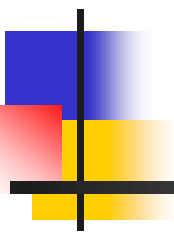


Chapter 2

Some Elementary Data Structures and Algorithms



Bad programmers worry about the code. Good programmers worry about data structures and their relationships.
- Linus Torvalds

References:
[CLRS 2.1, 10.1-10.3]
[SSS 3.1-3.2, 4.1-4.2, 4.9]



Data Structures

- We need efficient (time and space) ways to represent the data we are to process
- Common operations on data
 - Insert, delete
 - Search
 - Arrange in order
- Common data structures:
 - Array
 - Linked list
 - Stack
 - Queue



Data Structures

- Why different data structures?
 - Support different operations with different running times
 - Different algorithms require different number of each type of operations
- Algorithms/data structures are inter-dependent
 - “Algorithms + Data Structures = Programs” [N. Wirth 1976]



Array

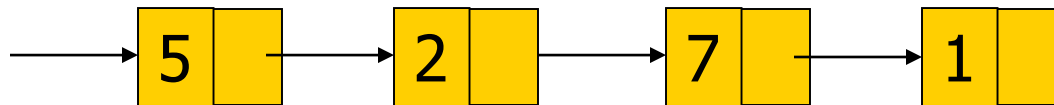
- Simplest, most common data structure
- Contiguous memory locations
- *Direct access* – $A[i]$ in $O(1)$ time
- Search: read the entire array, worst case $O(n)$ time
- Insert:
 - At the end: easy (but may need memory allocation)
 - At specific position: need to shift elements after that position, $O(n)$ time
- Delete:
 - Shift elements, $O(n)$ time

5	2	7	1
---	---	---	---

5	2	4	7	1
---	---	---	---	---

Linked List

- Can we support insert/delete efficiently?
- *Linked list*: memory locations linked by pointers (references)



Memory	
0	
1	5 6
2	
3	1 /
4	7 3
5	
6	2 4

- Directly available in Java
- But we need to understand how it works to analyse its running time



Linked List

- In C/C++ styled pseudocode:

```
struct node {  
    int data;    // data  
    node *next; // pointer  
}  
  
node *head = new node; // head of new list
```



Linked List: Search

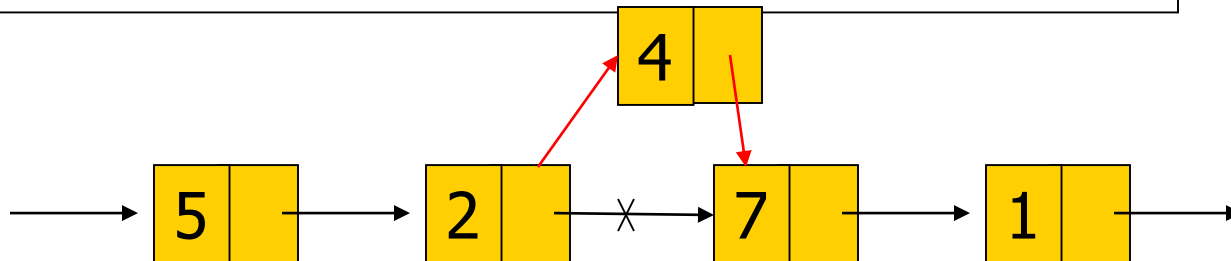
- Linked list does not support direct access!
 - To get the i -th element, you need to go through the list $\rightarrow i$ steps
- Search for an element:
 - $O(n)$ time

```
node* search(int x) {  
    node *temp = head;  
    while (temp != null && temp->data != x)  
        temp = temp->next;  
    return temp; // null if not found  
}
```

Linked List: Insert

- Insert at a specific position:
 - If we already have a pointer to that position, then just modify pointers
 - $O(1)$ time

```
// insert number x after node y
void insert(int x, node* y) {
    node t = new node;
    t->num = x;
    t->next = y->next;
    y->next = t;
}
```

