

# **Application of Information Theory, Lecture 9**

## **Parallel Repetition of Interactive Arguments**

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# Part I

## **Interactive Proofs and Arguments**

# $\mathcal{NP}$ as a Non-interactive Proofs

## Definition 1 ( $\mathcal{NP}$ )

$\mathcal{L} \in \mathcal{NP}$  iff  $\exists$  and poly-time algorithm  $V$  such that:

- ▶  $\forall x \in \mathcal{L}$  there exists  $w \in \{0, 1\}^*$  s.t.  $V(x, w) = 1$
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- ▶ Soundness holds unconditionally

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- ▶ Games — no-input protocols.

# Section 1

## **Interactive Proof for Graph Non-Isomorphism**

# Graph isomorphism

$\Pi_m$  – the set of all permutations from  $[m]$  to  $[m]$

## Definition 3 (graph isomorphism)

Graphs  $G_0 = ([m], E_0)$  and  $G_1 = ([m], E_1)$  are **isomorphic**, denoted  $G_0 \equiv G_1$ , if  $\exists \pi \in \Pi_m$  such that  
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Idea: Beer tasting...

## Interactive proof for $\mathcal{GNI}$

**Protocol 4**  $((P, V)(G_0 = ([m], E_0), G_1 = ([m], E_1)))$

1.  $V$  chooses  $b \leftarrow \{0, 1\}$  and  $\pi \leftarrow \Pi_m$ , and sends  $\pi(E_b)$  to  $P$ .<sup>a</sup>
2.  $P$  send  $b'$  to  $V$  (tries to set  $b' = b$ ).
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### Claim 5

The above protocol is  $\text{IP}$  for  $\mathcal{GNI}$ , with perfect completeness and soundness error  $\frac{1}{2}$ .

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Hence,

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$$G_0 \not\equiv G_1: \Pr[b' = b] = 1 \text{ (i.e., } P \text{ can, possibly inefficiently, extracted from } \pi(E_i))$$

□

## Part II

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- ▶ Parallel repetition **does** achieve optimal amplification rate for interactive proofs and public-coin interactive arguments
- ▶ Public-coin interactive proof/argument — in each round the verifier flips coins and sends them to the prover. To compute its output, the verifier applies some (fixed) function to the protocol’s transcript.

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- ▶ Why size?
- ▶ Concrete security
- ▶ In the following we focus on games (no input protocols)

## Section 2

# **Parallel repetition of public-coin interactive argument**

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### Theorem 6

Let  $\pi = (P, V)$  be  $m$ -round, public-coin protocol with  $\Pr[(\tilde{P}, V) = 1] \leq \epsilon$  for any  $s$ -size  $\tilde{P}$ , then  $\Pr[(\widetilde{P^{(k)}}), V^{(k)} = 1^k] \leq \epsilon^{k/4}$  for any  $s \cdot \frac{\epsilon^{k/4}}{mk^3 s_V}$ -size  $\widetilde{P^{(k)}}$ , where  $s_V$  is  $V$ 's size.

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  - 2.2 If  $(\widetilde{P^{(k)}}, V^{(k)}(R)) = 1^k$ :
    - 2.2.1 Set  $\tilde{R}_j = R_j$
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- $\Pr[(\tilde{P}, V) = 1] \geq \Pr[\text{win}(\tilde{R}, \tilde{N}) := (\widetilde{P^{(k)}}, V^{(k)}(\tilde{R})) = 1^k \wedge \tilde{N} \leq qm/\varepsilon^{(k)}]$ .



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## Lemma 9

$$\Pr[\hat{N} \leq qm/\varepsilon^{(k)}] \geq 1 - \frac{1}{q}$$



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Hence,  $\mathbb{E}_{Y^j} \left[ \frac{1}{v(Y^j)} \right] = \sum_{\mathbf{y} \in \text{Supp}(Y^j)} \Pr[Y^j = \mathbf{y}] \cdot \frac{1}{v(\mathbf{y})}$



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Note that

$$\begin{aligned} \Pr_{Y_j | Y^{j-1} = \mathbf{y}_{1, \dots, j-1}}[y_j] &= \sum_{\ell=1}^{\infty} (1 - v(\mathbf{y}_{1, \dots, j-1}))^{\ell-1} \cdot \Pr_{X_j | X^{j-1} = \mathbf{y}_{1, \dots, j-1}}[y_j] \cdot v(\mathbf{y}) \quad (1) \\ &= \frac{1}{v(\mathbf{y}_{1, \dots, j-1})} \cdot \Pr_{X_j | X^{j-1} = \mathbf{y}_{1, \dots, j-1}}[y_j] \cdot v(\mathbf{y}) \end{aligned}$$

