### 代数结构

# Chapter 3: Field Fundamentals

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- 我们只考虑有1的环,任意的真理想可以构造R/I商环;
- 有1的交换环: R/P得到整环; R/M得到域;
- 整环: 整除, 因子, gcd, lcm, irreducible, prime;
- UFD: gcd, lcm的存在性; irreducible=prime;
- PD: (non-zero)prime ideal=maximal ideal;  $\langle a_1, \ldots, a_n \rangle = \langle d \rangle$ , 其中 $d = \gcd(a_1, \ldots, a_n)$ , 且 $d = \sum_{i=1}^n \mu_i a_i$ ;
- ED: (Extended) Euclid Algorithm 可以计算出d和 $\mu_i$ 使得 $d = \gcd(a_1, ...$  且 $d = \sum_{i=1}^n \mu_i a_i$ 。Ex: ( $\mathbb{Z}, +, \cdot$ ),( $\mathbb{F}[x], +, \cdot$ )
- 构造域的方法: ED/(p), 其中p为素元; 设R是整环且 $S = R \setminus \{0\}$ , 则R/S是包含R同构环的最小的域,称为R的分式域(商域);

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# 素域

域至少包括两个元素0,1。最小的域为二元域( $\mathbb{Z}_2,+,\cdot$ )。

#### Theorem 2.1

设R是一个有单位元e的环,则

$$\phi: \mathbb{Z} \to R$$

$$m \rightarrow me$$

是一个环同态。

- $\bigcirc$  如果R的特征为 $\bigcirc$ 0,则R中包含一个与 $\bigcirc$  $\bigcirc$ 同构的子环。
- ② 如果R的特征为n(n > 0),则R中包含一个与 $\mathbb{Z}_n$ 同构的子环;

#### Lemma 2.2

Let  $f: \mathbb{F} \mapsto \mathbb{E}$  be a homomorphism of fields, i.e., f(a+b) = f(a) + f(b), f(ab) = f(a)f(b) (all  $a,b \in F$ ), and  $f(1_{\mathbb{F}}) = 1_E$ . Then f is a monomorphism(单同态).

- First note that a field  $\mathbb{F}$  has no ideals except  $\{0\}$  and  $\mathbb{F}$ . For if a is a nonzero member of the ideal I, then ab = 1 for some  $b \in \mathbb{F}$ , hence  $1 \in I$ , and therefore  $I = \mathbb{F}$ .
- Taking I to be the kernel of f, we see that I cannot be all of  $\mathbb{F}$  because f(1) = 0. Thus I must be  $\{0\}$ , so that f is injective.

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### Definition 2.3

一个域F如果不包含任何真子域,则F是一个素域。

### Theorem 2.4

设F是一个域,则

- 如果ℙ的特征为0,则ℙ中包含一个与ℚ同构的(素)子域。
- ② 如果 $\mathbb{F}$ 的特征为素数p,则 $\mathbb{F}$ 中包含一个与( $\mathbb{Z}_n,+,\cdot$ )同构的(素)域;

### Proof.

域同构

$$\phi: \mathbb{Q} \to \mathbb{F}$$

$$n/m \rightarrow (ne)(me)^{-1}$$

环同构 $\phi: \mathbb{Z} \to \mathbb{F}$ , 且 $n \to (ne)$ 。

### Let $\mathbb{F}$ be a field, then $\mathbb{F}[x]$ is a Euclidean domain, PID, and UFD.

- $\forall f(x) \in \mathbb{F}(x)$  can be factored into a product of irreducible polynomials.
- An irreducible polynomial is a prime element in  $\mathbb{F}[x]$ .
- Every ideal in  $\mathbb{F}[x]$  is generated by a polynomial, i.e. I = (f(x)).

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### Definition 3.1 (Field Extensions)

If  $\mathbb F$  and  $\mathbb E$  are fields and  $\mathbb F\subseteq\mathbb E$ , we say that  $\mathbb E$  is an extension of  $\mathbb F$ , and we write  $\mathbb F\leq\mathbb E$ , or sometimes  $\mathbb E/\mathbb F$ .

### Fact 3.2

If  $\mathbb{F} \leq \mathbb{E}$ , then  $\mathbb{E}$  is a vector space over  $\mathbb{F}$ . The dimension of this vector space is called the degree of the extension, written  $[\mathbb{E} : \mathbb{F}]$ .

