

Foundation of Cryptography (0368-4162-01), Lecture 1

Handout Mode

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Section 1

Notation

Notation I

- For $t \in \mathbb{N}$, let $[t] := \{1, \dots, t\}$.
- Given a string $x \in \{0, 1\}^*$ and $0 \leq i < j \leq |x|$, let $x_{i,\dots,j}$ stands for the substring induced by taking the i, \dots, j bit of x (i.e., $x[i] \dots, x[j]$).
- Given a function f defined over a set \mathcal{U} , and a set $\mathcal{S} \subseteq \mathcal{U}$, let $f(\mathcal{S}) := \{f(x) : x \in \mathcal{S}\}$, and for $y \in f(\mathcal{U})$ let $f^{-1}(y) := \{x \in \mathcal{U} : f(x) = y\}$.
- **poly** stands for the set of all polynomials.
- The worst-case running-time of a *polynomial-time algorithm* on input x , is bounded by $p(|x|)$ for some $p \in \text{poly}$.
- A function is *polynomial-time computable*, if there exists a polynomial-time algorithm to compute it.
- PPT stands for probabilistic polynomial-time algorithms.
- A function $\mu : \mathbb{N} \mapsto [0, 1]$ is negligible, denoted $\mu(n) = \text{neg}(n)$, if for any $p \in \text{poly}$ there exists $n' \in \mathbb{N}$ with $\mu(n) \leq 1/p(n)$ for any $n > n'$.

Distribution and random variables I

- The support of a distribution P over a finite set \mathcal{U} , denoted $\text{Supp}(P)$, is defined as $\{u \in \mathcal{U} : P(u) > 0\}$.
- Given a distribution P and an event E with $\Pr_P[E] > 0$, we let $(P \mid E)$ denote the conditional distribution P given E (i.e., $(P \mid E)(x) = \frac{P(x) \wedge E}{\Pr_P[E]}$).
- For $t \in \mathbb{N}$, let U_t denote a random variable uniformly distributed over $\{0, 1\}^t$.
- Given a random variable X , we let $x \leftarrow X$ denote that x is distributed according to X (e.g., $\Pr_{x \leftarrow X}[x = 7]$).
- Given a finite set \mathcal{S} , we let $x \leftarrow \mathcal{S}$ denote that x is uniformly distributed in \mathcal{S} .
- We use the convention that when a random variable appears twice in the same expression, it refers to a *single* instance of this random variable. For instance, $\Pr[X = X] = 1$ (regardless of the definition of X).

Distribution and random variables II

- Given distribution P over \mathcal{U} and $t \in \mathbb{N}$, we let P^t over \mathcal{U}^t be defined by $D^t(x_1, \dots, x_t) = \prod_{i \in [t]} D(x_i)$.
- Similarly, given a random variable X , we let X^t denote the random variable induced by t independent samples from X .

Section 2

One Way Functions

One-Way Functions

Definition 1 (One-Way Functions (OWFs))

A polynomial-time computable function $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ is **one-way**, if

$$\Pr_{x \leftarrow \{0,1\}^n} \left[A(1^n, f(x)) \in f^{-1}(f(x)) \right] = \text{neg}(n)$$

for any PPT A .

polynomial-time computable: there exists a polynomial-time algorithm F , such that $F(x) = f(x)$ for every $x \in \{0, 1\}^*$

PPT : probabilistic polynomial-time algorithm

neg: a function $\mu: \mathbb{N} \mapsto [0, 1]$ is a *negligible* function of n , denoted $\mu(n) = \text{neg}(n)$, if for any $p \in \text{poly}$ there exists $n' \in \mathbb{N}$ such that $\mu(n) < 1/p(n)$ for all $n > n'$

We typically omit 1^n from the input list of A

1 Is this the right definition?

- ▶ Asymptotic
- ▶ Efficiently computable
- ▶ On the average
- ▶ Only against PPT's

2 $\text{OWF} \implies \mathcal{P} \neq \mathcal{NP}$?

3 (most) Crypto implies OWFs

4 Do OWFs imply Crypto?

5 Where do we find them?

6 Non uniform OWFs

Definition 2 (Non-uniform OWF)

A polynomial-time computable function $f : \{0, 1\}^* \mapsto \{0, 1\}^*$ is **non-uniformly one-way**, if

$$\Pr_{x \leftarrow \{0,1\}^n} [C_n(f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$$

for any polynomial-size family of circuits $\{C_n\}_{n \in \mathbb{N}}$.

