

# A Reproducibility Study of Question Retrieval for Clarifying Questions

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## Clarifying Questions

- Creating a single query that is complex and detailed enough to retrieve the required information accurately is a difficult task
- Systems designed to assist the user with query formulation
  - Clarifying questions is such an approach
- Goal: identify a user's information-seeking intent by posing a clarifying question to the user, expecting their answer to clarify aspects of their query.





# Clarifying Questions Increasingly Useful Feature for Conversational Search (and beyond)



From: Aliannejadi, et al., "Asking clarifying questions in open-domain information-seeking conversations.", SIGIR 2019

Zamani et al. WWW'20: asking clarifying questions is useful in web search

Zou et al. CIKM'20: question-based systems helpful towards completing tasks

Lotze et al. ECIR'21: exploit predicted user engagement with clarification pane

Bi et al. SIGIR'21: clarifying questions from negative feedback

Zhao et al. SIGIR'22: Generate clarifying questions from web search results

Sekulić et al. ICTIR'21: **GPT-2** to generate clarifying questions with respect to query and facets

Wang&Li CIKM'22: Template-guided clarifying question generation

How to Generate

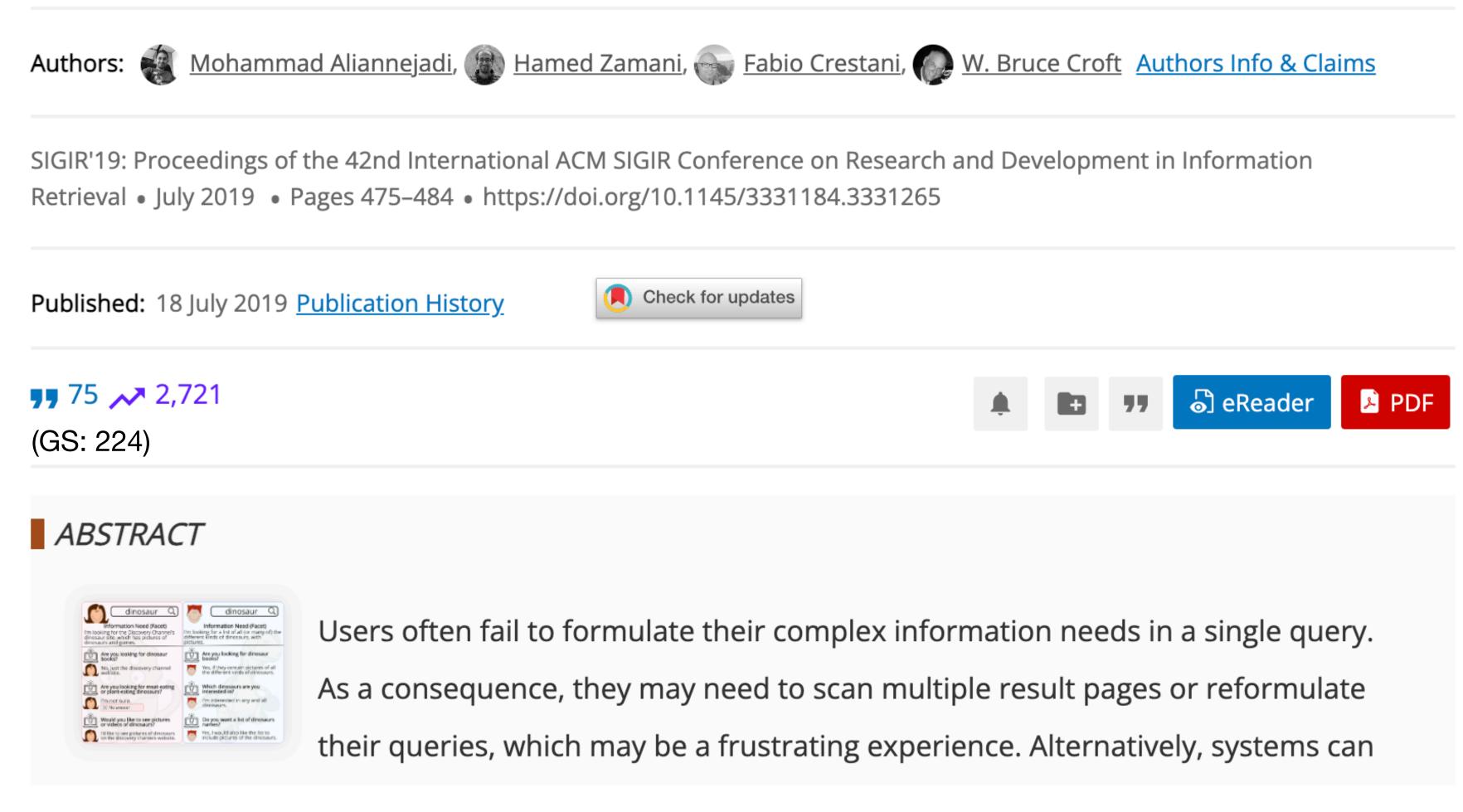




Useful

Signals

#### Asking Clarifying Questions in Open-Domain Information-Seeking Conversations

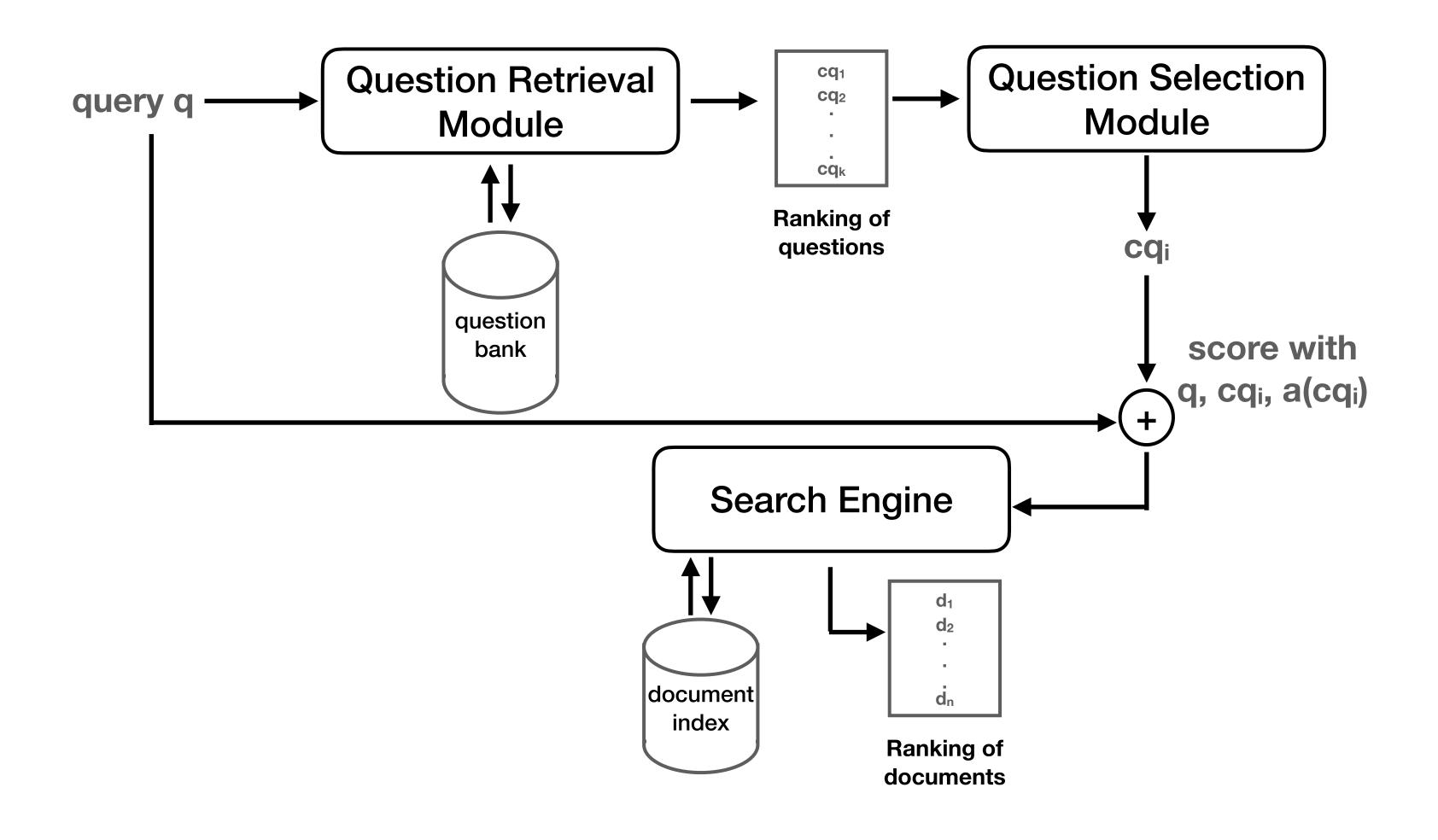


- Key milestone for research in methods for asking clarifying questions
- Provided a blue-print architecture for the task
  - Not just in terms of pipeline components, but also subtasks, evaluation
- Contributed a rich dataset (Qulac)
- Evaluated common baselines for components, developed new methods





