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# Search for Dark Matter in the Monojet and Trackless Jets Final States with the CMS Detector at the LHC

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# 1

## Introduction

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## Dark Matter Scenarios Beyond the Standard Model

4 In modern particle physics, the fundamental structure of matter at subatomic scales is described by the  
5 Standard Model [1, 2], which has already explained many experimental results and is today considered  
6 among the most thoroughly tested scientific theories. The Higgs boson, the last missing piece which  
7 was predicted more than 50 years ago, was recently discovered at the Large Hadron Collider (LHC) in  
8 2012 [3, 4], thus completing this elegant theory. Although it has survived many precision tests so far,  
9 the Standard Model only describes 5% of the matter and energy in the known universe and is unable to  
10 explain many unresolved questions and observations, such as the baryon asymmetry, dark matter and dark  
11 energy, the neutrino masses, the incorporation of gravity, and the hierarchy problem.

12 In this chapter, a brief description of the Standard Model is first given in Section 2.1, including its  
13 shortcomings. In Section 2.2, one of the missing pieces in the Standard Model, dark matter, is discussed.  
14 The observational evidence for dark matter, as well as possible models and detection mechanisms are  
15 detailed. Finally, in Section 2.3 one of the dark matter models considered in this thesis is described in  
16 detail.

### 2.1 The Standard Model of Particle Physics

18 The Standard Model of elementary particle physics has been developed during the second half of the 20th  
19 century, and reached its current formulation in the 1960's with the addition of the Higgs mechanism [5–7],  
20 which describes how particles obtain their mass. It is a quantum field theory which describes the funda-  
21 mental particles and their interactions, incorporating three of the four fundamental forces. While this  
22 consistent framework describes the electromagnetic force and the weak and strong nuclear interactions,  
23 the fourth interaction, gravity, has not yet been included successfully.

#### 2.1.1 Elementary particles and their interactions

25 All ordinary matter we see around us is build up from atoms, which consist of negatively charged electrons  
26 circulating around the positively charged atomic nucleus, formed by protons and neutrons, which in turn  
27 consist of up and down quarks. The electrons and up and down quarks are fundamental particles called  
28 fermions. Although all ordinary matter can be build from this so-called first generation of fermions,  
29 there are twelve fermions with different flavours in total, six quarks and six leptons, grouped in three  
30 generations with increasing mass, as shown in Figure 2.1. The electrically neutral neutrinos interact only

- 1 via the weak nuclear interaction, which complicates their observation, but they can be detected in very  
 2 large dedicated experiments.

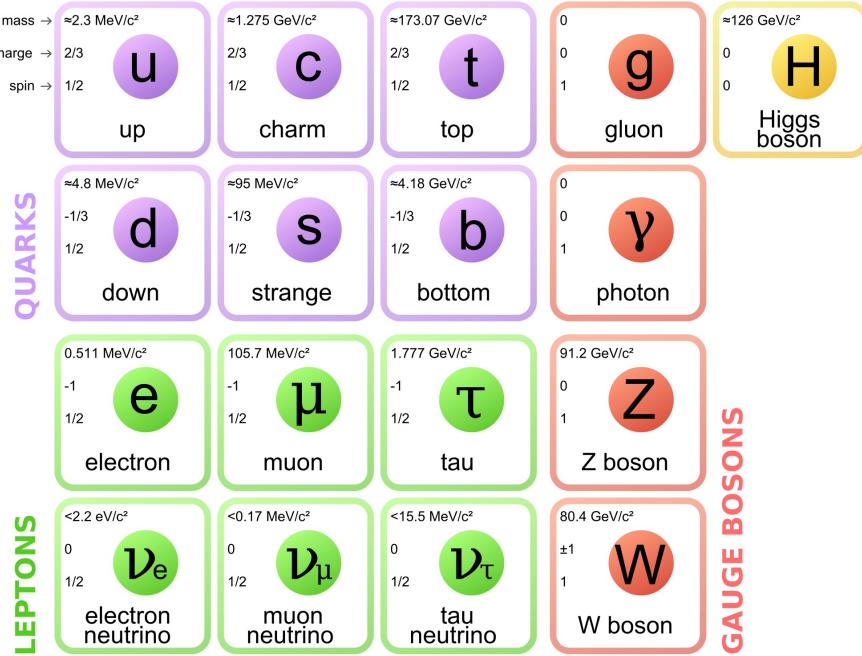


Figure 2.1: The particle content of the Standard Model, showing the fermions divided into 3 generations (columns) on the left and the bosons on the right. The electrical charges are expressed as multiples of the absolute value of the electron charge. Figure taken from [8].

3 A common characteristic of the fermions is their half-integer spin, in contrast to the integer spin of  
 4 the force mediators, called bosons. Within the Standard Model, the mediation of the different fundamen-  
 5 tal interactions is represented by the exchange of these spin-1 gauge bosons, which are summarized in  
 6 Figure 2.1. The massless photon mediates the most familiar force, the electromagnetic interaction, which  
 7 is responsible for light, electromagnetic fields, and chemical reactions. The weak nuclear interaction is  
 8 among other things used to describe the radioactive decay, and is propagated by the neutral  $Z$  boson and  
 9 and two charged massive  $W$  bosons. Lastly, the strong nuclear interaction is carried by massless gluons,  
 10 keeping the protons and neutrons in the atomic nuclei and holding the quark constituents together. A  
 11 resulting property of the quarks is that they hadronise, i.e. they cannot exist isolated, but form bound  
 12 states via the strong interaction, called hadrons. Hadrons made up from three quarks are referred to as  
 13 baryons, while bound states consisting of a quark and an antiquark are called mesons.

14 Finally, it is also important to note that for every fermion ( $f$ ) there exists an antifermion ( $\bar{f}$ ), which  
 15 differs only in electric charge and handedness of spin. When matter and antimatter come into contact  
 16 they annihilate, generating energy which can be transformed into other particles.

## 2.1.2 The theoretical framework of the Standard Model

18 The Standard Model goes further than merely giving an exhaustive list of elementary particles, it has a  
 19 supporting theoretical framework formulated as a relativistic quantum field theory. In a quantum field  
 20 theory, every particle is represented by discrete excitations of a field  $\psi(x)$ , where  $x$  is the space-time  
 21 coordinate. The interactions and kinematics of this particle are fully determined by the action  $S$ , which  
 22 is defined as the integral of the Lagrangian  $\mathcal{L}(\psi(x), \partial^\mu \psi(x))$  over the space-time coordinates:

$$S = \int \mathcal{L}(\psi(x), \partial^\mu \psi(x)) d^4x. \quad (2.1)$$

23 The Lagrangian is a function of the field  $\psi(x)$  and its first derivative  $\partial^\mu \psi(x)$ , where  $\mu$  represents the  
 24 index of the space-time coordinate. The physical behaviour of the particles is obtained by following the

1 principle of least action  $\delta S = 0$ , minimizing the action.

2 In this framework based on the gauge invariance of the Lagrangian under the fundamental forces,  
 3 the interactions between the fermions and bosons follow automatically. This can be illustrated with the  
 4 following example for invariance under a general local gauge transformation.

5 As mentioned before, a fermion has a half integer spin and can thus be represented as a complex  
 6 relativistic spin-1/2 field, called a Dirac spinor:

$$\mathcal{L}_{Dirac} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi, \quad (2.2)$$

7 where  $\gamma^\mu$  are the Dirac matrices, and the adjoint field  $\bar{\psi} = \psi^\dagger\gamma^0$  is the field associated to the antifermion.  
 8 The imposed local gauge invariance then requires the fermion fields, and the overall Lagrangian, to be  
 9 invariant under so-called local phase transitions

$$\psi \rightarrow \psi' = U(x)\psi = e^{i\vec{\alpha}(x) \cdot \frac{\vec{\tau}}{2}}\psi \quad (2.3)$$

10 where  $\vec{\alpha}(x)$  are the space-time dependent rotation parameters in the symmetry group represented by the  
 11 Lie group generators  $\vec{\tau}$ . Since the derivative  $\partial_\mu$  in (2.2) spoils the invariance of the Lagrangian under a  
 12 local phase transformation, it is replaced with a covariant derivative

$$D_\mu = \partial_\mu - ig\frac{\vec{\tau}}{2}\vec{A}_\mu, \quad (2.4)$$

13 restoring the invariance. This however introduces new vector gauge fields  $A_\mu$ , which interact with the  
 14 fermion fields with a coupling strength  $g$ . As a result, the Dirac Lagrangian contains an additional term,  
 15 which describes the interaction between the fermion fields mediated by the gauge fields  $A_\mu$ , and (2.2)  
 16 becomes

$$\mathcal{L}_{Dirac} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi + g\bar{\psi}\gamma^\mu\psi\vec{A}_\mu \cdot \frac{\vec{\tau}}{2} \quad (2.5)$$

17 The matrix  $U(x)$  which was introduced above, was defined as a general rotation matrix of the sym-  
 18 metry group  $SU(N)$ . In order to obtain the three fundamental interactions of the Standard Model, the  
 19 described procedure can be simplified using the corresponding symmetry groups as mentioned below.

## 20 Electroweak theory

21 The electroweak interaction describes the electromagnetic and weak interactions, which appear  
 22 very different at low energies but can be merged into a single electroweak force above the elec-  
 23 troweak energy scale. This theory is described by requiring gauge invariance under the  $SU(2)_L \otimes$   
 24  $U(1)_Y$  symmetry group. This leads to 3 gauge fields  $W_\mu^\alpha$  introduced by the  $SU(2)_L$  group, and  
 25 one gauge field  $B_\mu$  from the  $U(1)_Y$  group. Two coupling constants are introduced,  $g_1$  and  $g_2$ , for  
 26  $U(1)_Y$  and  $SU(2)_L$  respectively. The corresponding observable gauge bosons, namely the photon,  
 27 the  $Z^0$ , and the  $W^\pm$  bosons, are superpositions of these four gauge fields.

## 28 Quantum Chromodynamics (QCD)

29 The strong interaction is described by the theory of Quantum Chromodynamics and is represented  
 30 by the symmetry group  $SU(3)$ . It describes the interaction between particles that carry a colour  
 31 charge, which can be red, green, blue, or one of the three corresponding anticolours. There are eight  
 32 gauge boson fields associated to this group, which are massless and known as gluons. An important  
 33 aspect which is unique for this interaction is asymptotic freedom, which states that the strong  
 34 coupling constant, denoted by  $\alpha_s$ , becomes stronger as the distance between the strongly interacting  
 35 quarks and gluons increases. As a result, the quarks and gluons cannot exist independently and are  
 36 not observed individually, but are instead confined in colour-neutral hadrons. This effect is called  
 37 hadronisation.

At this point the resulting Lagrangian including the three fundamental forces does not contain any mass terms, and so it cannot explain the observed particle masses. Additional mass terms cannot simply be added explicitly because they would break gauge invariance. Instead, a solution to this problem is found by introducing a complex scalar doublet  $\phi$  with a non-zero vacuum expectation value (vev)  $v$ . This

breaks the electroweak symmetry and is known as the Higgs mechanism, postulated in 1964 [5–7]. The Lagrangian of the Higgs field is

$$\begin{aligned}\mathcal{L}_H &= (D^\mu \phi)^\dagger (D_\mu \phi) - V(\phi) \\ &= (D^\mu \phi)^\dagger (D_\mu \phi) - \frac{1}{2} \mu^2 \phi^\dagger \phi + \frac{1}{4} \lambda^2 (\phi^\dagger \phi)^2,\end{aligned}\quad (2.6)$$

where  $\mu$  is a real constant representing a mass parameter and  $\lambda$  is a dimensionless parameter standing for the self-interaction strength. The potential  $V$  of the scalar doublet has an infinite set of minima or ground states, and by choosing a ground state and expanding the field around it, the electroweak symmetry is broken. As a result, three of the four original fields of the scalar doublet are absorbed by the massless vector fields of the weak interaction, giving mass to the  $W$  and  $Z$  bosons:

$$M_W = \frac{1}{2} v g_2 \quad M_Z = \frac{1}{2} v \sqrt{g_1^2 + g_2^2}. \quad (2.7)$$

From the remaining field, the  $H$  boson arises, acquiring a mass  $m_H = \sqrt{2\lambda v}$ .

The introduction of mass terms for the fermions also follows from the Higgs mechanism, which allows to insert the following gauge-invariant term in the Lagrangian:

$$\mathcal{L}_{Yukawa} = -Y_{ij} \bar{\psi}_{L,i} \phi \psi_{R,j} + h.c. \quad (2.8)$$

with the  $Y_{ij}$  Yukawa matrices. The fermion masses then arise from the Yukawa interactions describing the couplings of the fermions with the Higgs field.

### 2.1.3 Unanswered questions of the Standard Model

Although the Standard Model is an extremely successful theory, there are still many questions that remain unanswered, indicating that the Standard Model cannot be a complete theory of nature. A brief description of some of the main unsolved problems follows here.

#### Grand Unified Theory

As the weak and electromagnetic interactions were successfully unified into the electroweak one, the idea of representing the three forces of the Standard Model by a single one is envisaged and studied. While this Grand Unified Theory (GUT) could be a first step towards the incorporation of gravity in the Standard Model, it cannot be achieved with the current Standard Model and requires new physics at a very high energy scale.

#### Baryon asymmetry

This problem refers to the imbalance of matter and antimatter in the universe. While the Big Bang should have produced an equal amount of baryonic and antibaryonic matter, this is not measured in our observable universe. It is assumed that most of the primordial matter and antimatter annihilated, but an imbalance allowed a fraction of the matter to survive. Within the Standard Model, some asymmetry in the production of matter and antimatter could be explained by the CP-violation<sup>1</sup> of the weak interaction. However, the amount of CP-violation needed to explain the baryon asymmetry is ten times higher than is observed from Standard Model measurements.

#### Hierarchy problem

The most important hierarchy problem concerns the question why the weak force is so much stronger than gravity. The measured vector boson masses suggest that the electroweak symmetry breaking should occur at an energy scale of  $\mu^2 \sim (100 \text{ GeV})^2$ , while the energy regime where gravity becomes comparable to the other forces, called the Planck scale, is of the order of  $\Lambda_{Planck} \sim 10^{19} \text{ GeV}$ . This would require a significant fine-tuning of more than 30 orders of magnitude, which is not desirable for any theory.

<sup>1</sup>According to Charge Parity (CP) symmetry, the laws of physics should remain identical when converting a particle into its antiparticle and mirroring the space coordinates. However, measurements of e.g. kaon-antikaon mixing show that this symmetry is violated.

1      **Neutrino masses**

2      The Standard Model predicts that the neutrinos are massless weakly interacting particles, but obser-  
 3      vations by the Sudbury Neutrino Observatory [9] and Super-Kamiokande [10] collaborations show  
 4      clear evidence that the neutrinos oscillate from one flavour into another. This can only be explained  
 5      if the neutrinos differ in mass, implying that they are not massless and the Standard Model needs  
 6      to be extended.

7      **Dark matter and energy**

8      This mystery arises from cosmological observations, which indicate that the known matter de-  
 9      scribed by the Standard Model makes up only 5% of the matter and energy in the universe. The  
 10     remaining matter, called dark matter, contributes another 27%, and will be discussed in more detail  
 11     in Section 2.2. In the Standard Model, neutrinos could contribute to the dark matter, but their relic  
 12     density would not be enough to account for all the dark matter. The last 68% has been labelled  
 13     dark energy and is believed to be responsible for the acceleration of the observed expansion of  
 14     the universe, but remains even more enigmatic as no explanation can be provided by the Standard  
 15     Model.

16     **2.2 Dark matter**

17     One of the current open questions in particle physics that is not answered by the Standard Model is the ex-  
 18     istence of dark matter. Many astrophysical observations from gravitational effects (see for instance [11])  
 19     show there must be some additional matter in the universe, the so-called dark matter, next to the known  
 20     matter. Despite this, its precise nature remains as of yet unknown. Countless theoretical models are being  
 21     constructed in order to explain its origin, and on the experimental side dark matter is being looked for in  
 22     many different ways, but no observation has been made so far.

23     **2.2.1 Observational evidence**

24     The first hints of dark matter were observed by F. Zwicky [12] in 1933 by studying the velocity dispersion  
 25     of galaxies in the Coma cluster. The effect is not only observed for entire galaxies, but also for various  
 26     luminous objects, such as stars or gas clouds, inside a galaxy. The rotation curves of galaxies have been  
 27     well studied, and show clear evidence for the existence of dark matter. An example of a rotation curve is  
 28     shown in Figure 2.2, exhibiting a flat behaviour at large distances, going even far beyond the edge of the  
 29     visible disk. However, in Newtonian dynamics the circular velocity is expected to be

$$v(r) = \sqrt{\frac{GM(r)}{r}}, \quad (2.9)$$

30     where  $M(r) = 4\pi \int \rho(r)r^2 dr$  with  $\rho(r)$  the mass density profile. The circular velocity is thus expected  
 31     to fall like  $1/\sqrt{r}$  beyond the disk. Since the measurements show an approximately constant velocity but  
 32     a dropping visible mass density, this implies the existence of a halo with  $M(r) \propto r$  and  $\rho(r) \propto 1/r^2$ .  
 33     A universal density profile seems to be suggested by the rotation curves of both low and high surface  
 34     luminosity galaxies, consisting of an exponential thin stellar disk and a spherical dark matter halo with a  
 35     flat core of radius  $r_0$  and density  $\rho_0 = 4.5 \times 10^{-2} (r_0/\text{kpc})^{-2/3} M_\odot \text{pc}^{-3}$  [13]<sup>2</sup>.

36     Another evidence for dark matter comes from the effect of gravitational lensing, allowing to determine  
 37     the mass of an object regardless of the light it emits. When a distant star or quasar is aligned with a  
 38     massive compact object, the bending of its light due to the gravitational field of the massive object can  
 39     lead to multiple distorted, magnified, and brightened images, as illustrated in Figure 2.3. The distortion  
 40     of the image can then be used to determine the potential well and thus the mass of the heavy object.  
 41     Yet another way to determine the mass of a cluster of galaxies, next to gravitational lensing and the  
 42     distribution of radial velocities, is by studying the profile of X-ray emission, tracing the distribution of  
 43     the hot emitting gas in clusters. In general, these three methods are in reasonable agreement.

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<sup>2</sup> $M_\odot$  denotes a solar mass,  $2 \times 10^{30}$  kg.

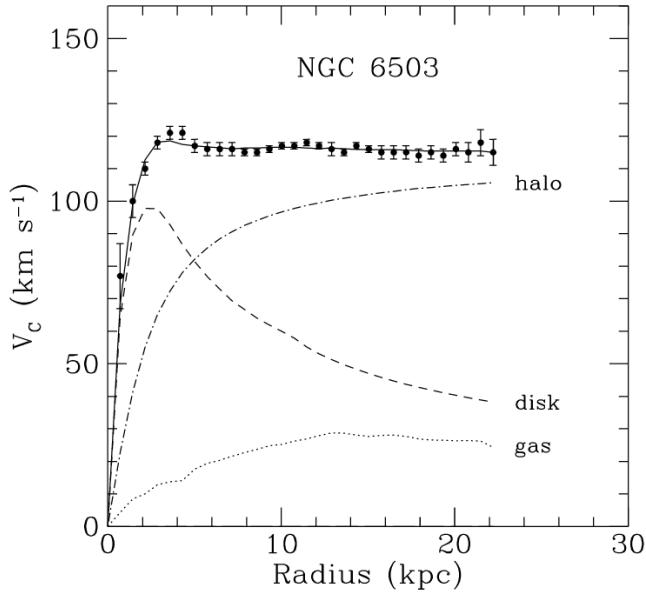


Figure 2.2: Rotation curve of NGC 6503. The dotted, dashed, and dash-dotted lines show the contributions of gas, disk, and dark matter, respectively. Figure taken from [14].