Length preserving functions

Definition 3 (length preserving functions)

A function $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ is length preserving, if $|f(x)| = |x|$ for every $x \in \{0, 1\}^*$

Theorem 4

Assume that OWFs exist, then there exist length-preserving OWFs

Proof idea: use the assumed OWF to create a length preserving one

Partial domain functions

Definition 5 (Partial domain functions)

For $m, \ell: \mathbb{N} \mapsto \mathbb{N}$, let $h: \{0, 1\}^{m(n)} \mapsto \{0, 1\}^{\ell(n)}$ denote a function defined over input lengths in $\{m(n)\}_{n \in \mathbb{N}}$, and maps strings of length $m(n)$ to strings of length $\ell(n)$.

The definition of one-wayness naturally extends to such functions.

OWFs imply Length Preserving OWFs cont.

Let $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ be a OWF, let $p \in \text{poly}$ be a bound on its computing-time and assume wlg. that p is monotony increasing (can we?).

Construction 6 (the length preserving function)

Define $g: \{0, 1\}^{p(n)} \mapsto \{0, 1\}^{p(n)}$ as

$$g(x) = f(x_1, \dots, x_n), 0^{p(n) - |f(x_1, \dots, x_n)|}$$

Note that g is well defined, length preserving and efficient (why?).

Claim 7

g is one-way.

How can we prove that g is one-way?

Answer: using reduction.

Proving that g is one-way

Proof:

Assume that g is **not** one-way. Namely, there exists PPT A , $q \in \text{poly}$ and **infinite** set $\mathcal{I} \subseteq \{p(n) : n \in \mathbb{N}\}$, with

$$\Pr_{x \leftarrow \{0,1\}^n} [A(y) \in g^{-1}(g(x))] > 1/q(n) \quad (1)$$

for every $n \in \mathcal{I}$.

We show how to use A for inverting f .

Algorithm 8 (The inverter B)

Input: 1^n and $y \in \{0, 1\}^*$

- 1 Let $x = A(1^{p(n)}, y, 0^{p(n)-|y|})$
- 2 Return $x_{1,\dots,n}$

Claim 9

Let $\mathcal{I}' := \{n \in \mathbb{N} : p(n) \in \mathcal{I}\}$. Then

- 1 \mathcal{I}' is infinite
- 2 $\Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] > 1/q(p(n))$ for every $n \in \mathcal{I}'$

This contradicts the assumed one-wayness of f . \square

Proof: (1) is clear, (2)

$$\begin{aligned} & \Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] \\ &= \Pr_{x \leftarrow \{0,1\}^n} [A(1^{p(n)}, f(x), 0^{p(n)-n})_{1,\dots,n} \in f^{-1}(f(x))] \\ &\geq \Pr_{x' \leftarrow \{0,1\}^{p(n)}} [A(1^{p(n)}, g(x)) \in g^{-1}(g(x))] \geq 1/q(p(n)) \end{aligned}$$

Conclusion

Remark 10

- We directly related the hardness of f to that of g
- The reduction is **not** “security preserving”

From partial domain functions to all-length functions

Construction 11

Given a function $f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^{\ell(n)}$, define $f_{\text{all}}: \{0, 1\}^* \mapsto \{0, 1\}^*$ as

$$f_{\text{all}}(x) = f(x_1, \dots, x_k), 0^{n-k}$$

where $n = |x|$ and $k := \max\{\ell(n') \leq n: n' \in [n]\}$.

Clearly, f_{all} is length preserving defined for **every** input length, and efficient (i.e., poly-time computable) in case f and ℓ are.

Claim 12

Assume f and ℓ are efficiently computable, f is one-way, and ℓ satisfies $1 \leq \frac{\ell(n+1)}{\ell(n)} \leq p(n)$ for some $p \in \text{poly}$, then f_{all} is one-way function.

Proof: ?

Weak One Way Functions

Definition 13 (weak one-way functions)

A poly-time computable function $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ is α -one-way, if

$$\Pr_{x \leftarrow \{0,1\}^n} \left[A(1^n, f(x)) \in f^{-1}(f(x)) \right] \leq \alpha(n)$$

for any PPT A and large enough $n \in \mathbb{N}$.

- 1 (strong) OWF according to Definition 1, are $\text{neg}(n)$ -one-way according to the above definition
- 2 Can we “amplify” weak OWF to strong ones?

Strong to weak OWFs

Claim 14

Assume there exists OWFs, then there exist functions that are $\frac{2}{3}$ -one-way, but not (strong) one-way

Proof: For a OWF f , let

$$g(x) = \begin{cases} (1, f(x)), & x_1 = 1; \\ 0, & \text{otherwise.} \end{cases}$$

Weak to Strong OWFs

Theorem 15

Assume there exists $(1 - \alpha)$ -weak OWFs with $\alpha(n) > 1/p(n)$ for some $p \in \text{poly}$, then there exists (strong) one-way functions.

