

Units in Neural Networks

CPT_S 434/534 Neural network design and application

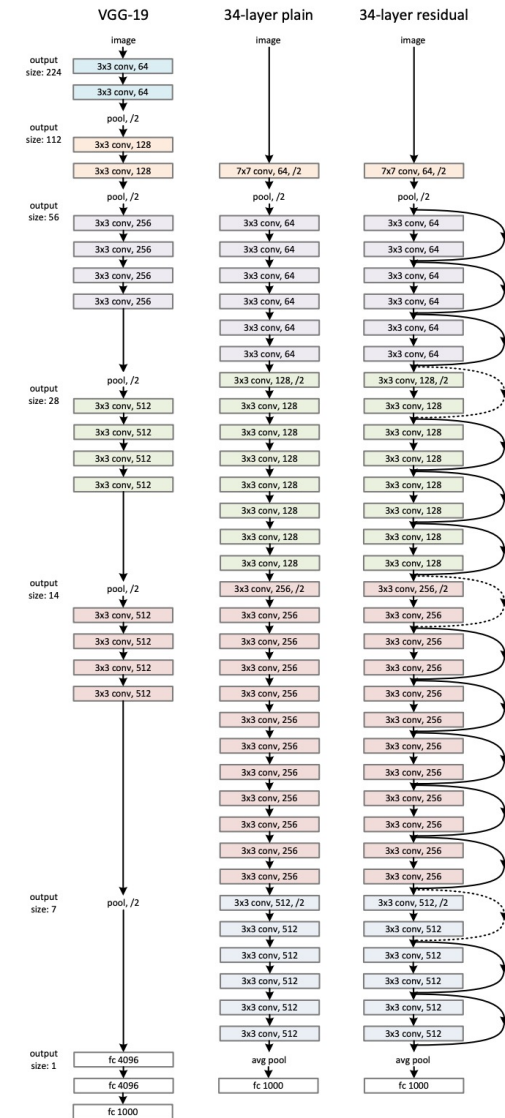
Previous

- NN basics
- An example of learning XOR function

Feedforward networks

- Deep:
 - Many compositional layers
- Nonlinearity
 - Some functions f_i can be nonlinear
- Nonconvexity
 - Composition of functions
 - Some functions f_i can be nonconvex
- Feedforward
 - Information feedforward from input to output layer

$$f_m \left(\dots \left(f_2 \left(f_1(w; x_i) \right) \right) \right) = \hat{y}_i$$



Learning XOR function

$$\begin{array}{c}
 \begin{array}{cc} x_1 & x_2 \\ \mathbf{X} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{bmatrix} \end{array}
 \end{array}
 \begin{array}{c}
 \boxed{\begin{array}{c} 0 \\ 1 \\ 1 \\ 0 \end{array}}
 \end{array}
 \begin{array}{c}
 \rightarrow \mathbf{XW} = \begin{bmatrix} 0 & 0 \\ 1 & 1 \\ 1 & 1 \\ 2 & 2 \end{bmatrix}
 \end{array}
 \begin{array}{c}
 \xrightarrow{+ \mathbf{c}} \begin{bmatrix} 0 & -1 \\ 1 & 0 \\ 1 & 0 \\ 2 & 1 \end{bmatrix}
 \end{array}
 \begin{array}{c}
 \xrightarrow{\max(0, \cdot)} \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 1 & 0 \\ 2 & 1 \end{bmatrix}
 \end{array}
 \begin{array}{c}
 \xrightarrow{\mathbf{w}^\top} \boxed{\begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}}
 \end{array}
 \begin{array}{c}
 \mathbf{W} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}, \\
 \mathbf{c} = \begin{bmatrix} 0 \\ -1 \end{bmatrix}, \\
 \boxed{\mathbf{w} = \begin{bmatrix} 1 \\ -2 \end{bmatrix}},
 \end{array}$$

Q: any explanation on the reason why we successfully learn this problem?

What if we use a nonlinear function as: $f(\mathbf{x}; \mathbf{W}, \mathbf{c}, \mathbf{w}, b) = \mathbf{w}^\top \max\{0, \mathbf{W}^\top \mathbf{x} + \mathbf{c}\} + b.$ ⁴

Learning XOR function

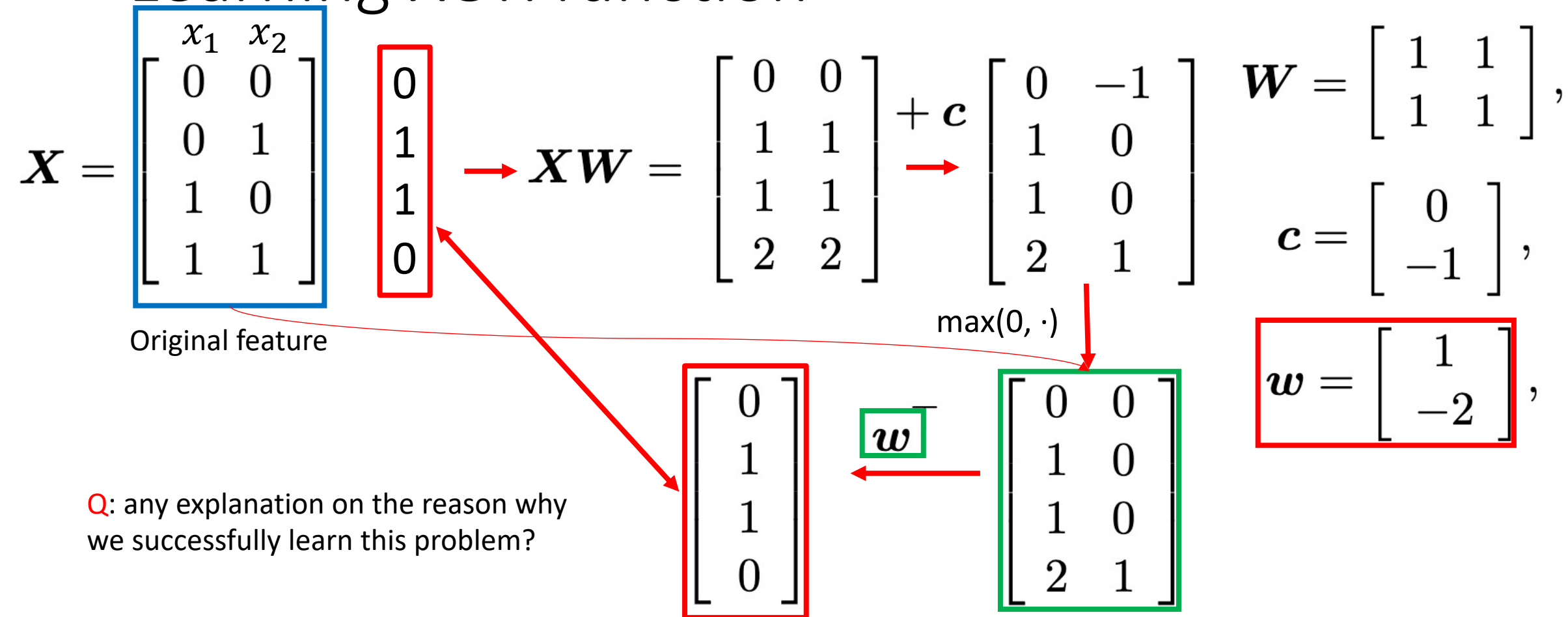
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 \begin{array}{c}
 \begin{matrix} \max(0, \cdot) \\ \downarrow \end{matrix}
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 \end{array}
 \begin{array}{c}
 \begin{matrix} \mathbf{w}^\top \\ \leftarrow \end{matrix}
 \end{array}
 \begin{array}{c}
 \boxed{\begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}}
 \end{array}$$

Q: any explanation on the reason why we successfully learn this problem?

