

# Machine Learning applications in meteorological forecasting - classics and where federated learning could be useful

I. Schicker ZAMG

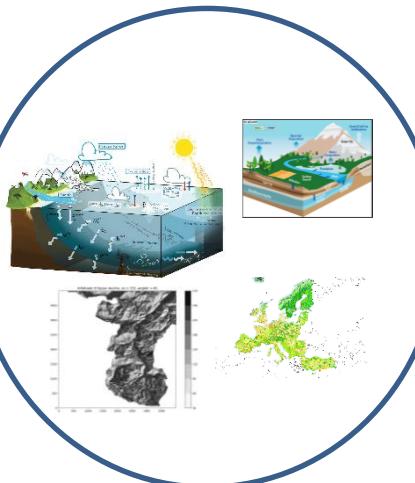
# Numerical weather prediction and weather forecasting



## Observations



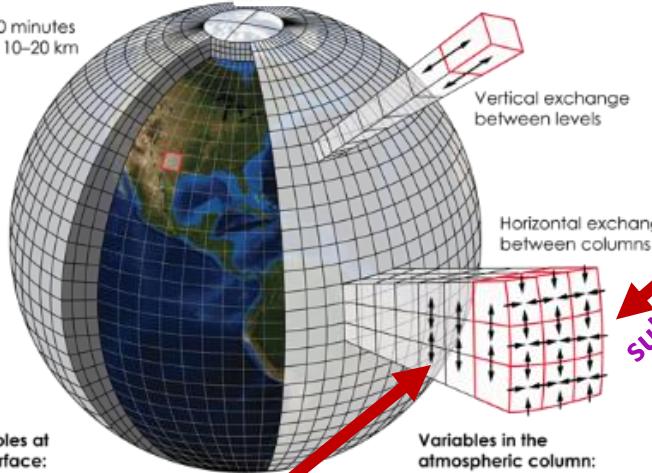
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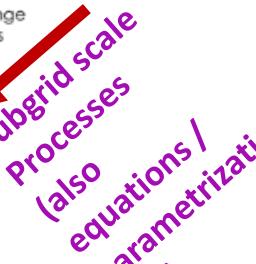
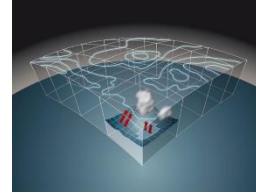
Ocean and surface model  
Static fields

## Weather forecast modeling

Timestep 5–10 minutes  
Grid spacing 10–20 km



9 x 9 km / 11 x 11 km grid  
resolution ECMWF global  
model



Variables at the surface:  
Temperature  
Humidity  
Pressure  
Moisture fluxes  
Heat fluxes  
Radiation fluxes

Variables in the atmospheric column:  
Wind vectors  
Humidity  
Clouds  
Temperature  
Height  
Precipitation  
Aerosols

Subgrid scale  
Processes  
(also  
equations /  
parametriza-

## "Primitive" Weather Forecasting Equations

$$\begin{aligned} p = \rho R T & \quad \text{Ideal Gas Law (Equation of State)} \\ \bar{a}_h = \sum \left( \bar{F}_h / m \right) & \quad \text{Newton's Second Law of Motion} \\ \bar{a}_v = \sum \left( \bar{F}_v / m \right) & = (\bar{P} \bar{G} \bar{A})_v - \bar{g} & \quad \Delta p = -\rho g \Delta z \\ & \quad \text{Hydrostatic Law (Obtained from the Equation of Vertical Motion)} \\ \Delta T = \frac{\Delta q}{c_p} + (1/\rho) \Delta p & \quad \text{First Law of Thermodynamics} \\ (1/\rho) \Delta \rho \cdot \Delta t = -\nabla \cdot V & \quad \text{Conservation of Mass Applied to the Atmosphere (Equation of Continuity)} \\ \frac{\partial T}{\partial t} + u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} + \omega \left( \frac{\partial T}{\partial p} + \frac{RT}{pc_p} \right) & = \frac{J}{c_p} \quad \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial p} = 0 \quad 0 = -\frac{\partial \phi}{\partial p} - \frac{RT}{p} \end{aligned}$$

# Numerical

## Weer- en klimaatmodellen



Goed informeren en waarschuwen over weer en klimaat kan niet zonder goede computermodellen. Zij vormen het onmisbare gereedschap waarmee weersverwachtingen en klimaatscenario's worden gemaakt. Het KNMI werkt voortdurend aan het verbeteren van deze modellen, aangepast aan de nieuwste inzichten en technologie. Maar hoe werkt zo'n model?

### 1 Wat is een model?

De zon verwarmt de aarde. Rond de evenaar wordt het warmer dan aan de polen. Dit veroorzaakt grootschalige luchstromen en verplaatsing van vocht en warmte in de atmosfeer. Deze weer- en klimaatprocessen worden nagebootst in numerieke modellen.

### 2 Berekenen

In het model is de atmosfeer opgedeeld in gridcellen

Per gridcel worden grootheden bijgehouden als:

- wind
- temperatuur
- straling
- druk
- vocht
- etc.

De waarden veranderen voortdurend:

straling wordt gereflecteerd, water verdampft, turbulentie zorgt voor menging enz.

Deze veranderingen worden in het model berekend met modules die de fysische processen beschrijven.

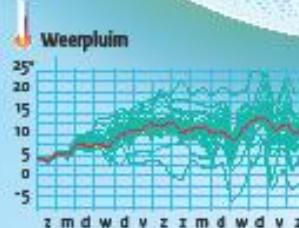
Het model doet zo'n berekening in stappen van 60 sec:



### 3 Weersverwachting

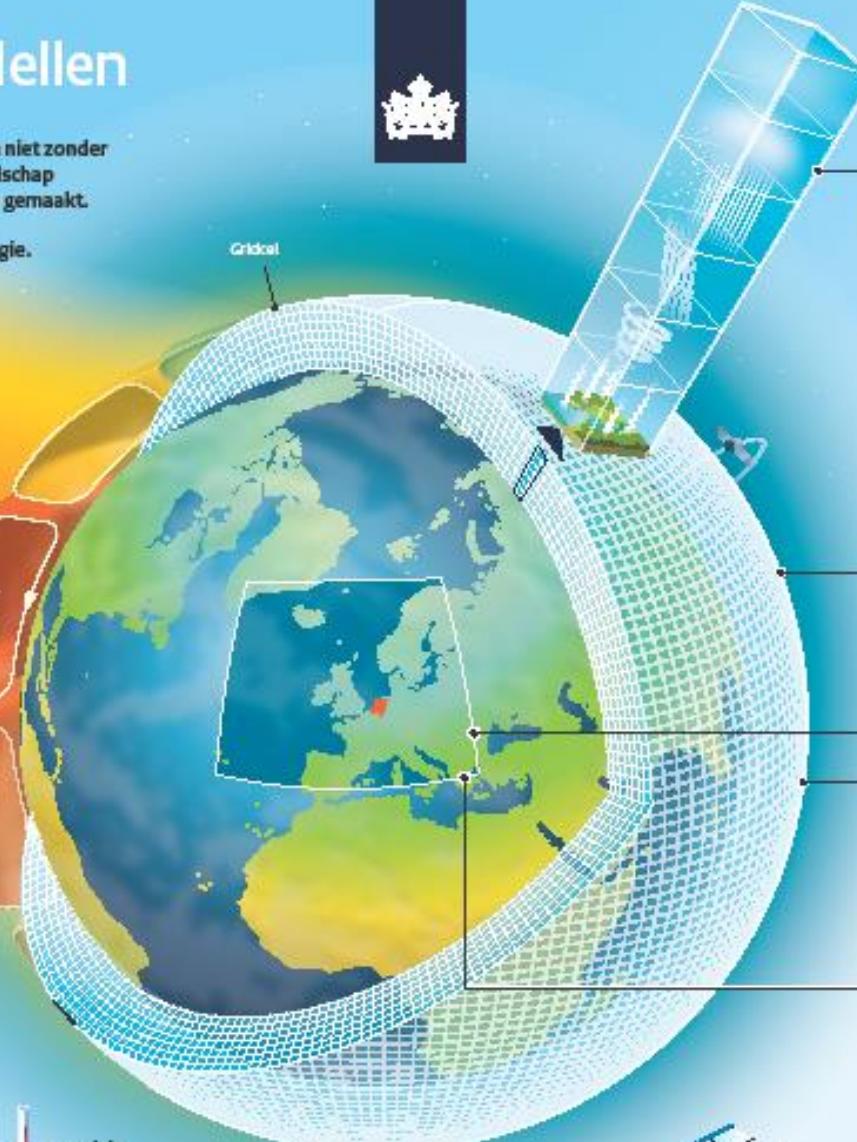
Om een weersverwachting te maken worden de beginwaarden van de grootheden in elke gridcel afgeleid uit waarnemingen van weersateliets, grondstations, weerballonnen en andere metingen. De verwachting bestaat uit een weerbeeld en het moment waarop dat optreedt.

Metingen en model zijn niet perfect. Een kleine afwijking van de begintoestand leidt tot de berekening van een ander weerbeeld. Door de begintoestand en de fysische parameters steeds iets te wijzigen ontstaat een weerpluim >



Smalle pluim: redelijk zekere weersverwachting  
Gewoerde pluim: weersverwachting onzeker

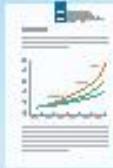
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In een kolom gridcellen zitten rekenmodules voor condensatie, neerslag, straling, turbulentie, verdamping en oppervlakteprocessen.

### 4 Klimaatscenario's

Voor klimaatsimulaties rekent het model ver vooruit, tientallen tot honderden jaren. Hiervoor zijn ook externe factoren van belang, zoals de toename van de hoeveelheid broeikasgassen in de atmosfeer. Bij klimaatscenario's gaat het om de veranderingen van de kans op een weerbeeld en niet om het precieze tijdstip ervan. Daarom kan veel verder in de toekomst worden gekeken.



### Modellen die het KNMI gebruikt:

**EC-Earth**  
Wereldwijd model gebaseerd op de natuurkunde van het ECMWF-model. Voor klimaatsimulaties van eeuwen vooruit wordt een grid van 80 x 80 km gebruikt.

**RACMO**  
Fijnmazig regionaal model (grid 12 x 12 km) voor doorvertaling van klimaatsimulaties naar het Europa, waarvan de waarden worden berekend met EC-Earth.

**ECMWF**  
Wereldwijd model van het Europees Weercentrum in Reading (GB). Voor de verwachting van twee weken vooruit wordt een grid van 9 x 9 km gebruikt (ca. 600 vakjes voor Nederland).

**ECMWF 9 x 9 km**  
Harmonie 2,5 x 2,5 km

**Harmonie**  
Model voor Nederland en omgeving. Sinds 2012 ingezet voor de verwachting van twee dagen vooruit met vakjes van 2,5 x 2,5 km (=10.000 voor Nederland).

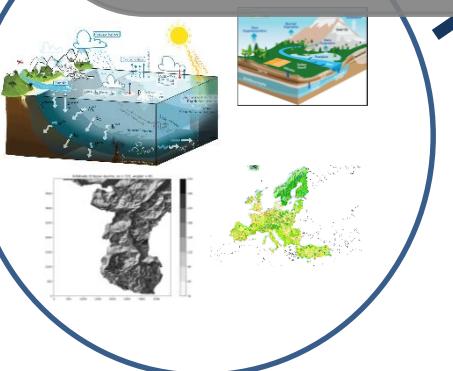
**KNMI**  
De Bilt

**Supercomputer**  
Harmonie vraagt een zeer grote aantal berekeningen in korte tijd. Om 8 x per dag een verwachting te maken beschikken het KNMI en de Deense, Ierse en IJslandse meteorologische diensten over een gezamenlijke supercomputer in Reykjavik met een rekenkracht van 9000 biljoen berekeningen/sec. (4 petaflop). Ook voor klimaatberekeningen worden supercomputers gebruikt.

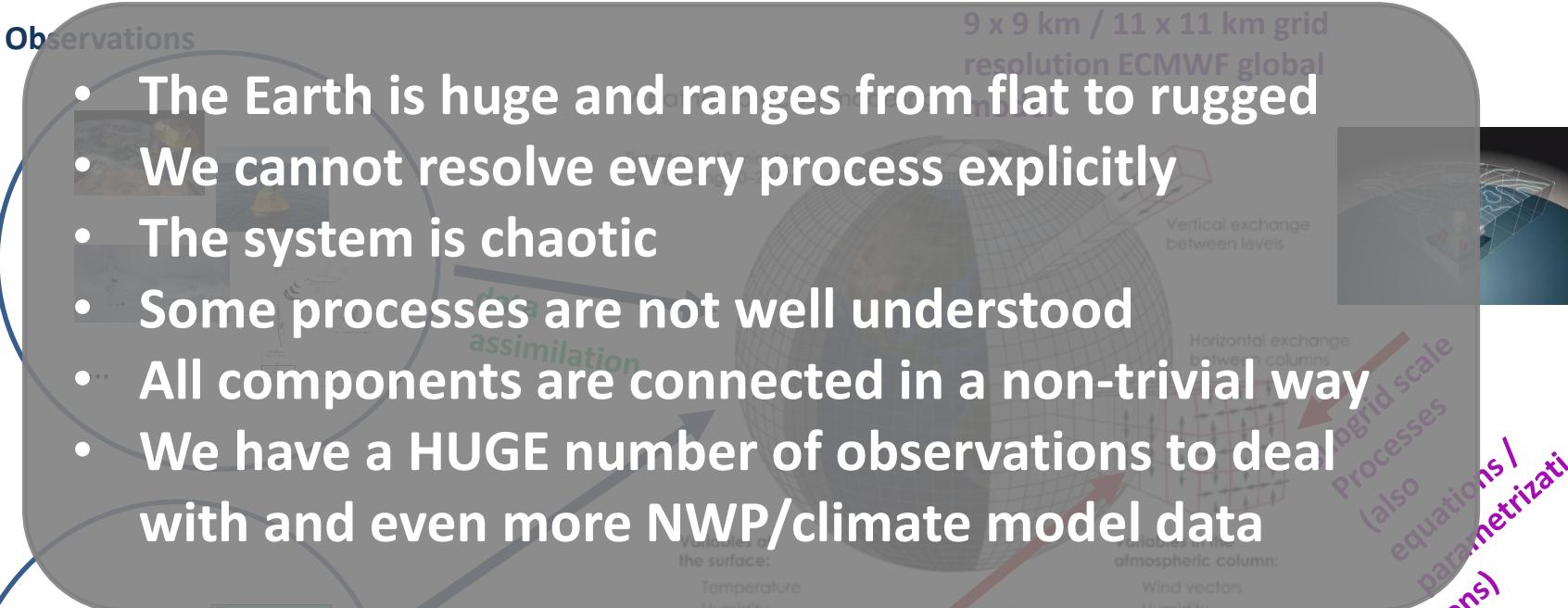
# Numerical weather prediction and weather forecasting

## Observations

- The Earth is huge and ranges from flat to rugged
- We cannot resolve every process explicitly
- The system is chaotic
- Some processes are not well understood
- All components are connected in a non-trivial way
- We have a HUGE number of observations to deal with and even more NWP/climate model data



Ocean and surface model  
Static fields



**"Primitive" Weather Forecasting Equations**

$$p = \rho R T \quad \text{Ideal Gas Law (Equation of State)}$$

$$\ddot{\vec{a}}_h = \sum \left( \frac{\vec{F}_h}{m} \right) \quad \text{Newton's Second Law of Motion}$$

$$\ddot{\vec{a}}_v = \sum \left( \frac{\vec{F}_v}{m} \right) = (\bar{P} \bar{G} \vec{A})_v - \vec{g} \quad \text{Hydrostatic Law (Obtained from the Equation of Vertical Motion)}$$

$$\Delta T = \frac{\Delta q}{c_p} + (1/\rho) \Delta p \quad \text{First Law of Thermodynamics}$$

$$(1/\rho) \Delta \rho \Delta t = -\nabla V \quad \text{Conservation of Mass Applied to the Atmosphere (Equation of Continuity)}$$

$$\frac{\partial T}{\partial t} + u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} + \omega \left( \frac{\partial T}{\partial p} + \frac{RT}{pc_p} \right) = \frac{J}{c_p} \quad \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial p} = 0 \quad 0 = -\frac{\partial \phi}{\partial p} - \frac{RT}{p}$$

$$\frac{\partial u}{\partial x} = \eta \eta - \frac{\partial \Phi}{\partial z} - c_p \theta \frac{\partial \pi}{\partial x} - \frac{\partial \pi}{\partial \sigma} - \frac{\partial (u^2 + v^2)}{\partial x}$$

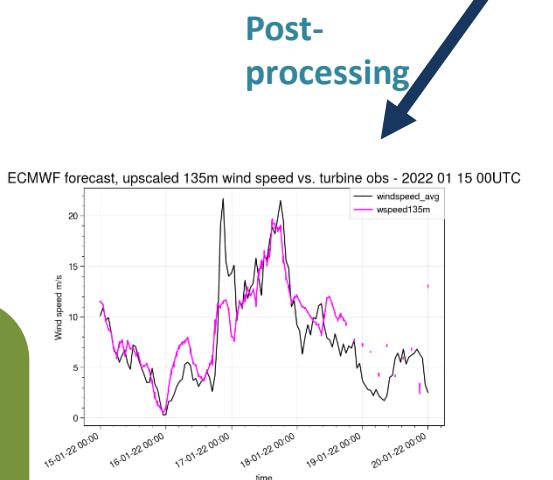
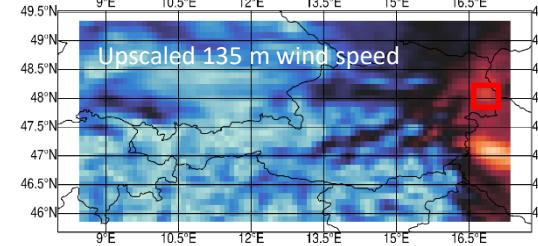
$$\frac{\partial v}{\partial y} = -\eta \eta - \frac{\partial \Phi}{\partial z} - c_p \theta \frac{\partial \pi}{\partial y} - z \frac{\partial \pi}{\partial \sigma} - \frac{\partial (u^2 + v^2)}{\partial y}$$

$$\frac{\partial T}{\partial z} = \frac{\partial T}{\partial x} + u \frac{\partial T}{\partial x} + v \frac{\partial T}{\partial y} + w \frac{\partial T}{\partial z}$$

$$\frac{\partial W}{\partial t} = u \frac{\partial W}{\partial x} + v \frac{\partial W}{\partial y} + \frac{\partial W}{\partial z}$$

$$\frac{\partial \pi}{\partial \sigma} = \frac{\partial}{\partial x} \frac{\partial p}{\partial \sigma} + v \frac{\partial}{\partial y} \frac{\partial p}{\partial \sigma} + w \frac{\partial}{\partial z} \frac{\partial p}{\partial \sigma}$$

Machine Learning (?)