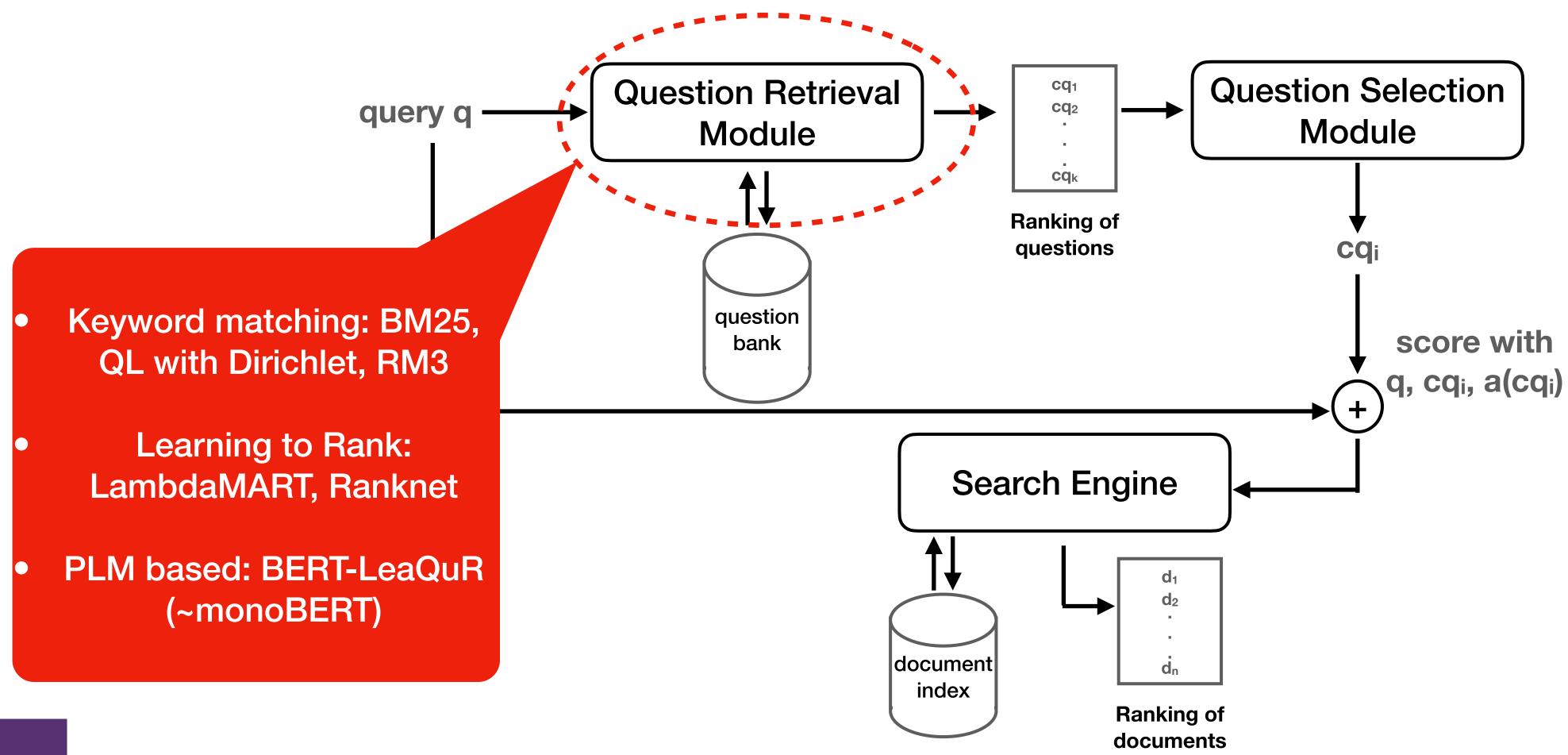
## SIGIR 2019's Retrieval with Clarifying Questions







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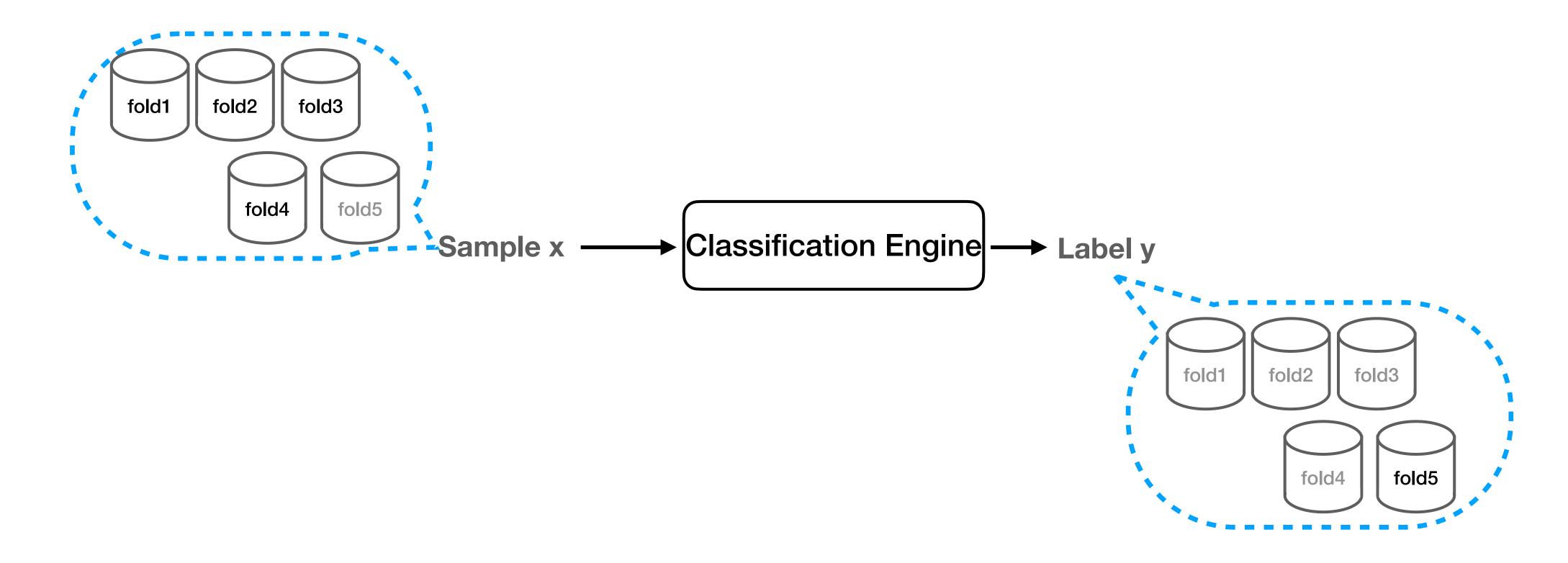
### K-fold Cross-Validation in Machine Learning







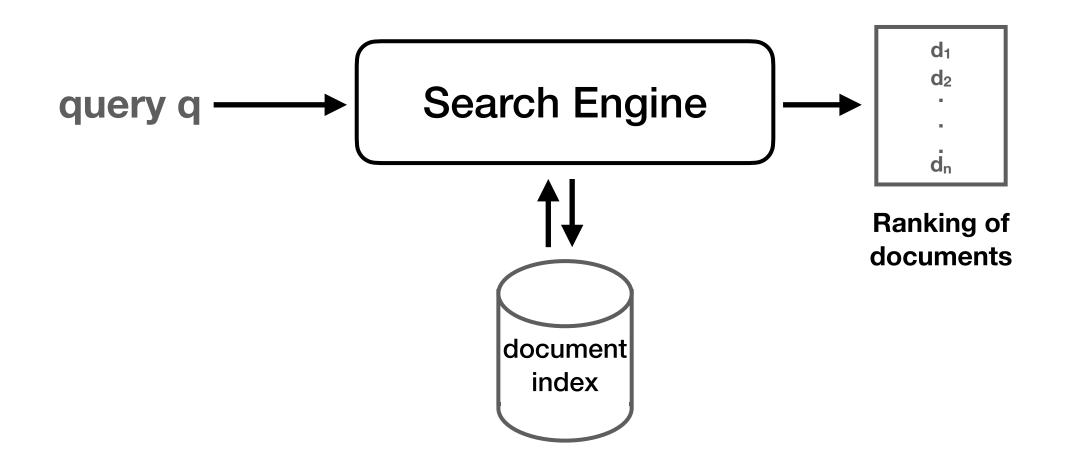
### K-fold Cross-Validation in Machine Learning







