

# Epwshiftr: incorporating open data of climate change prediction into building performance simulation for future adaptation and mitigation

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## Abstract

Future weather data is a prerequisite for accessing the impacts of climate change on building energy performance. The Morphing statistical downscaling method, which utilizes the Global Climate Model (GCM) output, is a relatively simple method for future weather data prediction and is widely used in current research/tools. However, existing weather generators typically assume a single GCM or can only consider GCMs from the old CMIP (Coupled Model Intercomparison Project) projects published more than ten years ago. This paper presents a free, open-source tool called *epwshiftr* for incorporating open data from the latest CMIP6 project into Energy-Plus Weather (EPW) generation using the Morphing method. The focus of this tool is to ease the burden of the cumbersome data preparation process as much as possible while providing user-friendly and flexible ways to create future EPWs for worldwide locations.

## Highlights

- A free open-source future weather generator *epwshiftr* was developed.
- It utilizes the latest CMIP6 ScenarioMIP experiment data
- It can automatically process significant amounts of climate change model data worldwide.
- It can generate future weather data for 11 meteorological variables.

## Introduction

Building energy simulation (BES) has become increasingly applied to assess building performance under climate change and yield a more sustainable and resilient design (Yassaghi and Hoque, 2019). Weather files form the boundary conditions of BES and directly affect the results (Bhandari et al., 2012). Therefore, it is necessary to use future weather data considering climate change for the design and performance evaluation of new and existing buildings (Picard et al., 2020).

A rich body of research and development exists on weather generators for creating future weather data for BES. In 2005, Belcher et al. (2005) proposed

the morphing method, a relatively simple statistical downscaling method. It is based on the future climate change data predicted by the Global Climate Model (GCM) and hourly weather data, usually a typical meteorological year (TMY). Morphing can capture the average future weather conditions from GCM while preserving historical weather sequences. It requires low computational power, making it possible to create many weather files from worldwide locations. However, morphing may under- or overestimate climate change impacts because of the lacking of ability to capture future extreme weather conditions and potential differences in the reference time frame of the TMY and GCM data (Moazami et al., 2019). Moreover, careful consideration should be given to morphing when modifying individual meteorological variables independently, breaking their physical relationships. Despite these shortcomings, this method is still widely used because of its simple and flexible characteristics. Multiple morphing-based future weather generators have been developed over the decades to integrate climate change predictions from CMIP (Coupled Model Intercomparison Project) projects that cover worldwide locations (Jentsch et al., 2008; Troup, 2016; Dickinson and Brannon, 2016; Yassaghi and Hoque, 2019). Currently, CCWorldWeatherGen (Jentsch et al., 2013), WeatherShift (Dickinson and Brannon, 2016), and Meteonorm (Remund et al., 2020) are three future weather generators widely used in literature. CCWorldWeatherGen provides a graphical interface based on Microsoft Excel to generate weather files in EPW format supported by Energy-Plus directly. However, it is based on the A2 climate scenario from the IPCC Third Assessment Report (TAR) published in 2001. Moreover, it only utilizes data from a single GCM called HadCM3. Like CCWorldWeatherGen, WeatherShift and Meteonorm also use morphing to generate EPW files for future climate. They consider two representative concentration pathway (RCP) emission scenarios, i.e., RCP4.5 and RCP8.5, from the 2014 IPCC Fifth Climate Change Assessment Report (AR5). At the same time, both are commercial products, and the weather data under a single climate scenario often cost hundreds of dollars.

Currently, the CMIP project is in its sixth phase (CMIP6), which has developed new emission scenarios that have a similar range as the CMIP5, but fill critical gaps for intermediate forcing levels (O'Neill et al., 2016). IPCC (Intergovernmental Panel on Climate Change) released the sixth climate assessment report (AR6) based on CMIP6. It is based on the latest Shared Socio-economic Pathway (SSP) climate change projections proposed by the CMIP6 ScenarioMIP experiment results.

Compared with the representative concentration pathway (RCP) scenarios in IPCC AR5, the new scenarios based on SSP consider the impact of changes in socio-economic factors on climate change, such as population, economic growth, education, urbanization, etc (Chakraborty et al., 2021). Therefore, existing research using outdated IPCC emission scenarios may not reasonably and effectively represent the climate impacts and socio-economic risks of different policy options.