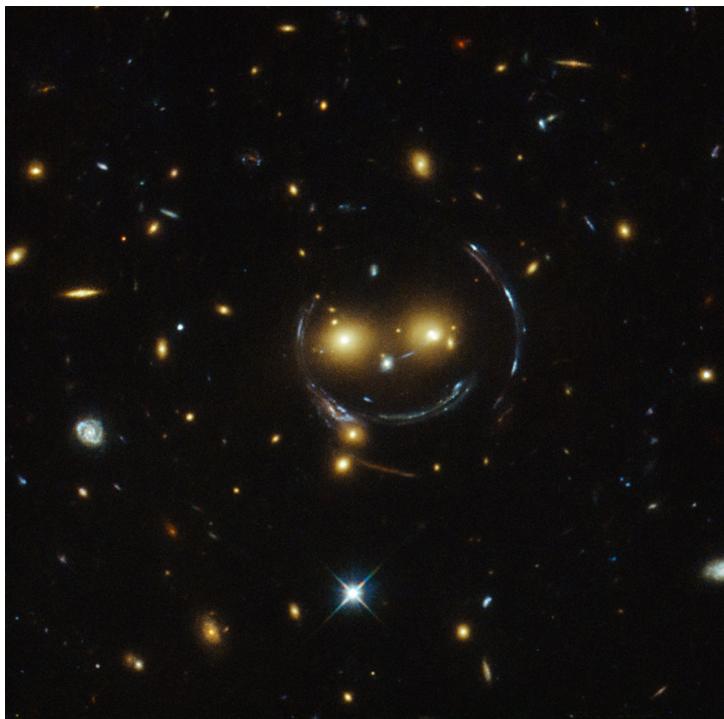


Figure 2.3: An example of gravitational lensing showing the “Cheshire Cat” image of galaxy cluster SDSS J1038+4849, taken by the Hubble Space Telescope. [15]

1 Additionally, at a cosmological level, the analysis of the Cosmic Microwave Background (CMB)  
 2 allows to determine the total amount of dark matter in the universe. The existence of this isotropic  
 3 background radiation was already predicted in 1948, and unintentionally discovered by A. Penzias and  
 4 R. Wilson in 1965 [16]. This relic radiation comes from the propagation of photons in the early universe,  
 5 once they decoupled from matter. Before this, the photons were energetic enough to ionise hydrogen,  
 6 stripping it of its electron and resulting in a plasma. Once the photons cooled down, the hydrogen atoms

recombine and the universe became transparent. The photons can then travel freely without scattering off the protons and electrons of the plasma, still carrying information from this surface of last scattering. The CMB is now known to be isotropic at the level of  $10^{-5}$  and to follow the spectrum of a black body corresponding to a temperature of 2.726 K. However, small anisotropies in the CMB have been observed by the **Cobe** satellite [17] and more recently by WMAP [18] and Planck [19], as can be seen in Figure 2.4. These anisotropies correspond to small thermal variations, and are usually expanded as

$$\frac{\delta T}{T}(\theta, \phi) = \sum_{l=2}^{+\infty} \sum_{m=-l}^{+l} a_{lm} Y_{lm}(\theta, \phi), \quad (2.10)$$

where  $Y_{lm}(\theta, \phi)$  are spherical harmonics. The variance of  $a_{lm}$  is given by

$$C_l = \langle |a_{lm}|^2 \rangle = \frac{1}{2l+1} \sum_{m=-l}^{+l} |a_{lm}|. \quad (2.11)$$

As the temperature fluctuations appear to be Gaussian, all the information contained in the CMB anisotropy maps can be condensed into the power spectrum given by the behaviour of  $C_l$  as a function of  $l$ . This is generally represented using  $l(l+1)C_l/2\pi$ , as illustrated in Figure 2.5.

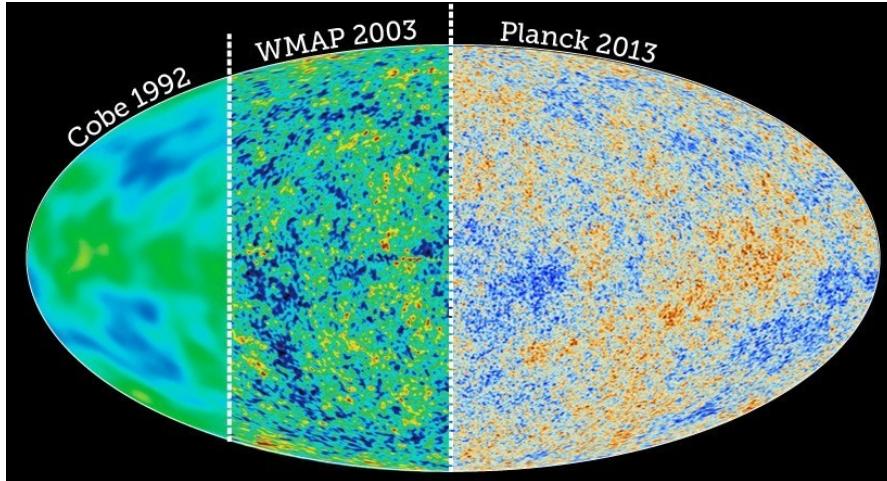


Figure 2.4: The CMB temperature fluctuations obtained from the Cobe, WMAP, and Planck data.

The CMB anisotropies are caused by acoustic oscillations arising from the conflict between the gravitational pull from baryons and dark matter and the repulsive force due to the radiation pressure from the photons. The angular scale of the first peak can then be used to determine the curvature of the universe. The second peak determines the reduced baryon density and the third peak can be used to retrieve information about the dark matter density. From the analysis of the Planck data the abundance of baryons and matter in the universe is determined to be

$$\Omega_b h^2 = 0.02205 \pm 0.00028 \quad \Omega_M h^2 = 0.1423 \pm 0.0029 \quad (2.12)$$

This result shows that only about 15% of the matter in the universe is made up from the ordinary known matter, and the remaining 85% is called dark matter.

More evidence for dark matter was found from a great variety of data, both on subgalactic and intergalactic scales. Without discussing them here in detail, a few examples are the velocity dispersions of spiral galaxy satellites, suggesting the existence of dark halos around spiral galaxies extending well beyond the visible disk [20], the velocity dispersion of dwarf spheroidal galaxies, implying larger mass-to-light ratios than those observed in our local neighbourhood [21], and the so-called Oort discrepancy in the disk of the Milky Way, inferring the existence of dark matter from the inconsistency between the amount of stars in the solar neighbourhood and the gravitational potential indicated by their distribution [22].

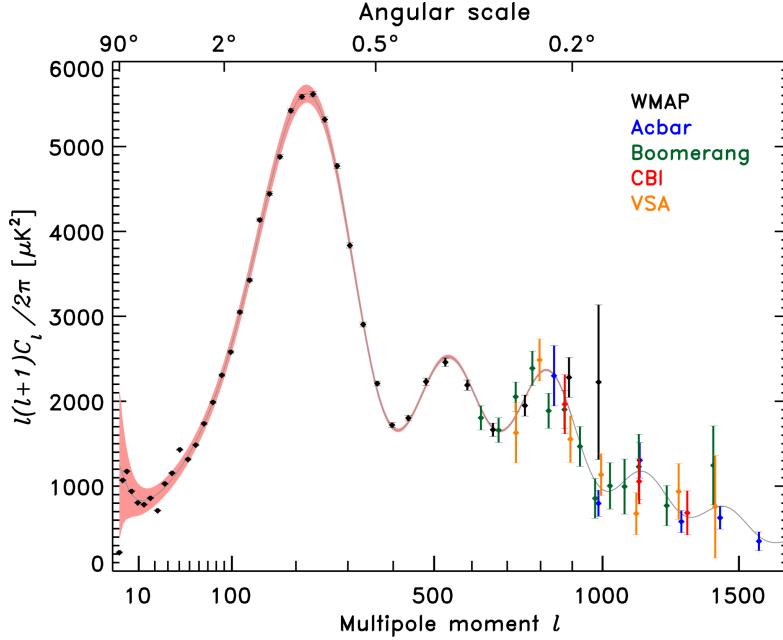


Figure 2.5: The observed power spectrum of the CMB anisotropies.

### 2.2.2 Dark matter models

Since very little is known so far concerning the nature of dark matter, a multitude of dark matter candidates are discussed in the literature. Without attempting to be complete, a list is given and a few of the more popular candidates are briefly covered here.

#### Standard Model neutrinos

As mentioned before, the Standard Model could explain the existence of dark matter with the already observed neutrinos. However, it can be shown [23] that their total relic density is predicted to be

$$\Omega_\nu h^2 = \sum_{i=1}^3 \frac{m_i}{93 \text{ eV}}, \quad (2.13)$$

taking the sum over the 3 neutrino flavours. Currently, the most stringent upper bound on neutrino masses is

$$m_\nu < 2.05 \text{ eV} \quad \text{at 95% CL} \quad (2.14)$$

obtained in tritium  $\beta$ -decay experiments at Troitsk [24, 25] and Mainz [26]. Since the mass difference between the 3 neutrinos must be very small to explain solar and atmospheric neutrino anomalies [27], this mass limit applies to the three mass eigenvalues, implying an upper bound on the total neutrino relic density of

$$\Omega_\nu h^2 \lesssim 0.07. \quad (2.15)$$

This shows that Standard Model neutrinos are not abundant enough to be the dominant component of dark matter.

#### Sterile neutrinos

Proposed in 1993 by Dodelson and Widrow [28], these hypothetical particles are similar to the Standard Model neutrinos, but without Standard Model weak interactions, except for mixing. The analysis of their cosmological abundance and the study of their decay products places stringent constraints on the sterile neutrinos. Light neutrinos with masses below a few keV would for example be ruled out [29].

#### Axions

These particles were originally introduced to solve the problem of CP-violation, and have often

been discussed as dark matter candidates. They are expected to interact extremely weakly with Standard Model particles. Furthermore, observations from laboratory searches, stellar cooling, and the dynamics of supernova 1987A constrain the axion mass to be very small, of the order of or below 0.01 eV.

##### SUSY candidates

Several particles in supersymmetry (SUSY) models can serve as dark matter candidate, such as gravitinos and neutralinos. Gravitinos are the superpartners of the graviton. In some SUSY models, they can be the lightest supersymmetry particle and be stable. While they are very strongly motivated theoretically, they are very difficult to observe, as they only interact gravitationally. The neutralinos are the superpartners of the photon,  $Z$  boson, and neutral Higgs bosons. The lightest of the four is stable and is an excellent dark matter candidate. These dark matter candidates are often called weakly interacting massive particles (WIMPs), since they are massive and interact rather weakly.

##### WIMPs

In general, WIMPs are hypothetical new elementary particles that interact gravitationally and through any other force which is as weak or weaker than the Standard Model weak interaction. They should have been thermally produced in the early universe, and usually constitute cold dark matter. Since SUSY models predict a new particle with the correct properties and self-annihilation cross section to obtain the correct abundance of dark matter today, this coincidence is called the “WIMP miracle”. A stable supersymmetric partner has therefore long been a very plausible dark matter candidate and a lot of experimental effort has been made to detect WIMPs. **should I write more about WIMPs?**

Many more dark matter candidates are discussed in literature, such as but not limited to heavy fourth generation neutrinos [30], Kaluza-Klein states in ADD [31] or RS [32] extra dimensions models, superheavy dark matter or Wimpzillas [33], self-interacting dark matter [34], charged massive particles (CHAMPs) [35], and Q-balls [36]. More detailed reviews are given in [23, 37, 38].

### 2.2.3 Detection of dark matter

The detection of dark matter can be categorised in three groups, based on the diagram shown in Figure 2.6. In the case of direct detection experiments, the studied process is the scattering of dark matter off ordinary matter. Experiments searching for dark matter with the indirect approach look for particles or radiation produced in the annihilation of dark matter particles. Finally, at collider experiments, attempts are made to produce and detect dark matter particles by colliding Standard Model particles at high energies.

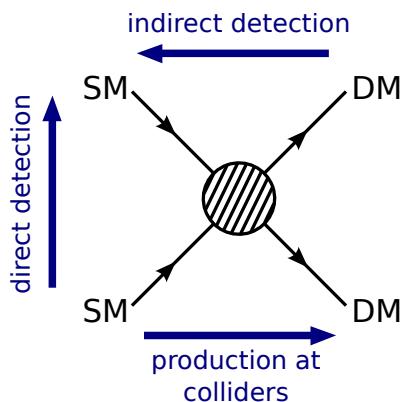


Figure 2.6: Diagram illustrating the three used methods to detect dark matter.

**2.2.3.1 Direct detection experiments**

This category of experiments is based on the fact that if our galaxy is filled with WIMPs, then many of them should pass through the Earth, and they could be detected by looking for the interaction of such particles with matter. This is for example done by recording the recoil energy of nuclei when WIMPs scatter off them. In order to determine the expected rate of events per unit detector material mass, the WIMP-nucleon scattering cross section and the density and velocity distribution of the WIMPs in the solar neighbourhood are needed.

There are several types of scattering processes which can be classified by two relevant characteristics: elastic or inelastic scattering and spin-dependent or spin-independent scattering. In the case of elastic scattering, the WIMP simply scatters off a nucleus as a whole, causing it to recoil. The recoil energy spectrum can then be measured by detecting the emitted scintillation light with very sensitive detectors. Taking a Maxwell-Boltzmann velocity distribution with a characteristic velocity of 270 km/s, the recoil spectrum is exponential with typical energies of  $\langle E \rangle \sim 50$  keV, easily detectable by current experiments, which can detect recoils as low as 1-10 keV. Instead, when the WIMP scatters inelastically, it interacts with the orbital electrons of the target, exciting the electrons or ionising the target. Differently, the WIMP could also excite the target nuclei, which would then emit a photon about a nanosecond after the observed recoil. This signature has, however, to compete with the background from natural radioactivity.

The spin dependence or independence of the scattering depends on the coupling of the WIMPs to the Standard Model particles. Axial-vector or spin-dependent interactions result from couplings to the spin content of a nucleon, yielding cross sections that are proportional to  $J(J+1)$  instead of the number of nucleons. **For scalar or spin-independent interactions**, the cross section instead increases considerably with the mass of the target nuclei. The spin-independent scattering therefore dominates over the spin-dependent one in experiments which use heavy atoms.

Numerous direct detection experiments are currently operational or in development. They use one or more techniques to measure the nuclear recoil, by detecting the scintillation light, photons, or ionisation. Some experiments also try to separate the WIMP signatures from the background by looking for an annual modulation in the rate, which arises due to the Earth's movement around the Sun. This effect causes the Earth to have a relative velocity with respect to the galaxy's reference frame, given by

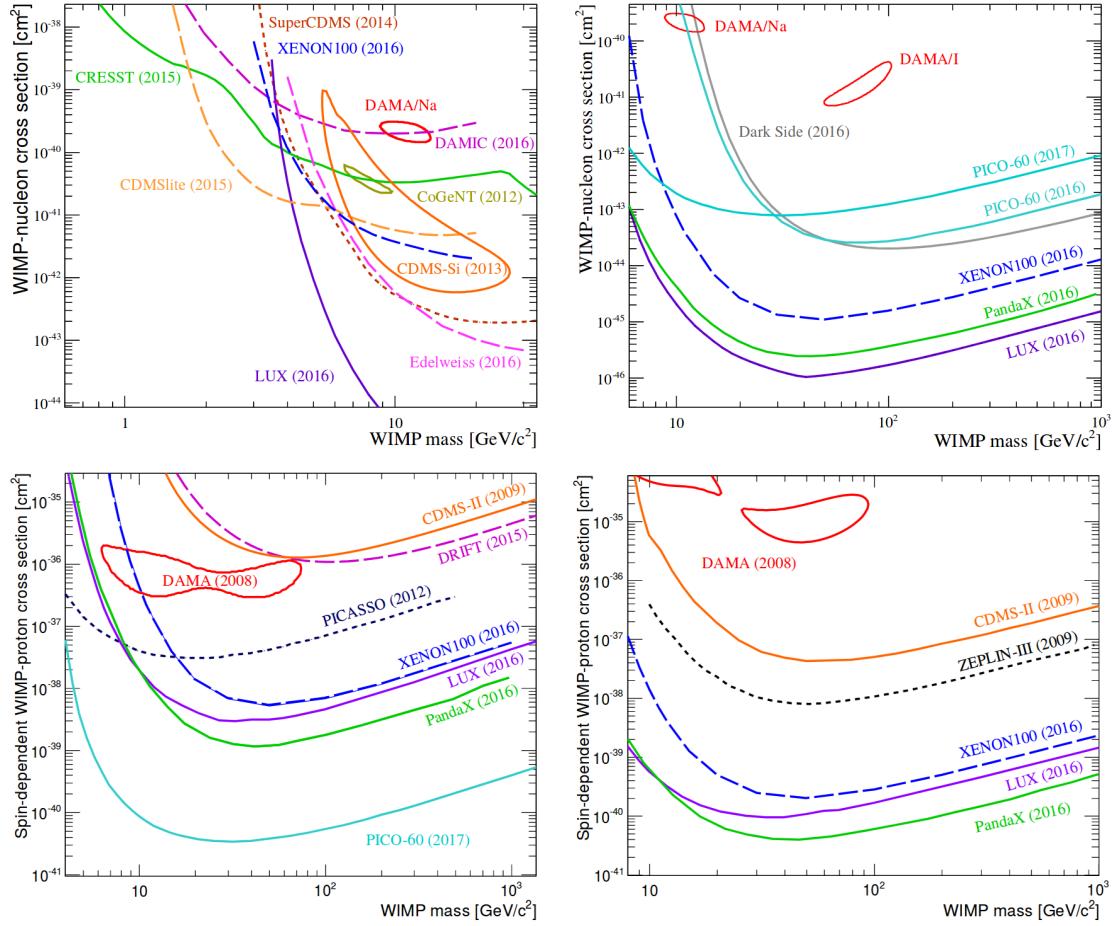
$$v_E = 220 \text{ km/s} (1.05 + 0.07 \cos(2\pi(t - t_m))), \quad (2.16)$$

where the time is in units of years and  $t_m$  is approximately the beginning of June. As a result, a small variation of about 7% in the WIMP flux can be measured in the direct detection rate.

Currently, there is some tension between the results obtained by the different experiments, as some observations can be interpreted as dark matter signals, while other experiments are ruling out those models. The DAMA experiment for example observes an annual modulation in the event rate, pointing to the existence of WIMPs scattering elastically off the sodium and iodine nuclei in the detector [39]. Similarly, the CoGeNT experiment observes an annual rate modulation as well [40]. The phase of the signal corresponds to the phenomenological expectation for a WIMP at the level of  $2.2\sigma$ , but the amplitude of the signal is a factor 4 to 7 larger than expected. Other experiments, such as SuperCDMS [41], EDELWEISS-III [42], CRESST-II [43], XENON10 [44], , have seen no evidence for dark matter so far and placed limits on many dark matter models, creating a tension with the observed signals at DAMA and CoGeNT. For WIMP masses above a few GeV, the lowest limit of direct detection experiments for spin-independent interactions is currently given by LUX [45]. For a spin-dependent WIMP-proton cross section, the most stringent limit is set by the PICO experiment [46], while the PandaX experiment places the lowest limit on the WIMP-neutron cross section [47]. An overview of the existing limits and signal observations is given in Figure 2.7, showing the mentioned experiments, and a more complete review of the existing direct detection results is given in [48].

**2.2.3.2 Indirect detection experiments**

The indirect detection of dark matter is performed by looking for radiation produced in dark matter annihilations. A reasonable place to look at would then be in regions with large dark matter densities and



*Figure 2.7: Overview of the current limits for spin-independent WIMP-nucleon interactions at low (top left) and high (top right) WIMP masses, spin-dependent WIMP-proton interactions (bottom left), and spin-dependent WIMP-neutron interactions (bottom right). The observed signals from DAMA, CoGeNT, and CDMS-Si are shown as well. Figure taken from [48].*

thus larger annihilation rates, which will result in a higher flux of the studied radiation. Some examples are dense regions of the galactic halo such as the galactic centre, or objects like the Sun or the Earth, which could also capture dark matter particles through scattering with nucleons in their core. In the latter case, only neutrinos would be able to escape those dense objects. Other annihilation products include gamma rays, positrons, and antiprotons.

