Data Structures Chapter 5: Heap and Priority Queue

- 1. Heap & Priority Queue
- 2. Heapsort
- 3. Heap & PQ Coding



자기 아들을 아끼지 아니하시고 우리 모든 사람을 위하여 내주신 이가 어찌 그 아들과 함께 모든 것을 우리에게 주시지 아니하겠느냐 (로마서 8:32)

우리가 알거니와 하나님을 사랑하는 자 곧 그의 뜻대로 부르심을 입은 자들에게는 모든 것이 합력하여 선을 이루느니라 (로마서 8:28)

Heap ADT - heap.h

- Heap ADT: A one based and one dimensional array is used to simplify parent and child calculations.
- heap.h

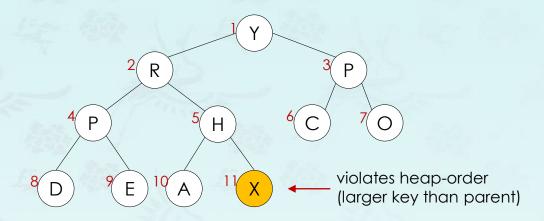
```
struct Heap {
 int *nodes;  // an array of nodes
 int capacity; // array size of node or key, item
 int N;
        // the number of nodes in the heap
 bool (*comp)(Heap*, int, int);
 Heap(int capa = 2) {
   capacity = capa;
   nodes = new int[capacity];
   N = 0;
   comp = nullptr;
 };
 ~Heap() {};
using heap = Heap*;
```

Heap ADT - heap.h

```
void clear(heap hp);
                                // deallocate heap
int size(heap hp);
                                // return nodes in heap currently
int level(int n);
                                // return level based on num of nodes
int capacity(heap hp);
                                // return its capacity (array size)
int reserve(heap hp, int capa); // reserve the array size (= capacity)
int full(heap hp);
                             // return true/false
int empty(heap hp);
                             // return true/false
void grow(heap hp, int key);
                            // add a new key
void trim(heap hp);
                                // delete a queue
int heapify(heap hp);
                             // convert a complete BT into a heap
// helper functions to support grow/trim functions
int less(heap hp, int i, int j);  // used in max heap
int more(heap hp, int i, int j);  // used in min heap
void swim(heap hp, int k);  // bubble up
void sink(heap hp, int k);  // tickle down
// helper functions to check heap invariant
```

- To eliminate the violation:
 - Swap key in child with key in parent.
 - Repeat until heap order restored.

This is a maxheap example.



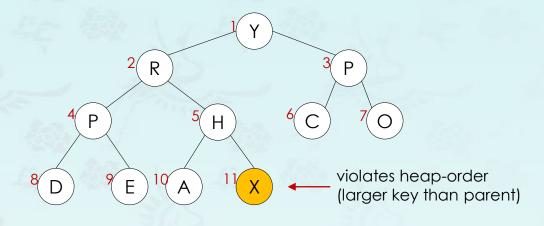
swim up or sink down?

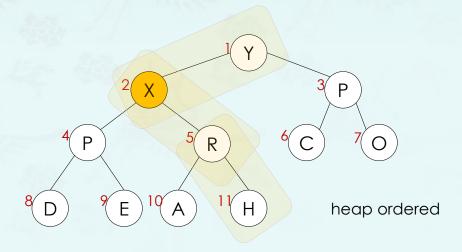
- To eliminate the violation:
 - Swap key in child with key in parent.
 - Repeat until heap order restored.

```
bool less (heap h, int p, int c) {
    return h->nodes[p] < h->nodes[c];
}
```

```
void swap (heap h, int p, int c) {
   int item = h->nodes[p];
   h->nodes[p] = h->nodes[c];
   h->nodes[c] = item;
} // Inside this swap(), we may use swap() in c++
```

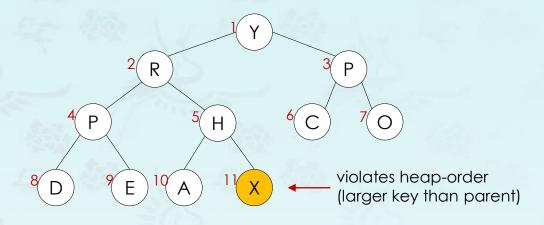
This is a maxheap example.

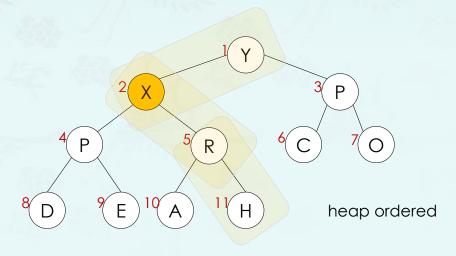




- To eliminate the violation:
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This is a maxheap example.

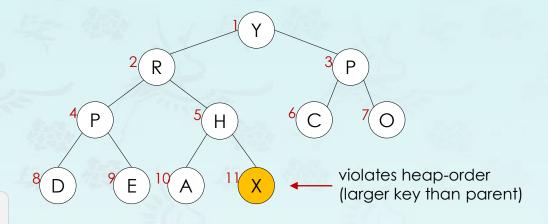


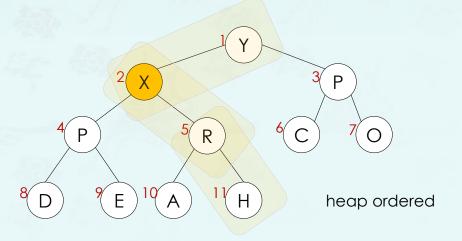


- To eliminate the violation:
 - Swap key in child with key in parent.
 - Repeat until heap order restored.

```
not reached at root , parent is less than its child(k)  
    while (k > 1 \&\& less(h, k / 2, k))  
    swap(h, k / 2, k); swap parent(k/2)  
        k = k / 2; and its child(k)  
    }  
    move up one level
```

This is a maxheap example.

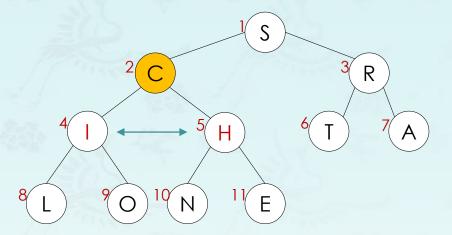




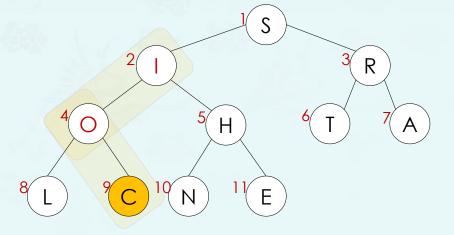
Demotion in a heap: sink

- Parent's key becomes smaller than one (or both) of its children's.
- To eliminate the violation:
 - Swap key in parent with key in larger child (of two)
 - Repeat until heap order restored.

Top-down reheapify (sink)



swim up or sink down?



Demotion in a heap: sink

- Parent's key becomes smaller than one (or both) of its children's.
- To eliminate the violation:

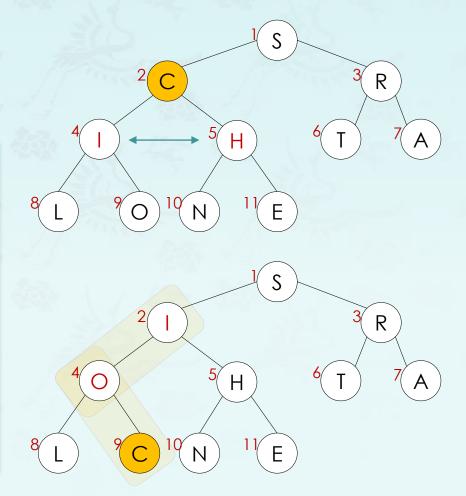
Why not smaller child?

- Swap key in parent with key in larger child (of two)
- Repeat until heap order restored.

```
void sink(heap h, int k)
{
  while (k's child not reached the last)
  {
    find the larger child of k, let it be j. (j = 5)

    if k's key is not less than j's key, break;
    swap k and j since k's key < j's key
    set k to the next node which is j.
  }
}</pre>
```

Top-down reheapify (sink)



Demotion in a heap: sink

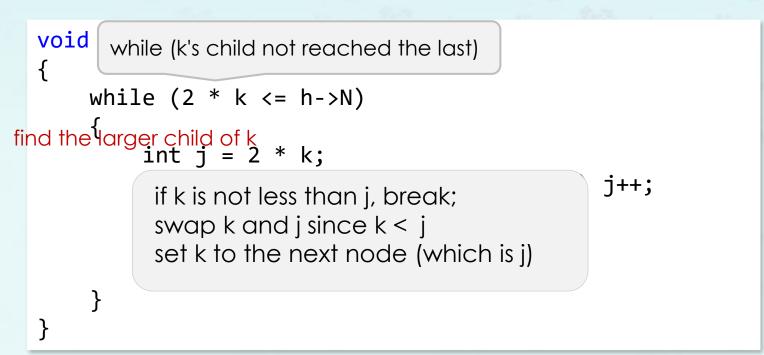
- Parent's key becomes smaller than one (or both) of its children's.
- To eliminate the violation:

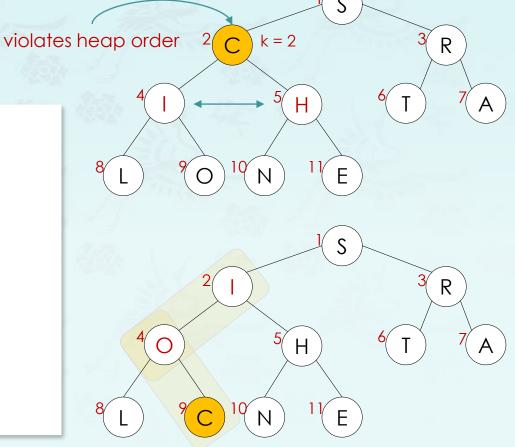
Why not smaller child?

