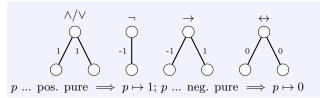
proposition	Stat. that can either be true or false
(ground) formula	$p_1 \vee p_2 \wedge (p_3 \to p_4)$
literal	$p_1; \neg p_2$
clause	$p_1 \vee \neg p_2$
horn clause	at most one positive literal
term	f(x,a)
atomic formula	p(f(x,a),g(y))
formula	$\forall x \exists y (p(f(x, a), g(y)))$
signature	sorts, constants,
	functions, predicate symbols
CNF	$\bigwedge_i \bigvee_j L_{i,j}$

#### Precedence

in this order from left to right:  $\top, \bot, \neg, \wedge, \vee, \rightarrow, \leftrightarrow$ 

# Polarity



# Naming

Replace subformula s with name n and add  $n \leftrightarrow s$  (if s occurs only positively  $n \to s$  elif s occurs only negatively  $s \to n$ ).

### Rewrite into CNF

$$A \leftrightarrow B \implies (\neg A \lor B) \land (\neg B \lor A)$$

$$A \to B \implies \neg A \lor B$$

$$\neg (A \land B) \implies \neg A \lor \neg B$$

$$\neg (A \lor B) \implies \neg A \land \neg B$$

$$\neg \neg A \implies A$$

$$(A_1 \land A_2) \lor B \implies (A_1 \lor B) \land (A_2 \lor B)$$

# Unit Propagation

Clauses of the form p are solved by setting  $p\mapsto 1$  and propagating. Same with  $\neg p$  where  $p\mapsto 0$ .

#### DPLL

Unit propagation, Pure literal elimination, Splitting SAT is NP-complete.

# GSAT / WSAT

Two interpretations are  $\bar{\mathbf{x}}$ -variants if they coincide on all symbols and all variables not occurring in  $\bar{x}$ .

$$(\forall \mathbf{x} \mathbf{A})^{\mathbf{I}} = \mathbf{1}$$
 iff for all  $\bar{x}$ -variants  $I'$  of  $I$  we have  $(A)^{I'} = 1$ .  $(\exists \mathbf{x} \mathbf{A})^{\mathbf{I}} = \mathbf{1}$  iff for some  $\bar{x}$ -variants  $I'$  of  $I$  we have  $(A)^{I'} = 1$ .

A with free variables  $\bar{x}$  is sat in an interpretation I if for some  $\bar{x}$ -variants I' we have  $I' \models A$ .

A with free variables  $\bar{x}$  is valid in an interpretation I if for every  $\bar{x}$ -variants I' we have  $I' \models A$ .

A is **valid** iff it is valid in every interpretation (  $\iff \neg A$  is unsat.)

# Congruence Closure

For every equation s=t union [s] and [t] and function propagate. If  $s\neq t$ , but [s]=[t] report unsat, else report sat.

# Theory of Arrays

```
read without write: read(A, x) \rightsquigarrow f_A(x)
read with write: read(write(A, x, v), y) \rightsquigarrow x = y \land F[v]
or \leadsto x \neq y \land F[read(A, y)]
```

abstract by renaming literals
use SAT solver to find model
if no model found return unsat
else solve resulting unit clause problem
if solution exists return sat
else rule out this model and go back to SAT solver

# **Combining Theories**

Rename subterms s.t. each formula is only in one theory. Discover shared equality u = v (or  $\bigvee_k u_k = v_k$  then use splitting) and pass to other theories.

Theory  $\mathcal{T}$  with signature  $\Sigma$  is **stably infinite** if  $\forall F \in \mathcal{T}$  ... sat  $\exists I \dots \mathcal{T}$ -interpretation:  $I \models F$  and I has domain of infinite cardinality.

