving us Eq. 25 in the 2020 Li and Bouklas paper where  $\kappa$  is the bulk modulus

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \Lambda, \alpha) = \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) + \int_{\Omega} \frac{p^2}{2\kappa} d\Omega + \int_{\Omega} \Lambda(p + \sqrt{a^3(\alpha)}\kappa(J - 1)) d\Omega$$
 (1.10)

Identify the stationary point of the energy functional with respect to pressure (not  $\Lambda$ )

$$\frac{\partial \mathcal{E}_{\ell}}{\partial p} = \int_{\Omega} \frac{p}{\kappa} d\Omega + \int_{\Omega} \Lambda d\Omega$$
$$0 = \frac{p}{\kappa} + \Lambda \to \Lambda = -p/\kappa$$

Substituting this relationship into the energy functional yields:

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) + \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega + \int_{\Omega} -\frac{p}{\kappa} (p + \sqrt{a^{3}(\alpha)}\kappa(J - 1)) d\Omega$$

$$= \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) + \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega - \int_{\Omega} \frac{p^{2}}{\kappa} d\Omega - \int_{\Omega} \frac{p}{\kappa} \sqrt{a^{3}(\alpha)}\kappa(J - 1)) d\Omega$$

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \mathcal{E}_{\ell}(\boldsymbol{u}, \alpha) - \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^{3}(\alpha)}p(J - 1)) d\Omega$$

$$(1.11)$$

Substitute in  $\mathcal{E}_{\ell}(\boldsymbol{u}, \alpha)$  and substitute Eq. 1.3

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J-1) d\Omega$$

The prior equation includes the full weak form, unless we want to consider linear interpolation of all fields. In that case, we can introduce the stabilization term

$$-\frac{\varpi h^2}{2\mu} \sqrt{a^3(\alpha)} \sum_{e=1}^{n_{el}} \int_{\Omega^e} J\mathbf{C}^{-1} : (\nabla p \cdot \nabla q) \, dV = 0$$

### 1.2 Summary

Therefore the modified strain energy functional

$$\widetilde{W}(\mathbf{F}, \alpha) = a(\alpha)\mathcal{W}(\mathbf{F}) + a^{3}(\alpha)\kappa(J-1)^{2} - \frac{p^{2}}{2\kappa}$$

$$\widetilde{W}(\mathbf{F}, \alpha) = a(\alpha)\mathcal{W}(\mathbf{F}) - \sqrt{a^{3}(\alpha)}p(J-1) - \frac{p^{2}}{2\kappa}$$

Following the code, we have a small number for numerical purposes

$$\widetilde{W}(\mathbf{F},\alpha) = \left(a(\alpha) + k_{\ell}\right) \frac{\mu}{2} (I_c - 3 - 2\ln J) - b(\alpha)p(J - 1) - \frac{p^2}{2\kappa}$$

The first Piola-Kirchhoff stress tensor is given:

$$\mathbf{P} = \frac{\partial \widetilde{\mathcal{W}}(\mathbf{F}, \alpha)}{\partial \mathbf{F}}$$

$$= \frac{\partial}{\partial \mathbf{F}} \left[ a(\alpha) \mathcal{W}(\mathbf{F}) + a^{3}(\alpha) \frac{1}{2} \kappa (J - 1)^{2} \right]$$

$$= a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} + a^{3}(\alpha) \frac{1}{2} \kappa \frac{\partial (J - 1)^{2}}{\partial \mathbf{F}}$$

$$= a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} + a^{3}(\alpha) \kappa (J - 1) \frac{\partial J}{\partial \mathbf{F}} \quad \text{where } \frac{\partial J}{\partial \mathbf{F}} = J \mathbf{F}^{-T}$$

$$= a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{F}} + a^{3}(\alpha) \kappa (J - 1) J \mathbf{F}^{-T} \quad \text{substituting in pressure equation}$$

$$\mathbf{P} = a(\alpha) \mu (\mathbf{F} - \mathbf{F}^{-T}) - b(\alpha) p J \mathbf{F}^{-T}$$

### 1.3 Changes for 2D Plane-Stress Models

Recalling the 1st PK stress in Eq. 1.12.