Moreover, there are no easy-access tools for predicting future meteorological parameters based on the latest SSP emission scenarios.

To this end, this paper proposes a future weather generation method for BES based on CMIP6 ScenarioMIP climate scenarios, and develops a free and open-source tool *epwshiftr*. It incorporates open data from the latest CMIP6 project into EnergyPlus Weather (EPW) generation using the morphing method. The focus of this tool is to ease the burden of the cumbersome data preparation process as much as possible while providing user-friendly and flexible ways to create future EPWs for worldwide locations. It takes full advantage of data query and fetching interfaces provided by the Earth System Grid Federation (ESGF) portals where CMIP6 data are held. *Epwshiftr* can process multiple GCM outputs at various spatial and temporal resolutions. Each module of *epwshiftr* stores data in a standard data format, providing possibilities for exploring a considerably broad pool of ready-to-use methods available for customized statistical analysis. Most computational-intensive processes have been designed to run in parallel for speed-up.

## Climate scenarios in CMIP6 ScenarioMIP

CMIP6 provides climate change simulation and forecast data and forms the scientific basis of the IPCC assessment report. It approved 23 Model Comparison Sub-Projects (MIPs). ScenarioMIP, one of those MIPs, aims to provide critical data support for future climate change mechanism studies and corresponding mitigation and adaptation research. The new climate projection scenarios proposed by ScenarioMIP are rectangular combinations of different Shared Socio-economic Pathways (SSPs) and the lat-

est Representative Concentration Pathways (RCPs):

1. SSP is used to describe the possible development scenarios of the future society without the influence of climate change or climate policy (CMIP6, 2014). There are five SSP scenarios in ScenarioMIP, namely SSP1, SSP2, SSP3, SSP4, and SSP5, arranged in order of social development from good to bad, representing sustainable, moderate, partial, unbalanced, and normal development, respectively.
2. RCP represents the level of global radiative forcing, i.e., the amount of change in the net irradiance of the tropopause or the top of the atmosphere due to climate change until the end of this century. Based on the four radiative forcing levels in the CMIP5 RCP, ScenarioMIP added three new emission pathways, namely RCP2.6, RCP3.4, and RCP7.0, filling the gaps between the typical pathways of CMIP6 (O'Neill et al., 2016).

ScenarioMIP combines the above five SSP scenarios and seven RCPs to form eight groups of future climate scenarios. They are grouped into Tier-1 and Tier-2 based on the modeling priority, with Tier-1 being the core test, as shown in Figure 1.

## *epwshiftr*: a free, open-source future weather generation tool using CMIP6 ScenarioMIP data

*Epwshiftr* is developed using R (R Core Team, 2019) language and distributed using CRAN (Comprehensive R Archive Network)<sup>1</sup>. It can be downloaded for free and run on common platforms, including Windows, macOS, and Linux. *Epwshiftr* is open-source, and the source code is published on GitHub<sup>2</sup>. *Epwshiftr* can be easily installed via a single command as shown in Listing 1.

*Listing 1: Install epwshiftr*

```
1 install.packages("epwshiftr")
```

Figure 2 shows the primary process of using *epwshiftr* to generate EPW files for future weather. It consists of five modules, including:

- **Query Module**, which sends queries of the CMIP6 ScenarioMIP output using the Earth System Grid Federation (ESGF) portals;
- **Database Module**, which is used to manage the massive raw climate change data of ScenarioMIP GCMs;
- **Data Extraction Module**, which can extract climate change raw data based on geographic location information;

<sup>1</sup>*epwshiftr* CRAN link: <https://cran.r-project.org/package=epwshiftr>

<sup>2</sup>*epwshiftr* GitHub link: <https://github.com/ideas-lab-nus/epwshiftr>