Weight in linear function Learned feature (not 2d coordinates)

What if we use a nonlinear function as: $f(\mathbf{x}; \mathbf{W}, \mathbf{c}, \mathbf{w}, b) = \mathbf{w}^\top \max\{0, \mathbf{W}^\top \mathbf{x} + \mathbf{c}\} + b$

Learning XOR function



What if we use a nonlinear function as:

$$f(\mathbf{x}; \mathbf{W}, \mathbf{c}, \mathbf{w}, b) = \mathbf{w}^\top \max\{0, \mathbf{W}^\top \mathbf{x} + \mathbf{c}\} + b$$

Weight in linear function

Learned feature (not 2d coordinates)

6

In today's class

- Units for neural networks
 - Output units \rightarrow cost function
 - $f_m \left(\dots \left(f_2 \left(f_1(w; x_i) \right) \right) \right) \rightarrow y_i$

In today's class

- Units for neural networks
 - Output units \rightarrow cost function
 - $f_m \left(\dots \left(f_2 \left(f_1(w; x_i) \right) \right) \right) \rightarrow y_i$
 - Hidden units
 - $f_m \left(\dots \left(f_2 \left(f_1(w; x_i) \right) \right) \right) \rightarrow y_i$

Cost function and output units

- How to interact with **groundtruth labels**?
- Likelihood function

Binary	Gray code	One-hot
000	000	00000001
001	001	00000010
010	011	00000100
011	010	00001000
100	110	00010000
101	111	00100000
110	101	01000000
111	100	10000000

$$f_m \left(\dots \left(f_2(f_1(w; x_i)) \right) \right) \rightarrow y_i$$

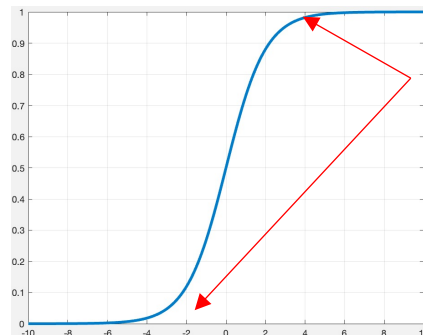
$$L(w) = P_w(X_1 = x_1, \dots, X_n = x_n) = f(w; x_1) \times \dots \times f(w; x_n) = \prod_{i=1}^n f(w; x_i)$$

- Maximum likelihood estimation

$$\max_w \log L(w) = \log \prod_{i=1}^n f(w; x_i) = \sum_{i=1}^n \log(f(w; x_i))$$

approximate

Probability mass function
(e.g., Bernoulli distribution)



satisfies probability format

$$f_{\text{sigmoid}}(z) = \frac{1}{1 + e^{-z}}$$

z: may be unbounded

Cost function and output units

$$f_m \left(\dots \left(f_2 \left(f_1(w; x_i) \right) \right) \right) \rightarrow y_i$$

- How to interact with **groundtruth labels**?
- Maximum likelihood estimation

$$p_{\text{data}}(\mathbf{x}) \xleftarrow{\text{approximate}} p_{\text{model}}(\mathbf{x}; \boldsymbol{\theta})$$

Cost function and output units

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Probability for data



Cost function and output units

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Probability for data



Q: Where?

One-hot label: dog cat chair
1 0 0

Cost function and output units

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Probability for data

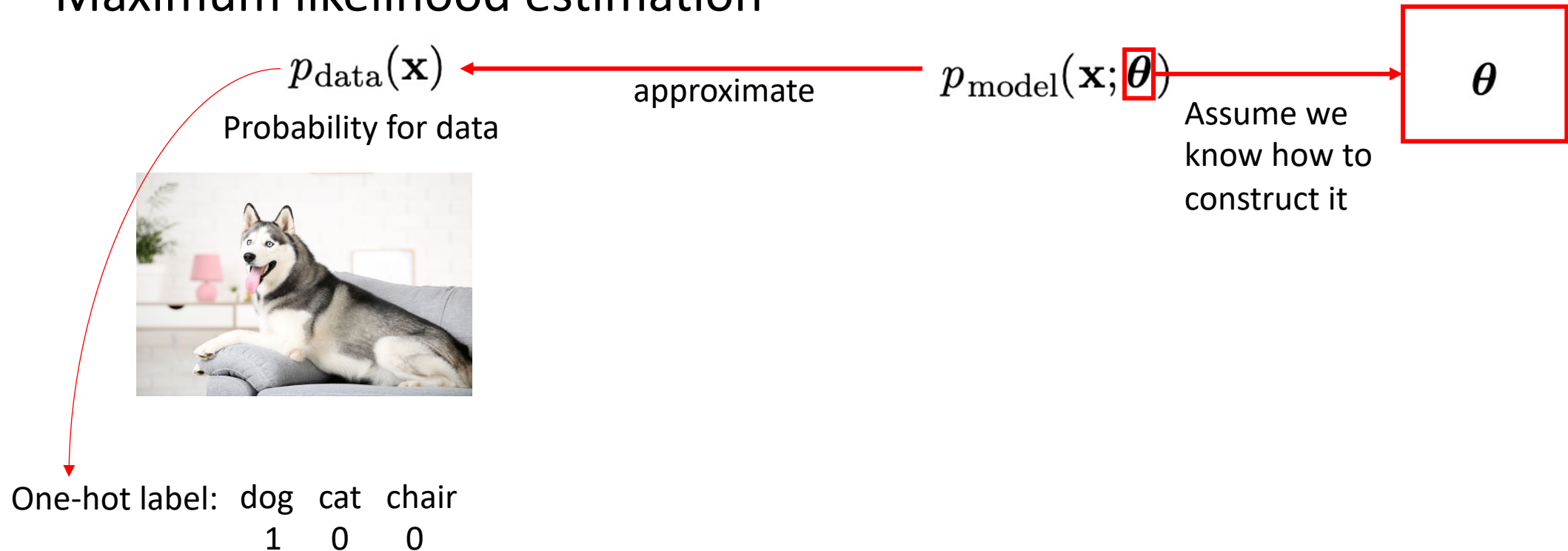


One-hot label: dog cat chair
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Cost function and output units