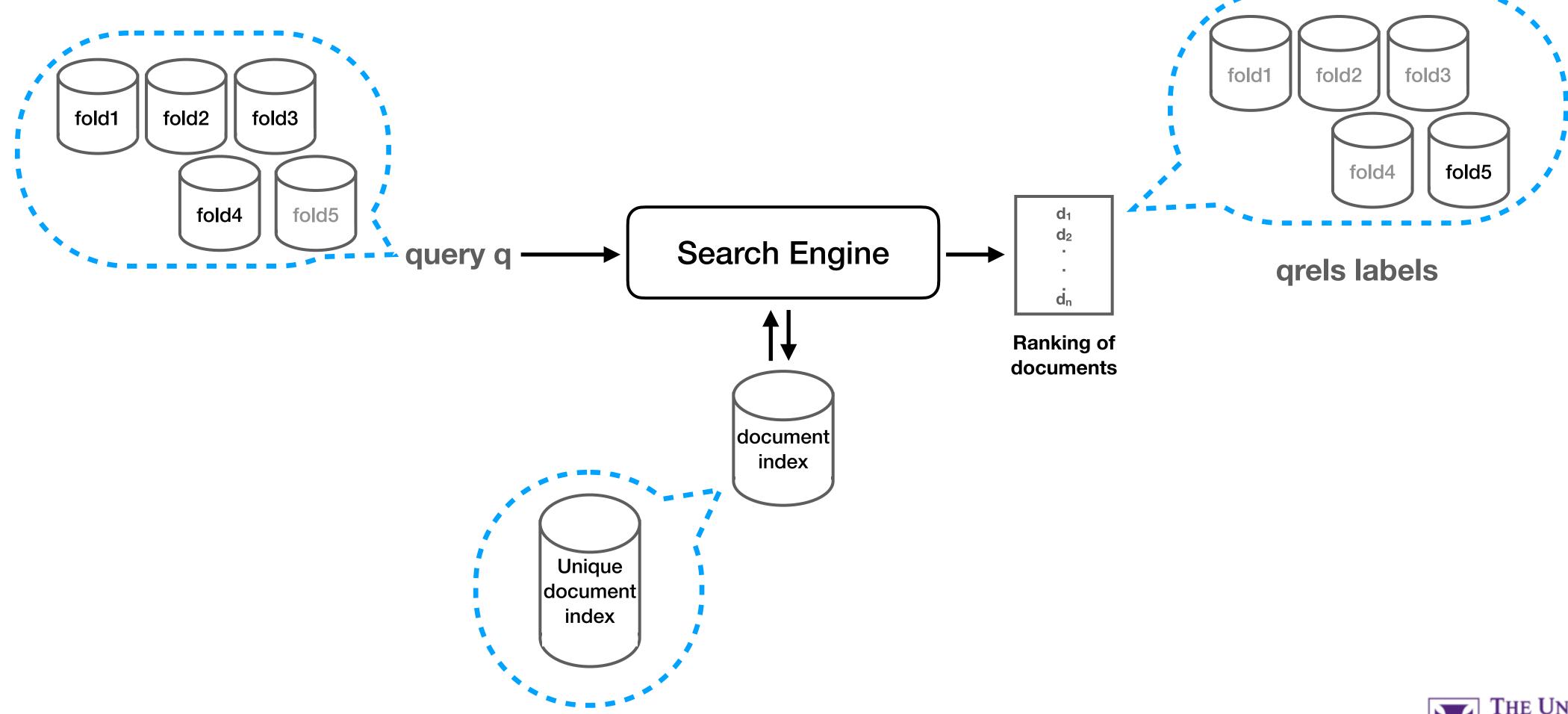
#### K-fold Cross-Validation in IR







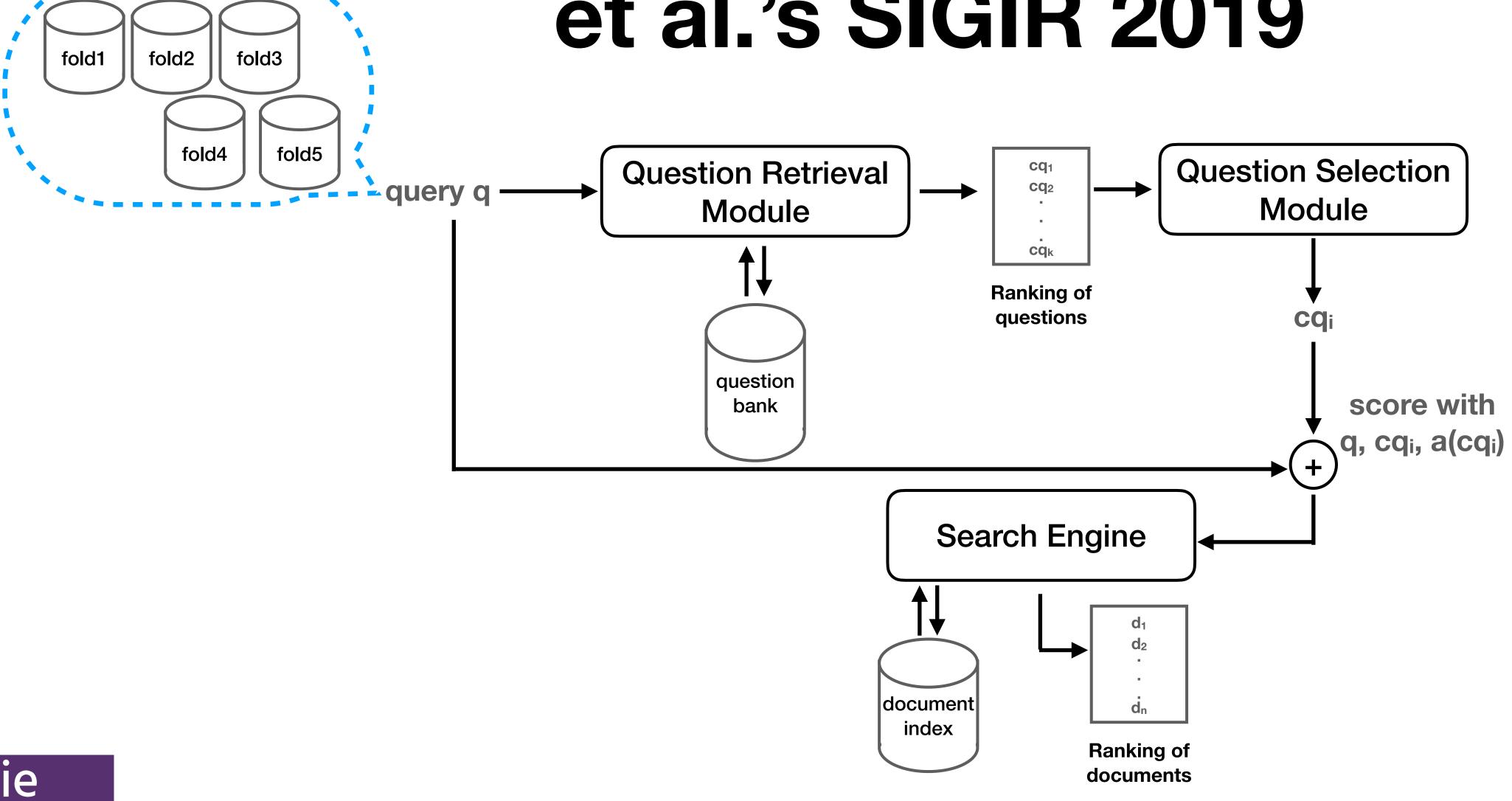
#### K-fold Cross-Validation in IR







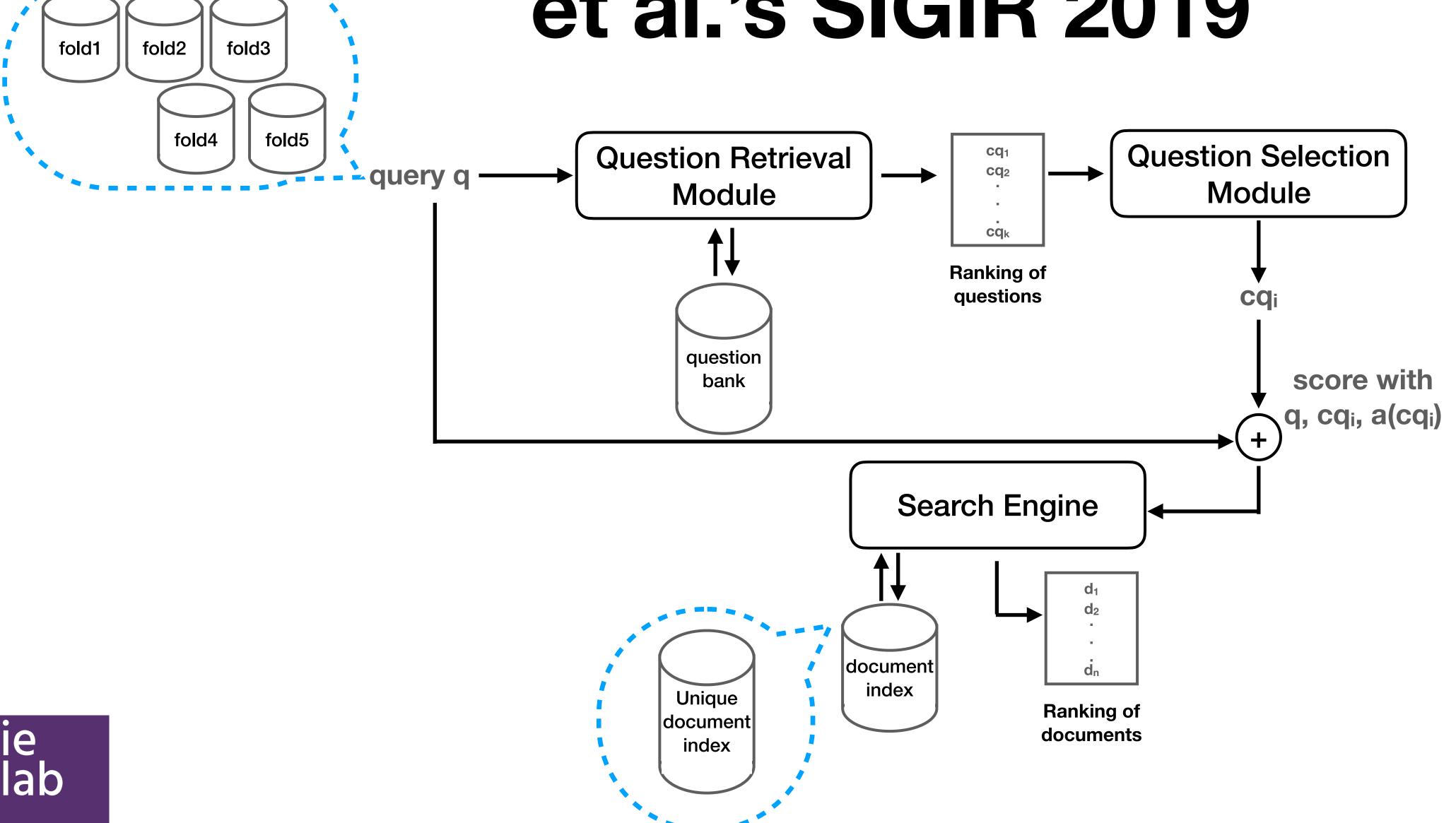
## The Key Experimental Issue with Aliannejadi et al.'s SIGIR 2019







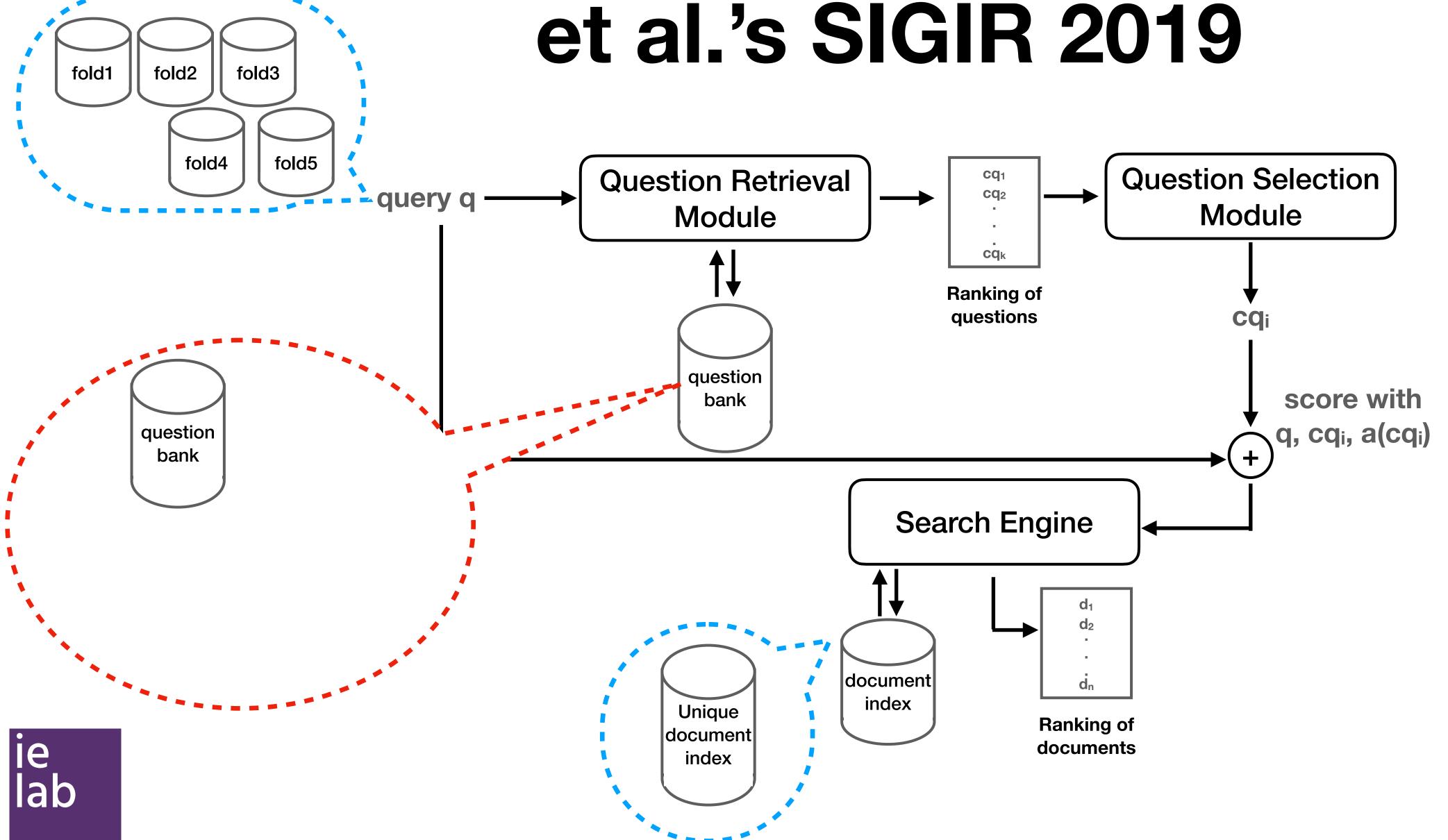
### The Key Experimental Issue with Aliannejadi et al.'s SIGIR 2019