Proof: we assume wlg that f is length preserving (why can we do so?)

Construction 16 (g – the strong one-way function)

Let $t: \mathbb{N} \mapsto \mathbb{N}$ be a poly-time computable function satisfying $t(n) \in \omega(\log n / \alpha(n))$. Define $g: (\{0, 1\}^n)^{t(n)} \mapsto (\{0, 1\}^n)^{t(n)}$ as

$$g(x_1, \dots, x_t) = f(x_1), \dots, f(x_t)$$

Claim 17

g is one-way.

Proving that g is one-way – the naive approach

Let A be a potential inverter for g , and assume that A tries to attacks each of the t outputs of g **independently**. Then

$$\Pr_{x \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(x)) \in g^{-1}(g(x))] \leq (1 - \alpha(n))^{t(n)} \leq e^{-\omega(\log n)} = \text{neg}(n)$$

A less naive approach would be to assume that A goes over output **sequentially**.

Unfortunately, we can assume **none** of the above.

Any idea?

Failing Sets

Definition 18 (failing set)

A function $f: \{0, 1\}^n \mapsto \{0, 1\}^{\ell(n)}$ has a (δ, ε) -failing set for algorithm A , if for large enough n , exists set $\mathcal{S} = \mathcal{S}(n) \subseteq \{0, 1\}^{\ell(n)}$ with

- 1 $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \delta(n)$, and
- 2 $\Pr[A(y) \in f^{-1}(y)] < \varepsilon(n)$, for every $y \in \mathcal{S}$

Claim 19

Let f be a $(1 - \alpha)$ -OWF. Then f has $(\alpha/2, 1/p)$ -failing set for any PPT A and $p \in \text{poly}$.

Proof: Assume \exists PPT A , $p \in \text{poly}$ and an infinite set $\mathcal{I} \subseteq \mathbb{N}$ such that for every $n \in \mathcal{I}$, $\exists \mathcal{L} \subseteq \{0, 1\}^n$ with

- 1 $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{L}] \geq 1 - \alpha(n)/2$, and
- 2 $\Pr[A(y) \in f^{-1}(y)] \geq 1/p(n)$, for every $y \in \mathcal{L}$

We'll use A to contradict the hardness of f .

Using A to invert f

Algorithm 20 (The inverter B)

Input: $y \in \{0, 1\}^n$.

Do (with fresh randomness) for $n \cdot p(n)$ times:

If $x = A(y) \in f^{-1}(y)$, return x

Clearly, B is a PPT

Claim 21

For every large enough $n \in \mathcal{I}$, it holds that

$$\Pr_{x \leftarrow \{0,1\}^n} [B(f(x)) \in f^{-1}(f(x))] > 1 - \alpha(n)$$

Hence, f is not $(1 - \alpha)$ -one-way \square

Proof: [of Claim 21]

All probabilities below are also over $y \leftarrow f(x); x \leftarrow \{0, 1\}^n$:

$$\begin{aligned} & \Pr[B(y) \in f^{-1}(y)] \\ & \geq \Pr[B(y) \in f^{-1}(y) \wedge y \in \mathcal{L}(n)] \\ & = \Pr[y \in \mathcal{L}(n)] \cdot \Pr[B(y) \in f^{-1}(y) \mid y \in \mathcal{L}(n)] \\ & \geq (1 - \alpha(n)/2) \cdot (1 - (1 - 1/p(n))^{np(n)}) \\ & \geq (1 - \alpha(n)/2) \cdot (1 - 2^{-n}) > 1 - \alpha(n), \end{aligned}$$

for large enough n . ♣

Proving that g is one-way

We show that if g is not OWF, then f has no flailing-set of the “right” type.

Claim 22

Assume \exists PPT A , $p \in \text{poly}$ and an infinite set $\mathcal{I} \subseteq \mathbb{N}$ s.t.

$$\Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(x)) \in g^{-1}(g(w))] \geq 1/p(n) \quad (2)$$

for every $n \in \mathcal{I}$. Then \exists PPT B and $q \in \text{poly}$ s.t.

$$\Pr_{y \leftarrow \mathcal{S}} [B(y) \in f^{-1}(y)] \geq 1/q(n) \quad (3)$$

for every $n \in \mathcal{I}$ and $\mathcal{S} \subseteq \{0,1\}^n$ with $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{S}] \geq \alpha(n)/2$.

Namely, f does not have a $(\alpha/2, 1/q)$ -failing set.