Top-down reheapify (sink)

Swap key in parent with key in larger child (of two)

Repeat until heap order restored.



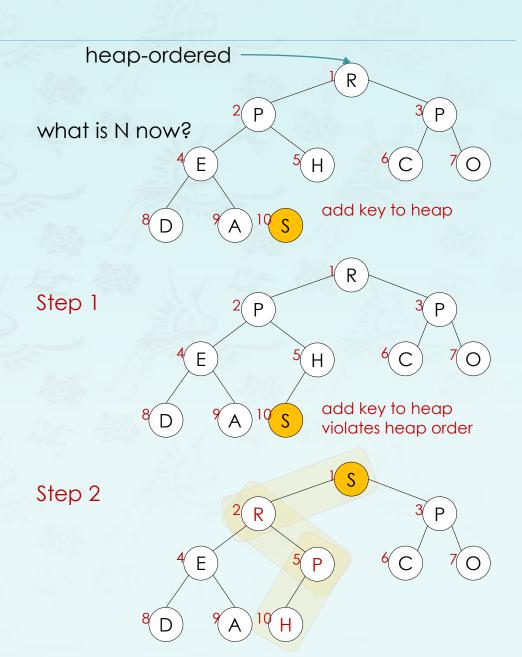


Insert in a heap

- Insert: Add node at end, then swim it up.
 - Cost: At most 1 + log N compares.

```
void insert(heap h, int key)
{
    h->nodes[++h->N] = key;
}
```

```
struct Heap {
  int *nodes;
  int capacity;
  int N;
};
using heap = *Heap;
```



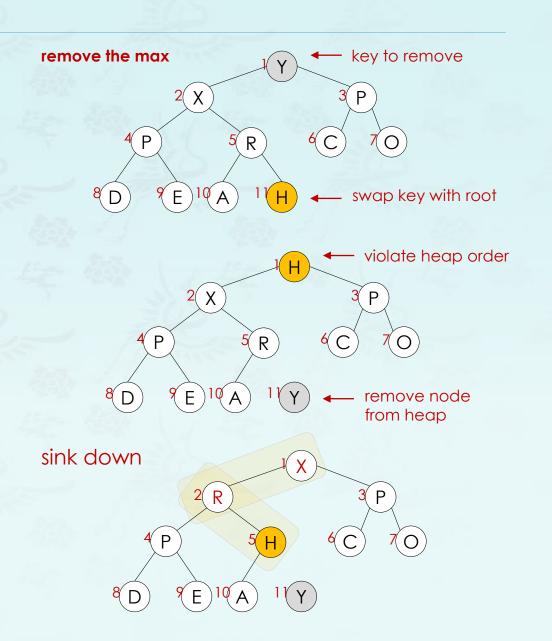
Delete in a heap

Delete the root (max or min) in a heap:

- Delete root: Swap root with node at end, then sink it down.
- Cost: At most 2 log N compares.

```
void delete(heap h)
{
   swap(h, 1, h->N--);
}
```

```
void swim(heap h, int k)
void sink(heap h, int k)
bool less(heap h, int p, int c)
void swap(heap h, int p, int c)
```



Heap ADT

```
void clear(heap hp);
                                      // deallocate heap
int size(heap hp);
                                      // return nodes in heap currently
int level(int n);
                                      // return level based on num of nodes
int capacity(heap hp);
                                      // return its capacity (array size)
int reserve(heap hp, int capa);
                              // reserve the array size (= capacity)
int full(heap hp);
                                      // return true/false
int empty(heap hp);
                                      // return true/false
void grow(heap hp, int key);
                                      // add a new key
void trim(heap hp);
                                      // delete a queue
int heapify(heap hp);
                                      // convert a complete BT into a heap
// helper functions to support grow/trim functions
int less(heap hp, int i, int j);  // used in max heap
int more(heap hp, int i, int j);  // used in min heap
void swim(heap hp, int k);  // bubble up
void sink(heap hp, int k);  // tickle down
// helper functions to check heap invariant
int heapOrdered(heap hp);
                        // is it heap-ordered?
```

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```
// return the number of items in heap
int size(heap hp) {
    return hp->N;
// Is this heap empty?
int empty(heap hp) {
    return (hp->N == 0) ? true : false;
// Is this heap full?
int full(heap hp) {
    return (hp->N == hp->capacity - 1) ? true : false;
```

```
int less(heap hp, int i, int j) {
    return hp->nodes[i] < hp->nodes[j];
void swap(heap hp, int i, int j) {
    int t = hp->nodes[i];
    hp->nodes[i] = hp->nodes[j];
    hp->nodes[j] = t;
void swim(heap hp, int k) {
void sink(heap hp, int k) {
```

```
void grow(heap hp, int key) {
   cout << "YOUR CODE HERE\n";
   // add key @ ++heap->N
   // swim up @ heap->N
}
```

```
void trim(heap hp) {
   if (empty(hp)) return;

cout << "YOUR CODE HERE\n";
}</pre>
```

newCBT()	with a given array, instantiate a new complete binary tree its result is neither maxheap nor minheap.
<pre>heapify() heapsort() heapprint()</pre>	<pre>make a complete binary tree into a max/minheap use max/min-heap to sort elements in heap build a binary tree from heap/CBT for display purpose only</pre>

newCBT() - convert an int array to CBT

```
// instantiates a CBT with given data and its size.
heap newCBT(int *a, int n) {
   int capa = ?

   heap p = new Heap{ capa };

   p->N = n;
   for (int i = 0; i < n; i++)
        p->nodes[i + 1] = a[i];
   return p;
}
```

```
struct Heap {
  int *nodes;
  int capacity;
  int N;
  bool (*comp)(Heap*, int, int);
  Heap(int capa = 2) {
    capacity = capa;
    nodes = new int[capacity];
    N = 0;
    comp = nullptr;
  ~Heap() {};
using heap = Heap*;
```

heapify – convert an int array to max/minheap

```
// start sink() at the last internal node(or parent of the last node)
// since leaf nodes already satisfy the max/min priority property
// This is O(n) algorithm.
void heapify(heap p) {
  for (int k = p->N; k >= 1; k--)
     sink(p, k);
} // this works but inefficiently. Fix it if you can.
```

Convert maxheap to minheap and vice versa

```
case 'z': // turn into max-heap or min-heap
          if (ordered)
            maxType = maxType ? false : true;
          else
            maxType = true;
          setType(hp, maxType);
          heapify(hp);
          ordered = true;
          break;
// driver.cpp
```

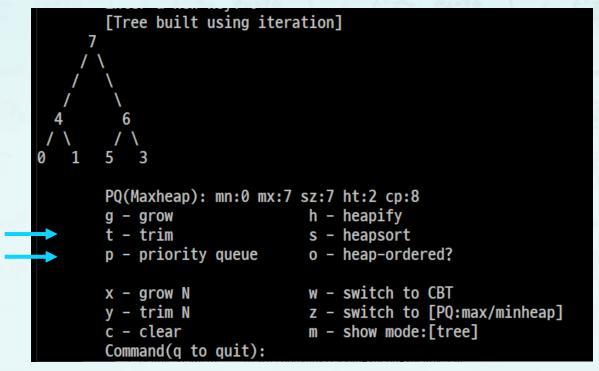
```
// sets the compare function less() for maxheap, more() for minheap.

void setType(heap p, bool maxType) {
  p->comp = maxType ? _____; // comparator fp
} // heap.cpp
```

Priority Queue

It is like a regular queue or stack data structure, but where additionally each element has a "priority" associated with it. In a priority queue, an element with high priority is served before an element with low priority.

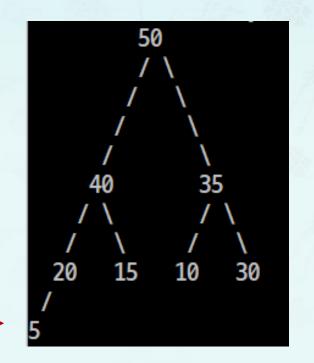
- "trim" removes the root which has the highest priority
- "priority queue" lets user modify the priority (or value) of an element) and move it to the position based on its new priority in the queue.

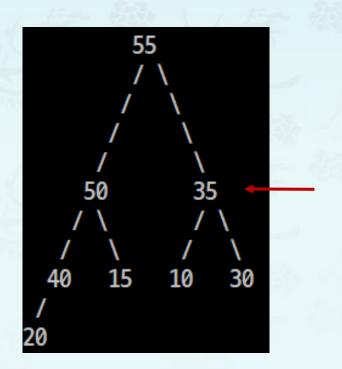


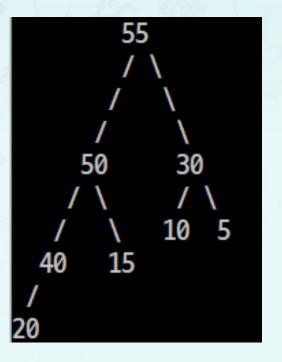
Priority Queue

For example:

- If you change 5 to 55, it will go up to the root and 20 is placed at the bottom.
- If you **change 35 to 5**, 30 will go up where 35 is, then 5 goes down to the right corner.







grow() - inserts a new key to the max-heap or min-heap.

grow(heap p, int key)

- 1. if full(p), invoke **reserve()** to double the size of nodes[]. Use p->capacity * 2.
- 2. add the key to nodes[]. The index of nodes[] must be ++p->N.
- 3. swim up to maintain heap invariant.