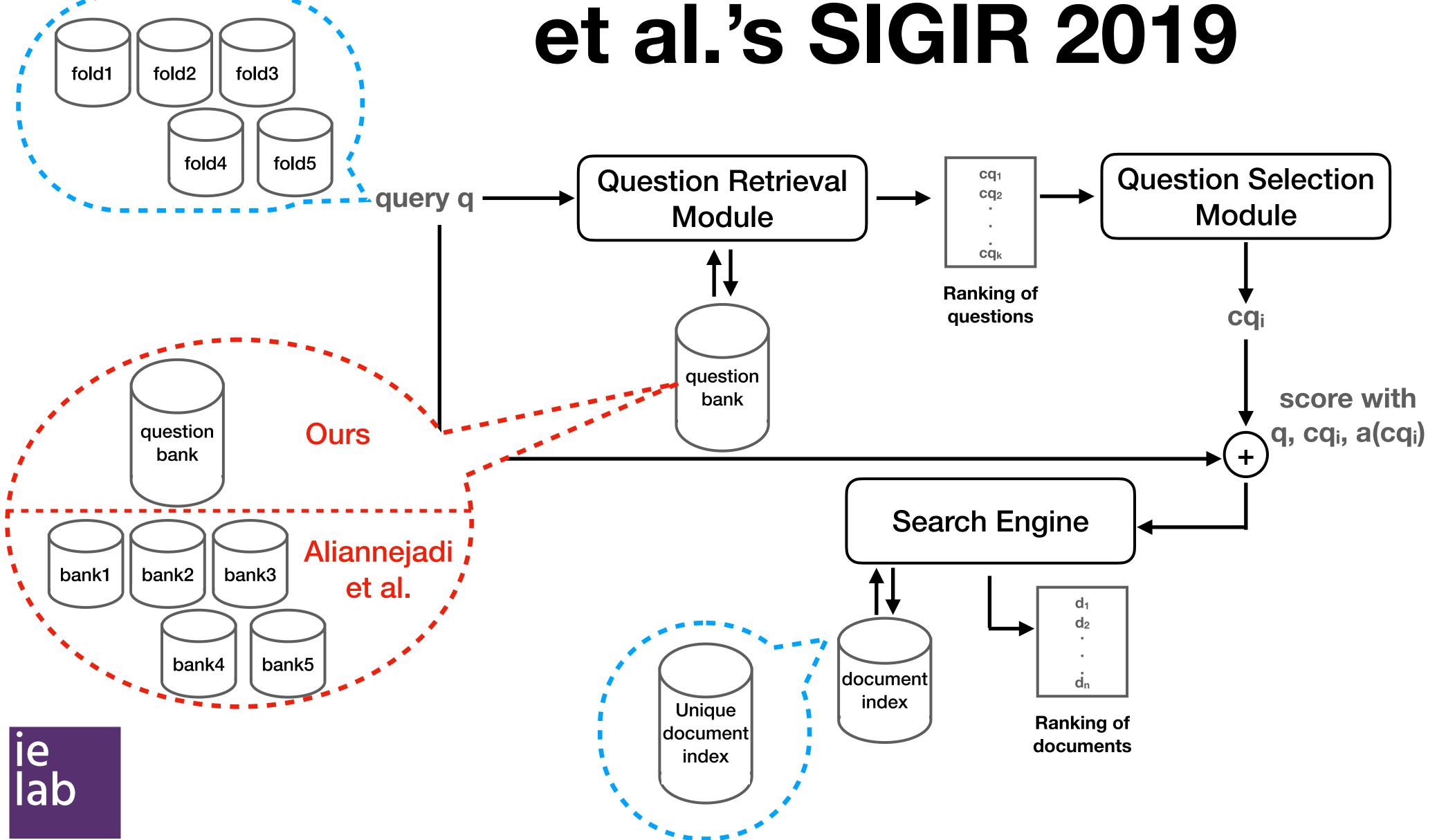


## The Key Experimental Issue with Aliannejadi





## The Key Experimental Issue with Aliannejadi





## Fold Formation in Aliannejadi et al.'s SIGIR 2019

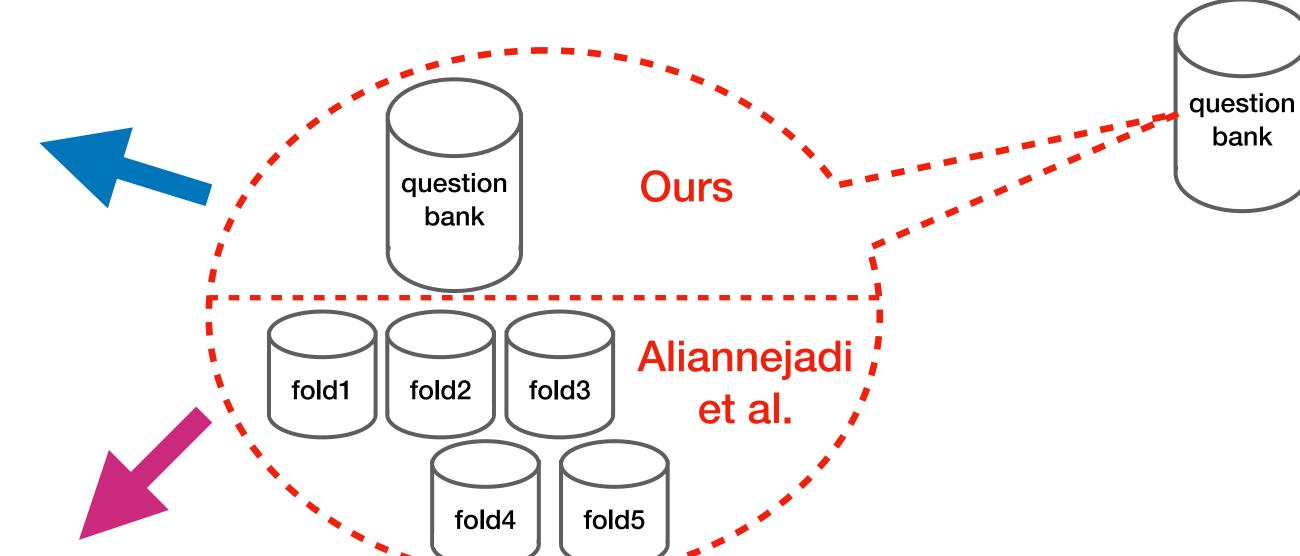
- Each fold contained a subset of topics and a subset of all candidate clarifying questions
- Each clarifying questions subset always contained all the relevant questions for a given topic
- Each clarifying questions subset contained far less non-relevant clarifying questions than those present in the question-bank





### Differences in Data Preparation

	Avg # of topics per fold	Avg # clarifying questions per topic
Train	118.8	2,593
Validation	39.6	2,593
Test	39.6	2,593

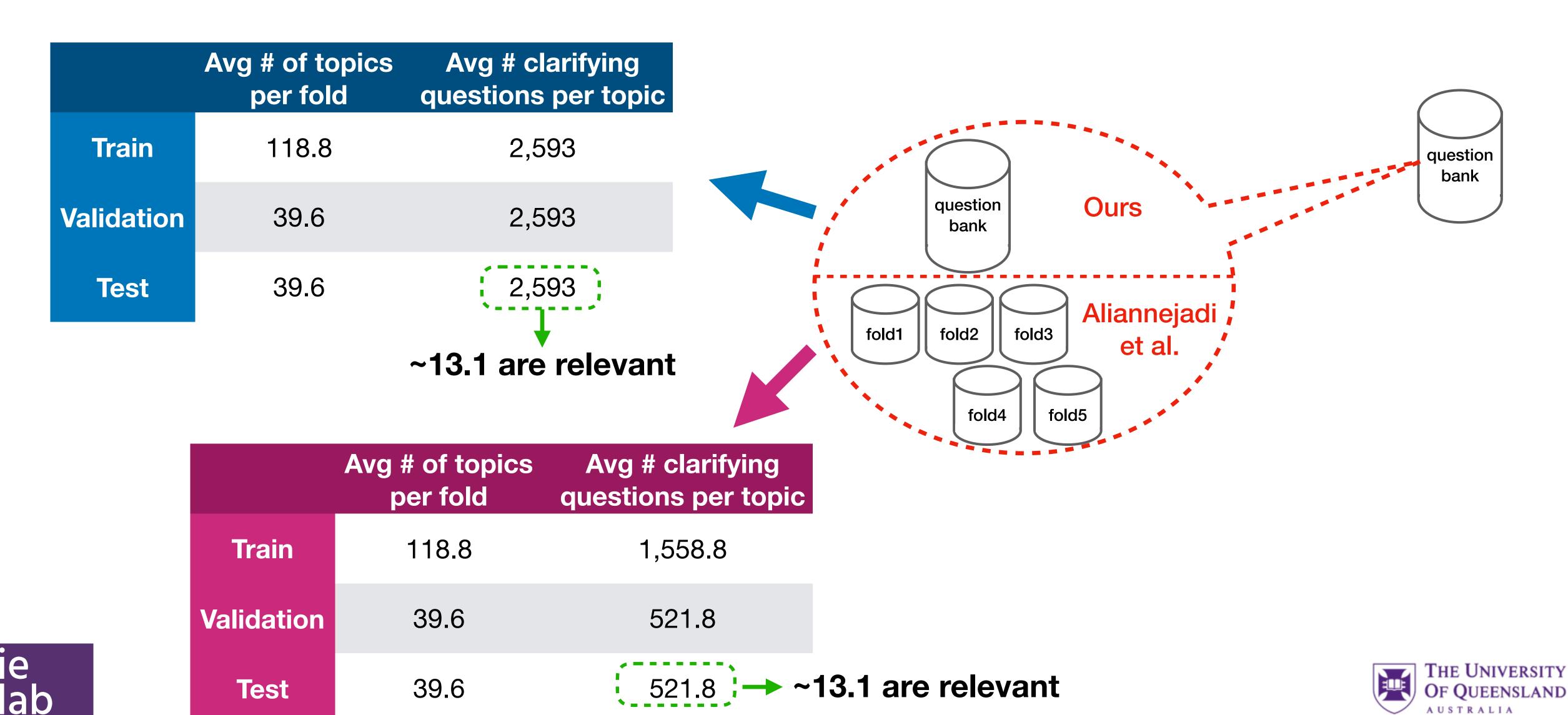


	Avg # of topics per fold	Avg # clarifying questions per topic
Train	118.8	1,558.8
Validation	39.6	521.8
Test	39.6	521.8