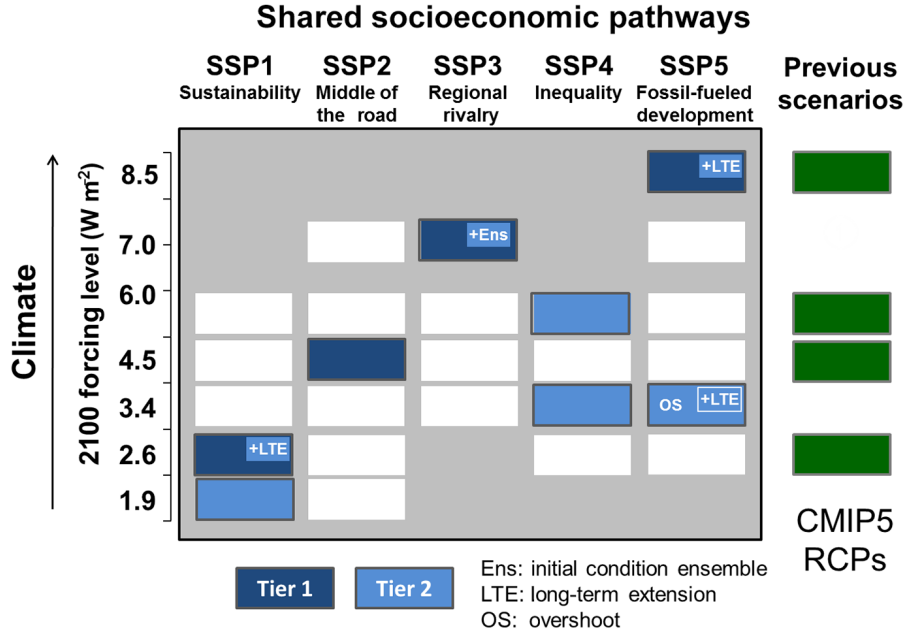


Figure 1: Scenario design matrix for future climate prediction based on SSP and RCP in ScenarioMIP (O'Neill et al., 2016)

- **Morphing Module**, which uses the morphing statistical downscaling method to calculate the predicted values of each meteorological variable at different future time periods and under different climate forecast scenarios;
- **EPW Generation Module**, which uses the forecast data to generate EPW files under future climate change, based on the mapping between EPW weather variables and GCM output meteorological variables.

The `epwshiftr` package follows the Test-Driven Development (TDD) process. Around 450 unit tests are carefully made, covering 94% of the codebase. They are automatically run on Windows, macOS, and Linux whenever changes are made in `epwshiftr` on CRAN and GitHub.

With the generated future meteorological data, future building loads and energy demand under climate change can be predicted and evaluated. Many tools are currently available to perform parametric BES. `Eplusr` (Jia and Chong, 2014), a rich toolset for BES data-driven analyses, is one of them and can be integrated well with `epwshiftr`. Evaluation of future building energy demand using generated future weather data is not the focus of this paper. The following sections will explain the implementation principle and technical details of `epwshiftr` by module.

#### Query ScenarioMIP GCM output using ESGF

CMIP6 is still an ongoing program in which global climatologists share, analyze, and compare simulation results from the latest GCMs. All GCM outputs in CMIP6 are available for free download through the Earth System Grid Federation (ESGF). ESGF pro-

vides GCM outputs in NetCDF format, a widely-used format in the earth science domain. It divides the data based on climate variables, output frequencies, GCMs, variant labels, earth grid types, time ranges, and other dimensions.

Since each NetCDF file contains data of a complete global grid, the size of the monthly global surface temperature forecast data for the next 20 years under a single climate scenario can reach more than 1 GB. The CMIP6 ScenarioMIP contains dozens of different GCMs, and the total size of all output files will get more than 10 TB, making it impossible to download all of them for analysis.

The *Query Module* provides an interface utilizing the RESTful API provided by ESGF. It thus is capable of querying all CMIP6 GCM outputs based on various conditions, providing download links of NetCDF files. The query result will be processed into a data frame that contains 22 metadata that describes each output. Also, an output index is generated based on the query to check the data integrity before extracting climate change data.

Listing 2 demonstrates how to use the `index_cmip6_index` interface from the *Query Module*.

This snippet will send a query to ESGF to list all available daily outputs for near-surface air temperature (`tas`) and relative humidity (`hurs`) from AWI-CM-1-1-MR GCM first run (`r1i1p1f1`) under SSP585 climate scenario from the ScenarioMIP activity for the year 2050 and 2080. Also, an output index file named “`cmip6_index.csv`” will be saved.