```
void grow(heap p, int key) {
  if (full(p))
    ...
  p->nodes ...
  swim...
  return;
}
```

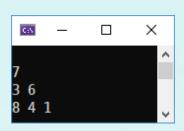
growN()

- 1. Find the max key(max) in heap or CBT.
- 2. Set a function pointer to the function to insert a node.
- Allocate a Key type array such as keys to store random keys.
- 4. Invoke randomN() function to generate keys in the range [(max + 1)..(max + count)]
- 5. Invoke the function to insert keys in keys[], but one key at a time.
- 6. Print the heap if DEBUG is defined whenever a node is inserted.
- 7. Don't forget freeing the array of keys you allocated in Step 3.

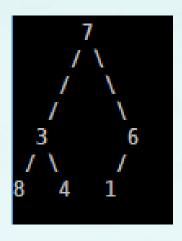
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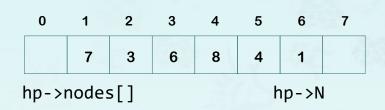
Heapprint(): build a tree from CBT - heapprint.cpp

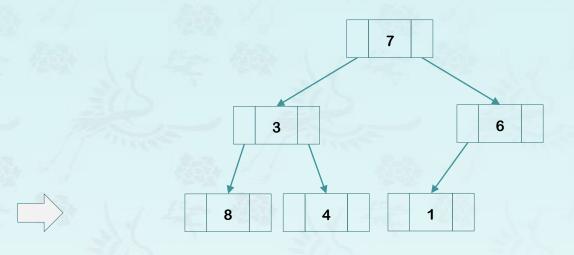




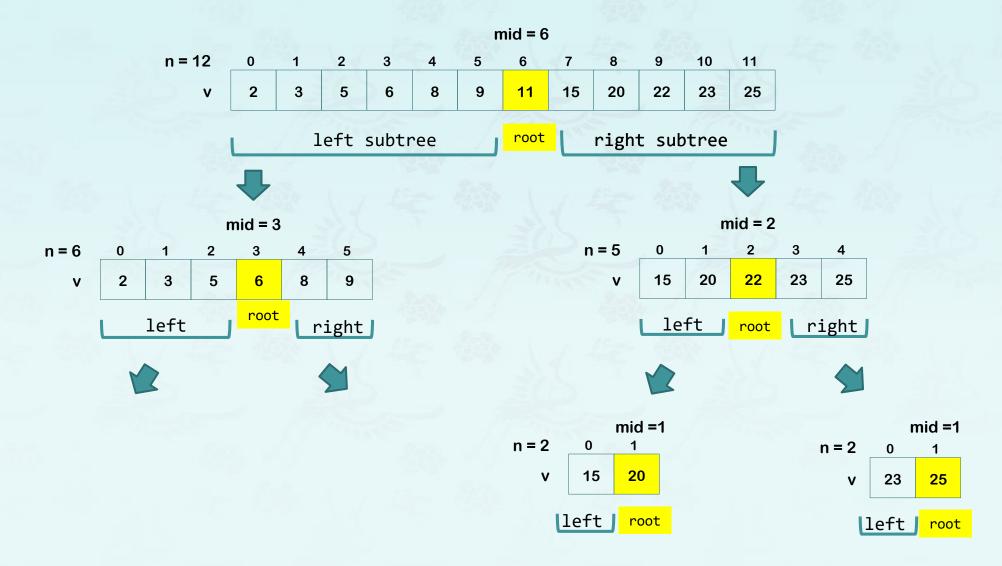


```
// print a heap using treeprint() -
// build a tree to call treeprint()
void heapprint(heap p, int mode) {
  if (empty(p)) return;
  if (size(p) \% 2 == 0) {
    cout << "\t[Tree built using recursion]\n";</pre>
    root = buildBT(p->nodes, 1, size(p)); // using recursion
  else {
    cout << "\t[Tree built using iteration]\n";</pre>
    root = buildBT(p);
                                             // using iteration
  ... treeprint(root);
  clear(root);
```





Building AVL tree from BST in O(n) - Review



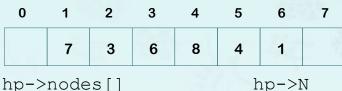
Building AVL tree from BST in O(n) - Review

```
// rebuilds an AVL tree with a list of keys sorted.
// v - an array of keys sorted, n - the array size

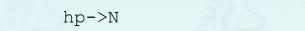
tree buildAVL(int* v, int n) {
  if (n <= 0) return nullptr;

  int mid = n / 2;
   ...
  return root;
}</pre>
```

```
tree reconstruct(tree root) {
  if (root == nullptr) return nullptr;
  if (size(root) > 10) { // recycling method
   vector<tree> v; // get nodes sorted
   root = buildAVL(...);
                      // recreation method
  else {
   vector<int> v;  // get keys sorted
   root = buildAVL(...);
  return root;
```



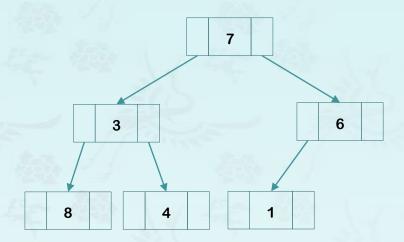


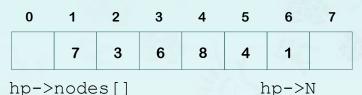


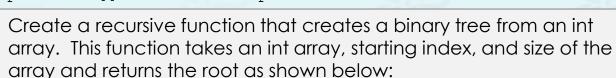
Create a recursive function that creates a binary tree from an int array. This function takes an int array, starting index, and size of the array and returns the root as shown below:

tree buildBT(int *nodes, int i, int n) {

- 1. If **i** > **n**, return nulltptr terminate condition
- 2. Create the tree (root) node with nodes[i]).
 - A. Invoke buildBT() for all its left children (or **i** * **2**). Set its return to the left child of the root.
 - B. Invoke buildBT() for all its right children (or **i** * **2** + **1**). Set its return to the right child of the root.
- 3. return root







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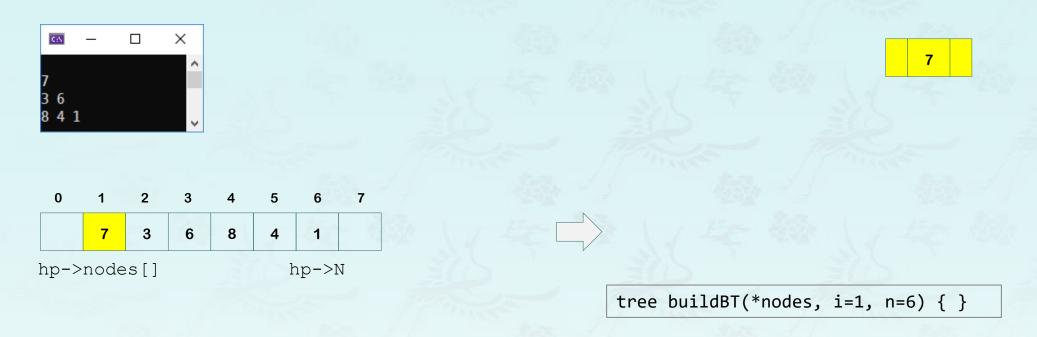
```
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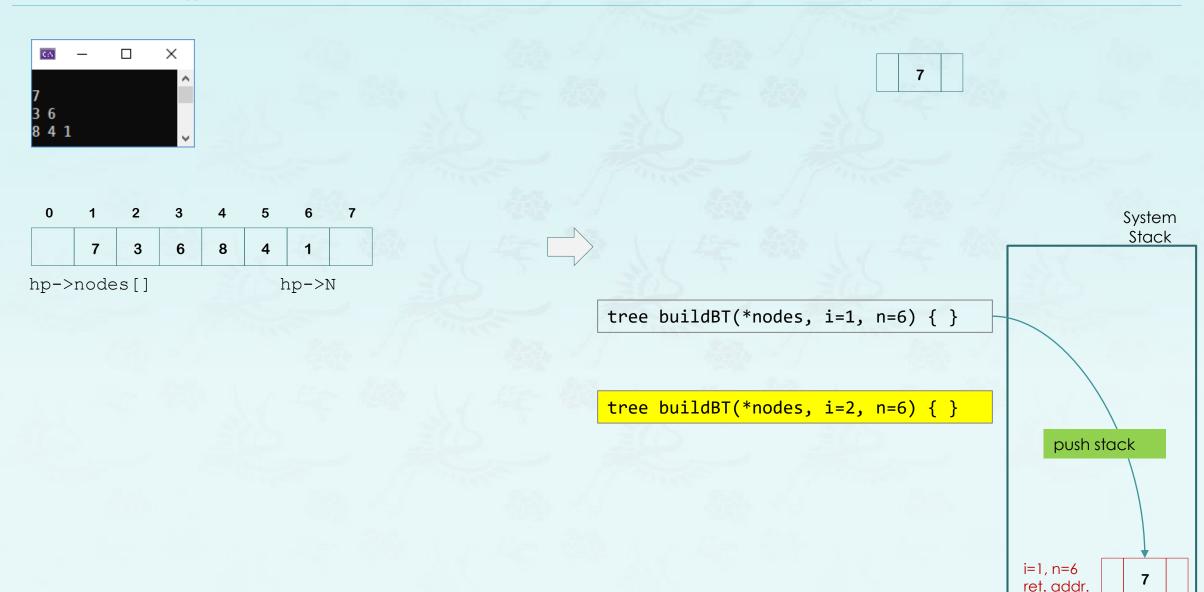
    8
    4