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$$\Pr_{Y^j}[\mathbf{y}] = \Pr_{Y^{j-1}}[\mathbf{y}_{1,\dots,j-1}] \cdot \Pr_{Y_j | Y^{j-1}=\mathbf{y}_{1,\dots,j-1}}[y_j]$$

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$$\begin{aligned}\Pr_{Y_j | Y^{j-1}=\mathbf{y}_{1,\dots,j-1}}[y_j] &= \sum_{\ell=1}^{\infty} (1 - v(\mathbf{y}_{1,\dots,j-1}))^{\ell-1} \cdot \Pr_{X_j | X^{j-1}=\mathbf{y}_{1,\dots,j-1}}[y_j] \cdot v(\mathbf{y}) \quad (1) \\ &= \frac{1}{v(\mathbf{y}_{1,\dots,j-1})} \cdot \Pr_{X_j | X^{j-1}=\mathbf{y}_{1,\dots,j-1}}[y_j] \cdot v(\mathbf{y})\end{aligned}$$

The proof proceeds by induction on  $j$ .

$$\begin{aligned}\Pr_{Y^j}[\mathbf{y}] &= \Pr_{Y^{j-1}}[\mathbf{y}_{1,\dots,j-1}] \cdot \Pr_{Y_j | Y^{j-1}=\mathbf{y}_{1,\dots,j-1}}[y_j] \\ &= \Pr_{X^{j-1}}[\mathbf{y}_{1,\dots,j-1}] \cdot \frac{v(\mathbf{y}_{1,\dots,j-1})}{\varepsilon^{(k)}} \cdot \Pr_{Y_j | Y^{j-1}=\mathbf{y}_{1,\dots,j-1}}[y_j] \quad (\text{i.h.})\end{aligned}$$

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## Ideal “attacker”, variant

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### Lemma 12

$$\Pr \left[ \text{win}(\hat{R}, \hat{N}) \right] \geq 1 - \frac{1}{q}$$

# From ideal to real



## From ideal to real

Let  $\tilde{\mathbf{R}}_i = \tilde{\mathbf{R}}|_{i^*=i}$  and  $\hat{\mathbf{R}}_i := \hat{\mathbf{R}}|_{i^*=i}$  ( $= \hat{\mathbf{R}}$ ).

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$$D(\hat{\mathbf{R}}, \hat{\mathbf{N}} \| \tilde{\mathbf{R}}, \tilde{\mathbf{N}}) \leq \frac{1}{k} \sum_{i \in [k]} D(\hat{\mathbf{R}}_i \| \tilde{\mathbf{R}}_i).$$

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- ▶ Claim 12  $\implies \alpha := \Pr[\text{win}(\hat{\mathbf{R}}, \hat{\mathbf{N}})] \geq 1 - \frac{1}{q}$ , and let  $\beta := \Pr[\text{win}(\tilde{\mathbf{R}}, \tilde{\mathbf{N}})]$ .

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- ▶ We conclude that  $\beta \geq 2^{\frac{4}{k} \log \varepsilon^{(k)}} = \sqrt[k/4]{\varepsilon^{(k)}}. \square$

## Proving Claim 13

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HW...

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### Lemma 15

Let  $Z = \{Z_{ij}\}_{(i,j) \in [k] \times [m]}$  be iids, let  $W$  be an event, and let

$$D_i(z) := \prod_{j=1}^m \Pr[Z_{j,i} = z_{i,j}] \cdot \Pr[Z_{j,-i} = z_{i,j-1} | Z_{1,\dots,j-1} = z_{1,\dots,j-1} \wedge Z_{j,i} = z_{i,j} \wedge W].$$

Then  $\sum_{i=1}^k D(Z|_W || D_i) \leq D(Z|_W || Z)$ .

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Then  $\sum_{i=1}^k D(Z|_W || D_i) \leq D(Z|_W || Z)$ .

Letting  $Z = \mathbf{R}$  and  $W$  be the event  $(\widetilde{P}^{(k)}, V^{(k)}(\mathbf{R})) = 1^k$ , Lemma 15 yields that  $\sum_{i \in [k]} D(\widehat{\mathbf{R}} || \widetilde{\mathbf{R}}_i) = \sum_{i \in [k]} D(\mathbf{R}|_W || \widetilde{\mathbf{R}}_i) \leq D(\mathbf{R}|_W || \mathbf{R}) = D(\widehat{\mathbf{R}} || \mathbf{R})$ .  $\square$

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Then  $\sum_{i=1}^k D(Z|_W || D_i) \leq D(Z|_W || Z)$ .