# Numerical weather prediction and weather forecasting – and machine learning (?)

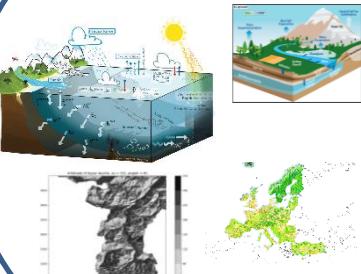


Observations

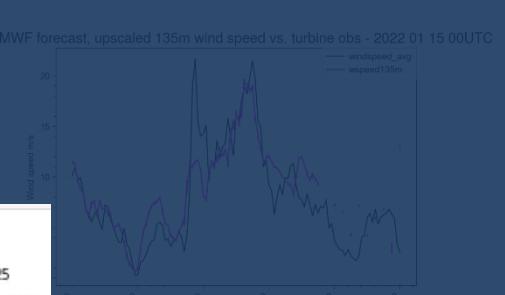
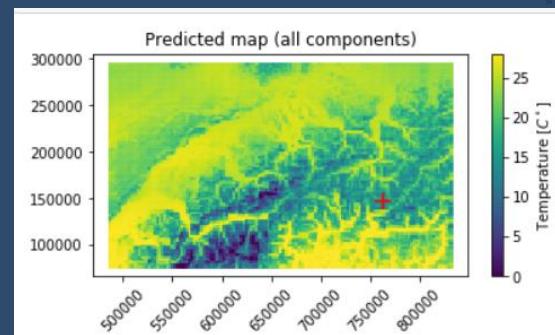
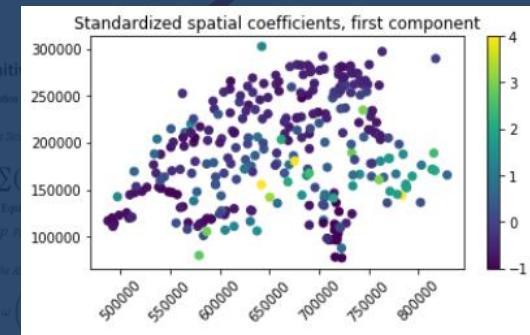


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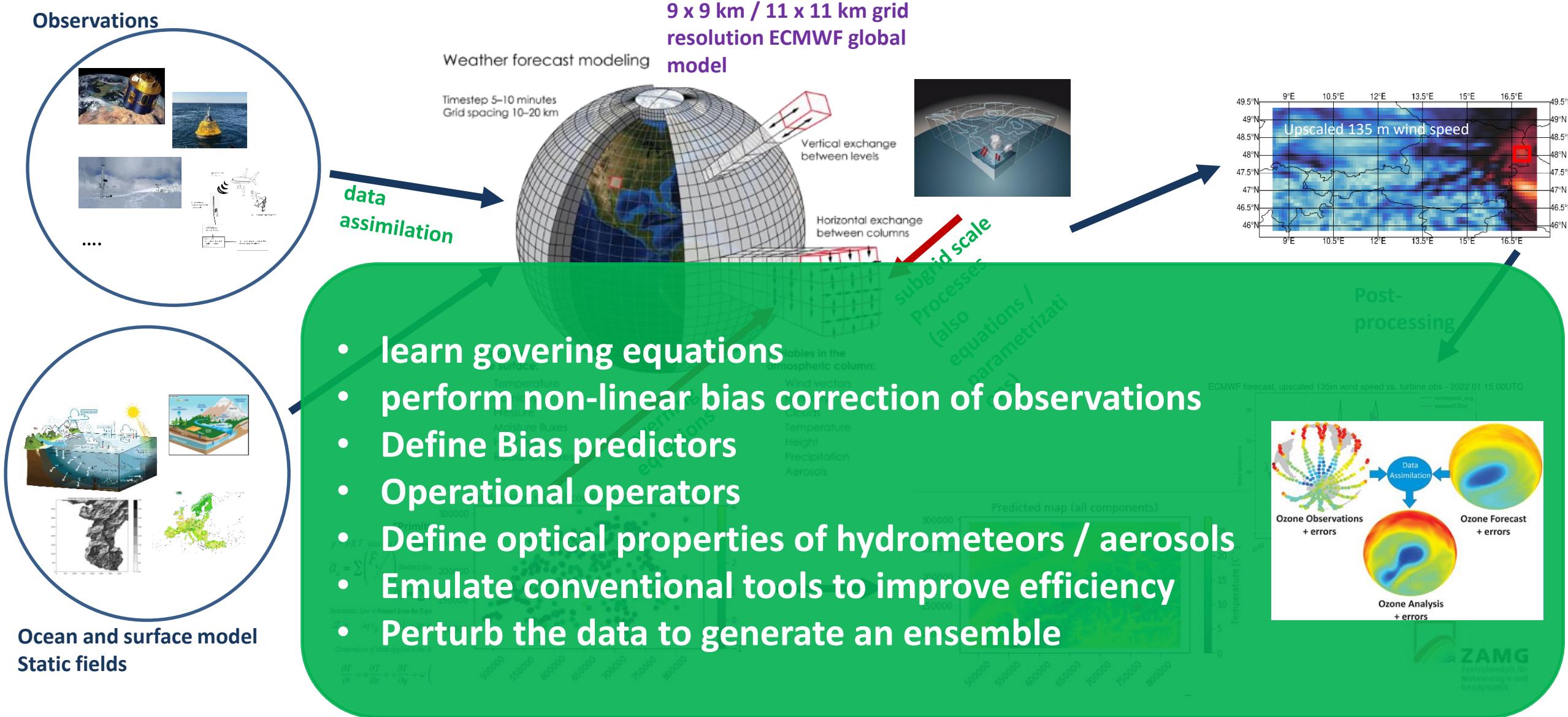
Ocean and surface model  
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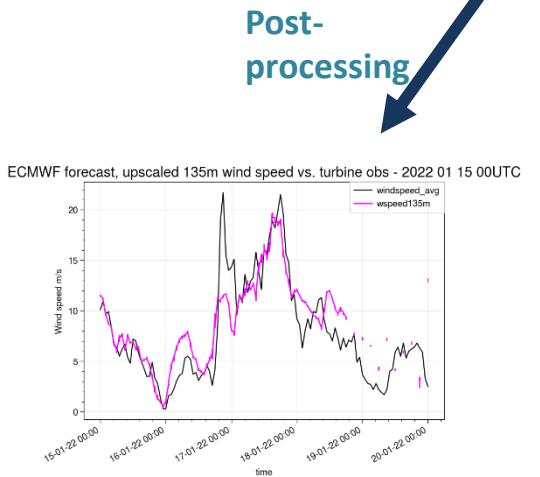
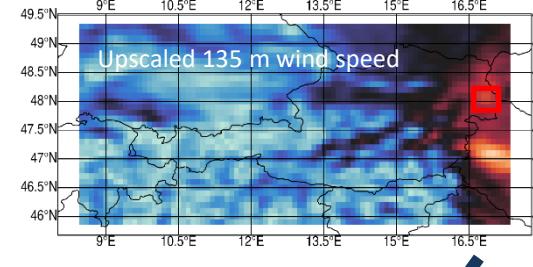
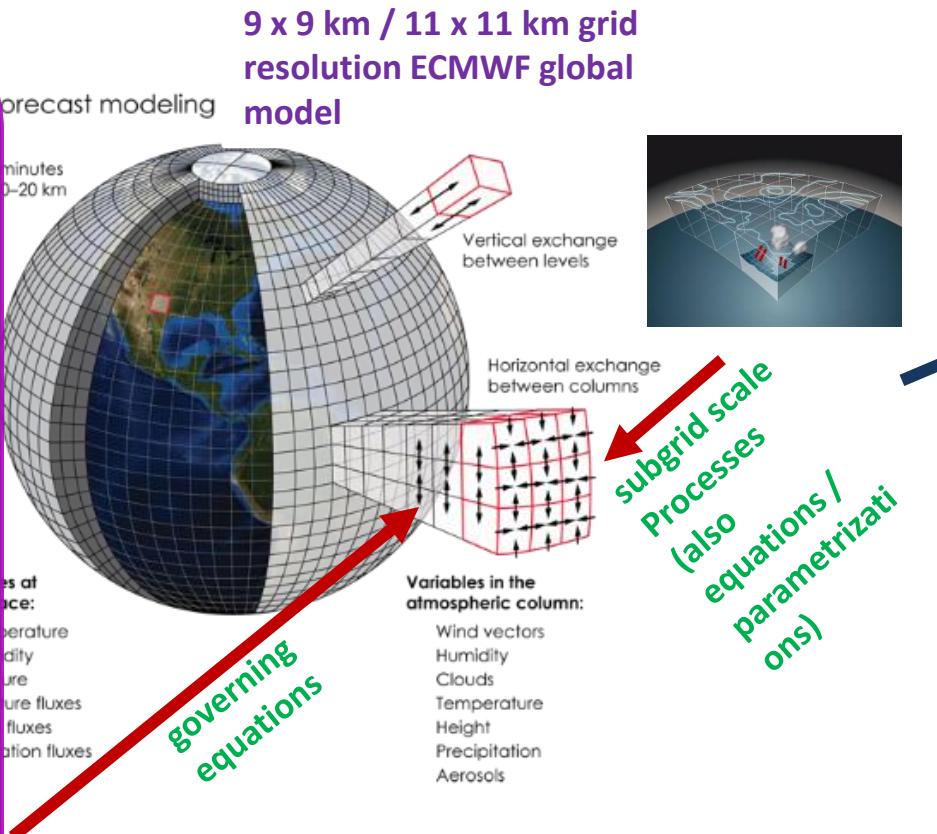
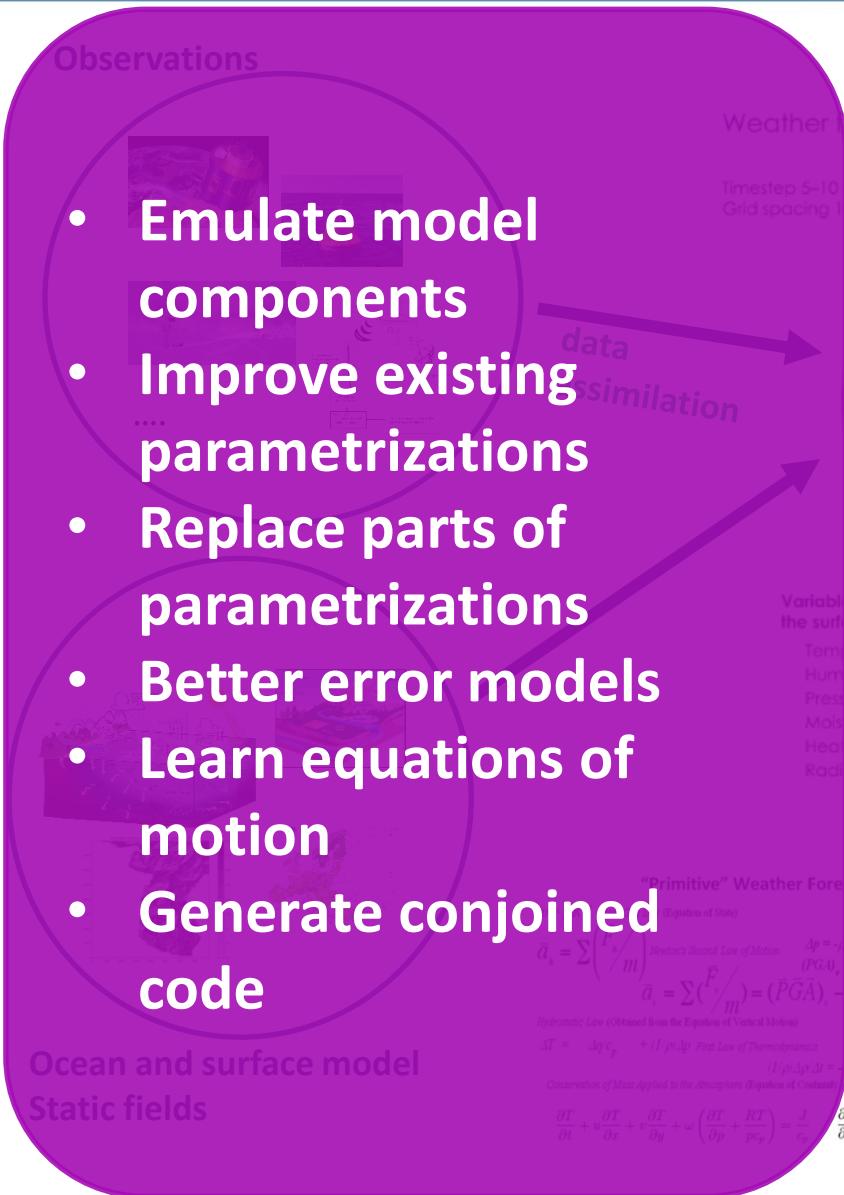
- **Data monitoring**
- **Real time quality control**
- **Anomaly detection**
- **Data cleaning and filtering for longer, historic time series**
- **Observation spatial interpolation / interpolation to unobserved areas**
- **Data fusion of different sources**
- **Guided decision making**
- **Correction of observation error**
- **Filling of missing values in time series**



# Numerical weather prediction and weather forecasting – and machine learning (?)



# Numerical weather prediction and weather forecasting – and machine learning (?)



# Numerical weather prediction and weather forecasting – and machine learning (?)

Observations

- **Adjustments of forecast products for renewable energy applications, nowcasting/forecasting of severe weather**
- **Improvements of forecast products for sub-seasonal to seasonal prediction**
- **Feature detection**
- **Uncertainty quantification and „cheap“ ensembling**
- **Low complexity models for research purposes**
- **Data driven forecasting**
- **Generation of synthetic data / data augmentation for algorithm training**
- **Increasing of spatial-temporal resolution (< 1 km, < hourly)**

9 x 9 km / 11 x 11 km grid  
resolution ECMWF global  
model

Weather forecast modeling

Timestep 5–10 minutes

Vertical exchange  
between levels

Subgrid scale  
Processes

(also  
equations /  
parametriza-  
tions)