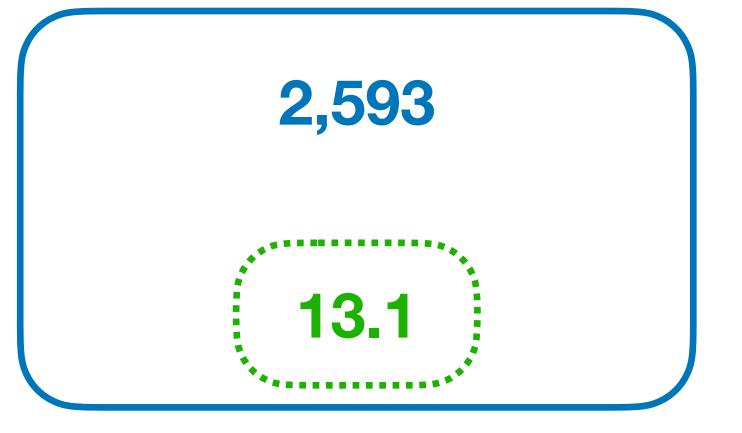
### Differences in Data Preparation



CREATE CHANGE

#### **Our Data Preparation**

Content of testing data



#### **SIGIR 2019 Data Preparation**





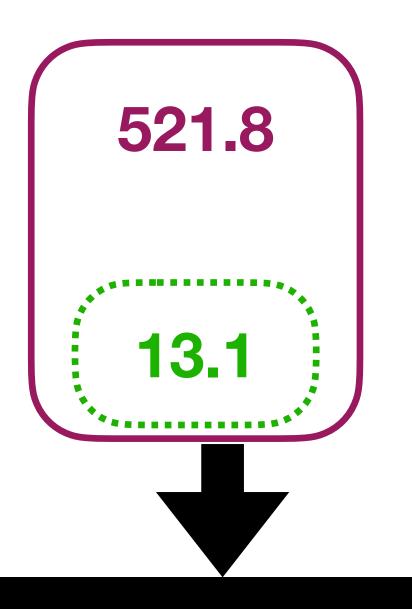


Content of testing data

#### **Our Data Preparation**







A relevant clarifying question X is ranked last in the ranking

Contribution made by X to MAP

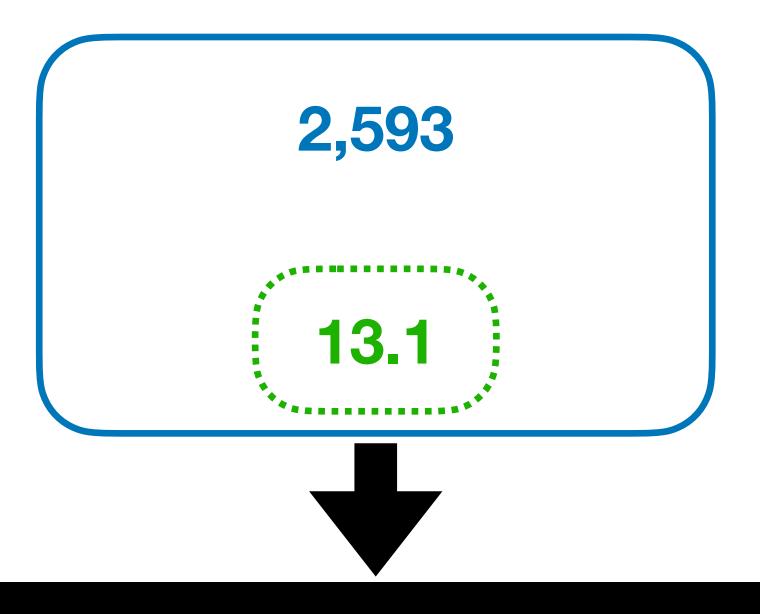


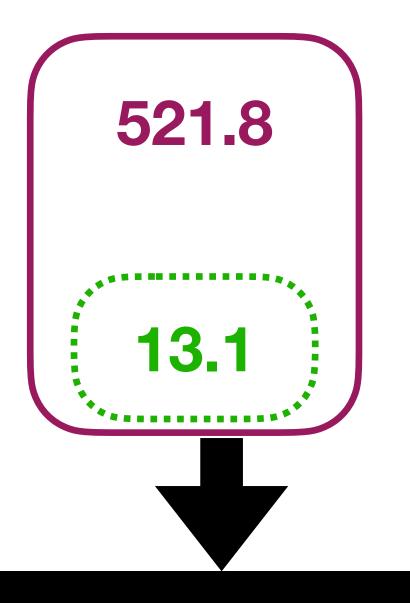


Content of testing data

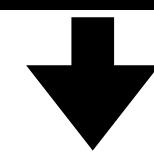
#### **Our Data Preparation**

#### **SIGIR 2019 Data Preparation**

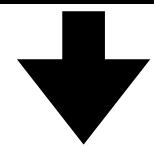