- If  $[\mathbb{E} : \mathbb{F}] = n < \infty$ , we say that  $\mathbb{E}$  is a finite extension of  $\mathbb{F}$ .
- or that the extension  $\mathbb{E}/\mathbb{F}$  is finite,  $\mathbb{E}$  is of degree n over  $\mathbb{F}$ .

### Example 3.3

 $[\mathbb{C}:\mathbb{R}]=2;$ 

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Let f be a nonconstant polynomial over the field  $\mathbb{F}$ , i.e.,  $f(x) \in \mathbb{F}[x]$  and  $deg(f) \geq 1$ . Then there is an extension  $\mathbb{E}/\mathbb{F}$  and an element  $\alpha \in \mathbb{E}$  such that  $f(\alpha) = 0$ .

- Since f can be factored into irreducibles, we may assume that f itself is irreducible. The ideal  $I = \langle f(X) \rangle$  in  $\mathbb{F}[X]$  is prime, in fact maximal.
- Thus  $\mathbb{E} = \mathbb{F}[X]/I$  is a field. We can place an isomorphic copy of  $\mathbb{F}$  inside  $\mathbb{E}$  via the homomorphism  $h: a \mapsto a+I$ ; h is a monomorphism, we may identify  $\mathbb{F} \leq \mathbb{E}$ .
- Now let  $\alpha = X + I$ ; if  $f(X) = a_0 + a_1X + \ldots + a_nX^n$ , then

$$f(\alpha) = (a_0 + I) + \dots + a_n (X + I)^n$$
  
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Let f and g be polynomials over the field F, i.e,  $f(x), g(x) \in \mathbb{F}[x]$ . Then f and g are relatively prime if and only if f and g have no common root in any extension of  $\mathbb{F}$ .

- If f and g are relatively prime, so there are polynomials a(X) and b(X) over F such that a(X)f(X) + b(X)g(X) = 1. If  $\alpha$  is a common root of f and g, then the substitution of  $\alpha$  for X yields 0 = 1, a contradiction.
- Conversely, if the  $\gcd d(X)$  of f(X) and g(X) is nonconstant, let  $\mathbb E$  be an extension of  $\mathbb F$  in which d(X) has a root  $\alpha$ . Since d(X) divides both f(X) and g(X),  $\alpha$  is a common root of f and g in  $\mathbb E$ .

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### Corollary 3.6

If f and g are distinct monic irreducible polynomials over  $\mathbb{F}$ , then f and g have no common roots in any extension of  $\mathbb{F}$ .

#### Proof

 $\mathbb{F}[X]$  is a Euclidean Domain, so f and g are relatively prime.

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### Proof.

 $\mathbb{F}[X]$  is a Euclidean Domain, so f and g are relatively prime.



# 代数元及代数扩张

设 $\alpha \in \mathbb{E}$ 。那么在 $\mathbb{E}$ 中包括 $\mathbb{F}$ 和元素 $\alpha$ 的最小环为

$$\mathbb{F}[\alpha] = \{a_0 + a_1 \alpha + a_2 \alpha^2 + \dots + a_m \alpha^m, \mid m \in \mathbb{N}, a_i \in \mathbb{F}\}$$
$$\mathbb{F}[\alpha] = \{a(\alpha) \mid a(x) \in \mathbb{F}[x]\}.$$

E中包括F和元素α的最小扩域为

$$\mathbb{F}(\alpha) = \left\{ \frac{a_0 + a_1 \alpha + a_2 \alpha^2 + \dots + a_m \alpha^m}{b_0 + b_1 \alpha + b_2 \alpha^2 + \dots + b_n \alpha^n} \mid m, n \in \mathbb{N}, a_i, b_j \in \mathbb{F}, \sum_{j=0}^n b_j \alpha^j \neq 0 \right\}.$$

$$= \left\{ \frac{a(\alpha)}{b(\alpha)} \mid a(x), b(x) \in \mathbb{F}[x], b(\alpha) \neq 0 \right\}$$