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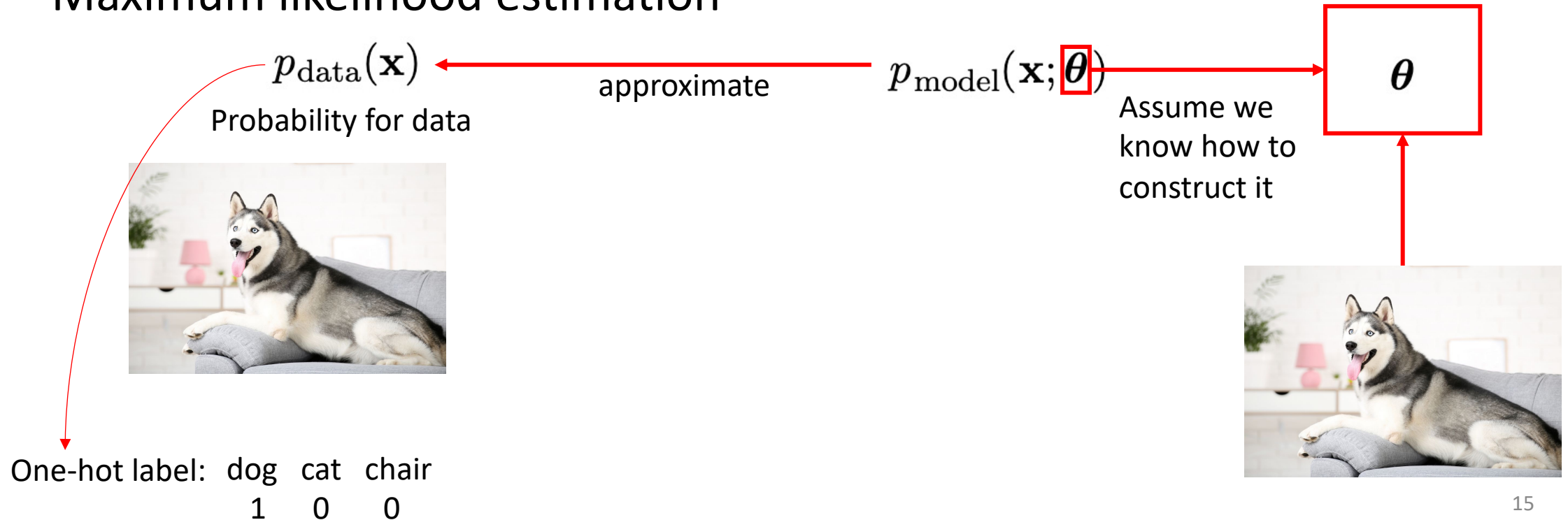
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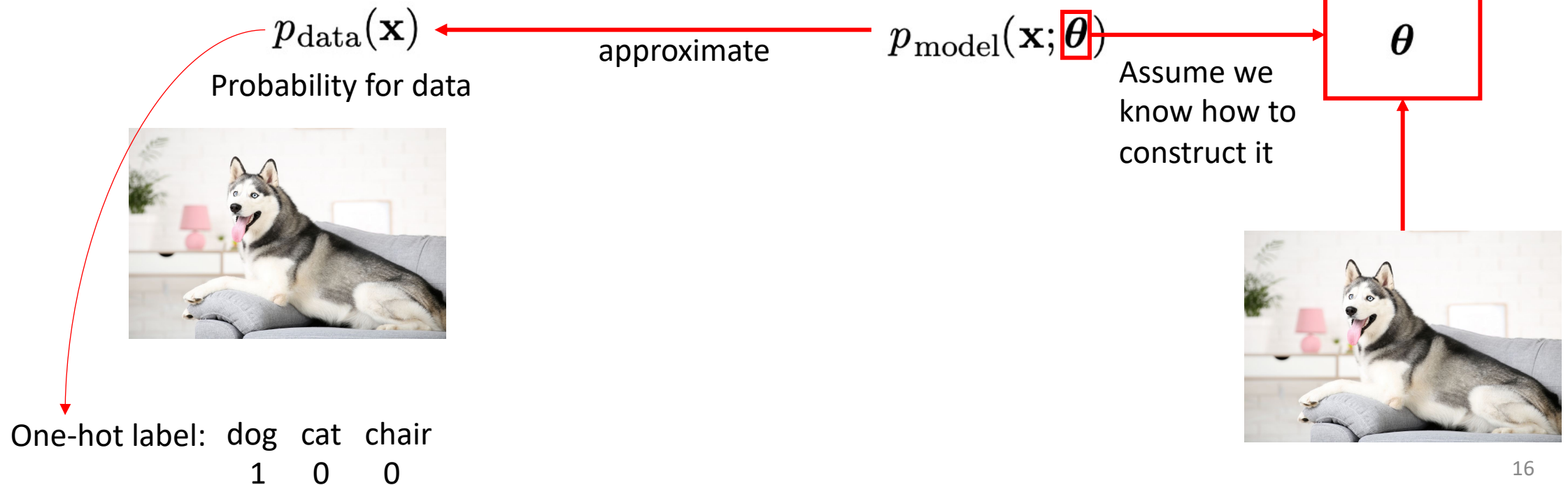
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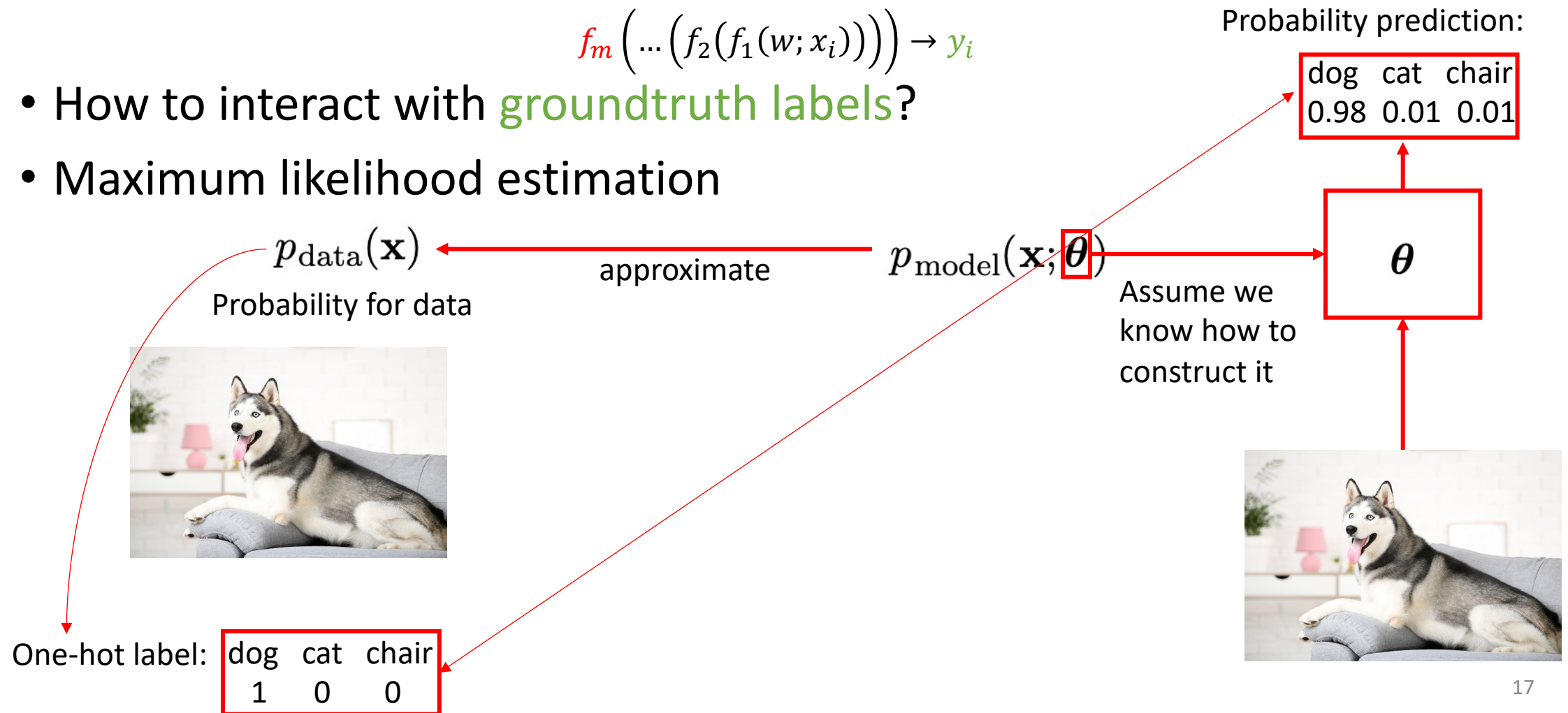
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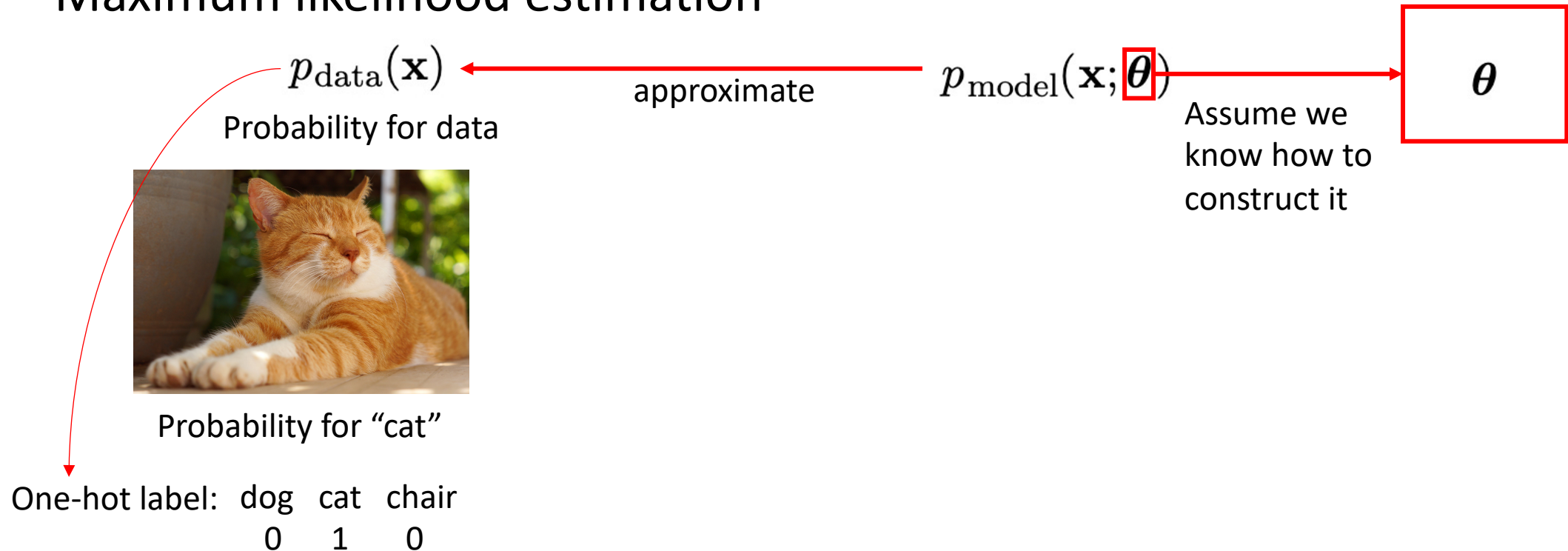
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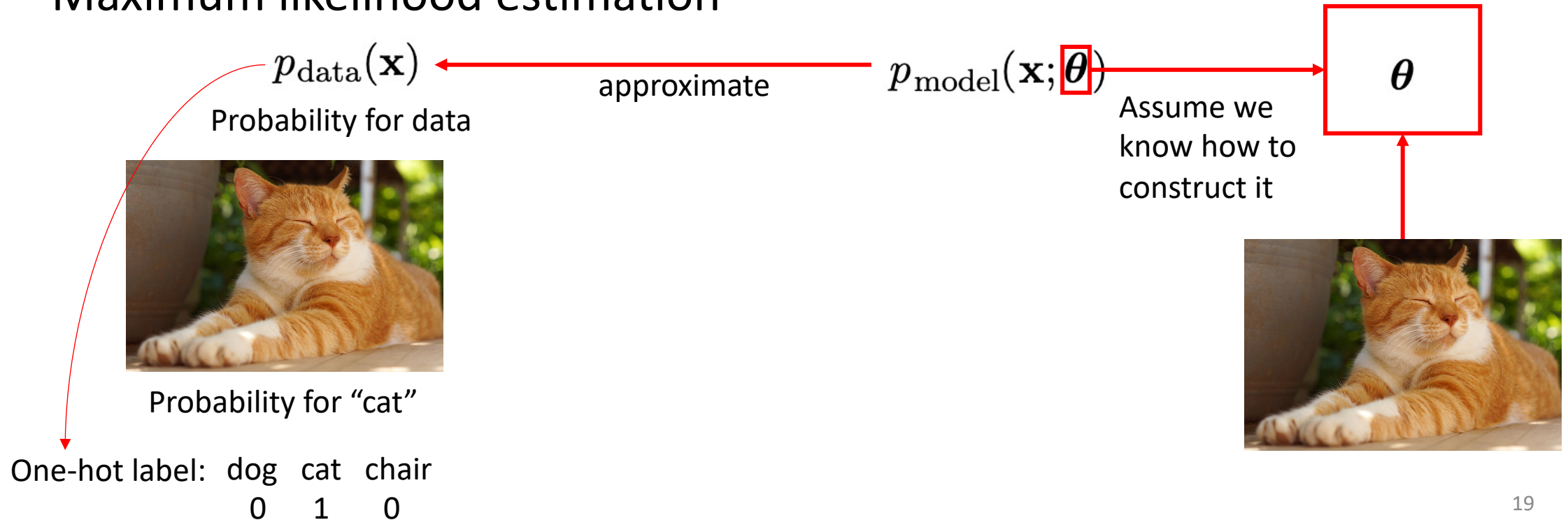
