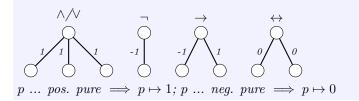
proposition	Stat. that can either be true or false
(ground) formula	$p_1 \vee p_2 \wedge (p_3 \to p_4)$
literal	$p_1$ ; $\neg p_2$
clause	$p_1 \vee \neg p_2$
horn clause	at most 1 pos. literal
term	f(x,a)
atomic formula	p(f(x,a),g(y))
formula	$\forall x \exists y (p(f(x,a),g(y)))$
signature	$sorts,\ constants,$
	$functions,\ predicate\ symbols$
$\overline{CNF}$	$\bigwedge_i \bigvee_j L_{i,j}$

#### Precedence

in this order from left to right:  $\top, \bot, \neg, \wedge, \vee, \rightarrow, \leftrightarrow$ 

## Polarity



### Naming

Replace subformula s with name n and add  $n \leftrightarrow s$  (if s occurs only positively  $n \rightarrow s$  elif s occurs only negatively  $s \rightarrow n$ ).

# **Unit Propagation**

Clauses of the form p are solved by setting  $p \mapsto 1$  and propagating. Same with  $\neg p$  where  $p \mapsto 0$ .

SAT is NP-complete.

#### **GSAT**

```
repeat max_tries times:
    I = random interpretation
    if I models S return I
    repeat max_flip times:
        p = variable s.t. flip(I,p)
            satisfies max clauses in S
        I = flip(I, p)
        if I models S return I
return don't know
```

#### WSAT

Two interpretations are  $\bar{\mathbf{x}}$ -variants if they coincide on all symbols and all variables not occurring in  $\bar{x}$ .

 $(\forall \mathbf{x} \mathbf{A})^{\mathbf{I}} = \mathbf{1}$  iff for all  $\bar{x}$ -variants I' of I we have  $(A)^{I'} = 1$ .  $(\exists \mathbf{x} \mathbf{A})^{\mathbf{I}} = \mathbf{1}$  iff for some  $\bar{x}$ -variants I' of I we have  $(A)^{I'} = 1$ .

A with free variables  $\bar{x}$  is **sat** in an interpretation I if for some  $\bar{x}$ -variants I' we have  $I' \models A$ . A with free variables  $\bar{x}$  is **valid** in an interpretation I if for every  $\bar{x}$ -variants I' we have  $I' \models A$ . A is **valid** iff it is valid in every interpretation ( $\iff \neg A$  is unsat.)

## Congruence Closure

For every equation s=t union [s] and [t] and function propagate. If  $s \neq t$ , but [s]=[t] report unsat, else report sat.

#### DPLL

abstract by renaming literals
use SAT solver to find model
if no model found return unsat
else solve resulting unit clause problem
if solution exists return sat
else rule out this model and go back to SAT solver

## Theory of Arrays

```
read without write: read(A, x) \rightsquigarrow f_A(x)
read with write: read(write(A, x, v), y) \rightsquigarrow x = y \land F[v]
or \leadsto x \neq y \land F[read(A, y)]
```

#### **Combining Theories**

Rename subterms s.t. each formula is only in one theory.

### Decision Procedure for Combined Theories

Discover shared u = v (or  $\bigvee_k u_k = v_k$  then use splitting) and pass to other theories.

Theory  $\mathcal{T}$  with signature  $\Sigma$  is **stably infinite** if  $\forall F \in \mathcal{T}$  ... sat  $\exists I$  ...  $\mathcal{T}$ -interpretation:  $I \models F$  and I has domain of infinite cardinality.