$$\mathbf{P} = a(\alpha)\mu(\mathbf{F} - \mathbf{F}^{-T}) - b(\alpha)pJ\mathbf{F}^{-T}$$

In a plane-stress case, the  $P_{33}$  component is zero:

$$P_{33} = a(\alpha)\mu(F_{33} - F_{33}^{-1}) - b(\alpha)pJF_{33}^{-1} = 0$$

This can be multiplied by its associated test function to obtain the weak form

$$\int_{\Omega} \left( a(\alpha)\mu(F_{33} - F_{33}^{-1}) - b(\alpha)pJF_{33}^{-1} \right) v_{F_{33}} dV = 0$$

In the FEniCS code, we expand the solution space to include displacement, pressure, and a component of the deformation gradient  $\mathbf{F_{33}}$ . Therefore, we include a change to the invariants of the deformation tensors:

$$J = det(F)*F33$$
  
 $Ic = tr(C) + F33**2$ 

Together with the weak form from above:

$$\begin{array}{lll} F_{-}u &=& derivative \left(\,elastic\_potential \;,\; w\_p \,,\; v\_q \,\right) \; \\ &+& \left(\,a \left(\,alpha\,\right)*mu*\left(F33 \,-\, 1/F33\,\right) \,-\, b \left(\,alpha\,\right)*p*J/F33\,\right)*v\_F33*dx \end{array}$$

#### 1.3.1 Changes for 2D Discrete Crack Model

If we are considering a discrete fracture method

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J-1) d\Omega$$

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} \mathcal{W}(\mathbf{F}) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} p(J-1) d\Omega$$

where we have assumed for the energy functional

$$\widetilde{\mathcal{W}}(\mathbf{F}, \alpha) = \frac{\mu}{2} (I_c - 3 - 2 \ln J) - p(J - 1) - \frac{p^2}{2\lambda}$$

Therefore, we can calculate the 1st Piola Kirchoff Stress as:

$$\mathbf{P} = \frac{\mu}{2} (2\mathbf{F} - \frac{2}{J} J \mathbf{F}^{-T}) - p J \mathbf{F}^{-T}$$
$$= \mu (\mathbf{F} - \mathbf{F}^{-T}) - p J \mathbf{F}^{-T}$$

Taking the third component to be zero

$$P_{33} = \mu(F_{33} - F_{33}^{-1}) - pJF_{33}^{-1} = 0$$
$$= F_{33} - F_{33}^{-1} - \frac{pJ}{\mu}F_{33}^{-1} = 0$$
$$P_{33} = F_{33}^{2} - 1 - \frac{pJ}{\mu} = 0$$

with the stabilization term and plane stress in the weak form

$$-\frac{\varpi h^2}{2\mu} \int_{\Omega} J \mathbf{C}^{-1} : \left(\nabla p \cdot \nabla q\right) dV = 0$$
$$\int_{\Omega} \left(\mathbf{F}_{33}^2 - 1 - \frac{pJ}{\mu}\right) v_{F_{33}} dV = 0$$

#### 1.3.2 Changes for 2D displacement formulation

Removing pressure terms

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega - \int_{\Omega} \frac{p^2}{2\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} p(J-1) d\Omega$$

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^2 \right) d\Omega$$

with plane stress in the weak form (no need for stabilization terms)

$$\int_{\Omega} \left( a(\alpha)\mu(F_{33} - F_{33}^{-1}) \right) v_{F_{33}} dV = 0$$

We have assumed the modified energy functional

$$\widetilde{W}(\mathbf{F},\alpha) = a(\alpha)\frac{\mu}{2}(I_c - 3 - 2\ln J)$$
(1.13)

Therefore, we can calculate the 1st Piola Kirchoff Stress as:

$$\mathbf{P} = a(\alpha) \frac{\mu}{2} (2\mathbf{F} - \frac{2}{J} J \mathbf{F}^{-T})$$
$$= a(\alpha) \mu (\mathbf{F} - \mathbf{F}^{-T})$$