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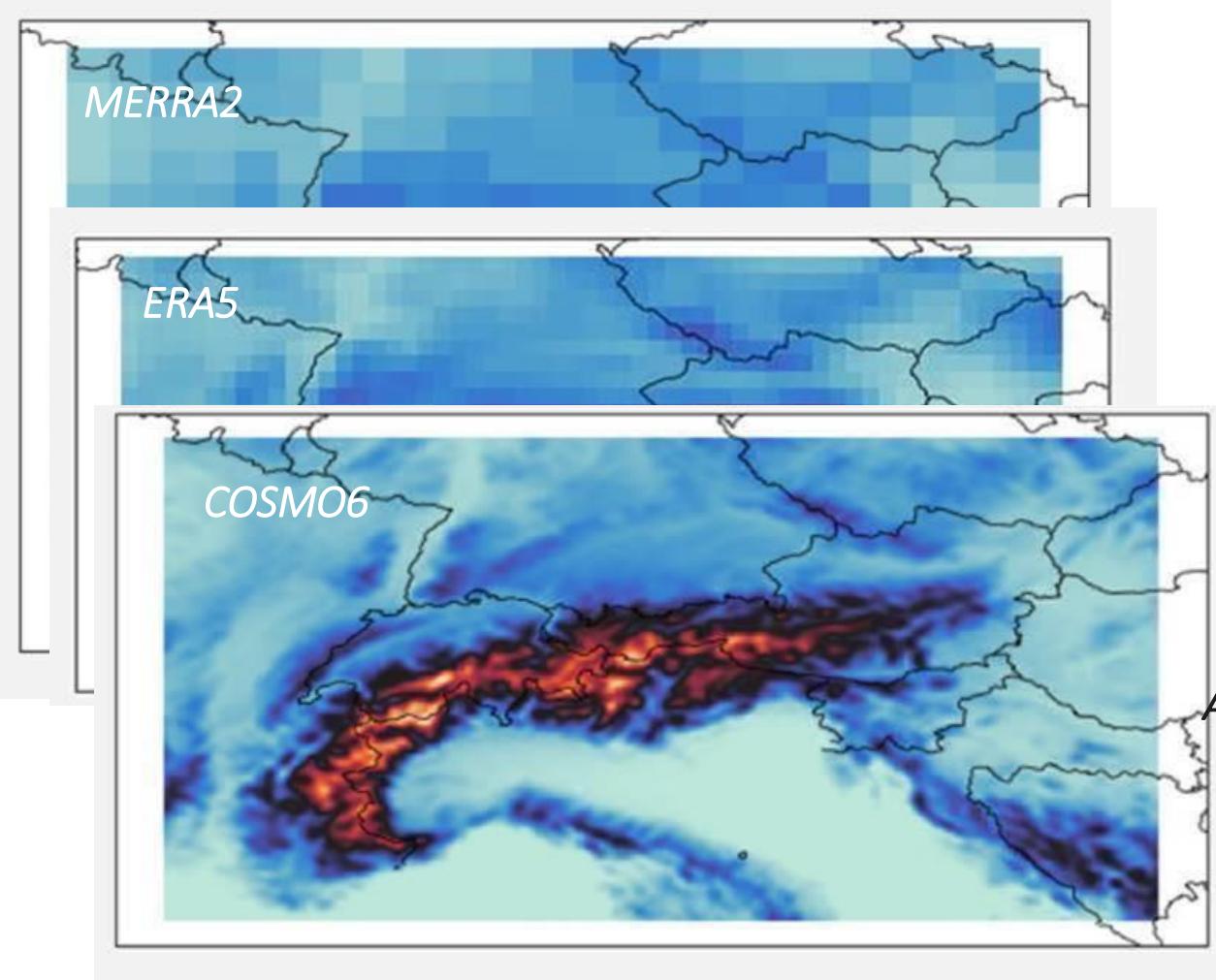
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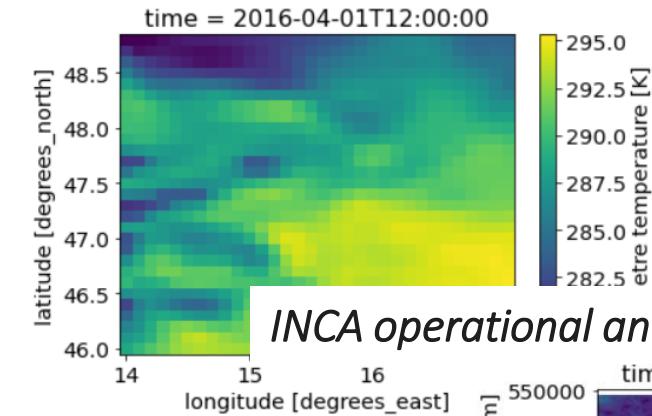
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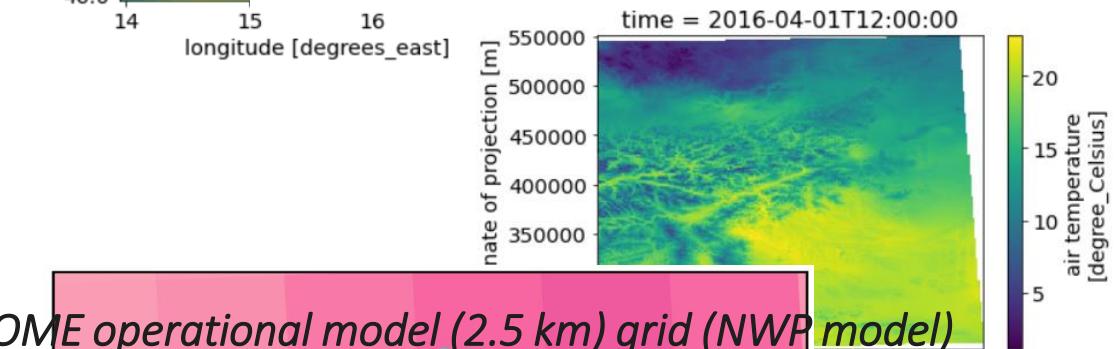
# Post-processing – why is it important



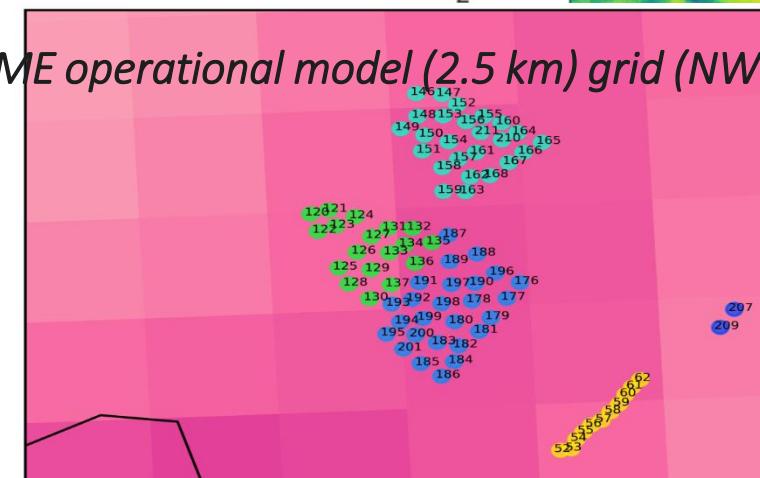
*ECMWF operational global model (~ 9 km) – Eastern Austria*



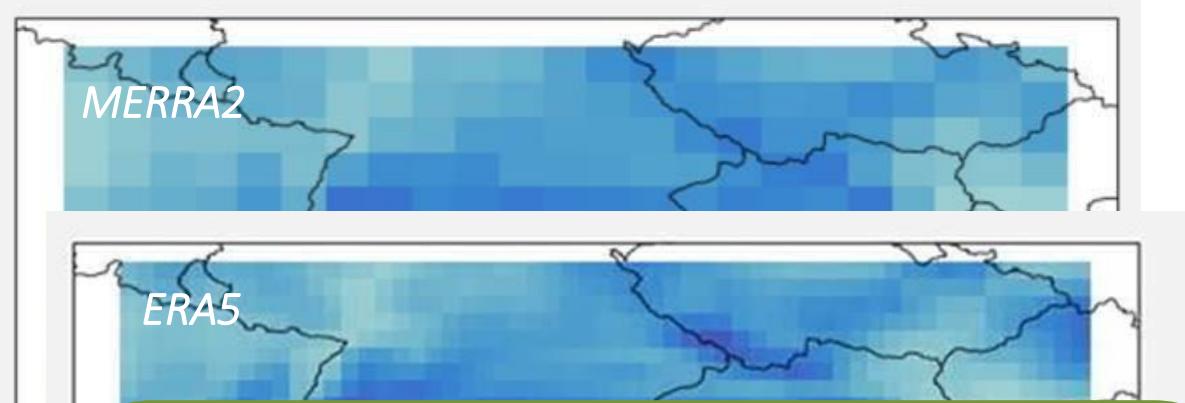
*INCA operational analysis 1 km – Eastern Austria*



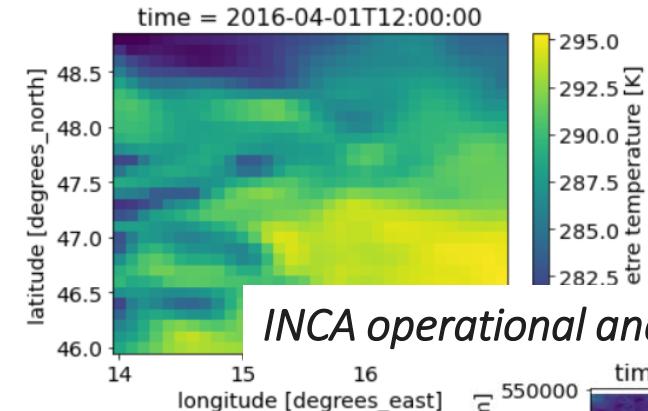
*AROME operational model (2.5 km) grid (NWP model)*



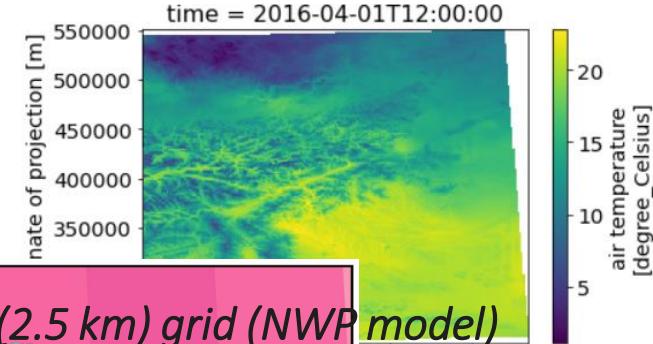
# Post-processing – why is it important



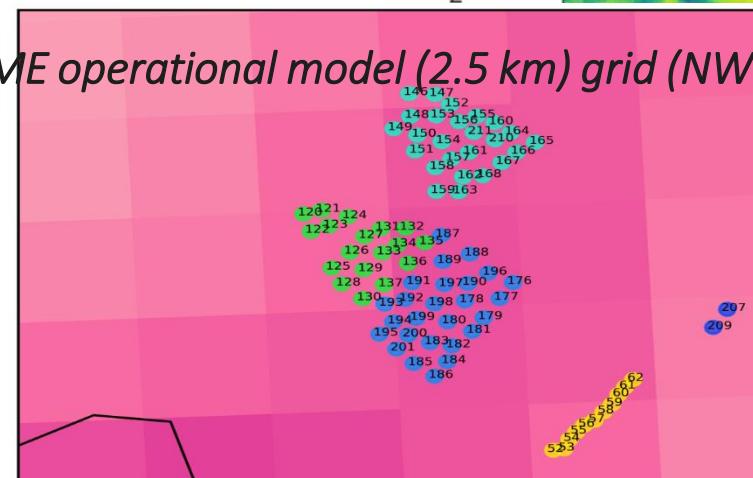
- Grid resolution too coarse
  - Temporal resolution for some applications too coarse
  - Temporal horizon not long enough
  - NWP models prone to different error sources (data, assimilation, parametrizations), bias / spread need to be corrected



*INCA operational analysis 1 km– Eastern Austria*



AROME operational model (2.5 km) grid (NWP model)



# Post-processing – „classical“ methods



- „classical“ as age/idea of methods is  $\geq 50$  years dating back to the 1960ies
- Are based on (current) observations and/or recent weather conditions and don't use numerical models ('data driven')
- Observations of the initial (predictor) and resultant (predictands) weather conditions are a must have
- Example: forecast the temperature for tomorrow with input consisting of observational data available at the time the forecast was issued:

$$\hat{Y}_t = \sum f_c(X_0) \dots \quad (1)$$

$Y_t$  = predictand (dependent variable) at time ' $t$ '

$X_0$  = predictor vector of observational data (independent variables) available at initial time 0

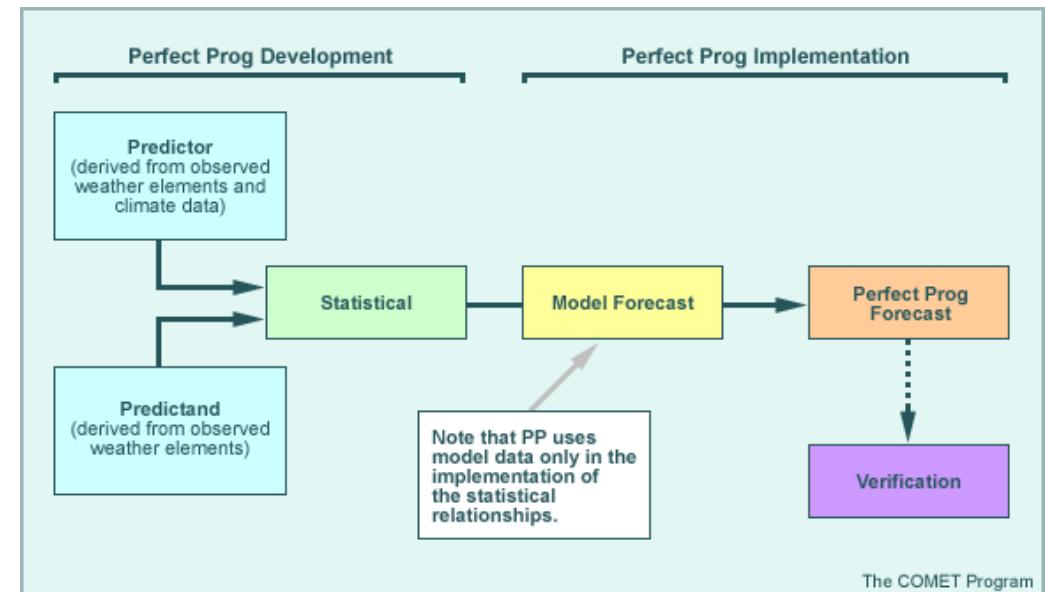
- Works good for short ranges and very long ranges, no skill in medium range ( $\sim 24$ h to 10 days)
- Best under persistend weather conditions with low variability

# Post-processing – „classical“ methods



Perfect prognosis / perfect prog

- The need to accurately predict surface weather elements, led to the development of Perfect Prognosis Method (PPM) (Klien et al., 1959).
- objective method, in which, a concurrent relation is developed between the parameter to be predicted and the observed circulation around the location of interest, using several years of data
- based on the assumption that numerical model forecasts are “perfect”
- numerical models are not perfect but this approach gives an estimate of what to expect, if numerical models are correct
- does not require numerical model data for development, uses numerical output when equations are applied operationally
- make sure that variables used as ‘Predictors’ in development of Perfect Prognosis Equations will be available from NWP models for operational purposes



# Post-processing – „classical“ methods



## Analog-based methods - AnEn

- the current state of the atmosphere is compared with a repository of other, historical states of the atmosphere to determine the most similar scenario in the past (an analog) (Van den Dool, 1989; Hamill and Whitaker, 2006; Delle Monache et al., 2011; Delle Monache et al., 2013).
- Lorenz (1969): analogues refer to “two states of the atmosphere which resemble each other rather closely” and “Each state may then be looked upon as equivalent to the other state plus a reasonably small ‘error’.”
- Are used in meteorology, analogs primarily for pre- and post- processing of NWP forecasts (Hamill and Whitaker, 2006).

$$\|F_t, A_{t'}\| = \sum_{i=1}^{N_v} \frac{w_i}{\sigma_{f_i}} \sqrt{\sum_{j=-\tilde{t}}^{\tilde{t}} (F_{i,t+j} - A_{i,t'+j})^2}$$

$F_t$  = forecast to be corrected at a given time  $t$  and specific station location;

$A_{t'}$  = analog forecast at time  $t'$  before  $F_t$  is issued and at the same location.

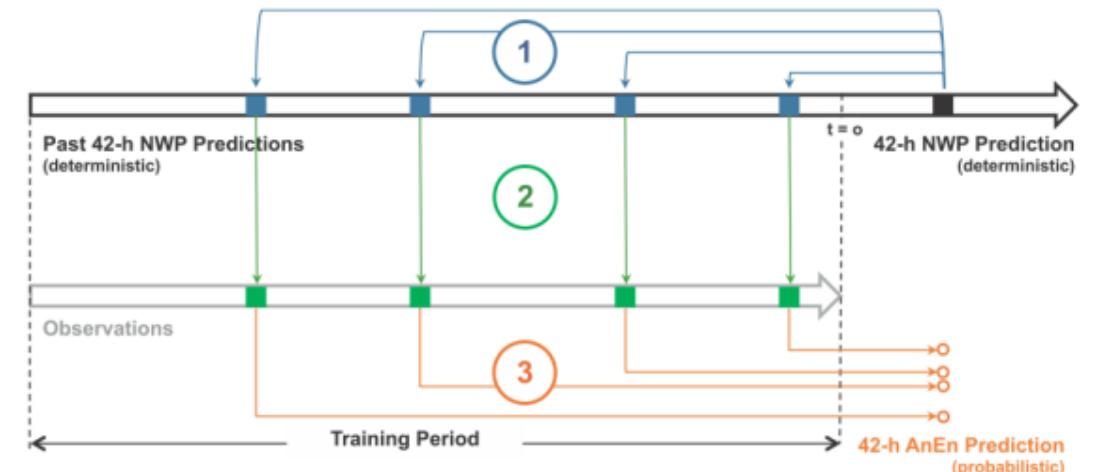
$N_v$ ,  $w_i$  are the number of predictors and their weights, respectively;

$\sigma_{f_i}$  = standard deviation of the time series of past forecasts of a given variable at the same location

$\tilde{t}$  = an integer equal to half the width of the time window over which the metric is computed.

$F_{i,t+j}$  and  $A_{i,t+j}$  = values of the prediction and the analog in the time window for a given variable.

This metric describes the quality of the analog chosen and is based upon the similarity of the current forecast window to the past forecast time windows available in the historical dataset. E.g., for a three-hour forecast the window would consist of three points,  $t-3hr$ ,  $t$ , and  $t+3hr$ .