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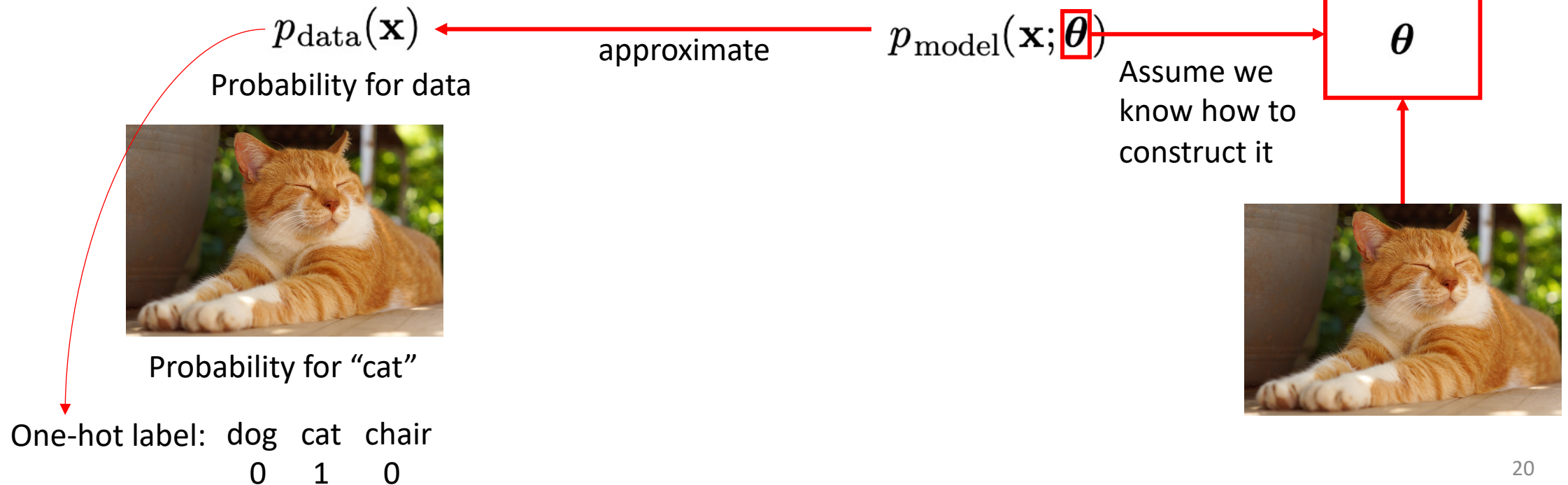
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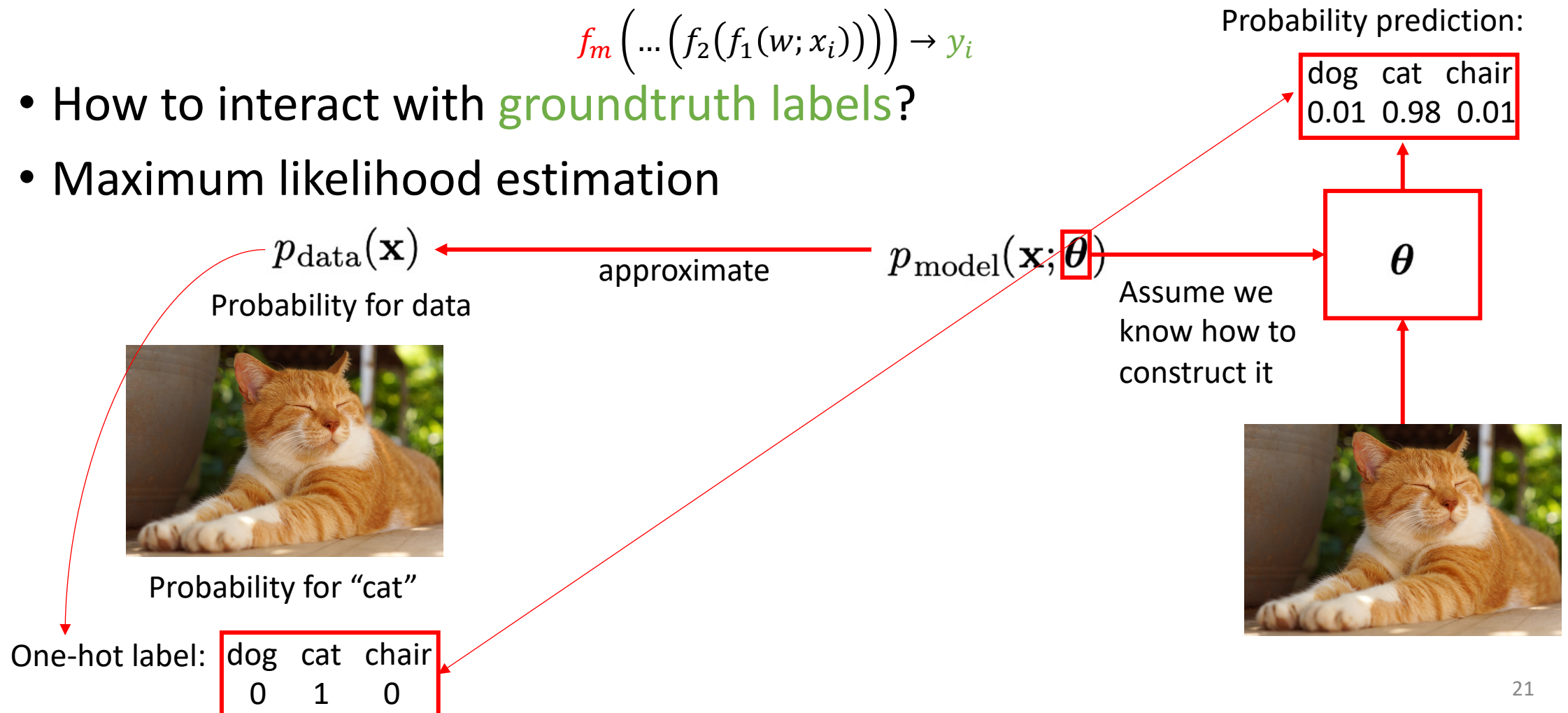
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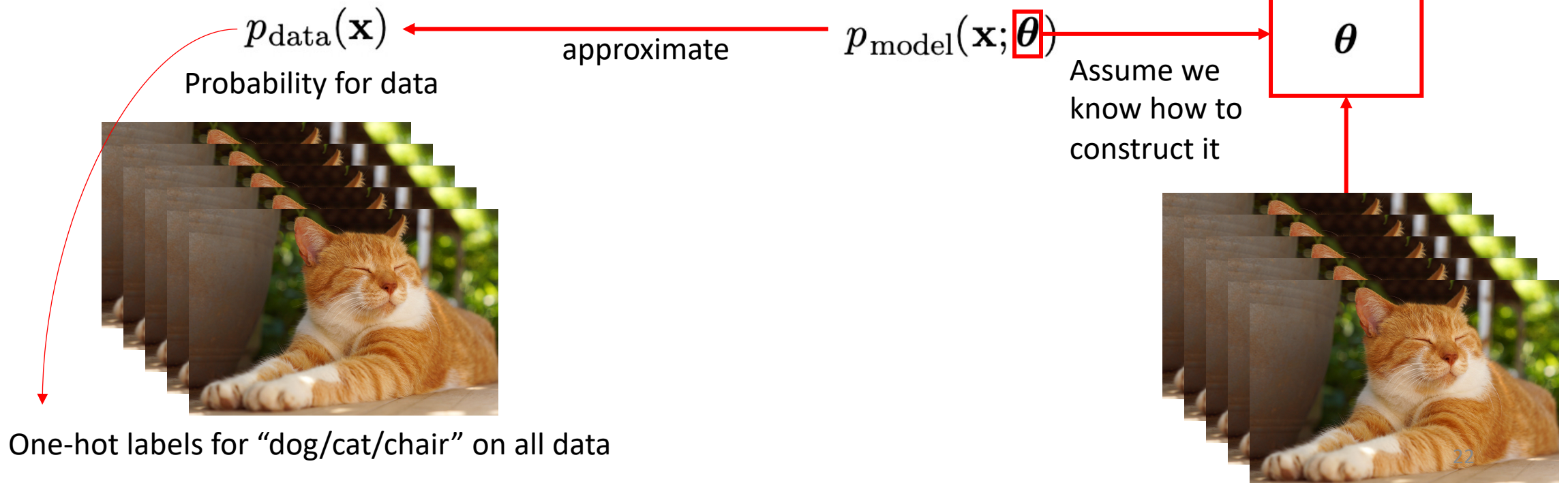
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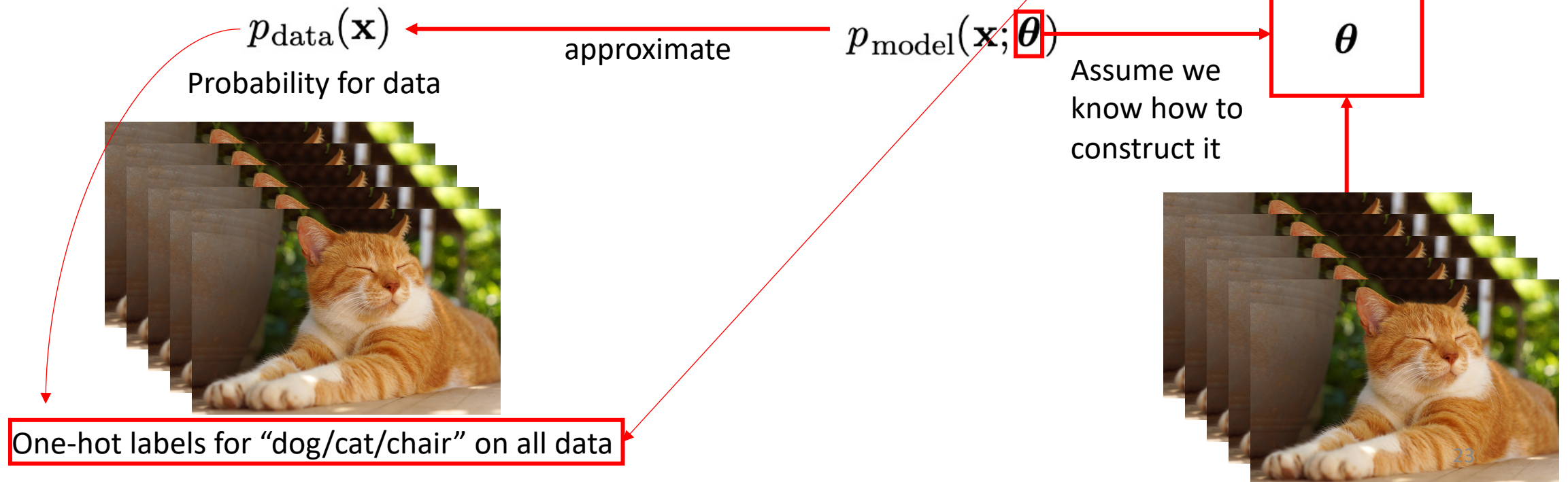
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Cost function and output units