In order to observe gamma rays directly, the detectors must be placed in space, as photons of the relevant energy range (GeV to TeV) interact with matter via  $e^+e^-$  pair production, leading to an interaction length of about  $38 \text{ g cm}^{-2}$ . The gamma rays will not reach the ground-based telescopes as the Earth's atmosphere is  $1030 \text{ g cm}^{-2}$  thick. Nevertheless, efforts are being made to observe gamma rays indirectly via ground-based experiments as well, by detecting the secondary particles and the Cherenkov light produced by their passage through the Earth's atmosphere. In the energy range between approximately 100 MeV and 100 GeV, pair-conversion telescopes on satellites such as the Fermi Large Area Telescope [49] are being used. Above 100 GeV, the ground-based Imaging Air Cherenkov Telescopes such as HESS [50], MAGIC [51], and VERITAS [52] become more adequate.

Neutrinos can also be produced in the annihilation of dark matter particles, but they are considerably more difficult to detect than gamma rays due to their weak interaction with ordinary matter. They are not easily absorbed, which makes it possible to observe them with underground, low-background experiments. Very energetic neutrinos, in the GeV-TeV range, are most easily observed by detecting the Cherenkov light from muons produced through charge current interactions of the neutrinos inside of or close to the detector volume. Two very large neutrino detectors are ANTARES in the Mediterranean

<sup>1</sup> Sea [53] and IceCube at the South Pole [54].

<sup>2</sup> Additionally, evidence for dark matter annihilations can also be found by studying the spectra of  
<sup>3</sup> cosmic positrons and antiprotons. Contrary to neutrinos and gamma rays, these charged particles do not  
<sup>4</sup> point to their source, as their trajectory is modified by the presence of galactic magnetic fields. Currently,  
<sup>5</sup> the main detectors for positrons and antiprotons are PAMELA [55] and AMS [56], which is operating on  
<sup>6</sup> the International Space Station.

<sup>7</sup> Finally, radio emissions from the galactic halo, and in particular from the galactic centre, can also  
<sup>8</sup> provide evidence for dark matter annihilation. Electrons and protons produced in dark matter annihilations  
<sup>9</sup> will emit synchrotron radiation at radio wavelengths as they move through galactic magnetic fields.  
<sup>10</sup> This type of searches is performed with radio telescopes and belongs to the realm of classical astronomy.

### <sup>11</sup> 2.2.3.3 Collider experiments

<sup>12</sup> Since dark matter particles are usually assumed to be neutral and to interact only weakly with ordinary  
<sup>13</sup> matter, they are expected to pass through the detectors at colliders without leaving a signal, similar to  
<sup>14</sup> neutrinos. These particles can however still be searched for at colliders as well, when they are produced  
<sup>15</sup> in association with other visible particles which are detected as jets or charged leptons. The dark matter  
<sup>16</sup> particles are then observed as missing energy, as they create an imbalance in the net momentum in the  
<sup>17</sup> transverse plane perpendicular to the colliding beams, which should be zero. One of these flagship analyses  
<sup>18</sup> is the monojet analysis, looking for dark matter produced together with one or more jets [57, 58].  
<sup>19</sup> Similarly, many more searches are performed at the CMS and ATLAS experiments at the LHC by looking  
<sup>20</sup> for signatures containing missing energy. A recent summaries are given in [59] and [60].

<sup>21</sup> Additionally, other signatures without missing energy can also be used to search for dark matter. If the  
<sup>22</sup> dark matter particle is produced in a cascade of decays for example, different signatures can be obtained,  
<sup>23</sup> such as displaced vertices [61], disappearing tracks [62], and displaced lepton-jets [63]. Furthermore,  
<sup>24</sup> in dijet searches [64–66], resonances in the mass spectrum are being looked for, as this could point to  
<sup>25</sup> the existence of a new dark matter mediator. If the dark matter particles couple to quarks via a dark  
<sup>26</sup> matter mediator, this mediator can either decay to a pair of dark matter particles or a pair of Standard  
<sup>27</sup> model quarks which can be observed as a pair of jets. Finally, for some particular types of dark matter  
<sup>28</sup> candidates, such as strongly interacting massive particles (SIMP) [67] or heavy stable neutral particles  
<sup>29</sup> (HSCPs) [68, 69], more unusual signatures are expected. This is currently a developing area of dark  
<sup>30</sup> matter research, and more and more searches looking for new signatures are appearing.

<sup>31</sup> In Figures 2.8 and 2.9, recent limits from dark matter searches at the CMS experiment are compared  
<sup>32</sup> to the direct detection results, for spin-dependent and spin-independent interactions respectively.

## <sup>33</sup> 2.3 Strongly Interacting Massive Particles

<sup>34</sup> As no observation of dark matter was made, despite many searches probing the more popular models  
<sup>35</sup> described in the previous section, many scenarios now venture beyond minimal models or give up basic  
<sup>36</sup> assumptions for the WIMP. In the following model, which is studied in this thesis, the interaction cross  
<sup>37</sup> section of the dark matter with normal matter is so high that the particles are no longer WIMPs, but  
<sup>38</sup> so-called strongly interacting massive particles (SIMP). This model can also be motivated by the long  
<sup>39</sup> lasting interest for self-interacting dark matter (SIDM)<sup>3</sup> particles with a large cross section [34], which  
<sup>40</sup> could help to explain observations that present a challenge for the cold dark matter scenarios, such as  
<sup>41</sup> missing satellites or core-cusp problems [70–73]. While it is possible to create models with a strongly  
<sup>42</sup> interacting hidden sector that is weakly coupled to the Standard Model particles, it could be just as  
<sup>43</sup> reasonable to consider SIDM particles that interact rather strongly with the known matter particles.

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<sup>3</sup>Incidentally, self-interacting and strongly interacting share the same abbreviation, such that SIDM can also stand for strongly interacting dark matter and SIMP for self-interacting massive particles in the literature.

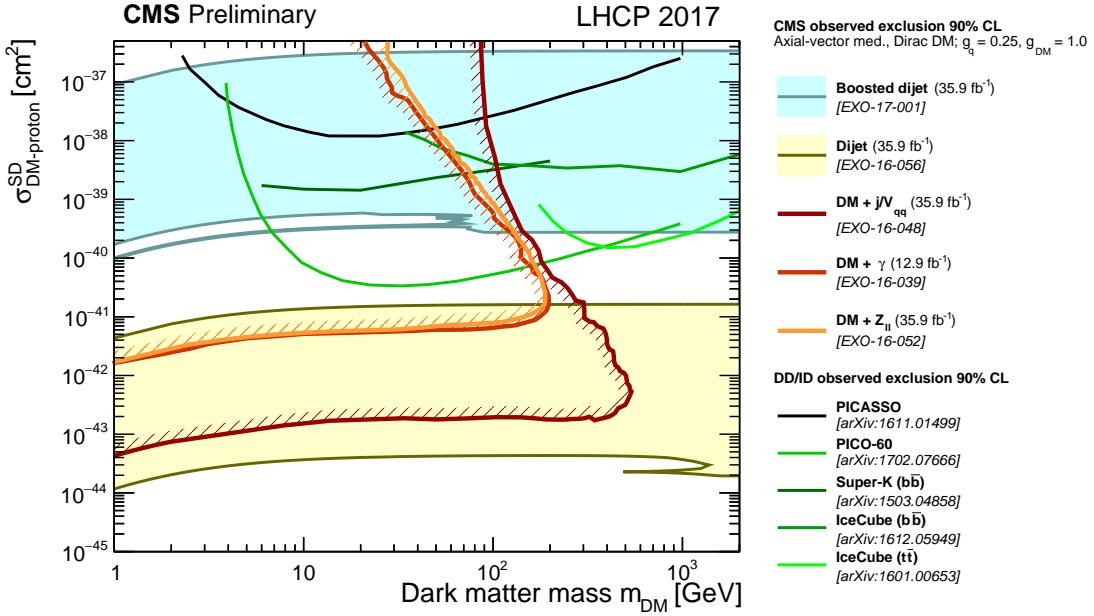


Figure 2.8: A comparison of CMS results to direct detection experiments in the  $m_{DM} - \sigma_{SD}$  plane. The limits are shown at 90% CL. The shown CMS contours are for an axial-vector mediator with Dirac dark matter and couplings  $g_q = 0.25$  and  $g_{DM} = 1.0$ . The spin-dependent exclusion contours are compared with limits from the PICASSO and PICO experiments, the IceCube limit for the  $t\bar{t}$  and  $b\bar{b}$  annihilation channels, and the Super-Kamiokande limit for the  $b\bar{b}$  annihilation channel. It should be noted that the CMS limits do not include a constraint on the relic density and also the absolute exclusion of the different CMS searches as well as their relative importance will strongly depend on the chosen coupling and model scenario. Therefore, the shown CMS exclusion regions in this plot are not applicable to other choices of coupling values or models.

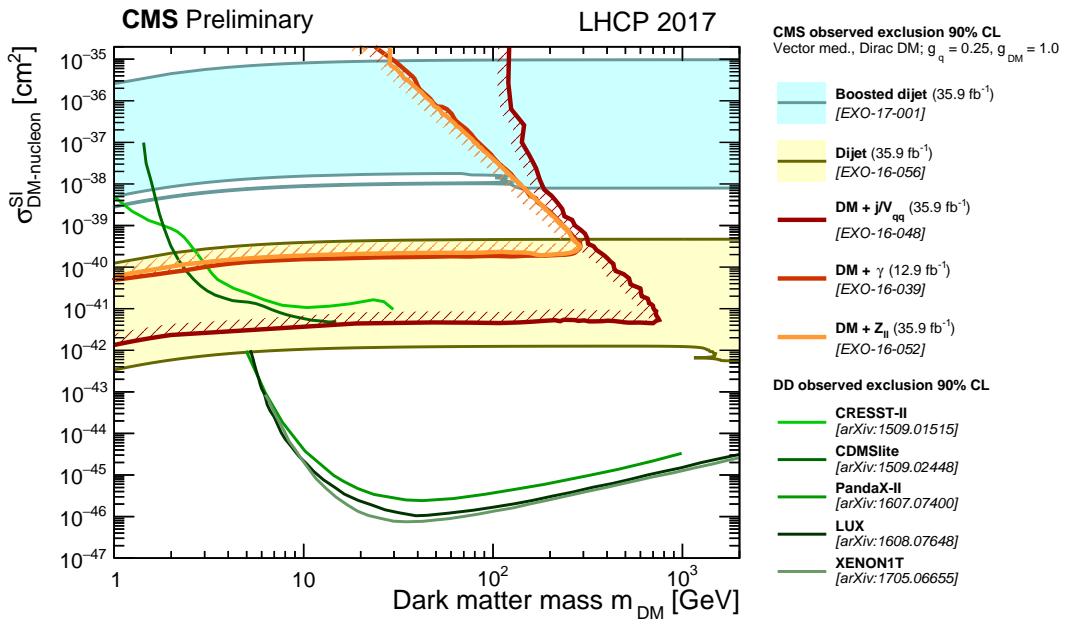


Figure 2.9: A comparison of CMS results to direct detection experiments in the  $m_{DM} - \sigma_{SI}$  plane. The limits are shown at 90% CL. The shown CMS contours are for a vector mediator with Dirac dark matter and couplings  $g_q = 0.25$  and  $g_{DM} = 1.0$ . The spin-independent exclusion contours are compared with the XENON17, LUX 2016, PandaX-II 2016, CDMSlite 2015 and CRESST-II 2015 limits, which constitutes the strongest documented constraints in the shown mass range. It should be noted that the CMS limits do not include a constraint on the relic density and also the absolute exclusion of the different CMS searches as well as their relative importance will strongly depend on the chosen coupling and model scenario. Therefore, the shown CMS exclusion regions in this plot are not applicable to other choices of coupling values or models.

### 2.3.1 The SIMP simplified model

In this simplified model, the dark matter particles  $\chi$  can be produced at the LHC in pairs, through a new strong interaction with a new mediator  $\phi$ , as illustrated in Figure 2.10. These dark matter candidates, also called SIMPs, are neutral and stable, and are generated off-shell as the mediator is very light, of the order of the pion mass:  $m_\phi = 140$  MeV. We only consider the case of fermionic candidates, as the bosonic form is ruled out by constraints coming from neutron stars and black holes. Both the cases with a scalar or a vector mediator can be studied, and the corresponding interaction Lagrangian is

$$\mathcal{L}_{\text{int}} = \begin{cases} -g_\chi \phi \bar{\chi} \chi - g_q \phi \bar{q} q & \text{(scalar mediator)} \\ -\tilde{g}_\chi \phi_\mu \bar{\chi} \gamma^\mu \chi - \tilde{g}_q \phi_\mu \bar{q} \gamma^\mu q & \text{(vector mediator)} \end{cases} \quad (2.17)$$

with  $g_\chi g_q, \tilde{g}_\chi \tilde{g}_q < 0$  to avoid the formation of bound states. For simplicity we assume that the SIMPs have a universal coupling to quarks, although a flavour dependent coupling could be preferred, as light SIMPs with a significant coupling to  $b$  or  $c$  quarks are probably constrained by B and D meson phenomenology.

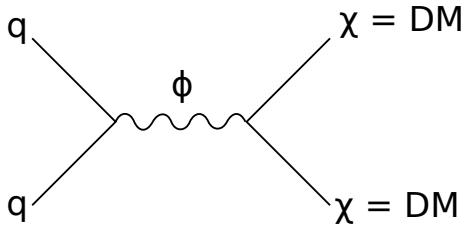


Figure 2.10: Feynman diagram showing the production of a SIMP pair, through a new low-mass mediator.

Introducing a new strong interaction between quarks can however modify nuclear potentials. In order to keep the impact small, the mediator is assumed to not modify nuclear potentials by more than  $\mathcal{O}(10\%)$ , such that  $g_{\chi N} \lesssim 0.3 g_{\pi NN} \sim 3$  for a mediator with the mass of a pion, where  $g_{\pi NN} \sim 13$  is the effective pseudoscalar pion-nucleon coupling [74]. The quoted values need are however not very precise, as a large spread of values can be found in the literature for meson-nucleon effective couplings, sometimes differing by a factor 2 or more (see e.g. [75] for comparison). This shows the difficulty of dealing with strong interactions in the framework of effective field theories, i.e. in the absence of a small parameter. No constraints on modified strong interactions at low energies seem to exist in literature so far, however searches at fixed-target experiments do place constraints on the existence of strongly interacting stable neutral particles.

In summary, the model has 4 free parameters: the two couplings, the mass of the mediator  $m_\phi$ , and the mass of the SIMP  $m_\chi$ . At the LHC, only the product of the couplings appears, while astrophysical observations constrain both the dark matter self-interaction and the interaction with the Standard Model.

### 2.3.2 Experimental constraints

Various types of experiments and observations set constraints on SIMPs as dark matter candidates. However, some of these limitations can be avoided by the assumptions in the model described above. The relevant existing measurements are described below, showing there is still a part of phase space which remained unexplored so far.

#### Bound states

Searches for heavy isotopes, in particular heavy water, constrain the formation of bound states between SIMPs and nucleons, ruling out particles with a mass below 10 TeV for the scenario with SIMPs as dominant contribution to dark matter. This constraint is evaded by assuming a purely repulsive SIMP-nucleon interaction with opposite sign couplings, as is specified in the Lagrangian (2.17). In the vector mediator case, vector mediators would however couple to the dark matter antiparticles with an opposite charge. This is avoided if no dark matter antiparticles are

around, i.e. if the abundance of dark matter is asymmetric. A reason for having asymmetric SIMPs is that if they are the dominant source of dark matter, then the dark matter abundance is set by either an asymmetry or through a non-thermal mechanism. In the case of a symmetric SIMP candidate, the dark matter abundance is determined by thermal freeze-out, and it can only be a sub-dominant component. Additional constraints also exist on the dark matter self-interacting strength from halo shapes and merging galaxies such as the Bullet cluster [76, 77].

### Earth heating

A second argument for an asymmetric abundance of SIMPs comes from experiments measuring the heat emitted from the Earth's core. For the typical SIMPs cross sections, the dark matter particles can be captured by the Earth and accumulate in its core over time. Annihilating SIMPs would then provide a substantial source of heat and could modify the Earth's heat flow. This can be measured by detectors in deep underground shafts [78] and rules out the scenario with symmetric SIMPs.

### Neutron stars and black holes

In the asymmetric scenario, light scalar dark matter particles can however be collected in the cores of neutron stars and cause them to collapse into black holes. Bosonic dark matter candidates are therefore excluded, and we consider only fermionic candidates as mentioned previously.

### Direct detection searches

Many bounds on the SIMP parameter space also come from the direct detection searches. Underground experiments, such as CDMS and XENON, place strong constraints at slightly smaller cross sections. At the higher cross sections considered here, the SIMPs are stopped by the Earth's atmosphere, and they cannot reach the underground detectors. At higher altitudes however, space or airborne experiments such as RSS [79], a balloon-based experiment with a silicon semiconductor detector, and XQC [80], a sounding rocket experiment, exclude SIMPs in some regions of phase space. More details on these constraints can be found in [78], where they have been extensively reviewed.

### Nucleosynthesis and cosmic rays

There are also bounds from primordial nucleosynthesis and cosmic rays, reviewed in [81] and [82]. The protons in cosmic rays can scatter off dark matter particles and create neutral pions, which decay to photons and could be detected in gamma ray telescopes. Although limits have been placed on dark matter-nucleon interactions [82], these constraints depend on many assumptions and adopt a form of the dark matter density near the galactic core. Since the considered model describes a nonstandard form of dark matter with a relatively strong interaction with baryons, these densities may be considerably different.

### CMB and large scale structure

Observations of the CMB anisotropies and the large scale structure power spectrum, including from the Lyman- $\alpha$  data [83, 84] additionally also place strong constraints on interactions between dark matter and baryons.

### Fixed-target experiments

Finally, a relatively old fixed-target experiment led in 1976 at FNAL with a beam of neutral particles produced by 300 GeV protons hitting a beryllium target was used to look for massive, strongly interacting, neutral particles [85]. The mass of the particles was determined using their flight time and their kinetic energy which was measured in a calorimeter. Neutral particles with a mass larger than 2 GeV were searched for, in order to discriminate the candidates from the background of neutrons and lighter hadronic states, up to  $m_\chi \lesssim \sqrt{E/2} \approx 12$  GeV, limited by the beam energy of  $E = 300$  GeV. Single particle production was considered, but the results apply to pair production as well. The search showed no significant excess above the expected background and limits were placed on the invariant production cross section per nucleon versus the neutral particle interaction cross section. As an example, for an interaction cross section of 1 mb, a limit on the total production cross section of about  $2.5 \times 10^{-35}$  cm $^2 = 25$  pb is found, and this limit is reported in the Particle

1 Data Group [86]. Comparing the considered SIMP model to this result by simulating the pair  
2 production at  $\sqrt{s} = 25$  GeV, one can conclude that SIMPs between 2 and about 6 GeV are already  
3 excluded by this experiment [87].

# 3

## The LHC and the CMS Detector

In order to investigate the currently unsolved mysteries of particle physics, such as the existence of dark matter, many experiments can be conducted, among other things at particle colliders. The largest particle accelerator in the world is the LHC, located at the European Organization for Nuclear Research (CERN) in Geneva, Switzerland. At this accelerator, protons are being accelerated at energies up to 6.5 TeV, giving rise to a record center-of-mass energy of 13 TeV in the proton collisions. Using data from the collisions generated at the interaction points along the accelerator ring, the Standard Model can be tested in many ways and searches for particles beyond the Standard Model can be performed.