Delle Monache et al., 2013

Hybrid analogs – neural networks (e.g. CapsNet)

# Post-processing – „classical“ methods

## Example application solar energy

Analog-based methods – „data-driven“ AnEn with spatial search field (satellite/radar/analysis field)

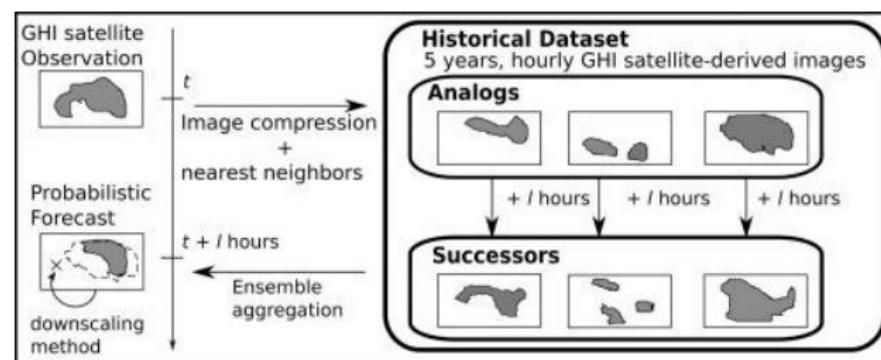
- Given a, e.g., satellite image of the current state of the atmosphere (the *observation* or *truth*), → search in a historical database N images that resemble the observation (the *analog*s)
  - analogs are found running a k-nearest neighbors algorithm on compressed images (into four *features*)

```
def knn_analogs(self, obs, analog_zones, k=80):
    """
    Returns the k nearest neighbors of the observation obs
    :param obs: observation data 4D feature representation
    :param analog_zones: analog neighbor candidates 4D feature representation
    :param k: num of neighbors
    :return: k nearest neighbors
    """

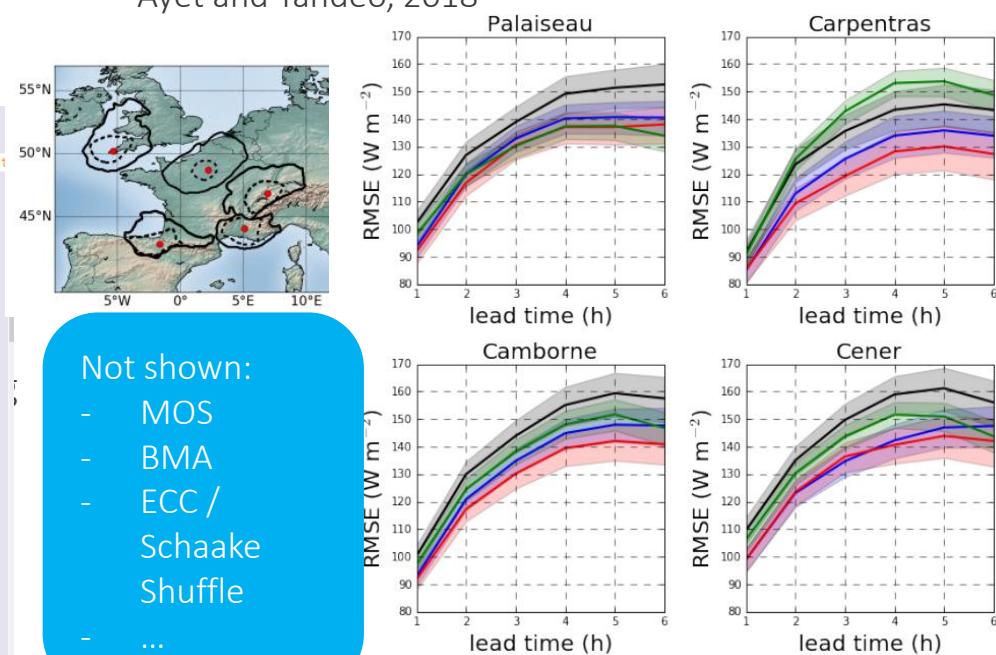
    neigh = NearestNeighbors(n_neighbors=k, metric='euclidean')
    neigh.fit(analog_zones.to_array().to_numpy())
    dists, indizes = neigh.kneighbors(
        obs.to_array().to_numpy().transpose(), 80, True)
    analog_time = analog_zones.coords['time']
    analogs = analog_time[indizes.flatten()]
    analogs = self.check_successors(analogs)
    analogs_filter = list()
    t_delta = np.timedelta64(12, 'h')
    for d in analogs.values():
        d_min = d - t_delta
        d_max = d + t_delta
        if not len(analogs_filter):
            analogs_filter.append(d)
        else:
            tmp = True
            for t in analogs_filter:
                if d_min <= t <= d_max:
                    tmp = False
            if tmp:
                analogs_filter.append(d)
    return self.ds_lim.sel(
        time=self.ds_lim.time.isin(analogs_filter)).load()
```

Gföhler, Schicker 2022

Implemented in test version, currently adapted for operational purposes



Ayet and Tandeo, 2018



- Not shown:
- MOS
- BMA
- ECC / Schaake
- Shuffle
- ...

Figure 13: Normalized "ground" RMSE and corresponding 95% bootstrap confidence interval as a function of lead time for the analog method (blue), the post-processed analog method (red), the persistence method (black), and the adaptive VAR(1) model (green).

# Post-processing – hybrid methods



EMOS – ensemble model output statistics on point and grid

Uses:

- numerical weather prediction data, deterministic/probabilistic
- Observations of official weather obs site
- OR: gridded analysis fields
- Based on non-homogeneous gaussian regression
- Originally implemented in Fortran, rewritten in R and python

Boosting: rather machine learning than pure statistics

EMOS boost:

$$y \sim N(\mu, \sigma)$$

$$\mu = b_0 + b_1 m$$

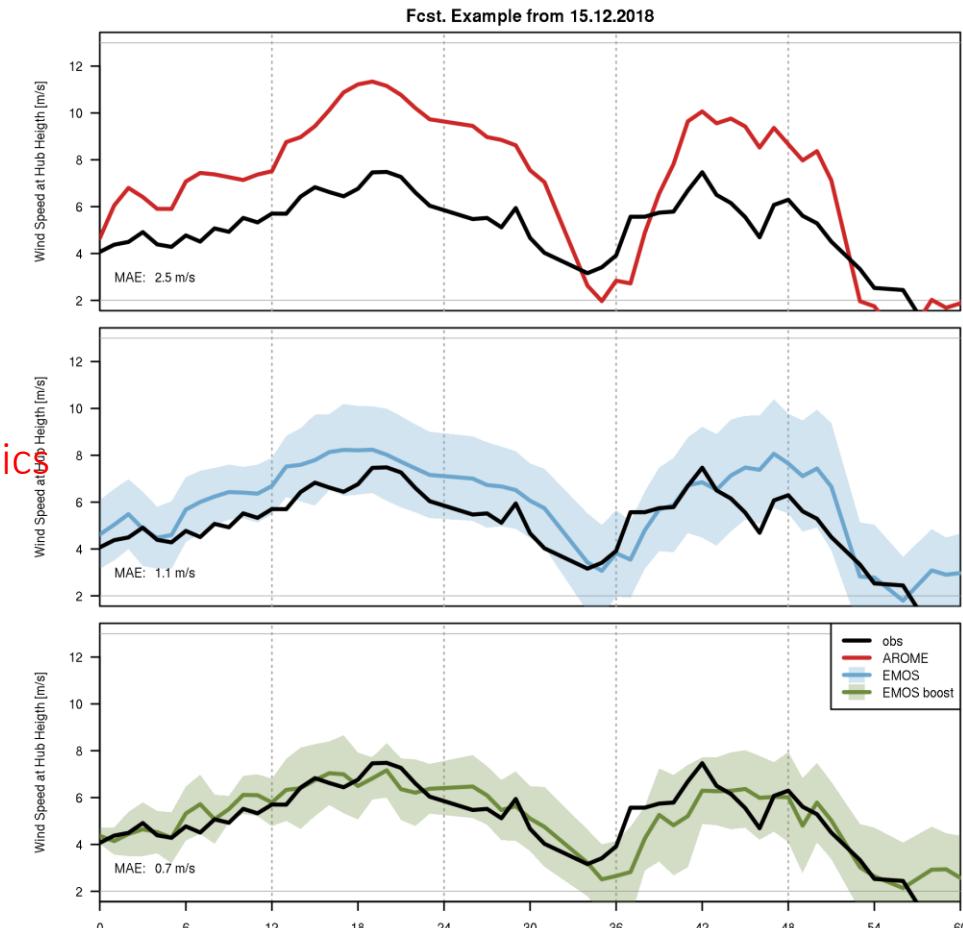
$$\sigma = c_0 + c_1 s$$

$$y \sim N(\mu, \sigma)$$

$$\mu = b_0 + b_1 x_1 + b_2 x_2 + b_3 x_3 + \dots$$

$$\sigma = c_0 + c_1 z_1 + c_2 z_2 + c_3 z_3 + \dots$$

Advantage: we get an uncertainty estimation on-the-fly with the statistical-based method



# Post-processing – hybrid methods

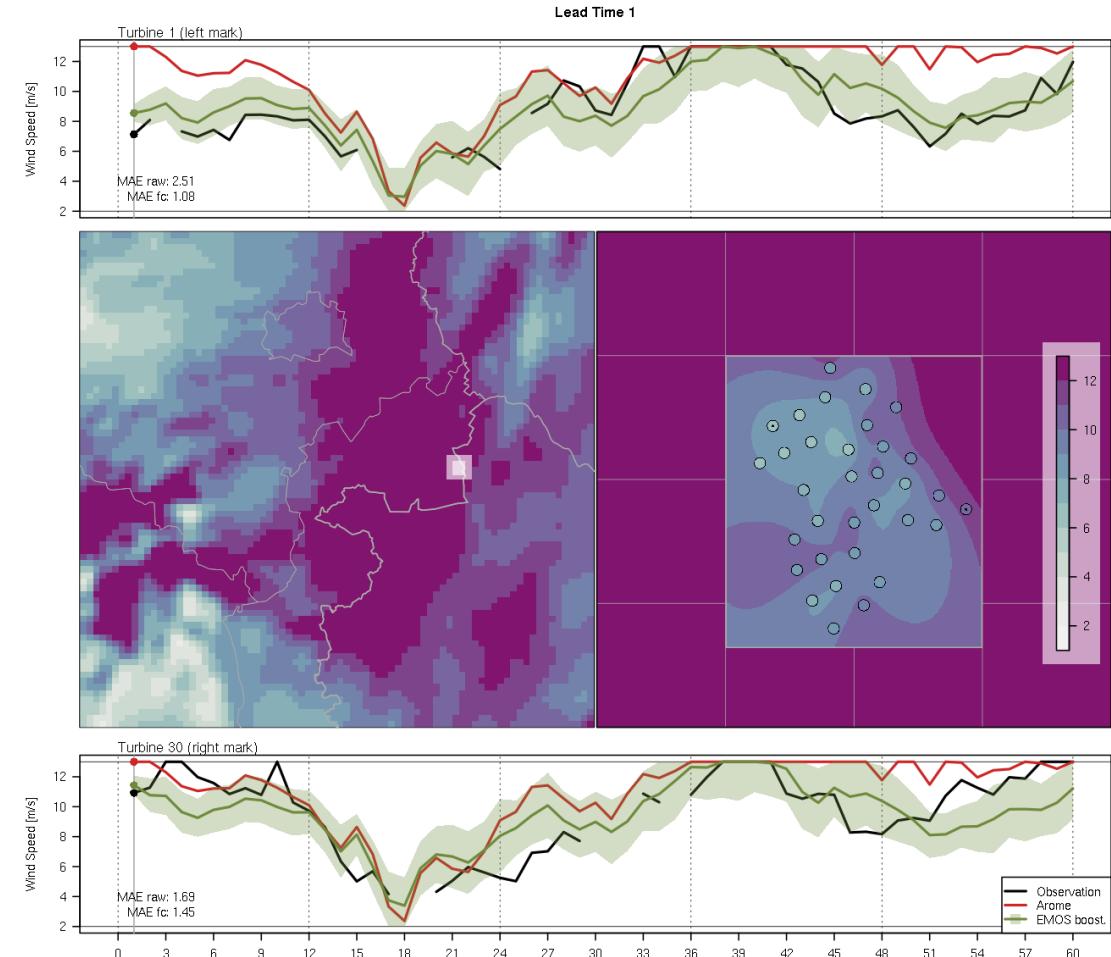
```
C:\Users\schicken\Documents\MobaXterm\flashRemoteFiles\135456_3\module_eps_calibration.v5.3.190 - Notepad++  
Datei Bearbeiten Suchen Ansicht Kodierung Sprachen Einstellungen Werkzeuge Makro Ausführen Erweiterungen Fenster ?  
  
main.py database metrics.py models.py _01_analogs_ZAMG.py module_eps_calibration.v5.3.190  
  
974 DO istation = 1, numberofstations  
975 ! loop over leadtimes  
976 DO itime = 1, numberofleadtimes  
977 ! if coefficients are missing values  
978 IF (ANY(COEFFICIENTS(itime,istation,:)==missing_val) .OR. RMSE(itime,istation)==missing_val .OR. EPSMEAN(itime,istation)==missing_val .OR. EPSSPREAD(itime,istation)  
979 Fct_eps_calibrated(:,itime,istation)=missing_val  
980 ELSE  
981 ! assign coefficients  
982 a = COEFFICIENTS(itime,istation,:)  
983 b = COEFFICIENTS(itime,istation,:)  
984 c = COEFFICIENTS(itime,istation,:)  
985 d = COEFFICIENTS(itime,istation,:)  
986 chosen_area = 0.9  
987 tmpspread = 9999. ! Initialization  
988 ! calculate temporary eps mean  
989 SELECT CASE (calibration_method)  
990 CASE ('NGR')  
991 chosen_mean = (a+b*EPSMEAN(itime,istation))  
992 CASE ('CONGR')  
993 z2 = (a + b*EPSMEAN(itime,istation))/EPSSPREAD(itime,istation)  
994 pdf_z2 = (1./SQRT(*PI))*EXP((-1.)*(z2**2)/2.)  
995 CALL NORCDF(z2, pdf_z2)  
996 tmpmean = (a+b*EPSMEAN(itime,istation))*pdf_z2 + EPSSPREAD(itime,istation)*pdf_z2  
997 END SELECT  
998 do while (chosen_area.GE.0.01.AND.tmpspread.GE. (RMSE(itime,istation)*Fresc))  
999 tmpspread = 0.  
1000 ! loop over ensemble members  
1001 DO IMEM = 1, MEM_MAX  
1002 ! probability = (1.*IMEM/(1.*MEM_MAX+1))  
1003 probability = 0.5-chosen_area/2. + (float(IMEM-1.)*(chosen_area/(float(MEM_MAX-1))))  
1004 XMP1(IMEM) = dnorm_standard(probability)  
1005 ! end loop over ensemble members  
1006 ENDDO  
1007 ! calculate temporary version of calibrated ensemble  
1008 XMP1 = XMP1*(c+d*EPSSPREAD(itime,istation)**2.)+(a+b*EPSMEAN(itime,istation))  
1009 XMP1 = XMP1*(c+d*EPSSPREAD(itime,istation)**2.)*tmpmean  
1010 !calculate temporary spread  
1011 tmpspread = SQRT((SUM(XMP1*tmpmean)**2.))/FLOAT(MEM_MAX-1)  
1012 chosen_area=chosen_area-0.01  
1013 ! enddo  
1014 ! assign final calibrated ensemble  
1015 SELECT CASE (calibration_method)  
1016 CASE ('NGR')  
1017
```

## Python:

[https://github.com/slerch/pfnn/blob/master/pfnn\\_src/emos\\_network\\_theano.py](https://github.com/slerch/pfnn/blob/master/pfnn_src/emos_network_theano.py)

# R package:

## ensembleMOS: EMOS modeling in ensembleMOS: Ensemble Model Output Statistics (rdrr.io)



# Post-processing – hybrid methods



SAMOS – standardized anomalies based model output statistics

$$y \sim N(\mu, \sigma),$$

$$\mu = \beta_0 + f_1(\text{doy}),$$

$$\log(\sigma) = \gamma_0 + g_1(\text{doy}),$$



$$y^* = \frac{y - \mu_y}{\sigma_y},$$

$$m^* = \text{mean} \left( \frac{\text{ens} - \mu_{\text{ens}}}{\sigma_{\text{ens}}} \right),$$

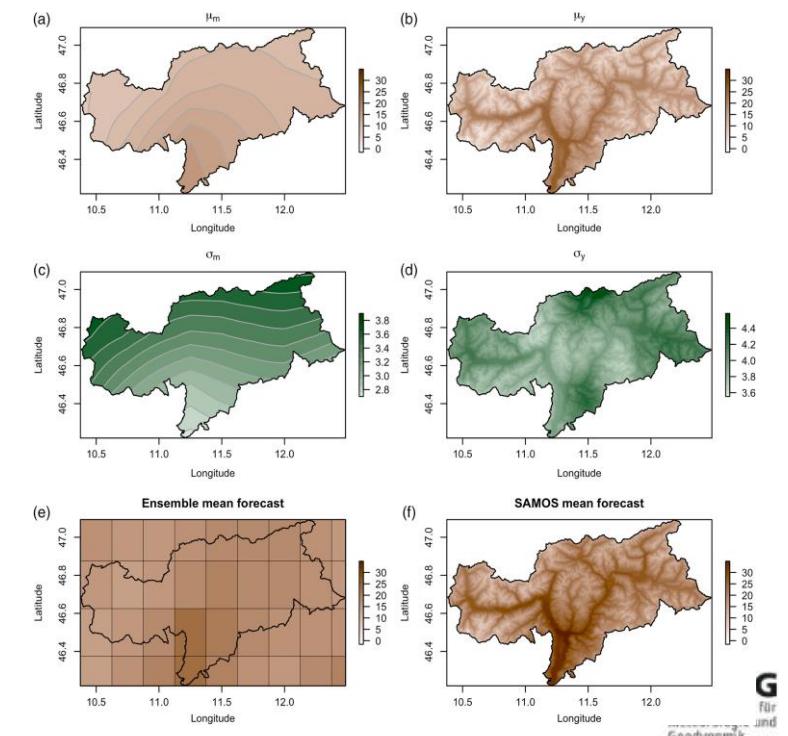
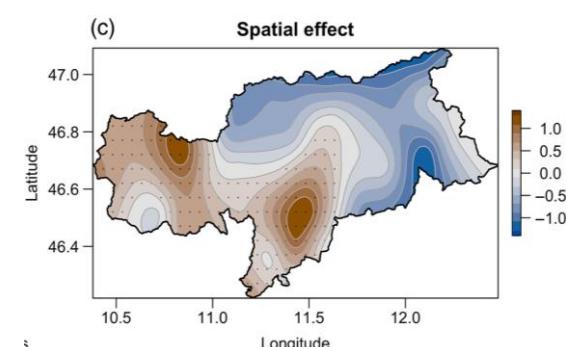
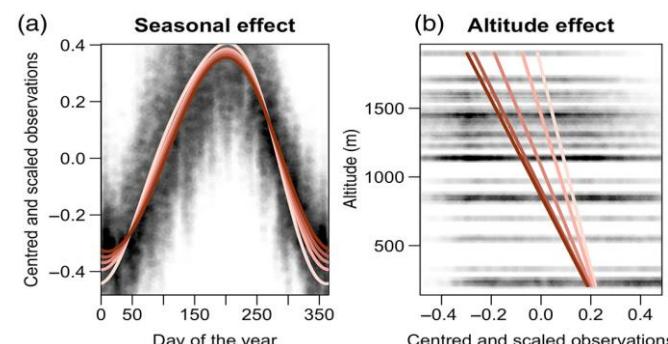
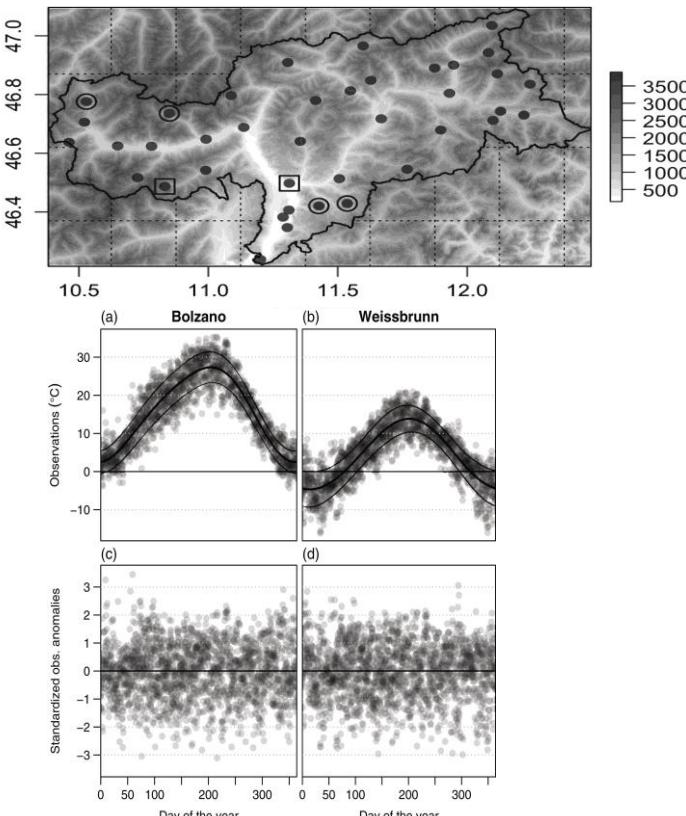
$$s^* = \text{std dev} \left( \frac{\text{ens} - \mu_{\text{ens}}}{\sigma_{\text{ens}}} \right),$$