    1
```

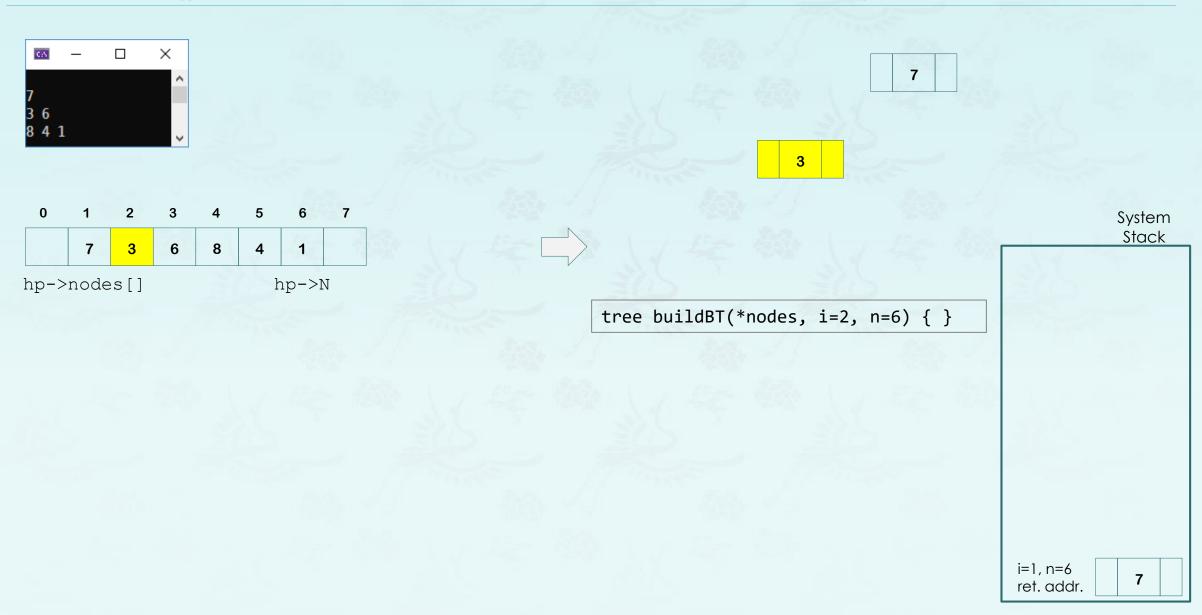
```
tree buildBT(int *nodes, int i, int n) {
  if (i > n) return nullptr;
  tree root = new TreeNode{ nodes[i] };
  root->left = ...
  root->right = ...
  return root;
}
```

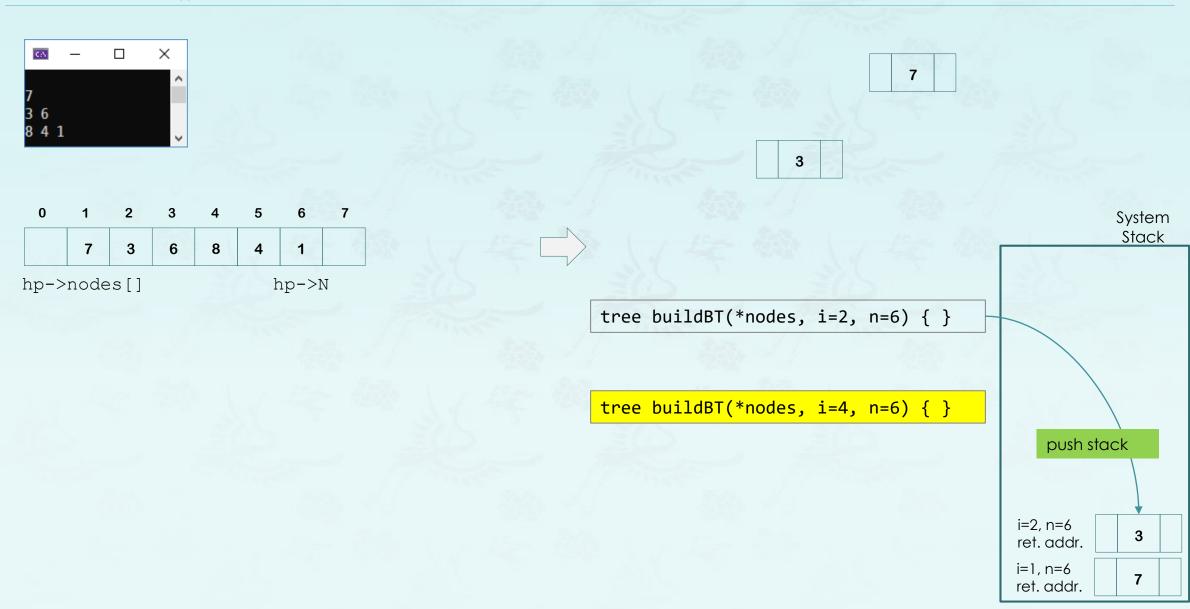
```
void heapprint(heap p) {
  if (empty(p)) return;
  tree root = buildBT(p->nodes, 1, size(p));
  treeprint(root);
}
```



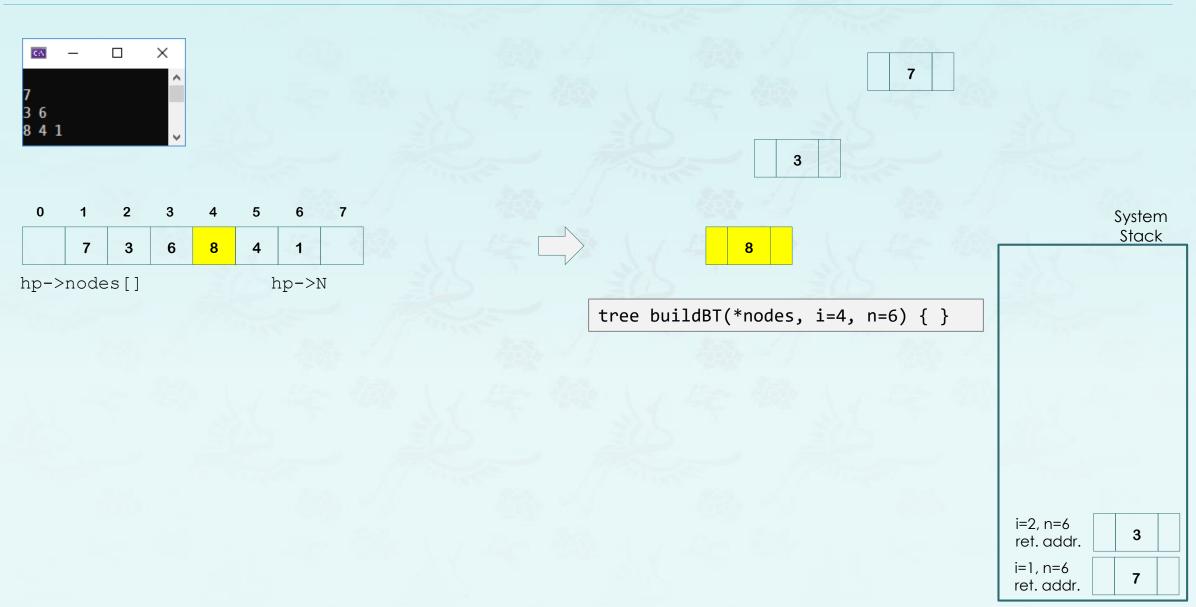


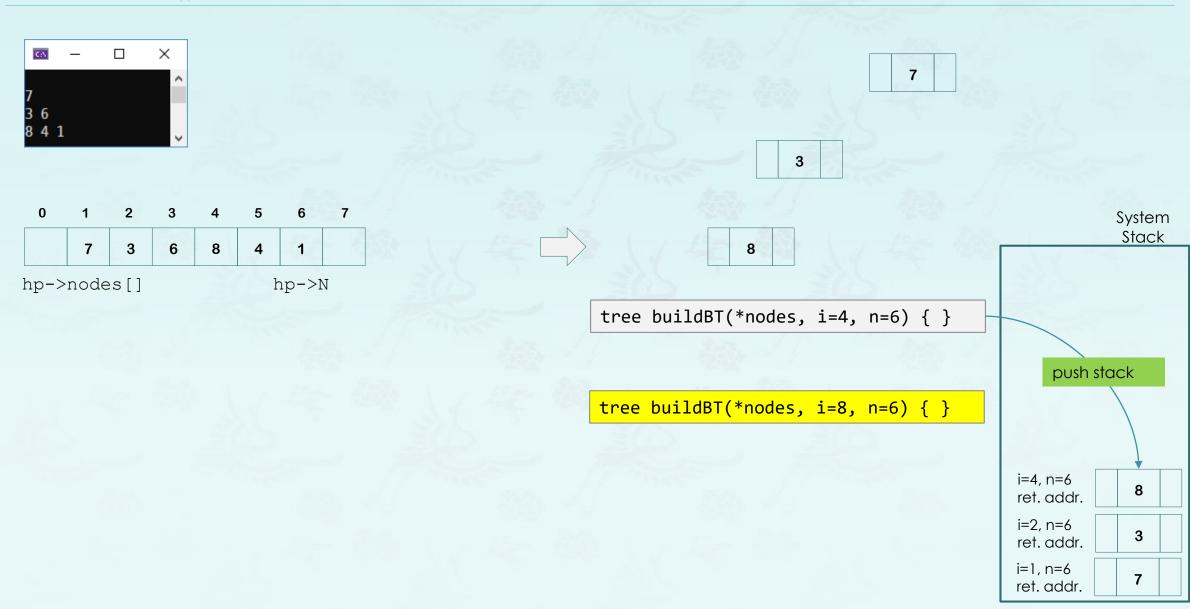


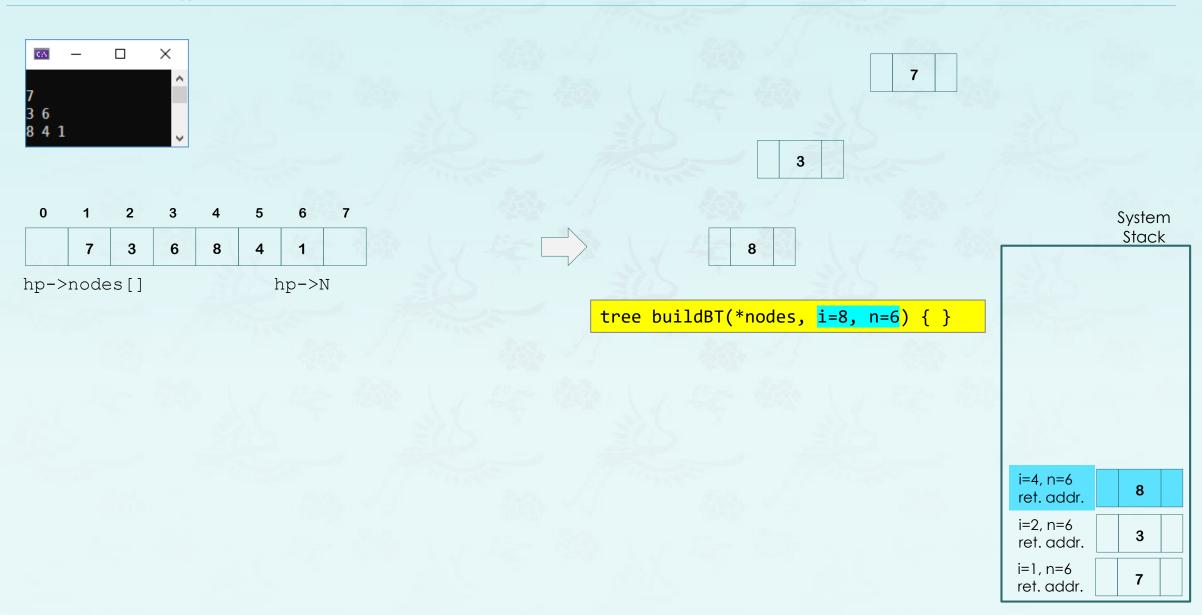


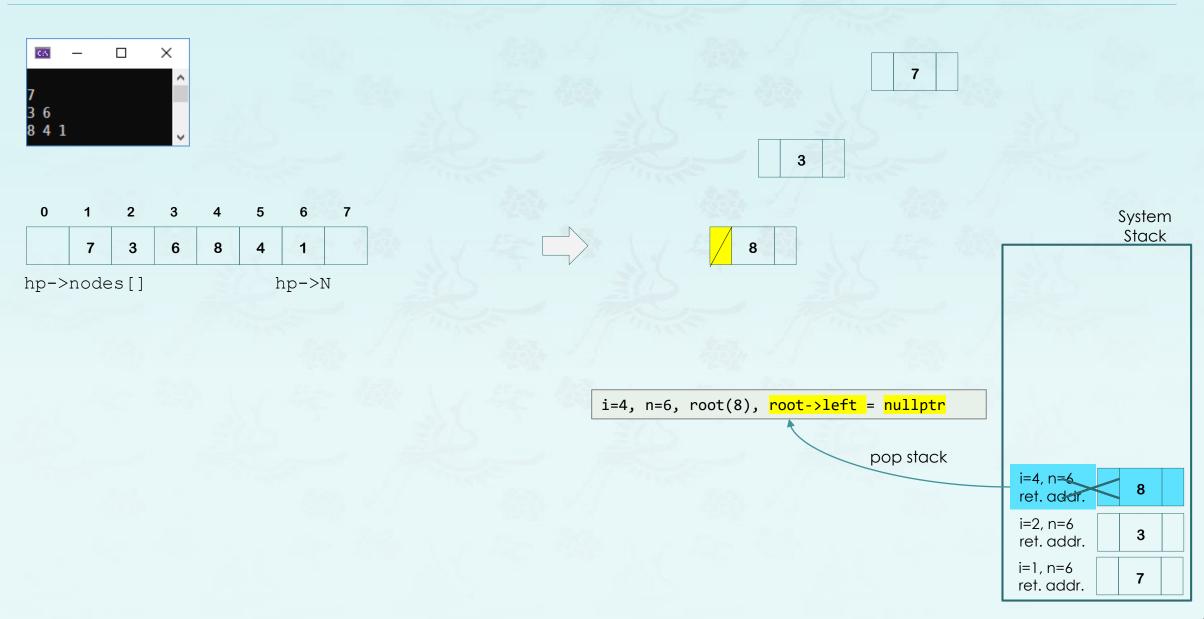


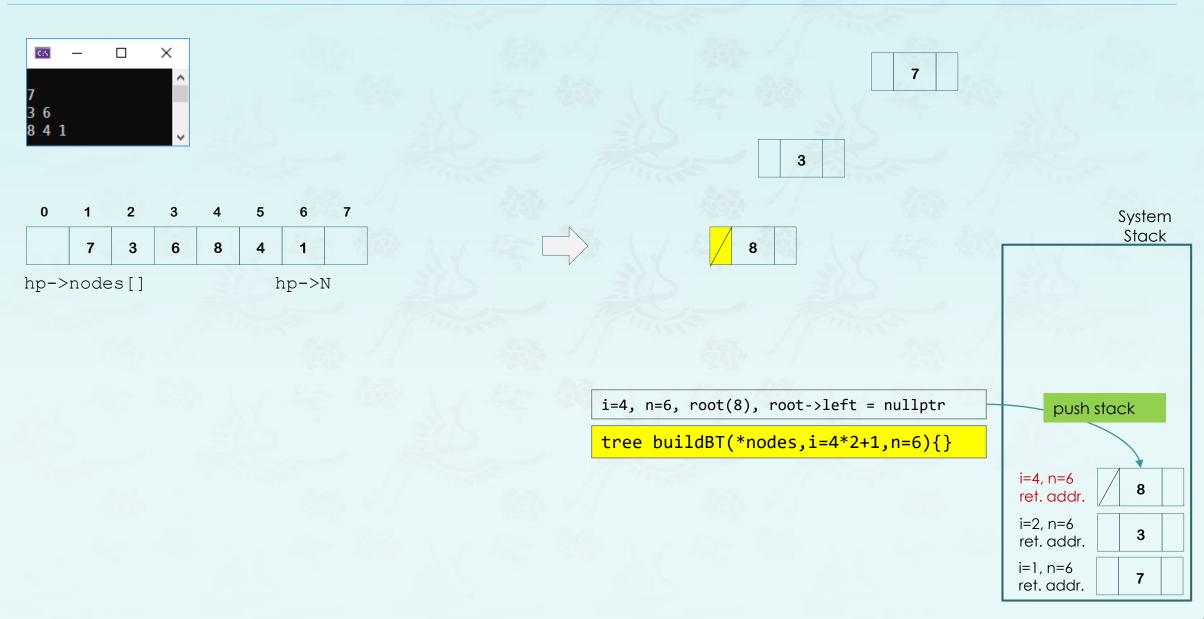


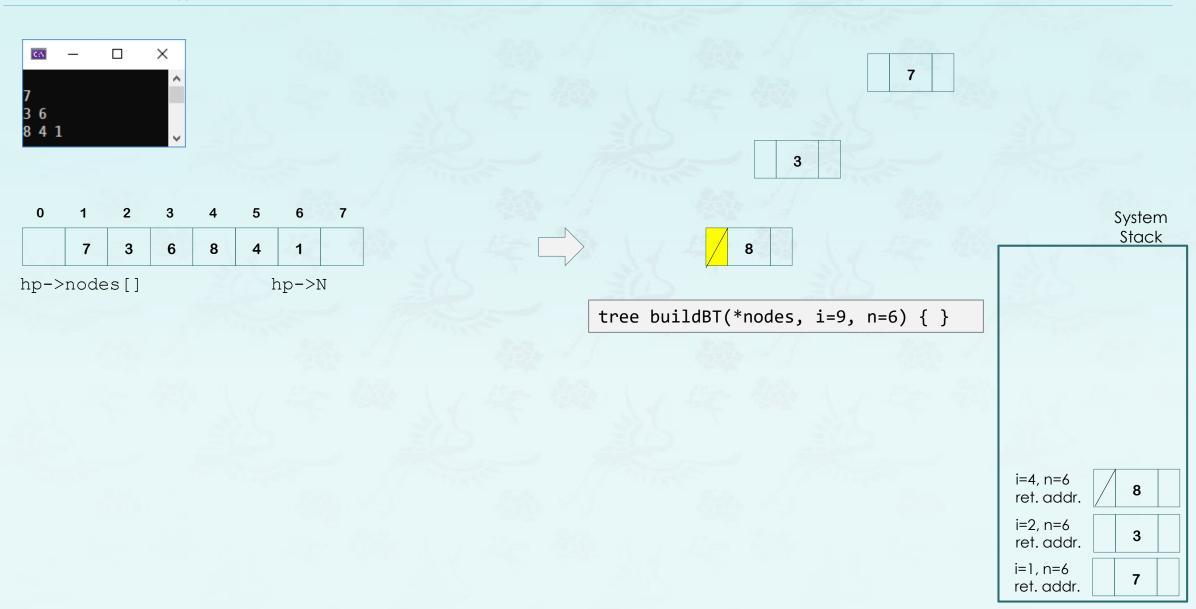


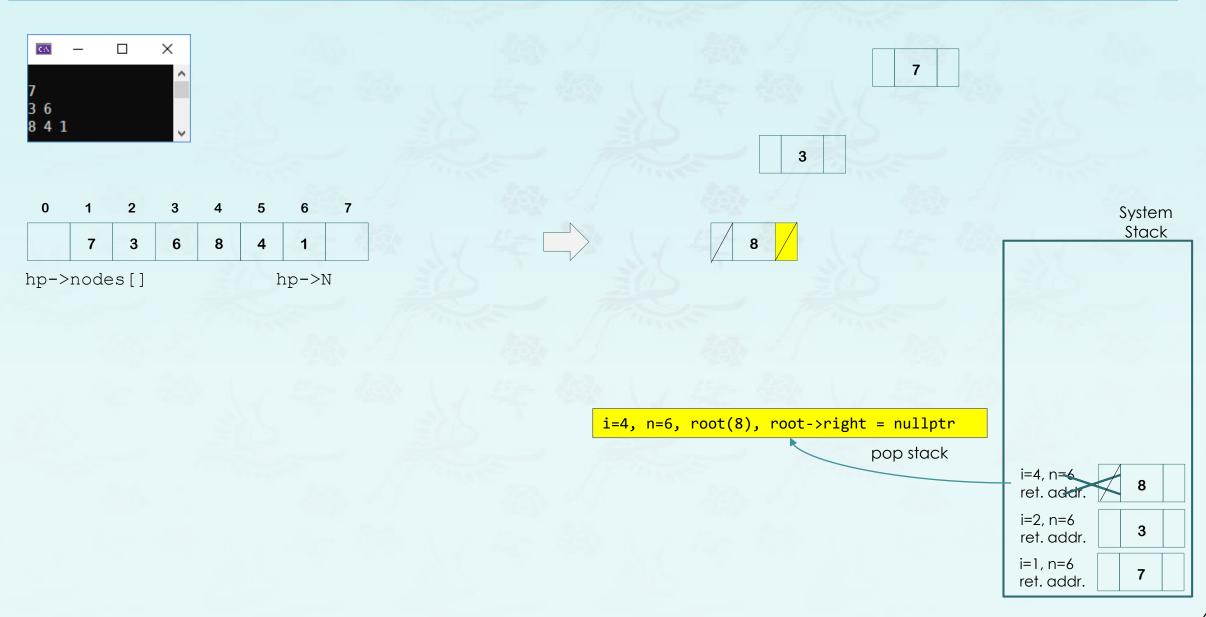