- MLE and KL divergence

$$\min_{p_{model}} D_{KL}(\hat{p}_{data} || p_{model}) = \mathbb{E}_{\mathbf{x} \sim \hat{p}_{data}} [\log \hat{p}_{data}(\mathbf{x}) - \log p_{model}(\mathbf{x})]$$

Cost function and output units

- MLE and KL divergence

Empirical distribution (from training set): cannot scan all possible data $p_{\text{data}}(\mathbf{x})$


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If we minimize the KL divergence between the two distributions:

Cost function and output units

- MLE and KL divergence

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Equivalent to

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$$\theta_{\text{ML}} = \arg \max_{\theta} \sum_{i=1}^m \log p_{\text{model}}(\mathbf{x}^{(i)}; \theta)$$

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Cost function and output units

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Minimizing KL divergence

=
MLE

$$\Rightarrow \arg \max_{\theta} \mathbb{E}_{\mathbf{x} \sim \hat{p}_{\text{data}}} \log p_{\text{model}}(\mathbf{x}; \theta)$$

What are hidden units?

$$f_m \left(\dots \left(f_2 \left(f_1 (w; x_i) \right) \right) \right) \rightarrow y_i$$

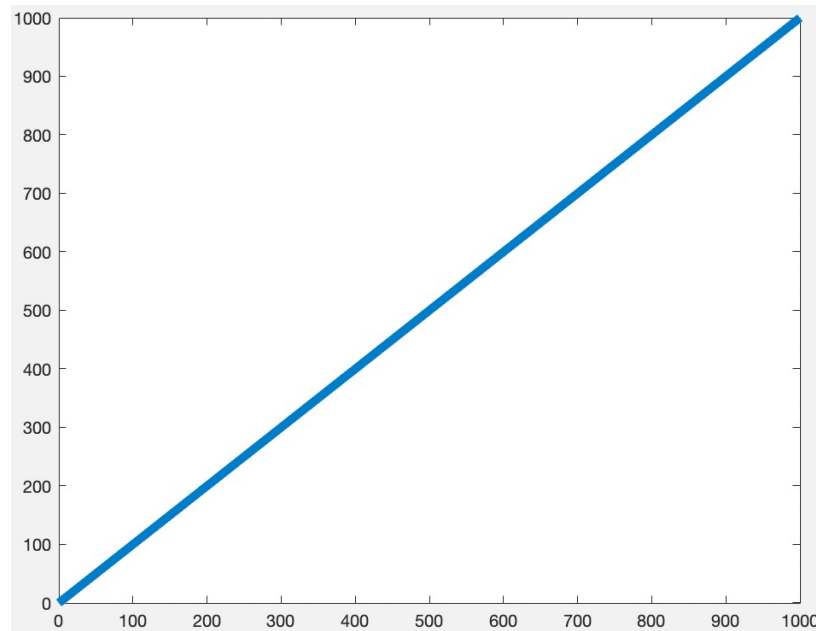


What are hidden units?

$$f_m \left(\dots \left(f_2 \left(f_1 (w; x_i) \right) \right) \right) \rightarrow y_i$$



Q: what if for all layers:
 $f(x) = a * x$?

