

Using PCA on EEG Data to Differentiate Sleep Stages

Ida Hönigmann

Technical University Vienna, Austria

Email: e12002348@student.tuwien.ac.at

Abstract—

I. INTRODUCTION

II. STUDY OF LITERATURE

A substantial body of scientific research has been devoted to exploring Principal Component Analysis (PCA). The foundation of this method was laid by Pearson[9] and Hotelling[4].

An introduction to PCA as well as a good overview on how to derive the formula used to compute the Principal Components (PC) used in Section ?? is given by Shlens[11]. Recent applications and variants of PCA are explored by Jolliffe et. al.[6].

A short discussion on the limitations of PCA as well as example in which PCA fails is given by Shlens[11]. One of the limitations mentioned is that the given data must be linearly dependent. Tenenbaum proposes a non-linear method to combat this problem[12].

Generally speaking the variables must not have third or higher order dependencies between them. In order to reduce a problem dealing with higher order dependencies to a second order one, where we can use PCA as described in this paper, we can transform the data beforehand. This method is called kernel PCA[11].

Another method for combating this problem is Independent Component Analysis (ICA) which is discussed by Naik et. al.[8].

TODO

first work on pcscholkopf1997kernela [9] and [4]

given paper [6]

when does pca fail? [11] and [12] (non-linear method)

book containing sleep phases eeg [2]

Review Paper on Sleep Stage Classification Methods [1]

papers trying to solve similar problem [13] and [10] and [7]

competition using similar data set [3]

winner of competition [5]

III. MATHEMATICAL BASICS

IV. PRINCIPAL COMPONENT ANALYSIS

V. SLEEP STAGES AND EEG DATA

VI. DATA AND ALGORITHM

- 1) subdivide eeg signals in the temporal domain
- 2) apply fft transforming into frequency domain

- 3) pca
- 4) achive dimensinality reduction
- 5) classification of sleep stages
- 6) visulisation

VII. RESULTS

VIII. CONCLUSION

REFERENCES

- [1] Reza Boostani, Foroozan Karimzadeh, and Mohammad Nami. A comparative review on sleep stage classification methods in patients and healthy individuals. *Computer Methods and Programs in Biomedicine*, 140:77 – 91, 2017. Cited by: 212.
- [2] William F. Ganong. *Review of medical physiology*. Appleton & Lange, Stamford, Conn, 18. ed edition, 1997.
- [3] Mohammad M Ghassemi, Benjamin E Moody, Li wei H Lehman, Christopher Song, Qiao Li, Haoqi Sun, Roger G Mark, M Brandon Westover, and Gari D Clifford. You snooze, you win: the physionet/computing in cardiology challenge 2018. *2018 Computing in Cardiology Conference (CinC)*, pages 1–4, 2018.
- [4] Harold Hotelling. Analysis of a complex of statistical variables into principal components. *Journal of educational psychology*, 24(6):417, 1933.
- [5] Matthew Howe-Patterson, Bahareh Pourbabae, and Frederic Benard. Automated detection of sleep arousals from polysomnography data using a dense convolutional neural network. In *2018 Computing in Cardiology Conference (CinC)*, volume 45, pages 1–4. IEEE, 2018.
- [6] I. T. Jolliffe and J. Cadima. Principal component analysis: a review and recent developments. *Royal Society*, 374(2065), 2016.
- [7] Claus Metzner, Achim Schilling, Maximilian Traxdorf, Holger Schulze, Konstantin Tziritidis, and Patrick Krauss. Extracting continuous sleep depth from eeg data without machine learning. *Neurobiology of Sleep and Circadian Rhythms*, 14, 2023. All Open Access, Gold Open Access, Green Open Access.
- [8] Ganesh R Naik and Dinesh K Kumar. An overview of independent component analysis and its applications. *Informatica*, 35(1), 2011.
- [9] Karl Pearson. Liii. on lines and planes of closest fit to systems of points in space. *The London, Edinburgh, and Dublin philosophical magazine and journal of science*, 2(11):559–572, 1901.
- [10] Arcady A. Putilov. Principal component analysis of the eeg spectrum can provide yes-or-no criteria for demarcation of boundaries between nrem sleep stages. *Sleep Science*, 8(1):16–23, 2015.
- [11] Jonathon Shlens. A tutorial on principal component analysis. 2014.
- [12] J.B. Tenenbaum, V. De Silva, and J.C. Langford. A global geometric framework for nonlinear dimensionality reduction. *Science*, 290(5500):2319 – 2323, 2000. Cited by: 10812.
- [13] Alexandra-Maria Tăuțan, Alessandro C. Rossi, Ruben de Francisco, and Bogdan Ionescu. Dimensionality reduction for eeg-based sleep stage detection: comparison of autoencoders, principal component analysis and factor analysis. *Biomedical Engineering / Biomedizinische Technik*, 66(2):125–136, 2021.