### Fact 4.1

 $\mathbb{C} = \mathbb{R}(i) = \mathbb{R}[i];$ 

 $\mathbb{O}(\pi) \neq \mathbb{O}[\pi].$ 

## 代数元及代数扩张

### **Definition 4.2 (Algebraic Extensions)**

- If  $\mathbb{F} \leq \mathbb{E}$ , the element  $\alpha \in \mathbb{E}$  is said to be algebraic(代数元) over F if there is a nonconstant polynomial  $f \in \mathbb{F}[X]$  such that  $f(\alpha) = 0$ ;
- if  $\alpha$  is not algebraic over  $\mathbb{F}$ , it is said to be transcendental(超越元) over  $\mathbb{F}$ . If every element of  $\mathbb{E}$  is algebraic over  $\mathbb{F}$ , then  $\mathbb{E}$  is said to be an algebraic extension of  $\mathbb{F}$ .

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### **Definition 4.2 (Algebraic Extensions)**

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# 极小多项式

- Suppose that  $\alpha \in \mathbb{E}$  is algebraic over  $\mathbb{F}$ , and let I be the set of all polynomials g over  $\mathbb{F}$  such that  $g(\alpha) = 0$ .
- I is an ideal of  $\mathbb{F}[X]$ , and since  $\mathbb{F}[X]$  is a PID, I consists of all multiples of some  $m(X) \in \mathbb{F}[X]$ .
- m(X) is monic and unique, which is called the minimal polynomial of  $\alpha$  over  $\mathbb{F}$ , sometimes written as  $min(\alpha, \mathbb{F})$ . The polynomial m(X) has the following properties:
- If  $g \in \mathbb{F}[X]$ , then  $g(\alpha) = 0$  if and only if m(X) divides g(X). This follows because  $g(\alpha) = 0$  iff  $g(X) \in I$ , and  $I = \langle m(X) \rangle$ , the ideal generated by m(X).
- ② m(X) is the monic polynomial of least degree such that  $m(\alpha) = 0$ . This follows from (1).
- (a) m(X) is the unique monic irreducible polynomial such that  $m(\alpha) = 0$ . If m(X) = h(X)k(X) with deg h and deg k less than deg m, then either  $h(\alpha) = 0$  or  $k(\alpha) = 0$ , so that by (1), either h(X) or k(X) is a

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- If  $\alpha \in \mathbb{E}$  is algebraic over  $\mathbb{F}$  and the minimal polynomial m(X) of  $\alpha$  over  $\mathbb{F}$  has degree n, then  $\mathbb{F}(\alpha) = \mathbb{F}[\alpha]$ , the set of polynomials in  $\alpha$  with coefficients in  $\mathbb{F}$ .
- In fact,  $\mathbb{F}[\alpha]$  is the set  $\mathbb{F}_{n-1}[\alpha]$  of all polynomials of degree at most n-1 with coefficients in  $\mathbb{F}$ , and  $1,\alpha,\ldots,\alpha^{n-1}$  form a basis for the vector space  $\mathbb{F}[\alpha]$  over the field  $\mathbb{F}$ . Consequently,  $[\mathbb{F}(\alpha):\mathbb{F}]=n$ .

### **Proof:**

• Let f(X) be any nonzero polynomial over F of degree n-1 or less. Then since m(X) is irreducible and deg  $f < \deg m$ , f(X) and m(X) are relatively prime, and there are polynomials a(X) and b(X) over  $\mathbb{F}$  such that a(X) f(X) + b(X) m(X) = 1.

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- But then  $a(\alpha)f(\alpha)=1$ , so that any nonzero element of  $F_{n-1}[\alpha]$  has a multiplicative inverse. It follows that  $F_{n-1}[\alpha]$  is a field.
- Now any field containing  $\mathbb F$  and  $\alpha$  must contain all polynomials in  $\alpha$ , in particular all polynomials of degree at most n-1. Therefore  $\mathbb F_{n-1}[\alpha] \subseteq F[\alpha] \subseteq \mathbb F(\alpha)$ . But  $\mathbb F(\alpha)$  is the smallest field containing  $\mathbb F$  and  $\alpha$ , so $\mathbb F(\alpha) \subseteq \mathbb F_{n-1}[\alpha]$ , and we conclude that  $\mathbb F_{n-1}[\alpha] = \mathbb F[\alpha] = \mathbb F(\alpha)$ .
- Finally, the elements  $1, \alpha, \ldots, \alpha_{n-1}$  certainly span  $\mathbb{F}_{n-1}[\alpha]$ , and they are linearly independent because if a nontrivial linear combination of these elements were zero, we would have a nonzero polynomial of degree less than that of m(X) with  $\alpha$  as a root, a contradiction.