+ Boosting

$$y^* \sim N(\mu^*, \sigma^*),$$

$$\mu^* = b_0 + b_1 m^*,$$

$$\log(\sigma^*) = c_0 + c_1 \log(s^*),$$



# Post-processing – machine learning methods

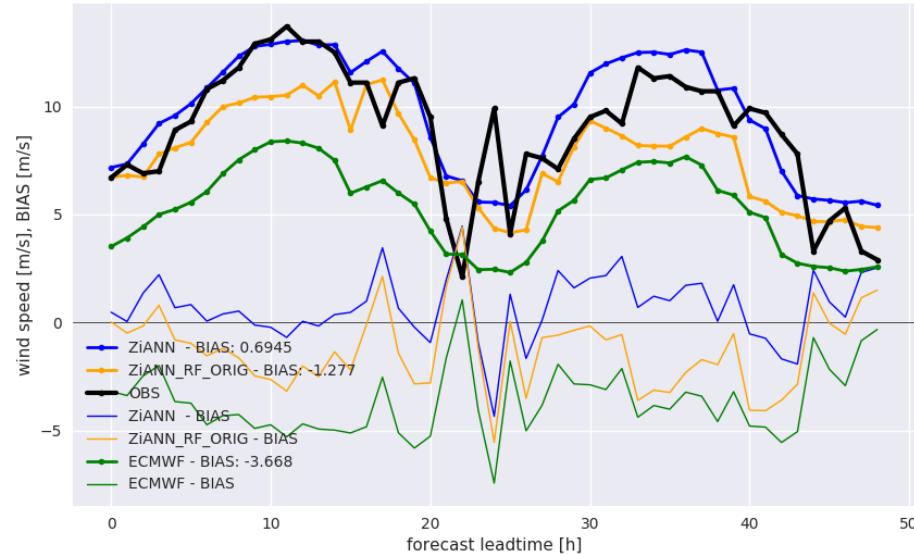
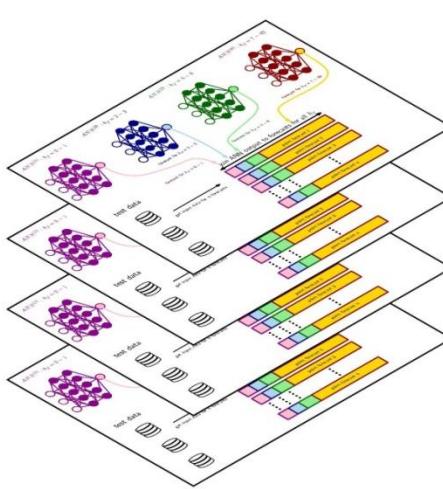
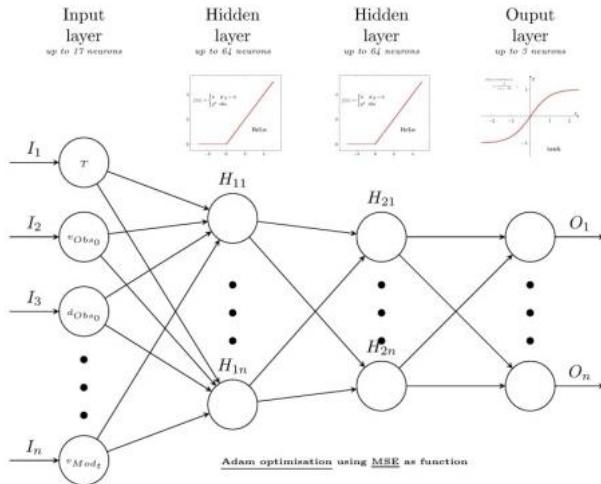


## ANN / CNN / ConvLSTM

- Different applications:
  - meteorological forecasting grid/point
  - Downstream applications: renewables, agriculture, transportation, mobility, logistics, road maintenance...
- Different types of data
  - Observations (standard WMO)
  - Satellite
  - Radar, lidar data
  - NWP models with varying quality, domain, grid size,...
  - IoT: private weather stations, GPRS, microlink data, mobile devices
- Different types of AI methods
  - Simpler: MLP, Random Forest, SVM
  - Complex: CNN, ConvLSTM, Bernstein Quantiles
  - Rather novel: NODEs, Graph (C)NN, SDEs/differential equations, physics-aware/inspired, GANs,...

# Post-processing – machine learning methods we use

Input data: NWP(point/grid), TAWES/SCADA



- Hourly forecasts for the next 48 hours ahead
- Uses a neural network in “ensemble mode” (deterministic forecast) but can also switch to random forest forecast (future: good to have both)
- Subhourly added
- RF + LSTM component added
- Needed adjustments in pre-processing (scaling + transformation)

## Skills:

- Direct access to “online” SCADA data
- In-built QC
- Adjustable forecast intervals, neurons, layers, etc.
- Adjustable training length depending on data availability

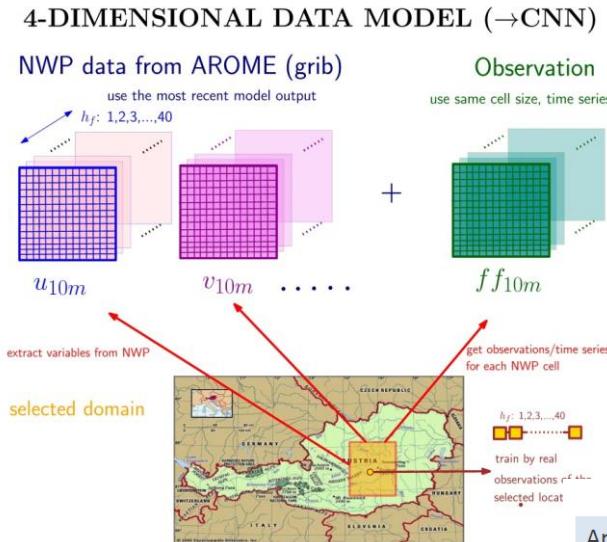
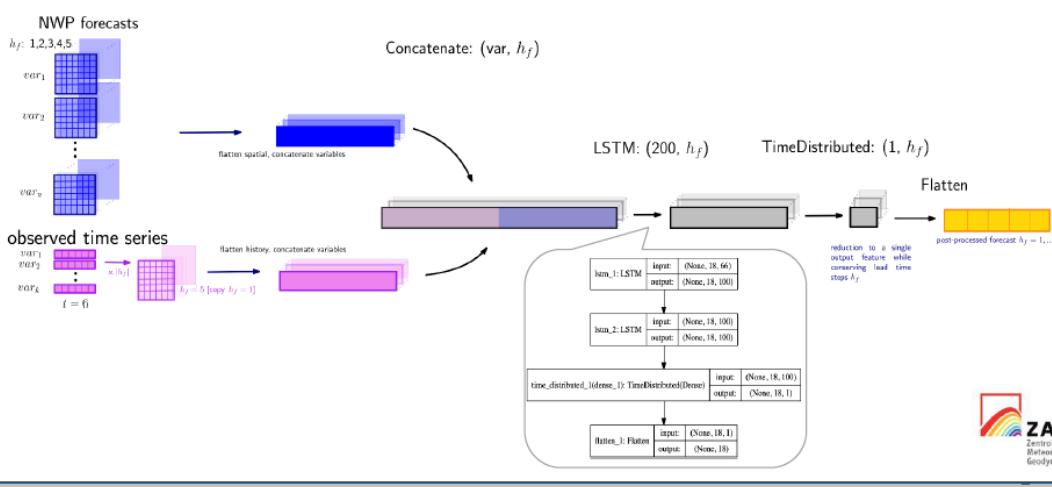
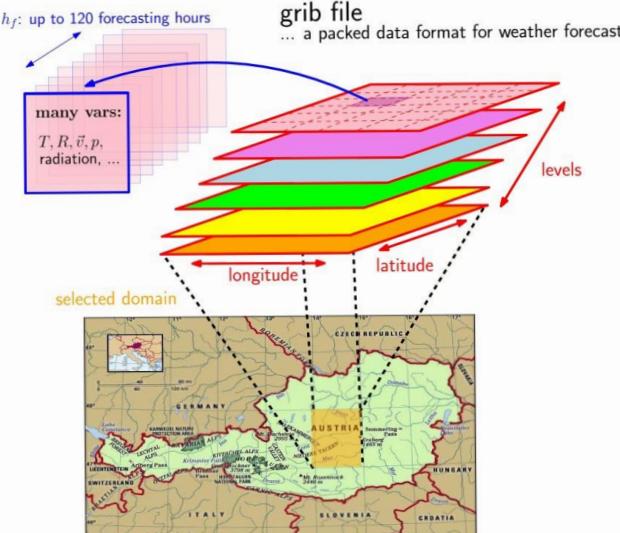
## Challenges:

- “our” obs data available every 10-minutes
- NWP data so far with a large delay
- Non-convection permitting models are easy to learn of, don’t need long time series of data – convection permitting models not, need lots of data
- Changes in the NWP model – how to deal with them? After 3 – 4 years a model changes nearly completely

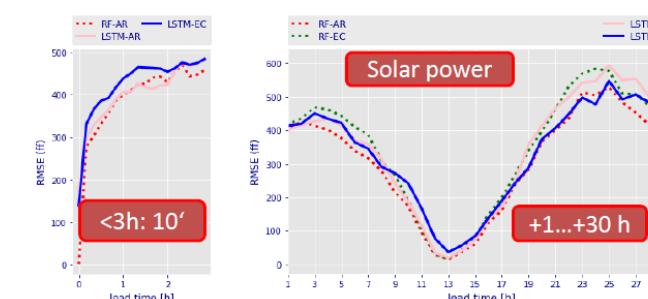
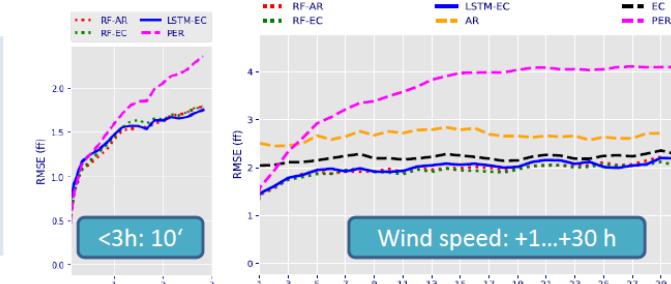
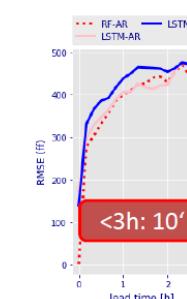
# Post-processing – machine learning methods we use



Point forecast using complex neural network setup and multiple data sources (PhD project, AWAKE):



- Andau, case study 2020:**
- mean of 38 turbines
  - SAMOS archive for training (= AROME / EC at 00:00 UTC)
  - runs each full hour (24 times a day) or suntime hours

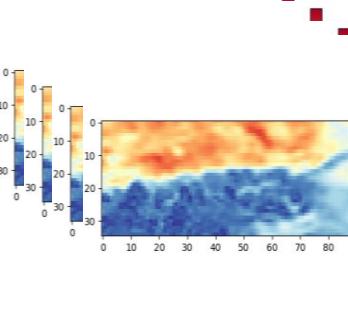


- best setup found used for training from SAMOS archive (2018-2020)
- AI Models migrated to vmlearn
- queries of AROME, TAWES, Energie Burgenland etc. in real-time

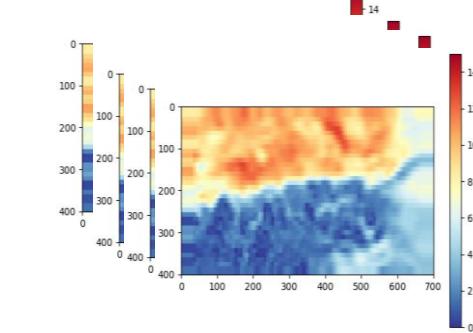
Semi-operational for solar and wind

# Post-processing – machine learning methods

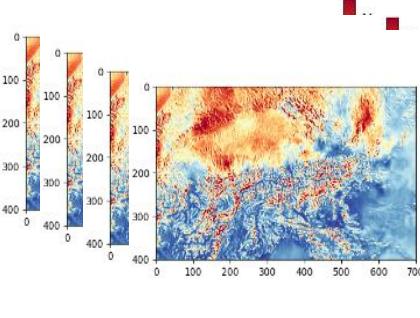
ECMWF raw parameters



ECMWF downscaled

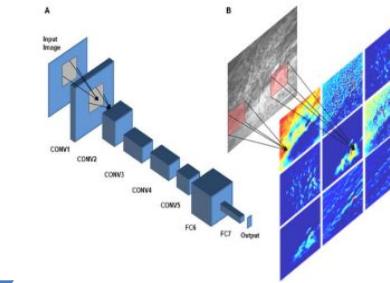
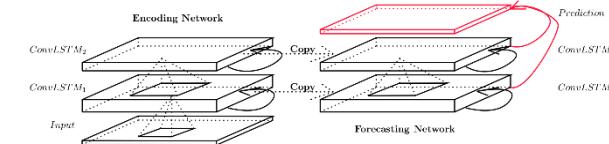


INCA parameters



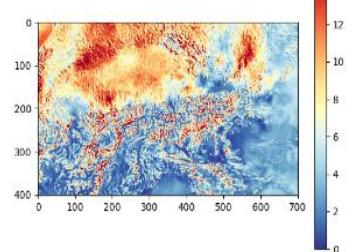
Static fields ( $z_0$ ,  
topography, TPI,...)