Algorithm B

Algorithm 23 (No failing-set algorithm B)

Input: $y \in \{0, 1\}^n$.

- 1 Choose $w \leftarrow \{0, 1\}^{t(n) \cdot n}$, $z = (z_1, \dots, z_t) = g(w)$ and $i \leftarrow [t]$
- 2 Set $z' = (z_1, \dots, z_{i-1}, y, z_{i+1}, \dots, z_t)$
- 3 Return $A(z')_i$

Fix $n \in \mathcal{I}$ and a set $\mathcal{S} \subseteq \{0, 1\}^n$ with $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \alpha(n)/2$. We analyze B's success probability with respect to \mathcal{S} , using the following (unrealistic) algorithm $B_{\mathcal{S}}$:

Algorithm B_S

Definition 24 (Bad)

For $z = (z_1, \dots, z_t) \in \text{Im}(g)$ (the image of g), we set $\text{Bad}(z) = 1$ iff $\nexists i \in [t]$ with $z_i \in S$.

B_S differ from B in the way it chooses z' : in case $\text{Bad}(z) = 1$, it sets $z' = z$ and *aborts*. Otherwise, it sets i to the **first** index $j \in [t]$ with $z_j \in S$, and sets z' as B does with respect to this i .

Claim 25

$$\Pr_{x \leftarrow \{0,1\}^n; y=f(x)} [B_S(y) \in f^{-1}(y) \mid y \in S] \geq \frac{1}{p(n)} - \text{neg}(n),$$

Therefore,

$$\Pr_{x \leftarrow \{0,1\}^n; y=f(x)} [B(y) \in f^{-1}(y) \mid y \in S] \geq \frac{1}{t(n)p(n)} - \text{neg}(n). \square$$

Claim 25 follows from the following two claims,

Claim 26

$$\Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}}[\text{Bad}(g(w))] = \text{neg}(n)$$

Claim 27

- Let $Z = g(W)$ for $W \leftarrow \{0,1\}^{t(n) \cdot n}$
- Let Z' be the value of z' induced by a random execution of $B_S(f(X))$, for $X \leftarrow \{0,1\}^n \mid f(X) \in \mathcal{S}$.

Then Z and Z' are **identically** distributed.

The above claims imply **Claim 25**.

$$\begin{aligned}\Pr_{x \leftarrow \{0,1\}^n; y=f(x)}[B_S(y) \in f^{-1}(y) \mid y \in S] &= \Pr[A(Z') \in g^{-1}(Z') \wedge \neg \text{Bad}(Z')] \\ &= \Pr[A(Z) \in g^{-1}(Z) \wedge \neg \text{Bad}(Z)]\end{aligned}$$

and

$$\Pr[A(Z) \in g^{-1}(Z)] \leq \Pr[A(Z) \in g^{-1}(Z) \wedge \neg \text{Bad}(Z)] + \Pr[\text{Bad}(Z)]$$

It follows that

$$\begin{aligned}\Pr_{x \leftarrow \{0,1\}^n; y=f(x)}[B_S(y) \in f^{-1}(y) \mid y \in S] &\geq \Pr[A(Z) \in g^{-1}(Z)] - \text{neg}(n) \\ &\geq \frac{1}{p(n)} - \text{neg}(n). \square\end{aligned}$$

Proof of **Claim 26**?

Proof of **Claim 27**: Let $\beta = \Pr_{x \leftarrow \{0,1\}^n}[f(x) \in \mathcal{S}]$ and consider the following awkward method to sample according to Z

Algorithm 28 (P)

- 1 Sample $\ell_1, \dots, \ell_{t(n)}$, each taking the value 1 with β .
- 2 Output $z_1, \dots, z_{t(n)}$, where z_i is sampled according to

$$\begin{cases} f(x) \mid x \leftarrow \{0,1\}^n, f(x) \in \mathcal{S}, & \ell_i = 1; \\ f(x) \mid x \leftarrow \{0,1\}^n, f(x) \notin \mathcal{S}, & \text{otherwise.} \end{cases}$$

The process for sampling Z' can be described as follows:

- 1 Choose $\ell_1, \dots, \ell_{t(n)}$ and $z_1, \dots, z_{t(n)}$ according to P
- 2 **Resample** z_i for some i with $\ell_i = 1$ (if such exists)

Hence, Z' has the same distribution as of P, and hence as of Z . \square

Remark 29 (hardness amplification via parallel repetition)

- Can we give a more efficient (secure) reduction?
 - Similar theorems for other cryptographic primitives (e.g., Captchas, general protocols)?
- What properties of the weak OWF have we used in the proof?