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### Lemma 5.2

Suppose that  $\mathbb{F} \leq K \leq \mathbb{E}$ , the elements  $\alpha_i, i \in I$ , form a basis for  $\mathbb{E}$  over K, and the elements  $\beta_j, j \in J$ , form a basis for K over  $\mathbb{F}$ . (I and J need not be finite.) Then the products  $\alpha_i\beta_j, i \in I, j \in J$ , form a basis for  $\mathbb{E}$  over  $\mathbb{F}$ .

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If  $\gamma \in \mathbb{E}$ , then  $\gamma$  is a linear combination of the  $\alpha_i$  with coefficients  $a_i \in K$ , and each  $a_i$  is a linear combination of the  $\beta_j$  with coefficients  $b_{ij} \in \mathbb{F}$ . It follows that the  $\alpha_i\beta_j$ span  $\mathbb{E}$  over  $\mathbb{F}$ . Now if  $\sum_{i,j}\gamma_{ij}\alpha_i\beta_j=0$ , then  $\sum_i\gamma_{ij}\alpha_i=0$  for all j, and consequently  $\gamma_{ij}=0$  for all i,j, and the  $\alpha_i\beta_j$  are linearly independent.

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### Corollary 5.3

If  $\mathbb{F} \leq K \leq \mathbb{E}$ , then  $[\mathbb{E} : \mathbb{F}] = [\mathbb{E} : K][K : \mathbb{F}]$ .

#### Theorem 5.4

If  $\mathbb{E}$  is a finite extension of  $\mathbb{F}$ , then  $\mathbb{E}$  is an algebraic extension of  $\mathbb{F}$ .

### Proof.

Let  $\alpha \in \mathbb{E}$ , and let  $n = [\mathbb{E} : \mathbb{F}]$ . Then  $1, \alpha, \ldots, \alpha^n$  are n+1 vectors in an n-dimensional vector space, so they must be linearly dependent. Thus  $\alpha$  is a root of a nonzero polynomial with coefficients in  $\mathbb{F}$ , which means that  $\alpha$  is algebraic over  $\mathbb{F}$ .

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### Theorem 6.1

Let  $\mathbb{F} \subseteq K$ , and  $\mathbb{F}$ , K be fields. Let  $S_1 \subseteq K$ ,  $S_2 \subseteq K$ . Then

$$\mathbb{F}(S_1 \cup S_2) = \mathbb{F}(S_1)(S_2).$$

#### Proof.

- Both  $\mathbb{F}(S_1 \cup S_2)$  and  $\mathbb{F}(S_1)(S_2)$  are extension fields of  $\mathbb{F}$  which contain  $F, S_1$ , and  $S_2$ . Hence  $\mathbb{F}(S_1 \cup S_2) \subseteq \mathbb{F}(S_1)(S_2)$ .
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### Definition 6.2

- If  $\mathbb{F} \leq \mathbb{E}$  and  $f \in \mathbb{F}[X]$ , we say that f splits over  $\mathbb{E}$  if f can be written as  $\lambda(X \alpha_1) \dots (X \alpha_k)$  for some  $\alpha_1, \dots, \alpha_k \in \mathbb{E}$  and  $\lambda \in \mathbb{F}$ .
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- If  $\mathbb{F} \leq \mathbb{E}$  and  $f \in \mathbb{F}[X]$ , we say that f splits over  $\mathbb{E}$  if f can be written as  $\lambda(X \alpha_1) \dots (X \alpha_k)$  for some  $\alpha_1, \dots, \alpha_k \in \mathbb{E}$  and  $\lambda \in \mathbb{F}$ .
- If  $\mathbb{F} \leq K$  and  $f \in \mathbb{F}[X]$ , we say that K is a splitting field for f over F if f splits over K but not over any proper subfield of K containing  $\mathbb{F}$ .

### Theorem 6.3

If  $f \in \mathbb{F}[X]$  and deg f = n, then f has a splitting field K over  $\mathbb{F}$  with  $[K : \mathbb{F}] \leq n!$ .