Theory  $\mathcal{T}$  is **convex** if  $\forall F \in \mathcal{T}$  .. conjunction of  $\mathcal{T}$ -literals  $(F \to \bigvee_{j=1}^k (u_j = v_j) \implies \exists j \in \{1,...,k\} : F \to u_j = v_j)$ . **Soundness:** conclusion is logical consequence of premises **Completeness:** every unsat S has derivation of  $\square$  in  $\mathbb{I}$  **Well-founded:**  $\not\supseteq$  infinite decreasing chain of atoms **Well-behaved:** select either neg. literal or all max. literals **Fair:**  $\forall \frac{F_1...F_n}{F}$  ... inference with  $F_1,...,F_n \in S_\omega \exists i : F \in S_i$ 

 $\mathcal{T}_E$ ,  $\mathcal{T}_Q$  are convex.  $\mathcal{T}_Z$ ,  $\mathcal{T}_A$  are not convex.

 $>^{\mathbf{bag}}$  is the smallest transitive relation on bags of X s.t.

$$\{x, y_1, ..., y_n\} >^{bag} \{x_1, ..., x_m, y_1, ..., y_n\}$$
  
if  $\forall i \in \{1, ..., m\} : x > x_i$ 

$$(s' = t') >_{lit} (s = t) \iff \{s', t'\} >_{bag} \{s, t\} \text{ and } (s' \neq t') >_{lit} (s \neq t) \iff \{s', t'\} >_{bag} \{s, t\}.$$

A clause  $C \in S$  is called **redundant** in S if it is a logical consequence of clauses in S strictly smaller than C.

**Limit**  $\mathbf{S}_{\omega} = \bigcup_{i \in \mathbb{N}} \bigcap_{j \geq i} S_j$  ... set of all persistent clauses

S... set of clauses is **saturated up to redundancy** if  $\forall \frac{C_1...C_n}{C}$ ...  $\mathbb{I}$ -inference with premises in S, either  $C \in S$  or C is redundant w.r.t. S.

> on terms is **simplification ordering** if well-founded; monotonic (if l > r then s[l] > s[r]); stable under substitutions (if l > r then  $l\theta > r\theta$ ).

1

### Knuth-Bendix Ordering (KBO)

given signature  $\Sigma$ , precedence relation  $\gg$  and weight function  $w:\Sigma\to\mathbb{N}$ :

Ground term weight:  $|g(t_1,...,t_n)| = w(g) + \sum_{i=1}^n |t_i|$ .  $g(t_1,...,t_n) >_{KBO} h(s_1,...,s_m)$  if

1. 
$$|g(t_1,...,t_n)| > |h(s_1,...,s_m)|$$
 or

2. 
$$|g(t_1,...,t_n)| = |h(s_1,...,s_m)|$$
 and

- (a)  $g \gg h$  or
- (b) g = h and for some  $1 \le i \le n$  we have  $t_1 = s_1, ..., t_{i-1} = s_{i-1}$  and  $t_i > s_i$ .

## **Binary Resolution**

$$\frac{\underline{p} \vee C_1 \quad \underline{\neg p} \vee C_2}{C_1 \vee C_2}(BR) \qquad \quad \underline{\underline{L} \vee \underline{L} \vee C}(Fact)$$

#### Superposition

$$\begin{split} \frac{l = r \lor C}{s[r] = t \lor C \lor D} (Sup - right) \\ \frac{l = r \lor C}{s[r] \neq t \lor C \lor D} (Sup - left) \end{split}$$

where (i) l > r, (ii)s[l] > t, (iii) l = r is strictly greater than any literal in C, (iv) (only for sup-right) s[l] = t is greater than or equal to any literal in D

$$\frac{s \neq s \lor C}{C}(ER) \qquad \frac{s = t \lor s = t' \lor C}{s = t \lor t \neq t' \lor C}(EF)$$

where (i)  $s > t \ge t'$ , (ii) s = t is greater than or equal to any literal in C.

#### Subsumption

A clause C subsumes any clause D if  $C\theta \subseteq D$  for some substitution  $\theta$ .

#### Demodulation

$$\frac{l=r}{s[r]=t\vee D}(Sup)$$

then the right premise becomes redundant.

**weight function**  $w: \Sigma \cup Vars \to \mathbb{N}$  satisfies:

- $\forall x \dots \text{ variables: } w(x) = v_0(>0)$
- $\forall a \dots \text{ constant: } w(a) \geq v_0$
- $\exists f$  ... unary function: w(f) = 0 then  $\forall g \neq f$  ... function:  $f \gg q$ .