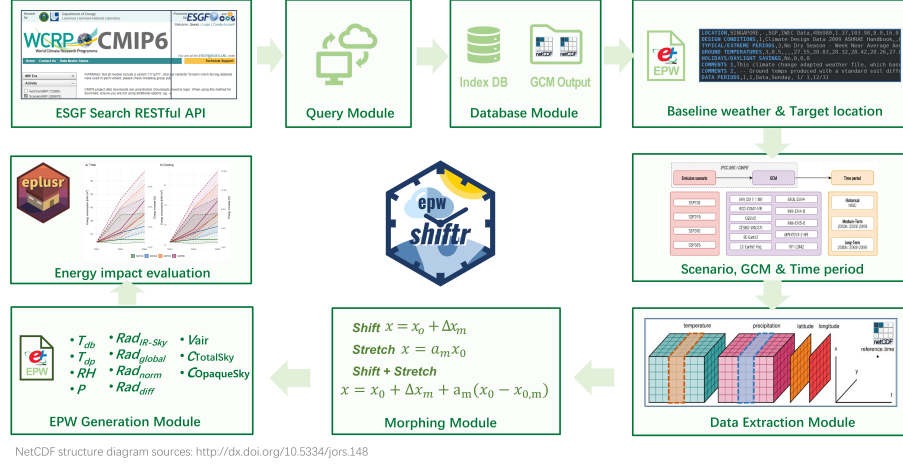


Figure 2: The primary process of using epwshifter to generate EPW files for future weather

Listing 2: Query ScenarioMIP outputs using the Query Module

```

1 # generate GCM output index
2 idx <- init_cmip6_index(
3   # only consider ScenarioMIP activity
4   activity = "ScenarioMIP",
5
6   # output variables of interest
7   variable = c("tas", "hurs"),
8
9   # report frequency
10  frequency = "day",
11
12  # experiment name
13  experiment = "ssp585",
14
15  # GCM name
16  source = "AWI-CM-1-1-MR",
17
18  # variant,
19  variant = "r1i1p1f1",
20
21  # years of interest
22  years = c(2050, 2080),
23
24  # save result as an output index file
25  save = TRUE
26 )

```

### GCM raw output data management

As mentioned above, the size of NetCDF files can easily reach hundreds of GB or even TB levels for multiple combinations of emission scenarios and GCMs, as shown in Figure 3. Therefore, it becomes cumbersome to manage such massive data properly.

Fortunately, CMIP6 requires each NetCDF file generated by GCM to contain specific global attributes, which can be used to describe the data stored in the file. Among them, 30 are mandatory and are included in every file. Therefore, the *Database Module* creates a mapping between the ESGF query output and each

Name	Ext	Size	Date	Attr
cmip6_index	csv	11.93 M	2020/09/05 13:51	-a-
psl_day_GFDL-ESM4_ssp126_r1i1p1f1_gr1_20150101-20341231	nc	813.72 M	2020/04/05 20:09	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_21000101-21001231	nc	135.41 M	2020/04/03 13:53	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20990101-20991231	nc	135.50 M	2020/04/03 13:53	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20980101-20981231	nc	135.58 M	2020/04/03 13:52	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20970101-20971231	nc	135.47 M	2020/04/03 13:52	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20960101-20961231	nc	135.84 M	2020/04/03 13:52	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20950101-20951231	nc	135.53 M	2020/04/03 13:52	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20940101-20941231	nc	135.50 M	2020/04/03 13:51	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20930101-20931231	nc	135.41 M	2020/04/03 13:51	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20920101-20921231	nc	135.79 M	2020/04/03 13:51	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20910101-20911231	nc	135.42 M	2020/04/03 13:50	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20900101-20901231	nc	135.46 M	2020/04/03 13:50	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20890101-20891231	nc	135.43 M	2020/04/03 13:50	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20880101-20881231	nc	135.87 M	2020/04/03 13:49	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20870101-20871231	nc	135.57 M	2020/04/03 13:49	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20860101-20861231	nc	135.51 M	2020/04/03 13:49	-a-
tas_day_EC-Earth3_ssp370_r4i1p1f1_gr_20610101-20611231	nc	135.83 M	2020/04/03 13:41	-a-

Figure 3: GCM outputs in NetCDF files for 11 variables from 10 GCMs under 4 climate scenarios from 2020 to 2100 take 2.81TB space with more than 13,000 files

global attribute and manages the original big data of ScenarioMIP GCM climate change. The computational load is small since the amount of data to extract from the global attributes in NetCDF is negligible compared to the total data volume of the time-series matrix. It should be pointed out that CMIP6 does not require a specific calendar format for the time series GCM output. Various calendars exist, including the standard calendar, proleptic Gregorian calendar, 365-day calendar, 366-day calendar, 360-day calendar, etc. Therefore, careful attention has been paid when converting the time range information obtained from ESGF and NetCDF files to ensure they are all transformed into the standard calendar.