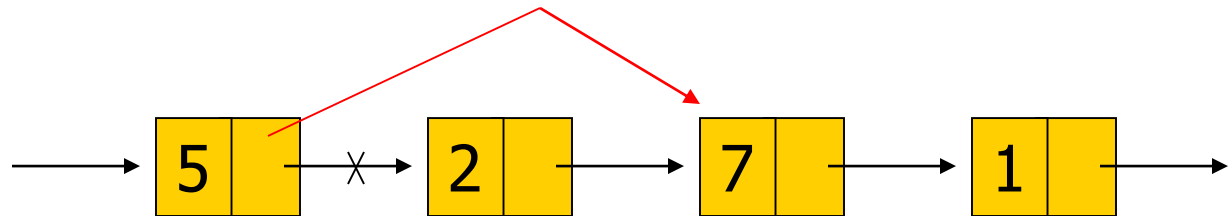


Linked List: Delete

- Delete an element

- Suppose we have a reference to the element (if not, search for it)
- $O(1)$ time

```
// delete element pointed to by x (after x)
void delete(node x) {
    x->next = x->next->next;
}
```

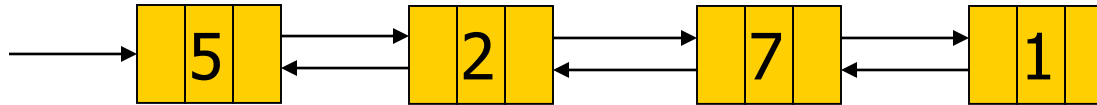


- (we ignored boundary conditions in these insert/delete code)

Linked List: Variations

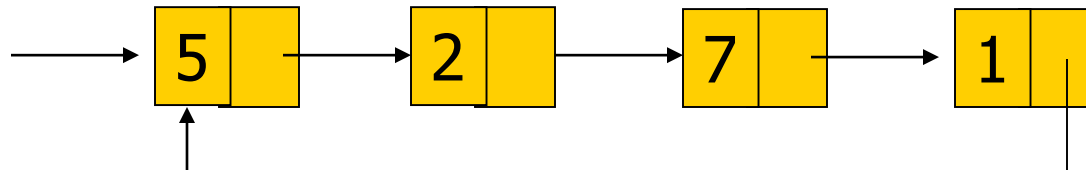
- *Doubly linked list:*

- Pointers to previous and next element



- *Circular linked list:*

- Last element point to first one



- Support easier navigation through the list

Stacks and Queues

- *Stack*: last-in-first-out (LIFO)

- Insert and delete at the same end (top)

- Operations:

- Push(v): insert v to stack S
- Pop(): return and remove top element from S
- Top(): return (not remove) top element in S



- *Queue*: first-in-first-out (FIFO)

- Insert at one end (tail), delete at other end (head)

- Operations:

- Enqueue(v): insert v into queue Q
- Dequeue(): return and remove first element from Q



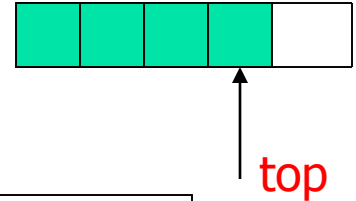


Abstract Data Structures

- Stacks and queues are *abstract data structures*
 - Implemented using other data structures
- Both stack or queue can be implemented using array or linked list
- Support constant time per operation
- In the following we discuss implementing them using arrays
 - Assume a maximum limit on size known

Implementing a Stack

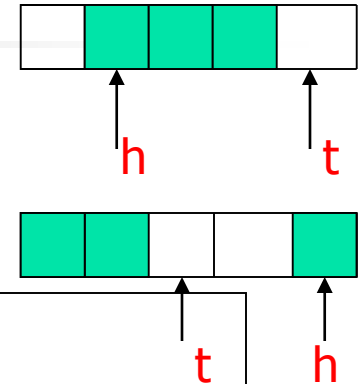
- Using an array
 - Keep an index to the "top" of stack



```
Class Stack {  
    int S[0..99];  
    int top := -1;  
    void push(int x) {  
        if (top==99) print "stack overflow";  
        else {top++; S[top] := x;}  
    }  
    int pop() {  
        if (top==-1) print "stack underflow";  
        else {top--; return S[top+1];}  
    }  
}
```