A relevant clarifying question X is ranked last in the ranking



 $g(X) = 3.8565 \times 10^{-4}$ 

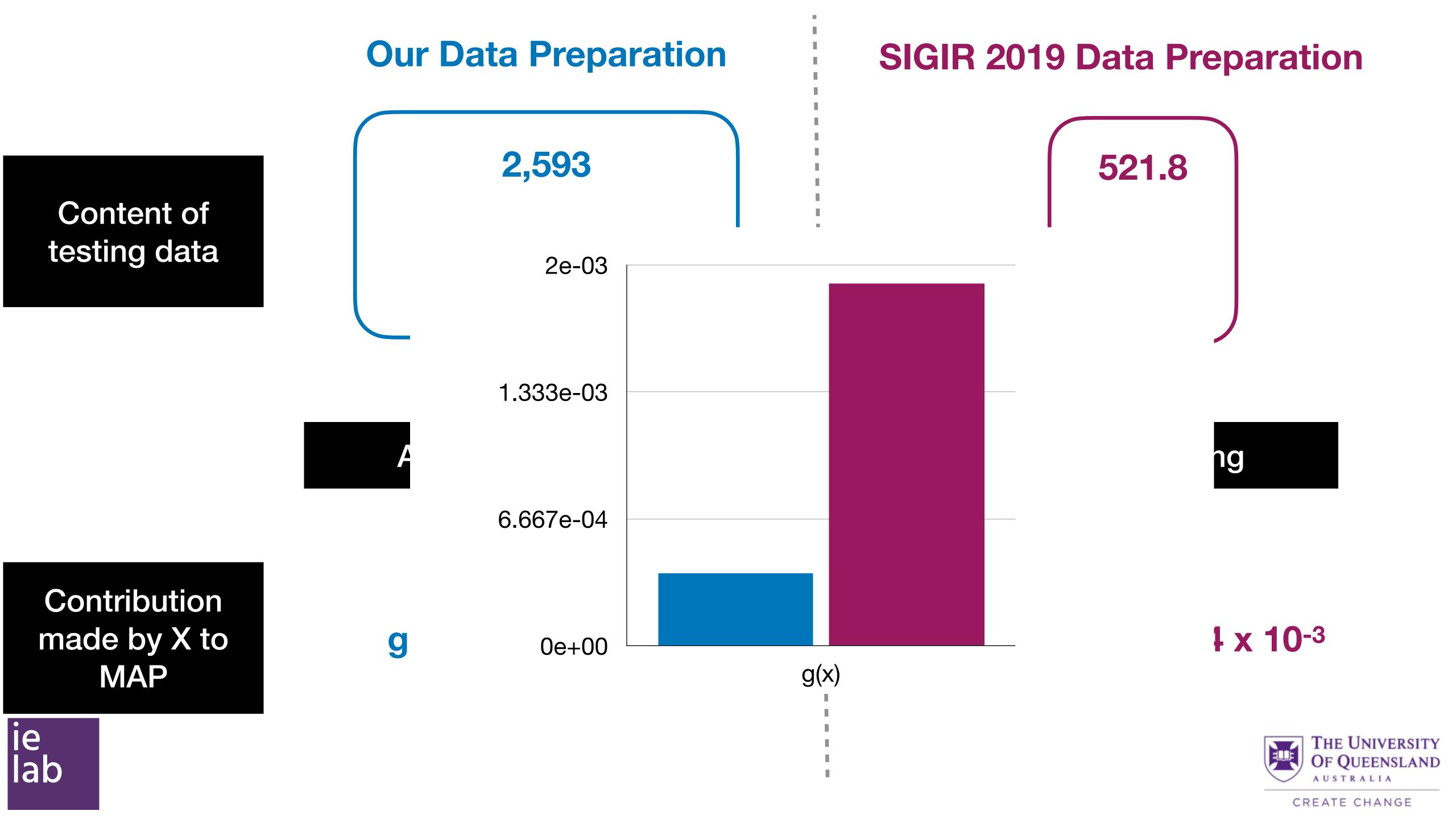


 $g(X) = 1.9164 \times 10^{-3}$ 



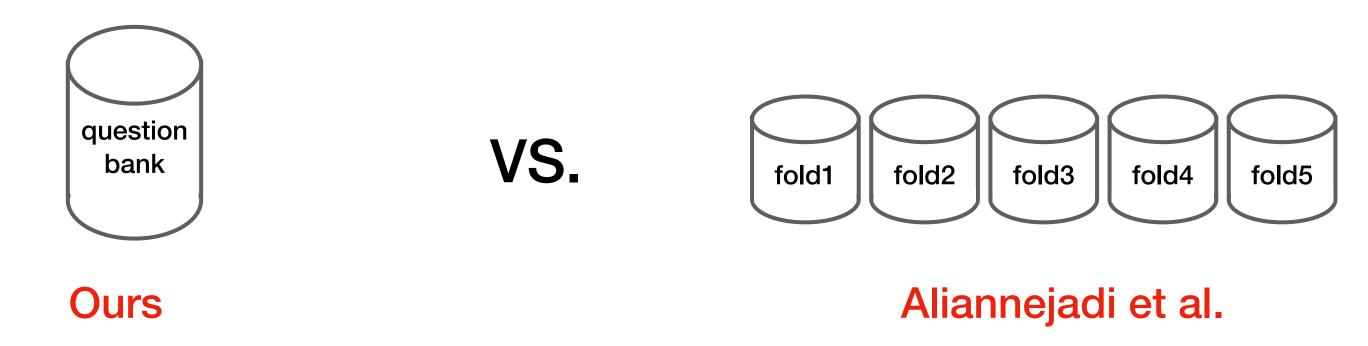






### What we do in this paper

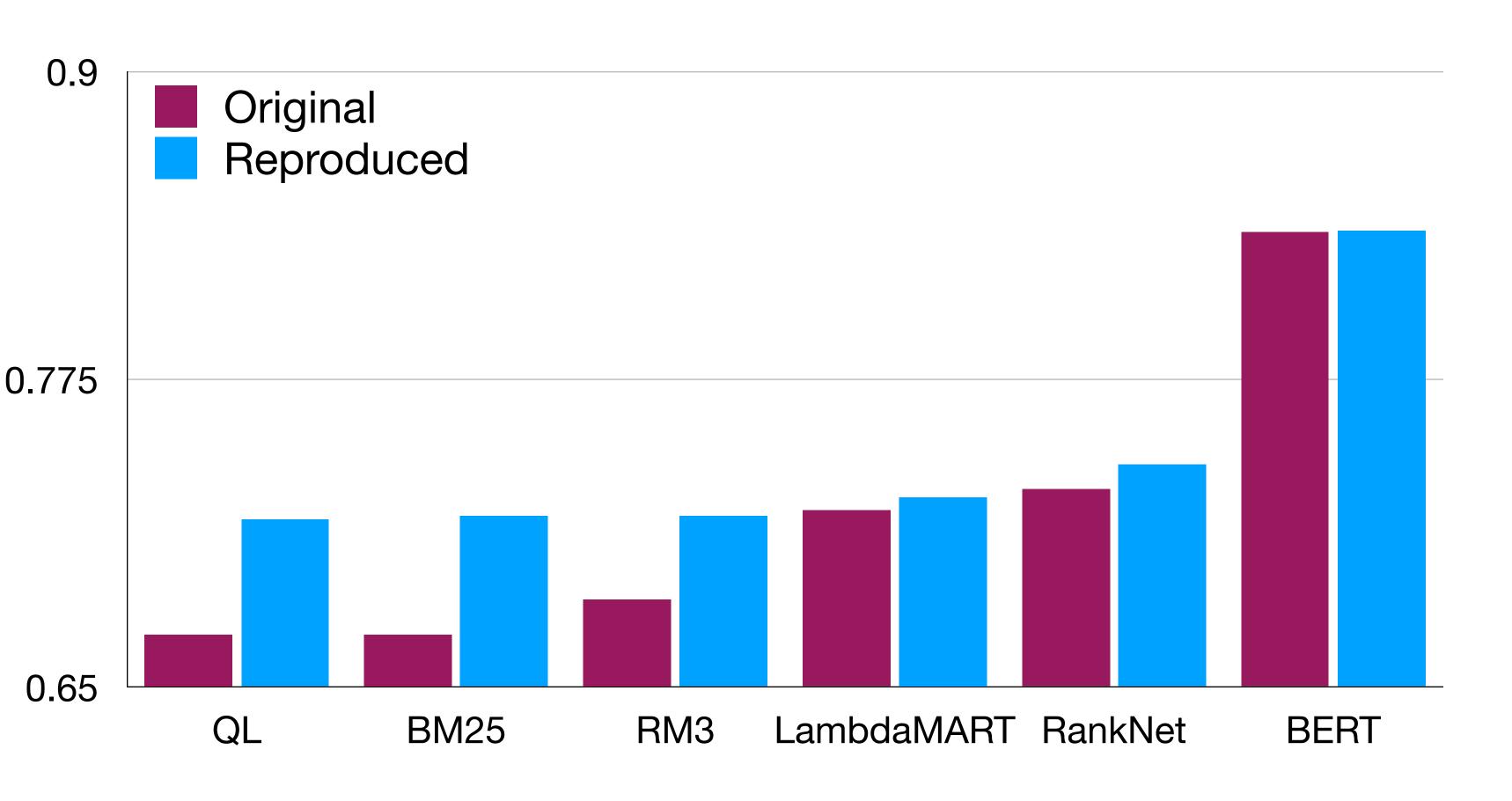
 Replicate the methods of Aliannejadi et al. to investigate the impact of difference in data preparation



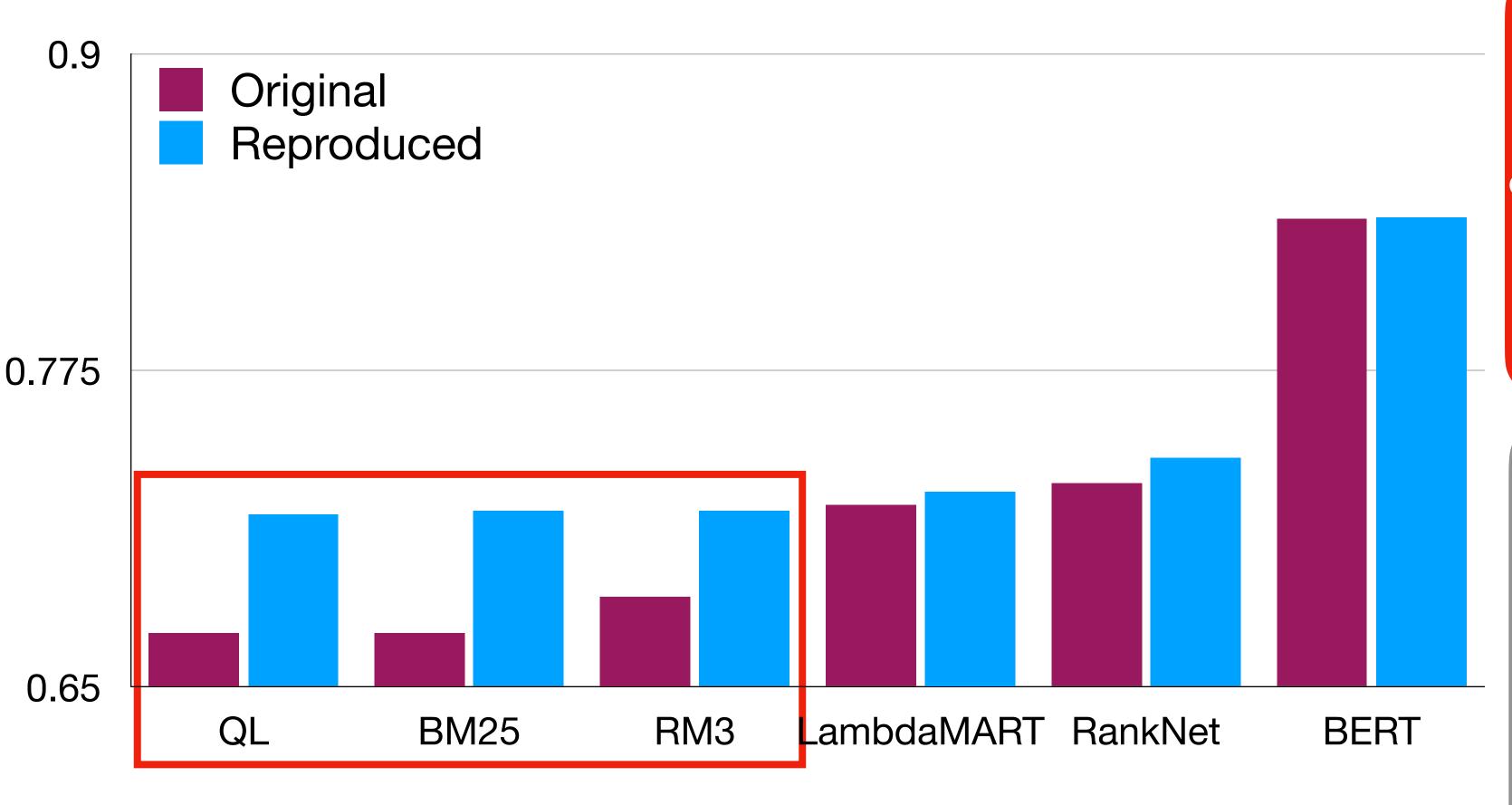
- Further analyse results with respect to the use of keyword matching scores as only features in learning to rank
  - Zero-valued representations