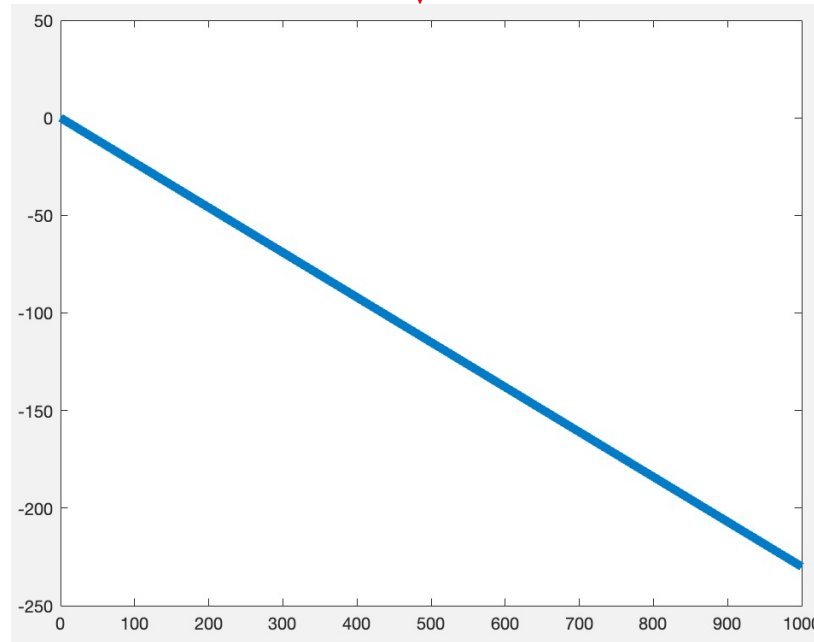


$$f(x) = x$$

What are hidden units?

$$f(x) = a * b * c * d * x$$

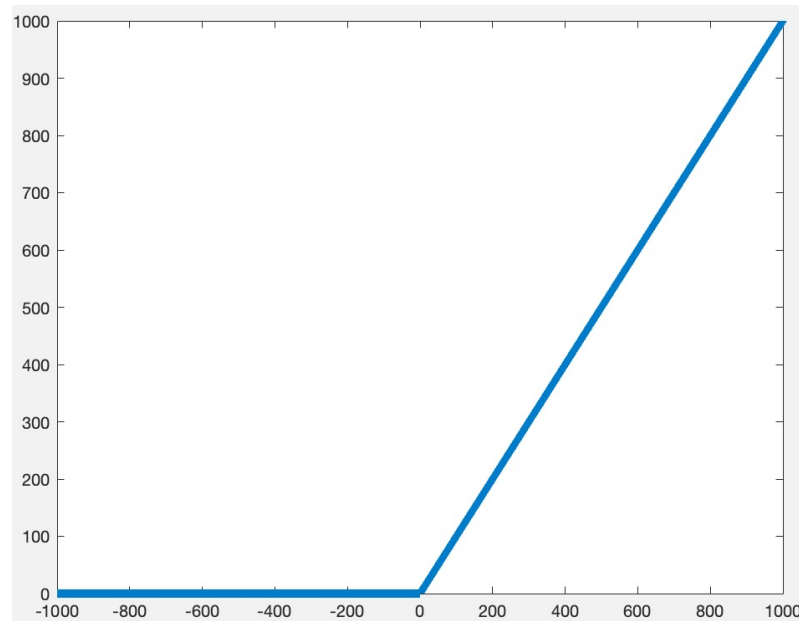
?



Combination of **all linear** layers is still linear
We are interested in nonlinear layers

ReLU (Rectified Linear Unit)

Activation function

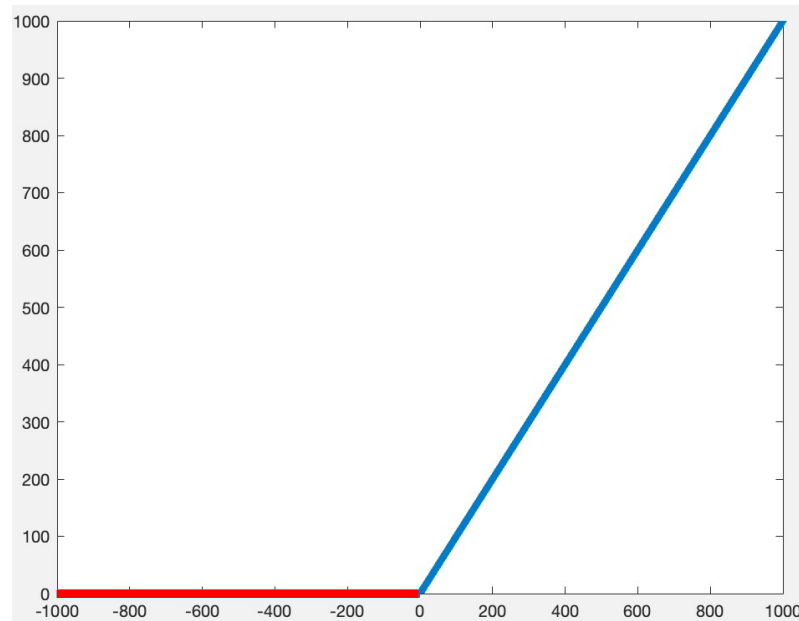


$$f(x) = \max(0, x)$$

ReLU (Rectified Linear Unit)