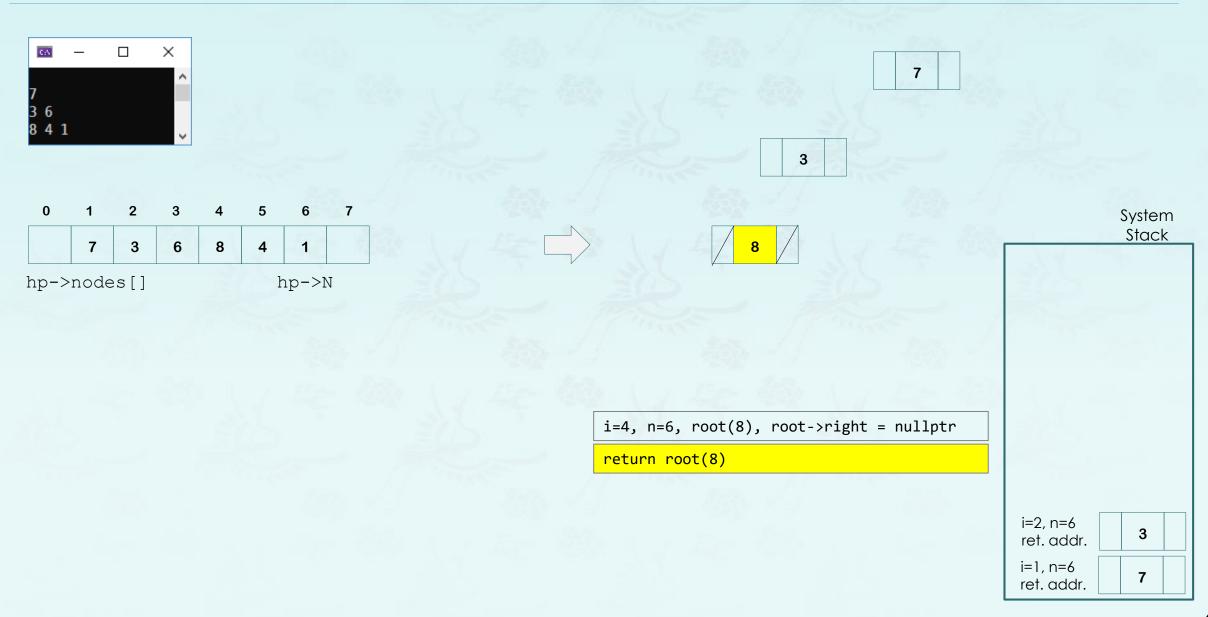


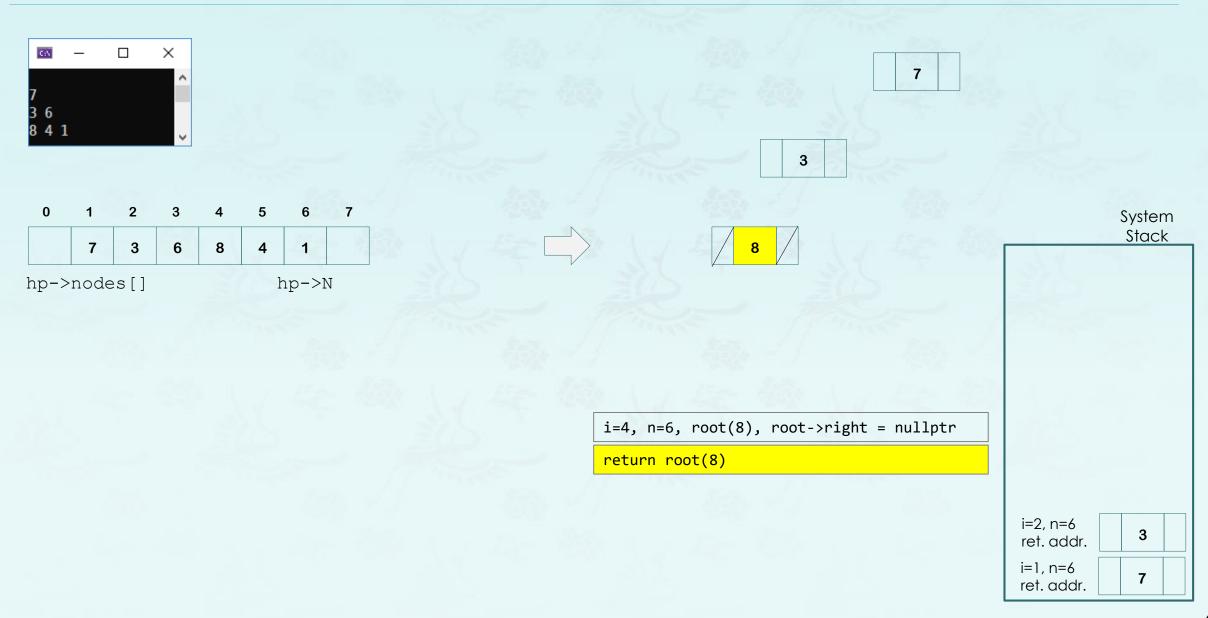


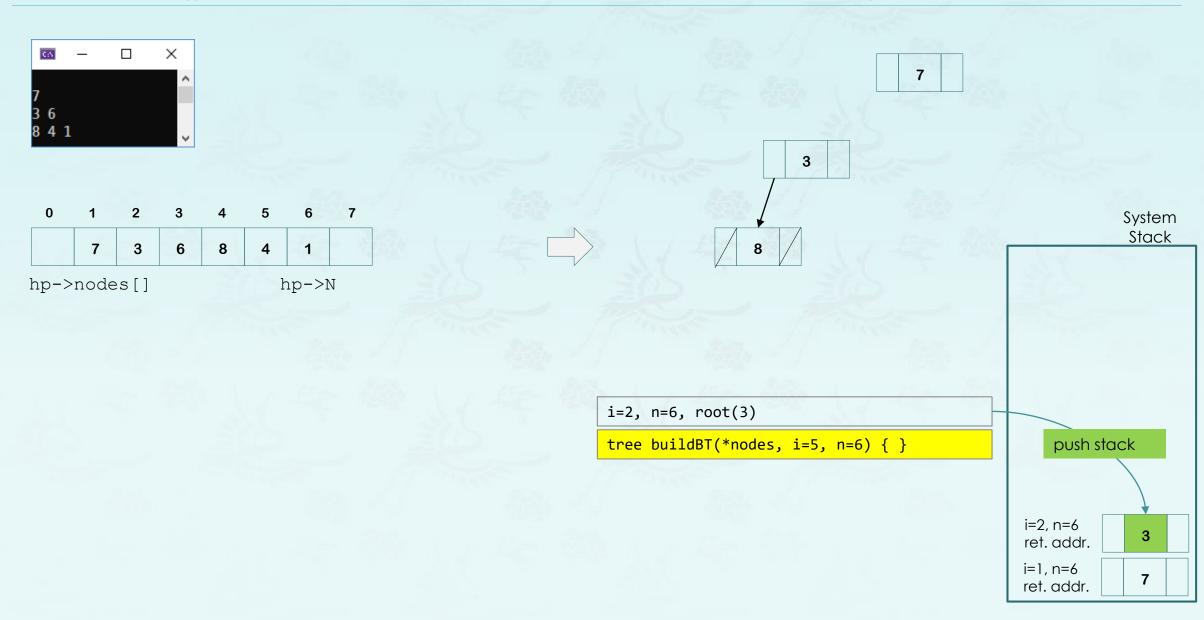


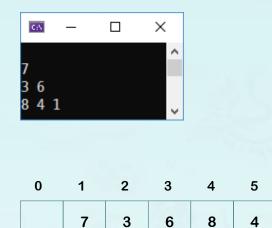






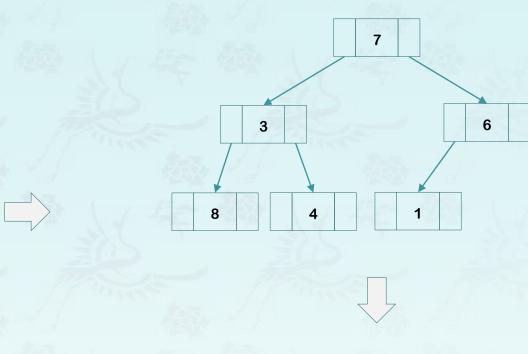


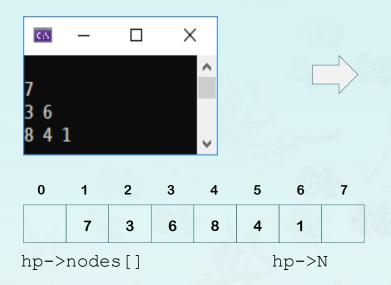


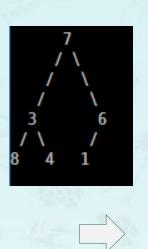


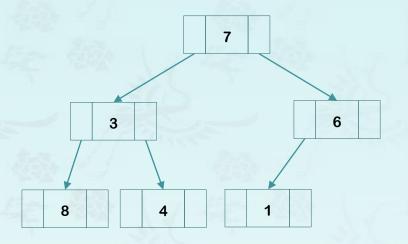
hp->N

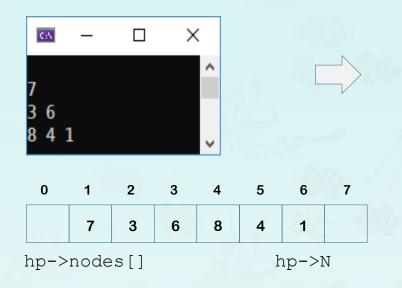
hp->nodes[]

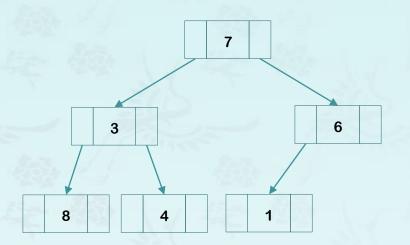




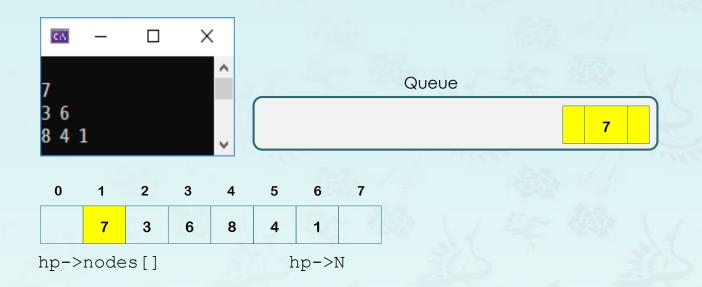




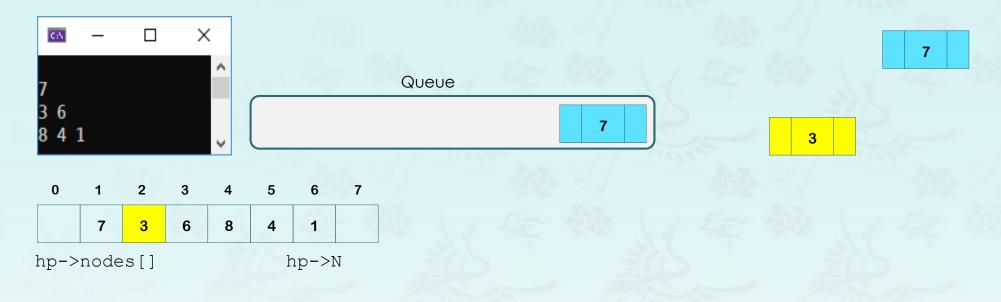




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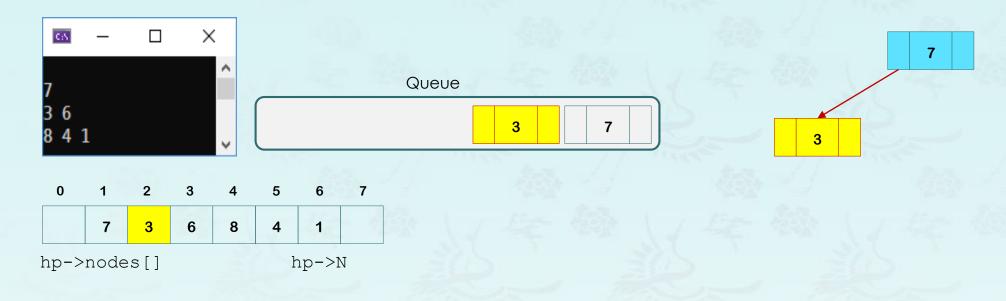
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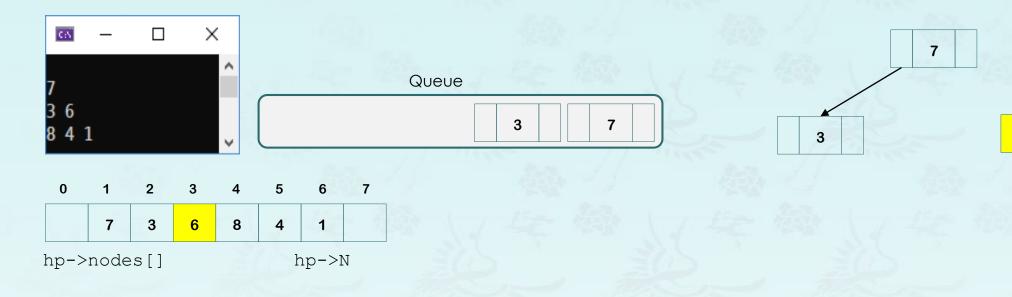