In Section 3.1 more details are given about the LHC and the 4 main experiments situated at the interaction points. In particular, the general-purpose Compact Muon Solenoid (CMS) detector is described in Section 3.2.

### 3.1 The Large Hadron Collider at CERN

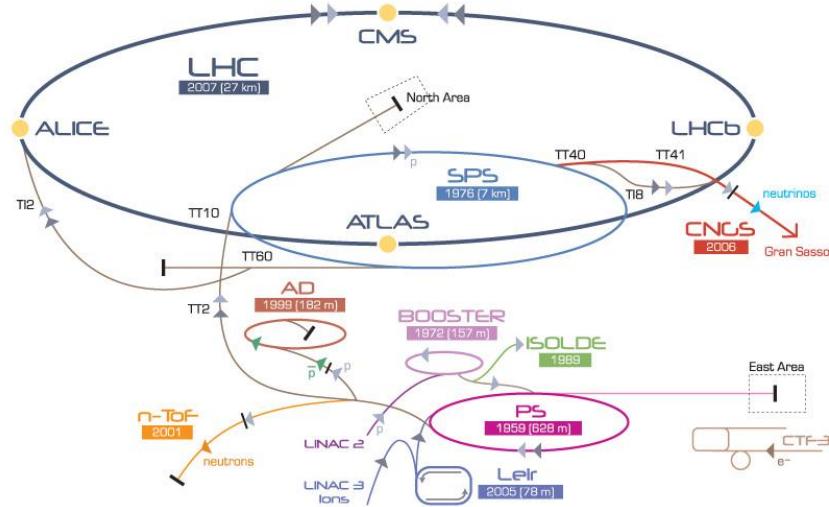
The LHC was built in the already existing Large Electron Positron (LEP) collider tunnel, which was excavated in the 1980's and has a circumference of 27.6 km. Contrary to the LEP collider, the LHC accelerates particles of the same charge, namely protons or lead ions. Much higher luminosities can therefore be reached, since only particles are used and the generation of antiparticles is not needed. This was the limiting factor at the Tevatron, where protons and antiprotons were used. Additionally, at the probed energies the colliding particles are not the protons or ions, but their constituents, which carry a varying fraction of the total momentum. This makes the LHC an ideal instrument for exploration at higher energies, as the collisions naturally cover a wide energy range.

#### 3.1.1 The LHC injector chain

The protons (or lead ions) can not directly be injected in the LHC, but need to be accelerated gradually in several pre-accelerators, as illustrated in Figure 3.1. For the proton beams, the LHC injection chain starts at a bottle of hydrogen, where protons are stripped from the hydrogen atoms and accelerated up to 50 MeV by a linear accelerator (LINAC2). The protons are then transferred to a chain of circular accelerators, starting with the Proton Synchrotron Booster (PSB) which accelerates them to an energy of 1.4 GeV. Next, the protons go through the Proton Synchrotron (PS) and are delivered to the Super Proton Synchrotron (SPS) at an energy of 26 GeV. Finally, the protons are injected in the LHC in opposite direction with an energy of 450 GeV.

The lead ions are first accelerated in a different linear accelerator, LINAC3, before being injected in the Low Energy Ion Ring (LEIR) at an energy of 4.5 MeV per nucleon. Here the ions are accelerated

to an energy of 72 MeV per nucleon, and they then follow the same path as the protons through the PS, where they are accelerated to 5.9 GeV and stripped from the last of their electrons, and the SPS, where they are accelerated to 177 GeV. The record center-of-mass energy for heavy ion collisions at the LHC so far has been 5.02 TeV and 8.16 TeV, for lead-lead (Pb-Pb) and proton-lead (p-Pb) collisions in 2015 and 2016, respectively.



*Figure 3.1: Schematic view of the various linear and circular accelerators of the CERN accelerator complex, including the LHC injection chain.*

### 3.1.2 The Large Hadron Collider

The most relevant specifications for a particle physics accelerator are the maximum energy and the luminosity that can be reached. High energy is necessary in order to be able to create new heavy particles, which are for example predicted in many theories beyond the Standard Model.

The protons are kept on the correct orbit by the 1232 LHC dipole magnets. These magnets are cooled down to 1.9 K with liquid Helium and supplied with a current of 12 kA to reach the design field of 8.33 T. This limits the maximum beam momentum of the accelerator to

$$p = B/\rho = 8.33 \text{ T}/2804 \text{ m} = 7 \text{ TeV}/c, \quad (3.1)$$

with  $\rho$  the bending radius of the tunnel. The protons are accelerated up to the desired energy by radio-frequency (RF) cavities, which produce an oscillating electric field.

A high event rate or luminosity  $\mathcal{L}$  is equally important, to obtain a sufficiently high number of collisions. For a process with cross section  $\sigma$ , this rate is

$$\frac{dN}{dt} = \mathcal{L}\sigma. \quad (3.2)$$

In order to achieve the high design luminosity of  $10^{34} \text{ cm}^{-2}\text{s}^{-1}$  in the LHC, the protons are concentrated in bunches with a 25 ns spacing, which are focused by strong quadrupole magnets around the interaction regions. Additionally, 225 ns gaps are present as well between the bunch trains, corresponding to the rise time of the injection kicker magnets. One gap of 3  $\mu\text{s}$  is necessary as well to allow clean beam dumps. These requirements limit the number of bunches to a maximum of 2808.

After almost 25 years of design and construction, the LHC was completed in 2008 and the commissioning of the machine started. However, during a powering test on 19 September of the same year an electric arc developed inside a bus bar which led to a large release of helium and a pressure wave that caused extensive mechanical damage to the affected LHC sector. This incident delayed the first collisions, with one bunch per beam and at a beam energy of 900 GeV, until late 2009. During 2010 and

1 2011 a center-of-mass energy of 7 TeV was used for the collisions, which was then increased to 8 TeV in  
 2 2012. The instantaneous luminosity was also increased, starting from  $2 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}$  in 2010 to more  
 3 than  $6 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$  in 2012. During the 3 years of data-taking in Run 1, data corresponding to an  
 4 integrated luminosity of  $45.0 \text{ pb}^{-1}$ ,  $6.1 \text{ fb}^{-1}$ , and  $23.3 \text{ fb}^{-1}$ , respectively, were delivered. After Run 1, a  
 5 long shutdown (LS1) of 2 years followed, which was used to correct the problems that were discovered  
 6 in the aftermath of the incident at the startup in 2008, and to upgrade and consolidate the experiments  
 7 located on the LHC ring.

8 In 2015, the LHC restarted operations with Run 2, at an even higher center-of-mass energy of 13 TeV.  
 9 During 2016 the design luminosity of  $10^{34} \text{ cm}^{-2}\text{s}^{-1}$  was exceeded and a total of  $41 \text{ fb}^{-1}$  of data were  
 10 delivered. A comparison of the delivered integrated luminosity per year is shown in Figure 3.2.

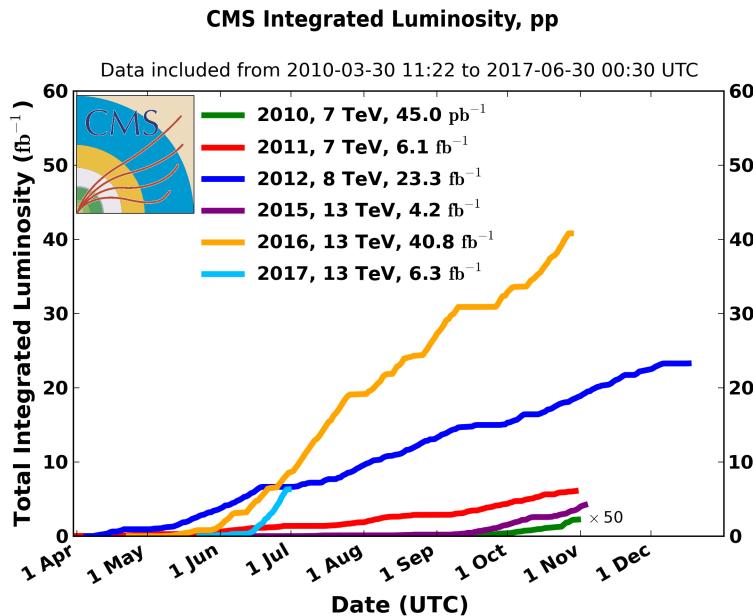


Figure 3.2: Overview of the integrated luminosity delivered to the CMS detector during Run 1 (2010 to 2012) and Run 2 (2015 to 2017).

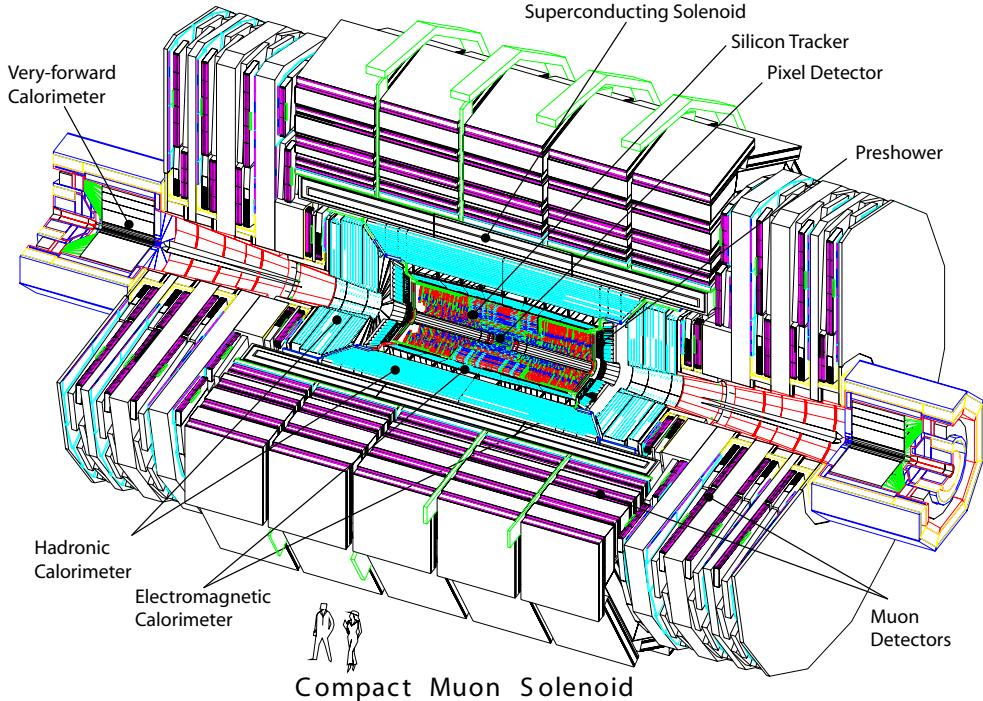
### 11 3.1.3 The experiments at the LHC

12 There are four interaction points (IPs) where the proton or lead ion beams of the LHC can collide, and  
 13 around each of these points large particle detectors were built in order to record the generated colli-  
 14 sions. The ATLAS and CMS detectors, located at IP1 and IP5, are both high luminosity general-purpose  
 15 detectors and consist of several layers surrounding the IP in an onion-like structure to avoid particles  
 16 escaping detection. These detectors can cover a wide range of high energy physics, from precision mea-  
 17 surements of the Standard Model to searches beyond the Standard Model. At IP2 the ALICE detector  
 18 is specialized in heavy ion collisions with low instantaneous luminosities, around  $10^{27} \text{ cm}^{-2}\text{s}^{-1}$ . With  
 19 this detector information is gathered about the quark-gluon plasma, a state of matter that exists at ex-  
 20 tremely high temperatures and densities where quarks and gluons are no longer confined in hadrons. The  
 21 fourth main detector, LHCb, is located at IP8 and requires instantaneous luminosities of the order of a  
 22 few  $10^{32} \text{ cm}^{-2}\text{s}^{-1}$ . Using this detector  $b$  quarks are being studied, focusing among other things on the  
 23 matter-antimatter asymmetry in the universe.

## 24 3.2 The CMS detector

25 The searches described in this thesis were conducted using data collected with the CMS detector, a  
 26 general-purpose detector located on the LHC ring. It consists of the typical components of a parti-

cle physics detector, namely a tracker, an electromagnetic calorimeter (ECAL), a hadronic calorimeter (HCAL), a solenoidal magnet, and muon detectors. One peculiar aspect is however that both calorimeters are situated inside the superconducting magnet. This design was chosen in order to improve the energy resolution by reducing the amount of material in front of the calorimeters. The overall detector has a length of 21.6 m, a diameter of 14.6 m and a total weight of 12500 t. A schematic overview is shown in Figure 3.3.



*Figure 3.3: The CMS detector, consisting of the pixel and strip tracker; the electromagnetic calorimeter (ECAL) with preshower; the hadronic calorimeter (HCAL) with its forward component, and the muon systems.*

The CMS coordinate system places the origin at the nominal collision point. The  $x$  axis is perpendicular to the beam and points towards the center of the LHC ring, the  $y$  axis is vertical and pointing upwards, and the  $z$  axis is defined anticlockwise along the beam direction. The azimuthal angle  $\phi$  is then defined in the  $xy$  plane, relative to the  $x$  axis and the polar angle  $\theta$  is measured with respect to the  $z$  axis. In general, the polar angle is converted into the pseudorapidity

$$\eta = -\ln \left( \tan \frac{\theta}{2} \right) \quad (3.3)$$

for convenience, since differences in pseudorapidity are invariant under Lorentz boosts along the  $z$  axis. A pseudorapidity of 0 corresponds to the direction perpendicular to the beam ( $\theta = \pi/2$ ), and an infinite pseudorapidity corresponds to the direction parallel to the beam ( $\theta = 0$ ).

Due to the conservation of momentum before and after the collision, the momenta of the particles in the final state of a collision should be balanced in the transverse plane. Another variable that is therefore often used in particle physics is the transverse momentum of a particle, defined as

$$p_T = p \cdot \sin \theta. \quad (3.4)$$

### 3.2.1 The tracker

The innermost part of the CMS detector, closest to the IP, is the tracking system, which is designed to provide a precise measurement of the trajectories of charged particles. This all-silicon detector is divided into a pixel and a strip detector, with a layout as shown in Figure 3.4. The inner part, consisting of pixel

modules, provides very precise 3D hits, which are important for vertex reconstruction and track seeding. This allows to have a precise measurement of secondary vertices and track impact parameters, necessary for the efficient identification of e.g. heavy flavor particles. As the hit occupancy is lower in the outer part of the detector, a larger cell size can be afforded, and silicon strips are used instead of pixels. This strip detector provides a large lever arm and a link to the calorimeters and the muon system. The tracker covers a pseudorapidity range  $|\eta| < 2.5$ .

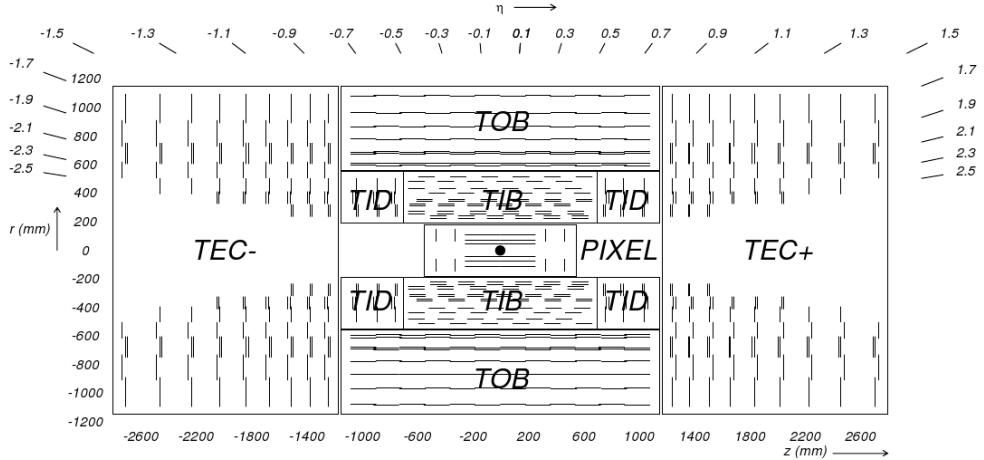


Figure 3.4: A transverse view of the pixel and strip tracker detectors.

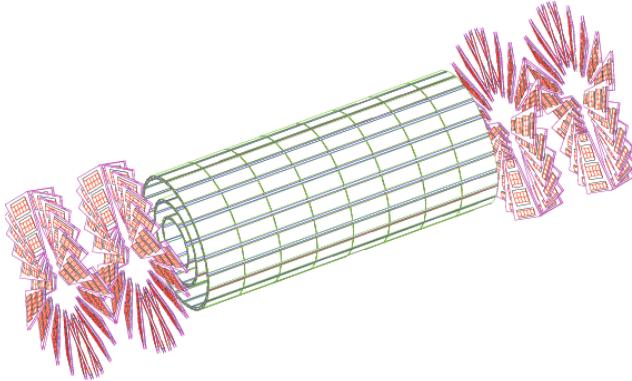
### 3.2.1.1 The pixel tracker

The pixel tracker was replaced during the extended technical stop in 2016 and 2017 [88], as a part of the CMS Phase 1 upgrades. As the data used for this thesis were recorded before that, only the so-called Phase 0 detector is described here.

For the pixel modules n+ pixels on n-substrate are used, allowing the sensors to also work in under-depletion after type inversion. The 1440 modules are arranged in several cylindrical layers and disks, as illustrated in Figure 3.4. The barrel, consisting of 3 pixel layers surrounding the beam pipe at radii of 4.4, 7.3 and 10.2 cm, is complemented by the forward pixel detector, composed of 2 endcap disks on each side extending from 6 to 15 cm in radius. The barrel and the forward parts contain respectively 48 million and 18 million pixels with a size of  $100 \times 150 \mu\text{m}^2$ , covering a total area of  $1.06 \text{ m}^2$ .

In the barrel, the magnetic field of CMS is perpendicular to the drift of the electrons to the collecting pixels, which results in a Lorentz drift. This drift leads to a spread of the charge over several pixels. Since the read-out of the modules is analog, an improved spatial resolution can therefore be achieved with charge interpolation. In the forward pixel detector the drift of the electrons would be parallel to the magnetic field so in order to profit from the Lorentz angle, the modules are tilted by 20° in a turbine-like arrangement, as can be seen in Figure 3.5. A spatial resolution of  $10 \mu\text{m}$  ( $30 \mu\text{m}$ ) can be achieved in the local directions  $x$  ( $y$ ) of the module, respectively. In the barrel  $x$  is the longitudinal direction perpendicular to the beam and  $y$  is the longitudinal direction parallel to the beam.

The signals from the pixel sensors are read out by custom read-out chips (ROCs), which amplify and store the signals, and already apply zero-suppression on-detector. The data rate from the detector to the Front End Drivers (FEDs) is therefore not constant for every event. Additionally, if there are too many hits on a pixel module for a given event, they can not all be stored on the finite buffer of the ROC. Consequently, as the instantaneous luminosity increases the pixel modules start to show a “dynamic inefficiency” which is most pronounced in the first layer, closest to the beampipe. This was one of the main motivations for the Phase 1 upgrade of the pixel detector.



*Figure 3.5: A 3D view of the barrel and forward pixel detector.*

### 3.2.1.2 The strip tracker

The outer part of the tracker consists of 15 148 strip modules, which are distributed among multiple barrel layers and endcap disks and make up a total active area of  $198 \text{ m}^2$ . The inner part is composed of 4 Tracker Inner Barrel (TIB) layers with 3 Tracker Inner Disks (TID) on each side. Surrounding these are 6 Tracker Outer Barrel (TOB) layers and the 2 Tracker EndCaps (TEC), which are composed of 9 disks. This geometric arrangement is shown in Figure 3.4, with double lines to indicate back-to-back modules. These so-called double-sided modules are mounted with a stereo angle of 100 mrad to improve the 3D point resolution by providing a measurement of the  $z$  and  $r$  coordinate in the barrel and disks, respectively. The choice of strip pitches is driven by the two particle separation capability and two-hit resolution, and ranges from  $80 \mu\text{m}$  to  $205 \mu\text{m}$ . The length of the strips varies from 63 mm to 117 mm, minimizing the occupancy and noise levels.