To speed up the data verification process, the *Database Module* uses asynchronous parallel computing to extract and verify attributes of multiple NetCDF files simultaneously. This parallel computing is also applied to all other modules that perform computational-intensive procedures.

Listing 3 demonstrates how to use the `summary_database` interface from the *Database Module* to get a summary of NetCDF files down-

loaded against the CMIP6 output index generated using the *Query Module*. This step is necessary as it maps the loaded files against the index so that *epwshiftr* knows which case is complete and can be used for the next step.

*Listing 3: Summarize the GCM NetCDF files based on the output index*

```

1 sm <- summary_database(
2   # where all the NetCDF files are
3   dir = getOption("epwshiftr.dir"),
4
5   # criteria for calculating completeness
6   by = c("source", "variable"),
7
8   # what to do for duplications
9   mult = "latest"
10 )

```

### Extraction of raw climate change data based on geographic location

When the integrity check has been passed, the next step is to extract the raw time-series data from GCM NetCDF output files. NetCDF stores data as a three-dimensional time, longitude, and latitude matrix. Therefore, the data can be extracted through the target location's geographic location information (latitude and longitude). However, the global grid from GCMs is often coarse. The spatial resolution is generally around 50km to 100 km, making obtaining accurate data of target locations challenging. In addition, the spacing of longitude and latitude in the global grid is usually different. Currently, no uniform standard method exists to calculate the distance between two points on a global grid. The common methods are: (a) the Euler distance method, (b) the Tunnel Distance method, and (c) the K-D tree algorithm. The Data Extraction Module uses the Euler distance method. Moreover, it also provides parameters to specify the distance threshold of longitude and latitude on the grid from the target position and the maximum number of grid points to match. Similarly, all data extraction processes are implemented in parallel to speed up.

Listing 4 demonstrates how to use the `match_coord` interface from the *Data Extract Module* to extract all coordinates and data of grid points that meet the specified requirements, i.e., the maximum geographical distance between the matched grid points and selected location should be less than 0.5 degrees, and only return the closest point. It also showcases the ability to directly use an EPW file as input for coordinate matching, as *epwshiftr* can parse the geographical information stored in EPW files.

The extracted raw data will be distinguished by six attributes: MIP, GCM, climate scenario, output frequency, geographic location (longitude and latitude), and climate variables. This makes the post-

processing and analysis of raw climate change data can be easily realized.

*Listing 4: Match the global grid points directly using an EPW file and extract raw GCM data*

```

1 # use weather file distributed from
2 # EnergyPlus v8.8 as an example
3 epw <- eplusr::path_eplus_weather(
4   ver = 8.8,
5   file = "USA_CA_San.Francisco.Intl.AP.724940.TMY3.epw"
6 )
7
8 # extract matched grid coordinates
9 coord <- match_coord(
10  epw,
11
12  # match distance less than 0.5 degrees
13  threshold = list(lon = 0.5, lat = 0.5),
14
15  # match at most 3 grid points
16  max_num = 3
17 )
18
19 # extract all data from matched grid points
20 data <- extract_data(coord,
21  # specify the years to extract
22  years = c(2050, 2080)
23 )

```

The size of the raw data extracted can be relatively big. For example, based on the authors' measurements, it takes about 20G memory to read the raw data of 12 climate variables from 2020 to 2100 from 4 climate scenarios and 11 GCMs, which is often close to, if not greater than, a typical PC's memory. For this reason, *epwshiftr* supports storing the original data in the *fst* format (Mark, 2022) with a super large compression ratio, greatly reducing the content burden required for calculation. Still taking the above situation as an example, if the data is divided by GCM, the size of a single *fst* file is only about 50MB, which can be easily read and processed by current mainstream home computers.