Letting  $Z = \mathbf{R}$  and  $W$  be the event  $(\widetilde{P}^{(k)}, V^{(k)}(\mathbf{R})) = 1^k$ , Lemma 15 yields that  $\sum_{i \in [k]} D(\widehat{\mathbf{R}} || \widetilde{\mathbf{R}}_i) = \sum_{i \in [k]} D(\mathbf{R}|_W || \widetilde{\mathbf{R}}_i) \leq D(\mathbf{R}|_W || \mathbf{R}) = D(\widehat{\mathbf{R}} || \mathbf{R})$ .  $\square$

Proof: (of Lemma 15) We prove for  $m = k = 2$ .



## Proving Claim 14

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- ▶ We write  $\frac{C(x_1, x_2, y_1, y_1)}{U(x_1, x_2, y_1, y_1)} = \frac{\Pr[X_1 = x_1 | W] \cdot \Pr[Y_1 = y_1 | W, X = (x_1, x_2)]}{\Pr[X_1 = x_1] \cdot \Pr[Y_1 = y_1]} \cdot \frac{\Pr[X_2 = x_2 | W] \cdot \Pr[Y_2 = y_2 | W, X = (x_1, x_2)]}{\Pr[X_2 = x_2] \cdot \Pr[Y_2 = y_2]} \cdot \frac{C(x_1, x_2, y_1, y_1)}{Q(x_1, x_2, y_1, y_1)}$

## Proving **Lemma 15**, cont.

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$$\begin{aligned} D(C||U) = & \mathbb{E}_{(x_1, x_2, y_1, y_2) \leftarrow C} \left[ \log \frac{\Pr[X_1 = x_1 | W] \cdot \Pr[Y_1 = y_1 | W, X = (x_1, x_2)]}{\Pr[X_1 = x_1] \cdot \Pr[Y_1 = y_1]} \right] \\ & + \mathbb{E}_{(x_1, x_2, y_1, y_2) \leftarrow C} \left[ \log \frac{\Pr[X_2 = x_2 | W] \cdot \Pr[Y_2 = y_2 | W, X = (x_1, x_2)]}{\Pr[X_2 = x_2] \cdot \Pr[Y_2 = y_2]} \right] \\ & + \mathbb{E}_{(x_1, x_2, y_1, y_2) \leftarrow C} \left[ \log \frac{C(x_1, x_2, y_1, y_2)}{Q(x_1, x_2, y_1, y_2)} \right]. \end{aligned}$$

## Proving Lemma 15, cont.

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It follows that

$$\begin{aligned} D(C||U) &= D(X_1|W, X_2|W, X_1, Y_1|W, X, Y_2|W, X, Y_1 || X_1, X_2|W, X_1, Y_1, Y_2|W, X, Y_1) \\ &+ D(X_2|W, X_1|W, X_2, Y_2|W, X, Y_1|W, X, Y_2 || X_2, X_1|W, X_2, Y_2, Y_1|W, X, Y_2) \\ &+ D(C||Q), \end{aligned}$$



## Proving Lemma 15, cont.

$$\begin{aligned} D(C||U) = & \mathbb{E}_{(x_1, x_2, y_1, y_2) \leftarrow C} \left[ \log \frac{\Pr[X_1 = x_1|W] \cdot \Pr[Y_1 = y_1|W, X = (x_1, x_2)]}{\Pr[X_1 = x_1] \cdot \Pr[Y_1 = y_1]} \right] \\ & + \mathbb{E}_{(x_1, x_2, y_1, y_2) \leftarrow C} \left[ \log \frac{\Pr[X_2 = x_2|W] \cdot \Pr[Y_2 = y_2|W, X = (x_1, x_2)]}{\Pr[X_2 = x_2] \cdot \Pr[Y_2 = y_2]} \right] \\ & + \mathbb{E}_{(x_1, x_2, y_1, y_2) \leftarrow C} \left[ \log \frac{C(x_1, x_2, y_1, y_2)}{Q(x_1, x_2, y_1, y_2)} \right]. \end{aligned}$$

It follows that

$$\begin{aligned} D(C||U) = & D(X_1|W, X_2|W, X_1, Y_1|W, X, Y_2|W, X, Y_1||X_1, X_2|W, X_1, Y_1, Y_2|W, X, Y_1) \\ & + D(X_2|W, X_1|W, X_2, Y_2|W, X, Y_1|W, X, Y_2||X_2, X_1|W, X_2, Y_2, Y_1|W, X, Y_2) \\ & + D(C||Q), \end{aligned}$$

and the proof follows since  $D(\cdot||\cdot) \geq 0$ .  $\square$

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- ▶ Why fails us to extend this approach for non-public-coin interactive arguments?

## Section 3

# **Parallel amplification for any interactive argument**

# Parallel amplification theorem for any protocol

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- ▶ Can we amplify the security of any interactive argument “in parallel”?



## Parallel amplification theorem for any protocol

- ▶ Can we amplify the security of any interactive argument “in parallel”?
- ▶ Yes we **can**!