Theory  $\mathcal{T}$  is **convex** if  $\forall F \in \mathcal{T}$  .. conjunction of  $\mathcal{T}$ -literals  $(F \to \bigvee_{j=1}^k (u_j = v_j) \implies \exists j \in \{1,...,k\} : F \to u_j = v_j)$ . **Soundness:** conclusion is logical consequence of premises **Completeness:** every unsat S has derivation of  $\square$  in  $\mathbb{I}$  **Well-founded:**  $\not\supseteq$  infinite decreasing chain of atoms **Well-behaved:** select either neg. literal or all max. literals

**Fair:**  $\forall \frac{F_1...F_n}{F}$  ... inference with  $F_1,...,F_n \in S_\omega \exists i : F \in S_i$ 

 $\mathcal{T}_E$ ,  $\mathcal{T}_O$  are convex.  $\mathcal{T}_Z$ ,  $\mathcal{T}_A$  are not convex.

### **TPTP Syntax**

fof(name, axiom/conjecture/hypothesis, formula).

	\$true, \$false
$\neg a$	~a
$a_1 \wedge \wedge a_n$	a1&&an
$a_1 \vee \vee a_n$	a1  an
$a_1 \rightarrow a_2$	a1=>a2
$\forall x_1\forall x_n(a)$	![X1,,Xn]:a
$\exists x_1\exists x_n(a)$	?[X1,,Xn]:a

# **Binary Resolution**

$$\frac{\underline{p} \vee C_1 \quad \underline{\neg p} \vee C_2}{C_1 \vee C_2} (BR) \qquad \frac{\underline{L} \vee \underline{L} \vee C}{L \vee C} (Fact)$$

 $>^{\mathbf{bag}}$  is the smallest transitive relation on bags of X s.t.

$$\{x, y_1, ..., y_n\} >^{bag} \{x_1, ..., x_m, y_1, ..., y_n\}$$
  
 $if \forall i \in \{1, ..., m\} : x > x_i$ 

A clause  $C \in S$  is called **redundant** in S if it is a logical consequence of clauses in S strictly smaller than C.

$$Limit \mathbf{S}_{\omega} = \bigcup_{i \in \mathbb{N}} \bigcap_{j > i} S_j \dots \text{ set of all persistent clauses}$$

S... set of clauses is **saturated up to redundancy** if  $\forall \frac{C_1...C_n}{C}...\mathbb{I}$ -inference with premises in S, either  $C \in S$  or C is redundant w.r.t. S.

$$(s' = t') >_{lit} (s = t) \iff \{s', t'\} >_{bag} \{s, t\} \text{ and } (s' \neq t') >_{lit} (s \neq t) \iff \{s', t'\} >_{bag} \{s, t\}.$$

### Superposition

$$\frac{l = r \lor C \qquad \underline{s[l] = t \lor D}}{s[r] = t \lor C \lor D} (Sup - right)$$
$$\frac{l = r \lor C \qquad \underline{s[l] \ne t \lor D}}{s[r] \ne t \lor C \lor D} (Sup - left)$$

where (i) l > r, (ii)s[l] > t, (iii) l = r is strictly greater than any literal in C, (iv) (only for sup-right) s[l] = t is greater than or equal to any literal in D

$$\frac{s \neq s \lor C}{C}(ER) \qquad \frac{s = t \lor s = t' \lor C}{s = t \lor t \neq t' \lor C}(EF)$$

where (i)  $s > t \ge t'$ , (ii) s = t is greater than or equal to any literal in C.

> on terms is **simplification ordering** if well-founded; monotonic (if l > r then s[l] > s[r]); stable under substitutions (if l > r then  $l\theta > r\theta$ ).

# Knuth-Bendix Ordering (KBO)

given signature  $\Sigma$ , precedence relation  $\gg$  and weight function  $w: \Sigma \to \mathbb{N}$ :

Ground term weight:  $|g(t_1,...,t_n)| = w(g) + \sum_{i=1}^n |t_i|$ .  $g(t_1,...,t_n) >_{KBO} h(s_1,...,s_m)$  if

1. 
$$|g(t_1,...,t_n)| > |h(s_1,...,s_m)|$$
 or

2. 
$$|g(t_1,...,t_n)| = |h(s_1,...,s_m)|$$
 and

(a) 
$$g \gg h$$
 or

(b) g = h and for some  $1 \le i \le n$  we have  $t_1 = s_1, ..., t_{i-1} = s_{i-1}$  and  $t_i > s_i$ .

weight function  $w: \Sigma \cup Vars \rightarrow \mathbb{N}$  satisfies:

- $\forall x \dots variables: w(x) = v_0(>0)$
- $\forall a \dots constant: w(a) \geq v_0$
- $\exists f$  ... unary function: w(f) = 0 then  $\forall g \neq f$  ... function:  $f \gg g$ .