Taking the third component to be zero

$$P_{33} = a(\alpha)\mu(F_{33} - F_{33}^{-1}) = 0$$

# 2 Obtaining the Critical Stretch

Assuming a Neo-Hookean energy where  $\mu$  is the shear modulus

$$W(I_1, I_2) = \beta_1(I_1 - 3) + \beta_2(I_2 - 3) \quad \text{where } \beta_1 = \frac{\mu}{2}, \ \beta_2 = 0$$

$$W(I_1, I_2) = \frac{\mu}{2}(I_1 - 3) \quad \text{where } I_1 = I_2 = \lambda_A^2 + \lambda_A^{-2} + 1$$

$$W(I_1, I_2) = \frac{\mu}{2} \left(\lambda_A^2 + \frac{1}{\lambda_A^2} - 2\right)$$

$$W(I_1, I_2) = \frac{\mu}{2} \left(\lambda_A - \frac{1}{\lambda_A}\right)^2$$

The J-integral for a pure shear strip geometry can be calculated as:

$$J = 2h_0 W(I_1, I_2)$$

$$J = h_0 \mu \left(\lambda_A - \frac{1}{\lambda_A}\right)^2$$
(2.1)

where for the stretch:

$$\lambda_A = 1 + \frac{\Delta}{h_0} \tag{2.2}$$

where the total height of the strip is  $2h_0$  and  $\Delta$  is the loading

Theoretically, the critical condition for crack initiation is where the fracture energy is equivalent to the energy release rate

$$G_c = J$$

Determining whether the length of the strip is long enough:

- 1. Choose height of strip,  $h_0$ , shear modulus,  $\mu$ , and critical fracture energy  $G_c$
- 2. Use Matlab to calculate the critical stretch  $\lambda_c$

$$G_c = h_0 \mu \left( \lambda_c - \frac{1}{\lambda_c} \right)^2$$

3. Calculate the critical displacement  $\Delta_c$  using eq. 2.2

$$\Delta_c = h_0(\lambda_c - 1)$$

- 4. Run two simulations using 2D-planestress-TH-BL.py
  - (a) Assign a displacement slightly below the predicted  $\Delta_c$
  - (b) Change P3 point to either 2hsize before or after the crack center to calculate an energy max and energy min
  - (c) Calculate J numerical

$$J_n = -\frac{E_{max} - E_{min}}{4hsize}$$

(d) Calculate percentage error with J analytical from Eq (2.1)

$$\%Error = \left(\frac{J_a - J_n}{J_a}\right)100\%$$

Note that there is an effective critical energy release rate

$$G_c^e = G_c \left( 1 + \frac{3hsize}{8\ell} \right) \tag{2.3}$$

where hisze is the element size and  $\ell$  is the width of the phase-field

Once a length is determined, we can then run both a phase field and discrete trial and determine where the crack initiaties

- 1. The strip has a length of 6 and a total width of 1.0 where  $h_0 = 0.5$
- 2. hsize = 0.002,  $\ell = 0.01$
- 3. Used an exponential function to ramp the displacement in order to obtain a close agreement

## 3 Hybrid Formulation

The principal stretches can be computed as shown above, but for spherical stretch ( $\mathbf{C} = constant\mathbf{I}$ ) leading to NaN error. This means that for 3D strain decomposition using the explicit eigenvalue formulation, the computation of the first variation and second variation are nontrivial. FEniCS auto-differential function cannot detect these special cases.

The workaround is to consider the Hybrid model in Ambati 2015: A review on phase-field models of brittle fracture and a new fast hybrid formulation.

$$\sigma(\mathbf{u}, \alpha) = (1 - \alpha)^2 \frac{\partial W(\epsilon)}{\partial \epsilon}$$
$$-l^2 \nabla^2 \alpha + \alpha = \frac{2l}{G_c} (1 - \alpha) \mathcal{H}^+$$

Again, we consider our modified strain energy

$$\widetilde{W}(\mathbf{F},\alpha) = a(\alpha)\frac{\mu}{2}(I_c - 3 - 2\ln J) + \frac{\kappa}{2}a(\alpha)^3(J - 1)^2$$