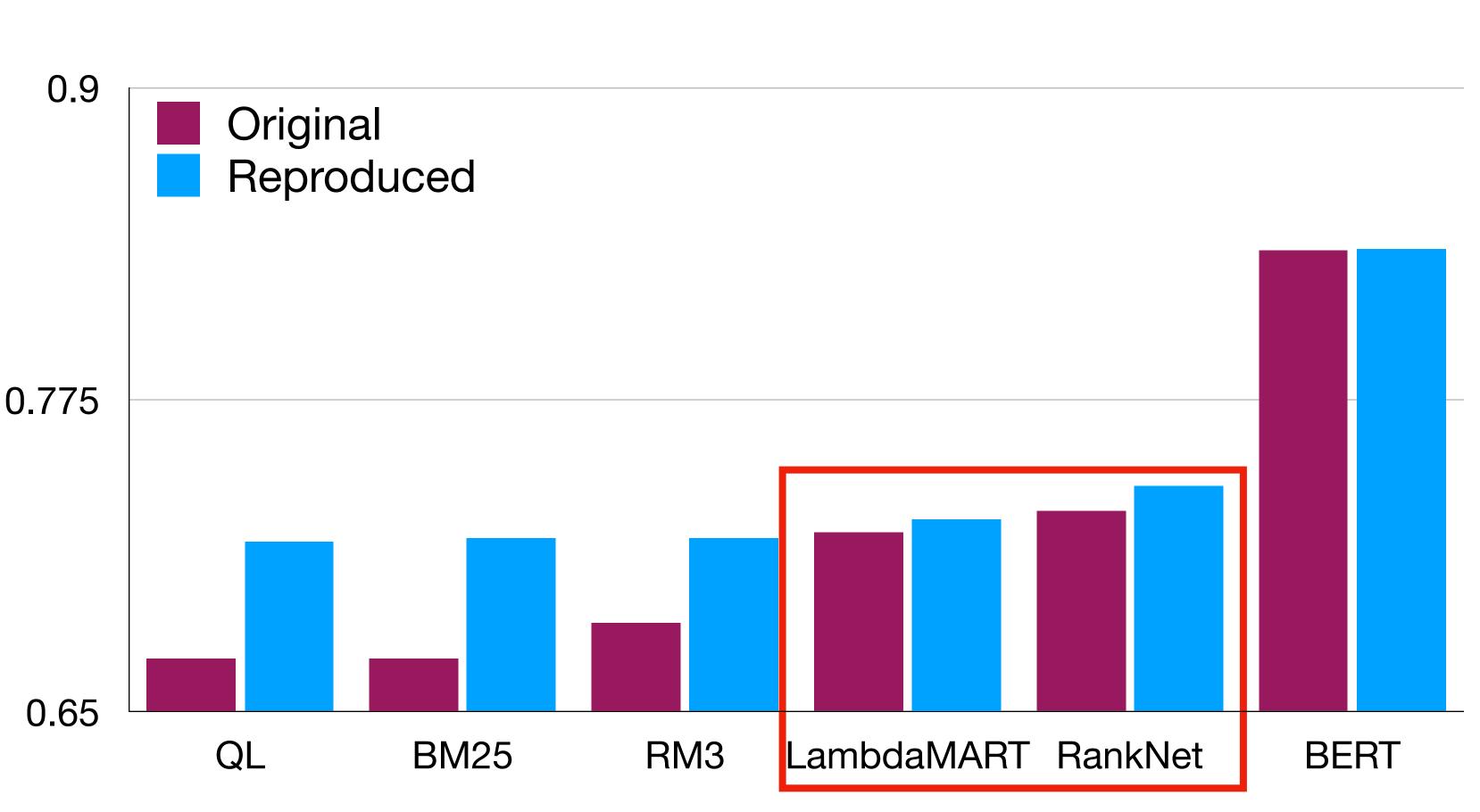




lab

#### **Keyword Matching**

- •could not reproduce results
- consistently higher effectiveness than reported
- Hypothesis: Aliannejadi et al. did not execute the keyword matching against the same data preparations used for learnt models.
- ?Results obtained against the whole question bank?



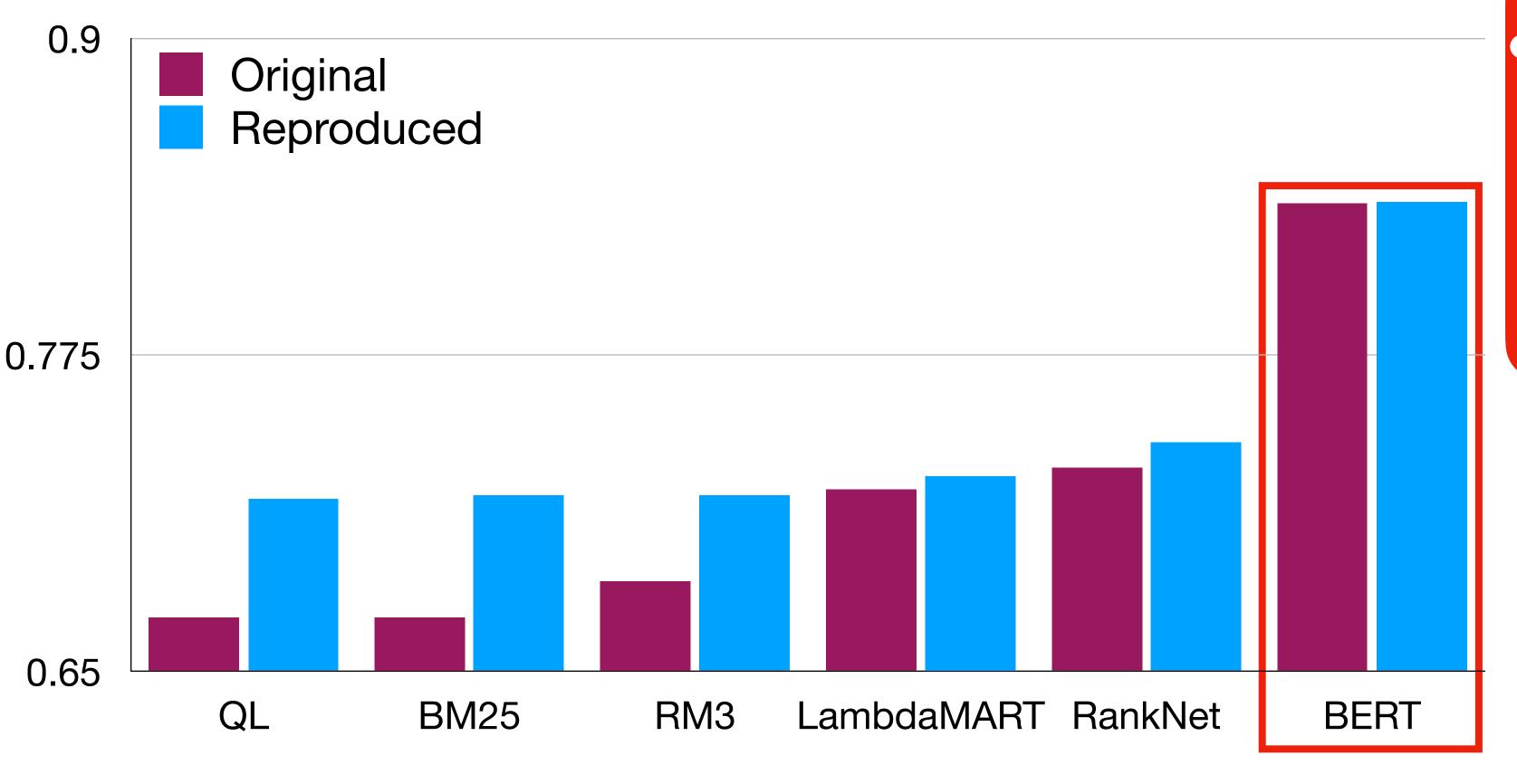
lab

Learning to Rank

 could not obtain same results, but values very close

- Hypothesis: mismatch in original result reporting & data
- differences due to feature files they originally used containing more questions than the ones they gave us