### Proof.

- $\mathbb{F}$  has an extension  $\mathbb{E}_1$  containing a root  $\alpha_1$  of f, and the extension  $\mathbb{F}(\alpha_1)/\mathbb{F}$  has degree at most n.
- We may then write  $f(X) = \lambda(X \alpha_1)^{r_1} g(X)$ , where  $\alpha_1$  is not a root of g and deg  $g \le n-1$ . If g is nonconstant, we can find an extension of  $\mathbb{F}(\alpha_1)$  containing a root  $\alpha_2$  of g, and the extension  $\mathbb{F}(\alpha_1, \alpha_2)$  will have degree at most n-1 over  $\mathbb{F}(\alpha_1)$ .
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#### Theorem 6.4

Let  $f(x) \in \mathbb{F}[x]$ . Suppose that f(x) splits over  $\mathbb{E}$ , i.e.,

$$f(x) = b(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n),$$

where  $b \neq 0$ .  $\mathbb{E}$  is the splitting field of f(x) iff  $\mathbb{E} = \mathbb{F}(\alpha_1, \dots, \alpha_m)$ .

### Proof.

Let  $\mathbb{E}$  be the splitting field of f(x).

- f(x) splits over  $\mathbb{F}(\alpha_1, \dots, \alpha_n)$ , so  $\mathbb{E} \subseteq \mathbb{F}(\alpha_1, \dots, \alpha_m)$ .
- The splitting field  $\mathbb{E}$  contains  $\mathbb{F}$ ,  $\alpha_1, \dots, \alpha_n$ .  $\mathbb{F}(\alpha_1, \dots, \alpha_m)$  is the smallest field containing  $\mathbb{F}$ ,  $\alpha_1, \dots, \alpha_n$ . So  $\mathbb{F}(\alpha_1, \dots, \alpha_m) \subseteq \mathbb{E}$ .

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### Theorem 6.5

If  $\alpha$  and  $\beta$  are roots of the irreducible polynomial  $f \in \mathbb{F}[X]$  in an extension  $\mathbb{E}$  of  $\mathbb{F}$ , then  $\mathbb{F}(\alpha)$  is isomorphic to  $\mathbb{F}(\beta)$  via an isomorphism that carries  $\alpha$  into  $\beta$  and is the identity on  $\mathbb{F}$ .

### Proof

• Without loss of generality we may assume f monic (if not, divide f by its leading coefficient). f is the minimal polynomial of both  $\alpha$  and  $\beta$ . The elements of  $\mathbb{F}(\alpha)$  can be expressed uniquely as  $a_0 + a_1\alpha + \ldots + a_{n-1}\alpha^{n-1}$ , where the  $a_i$  belong to  $\mathbb{F}$  and n is the degree of f. The desired isomorphism is given by:

$$a_0 + a_1 \alpha + \ldots + a_{n-1} \alpha^{n-1} \mapsto a_0 + a_1 \beta + \ldots + a_{n-1} \beta^{n-1}.$$

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### Lemma 6.6

Let  $p(x) \in \mathbb{F}[x]$  be an irreducible polynomial. Let  $\alpha$  be a root over an extended field  $\mathbb{E}$ . Let  $\phi : \mathbb{F} \to \mathbb{F}'$  be a field isomorphism. Let  $\alpha'$  be  $\phi(p(x))$  a root over some extended field E'. Then there exists an isomorphism  $i : \mathbb{F}(\alpha) \to \mathbb{F}'(\alpha')$ , which, when restricted on  $\mathbb{F}$ , results in  $\phi$ .

#### Proof.

 $\phi(p(x)) \in \mathbb{F}'[x]$  is irreducible since  $p(x) \in \mathbb{F}[x]$  is irreducible.

- $\mathbb{F}(\alpha) \cong \mathbb{F}[x]/(p(x));$
- $\mathbb{F}'(\alpha') \cong \mathbb{F}'[x]/(\phi(p(x)));$
- $\mathbb{F}[x]/(p(x)) \cong \mathbb{F}'[x]/(\phi(p(x))).$
- $\mathbb{F}(\alpha) \xrightarrow{\rho} \mathbb{F}[x]/(p(x)) \xrightarrow{\phi} \mathbb{F}'[x]/(\phi(p(x))) \xrightarrow{\sigma} \mathbb{F}'(\alpha')$ . So the isomorphism function is  $\sigma\phi\rho$ .