Core being replaced by:

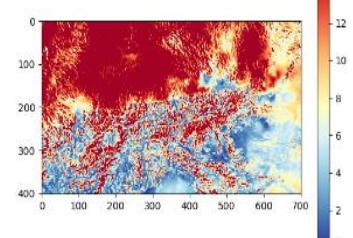


[https://miro.medium.com/max/1214/1\\*AUBtwV3xkXW41Bbh2Uh1hw.png](https://miro.medium.com/max/1214/1*AUBtwV3xkXW41Bbh2Uh1hw.png)

10 m wind speed

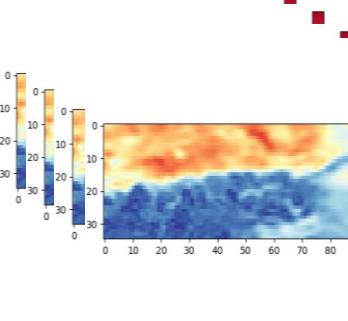


100 m wind speed

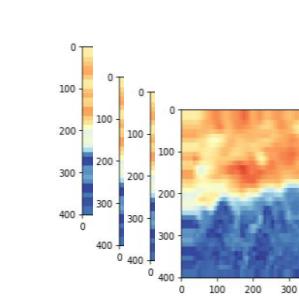


# Post-processing – machine learning methods

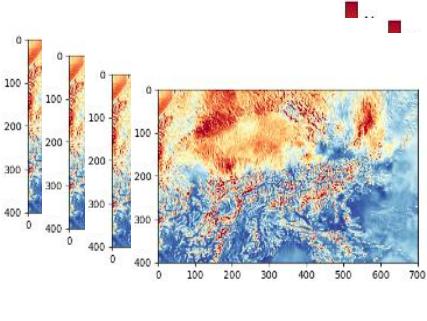
ECMWF raw parameters



ECMWF downscaled



INCA parameters



Static field  
topography

```
##### complex model setup #####
def ML_two_branches_samedims(algo, Adim, Bdim, objective, lr, decay):

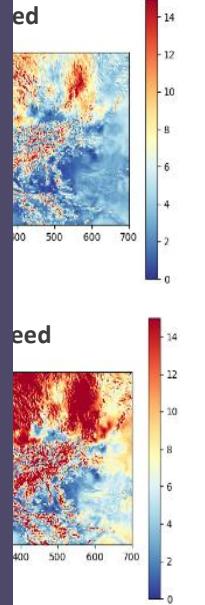
    if algo == 'Adam':
        algo = Adam(lr = lr, beta_1 = 0.9, beta_2 = 0.99, epsilon = 1e-08, decay = decay)
    lookback = 1

    print('shapes input: ', Adim, Bdim)
    # define two sets of inputs
    inputA = Input(shape=Adim)
    inputB = Input(shape=Bdim)
    # the first branch operates on the first input
    x = Dense(64, activation="relu", kernel_initializer="he_normal")(inputA)
    x = Dropout(0.2)(x)
    #x = Dense(64, activation="relu", kernel_initializer="he_normal")(x)
    #x = BatchNormalization()(x)
    x = GaussianNoise( 0.01 )(x)
    x = Model(inputs=inputA, outputs=x)

    # the second branch operates on the second input
    y = Dense(64, activation="relu", kernel_initializer="he_normal")(inputB)
    #y = BatchNormalization()(y)
    y = Dropout(0.2)(y)
    #y = Dense(64, activation="relu", kernel_initializer="he_normal")(y)
    #y = BatchNormalization()(y)
    #y = Dense(64, activation="relu", kernel_initializer="he_normal")(y)
    #y = BatchNormalization()(y)
    y = GaussianNoise( 0.01 )(y)
    y = Model(inputs=inputB, outputs=y)

    # combine the output of the two branches
    combined = concatenate([x.output, y.output])
    # apply a FC layer and then a regression prediction on the
    # combined outputs
    #z = Dense(32, activation="tanh")(combined)
    z = Dense(1, activation="relu")(combined)

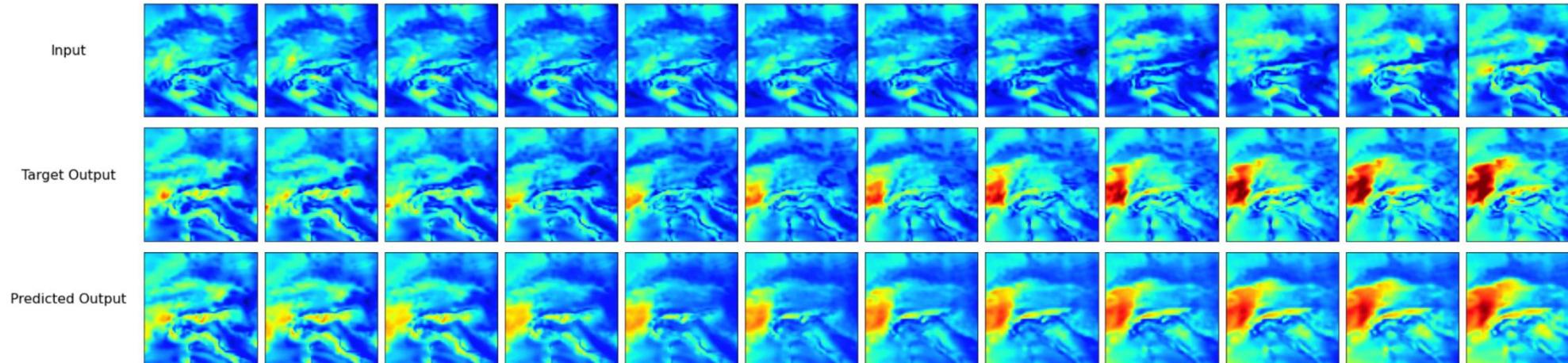
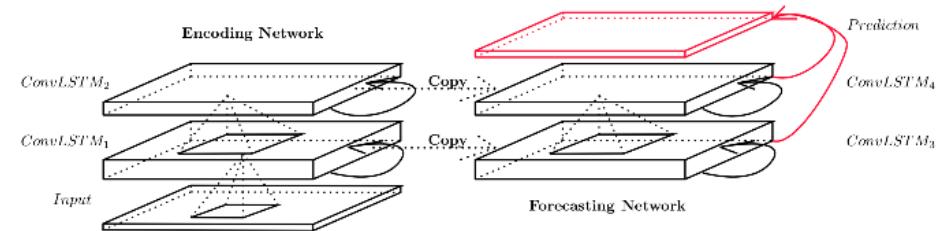
    # our model will accept the inputs of the two branches and
    # then output a single value
    model = Model(inputs=[inputA, inputB], outputs=z)
    model.compile(loss=objective, optimizer=algo, metrics=['mae',rmse])
    #plot_model(model, to_file='model_2dims.png', show_shapes=True, show_layer_names=True)
```



# Post-processing – machine learning methods

## ConvLSTM based model with adapted weighted loss function for different categories of wind speed

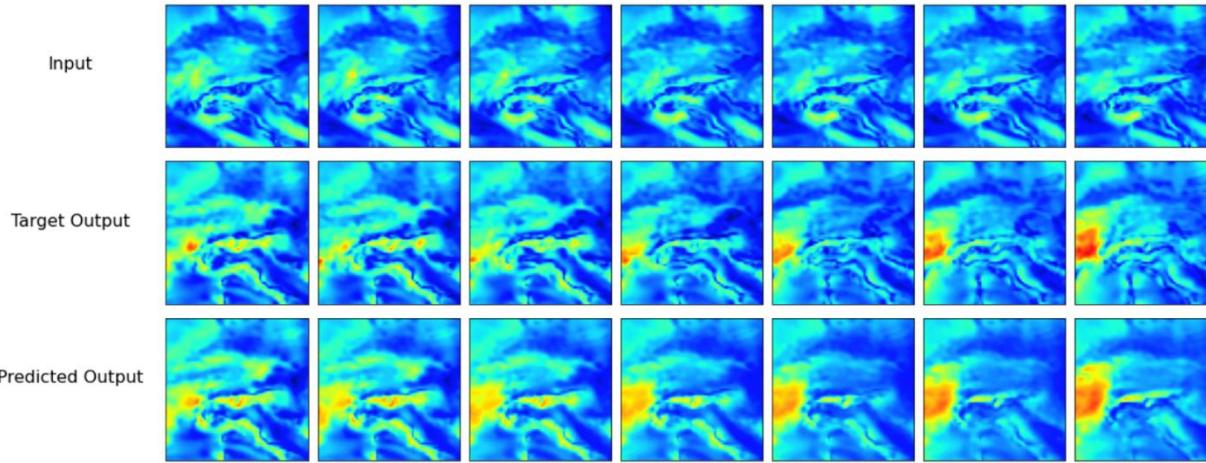
- Some sort of basic physics aware network
- Weighting of less frequent cases of wind speed („extremes“)
- Adapted metric function
- Data-driven using ERA5 as input



# Post-processing – machine learning methods

## ConvLSTM based model with adapted weighted loss function

- Some sort of basic physics aware network
- Weighting of less frequent cases of wind speed (“extremes”)
- Adapted metric function
- Data-driven using ERA5 as input



```
72 class CLSTM_cell(nn.Module):
73     """ConvLSTMCell
74     """
75     def __init__(self, shape, input_channels, filter_size, num_features, seq_len):
76         super(CLSTM_cell, self).__init__()
77
78         self.shape = shape # H, W
79         self.input_channels = input_channels
80         self.filter_size = filter_size
81         self.num_features = num_features
82         self.seq_len = seq_len
83         # in this way the output has the same size
84         self.padding = (filter_size - 1) // 2
85         self.conv = nn.Sequential(
86             nn.Conv2d(self.input_channels + self.num_features,
87                      4 * self.num_features, self.filter_size, 1,
88                      self.padding),
89             nn.GroupNorm(4 * self.num_features // 32, 4 * self.num_features))
90
91
92     def forward(self, inputs=None, hidden_state=None, seq_len=None):
93         seq_len=self.seq_len
94         if hidden_state is None:
95             hx = torch.zeros(inputs.size(1), self.num_features, self.shape[0],
96                             self.shape[1]).cuda()
97             cx = torch.zeros(inputs.size(1), self.num_features, self.shape[0],
98                             self.shape[1]).cuda()
99         else:
100            hx, cx = hidden_state
101        output_inner = []
102        for index in range(seq_len):
103            if inputs is None:
104                x = torch.zeros(hx.size(0), self.input_channels, self.shape[0],
105                               self.shape[1]).cuda()
106            else:
107                x = inputs[index, ...]
108
109            combined = torch.cat((x, hx), 1)
110            gates = self.conv(combined) # gates: i,f,g,o
111            # it should return 4 tensors: i,f,g,o
112            ingate, forgetgate, cellgate, outgate = torch.split(
113                gates, self.num_features, dim=1)
114            ingate = torch.sigmoid(ingate)
115            forgetgate = torch.sigmoid(forgetgate)
116            cellgate = torch.tanh(cellgate)
117            outgate = torch.sigmoid(outgate)
118
119            cy = (forgetgate * cx) + (ingate * cellgate)
120            hy = outgate * torch.tanh(cy)
121            output_inner.append(hy)
122            hx = hy
123            cx = cy
124
125        return torch.stack(output_inner), (hy, cy)
```

# Post-processing – machine learning methods setup for a case study



- growing **renewable energy** source, can yield very different output for each location of interest
- effective integration to **power grid**: need **forecasts** of the **expected power curve** (e.g.: serves for grid stability, energy trading, scheduling of maintenance / energy transfer, ...)
- various **data sources available**: power generated, met. site observation, satellite, numeric prediction (NWP)
- strong **seasonal** and **diurnal variation** in the data → want these variations in the nowcasts



[https://commons.wikimedia.org/wiki/File:Solar\\_PV\\_Austrian\\_Alps.jpg](https://commons.wikimedia.org/wiki/File:Solar_PV_Austrian_Alps.jpg)



→ investigate machine learning/ML such as **Artificial Neural Nets, Random Forest** as efficient forecast tool

# Data for CASE STUDY 2021

We optimize **site specific models** and select data for each site from:

## INPUT :

- AROME:  
forecasts in various p/z levels of solar radiation related parameters (e.g.: short-wave radiation, cloud cover, ...)
- CAMS – site interpolated radiation timeseries:  
radiation related parameters
- Observation site:  
observed solarpower
- TAWES/INCA – closest observation/analysis at surface level:  
global radiation, temperature, wind, humidity



+ computed climatology

## CASESTUDY

### Training:

- ✓ 2015-2020 (incl. artificial)
- ✓ 2020 (real only)

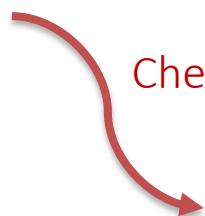
### Testing:

- ✓ 2021

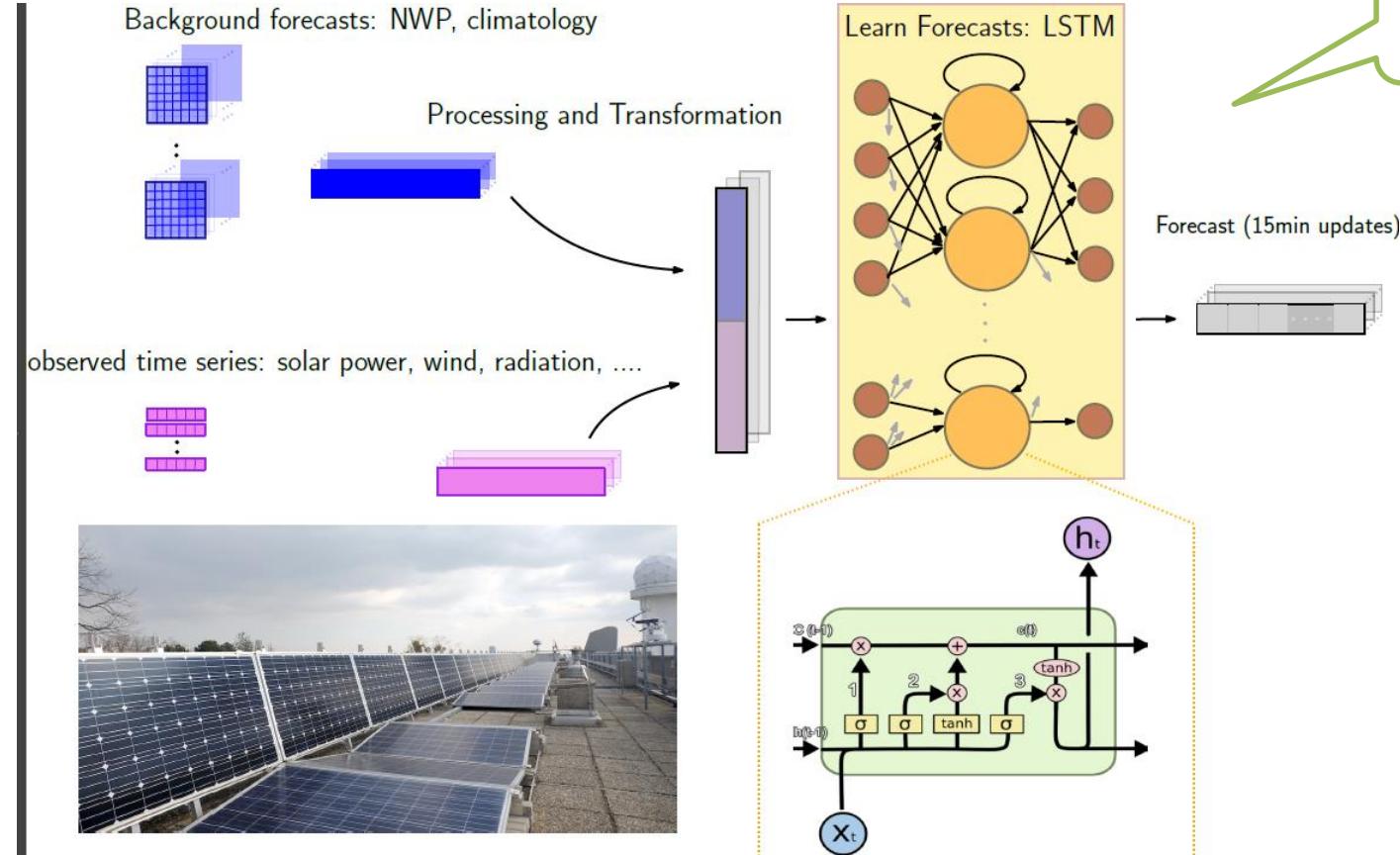
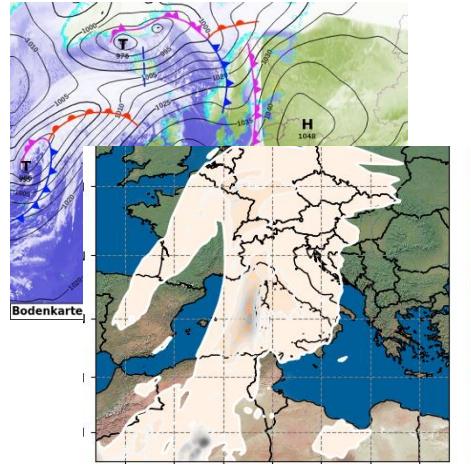


Check missing, normalize, etc.