Then the active and

$$\widetilde{W}_{\text{act}}\left(\mathbf{F},\alpha\right) = a(\alpha)\frac{\mu}{2}\sum_{i=1}^{3}H(\lambda_{i}-1)\left(\lambda_{i}^{2}-1-2\ln\lambda_{i}\right) + a^{3}(\alpha)H(J-1)\frac{1}{2}\kappa\left(J-1\right)^{2},\tag{3.1}$$

the passive part of the strain energy is

$$\widetilde{W}_{\text{pas}}(\mathbf{F}, \alpha) = \frac{\mu}{2} \sum_{i=1}^{3} H(1 - \lambda_i) \left( \lambda_i^2 - 1 - 2 \ln \lambda_i \right) + H(1 - J) \frac{1}{2} \kappa (J - 1)^2,$$
 (3.2)

# 4 Strain energy decomposition

The Heaviside function is defined as

$$H(x) = \frac{x + |x|}{2x} = \begin{cases} 1, & x \ge 0, \\ 0, & x < 0 \end{cases}$$

The Macaulay bracket is defined

$$M(x) = \frac{x + |x|}{2} = \begin{cases} x, & x \ge 0, \\ 0, & x < 0 \end{cases}$$

## 4.1 Following Ye 2020 and Tang 2019

In the Ye 2020 paper, the internal energy is expressed as:

$$W_{int}(\mathbf{F}, \alpha, \nabla \alpha) = [a(\alpha) + k_{\ell}]W_{act} + W_{pas} + G_c \left(\frac{\alpha^2}{2\ell} + \frac{\ell}{2}|\nabla \alpha|^2\right)$$

Now in section 3.2.3 of Ye 2020, is stated the decomposition for a Mooney Rivlin constitutive law:

$$W_{MR}(I_1, I_2) = C_1(I_1 - 3) + C_2(I_2 - 3)$$

$$W_{MR}(\lambda_1, \lambda_1, \lambda_3) = C_1(\lambda_1^2 + \lambda_2^2 + \lambda_3^2 - 3) + C_2(\lambda_1^{-2} + \lambda_2^{-2} + \lambda_3^{-2} - 3)$$

$$= C_1 \sum_{i=1}^{3} (\lambda_i^2 - 1) + C_2 \sum_{i=1}^{3} (\lambda_i^{-2} - 1)$$

Which can be decomposed to active and passive internal energy terms. First we can rewrite:

$$W_{MR}(\lambda_1, \lambda_1, \lambda_3) = C_1 \sum_{i=1}^3 H(\lambda_i - 1)(\lambda_i^2 - 1) + C_2 \sum_{i=1}^3 H(\lambda_i - 1)(\lambda_i^{-2} - 1) + C_1 \sum_{i=1}^3 H(1 - \lambda_i)(\lambda_i^2 - 1) + C_2 \sum_{i=1}^3 H(1 - \lambda_i)(\lambda_i^{-2} - 1)$$

The active and passive terms can be stated as follows where the active part represents the crack-driven energy.

$$W_{act} = C_1 \sum_{i=1}^{3} H(\lambda_i - 1)(\lambda_i^2 - 1) + C_2 \sum_{i=1}^{3} H(\lambda_i - 1)(\lambda_i^{-2} - 1)$$

$$W_{pas} = C_1 \sum_{i=1}^{3} H(1 - \lambda_i)(\lambda_i^2 - 1) + C_2 \sum_{i=1}^{3} H(1 - \lambda_i)(\lambda_i^{-2} - 1)$$

One way to better understand these is to consider some cases 1) triaxial tension  $\lambda_i > 1$  2) other stress states where  $\lambda_i < 1$ :

For 
$$\lambda_i > 1$$
: 
$$W_{act} = C_1 \sum_{i=1}^{3} (\lambda_i^2 - 1) + C_2 \sum_{i=1}^{3} (\lambda_i^{-2} - 1)$$

$$W_{pas} = 0$$
For  $\lambda_i < 1$ : 
$$W_{act} = 0$$

$$W_{pas} = C_1 \sum_{i=1}^{3} (\lambda_i^2 - 1) + C_2 \sum_{i=1}^{3} (\lambda_i^{-2} - 1)$$

For this second case, we end up with a negative energy component (first term of the passive energy).