### Definition 6.7

If  $\mathbb E$  and  $\mathbb E'$  are extensions of  $\mathbb F$  and i is an isomorphism of  $\mathbb E$  and  $\mathbb E'$ , we say that i is an  $\mathbb F$ -isomorphism if i fixes  $\mathbb F$ , that is, i(a)=a for every  $a\in \mathbb F$ .  $\mathbb F$ -homomorphisms,  $\mathbb F$ -monomorphisms, etc., are defined similarly.

### Theorem 6.8

- If K is a splitting field for f over  $\mathbb{F}$  and K' is a splitting field for f' over  $\mathbb{F}'$ , then i can be extended to an isomorphism of K and K'.
- In particular, if  $\mathbb{F} = \mathbb{F}'$  and i is the identity function, we conclude that any two splitting fields of f are  $\mathbb{F}$ -isomorphic.

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### Proof.

- Carry out the construction of a splitting field for f over F, and perform exactly the same steps to construct a splitting field for f' over  $\mathbb{F}'$ .
- At every stage, there is only a notational difference between the fields obtained.
- Furthermore, we can do the first construction inside *K* and the second inside *K'*. It shows that the splitting fields that we have constructed coincide with *K* and *K'*.

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多项式 $f(x) \in \mathbb{F}[x]$ 的分裂域是惟一的: f(x)的任意两个分裂域必是 $\mathbb{F}$ -同构的。

## Example 6.9

Find a splitting field for  $f(X) = X^3 - 2$  over the rationals  $\mathbb{Q}$ .

### Solution:

- If  $\alpha$  is the positive cube root of 2, then the roots of f are  $\alpha$ ,  $\alpha(-1/2+i\frac{1}{2}\sqrt{3})$  and  $\alpha(-1/2-i\frac{1}{2}\sqrt{3})$ .
- The polynomial f is irreducible, either by Eisenstein's criterion or by the observation that if f were factorable, it would have a linear factor, and there is no rational number whose cube is 2. Thus f is the minimal polynomial of  $\alpha$ , so  $[Q(\alpha):Q]=3$ .

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- Now since  $\alpha$  and  $i\sqrt{3}$  generate all the roots of f, the splitting field is  $K = Q(\alpha, i\sqrt{3})$ . Since  $i\sqrt{3} \notin Q(\alpha)$ ,  $[Q(\alpha, i\sqrt{3}) : Q(\alpha)]$  is at least 2. But  $i\sqrt{3}$  is a root of  $X^2 + 3 \in Q(\alpha)[X]$ , so the degree of  $Q(\alpha, i\sqrt{3})$  over  $Q(\alpha)$  is a most 2, and therefore is exactly 2.
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$$[K:Q] = [Q(\alpha, i\sqrt{3}): Q]$$

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## 尺规做图问题:给定平面上的一些点,要求用尺规作出另一些点。

- 给定两个点,可以得到过两点的一条直线:
- 给定两个点,可以得到两点间的中点
- 给定一个线段,和线段外的一个点,可以做出过该点并与已知线段垂直(平行)的一条直线。
- ◆ 给定一个单位长度为1的线段,可以做出长度为∀a∈Z的线段;
- 给定三个线段a,b,c, 可以做出线段x, 使得a:b=c:x;
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- 给定一个单位长度为1的线段,可以做出长度为 $\forall a \in \mathbb{Z}$ 的线段;
- 给定三个线段a,b,c, 可以做出线段x, 使得a:b=c:x;
- 给定一个单位长度为1的线段,可以做出长度为∀q∈Q的线段;
- 给定两个线段a,b, 可以做出线段x, 使得 $x^2 = ab$ ;
- 给定一个单位长度为1的线段,可以做出任意长度为 $q \in \mathbb{Q}(\sqrt{b})$ 的线段,其中 $b \in \mathbb{Q}$ 。

- 已知实数 $1, a_1, a_2, \cdots, a_n$ ,利用尺规可以做出 $\mathbb{Q}(a_1, \cdots, a_n)$ 中的任意实数。
- 对于任意 $b \in \mathbb{Q}(a_1, \dots, a_n)$ ,可用尺规做出 $\mathbb{Q}(a_1, \dots, a_n)(\sqrt{b})$ 中的任意实数,其中b > 0。