In the TOB and the 3 outermost rings of the TEC two silicon sensors are daisy chained, while single sensors are used in the inner part. This is done to limit the number of read-out channels, since the area that had to be instrumented is larger in the outer region. The larger cell size can be afforded due to the lower occupancy in the outer part. However, the noise of the sensors also increases with strip length, so thicker silicon sensors,  $500 \mu\text{m}$  compared to  $320 \mu\text{m}$  in the inner part, are used in order to collect more signal per traversing particle.

The strip sensors are single sided p-on-n type silicon. The signals from the sensors are amplified, shaped, and stored by 4 or 6 custom APV25 chips per module. When the trigger has made a positive decision, the analog signals from two APV25 chips are multiplexed and sent to the FED boards in the service cavern via optical fibers, where they are converted to digital signals. The FEDs then perform pedestal and common mode subtraction as well as cluster finding. Additionally, the data is sparsified in these off-detector electronics, before being sent to the CMS central data acquisition (DAQ). Due to charge sharing, this analog read-out scheme also results in an improved spatial resolution of 15 to  $40 \mu\text{m}$ , depending on the position of the modules and the strip pitch.

### 3.2.2 The electromagnetic calorimeter

Surrounding the tracker, the CMS electromagnetic calorimeter (ECAL) is designed to measure the energy of photons and electrons. It is composed of 75 848 lead tungstate ( $\text{PbWO}_4$ ) crystals arranged in a cylindrical barrel and 2 endcaps. The barrel crystals measure  $22 \times 22 \text{ mm}^2$  at the front face of crystal, and  $26 \times 26 \text{ mm}^2$  at the rear face, which corresponds to approximately  $0.0174 \times 0.0174$  in  $\eta\phi$ . The length of the crystal is 230 mm, corresponding to 25.8 radiation lengths. In the endcaps, the crystals have a rear face cross section of  $30 \times 30 \text{ mm}^2$ , front face cross section of  $28.62 \times 28.62 \text{ mm}^2$ , and a length of 220 mm, corresponding to 24.7 radiation lengths.

The high density material was chosen due to its short radiation length and small Moli  re radius, resulting in a small spread of the electromagnetic shower generated by an incoming photon or electron. This allows for a fine granularity, a better shower separation, and a compact calorimeter. Additionally,

1 this scintillating material has a fast response, as about 80% of the light is emitted during the first 25 ns.  
 2 The scintillation light is collected by photodetectors, digitized, and read out.

3 The layout of the ECAL is shown in Figure 3.6, with the barrel (EB) extending up to  $|\eta| < 1.470$   
 4 and the endcaps (EE) on each side covering the range  $1.479 < |\eta| < 3.0$ . A preshower detector (ES)  
 5 is positioned in front of the endcap crystals, covering the pseudorapidity range between  $|\eta| = 1.653$   
 6 and  $|\eta| = 2.6$ . This detector consists of a layer of lead which initiates an electromagnetic shower from  
 7 incoming photons or electrons, and a layer of silicon sensors which measures the deposited energy. The  
 8 main goal of this 20 cm thick detector is to discriminate between photons and neutral pions.

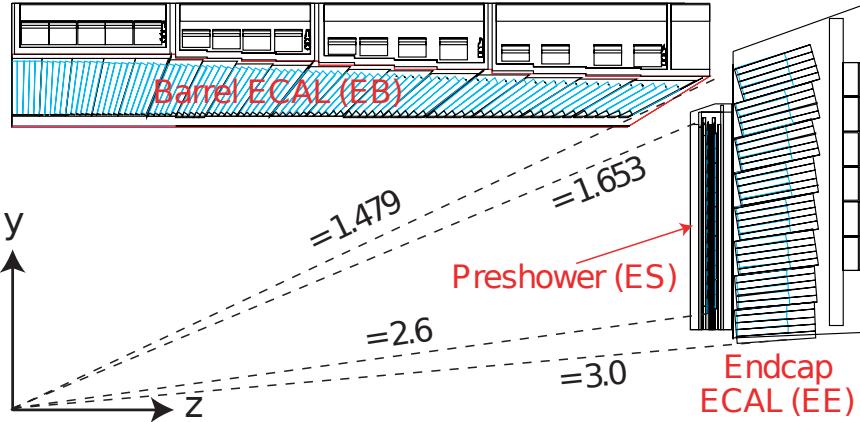


Figure 3.6: A transverse view parallel to the beamline showing one quarter of the ECAL, with its barrel (EB), endcap (EE), and preshower (ES) detectors.

9 The energy resolution of calorimeters can be parametrized by the following stochastic ( $S$ ), noise ( $N$ ),  
 10 and constant ( $C$ ) terms:

$$\left(\frac{\sigma}{E}\right)^2 = \left(\frac{S}{\sqrt{E}}\right)^2 + \left(\frac{N}{E}\right)^2 + C^2 \quad (3.5)$$

11 The stochastic term represents contributions from the shower containment, the number of photoelectrons  
 12 and the fluctuations in the gain process. The noise term takes into account all noise components, such as  
 13 electronics and digitization noise. Finally, the constant term characterizes among others energy leakage  
 14 from the back of the calorimeter crystals and non-uniformities of the longitudinal light collection. The  
 15 latter term dominates the energy resolution for high-energy electron and photon showers. Figure 3.7  
 16 shows the energy dependence of this resolution for incident electrons as measured in a beam test, as well  
 17 as the determined stochastic, noise, and constant terms obtained by fitting equation 3.5 to the data.

18 A more recent measurement of the energy resolution was performed using electrons from Z boson  
 19 decays in collision data. In the central region, up to  $|\eta| < 0.8$ , it was measured to be better than 2%. Out-  
 20 side of this region, in the more forward direction, the energy resolution is 2-5% [89]. The reconstruction  
 21 of the electrons and photons will be discussed in Section 4.3.2.

### 22 3.2.3 The hadronic calorimeter

23 The hadronic calorimeter (HCAL) surrounds the ECAL with the aim to measure the energy of charged  
 24 and neutral hadrons. The missing transverse energy can then be inferred from this measurement together  
 25 with the measured energy in the ECAL, in order to identify neutrinos or exotic particles. The HCAL  
 26 consists of brass absorber plates interleaved with plastic scintillator tiles.

27 Figure 3.8 shows a longitudinal quarter view of the different HCAL components. A cylindrical barrel  
 28 (HB) covers the region up to  $|\eta| < 1.4$  and is complemented by endcaps (HE) on each side, extending  
 29 the pseudorapidity range to  $|\eta| < 3.0$ . In the central region, the stopping power of the ECAL and HCAL

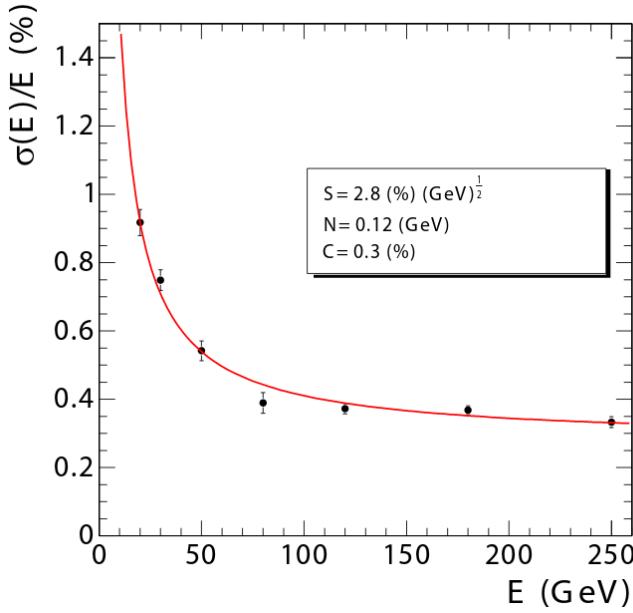


Figure 3.7: The ECAL energy resolution as a function of the electron energy, measured from a beam test. The stochastic ( $S$ ), noise ( $N$ ), and constant ( $C$ ) are given as well.

1 barrel is not sufficient to contain the entire hadron showers. The HCAL was therefore extended outside  
 2 the solenoid with an outer calorimeter (HO), which uses the magnet coil as absorber and consists of  
 3 scintillators. Two layers are positioned at  $\eta = 0$ , where the absorber depth is minimal, and only 1 layer is  
 4 used for the 2 rings on each side of the central ring. Finally, a forward calorimeter (HF) is positioned at  
 5 11.2 m from the IP covering  $3.0 < |\eta| < 5.2$ . Unlike the other HCAL components, this detector consists  
 6 of iron and quartz fibers. Cherenkov-based, radiation-hard technology, since it is exposed to very large  
 7 particle fluxes.

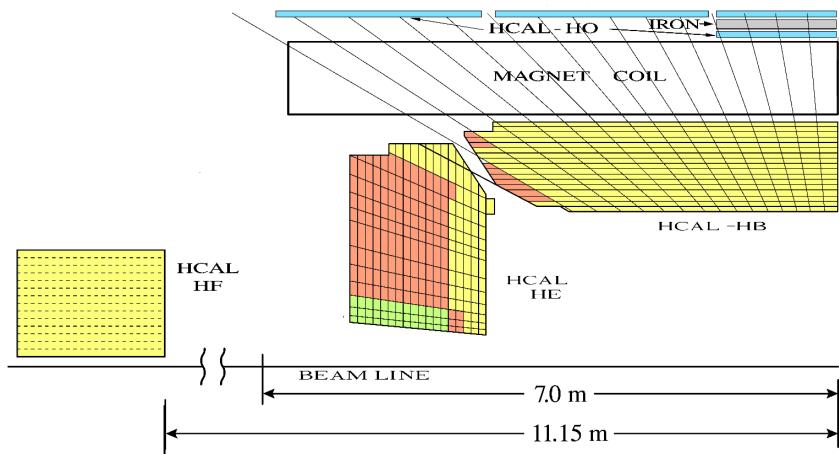


Figure 3.8: A quarter view of the hadronic calorimeter (HCAL), parallel to the beamlne. The barrel (HB), endcap (HE), outer (HO), and forward (HF) detectors are indicated.

8 The optical signals from the scintillators in the HB and HE are converted to electrical signals by  
 9 multichannel hybrid photodiodes, while silicon photomultipliers (SiPMs) are used in the HO. In the HF,  
 10 the Cherenkov light emitted in the quartz fibers is detected by standard photomultiplier tubes (PMTs),  
 11 since the magnetic field is much smaller in this region.  
 12 The expected transverse energy resolution for jets is shown in Figure 3.9 for various pseudorapidity

regions: barrel jets ( $|\eta| < 1.4$ ), endcap jets ( $1.4 < |\eta| < 3.0$ ), and very forward jets ( $3.0 < |\eta| < 5.0$ ). Details about the reconstruction of jets from calorimeter and tracking information will be given in Section 4.3.5.

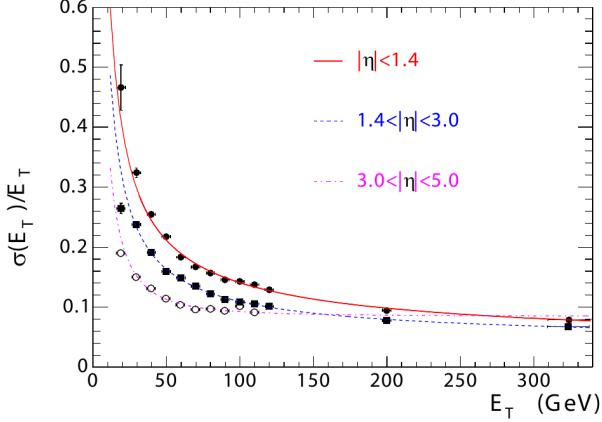


Figure 3.9: The jet transverse energy resolution as a function of the jet transverse energy, for barrel jets ( $|\eta| < 1.4$ ), endcap jets ( $1.4 < |\eta| < 3.0$ ), and very forward jets ( $3.0 < |\eta| < 5.0$ ).

#### 3.2.4 The muon system

The outermost detector, located entirely on the outside of the solenoid, is a dedicated muon detection system. The purpose of this subsystem is muon identification, momentum measurement, and triggering. As illustrated in Figure 3.10, the layers of muon chambers are embedded in the iron yoke constraining the magnetic field lines. The strong magnetic field completely saturates the return yoke with a field of about 2 T, in opposite direction with respect to the field inside the magnet.

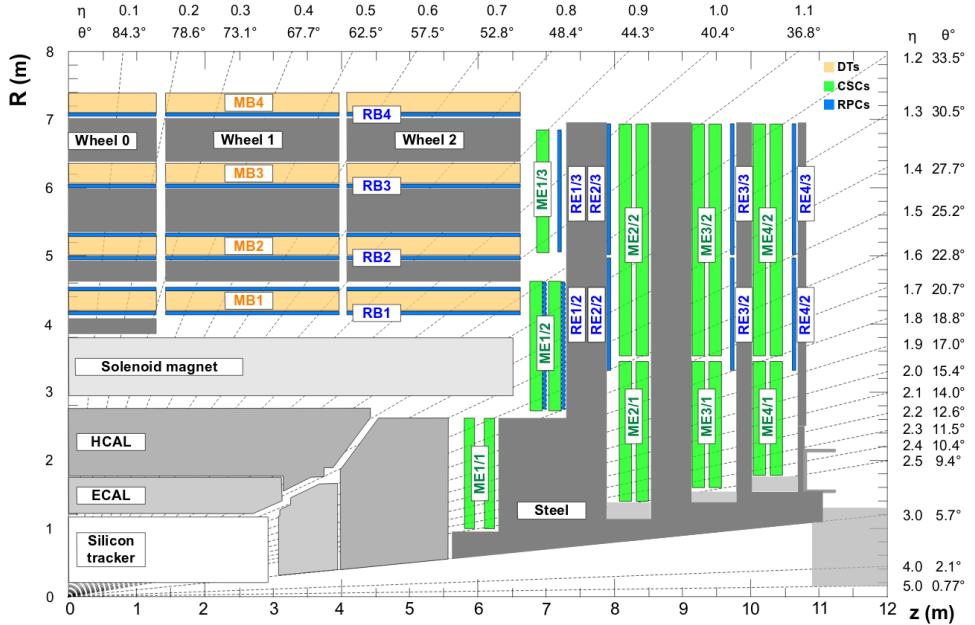


Figure 3.10: A transverse view of one quarter of CMS showing the position of the 3 types of muon detectors. The Drift Tubes (DT) are located in the barrel, the Cathode Strip Chambers (CSC) in the endcaps, and the Resistive Plate Chambers (RPC) in both regions up to  $|\eta| < 1.8$ .

Three different types of gaseous detectors are used. In the barrel, 4 layers of Drift Tubes (DT) are

installed, covering the pseudorapidity range up to  $|\eta| < 1.2$ . Due to the higher flux and the larger and non-uniform magnetic field at larger pseudorapidities, Cathode Strip Chambers (CSC) are used in the endcap region ( $0.9 < |\eta| < 2.4$ ). The DTs are designed for the low muon rates that are expected in the barrel and thus have a slower response time than the CSCs. Resistive Plate Chambers (RPCs) complement the DT and CSC systems in the pseudorapidity region up to  $|\eta| < 1.8$ . They provide a fast response, with a good time resolution but a worse spatial resolution than the DTs or CSCs. The RPCs are therefore very well suited to trigger on muons.

The offline reconstruction efficiency of simulated events containing one muon is typically between 95% and 99%, except for the regions between 2 DT wheels ( $|\eta| = 0.25$  and  $|\eta| = 0.8$ ) and the transition region between the DTs and CSCs ( $|\eta| = 1.2$ ), where the efficiency drops to 92%. The reconstruction of muons using the information from the tracker and the muon detectors will be detailed in Section 4.3.3. For low pseudorapidities and small momenta, the offline momentum resolution of the standalone muon system is about 9%. At momenta around 1 TeV, the resolution varies from 15% to 40%, depending on the pseudorapidity. As demonstrated in Figure 3.11, performing a global momentum fit using the tracker as well improves the resolution by an order of magnitude at low muon momenta. At high momenta the resolution of the full system is about 5%.

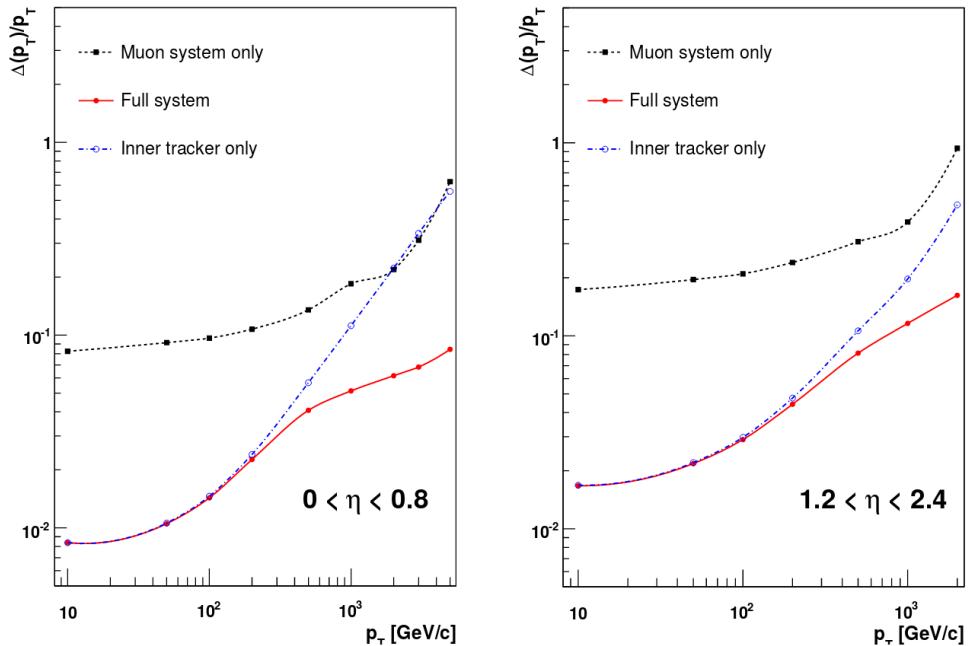


Figure 3.11: The muon transverse momentum resolution as a function of transverse momentum for low (left) and (high) pseudorapidities. The resolution is shown for the muon system and the tracker separately, and for the full system. [90]

### 3.2.5 Trigger and data acquisition

Collisions are provided by the LHC at high interaction rates, with an interval of 25 ns between bunch crossings. This corresponds to a frequency of 40 MHz. Additionally, multiple collisions occur at the same time, depending on the luminosity. Since it is impossible to store and process the large amount of data produced in the collisions at this high rate, a severe rate reduction is needed. This rate reduction is performed by the trigger system, which decides whether to store or reject an event. Since this decision process is constrained in time, the computing time is optimized by rejecting uninteresting events as quickly as possible. The rate is reduced to 1 kHz in two steps by the Level-1 (L1) Trigger and the High-Level Trigger (HLT).