**OUTPUT:** solar power forecasts  
in 15 min. resolution  
+6 hours, hourly runs



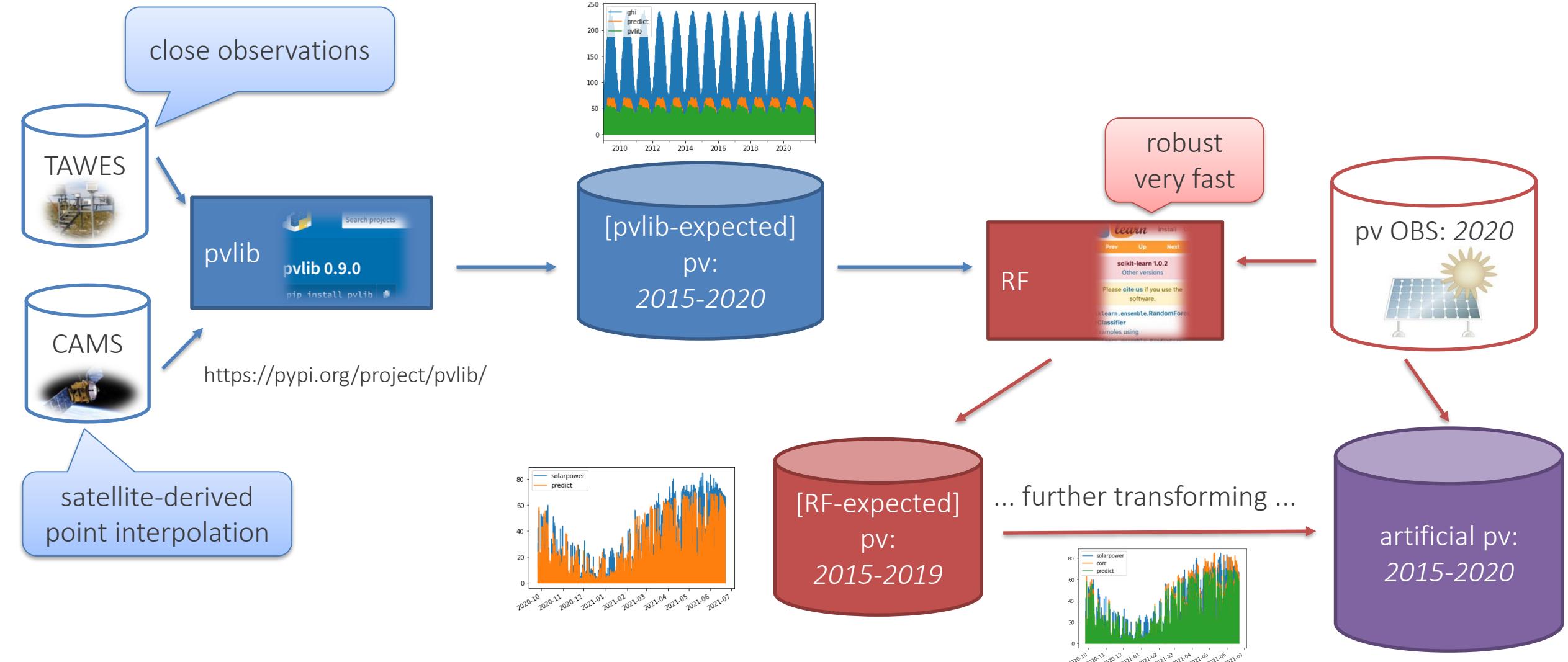
# Post-processing Methodology: update a Background Model(s) by ML



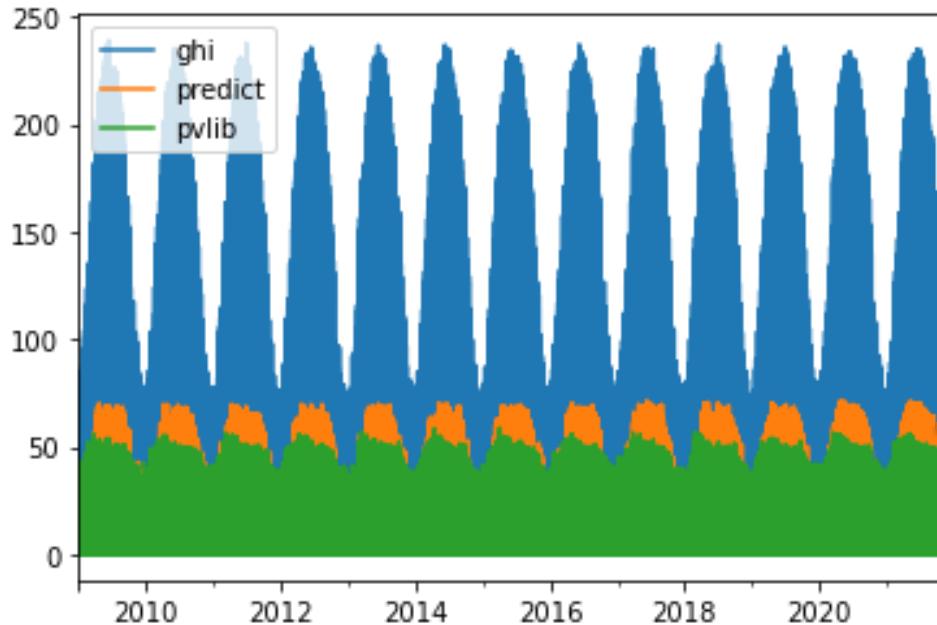
# Issue: Short Observation Time-series of Power Plants

30.03.2022  
Folie 28

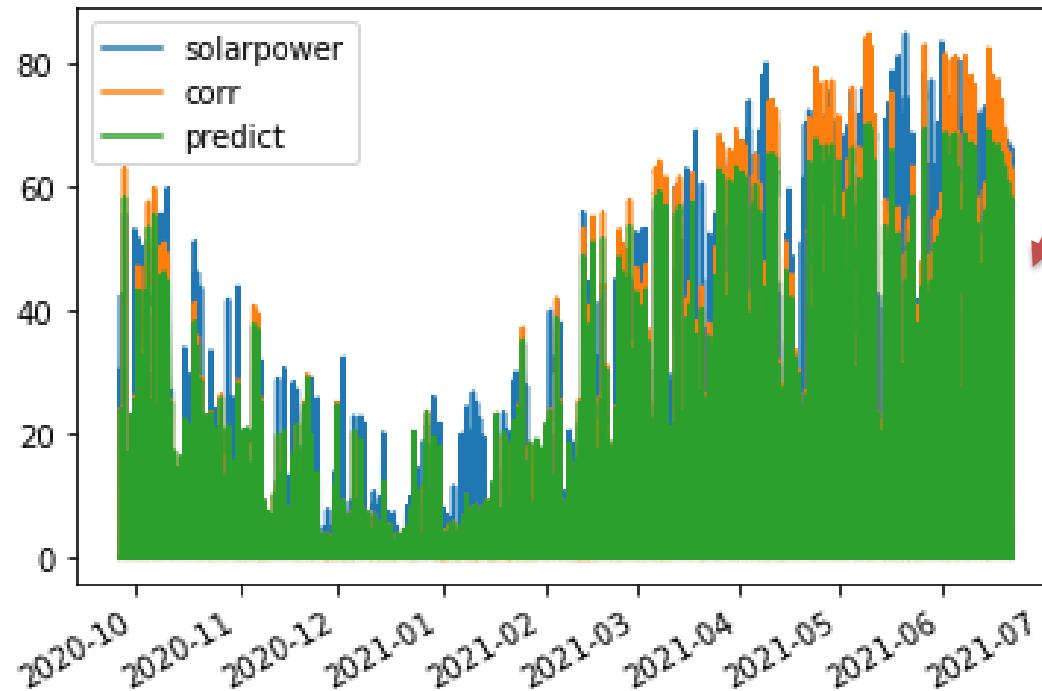
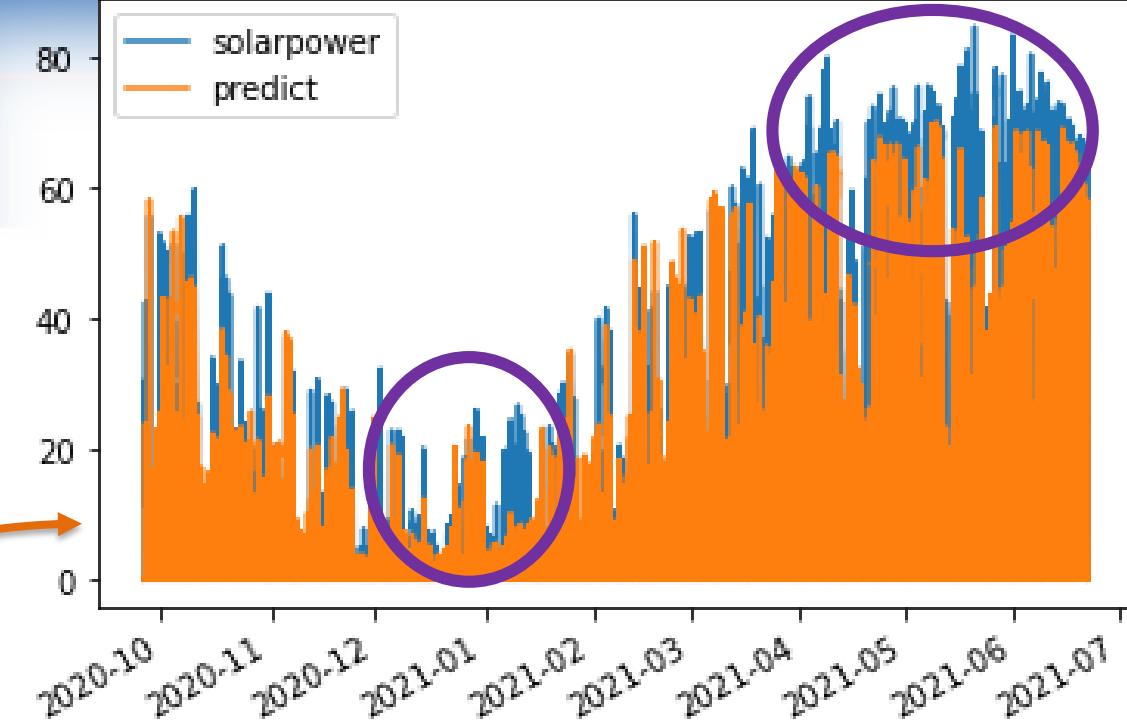
→ generation of **artificial training data**, as more is needed for complex models (LSTM etc.)



## Data: Obtaining Artificial Time-series



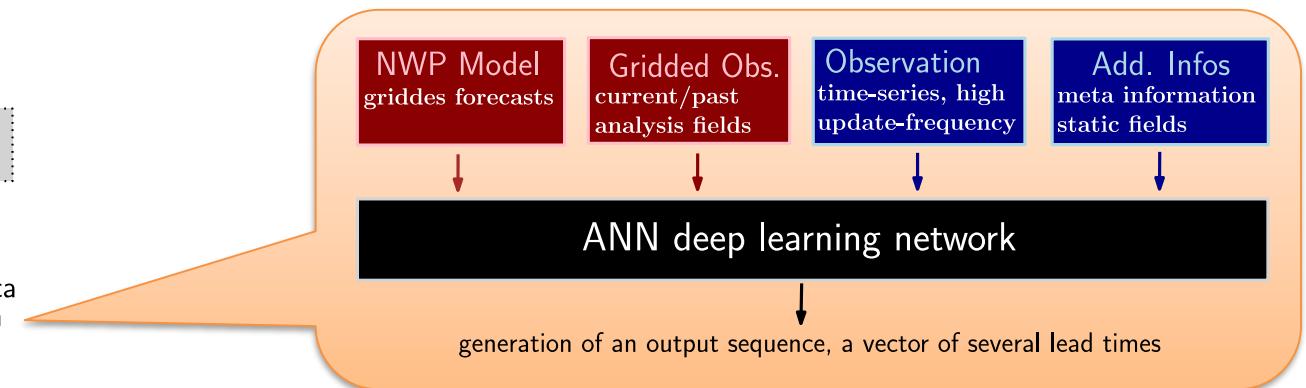
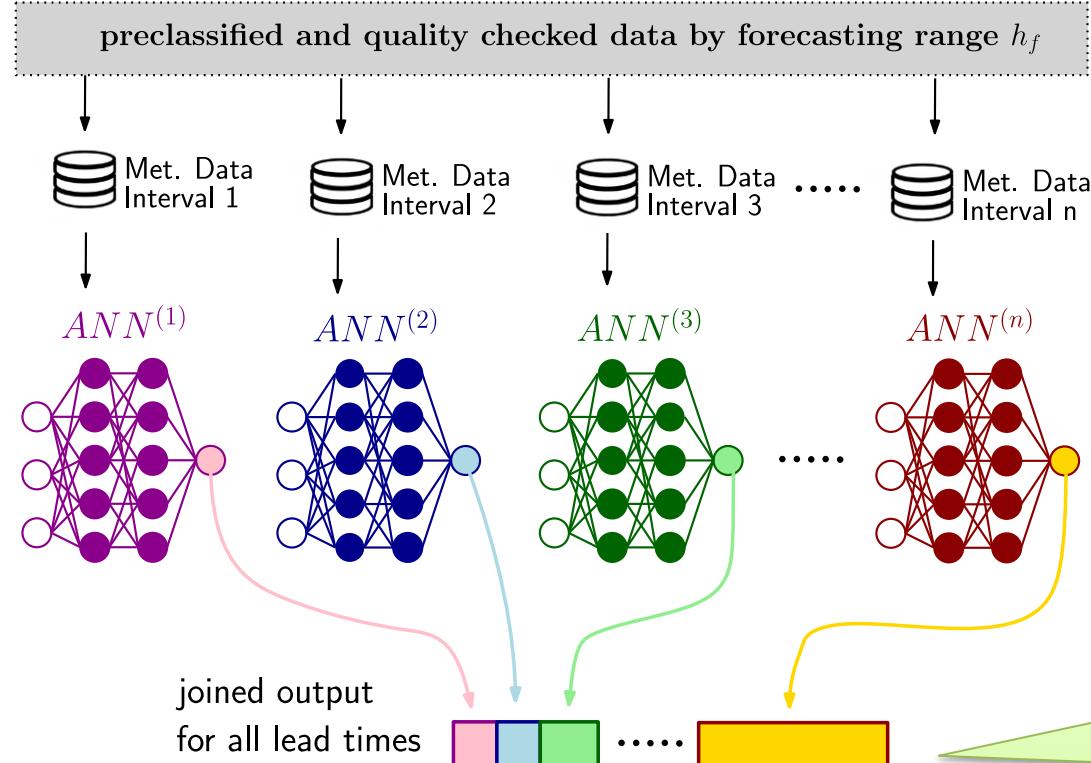
RF+pvlib good but often seems to underestimate real PV



# Selection and Transformation of Inputs

30.03.2022  
Folie 30

1. input feature **X** selection: simple methods such as RF weights, Target **Y**: solar power
2. replace / remove **missing** values, check quality
3. 0-1 **normalization**, using (here hourly) climatological standards
4. for longer vectors / sequences: **intervalization** by lead time steps



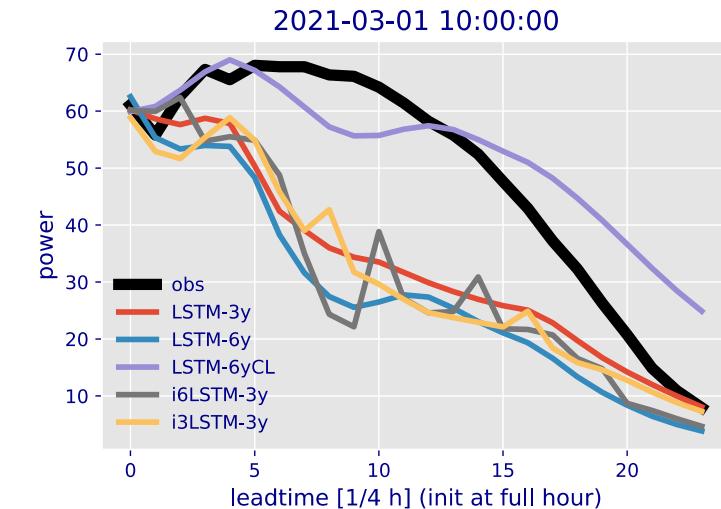
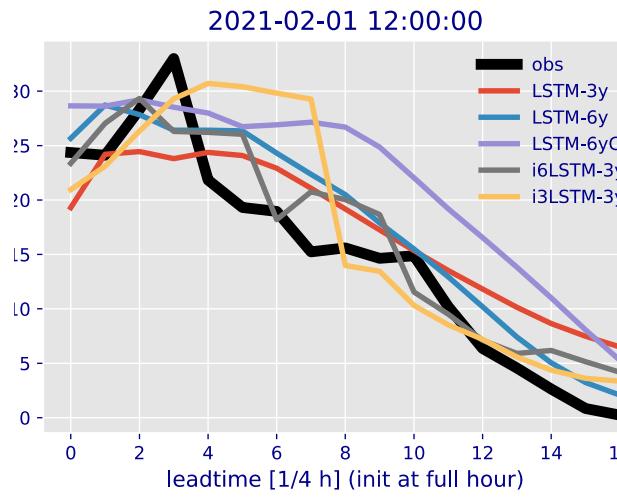
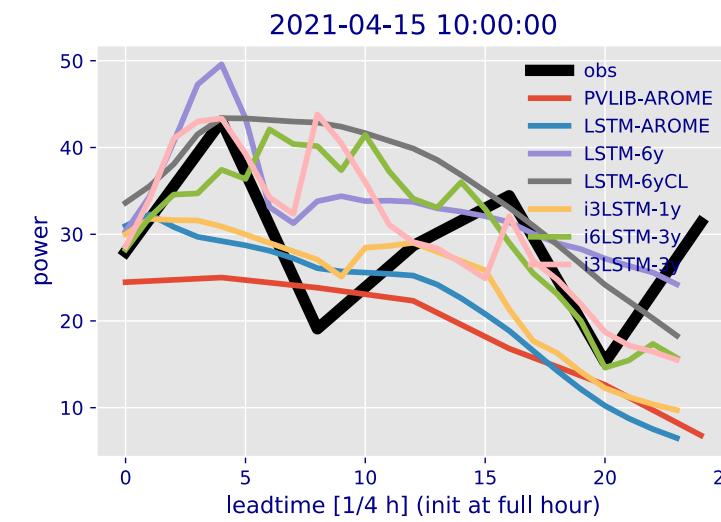
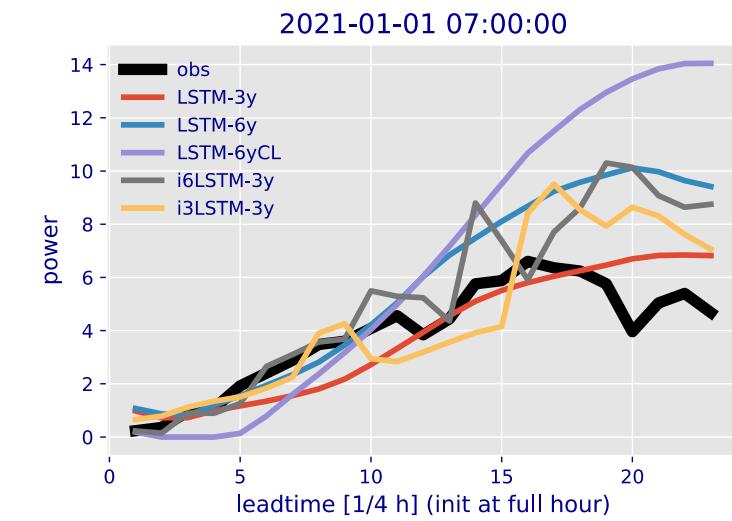
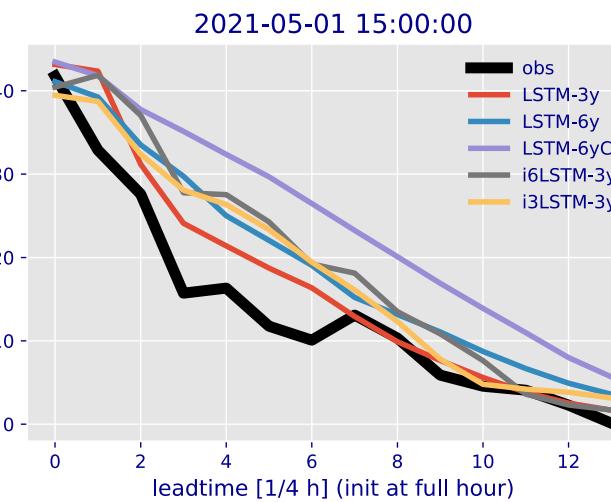
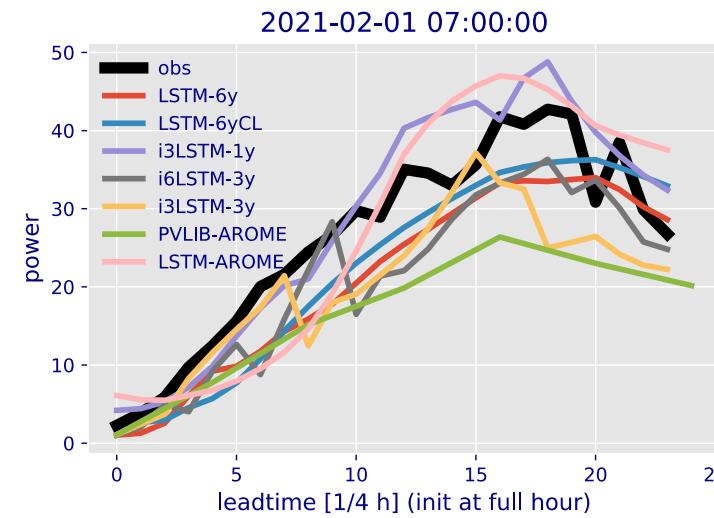
*Basic climatological transformation from normalized X*