#### Definition 6.10

设 $F\subseteq K$ ,而F,K是 $\mathbb{R}$ 的子域。如果 $K=F(\sqrt{b_1})(\sqrt{b_2})\cdots(\sqrt{b_m})$ ,其中 $b_i>0,b_1\in F,b_i\in F(\sqrt{b_1})(\sqrt{b_2})\cdots(\sqrt{b_{i-1}})$ ,其中 $i\geq 2$ ,则称K为F的Pythagorasf域,称为毕氏f域。

总结:已知实数 $1,a_1,a_2,\cdots,a_n$ ,利用尺规可以做出 $\mathbb{Q}(a_1,\cdots,a_n)$ 中的任意毕氏扩域中的数。

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## 尺规做图: 能行的及不能的

#### Theorem 6.11

初等几何尺规作图的数学模型:由已知数 $1,a_1,a_2,\cdots,a_n$ 出发,利用尺规可以做出数是且仅是 $\mathbb{Q}(a_1,\cdots,a_n)$ 的毕氏扩域中的数。

### Theorem 6.12

F的毕氏扩域E的次数 $[E:F]=2^n$ , n是非负整数。

如果一个域F的扩域E的次数[E:F]是奇数,则E不是毕氏扩域。

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## 尺规做图不能问题: 三等分角

## Example 6.13

三等分角问题: 给定任意已知角 $\alpha$ , 试三等分之。即求 $\theta = \alpha/3$ 。

由于 $\cos \alpha = \cos 3\theta = 4\cos^3 \theta - 3\cos \theta$ , 故 $\cos \theta$ 是三次多项式 $4x^3 - 3x - \cos \alpha = 0$ 的根。

上述多项式如果是域 $F = \mathbb{Q}(\cos\alpha)$ 上的既约多项式,则 $F(\cos\theta)$ 是F的一个三次扩域,所以不是毕氏扩域,故 $\cos\theta$ 不能用尺规做出。

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## Example 6.14

将已知一边长为a的立方体,求做另一个立方体,便新的立方体是原来的体积的两倍。

设新的立方体的边长为b,则 $b^3=2a^3$ ,即b是方程 $x^3-2a^3=0$ 的解。如果多项式 $x^3-2a^3$ 在 $F=\mathbb{Q}(a)$ 上既约,则F(b)是F的一个三次扩域,所以不是毕氏扩域,故b不能用尺规做出。

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## 尺规做图不能问题: 化圆为方问题

### Example 6.15

将已知半径为a的圆化成一个等面积的正方形。

设正方形的边长为b,则 $b^2 = \pi a^2$ 。故b是二次多项式 $x^2 - \pi a^2 = 0$ 的根。 为得到 $b = a\sqrt{\pi}$ ,必须得到 $\pi$ ,而 $\pi$ 是超越数。令 $F = \mathbb{Q}(a)$ ,则 $F(\pi)$ 是F的 $\infty$ 》 扩域,所以不是毕氏扩域,故b不能用尺规做出。

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# Jacobi symbol

Let  $P \in \mathbb{Z}$  be a prime. For  $x \in \mathbb{Z}_p^*$ , define

$$\mathbb{QR}_P = \{ x^2 \mid x \in \mathbb{Z}_P^* \},$$

$$\mathbb{QNR}_P = \mathbb{Z}_P^* \setminus \mathbb{QR}_P.$$

For  $x \in \mathbb{Z}_p^*$ , define  $\mathcal{J}_P(x)$ , the Jacobi symbol of x modulo P, as

$$\mathcal{J}_P(x) = x^{(P-1)/2} = \begin{cases} +1 & \text{if } x \in \mathbb{QR}_P \\ -1 & \text{if } x \in \mathbb{QNR}_P \end{cases}$$

For  $x \in \mathbb{Z}_N^*$ , where N = PQ, define  $\mathcal{J}_N(x)$ , the Jacobi symbol of x modulo N, as

$$\mathcal{J}_N(x) = \mathcal{J}_P(x)\mathcal{J}_O(x)$$

## The Factoring Assumption and The QR Assumption

For an integer N, consider subsets of  $\mathbb{Z}_N^*$ :

let  $\mathbb{QR}_N = \{x^2 \mod N \mid x \in \mathbb{Z}_N^*\}$  be the set of quadratic residues modulo N,

let 
$$\mathbb{QNR}_N = \mathbb{Z}_N^* \setminus \mathbb{QR}_N$$
,

let 
$$\mathbb{Z}_{N}^{*}(+1) = \{x \mid \mathcal{J}_{N}(x) = 1, x \in \mathbb{Z}_{N}^{*}\},\$$

let 
$$\mathbb{Z}_N^*(-1) = \{x \mid \mathcal{J}_N(x) = -1, x \in \mathbb{Z}_N^*\}.$$