Implementing a Queue

- Using an array
 - Use two variables to keep head and tail
 - Wrap around



```
Class Queue {  
    int Q[0..99];  
    // NB: size 100 but stores only 99 elements  
    int h := 0, t := 0;  
    void Enqueue(int x) {  
        if ((t+1)%100 == h) print "queue full";  
        else {Q[t]:=x; t:=(t+1)%100;}  
    }  
    int Dequeue() {  
        if (t == h) print "queue empty";  
        else {x:=Q[h]; h:=(h+1)%100; return x;}  
    }  
}
```



Simple Sorting and Searching

*Computers have historically spent
more time sorting than doing
anything else.*

- D. Knuth



Linear Search

- Consider a very simple problem: search for an element x in an array A with n elements
- Trivial algorithm: search one by one

```
// search for input x in array A[1..n]
for i := 1 to n {
    if (A[i]==x) {
        print i;
        return;
    }
}
print "not found";
```

- Time complexity: $O(n)$



Binary Search

- If the elements are already sorted, can we do it faster?
 - (How do you look up a word in a dictionary?)
- *Binary search*
 - Idea: we can reduce the search space by half by checking the middle element
 - Suppose elements sorted in increasing order
 - If middle element $> x$, x can only be in 1st half
 - If middle element $< x$, x can only be in 2nd half
 - *Recursively* (or iteratively) handle one of the two halves
 - (because we are now facing the *same* problem of *smaller* size)

Binary Search: Example

- Search for 3

1	2	2	4	5	5	7	9	10	10	12
---	---	---	---	---	---	---	---	----	----	----

5 > 3

1	2	2	4	5	5	7	9	10	10	12
---	---	---	---	---	---	---	---	----	----	----

2 < 3

1	2	2	4	5	5	7	9	10	10	12
---	---	---	---	---	---	---	---	----	----	----

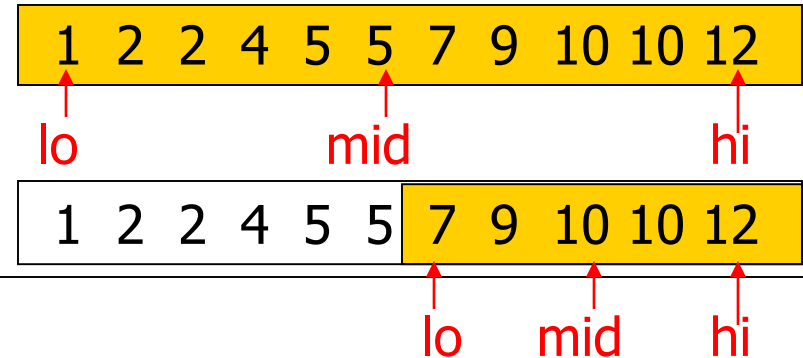
5 > 3

1	2	2	4	5	5	7	9	10	10	12
---	---	---	---	---	---	---	---	----	----	----

4 > 3

Binary Search: Non-recursive

- Use two indices lo and hi to indicate the range of the array we are searching



```
Binary-Search(A, n, x)
{
    lo := 1, hi := n
    while (lo <= hi) {
        mid := round((lo+hi)/2)
        if (A[mid]==x) return mid // found
        else if (A[mid]>x) hi:=mid-1 // lower half
        else lo := mid+1 // upper half
    }
}
```



Binary Search: Complexity

- Content inside while loop: $O(1)$ time
- How many times is the while loop executed?
 - Each execution reduces the range $(hi - lo + 1)$ by half
 - $hi - lo + 1$ is n at the beginning, 1 at the end
 - $n \rightarrow n/2 \rightarrow n/4 \dots \rightarrow 2 \rightarrow 1$: $\log_2 n$ steps
 - Log n executions
- Overall time complexity $O(\log n)$
 - Better than linear search
- See Chapter 3 for recursive version



Sorting

- Problem: given n items with an ordering, arrange them in ascending/descending order
 - E.g. sorting numbers
 - How do you arrange a hand of playing cards?
- A fundamental problem
- This chapter: two simple algorithms
 - Later: advanced algorithms (so, your usual way of arranging playing cards is not optimal...)
- Try the animations: <http://www.sorting-algorithms.com/>