We can also note the definitions within Tang 2019 for Model  $M_I$ . In this model, we consider the free energy density of a neo-Hookean constitutive law:

$$W(\mathbf{F}) = \frac{\mu}{2}(I_1 - 3 - 2\ln J) + \frac{\kappa}{2}(\ln J)^2$$

which can also be rephrased in terms of stretches

$$W(\lambda_1, \lambda_2, \lambda_3) = W_1 + W_2$$
  
=  $\frac{\mu}{2} \sum_{i=1}^{3} (\lambda_i^2 - 1 - 2 \ln \lambda_i) + \frac{\kappa}{2} (\ln J)^2$ 

where we note that  $W_1$  is a linear function of  $\ln \lambda_i$  and  $W_2$  is a nonlinear function of  $\ln J$ . The free energy is stated as

$$G_{rub} = [(1 - K)\alpha^2 + K]W^+ + W^-$$

Note that K is not  $\kappa$ . No definition is provided in the paper. This is another way of coupling the damage to the free energy density, and I believe we can rewrite our own version where:

$$G_{rub} = [a(\alpha) + k_{\ell}]W^{+} + W^{-}$$

Now we turn to the definition of  $W^+$  and  $W^-$  which refers to the energy with tensile stretching

$$W^{+} = W(\lambda_{i}^{+}, J^{+}) = \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_{i}^{+})^{2} - 1 - 2 \ln \lambda_{i}^{+}) + \frac{\kappa}{2} (\ln J^{+})^{2}$$

and the energy with compression respectively.

$$W^{-} = W(\lambda_{i}^{-}, J^{-}) = \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_{i}^{-})^{2} - 1 - 2 \ln \lambda_{i}^{-}) + \frac{\kappa}{2} (\ln J^{-})^{2}$$

The definitions for these superscript + and - terms gives us

$$\lambda_{i}^{+} = \begin{cases} \lambda_{i}, & \lambda_{i} > 1, \\ 1, & \lambda_{i} \leq 1 \end{cases} \qquad J^{+} = \begin{cases} J, & J > 1, \\ 1, & J \leq 1 \end{cases}$$
$$\lambda_{i}^{-} = \begin{cases} \lambda_{i}, & \lambda_{i} < 1, \\ 1, & \lambda_{i} \geq 1 \end{cases} \qquad J^{-} = \begin{cases} J, & J < 1, \\ 1, & J \geq 1 \end{cases}$$

This isn't the definition for the heaviside function, but it could be a shifted Macaulay bracket

$$M_s(x) = \frac{x-1+|x-1|}{2} + 1 = \begin{cases} x, & x > 1, \\ 1, & x \le 1 \end{cases}$$

Now we can consider some examples.

For 
$$J > 1$$
:

$$W^{+} = \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_{i}^{+})^{2} - 1 - 2 \ln \lambda_{i}^{+}) + \frac{\kappa}{2} (\ln J)^{2}$$

$$W^{-} = \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_{i}^{-})^{2} - 1 - 2 \ln \lambda_{i}^{-})$$

For J < 1:

$$W^{+} = \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_{i}^{+})^{2} - 1 - 2 \ln \lambda_{i}^{+})$$

$$W^{-} = \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_{i}^{-})^{2} - 1 - 2 \ln \lambda_{i}^{-}) + \frac{\kappa}{2} (\ln J)^{2}$$

If we consider the same stress states as in Ye2020, 1) triaxial tension  $\lambda_i > 1$  2) all other stress states  $\lambda_i < 1$ :

For 
$$J > 1$$
,  $\lambda_i > 1$ : 
$$W^+ = \frac{\mu}{2} \sum_{i=1}^3 (\lambda_i^2 - 1 - 2 \ln \lambda_i) + \frac{\kappa}{2} (\ln J)^2$$
$$W^- = 0$$
For  $J < 1$ ,  $\lambda_i > 1$ : 
$$W^+ = \frac{\mu}{2} \sum_{i=1}^3 (\lambda_i^2 - 1 - 2 \ln \lambda_i)$$
$$W^- = \frac{\kappa}{2} (\ln J)^2$$