- Dying ReLU issue

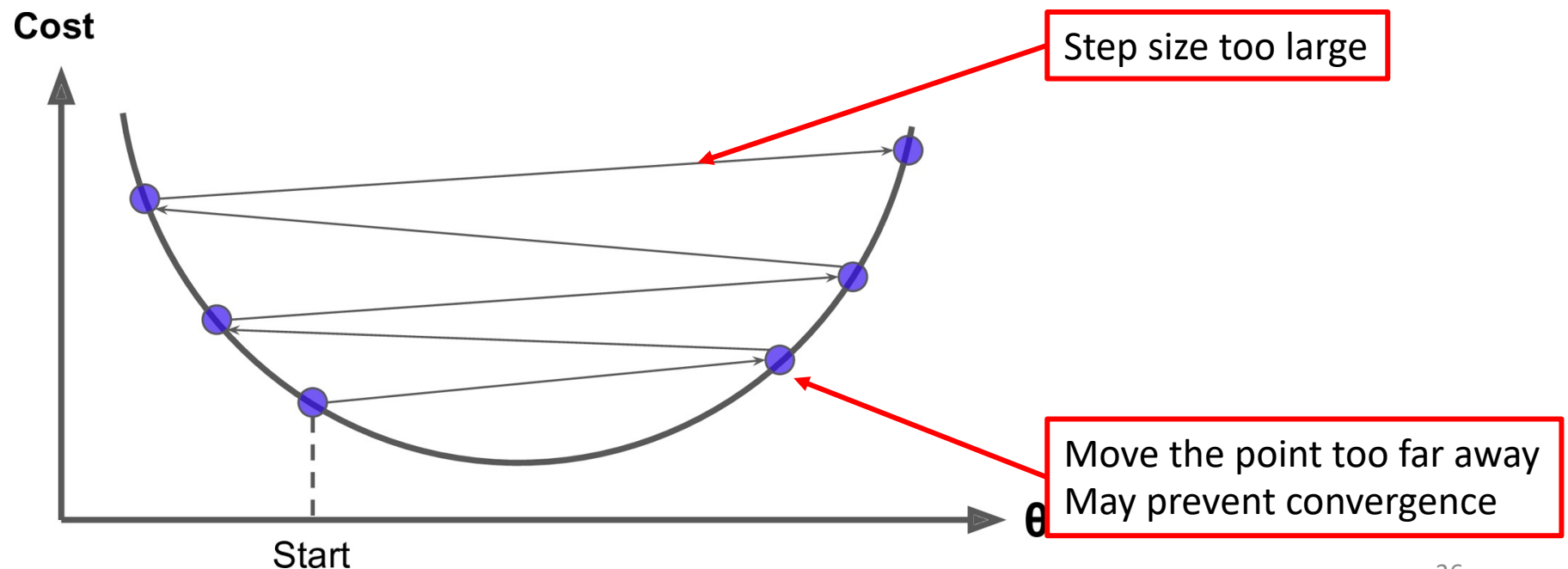
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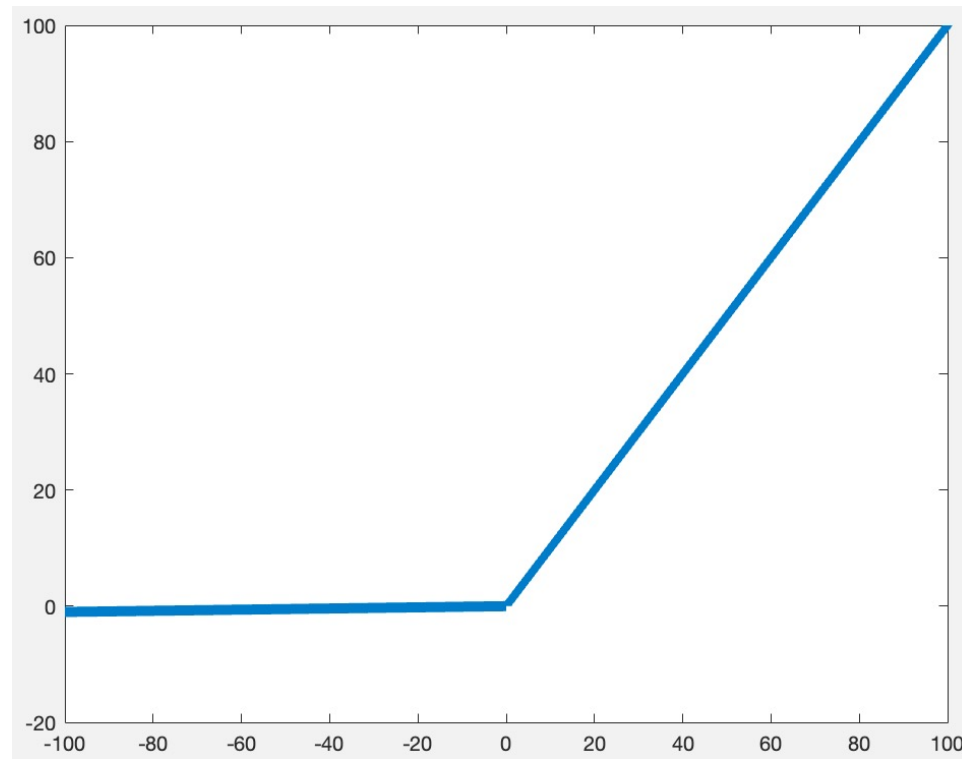
Determining model parameters

- When to terminate GD (determining T)?
 - Main factors influencing convergence rate?
 - Step size (learning rate)