#### Demodulation

$$\frac{l=r}{s[r]} \frac{s[l] = t \vee D}{t \vee D} (Sup)$$

then the right premise becomes redundant.

**substitution**  $\theta$  is a mapping from variables to terms such that the set  $\{x|\theta(x) \neq x\}$  is finite and is denoted by  $\{x_1 \mapsto t_1, ..., x_n \mapsto t_n\}$ .

unifier of  $s_1$  and  $s_2$  is substitution  $\theta$  s.t.  $s_1\theta = s_2\theta$ . Unifier  $\theta$  of  $s_1$  and  $s_2$  is a **mgu** if  $\forall \theta' \exists \tau$  such that  $\theta \tau = \theta'$ .

### Find mgu

now E has the form {x1=r1,...,xl=rl} return the substitution {x1->r1,...,xl->rl}

Inference  $\frac{C_1...C_n}{C}$  is called **simplifying** if a premise  $C_i$  becomes redundant after the addition of the conclusion C to the search space.

A non-simplifying inference is called **generating**.

### Non-ground KBO

#(x,s) ... number of occurrences of x in s

Weight of term: 
$$|g(t_1, ..., t_n)| = w(g) + \sum_{i=1}^{n} |t_i|$$
.  
  $s >_{KBO} t$  if

- 1.  $\#(x,s) \ge \#(x,t)$  for all variables x and |s| > |t| or
- 2.  $\#(x,s) \ge \#(x,t)$  for all variables x and |s| = |t| and one of the following holds:
  - (a) t = x,  $s = f^n(x)$  for some  $n \ge 1$  or
  - (b)  $s = g(t_1, ..., t_n), t = h(s_1, ..., s_m)$  and  $g \gg h$  or
  - (c)  $s = g(t_1, ..., t_n)$ ,  $t = g(s_1, ..., s_n)$  and for some  $1 \le i \le n$  we have  $t_1 = s_1, ..., t_{i-1} = s_{i-1}$  and  $t_i > s_i$ .

### Non-ground BR

$$\frac{\underline{p} \vee C_1 \quad \underline{\neg p'} \vee C_2}{(C_1 \vee C_2)\theta}(BR)$$

$$\frac{\underline{p} \vee \underline{p'} \vee C}{(p \vee C)\theta}(Fact) \quad \frac{\underline{\neg p} \vee \underline{\neg p'} \vee C}{(\neg p \vee C)\theta}(Fact)$$

where  $\theta$  is a mgu of p and p'.

### Non-ground Sup

$$\frac{l = r \lor C \qquad \underline{s[l'] = t \lor D}}{(s[r] = t \lor C \lor D)\theta} (Sup - right)$$
$$\frac{\underline{l = r} \lor C \qquad \underline{s[l'] \neq t \lor D}}{(s[r] \neq t \lor C \lor D)\theta} (Sup - left)$$

where (i)  $\theta$  is a mgu of l and l', (ii) l' is not a variable, (iii)  $r\theta \geq l\theta$ , (iv)  $t\theta \geq s[l']\theta$ .

$$\frac{s \neq s' \lor C}{C\theta}(ER) \qquad \frac{l = r \lor l' = r' \lor C}{(l = r \lor r \neq r' \lor C)\theta}(EF)$$

where  $\theta$  is a mgu of s and s' in (ER) and where  $\theta$  is a mgu of l and l',  $r\theta \geq l\theta$ ,  $r'\theta \geq l\theta$ , and  $r'\theta \geq r\theta$  in (EF).