The L1 Trigger decision is based on information from the calorimeters and muon systems, following the structure illustrated in Figure 3.12. At the lowest level, the Local Triggers are based on energy deposits

in calorimeter towers and track segments or hit patterns in the muon system. Regional triggers, indicated as Calo Trigger Layer 1 and Muon Track-Finder Layer in the figure, then combine this information and use pattern logic to determine trigger objects such as jet or muon candidates in separated spatial regions. The candidates are ranked based on their energy or momentum and quality, reflecting the level of confidence assigned to the L1 parameter measurements. Finally, the Calo Trigger Layer 2 and the Global Muon Trigger (GMT) determine the highest-rank calorimeter and muon objects across the whole detector and transfer them to the Global Trigger, which makes the final decision to accept or reject an event. Following this procedure, the L1 Trigger thresholds are tuned to reduce the event rate to 100 kHz. The L1 Trigger is composed of custom electronics located partially on the detectors, and partially in the underground service cavern. The L1 decision needs to be made and distributed to the detector front-end electronics within 3.8  $\mu$ s [91].

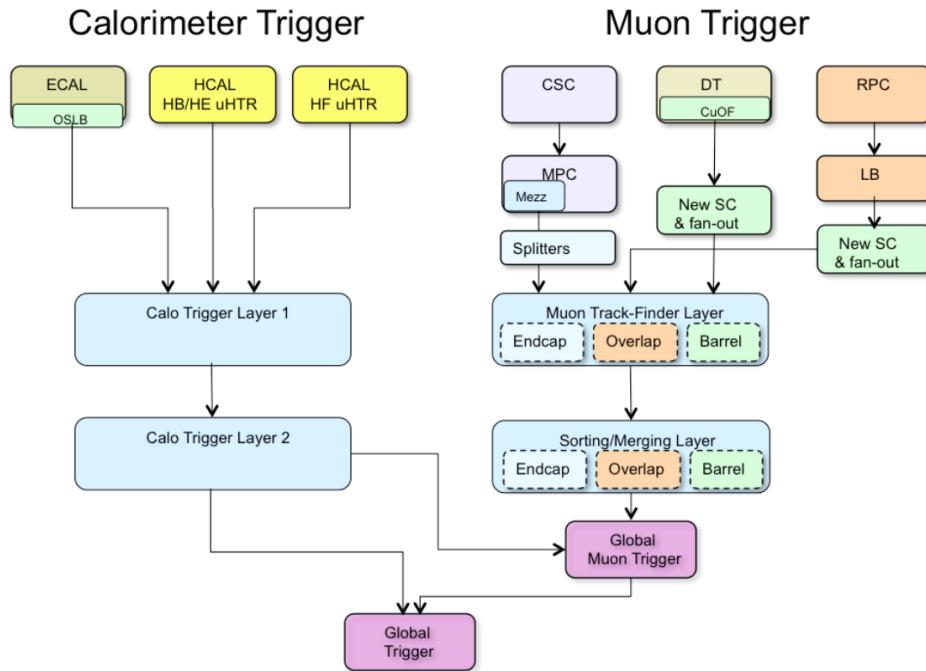


Figure 3.12: Schematic overview of the L1 Trigger. [91]

The readout of the data proceeds as illustrated in Figure 3.13. When an event is accepted by the L1 Trigger, the data from about 740 FEDs is read out by the Readout Units (RUs). For so-called *legacy* systems, i.e. systems which are using VME-based hardware from the initial installation, the FEDs are read out by custom Front-End-Readout-Link (FRL) cards, while for systems that changed their readout architecture from the VME standard to the newer  $\mu$ TCA standard during or after LS1 they are read out via the newer Front-End-Readout-Optical-Link (FEROL) cards. The event fragments are then sent over the event-builder switch to the Builder Units (BUS), which assemble the events. Next, the events are distributed to the Filter Units (FUs) by a large switch network.

The HLT software system is implemented in this filter farm, which uses more than 15000 CPU cores for the final event selection. In this second step, the HLT reduces the event rate further to 1 kHz. The complete read-out data, including information from the pixel and strip tracker, are available for this step. New objects can therefore be reconstructed such as e.g. tau leptons and b-jets, as is done in the offline software, but speed-optimized.

### 3.2.6 CMS performance in Run 2

The number of collisions recorded at the experiments will differ from the amount delivered by the LHC. Data loss can be caused by e.g. problems with a particular subdetector, the trigger rate, the data acquisition, or the infrastructure. During Run 2, CMS achieved a data taking efficiency of 89% and 92% in

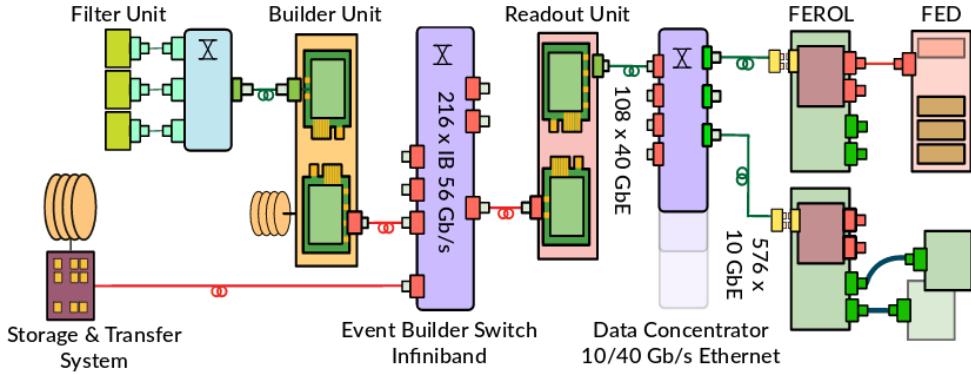


Figure 3.13: Schematic of the data acquisition (DAQ) system. [92]

<sup>1</sup> 2015 and 2016, respectively. The comparison between the delivered and recorded cumulative integrated luminosity in 2016 is shown in Figure 3.14. Subsequently, the recorded data is certified by the offline Data Quality Monitoring (DQM), to ensure that the data are suited for physics analysis.

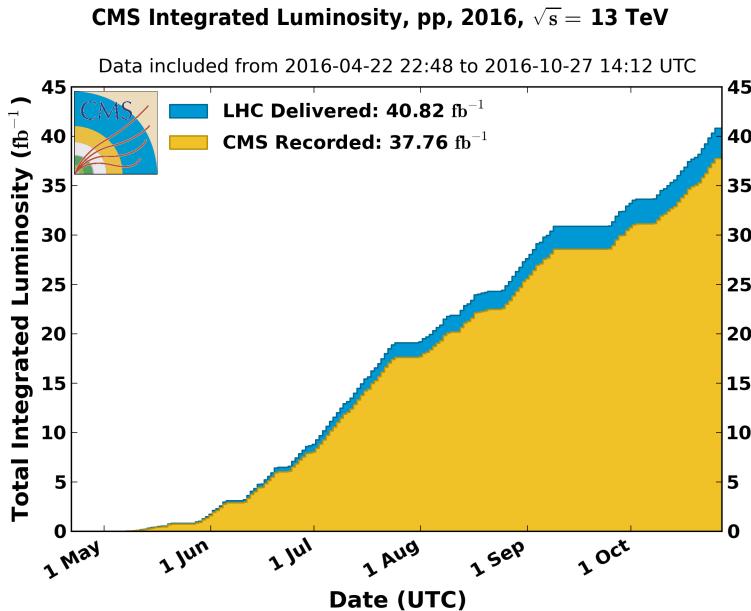
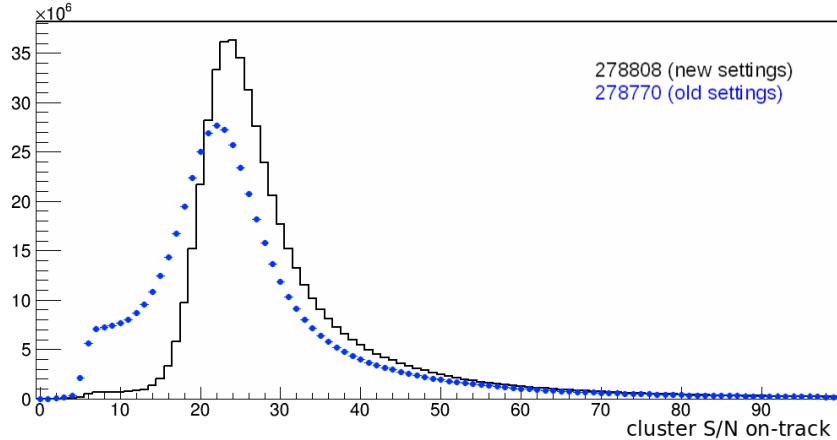


Figure 3.14: The cumulative distribution of the instantaneous luminosity delivered by the LHC (blue) and recorded by CMS (yellow) in 2016.

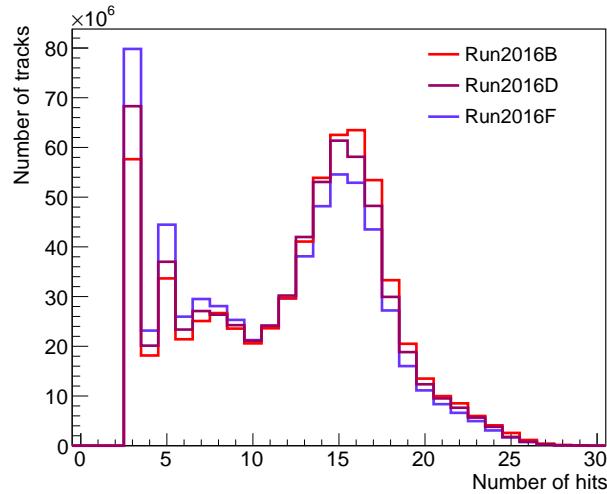
#### 3.2.6.1 Pre-amplifier saturation in the APV25 chip

<sup>5</sup> During Run 2, the instantaneous luminosity delivered by the LHC increased continuously, and even exceeded the design luminosity of  $10^{34} \text{ cm}^{-2}\text{s}^{-1}$  in 2016. As the luminosity increased, a dynamic inefficiency appeared in the strip tracker, which was most noticeable in the first layer of the TOB. The symptoms were a change in the signal-to-noise ratio and loss of hits. As can be seen from Figure 3.15, the most probable value (MPV) of the signal-to-noise ratio is shifted towards lower values and the low tail increased as well. The loss of hits is clearly visible in Figure 3.16, showing the change in number of hits per track for increasing instantaneous luminosities. The run periods indicated in the plot refer to a subset of the data taken over the course of the year. Run period boundaries are typically defined by changes in the LHC running conditions, changes to the detector configuration or calibration, or other parameters. The number of hits decreases for later run periods such as D and F, as the instantaneous

luminosity increases. This loss of hits results in less and shorter tracks.



*Figure 3.15: The signal-to-noise ratio for clusters on reconstructed tracks in the first layer of the TOB for a run before (blue) and after (black) the change of pre-amplifier drain speed.*



*Figure 3.16: The number of hits per track for run periods B, D, and F, showing the effect of the increasing instantaneous luminosity.*

The origin of this inefficiency was eventually tracked down to saturation effects in the pre-amplifier of the APV25 chip. The pre-amplifier decay time changes significantly with temperature. As the operating temperature of the strip tracker was lowered from +4°C to -15°C coolant temperature during LS1, the decay time was no longer sufficient to cope with the high luminosities. The dynamic inefficiency was cured in August 2016 by changing the pre-amplifier drain speed. This lead among others to the recovery of the muon efficiency, which showed a large drop for the highest luminosities before the change and an essentially flat behavior afterwards, as demonstrated in Figure 3.17.

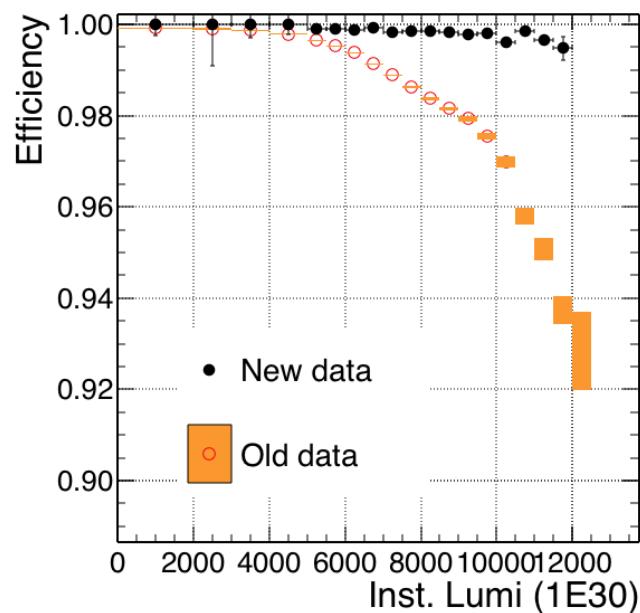


Figure 3.17: The muon efficiency as a function of the instantaneous luminosity for before (orange) and after (black) the change of pre-amplifier drain speed which cured the dynamic inefficiency.

# 4

1  
2

## Event Simulation and Reconstruction

3 In order to use the recorded data, the obtained signals coming from various parts of the detector must be  
4 reconstructed to be able to identify the particles in the event. Additionally, to compare the experimental  
5 results with theory, events are generated and the resulting signals in the detector are simulated, as detailed  
6 in Sections 4.1 and 4.2, respectively. The event reconstruction is detailed in Section 4.3. Finally, some  
7 details about the simulation of SIMPs are given in Section 4.4.

### 4.1 Event generation

8 The event structure at the LHC is complicated by the composite nature of protons, as well as the attainable  
9 high momentum transfers. A number of aspects must therefore be taken into account when generating  
10 events, such as parton distribution functions (PDFs), hard scattering, the parton shower, hadronization,  
11 and additional activity in the event.

12 Two partons, meaning the quark or gluon constituents of the colliding protons, will interact with a  
13 certain probability for a given momentum transfer. This is parametrized by the PDFs  $f(x, Q^2)$ , where  
14  $x$  is the momentum fraction of the partons and  $Q^2$  is the momentum transfer scale. Experimentally  
15 determined PDFs are available from various groups, including e.g. CTEQ [93], MRST/MSTW [94], and  
16 NNPDF [95]. An example of such PDFs obtained by the NNPDF group is shown in Figure 4.1. The PDFs  
17 are then used to calculate the matrix element of the hard scattering, which is the process of interest where  
18 the two colliding partons create high-energetic final state particles. This is done using an event generator,  
19 such as MADGRAPH5\_aMC@NLO [96] and POWHEG [97]. With MADGRAPH5\_aMC@NLO the  
20 matrix element can be calculated analytically at tree-level or leading order (LO), and since the addition  
21 of aMC@NLO at next-to-leading order (NLO) as well. This generator was used to generate most of  
22 the background processes for the Monojet analysis detailed in Chapter 5 and for the SIMP signal used  
23 in Chapter 6. POWHEG is able to generate events using NLO computations, but only for a relatively  
24 limited number of physics processes. In this thesis, background processes from single-top production  
25 were generated with this program. Since NLO calculations are more time-consuming, one can also scale  
26 a LO cross section to the NLO level by using a so-called k-factor, defined as the ratio of the NLO and  
27 LO cross sections. However, these k-factors often need to be determined as a function of the relevant  
28 kinematic variables as they depend on the kinematic phase space and the probed energy scale.

29 Since the colliding partons have a color charge, the hard scattering will be accompanied by a cascade  
30 of radiation from QCD processes. This radiation can originate from the incoming partons, which is  
31 referred to as initial state radiation (ISR), or the outgoing partons in the final state, the so-called final state  
32 radiation (FSR). The perturbative evolution of the cascade can be modeled using the DGLAP (Dokshitzer-  
33 Gribov-Lipatov-Altarelli-Parisi) equations [98–100]. These equations describe the time evolution of the

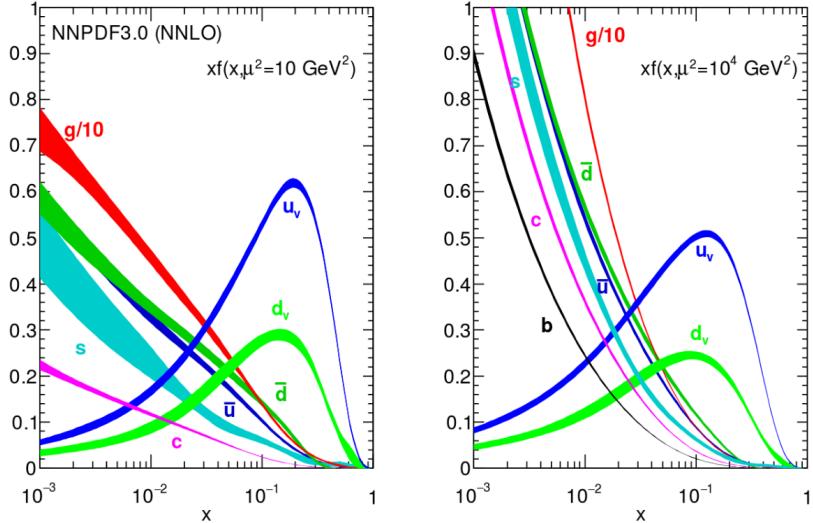


Figure 4.1: The parton distribution functions times the momentum fraction  $x$  at energy scales  $10 \text{ GeV}^2$  (left) and  $10 000 \text{ GeV}^2$  (right), obtained in NNLO NNPDF3.0 global analysis [95].

1 probability of a ‘mother’ parton to split into ‘daughter’ partons at an energy scale  $Q^2$ . The momentum  
 2 of the mother is then divided among the daughter partons, which can in turn split into other partons at  
 3 a lower  $Q^2$  scale. The cascade continues down to an energy scale  $\Lambda_{QCD}$  where the strong coupling  
 4 constant becomes unity.

5 The next step after the showering is the hadronization of the colored particles produced in the parton  
 6 shower, transforming them into color-neutral hadrons. Since this happens at low energy scales where the  
 7 perturbative approach of QCD is not valid, phenomenological models have to be used. For most of the  
 8 processes considered in this thesis, the showering and hadronization is done with PYTHIA 8 [101], using  
 9 a standard set of parameters which were tuned to reproduce the experimental data.

10 In addition to ISR and FSR, also beam remnants and multiple parton interactions give rise to ad-  
 11 dditional activity in the event, referred to as the underlying event. After the partons participating in the  
 12 hard scattering are extracted, the remainder of the protons have a non-zero color charge. The creation of  
 13 additional hadrons during the hadronization is therefore possible. Multiple parton interactions represent  
 14 additional interactions which can take place between other incoming partons. Finally, additional colli-  
 15 sions between other protons in the same bunch crossing or from a previous bunch crossing, respectively  
 16 referred to as in-time and out-of-time pileup, add extra activity in the event.

## 17 4.2 Detector simulation

18 After being generated, the collision events are passed on to the CMS detector simulation, which is based  
 19 on the GEANT 4 [102] simulation toolkit. This toolkit provides a description of the interaction between  
 20 particles and the detector material, including effects such as bremsstrahlung of charged particles, photon  
 21 conversions, energy loss of charged particles by ionization, and the showering of electrons, photons and  
 22 hadrons in the calorimeters due to interaction with the material. The CMS simulation package contains  
 23 the geometry of the detector with all the sensitive layers designed to detect the traversing particles, as well  
 24 as the dead material regions consisting of e.g. support structures, cables and cooling pipes. A precise map  
 25 of the magnetic field is also included in order to simulate the curvature of the charged particles correctly.

26 Next, the electronic response produced by the hits in the active detector material is simulated, resulting  
 27 in an event content similar to the output of the real detector. At this point the effect of pileup is also  
 28 included by adding detector hits of generated proton-proton interactions on top of the hits resulting from  
 29 the main interaction. Most of the simulated event samples used in this thesis are processed using this  
 30 detector simulation. However, the interaction of new particles that can arise from specific theory models  
 31 is not always readily described in GEANT. This is the case for the signal samples used in the analysis

<sup>1</sup> described in Chapter 6, so an additional step was needed in order to simulate strongly interacting massive  
<sup>2</sup> particles (SIMPs) in the CMS detector.