$$\Delta x_i(t) := \frac{1 + \text{norm}(x_{i\{\text{OBS}\}}(t)) - \text{norm}(x_{i\{\text{CLIM}\}}(\text{hour}(t)))}{2}$$

$$pv = \text{denorm}(2\Delta p v - 1 + \text{norm}(p v_{\text{clim}}))$$

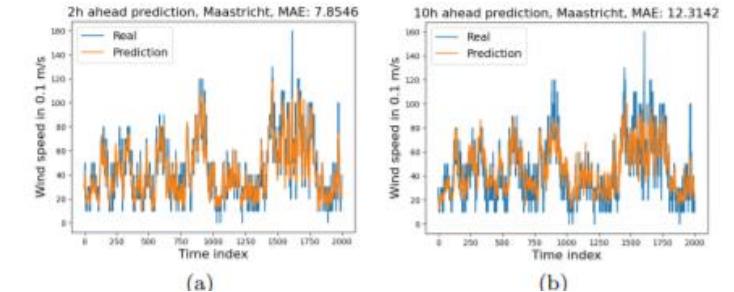
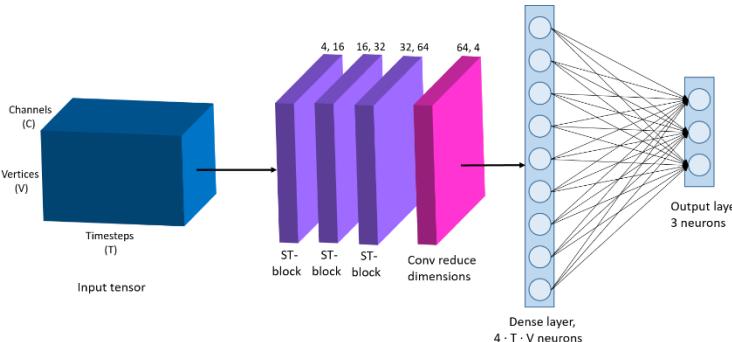
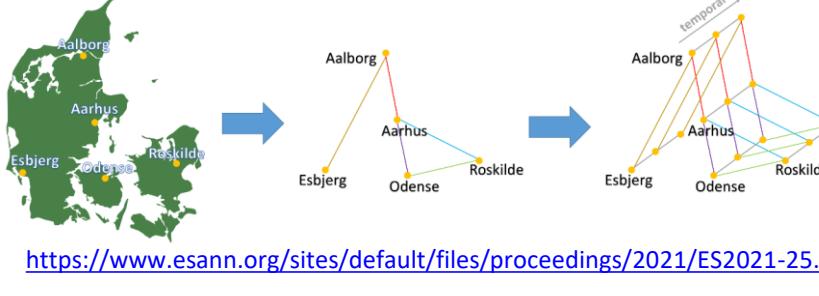
$$i = pv, rad, ff, u, v, T, CC, TOA, \dots \quad t = 1, 2, \dots, 24$$

# Case Study Results – Sample Forecasts

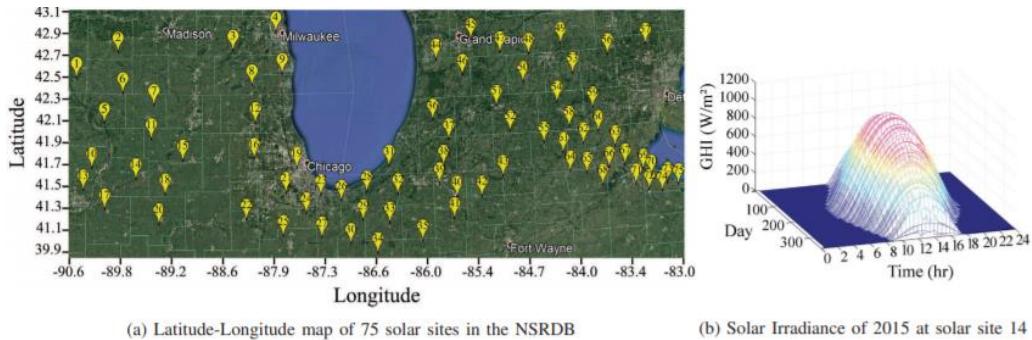


# Post-processing – machine learning methods

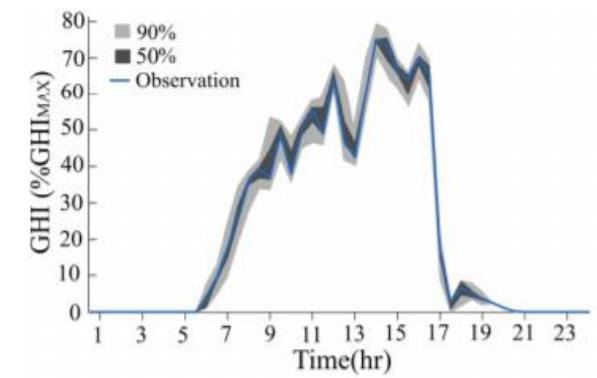
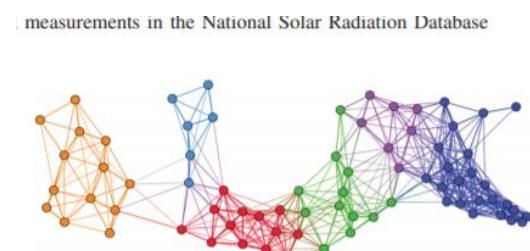
## Graph networks – for wind/solar energy prediction



Something similar being implemented right now



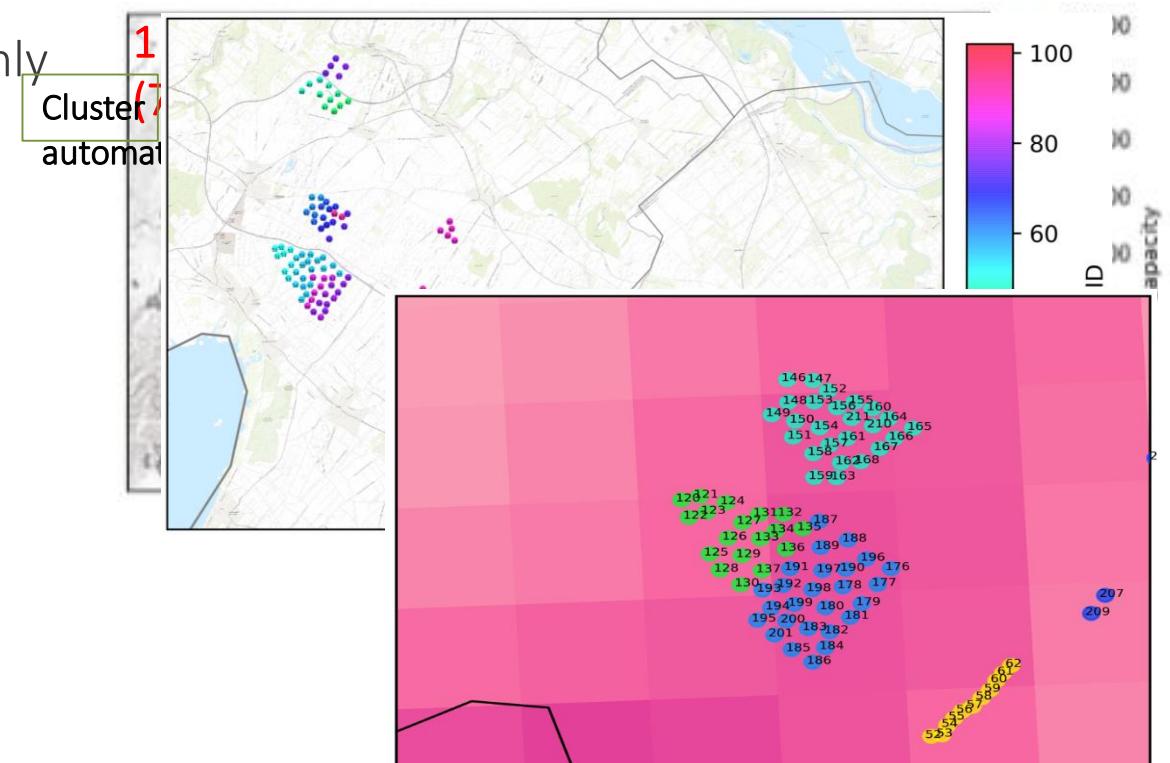
<https://ieeexplore.ieee.org/ielaam/5165391/9043622/8663347-aam.pdf>



# Post-processing – federated learning

## Application fields for federated learning

- wind / solar energy: given the data policies of providers, TSOs, traders, etc. → distributed / network federated learning would definitively help improving forecasts
- Meteorology: forecasts for obs sites/sites using not only e.g. Austrian data but combine European observation network or even PWS sites (after quality control)
- Mobility: combine different sources, even car measurements
- Agriculture
- ...



# Post-processing – machine learning methods replacing gridded observations

Idea: use machine learning methods and/or statistics to “interpolate” in-situ observations of wind speed to a specified grid

Results: 100 m and 1 km analysis fields of wind speed using a different methodology. Add on: depending on used background fields (DEM etc.) resolution could be changed to higher/lower.

→ Can we use Graphs here? Would the work better? Can federated learning improve here

Fig. 5 Example of  $f_t$  computed at different differences of Gauss

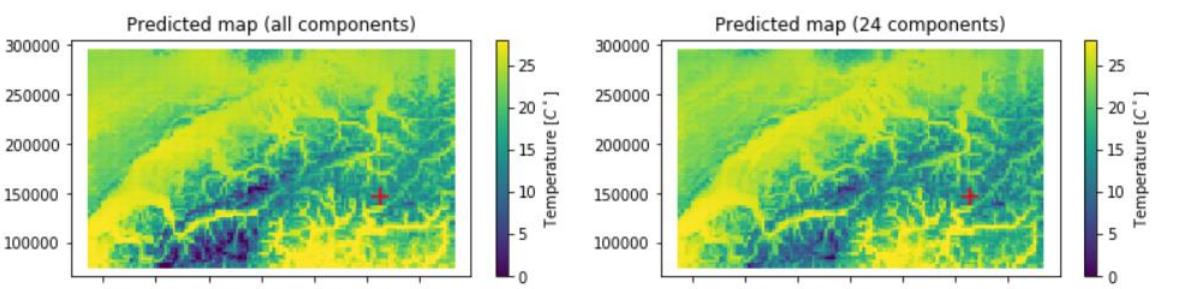
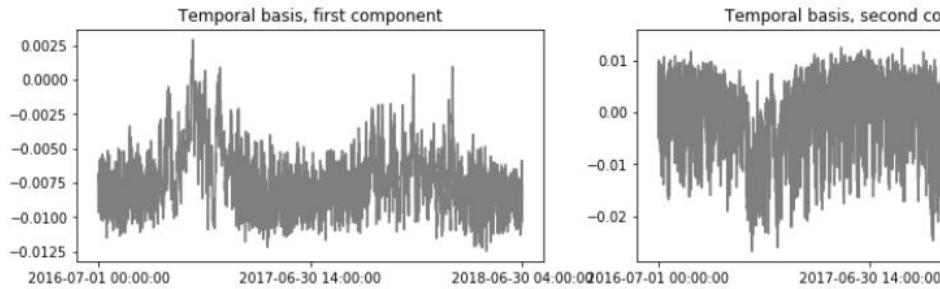
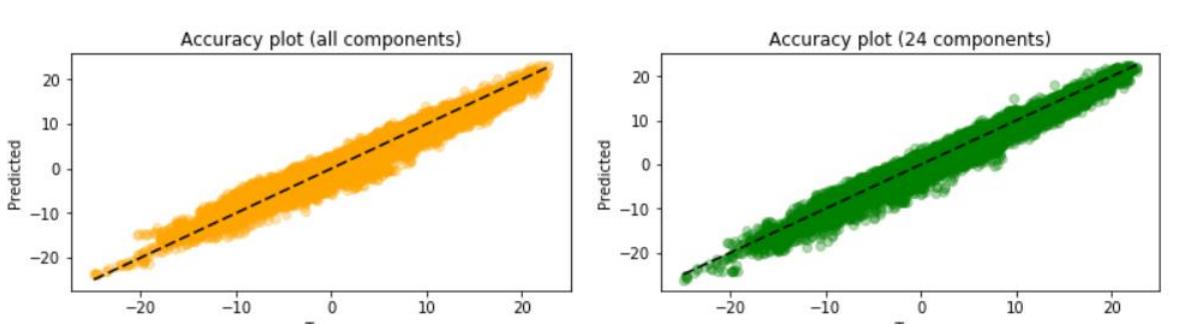
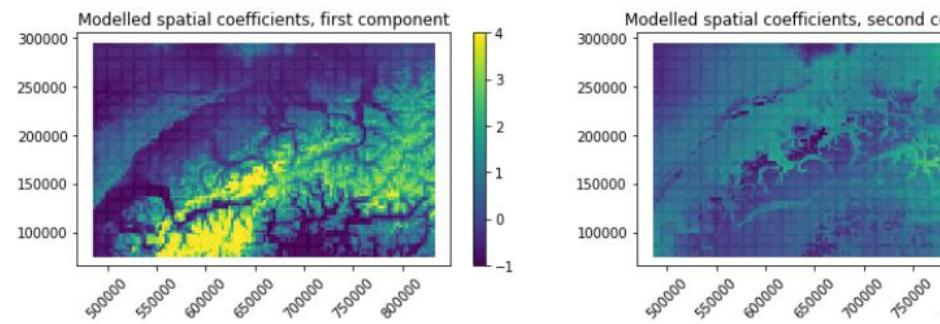
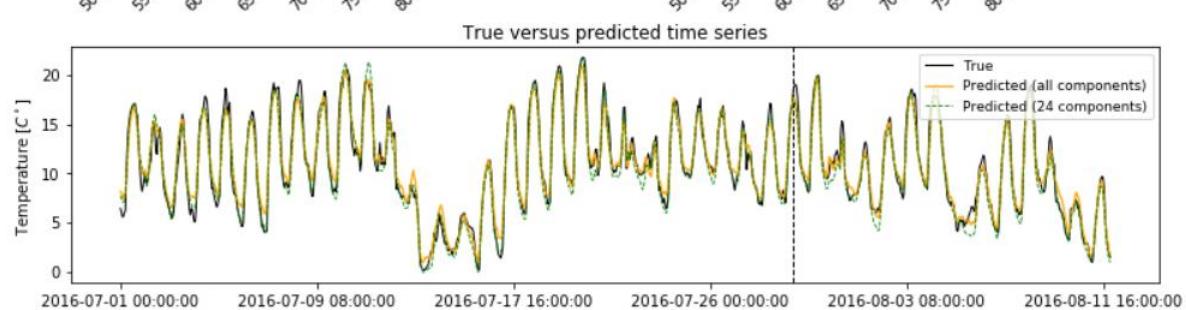
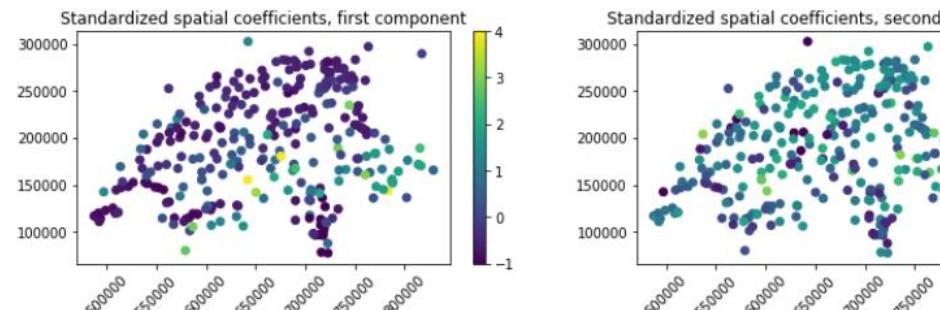


Fig. 6 Three topographic features that are combined to build the target function ( $\text{DoG}_t, f_t$ ), top right (bottom left (direction derivative,  $f_3$ ). Bottom Digital elevation model, country boundaries





Questions?

Recommendations?

Comments?