Selection Sort

- Idea: find the smallest number, swap it with the first number, and repeat for the remaining numbers
- Example:
 - 3 1 5 8 5 2 7
 - 1 3 5 8 5 2 7
 - 1 2 5 8 5 3 7
 - 1 2 3 8 5 5 7
 - 1 2 3 5 8 5 7
 - 1 2 3 5 5 8 7
 - 1 2 3 5 5 7 8



Selection Sort: Algorithm

```
// sort array A[1..n]
Selection-Sort(A)
{
    for i := 1 to n-1 {
        // find minimum in A[i..n]
        min := i
        for j := i+1 to n
            if (A[j] < A[min]) min := j
        // now min contains the index of
        // minimum position
        swap the values of A[i] and A[min]
    }
}
```

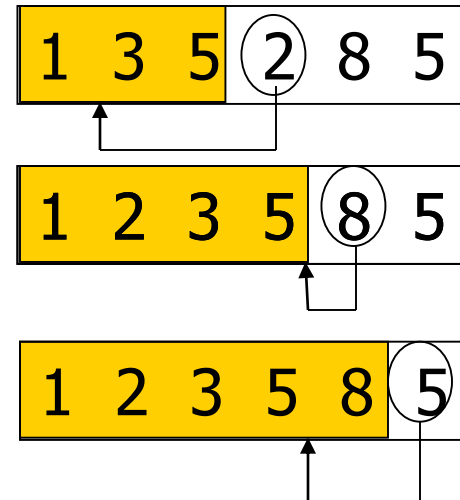
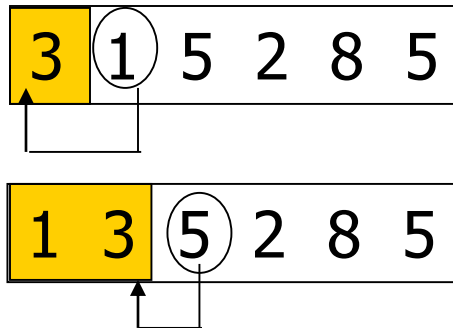


Selection Sort: Complexity

- There are two for loops
 - Outer (for $i = \dots$): $n-1$ times
 - Inner (for $j = \dots$): at most $n-1$ times
 - Within nested loops: $O(1)$ time
- Time complexity: $O(n^2)$

Insertion Sort

- Idea: maintain that $A[1..i]$ is already sorted.
 - Insert $A[i+1]$ in the correct position within $A[1..i]$
 - Now, $A[1..i+1]$ is sorted
 - Repeat the procedure to further extend until the whole array is sorted
- Example:



Insertion Sort: Algorithm

```
// sort array A[1..n]
```

```
Insertion-Sort(A)
```

```
{
```

```
  for i := 2 to n {
```

```
    j := i-1
```

```
    x := A[i]
```

```
    while (j>0 and x<A[j]) {
```

```
      A[j+1] := A[j]
```

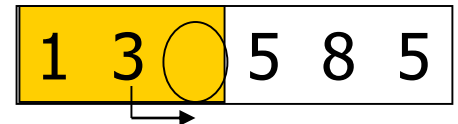
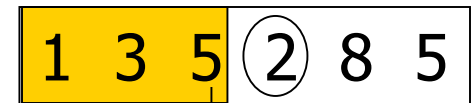
```
      j := j-1
```

```
    }
```

```
    A[j+1] := x    // j+1 is correct insert point
```

```
  }
```

```
}
```



// Move backwards





Insertion Sort: Complexity

- For loop (element $A[i]$ to be processed): n times
 - While loop (find correct position): at most n times
- $O(n^2)$ again
 - Later, we will know there are actually faster algorithms!



Binary Insertion Sort

- Do we really need $O(n)$ time to find correct position to insert?
 - The subarray $A[1..i]$ is already sorted
 - Binary search for the correct position!
- Binary insertion sort
 - Number of comparisons: $O(n \log n)$
- However: we need to move the elements after insertion! (which takes $O(n)$ time)
 - Hence, time still $O(n^2)$



Selection vs. Insertion Sort

- Selection Sort:
 - Once a position is found for an element, it remains there
- Insertion Sort:
 - Benefits from partially-sorted input
 - Can be done on-line