### <sup>3</sup> 4.3 Event reconstruction

<sup>4</sup> Once the detector response has been simulated, the obtained events can be reconstructed. The same  
<sup>5</sup> method is applied for these simulated events and for data coming from the detector. First, the reconstruc-  
<sup>6</sup> tion of tracks is performed, with a specific track reconstruction for electrons and muons. Furthermore,  
<sup>7</sup> the calorimeter deposits, generated by electrons, photons, and hadrons, are grouped into clusters. Addi-  
<sup>8</sup> tionally, the reconstruction is further improved by using the so-called particle flow (PF) algorithm. This  
<sup>9</sup> algorithm greatly improves the performance for jet and hadronic  $\tau$  decay reconstruction, missing trans-  
<sup>10</sup> verse energy momentum determination, as well as electron and muon identification. Finally, the obtained  
<sup>11</sup> particle flow (PF) candidates are clustered into jets, and the missing transverse energy can be derived.

#### <sup>12</sup> 4.3.1 Track reconstruction

<sup>13</sup> The tracks of charged particles going through the CMS tracker are reconstructed with an iterative tracking  
<sup>14</sup> approach. This is used to cope with the high occupancy and consequently high combinatorics. Addition-  
<sup>15</sup> ally, the first iterations search for tracks with less possible combinations, such as tracks with many pixel  
<sup>16</sup> hits or a high momentum. After every iteration, the hits associated with the found track are removed to  
<sup>17</sup> reduce the combinatorics. Each iteration consists of four steps:

- <sup>18</sup> 1. **Seed generation.** In this first step hits are combined into seeds for the subsequent track finding. In  
<sup>19</sup> the initial iterations pixel triplets are used, then pixel pairs, in order to take gaps or non-working  
<sup>20</sup> modules into account. Next, mixed pixel/strip triplets are taken, and finally strip-only seeds are  
<sup>21</sup> used. These additional iterations improve the acceptance in  $p_T$  and in displacement with respect to  
<sup>22</sup> the primary vertex.
- <sup>23</sup> 2. **Track finding.** The seeds are used as starting point for a Kalman filter algorithm. This method  
<sup>24</sup> extrapolates the seed trajectory outward to the next layer, taking into account potential energy  
<sup>25</sup> loss and multiple scattering. If compatible hits are found in the next layer, the parameters of the  
<sup>26</sup> trajectory are updated. This process continues until the outermost layer of the tracking system.  
<sup>27</sup> Using this method, a given seed can generate multiple tracks, or different tracks can share hits. A  
<sup>28</sup> trajectory cleaner therefore determines the fraction of hits the tracks have in common and discards  
<sup>29</sup> the track with the lowest number of hits when there are too many shared hits. If both tracks have  
<sup>30</sup> the same number of hits, the track with the largest  $\chi^2$  value is removed.
- <sup>31</sup> 3. **Track fitting.** The track parameters are then refitted using a Kalman filter and smoother, taking all  
<sup>32</sup> hits determined in the track finding step into account.
- <sup>33</sup> 4. **Track selection.** Finally, the tracks are selected based on quality requirements, such as the number  
<sup>34</sup> of layers that have hits, the  $\chi^2/\text{dof}$ , and the distance to a primary vertex. This greatly reduces the  
<sup>35</sup> fraction of reconstructed tracks that are fake.

<sup>36</sup> The performance of the track reconstruction is excellent, and a high track-finding efficiency is ob-  
<sup>37</sup> tained [103] while keeping the rate of fake tracks negligible. The highest tracking efficiency is obtained  
<sup>38</sup> for muons, which traverse the full detector volume and have an improved momentum resolution due to  
<sup>39</sup> tracking information from the muon detectors giving a long lever arm. For isolated muons with  $p_T$  be-  
<sup>40</sup> tween 1 and 100 GeV the tracking efficiency is higher than 99% for the entire  $\eta$  coverage of the tracker,  
<sup>41</sup> as can be seen from the left plot in Figure 4.2. The  $p_T$  resolution is about 2-3% for a muon with  $p_T =$   
<sup>42</sup> 100 GeV up to  $|\eta| < 1.6$ , but worsens for higher pseudorapidities. Different types of particles interact  
<sup>43</sup> differently with the detector material. Charged hadrons, for example, are also subject to elastic and in-  
<sup>44</sup> elastic nuclear interactions and have a tracking efficiency of 80-95% depending on pseudorapidity and  
<sup>45</sup> transverse momentum, as shown in the right plot of Figure 4.2.

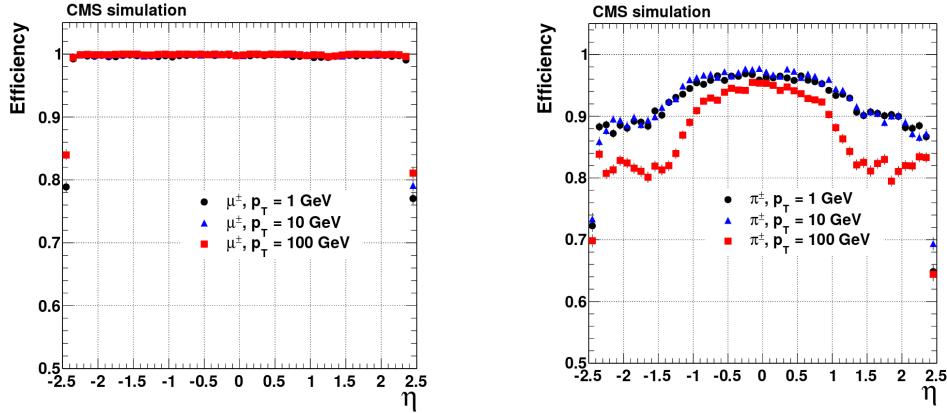


Figure 4.2: The muon efficiency (left) and pion efficiency (right) as a function of pseudorapidity, for multiple transverse momenta. [103]

Finally, the primary vertex is reconstructed from the tracks. Since the collisions happen between bunches of protons, multiple protons will be colliding at the same time. The extra collisions, next to the potentially interesting collision, are referred to as pile-up interactions. The particles generated in these collisions are all detected simultaneously and form a challenge to disentangle them from the particles coming from the to be studied interaction.

The reconstruction is done in 2 steps: first the tracks that appear to originate from the same interaction vertex are clustered, then a fitting procedure computes the vertex parameters and assigns a weight to each associated track, reflecting the probability that it corresponds to the considered vertex. Figure 4.3 shows the reconstruction efficiency and the resolution of the primary vertex. The more tracks, the better the vertex is constrained and thus the better the resolution.

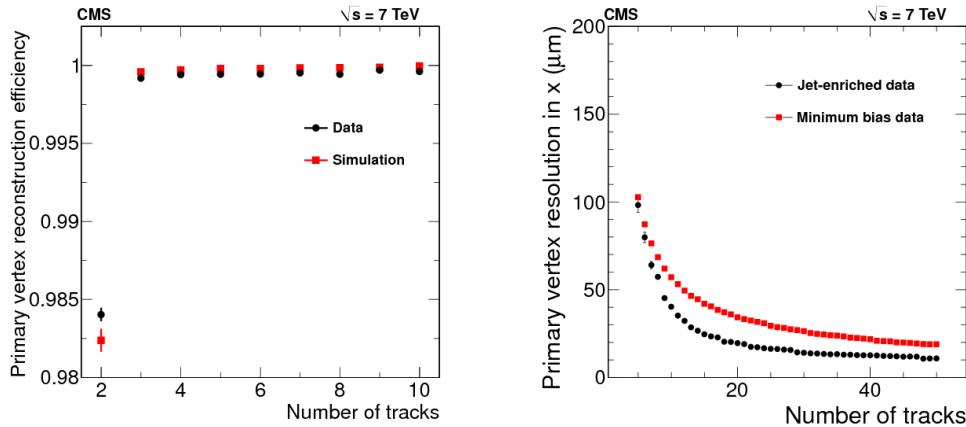


Figure 4.3: The primary vertex reconstruction efficiency (left) and resolution (right) as a function of the number of tracks associated to it. [103]

### 4.3.2 Electron and isolated photon reconstruction

Electrons are reconstructed using information from both the tracker and the calorimeters. Due to the large amount of material present in the tracker, electrons will emit bremsstrahlung photons, and photons will often convert into  $e^+e^-$  pairs, which can again radiate bremsstrahlung photons. The electron and photon reconstruction is therefore very similar.

For electrons, a Gaussian-sum filter (GSF) [104] candidate is taken as starting point. This GSF candidate is obtained using 2 different methods to reconstruct the electron track from the hits in the tracker, which should gather all radiated energy from the electron. First, the ECAL-based approach is used,

grouping ECAL clusters into superclusters. These superclusters collect the energy of the electron and the bremsstrahlung photons in a small  $\eta$  window and a large  $\phi$  window, taking the bending of the electron track in the magnetic field into account. The supercluster energy and position is then used to estimate the position of the corresponding hits in the tracker layers. Subsequently, the tracker-based approach is used to reconstruct electrons missed by the ECAL-based method. In this case, all the tracks from the iterative tracking with transverse momentum larger than 2 GeV are used. Next, the specific electron tracking is performed, using a GSF fit, which is more adapted to electrons than the Kalman filter used in the iterative tracking, as it describes the energy loss in each tracker layer. The electron seeds obtained with both methods are merged and used as input for the full electron tracking, which is performed with twelve GSF components. The obtained electron tracks are then linked to ECAL clusters by the PF algorithm, as described in Section 4.3.4. In the case of isolated photons, a candidate is seeded from an ECAL supercluster with transverse energy larger than 10 GeV which is not linked to a GSF track.

The total energy of the accumulated ECAL clusters is corrected for the energy that was lost in the process of reconstruction, using analytical functions of the energy and pseudorapidity. The applied corrections can be as large as 25%, at low transverse momentum and at  $|\eta| = 1.5$ , where the material density in the tracker is largest. The energy of the electron is then obtained from a combination of the corrected energy and the momentum of the GSF track, while the direction of the electron is taken from the GSF track. For photons, the corrected energy and the direction of the supercluster are used.

Additionally, the electron and photon candidates must satisfy identification criteria to be retained. In the case of electrons a boosted decision tree is used, combining fourteen variables including the amount of energy radiated and the ratio between the energies gathered in HCAL and ECAL, while for photons the candidates must be isolated from other tracks and calorimeter clusters, and the energy distribution in the ECAL and the ratio between the HCAL and ECAL energies must be compatible with the expectation from a photon shower.

### 4.3.3 Muon reconstruction

Muon tracking is performed using 2 complementary approaches. The first method starts from standalone muons which are reconstructed from hits in the muon detectors using pattern recognition. The standalone muons are then matched to tracks in the tracker, and the hits are combined to form a global muon track. This global muon fit improves the momentum resolution compared to the tracker-only fit at muon momenta larger than 200 GeV.

For momenta below 10 GeV, muons often fail the global muon conditions which require the muon to penetrate through more than one muon detector plane, due to the large multiple scattering in the return yoke. In this case, tracker muon reconstruction is more efficient since it only requires one muon segment. Each track in the tracker with a transverse momentum larger than 0.5 GeV and a total momentum larger than 2.5 GeV is therefore extrapolated to the muon system and if at least one matching track segment is found, it is retained as muon candidate.

Within the geometrical acceptance of the muon system about 99% of the muons are reconstructed, either as global muon or as tracker muon and frequently as both. Global and tracker muons that share the same track inside the tracker are merged into a single candidate. Muons that are only reconstructed as standalone muons have a worse momentum resolution compared to the global and tracker muons.

Charged hadrons can be misreconstructed as muons if e.g. a part of the hadron shower reaches the muon system. In order to improve the muon identification, the PF muon identification algorithm described in Section 4.3.4 also matches energy deposits in the ECAL and HCAL with the muon track.

### 4.3.4 Particle flow

The particle flow (PF) algorithm [105] reconstructs so-called particle flow candidates by combining information from all different CMS subdetectors, linking different elements, such as tracks in the tracker, calorimeter clusters, and muon tracks. The obtained collection of particle candidates is subsequently used to reconstruct jets and to determine the missing transverse energy.

In a first step, the PF algorithm identifies charged particle tracks, as defined in Section 4.3.1, and Sections 4.3.2 and 4.3.3 for electron and muon tracks, respectively. At the same time, the calorimeter clusters are reconstructed with a clustering algorithm designed specifically for the PF event reconstruction. In this algorithm, cluster seeds are first identified as local energy maxima with respect to the four or eight closest cells, if the energy deposited in the cell is above a given seed threshold. The clusters are then formed by accumulating neighboring cells with an energy above a given cell threshold, suppressing noise.

The PF elements in the different subdetectors are then connected by a link algorithm which avoids any double counting. The link algorithm produces blocks of associated elements, quantifying the quality of the link by defining a geometrical distance between the elements. When an element is linked to multiple other elements, only the link with the shortest distance is kept. More precisely, a link between a track in the tracker and a calorimeter cluster is made by extrapolating it from the last hit in the tracker to the calorimeters. The distance between the position of the extrapolated track and the cluster in the  $(\eta, \phi)$  plane is then used to define the link distance. At the interaction points between the track and the tracker layers, tangents to the GSF tracks are extrapolated to the ECAL in order to collect the energy of photons radiated by electron bremsstrahlung. A dedicated conversion finder was also developed to identify bremsstrahlung and prompt photon conversions into  $e^+e^-$  pairs. Links between calorimeter clusters are established outside of the tracker acceptance, or between the preshower and ECAL clusters in the preshower acceptance. In this case the link distance is also defined as the distance between the position of the clusters. Charged particle tracks can also be linked by a common secondary vertex. Finally, the PF muon identification algorithm associates the muon tracks to the muon energy deposits in the ECAL and HCAL, to improve the muon identification performance.

In a next step, the PF blocks are classified as muons, electrons, or isolated photons. The corresponding elements are then excluded from further consideration. Once electrons, muons, and isolated photons have been identified, the remaining elements are identified as charged hadrons, neutral hadrons, or photons produced in jets. Within the tracker acceptance, the ECAL clusters not linked to any track are classified as photons, while the clusters in the HCAL without a matched track are labeled as neutral hadrons. Outside of the tracker acceptance, charged and neutral hadrons can not be distinguished. ECAL clusters linked to an HCAL cluster are then assumed to arise from the same hadron shower, and the estimated energy for these particles is the sum of the energy deposited in the ECAL and the HCAL. The remaining clusters are then linked to one or several tracks in order to reconstruct the charged hadrons.

### 4.3.5 Jet reconstruction

Jets are reconstructed with the anti- $k_T$  algorithm [106], which clusters either the particles reconstructed by the PF algorithm (PF jets) or the energy deposits in the calorimeters (Calo jets). This procedure takes into account the transverse momentum  $p_T$ , also called  $k_T$ , of the particles and the distance between particles, defined as

$$\Delta R_{ij} = \sqrt{(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2}. \quad (4.1)$$

The strategy consists of the following steps:

1. For every pair of particles  $i$  and  $j$ , a distance  $d_{ij}$  defined as

$$d_{ij} = \min \left( \frac{1}{p_{Ti}^2}, \frac{1}{p_{Tj}^2} \right) \frac{\Delta R_{ij}^2}{R^2} \quad (4.2)$$

is calculated.

2. For every particle  $i$ , a distance  $d_{iB}$  to the beam pipe is calculated with

$$d_{iB} = 1/p_{Ti}^2. \quad (4.3)$$

3. The minimum of  $d_{ij}$  and  $d_{iB}$  is then determined.

4. If it is  $d_{ij}$ , particles  $i$  and  $j$  are recombined into a new particle by adding the four-momenta of the particles. If it is  $d_{iB}$ , particle  $i$  is declared to be a jet and it is removed from the list of particles.

1       5. This is repeated until no particles remain.

2       In this clustering algorithm, the parameter  $R$  determines what is called a jet. If a particle  $i$  has  
3       no other particles within a distance  $R$ ,  $d_{iB}$  will be smaller than  $d_{ij}$  and the particle will become a jet.  
4       A consequence of this is that an arbitrarily soft particle can become a jet, and therefore a minimum  
5       transverse momentum for a jet to be of interest is defined.

6       The anti- $k_T$  algorithm favors clustering around hard particles, and the jets then grows outward from  
7       this seed. However, since it still involves a combination of energy and angle in the distance measure, this  
8       is a collinear-safe growth, meaning that the jet will not change when one of the particles of the jet is split  
9       collinearly. This algorithm is also infrared-safe, i.e. the same set of jets is obtained when soft particles  
10      are emitted. and gives rise to circular jets.

11      A reliable determination of the jet energy is however not straightforward, since many effects can dis-  
12      tort the energy estimation, such as the calorimeter response, the limited particle reconstruction efficiency,  
13      the underlying event, the pileup, and the charged particles bending out of the jet cone due to the strong  
14      magnetic field. The pileup is mitigated by applying charged hadron subtraction (CHS), which consists of  
15      removing charged hadrons associated with vertices other than the primary vertex from the list of PF can-  
16      didates. Additionally, the jet energy is corrected using a factorized approach, as illustrated in Figure 4.4,  
17      with the following steps:

- 18      • **Pileup correction (L1).** The first level of jet energy corrections is applied event-by-event and jet-  
19      by-jet, and is determined from simulation. It is dependent on the pseudorapidity and transverse  
20      momentum of the jet, the average  $p_T$  density in the event, and the effective jet area. This effective  
21      area is determined by injecting a large number of very soft particles in the event before the jet  
22      clustering. The spread of the soft particles in each jet then defines the jet area.
- 23      • **Relative  $\eta$  and absolute  $p_T$  corrections (L2L3).** This correction is also obtained from simulations  
24      and corrects for the non-uniform response of the calorimeters in  $\eta$  and  $p_T$ .
- 25      • **Residual  $\eta$  and  $p_T$  corrections (L2L3Residual).** Since the L2L3 correction is derived from simu-  
26      lation, additional residual corrections are needed in order to correct for the remaining small differ-  
27      ences between the jet response in data and simulation. These corrections are typically of the order  
28      of a few percent.

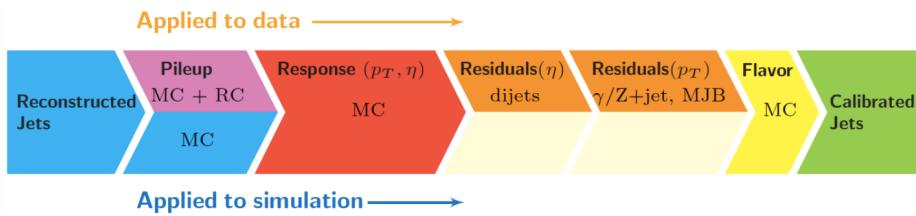


Figure 4.4: Graphical overview of the factorized approach used at CMS to apply jet energy corrections.

29      Finally, a set of identification criteria are applied on the PF jets. A jet is required to consist of at least  
30      two particles. For jets in the region  $|\eta| < 2.7$ , the fraction of energy coming from ether neutral hadrons  
31      or photons should not exceed 99%. Additionally, for jets restricted to the tracker acceptance ( $|\eta| < 2.4$ ),  
32      there should at least be some energy deposited in the HCAL, the jet should contain 1 or more charged  
33      constituent, and the fraction of energy corresponding to electrons or photons should not exceed 99%.

#### 34      4.3.6 Missing transverse energy reconstruction

35      While most particles produced in the collisions can be reconstructed from the hits and energy deposits  
36      in the detector, some collision products might not leave energy deposits in tracker, calorimeters or muon  
37      system. This makes an accurate reconstruction of this type of particles rather challenging. Another  
38      method is therefore used, based on indirect observations. As the detector is hermetically closed such that  
39      all other particles in the event can be detected, the missing transverse energy can be determined. This

1 energy then corresponds to all undetected particles in the event, and can be calculated from the vectorial  
 2 sum of the transverse momenta of all the observed final state particles:

$$\vec{E}_T^{miss} = - \sum \vec{p}_T, \quad (4.4)$$

3 where the sum runs over all reconstructed PF particles.