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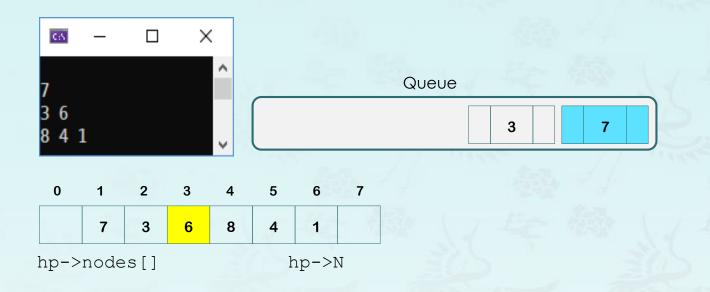
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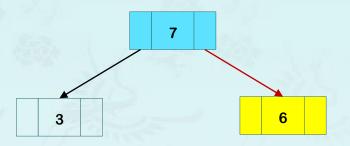


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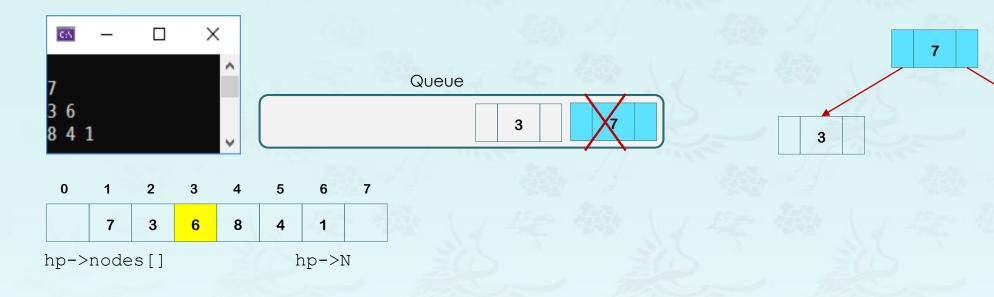


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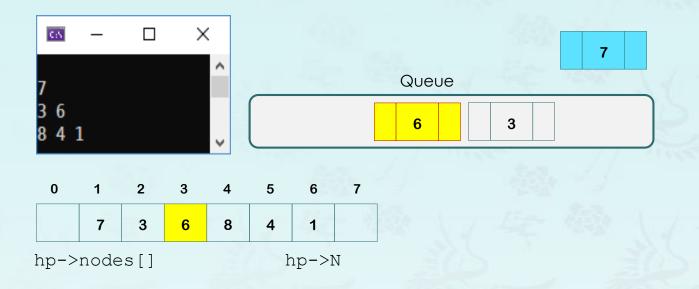


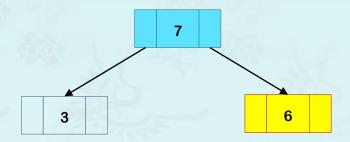


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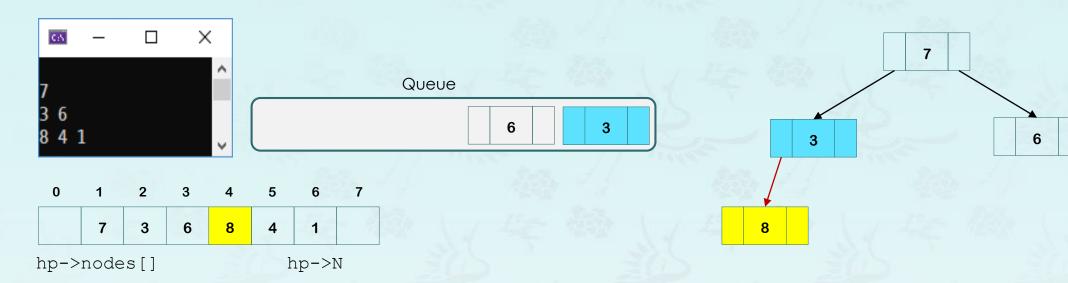
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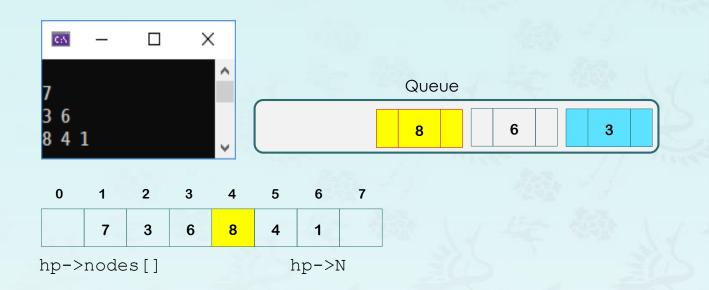
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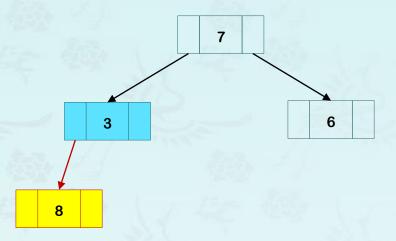