 $\text{Then } \mathbb{Z}_N^* = \mathbb{Q}\mathbb{R}_N \ \dot{\cup} \ \mathbb{Q}\mathbb{N}\mathbb{R}_N = \mathbb{Z}_N^*(+1) \ \dot{\cup} \ \mathbb{Z}_N^*(-1), \ \ \mathbb{Q}\mathbb{R}_N \subseteq \mathbb{Z}_N^*(+1), \ \ \mathbb{Z}_N^*(-1) \subseteq \mathbb{Q}\mathbb{N}\mathbb{R}_N.$ 



If 
$$N = P \cdot Q$$
 for distinct odd primes  $P, Q$ , then  $\frac{|\mathbb{Z}_N^*(+1)|}{|\mathbb{Z}_N^*|} = \frac{|\mathbb{Q}\mathbb{R}_N|}{|\mathbb{Z}_N^*(+1)|} = \frac{1}{2}$ .

**The Factoring Assumption**: for  $\forall$  PPTA  $\mathcal{D}$ , given N, it is hard to reconstruct P, Q.

The Quadratic Residuosity (QR) Assumption: for  $\forall$  PPTA  $\mathcal{D}$ ,  $z \overset{\$}{\leftarrow} \mathbb{Z}_N^*(+1)$ , given (N, z), it is hard to decide whether  $z \in \mathbb{QR}_N$  or  $z \in \mathbb{QNR}_N$ .

## The Goldwasser-Micali Scheme

1. Key Generation:  $(pk, sk) \leftarrow \text{Gen}(1^k)$ .

Pick an integer  $N = P \cdot Q$  randomly. Pick  $z \leftarrow \mathbb{QNR}_N \cap \mathbb{Z}_N^*(+1)$ .

It outputs pk = (N, z), sk = (P, Q).

2. Encryption:  $c \leftarrow \text{Enc}(pk, m)$ .

To encrypt  $m \in \{0, 1\}$ , Choose  $x \leftarrow \mathbb{Z}_N^*$  and compute

$$c = z^m \cdot x^2 \mod N.$$

3. Decryption:  $m \leftarrow \mathsf{Dec}(sk, c)$ .

To decrypt a ciphertext  $c \in \mathbb{Z}_N$ , compute

$$\mathcal{J}_P(x)$$
,  $\mathcal{J}_Q(x)$ .

If both of  $\mathcal{J}_P(x)$  and  $\mathcal{J}_Q(x)$  are 1, output 1, otherwise output 0.

# Security Proof of The Goldwasser-Micali Scheme

Let  $\mathcal{D}$  be a distinguisher, which is given (N, z) (with  $z \in \mathbb{Z}_N^*(+1)$ ) and going to tell  $z \in \mathbb{QR}_N$  or  $z \in \mathbb{QNR}_N \cap \mathbb{Z}_N^*(+1)$ .

- **1**  $\mathcal{D}$  gives (N, z) to  $\mathcal{A}$  as the public key.
- ②  $\mathcal{D}$  chooses  $m \leftarrow \{0,1\}$  and computes  $c = z^m \cdot x^2 \mod N$ . Then it sends c to  $\mathcal{A}$ .
- 3  $\mathcal{A}$  guesses b'. If b = b',  $\mathcal{A}$  wins.
- If b = b',  $\mathcal{D}$  recognizes  $z \in \mathbb{QNR}_N$ , otherwise  $z \in \mathbb{QR}_N$ .
  - If  $z \in \mathbb{QNR}_N$ , this is exactly IND-CPA game.

$$\Pr[\mathcal{A} wins] = 1/2 + \epsilon.$$

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# 作业

- Find a splitting field for  $f(x) = x^2 + 1$  over  $Z_3$  and the corresponding extension degree.
- ② Construct a finite field with 64 elements.(hint: find a splitting field over  $Z_p$ .)