**substitution**  $\theta$  is a mapping from variables to terms such that the set  $\{x|\theta(x) \neq x\}$  is finite and is denoted by  $\{x_1 \mapsto t_1, ..., x_n \mapsto t_n\}$ .

**unifier** of  $s_1$  and  $s_2$  is substitution  $\theta$  s.t.  $s_1\theta = s_2\theta$ . Unifier  $\theta$  of  $s_1$  and  $s_2$  is a **mgu** if  $\forall \theta' \exists \tau$  such that  $\theta \tau = \theta'$ .

### Find mgu

while exists a non-isolated equation (s=t) in E: case (s.t) of (t,t): remove this equation from E (x,t): if x occurs in t then halt with failure else replace x by t in all other equations of E (t,x): replace this equation with (x=t) (c,d): halt with failure (c,f(t1,...,tn)): halt with failure (f(t1,...,tn),c): halt with failure (f(s1,...,sn),f(t1,...,tn)): replace this equation by the set s1=t1,...,sn=tn (f(s1,...,sm), g(t1,...,tn)): halt with failure now E has the form  $\{x1=r1,...,xl=rl\}$ 

Inference  $\frac{C_1...C_n}{C}$  is called **simplifying** if a premise  $C_i$  becomes redundant after the addition of the conclusion C to the search space.

A non-simplifying inference is called **generating**.

return the substitution  $\{x1->r1,...,x1->r1\}$ 

# **TPTP Syntax**

fof(name, axiom/conjecture/hypothesis, formula).

	\$true, \$false
$\neg a$	~a
$a_1 \wedge \wedge a_n$	a1&&an
$a_1 \vee \vee a_n$	a1  an
$a_1 \rightarrow a_2$	a1=>a2
$\forall x_1\forall x_n(a)$	![X1,,Xn]:a
$\exists x_1\exists x_n(a)$	?[X1,,Xn]:a

#### Non-ground KBO

#(x,s) ... number of occurrences of x in s

Weight of term:  $|g(t_1,...,t_n)| = w(g) + \sum_{i=1}^n |t_i|$ .  $s >_{KBO} t$  if

- 1.  $\#(x,s) \ge \#(x,t)$  for all variables x and |s| > |t| or
- 2.  $\#(x,s) \ge \#(x,t)$  for all variables x and |s| = |t| and one of the following holds:
  - (a) t = x,  $s = f^n(x)$  for some n > 1 or
  - (b)  $s = g(t_1, ..., t_n), t = h(s_1, ..., s_m)$  and  $g \gg h$  or
  - (c)  $s = g(t_1,...,t_n)$ ,  $t = g(s_1,...,s_n)$  and for some  $1 \le i \le n$  we have  $t_1 = s_1,...,t_{i-1} = s_{i-1}$  and  $t_i > s_i$ .

# Non-ground BR

$$\frac{\underline{p} \vee C_1 \quad \underline{\neg p'} \vee C_2}{(C_1 \vee C_2)\theta}(BR)$$

$$\frac{\underline{p} \vee \underline{p'} \vee C}{(p \vee C)\theta}(Fact) \qquad \frac{\underline{\neg p} \vee \underline{\neg p'} \vee C}{(\neg p \vee C)\theta}(Fact)$$

where  $\theta$  is a mgu of p and p'.

# Non-ground Sup

$$\frac{l = r \lor C \qquad s[l'] = t \lor D}{(s[r] = t \lor C \lor D)\theta} (Sup - right)$$
$$\frac{l = r \lor C \qquad s[l'] \neq t \lor D}{(s[r] \neq t \lor C \lor D)\theta} (Sup - left)$$

where (i)  $\theta$  is a mgu of l and l', (ii) l' is not a variable, (iii)  $r\theta \geq l\theta$ , (iv)  $t\theta \geq s[l']\theta$ .

$$\frac{s \neq s' \vee C}{C\theta}(ER) \qquad \frac{\underline{l = r} \vee l' = r' \vee C}{(l = r \vee r \neq r' \vee C)\theta}(EF)$$

where  $\theta$  is a mgu of s and s' in (ER) and where  $\theta$  is a mgu of l and l',  $r\theta > l\theta$ ,  $r'\theta > l\theta$ , and  $r'\theta > r\theta$  in (EF).