4 A notable example of particles leaving no hits or energy deposits behind are neutrinos, as they are  
 5 neutral and weakly interacting and will therefore traverse the entire detector. Other hypothetical neutral  
 6 weakly interacting particles, which are being searched for in many physics analyses, would escape the  
 7 detector without producing hits as well.

## 8 **4.4 Simulation of the SIMP signal**

9 For the generation of the SIMP signal, the model Lagrangian given in equation ?? is implemented in  
 10 FEYNRULES 2.0 [107]. Next, the matrix element is calculated at LO and events are generated using  
 11 MADGRAPH 5. The subsequent parton shower and hadronization is done with PYTHIA 8, using tune  
 12 CUEP8M1. Next, the events are simulated in the CMS detector using GEANT. However, the SIMPs  
 13 are not included in the simulation, as their interaction with matter is not implemented in GEANT. The  
 14 SIMPs were instead incorporated by adding an additional step to the standard reconstruction described in  
 15 Section 4.3. In this additional step the SIMPs are directly converted to neutral PF candidates and merged  
 16 with the rest of the PF candidates. The standard pileup corrections, jet clustering, and charged hadron  
 17 subtraction are then applied in order to obtain the resulting jets, denoted here as P2PF jets.

18 In order to validate this method, a second sample was produced using neutrons instead of SIMPs and  
 19 applying the same additional step. In this case the the neutrons will also be correctly reconstructed by the  
 20 standard reconstruction. The reconstructed PF candidates that are matched to the generated neutrons were  
 21 therefore removed before injecting the converted generated neutrons to the collection of PF candidates.  
 22 This sample is then used to evaluate the difference with a standard neutron sample where the full GEANT  
 23 simulation is done. Neutrons were chosen because of their resemblance to the SIMPs as single neutral  
 24 particles generating a hadronic shower.

25 The two leading generator-level jets (GEN) can then be compared to the uncorrected P2PF jets from  
 26 the custom sample and the PF jets from the standard sample. The transverse momentum of these jets is  
 27 compared in Figure 4.5. This shows that the jet energy resolution (JER) is not described properly, since  
 28 the additional step directly converts generated particles to PF candidates without taking into account any  
 29 other effects. In order to produce a more realistic simulation, the new PF candidates are therefore smeared  
 30 with JER distributions derived using the uncorrected PF jets matching the neutrons in the standard neutron  
 31 sample in bins of  $\eta$  and  $p_T$ . An example of this resolution is shown in Figure 4.6 for central neutrons  
 32 with low and high transverse momentum.

33 After applying this smearing, the P2PF jets are processed with the standard sequence of charged  
 34 hadron subtraction, jet clustering, L1FastJet, and L2/L3 corrections. The comparison of the corrected  
 35 P2PF jets and the standard corrected PF jets is shown in Figure 4.7 for the neutron sample, validating  
 36 that the jet transverse momentum is now correctly smeared. The JER distributions are also compared in  
 37 Figure 4.8 and fitted with a Crystal Ball function, showing compatible parameters. This demonstrates  
 38 that the procedure, where the JER distributions derived from a neutron sample are used to smear the PF  
 39 candidates from generator-level SIMPs, can sufficiently accurately simulate SIMPs in a realistic detector.

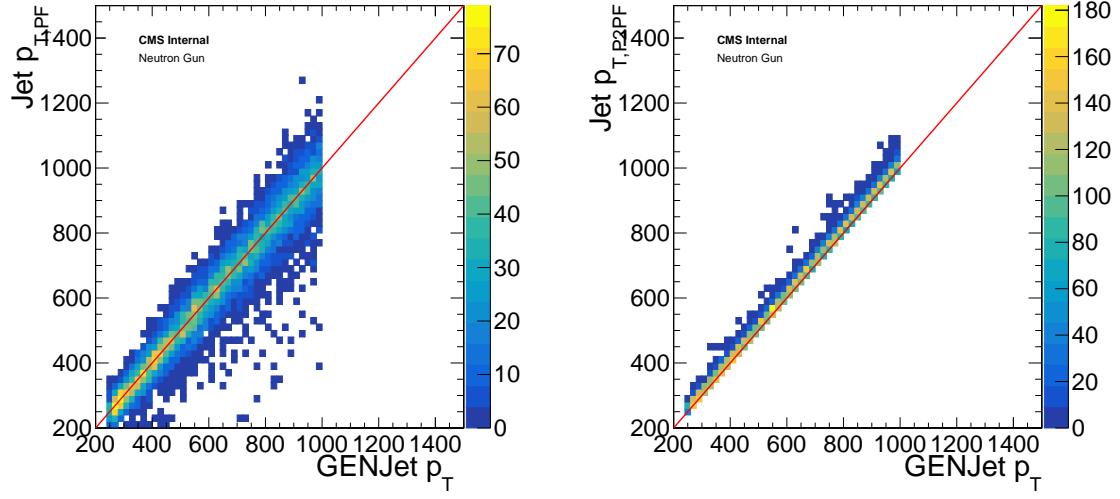


Figure 4.5: Comparison of the transverse momentum of the generator-level jets to the PF jets (left) and P2PF jets (right) without jet energy resolution smearing, using a neutron sample.

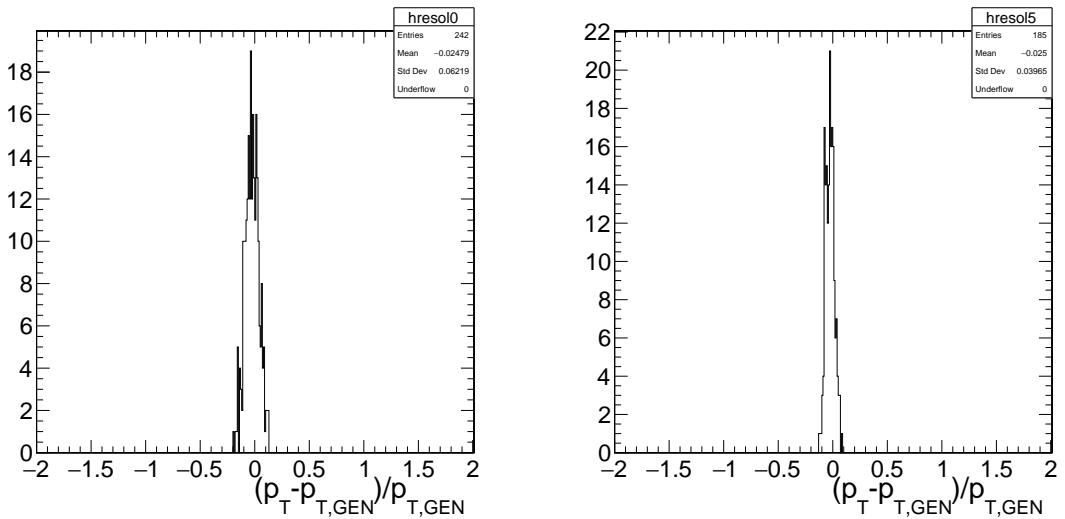


Figure 4.6: The jet energy resolution of neutrons with  $0 < |\eta| < 0.5$  and  $200 \text{ GeV} < p_T < 300 \text{ GeV}$  (left) or  $700 \text{ GeV} < p_T < 800 \text{ GeV}$  (right).

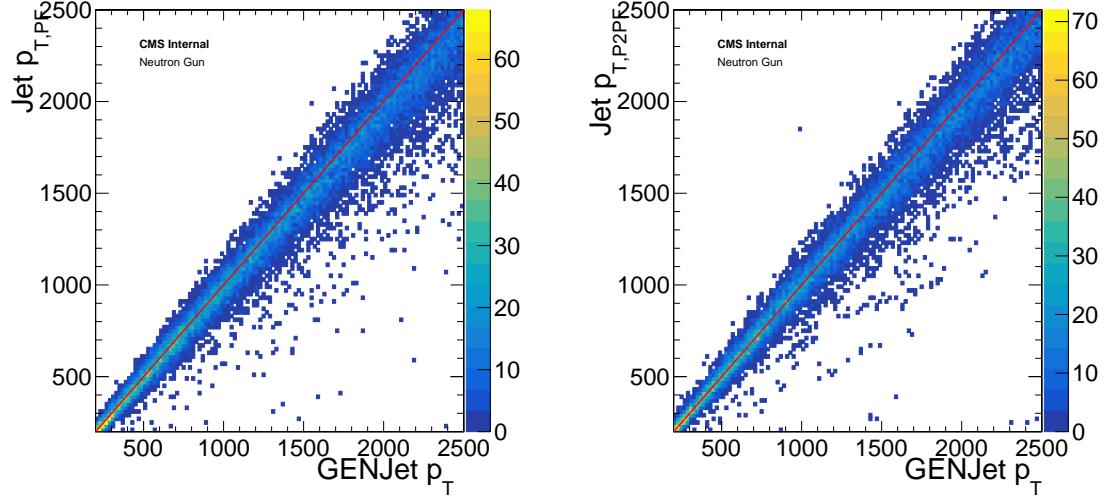


Figure 4.7: Comparison of the transverse momentum of the generator-level jets to the PF jets (left) and P2PF jets (right) in the region  $0 < |\eta| < 0.5$  with jet energy resolution smearing, using a neutron sample.

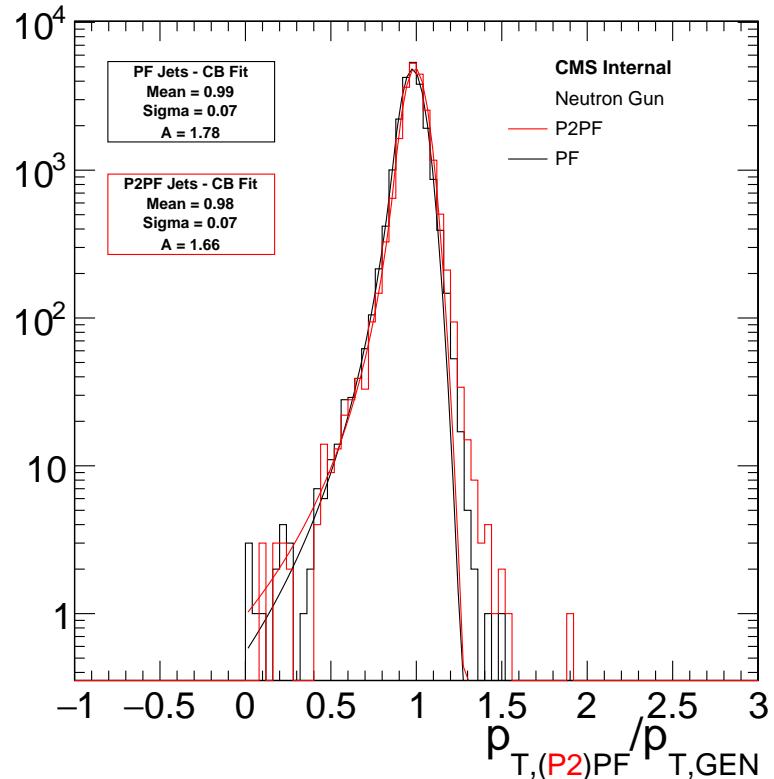


Figure 4.8: The jet energy resolution of the corrected P2PF jets (red) and PF jets (black), fitted with a Crystal Ball function.

# 5

1

2

## The Monojet Analysis

3 As has been described in Chapter 2, there are many searches for dark matter both at particle accelerators  
4 and elsewhere. At the LHC, one very promising channel is the Monojet search, where the detection of  
5 dark matter is done by looking for missing energy in association with one or more jets. The dark matter  
6 particles are expected to pass through the detector without leaving any signal since they are neutral and  
7 only interact very weakly. They can however be detected indirectly as missing energy when jets are  
8 produced as well, from initial or final state radiation.

9 First, a motivation for this search is given in Section 5.1, detailing the models producing the dark  
10 matter particles that are being searched for with this analysis. In the next sections, the event selection  
11 and the estimation of the background are described, respectively in Sections 5.2 and 5.3. In Section 5.4,  
12 the obtained results are shown. The improvements achieved by going from the analysis strategy used in  
13 2015 to the 2016 version are detailed in Section 5.5. Finally, the results are interpreted in terms of the  
14 considered dark matter models in Section 5.6.

### 15 5.1 Motivation

### 16 5.2 Event selection

17 **MET: add in chapter 3**  
18 negative vector sum of  $p_T$  of all pf candidates in the event (+ type I corrections from jets + corrections in  
19 simulation as well to match data)  
20 **b-tagging: add in chapter 3**

21 In order to select events that have the Monojet signature, they are selected by the trigger to have .  
22 Once the events have been fully reconstructed and the jets in the event have been corrected as de-  
23 scribed in Section 4.3.5, and event selection is applied by requiring the missing transverse energy  $E_T^{miss}$   
24 to be larger than 200 GeV in order to be consistent with the trigger turn-on. Additionally, the leading  
25 jet is required to have  $p_T > 100$  GeV,  $|\eta| < 2.5$ , and to pass the loose jet identification, the pileup jet  
26 identification, and the jet cleaning. **add in chapter 3: loose jet ID, pileup jet ID**. The jet cleaning is done  
27 by requiring a jet charged hadron energy fraction CHF  $> 0.1$  and a jet neutral hadron energy fraction  
28 NHF  $< 0.8$ . The same requirements are applied for the remaining jets to be taken into account, except  
29 for the  $p_T$  threshold which is at 30 GeV in this case. A cut on the difference in azimuthal angle between  
30 the  $E_T^{miss}$  and the first four leading jets of  $\Delta\phi(jet, E_T^{miss}) > 0.5$  is also applied to suppress the QCD  
31 background. The events are further cleaned by applying  $E_T^{miss}$  filters to remove events coming detector  
32 noise, such as beam halo and noise in the calorimeters. Finally, events containing a lepton, a photon, or a  
33 b-jet are vetoed as well.

1     The leptons are vetoed to suppress the electroweak backgrounds, such as ..... Electrons (muons)  
 2     are considered for the veto if they pass the loose selection and have  $p_T > 10$  GeV and  $|\eta| < 2.5(2.4)$ . In  
 3     the case of tau leptons, they are required to have  $p_T > 15$  GeV and  $|\eta| < 2.3$ . They should also pass the  
 4     tau identification criteria, which require a jet with an identified subset of particles with a mass consistent  
 5     with the decay products of a hadronic tau and which are isolated with a pileup corrected isolation cut  
 6     requiring less than 5 GeV of energy deposits within a radial cone of  $\Delta R < 0.3$ . Photons are required to  
 7     have  $p_T > 15$  GeV,  $|\eta| < 2.5$ , and to pass the loose identification criteria. The photon veto is added to  
 8     suppress the  $Z(\nu\nu) + \text{photon} + \text{jets}$  and  $W(l\nu) + \text{photon} + \text{jets}$  background processes, and to ensure there  
 9     is no overlap with a similar dark matter search which investigates the final state consisting of missing  
 10    energy and a photon. This rejects less than 1% of the signal. Finally, the b-jet veto reduces the top  
 11    background by a factor 3 and only reduces the signal by 5 to 10%, depending on the type and mass of the  
 12    mediator. The b-jets are tagged with the CombinedSecondaryVertexv2 algorithm described in Section ??,  
 13    using working point? > 0.89

### 14    **5.3 Background estimation**

15    pileup reweighting

16      muons: muon veto to suppress electroweak bkgds pt  $\gtrsim 10$ , eta  $\gtrsim 2.4$ , loose selection: muons for control  
 17    regions: global, pf, global track chi2  $\gtrsim 10$ , global track fit includes  $\gtrsim 1$  muon chamber hit, muon segments  
 18    in  $\gtrsim 2$  muon stations, transverse impact parameter wrt PV  $\gtrsim 2\text{mm}$ , longitudinal impact parameter  $\gtrsim 5\text{mm}$ ,  
 19     $\gtrsim 1$  pix hit,  $\gtrsim 8$  trk layers hit, hits in  $\gtrsim 5$  tracker layers, delta beta  $\gtrsim 0.12$

20      electrons: electron veto to suppress electroweak bkgds pt  $\gtrsim 10$ , eta  $\gtrsim 2.5$ , loose selection(table 10)

21      electrons in control region: tight selection (table 11)

22      taus: veto to suppress electroweak bkgds p T  $\gtrsim 15$  GeV, — —  $\gtrsim 2.3$  DecayModeFinding Loose  
 23    combined isolation with correction requiring at least 3 hits for charged candidates in the isolation sum,  
 24    should be less than 5 GeV

25      photons: veto to suppress some backgrounds ( $Z(\gamma) + \text{jet}$ ,  $W(l) + \text{jet}$ ) and to ensure clean separation  
 26    from the mono-photon final state. pT  $\gtrsim 15$  GeV and — —  $\gtrsim 2.5$ , loose identification criteria described in  
 27    Table 12

28      photons in control region to estimate Znunu bkgd: tighter selection table 13, pt  $\gtrsim 175$  (single photon  
 29    trigger), in barrel  $-|\eta| \leq 2.5$

30       $\gtrsim 1\%$  rejection of signal For muons and electrons this reduces the influence of large brehmstrahlung,  
 31    further minimizing anomalous effects that would modify the recoil.

32      photon purity (2 methods):

33      lepton and photon eff: 2 methods

### 34    **5.4 Results**

### 35    **5.5 Improvement going from the 2015 to 2016 analysis**

### 36    **5.6 Interpretation**

# 6

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## Search for SIMPs using Trackless Jets

3 **6.1 Introduction**

4 **6.2 Event selection**

5 **6.3 Background estimation**

6 **6.4 Results**

7 **6.5 SIMP model interpretation**



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## Conclusion & Outlook



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# List of Acronyms

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3

## A

4

5  
6 ATLAS Almost Toroidal LHC ApparatuS

7

8

## B

9

10  
11 BU Builder Unit

12

13

## C

14

15  
16 CERN European Organization for Nuclear Research  
17 CHS charged hadron subtraction  
18 CMB Cosmic Microwave Background  
19 CMS Compact Muon Solenoid  
20 CSC Cathode Strip Chambers

21

22

## D

23

24  
25 DAQ data acquisition  
26 DQM Data Quality Monitoring  
27 DT Drift Tubes

28

29

## E

30

31  
32 ECAL electromagnetic calorimeter

33

34

## F

35

36  
37 FED Front End Driver  
38 FSR final state radiation  
39 FU Filter Unit

1

2

**G**

3

4

5 GSF Gaussian-sum filter

6

7

**H**

8

9 10 HCAL hadronic calorimeter

11 HLT High-Level Trigger

12 HSCP heavy stable neutral particle

13

14

**I**

15

16

17 IP interaction point

18 ISR initial state radiation

19

20

**J**

21

22

23 JER jet energy resolution

24

25

**L**

26

27

28 L1 Level-1

29 LEIR Low Energy Ion Ring

30 LEP Large Electron Positron

31 LHC Large Hadron Collider

32 LO leading order

33

34

**N**

35

36

37 NLO next-to-leading order

38

39

**P**

40

41

42 PDF parton distribution function

43 PF particle flow

44 PS Proton Synchrotron

45 PSB Proton Synchrotron Booster

1

2

**Q**

3

4

5 QCD

Quantum Chromodynamics

6

7

**R**

8

9 10 RF

radio-frequency

11 ROC

read-out chip

12 RPC

Resistive Plate Chambers

13 RU

Readout Unit

14

15

**S**

16

17 18 SIDM

self-interacting dark matter

19 SIMP

strongly interacting massive particle

20 SPS

Super Proton Synchrotron

21 SUSY

supersymmetry

22

23

**T**

24

25 26 TEC

Tracker EndCaps

27 TIB

Tracker Inner Barrel

28 TID

Tracker Inner Disks

29 TOB

Tracker Outer Barrel

30

31

**W**

32

33 34 WIMP

weakly interacting massive particle