Now for all other stress states:

For 
$$J > 1$$
,  $\lambda_i < 1$ : 
$$W^+ = \frac{\kappa}{2} (\ln J)^2$$
 
$$W^- = \frac{\mu}{2} \sum_{i=1}^3 (\lambda_i^2 - 1 - 2 \ln \lambda_i)$$
 For  $J < 1$ ,  $\lambda_i < 1$ : 
$$W^+ = 0$$
 
$$W^- = \frac{\mu}{2} \sum_{i=1}^3 (\lambda_i^2 - 1 - 2 \ln \lambda_i) + \frac{\kappa}{2} (\ln J)^2$$

This should be roughly equivalent to the considerations in the Ye2020 paper.

## 4.2 Our strain energy decomposition

Following the section above, we can consider our modified strain energy

$$\widetilde{W}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} (I_c - 3 - 2 \ln J) - b(\alpha) p(J - 1) - \frac{p^2}{2\kappa} \quad \text{where } p = -b(\alpha) \kappa (J - 1)$$

$$\widetilde{W}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} (I_c - 3 - 2 \ln J) + \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

We can also rewrite the first term with regards to stretches

$$\widetilde{W}(\mathbf{F},\alpha) = a(\alpha)\frac{\mu}{2}\sum_{i=1}^{3}(\lambda_i^2 - 1 - 2\ln\lambda_i) + \frac{\kappa}{2}a(\alpha)^3(J-1)^2$$

Following Tang 2019 we rewrite the strain energy as

$$\widetilde{W}(\mathbf{F}, \alpha) = \widetilde{W}_{\text{act}}(\mathbf{F}, \alpha) + \widetilde{W}_{\text{pas}}(\mathbf{F}, \alpha)$$
 (4.1)

where the active and passive parts of the strain energy can be written as:

$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_i^+)^2 - 1 - 2 \ln \lambda_i^+) + \frac{\kappa}{2} a(\alpha)^3 (J^+ - 1)^2$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_i^-)^2 - 1 - 2 \ln \lambda_i^-) + \frac{\kappa}{2} a(\alpha)^3 (J^- - 1)^2$$

where the definitions of the superscript + and - terms remain the same as in Tang2020:

For J > 1:

$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_i^+)^2 - 1 - 2 \ln \lambda_i^+) + \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_i^-)^2 - 1 - 2 \ln \lambda_i^-)$$
For  $J \le 1$ :
(4.2)

$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_i^+)^2 - 1 - 2\ln\lambda_i^+)$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} ((\lambda_i^-)^2 - 1 - 2\ln\lambda_i^-) + \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

Now considering the same two cases of, triaxial tension and

For J > 1,  $\lambda_i > 1$ :

$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} (\lambda_i^2 - 1 - 2 \ln \lambda_i) + \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = 0$$

For  $J \leq 1$ ,  $\lambda_i > 1$ :

$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} (\lambda_i^2 - 1 - 2 \ln \lambda_i)$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

all other cases:

For 
$$J > 1$$
,  $\lambda_i < 1$ :
$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} (\lambda_i^2 - 1 - 2 \ln \lambda_i)$$

For 
$$J \leq 1, \lambda_i < 1$$
:

$$\widetilde{W}_{act}(\mathbf{F}, \alpha) = 0$$

$$\widetilde{W}_{pas}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} (\lambda_i^2 - 1 - 2 \ln \lambda_i) + \frac{\kappa}{2} a(\alpha)^3 (J - 1)^2$$

These can be concisely summarized with the following expressions, where the active part of the strain energy is

$$\widetilde{W}_{\text{act}}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} H(\lambda_i - 1) \left(\lambda_i^2 - 1 - 2\ln \lambda_i\right) + a^3(\alpha) H(J - 1) \frac{1}{2} \kappa (J - 1)^2,$$
(4.3)

and the passive part of the strain energy is

$$\widetilde{W}_{\text{pas}}(\mathbf{F}, \alpha) = a(\alpha) \frac{\mu}{2} \sum_{i=1}^{3} H(1 - \lambda_i) \left(\lambda_i^2 - 1 - 2\ln\lambda_i\right) + a^3(\alpha)H(1 - J) \frac{1}{2}\kappa (J - 1)^2, \tag{4.4}$$