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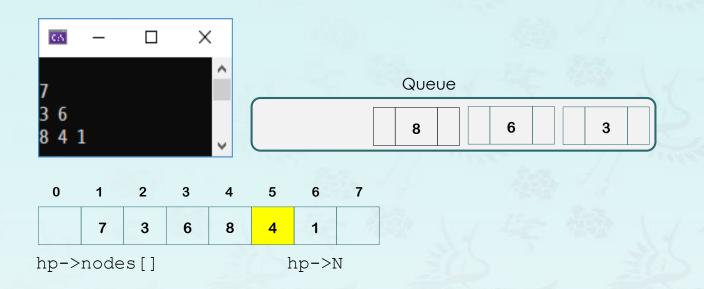


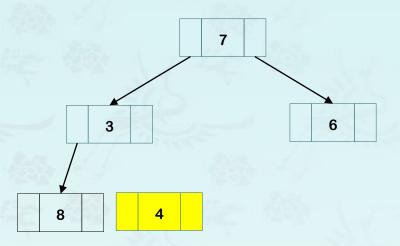
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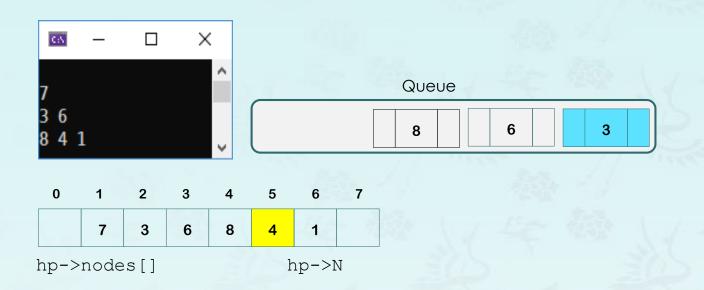


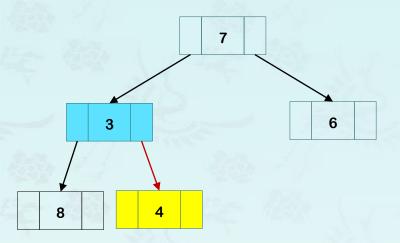
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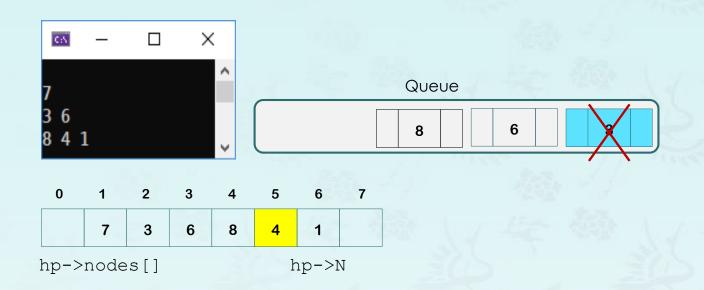


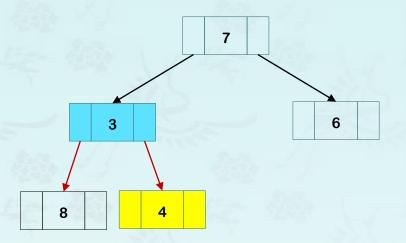
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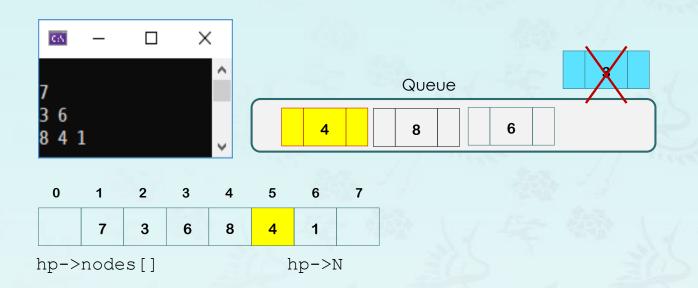


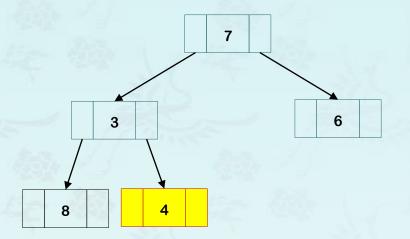
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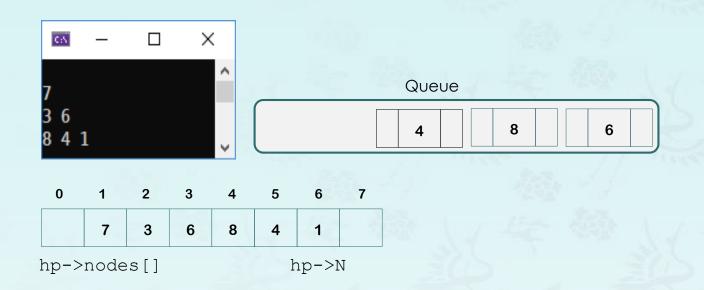


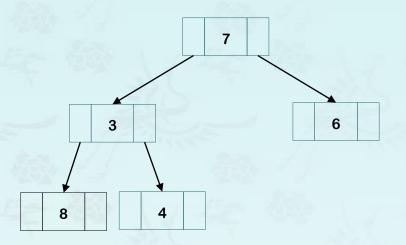