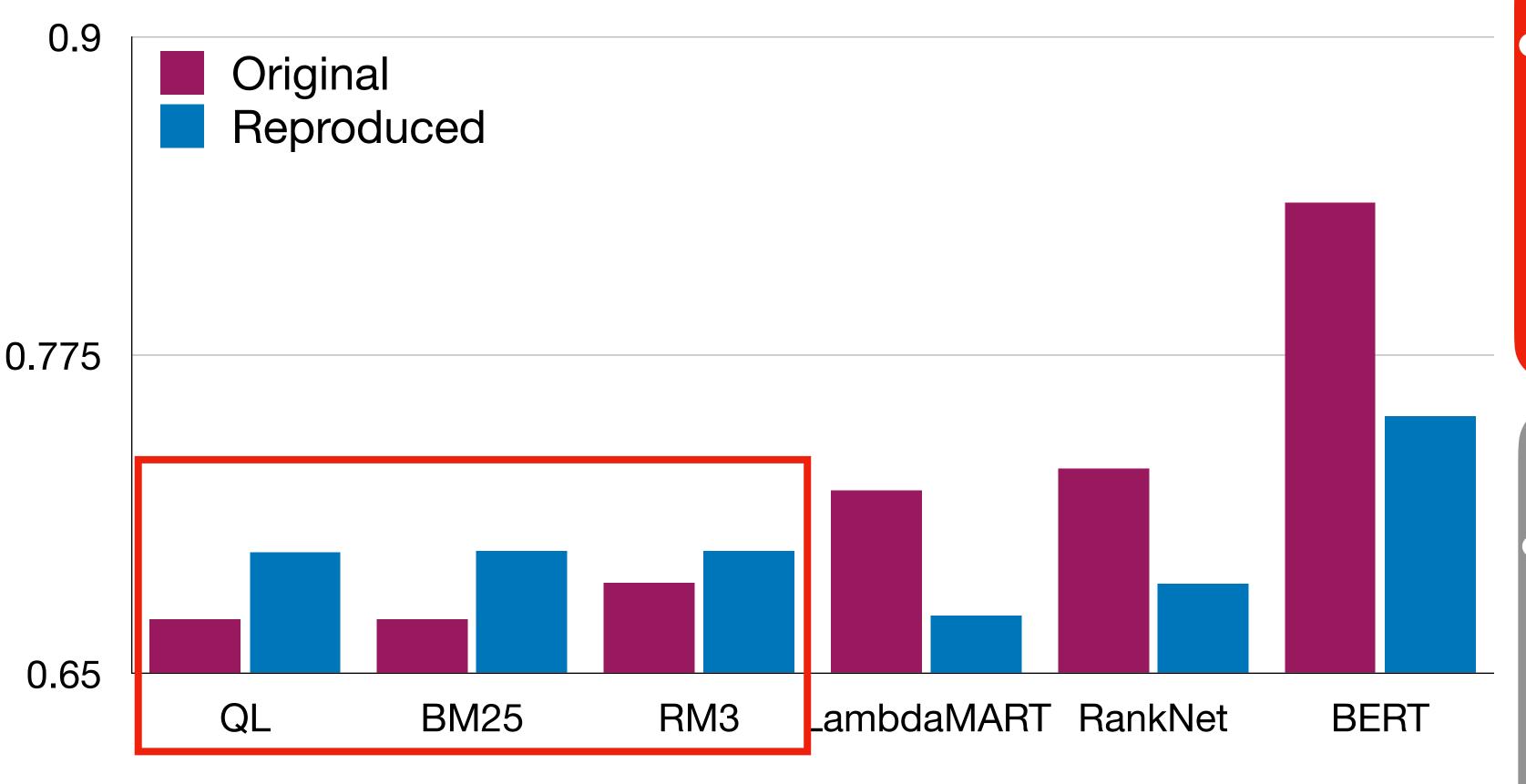


we obtained values close to the ones reported



### Exp. 2: Our Data Preparation

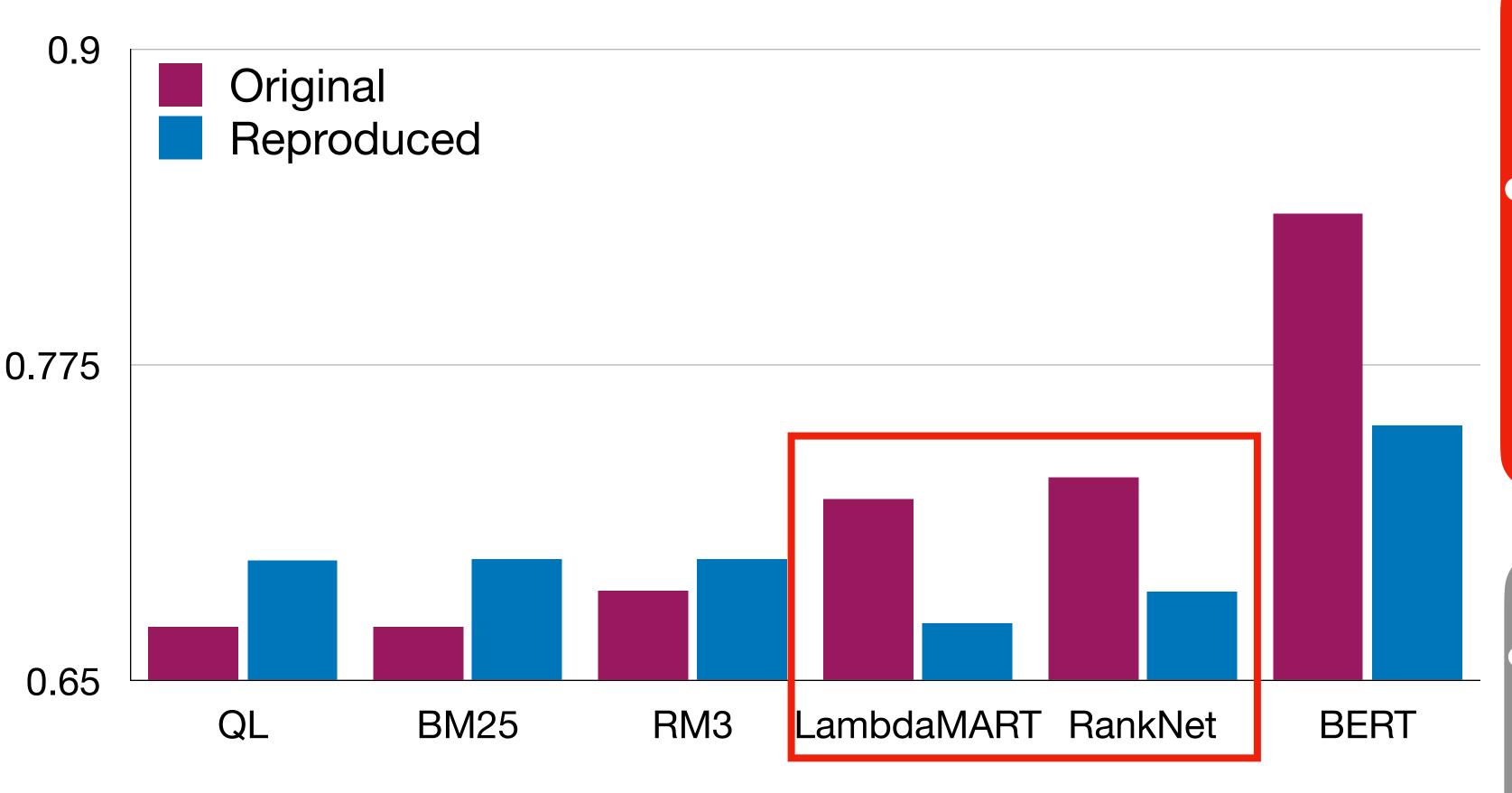
**Keyword Matching** 



ie lab  we could not obtain same results, but values reasonably close (in context of setup)

• *Hypothesis:* Differences ascribed to tools (Anserini vs. Galago), model parameters, and question bank size.

### Exp. 2: Our Data Preparation



lab

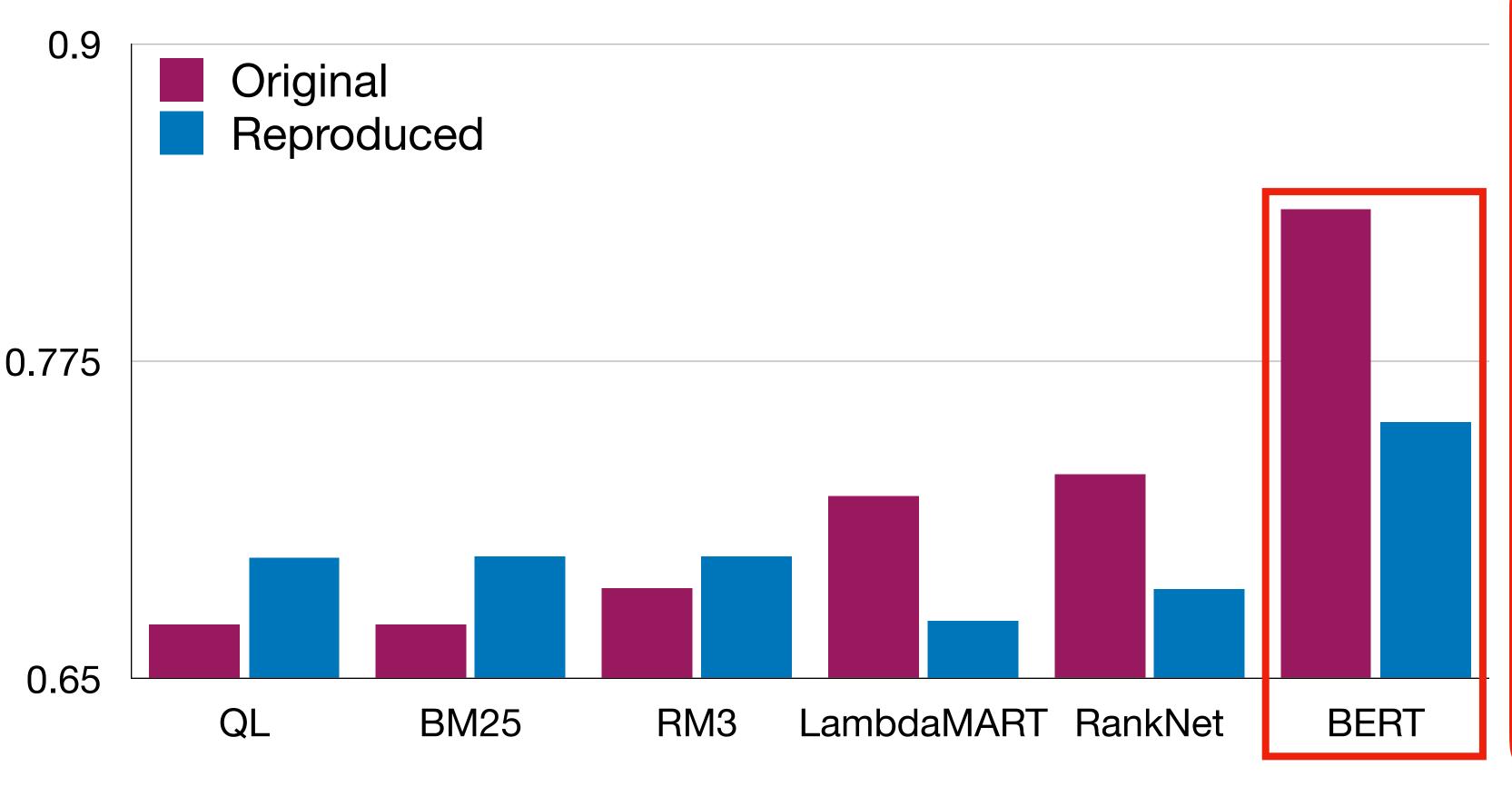
#### Learning to Rank

- could not obtain same results.
- Difference in trend: LTR lower effectiveness than keyword matching models

 Expected given they used only part of the available data for retrieval (i.e. fold VS. whole question bank)

## Exp. 2: Our Data Preparation

**BERT** 



- performs worst than in original work.
- BERT still best method, but gains over keyword matching sensibly lower
- e.g. +7.64% in ours vs.+24.33% in theirs.
- Gains not anymore significant



### Take-aways

- We showed how data preparation affects the results reported in the original work
  - learning to rank cannot outperform keyword matching
  - BERT does outperform keyword matching, but much smaller gains (not statistically significant)
- We do not believe this is a generalisable result:
  - (i) amount of training data is likely too little for those models (especially BERT)
  - (ii) feature representation particularly poor for LTR, where most questions had identical representation.
- Data sharing and genuine collaboration b/w reproduction team and original team was fundamental to identify the data preparation aspect



## BONUS SLIDES



CREATE CHANGE

### Zero-valued Representations

- LTR feature representation: 3 features QL, BM25, RM3 scores
  - Many relevant query-question pairs share same non-zero representation
  - Many query-question pairs with all features zero-valued
    - often for non-relevant questions, sporadically for relevant questions

At test time, LTR often ends up assigning to pairs one of two scores: 0 or 1 — thus,
 ties





### Treatment of Ties

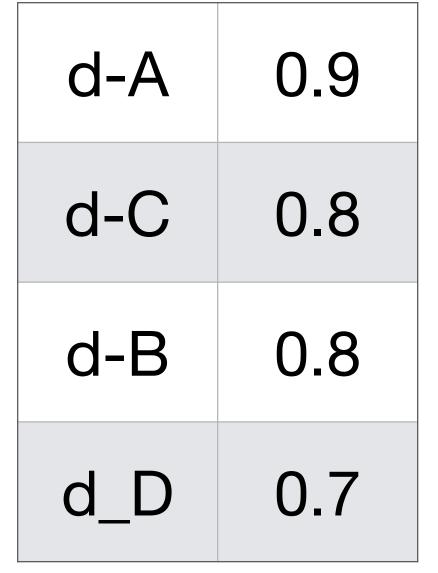
#### **Output of Ranker**

d-A	0.9
d-C	0.8
d-B	0.8
d_D	0.7





d-A	0.9
d-C	0.8
d-B	0.8
d_D	0.7



RankLib eval

### Treatment of Ties





d-A	0.9
d-C	0.8
d-B	0.8
d_D	0.7









#### trec\_eval

d-A	0.9
d-B	0.8
d-C	0.8
d_D	0.7

### Treatment of Ties







d-A	0.9
d-C	0.8
d-B	8.0
d_D	0.7







d-A

d-C

d-B

 $d_D$ 

0.9

8.0

8.0

0.7

#### trec\_eval

d-A	0.9
d-B	0.8
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### Treatment of Ties

RankLib eval

0.6728

trec\_eval no ties 0.6728





d-A	0.9
d-C	0.8
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d-A

d-C

d-B

 $d_D$ 

0.9

8.0

8.0

0.7



#### trec\_eval

d-A	0.9
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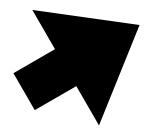
### Treatment of Ties

RankLib eval 0.67280.7233trec\_eval trec\_eval no ties 0.6728





d-A	0.9
d-C	0.8
d-B	0.8
d_D	0.7







d-A

d-C

d-B

 $d_D$ 



#### trec\_eval

0.9

8.0

8.0

0.7

d-A	0.9
d-B	0.8
d-C	0.8
d_D	0.7

### Treatment of Ties

RankLib eval	0.6728
${\tt trec\_eval}$	0.7233
${\tt trec\_eval} \ no \ ties$	0.6728

- Unsure what original study used
- In our experiments, we use trec\_eval and break ties