#### 4.2.1 Compute the principal stretches $\lambda_i$

The eigenvalues of Cauchy-Green strain tensor  $\mathbf{C}$  are  $\lambda_i^2$ , i=1,2,3. With following definitions

$$d = \frac{Tr\mathbf{C}}{3}, \quad e = \sqrt{\frac{Tr(\mathbf{C} - d\mathbf{I})^2}{6}}, \quad f = \frac{1}{e}(\mathbf{C} - d\mathbf{I}), \quad g = \frac{\det f}{2}, \tag{4.5}$$

and assuming the eigenvalues satisfying  $\lambda_3^2 \le \lambda_2 \le \lambda_1$ , we could obtain (?)

$$\lambda_1^2 = d + 2e\cos\left(\frac{\arccos g}{3}\right), \quad \lambda_3^2 = d + 2e\cos\left(\frac{\arccos g}{3} + \frac{2\pi}{3}\right), \quad \lambda_2^2 = 3d - \lambda_1^2 - \lambda_3^3.$$
 (4.6)

# 5 Following Borden: Derivations of Analytical Phase Field

Note the full potential energy functional, which can also be called the lagrangian

$$\mathcal{E}_{\ell}(\boldsymbol{u}, p, \alpha) = \int_{\Omega} a(\alpha) \mathcal{W}(\mathbf{F}) d\Omega - \int_{\Omega} \sqrt{a^{3}(\alpha)} p(J-1) d\Omega - \int_{\Omega} \frac{p^{2}}{2\kappa} d\Omega + \frac{G_{c}}{c_{w}} \int_{\Omega} \left( \frac{w(\alpha)}{\ell} + \ell \|\nabla \alpha\|^{2} \right) d\Omega$$

We can use the Euler-Lagrange equations to arrive at the equations of motion by taking the derivative with respect to displacement, pressure, and the scalar damage field. Starting with displacement:

$$\frac{\partial \mathcal{E}_{\ell}}{\partial \mathbf{u}} = \int_{\Omega} a(\alpha) \frac{\partial \mathcal{W}(\mathbf{F})}{\partial \mathbf{u}} d\Omega$$

$$\frac{\partial \mathcal{E}_{\ell}}{\partial p} = -\int_{\Omega} \frac{p}{\kappa} d\Omega - \int_{\Omega} \sqrt{a^3(\alpha)} (J-1) d\Omega$$

$$\frac{\partial \mathcal{E}_{\ell}}{\partial \alpha} = -\int_{\Omega} 2(1-\alpha) \, \mathcal{W}(\mathbf{F}) \, d\Omega + \int_{\Omega} 3p(1-\alpha)^2 (J-1) d\Omega + \frac{G_c}{c_w} \int_{\Omega} \left[ \frac{1}{\ell} + 2\ell \nabla^2 \alpha \right] d\Omega$$

Therefore we have three equations: First is mechanical eq,

$$\frac{\partial \mathcal{W}(\mathbf{F})}{\partial u_i} = 0$$

$$\frac{\partial}{\partial x_j} \left( \frac{\partial \mathcal{W}}{\partial \epsilon_{ij}} \right) = 0$$

$$\frac{\partial \sigma_{ij}}{\partial x_j} = 0$$

Second is an equation for pressure,

$$-\frac{p}{\kappa} - \sqrt{a^3(\alpha)}(J-1) = 0$$
$$-\frac{p}{\kappa} - (1-\alpha)^3(J-1) = 0$$
$$-\kappa(J-1)(1-\alpha)^3 = p$$

Lastly,

$$-2(1-\alpha)\mathcal{W}(\mathbf{F}) + 3p(1-\alpha)^2(J-1) + \frac{G_c}{c_w} \left[ \frac{1}{\ell} + 2\ell\nabla^2\alpha \right] = 0$$