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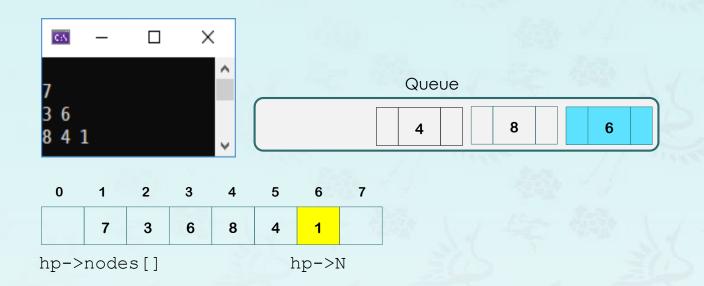


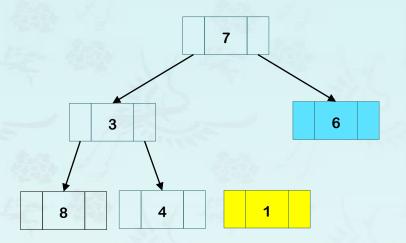
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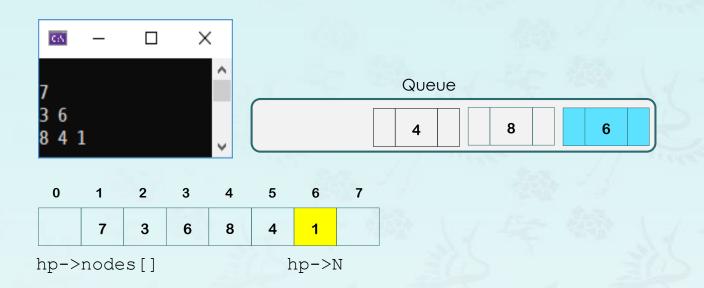


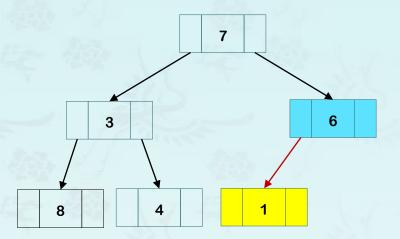
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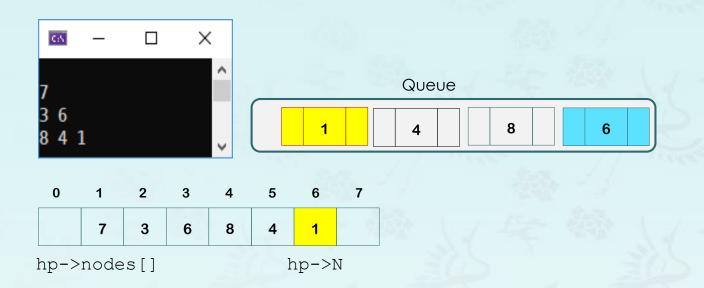


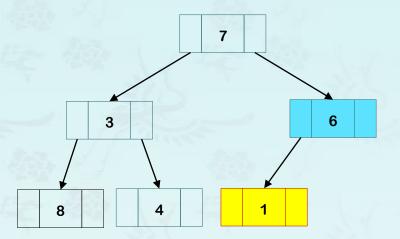
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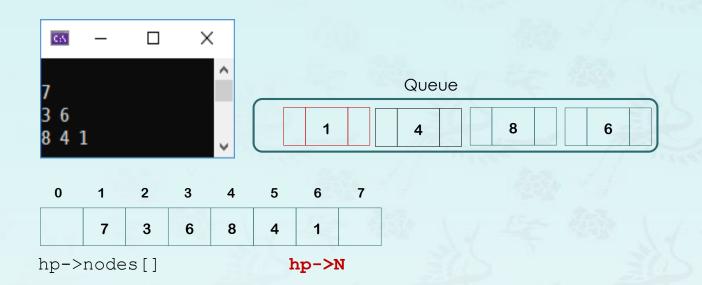


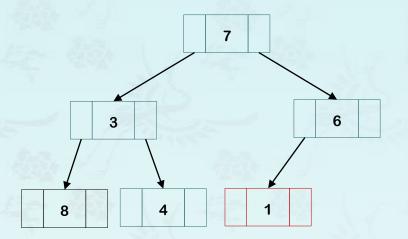
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Data Structures Chapter 5: Heap and Priority Queue

- 1. Heap & Priority Queue
- 2. Heapsort
- 3. Heap & PQ Coding