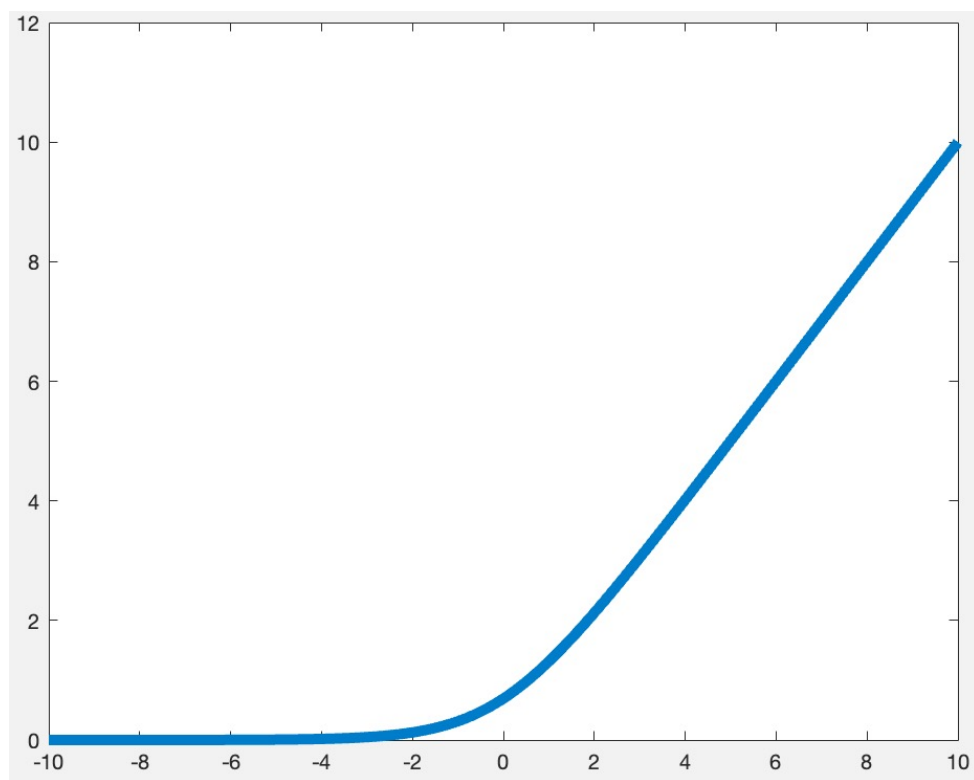
Leaky ReLU

$$f(x_j^i) = \max(0.01x_j^i, x_j^i)$$



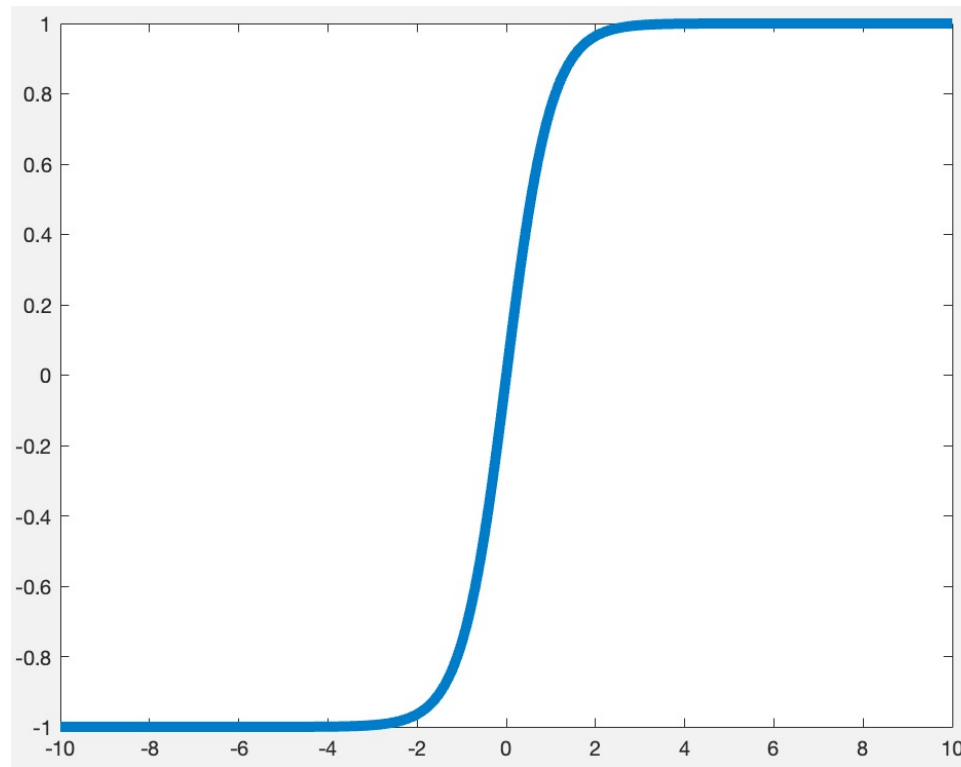
Smooth ReLU/softplus

$$a_j^i = f(x_j^i) = \log(1 + \exp(x_j^i))$$



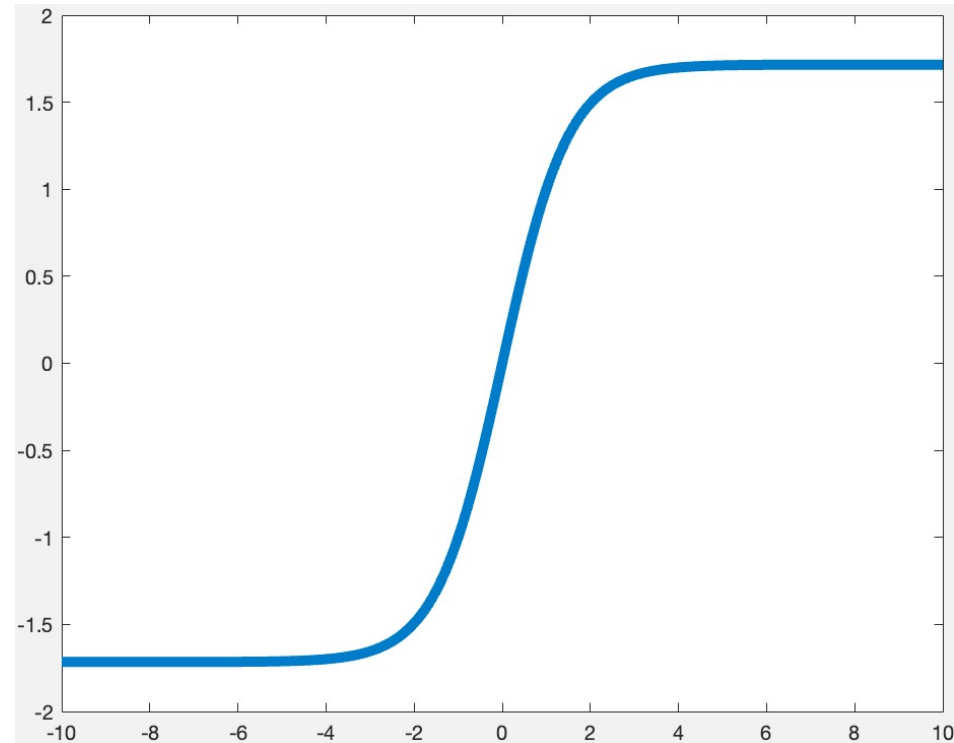
Tanh

$$f(x_j^i) = \tanh(x_j^i)$$



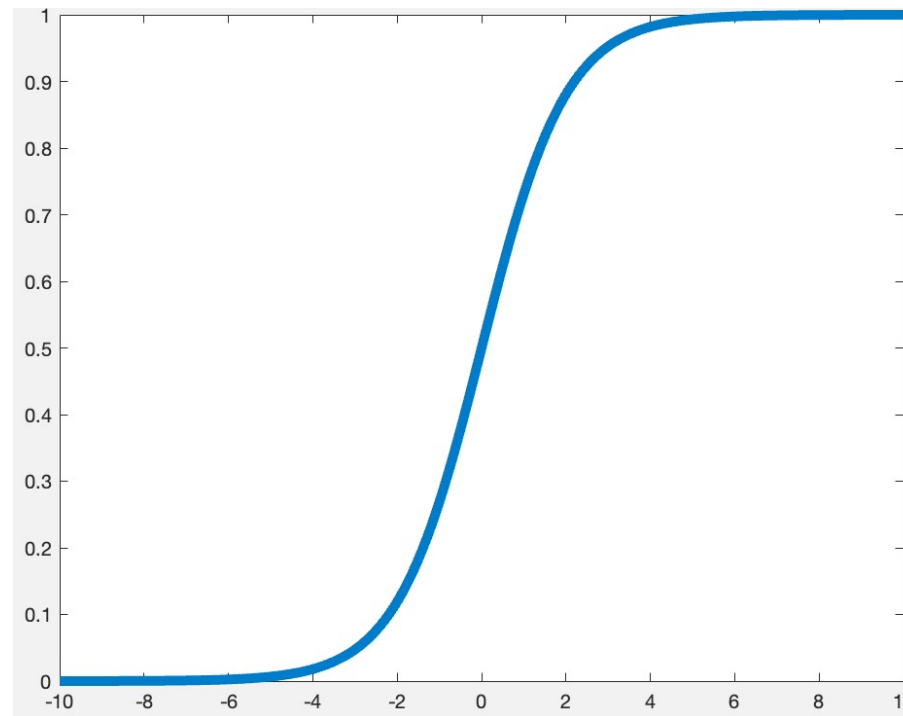
LeCun's Tanh [1]

$$f(x_j^i) = 1.7159 \tanh\left(\frac{2}{3}x_j^i\right)$$



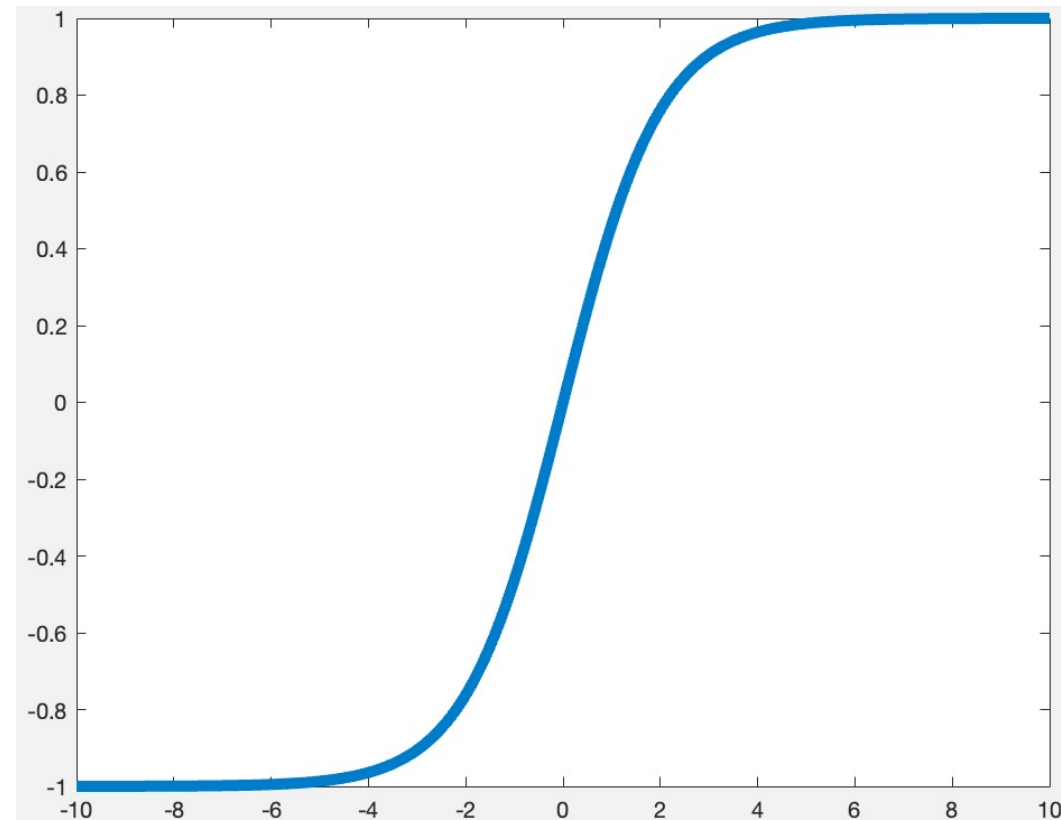
Sigmoid

$$f(x_j^i) = \frac{1}{1 + \exp(-x_j^i)}$$



Bipolar sigmoid

$$f(x_j^i) = \frac{1 - \exp(-x_j^i)}{1 + \exp(-x_j^i)}$$



References

- [1] LeCun, Yann A., Léon Bottou, Genevieve B. Orr, and Klaus-Robert Müller. "Efficient backprop." In *Neural networks: Tricks of the trade*, pp. 9-48. Springer, Berlin, Heidelberg, 2012.

Pdf online: <http://yann.lecun.com/exdb/publis/pdf/lecun-98b.pdf>