Substitute second equation into third

$$-2(1-\alpha)\mathcal{W}(\mathbf{F}) - 3\kappa(1-\alpha)^5(J-1)^2 + \frac{G_c}{c_w} \left[ \frac{1}{\ell} + 2\ell\nabla^2\alpha \right] = 0$$

### 5.1 Homogeneous Solution

We can study the homogeneous solution by ignoring spatial derivatives of  $\alpha$ . If we don't substitute p:

$$-2(1-\alpha_h)\mathcal{W}(\mathbf{F}) + 3p(1-\alpha_h)2(J-1) + \frac{G_c}{c_w\ell} = 0$$

or if we substitute pressure

$$-2(1-\alpha_h)\mathcal{W}(\mathbf{F}) - 3\kappa(1-\alpha_h)^5(J-1)^2 + \frac{G_c}{c_w\ell} = 0$$

### 5.2 Non-Homogeneous Solution

Now for the Non-homogenous solution, we have the following

$$-2(1-\alpha)\mathcal{W}(\mathbf{F}) + 3p(1-\alpha)^2(J-1) + \frac{G_c}{c_w} \left[ \frac{1}{\ell} + 2\ell \nabla^2 \alpha \right] = 0$$

Multiply by  $d\alpha/dx$ 

$$\frac{d\alpha}{dx} \left[ -2(1-\alpha) \mathcal{W}(\mathbf{F}) + 3p(1-\alpha)^2 (J-1) + \frac{G_c}{c_w} \left( \frac{1}{\ell} + 2\ell \nabla^2 \alpha \right) \right] = 0$$

$$\frac{d}{dx} \int \left[ -2(1-\alpha) \mathcal{W}(\mathbf{F}) + 3p(1-\alpha)^2 (J-1) + \frac{G_c}{c_w} \left( \frac{1}{\ell} + 2\ell \nabla^2 \alpha \right) \right] d\alpha = 0$$

$$\frac{d}{dx} \left[ (1-\alpha)^2 \mathcal{W}(\mathbf{F}) - p(1-\alpha)^3 (J-1) + \frac{G_c}{c_w} \left( \frac{\alpha}{\ell} + 2\ell \nabla^2 \alpha \right) \right] = 0$$

now integrate from x to infinity

$$\left[ (1 - \alpha)^2 \mathcal{W}(\mathbf{F}) - p(1 - \alpha)^3 (J - 1) + \frac{G_c}{c_w} \left( \frac{\alpha}{\ell} + 2\ell \nabla^2 \alpha \right) \right]_0^{\infty} = 0$$

$$(1 - \alpha)^2 \mathcal{W}(\mathbf{F}) - p(1 - \alpha)^3 (J - 1) + \frac{G_c}{c_w} \left( \frac{\alpha}{\ell} + 2\ell \nabla^2 \alpha \right)$$

$$- \left[ (1 - \alpha_h)^2 \mathcal{W}(\mathbf{F}) - p(1 - \alpha_h)^3 (J - 1) + \alpha_h \frac{G_c}{c_w \ell} \right] = 0$$

with some rearrangement we can call the bracketed section

$$a_{hom} = (1 - \alpha_h)^2 \mathcal{W}(\mathbf{F}) - p(1 - \alpha_h)^3 (J - 1) + \alpha_h \frac{G_c}{c_{col}}$$

$$(5.1)$$

which can yield an expression that can solve for the phase field profile

$$-(1-\alpha)^2 \mathcal{W}(\mathbf{F}) + p(1-\alpha)^3 (J-1) - \frac{G_c}{c_w} \frac{\alpha}{\ell} + \left[ a_{hom} \right] = 2\ell \nabla^2 \alpha \frac{G_c}{c_w}$$
$$\frac{c_w}{2\ell G_c} \left[ -(1-\alpha)^2 \mathcal{W}(\mathbf{F}) + p(1-\alpha)^3 (J-1) \right] - \frac{\alpha}{2\ell^2} + \frac{c_w}{2\ell G_c} \left[ a_{hom} \right] = \frac{d^2 \alpha}{dx^2}$$

This expression needs to be non-dimensionalized accurately in order to be plotted

(5.2)