### Generation of future weather data using morphing statistical downscaling

The *Morphing Module* is closely related to the EPW Generation Module and will be described together in this section. After obtaining the raw GCM climate prediction data, the last step is to generate EPW weather files that can be directly used for energy simulation programs like EnergyPlus through the Morphing statistical downscaling method. Compared with the time-consuming raw data preparation process described above, generating climate files using morphing statistical downscaling is relatively simple. The morphing method involves calculating the future hourly weather parameter  $x$  by applying a stretching factor and/or a shifting factor to the original weather value  $x_0$  (see Equation 1-3). It captures the average climate change while preserving the phys-



ically realistic source weather data sequences.

$$\text{Shift: } x = x_0 + \Delta x_m \quad (1)$$

$$\text{Stretch: } x = \alpha_m x_m \quad (2)$$

$$\text{Shift+Stretch: } x = x_0 + \Delta x_m + \alpha_m(x_0 - \langle x_0 \rangle_m) \quad (3)$$

where  $x_0$  is the weather data for the current hour;  $\Delta x_m$  is the mean monthly change in the weather data  $x$  obtained from the GCMs;  $\alpha_m$  is the stretching factor; and  $\langle x_0 \rangle$  is the monthly mean of the current weather data.

Epwshiftr can generate future data for the following 11 weather variables:

- Dry-bulb temperature
- Dew-point temperature
- Relative humidity
- Atmospheric pressure
- Horizontal infrared radiation
- Total horizontal radiation
- Direct normal radiation
- Diffuse horizontal radiation
- Outdoor wind speed
- Total sky cover
- Opaque sky cover

To avoid unrealistic results, the *Morphing Module* has taken extra data validation and calculation steps, including but not limited to:

- Warnings are generated if there are any missing values in the input EPW and GCM data.
- Unit conversions between data of EPW and GCM are automatically performed using the units (Pebesma et al., 2016) R package, e.g. all temperature data have been converted to Celsius before calculation.
- Calculation of dew point temperature is performed based on dry-bulb temperature and relative humidity using the psychrolib (Meyer and Thevenard, 2019) R package.
- Input values of relative humidity that exceed 100% will be reset to 100%.
- A threshold value is set for the stretch factor ( $\alpha$ ), i.e. monthly-mean fractional change, when performing morphing operations. The default value is set to 3. If the absolute  $\alpha$  exceeds this threshold value, warnings are issued to suggest users further investigate the input data before continuing. Moreover, the morphing method will use the shift factor ( $\Delta x$ ) to avoid unrealistic morphed values.

Besides the efforts above, the *Morphing Module* always returns the calculated  $\Delta x$  and  $\alpha$  values in dedicated columns, which provides opportunities for detailed examination and custom statistical analyses.

At the same time, when generating EPW files, average processing can also be performed based on GCM,

output frequency, longitude, latitude, etc.

Listing 5 demonstrates how to use the `morphing_epw` interface from the *Morphing Module* and the `future_epw` interface from the *EPW Generation Module* to perform morphing on the baseline EPW data and raw GCM data and create future EPW files based on the GCM source, climate scenarios and time interval. All EPW files will be saved into a separate folder.

Listing 5: Perform morphing and generate future EPWs

```

1 # perform morphing
2 morphed <- morphing_epw(data,
3   # years of interest
4   years = c(2050, 2080)
5 )
6
7 # create future EPWs
8 epws <- future_epw(
9   morphed,
10
11   # how to group the output files
12   by = c("source", "experiment", "interval"),
13
14   # where to save all generated EPWs
15   dir = tempdir(),
16
17   # create folders for each group
18   separate = TRUE,
19
20   # overwrite existing files
21   overwrite = TRUE
22 )

```

Currently, epwshiftr only supports the morphing method. But the `morphing_epw` interface provides parameters to modify which factors should be used for each meteorological variable, with meaningful defaults value given. For example, radiation-related variables are, by default, morphed using the stretch factor, avoiding unrealistic positive values at nighttime. The modular design pattern of epwshiftr makes it decouple the data structure and the actual extrapolation algorithm used. We are happy to explore the feasibility of supporting alternative extrapolation algorithms in the future.

## Conclusion

This paper presents a free, open-source R package called epwshiftr for adapting EnergyPlus Weather (EPW) files to incorporate climate change predictions using the morphing method. It can utilize the latest CMIP6 ScenarioMIP experiment data and automatically process significant amounts of climate change model data worldwide. We hope this tool will significantly save the time and cost of obtaining future weather data. It thus can support the analysis of future building heating and air-conditioning demand, energy consumption, and carbon emissions.

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