

Application of Information Theory, Lecture 12

Accessible Entropy and Statistically Hiding Commitments

Handout Mode

Iftach Haitner

Tel Aviv University.

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Section 1

Commitment Schemes

Motivation

- ▶ Digital analogue of a safe
- ▶ Numerous applications (e.g., zero-knowledge, coin-flipping, secure computations,)

Definition

Definition 1 (Commitment scheme)

An efficient two-stage protocol (S, R) .

- ▶ Commit stage: The sender S has private input $\sigma \in \{0, 1\}^*$ and the common input is 1^n . The commitment stage results in a **joint** output c , the **commitment**, and a **private** output d of S , the **decommitment**.
- ▶ Reveal stage: S sends the pair (d, σ) to R , and R either **accepts** or **rejects**.

Completeness: R always accepts in an honest execution.

Hiding: In commit stage: for **any** R^* and equal length $\sigma, \sigma' \in \{0, 1\}^*$, $\Delta^{R^*}((S(\sigma), R^*)(1^n), (S(\sigma'), R^*)(1^n)) = \text{neg}(n)$.

Binding: The following happens with negligible prob. for **any** S^* :

$S^(1^n)$ interacts with $R(1^n)$ in the commit stage resulting in a commitment c . Then S^* outputs two pairs (d, σ) and (d', σ') with $\sigma \neq \sigma'$ and $R(c, d, \sigma) = R(c, d', \sigma') = \text{Accept}$.*

Definition cont.

- ▶ Negligible function: $\mu: \mathbb{N} \mapsto \mathbb{N}$ is **negligible**, if for any $p \in \text{poly}$ $\exists n_p \in \mathbb{N}$ s.t. $\frac{1}{p(n)} < \mu(n)$ for all $n > n_p$.
- ▶ Hiding: Perfect, statistical, computational.
- ▶ Binding: Perfect, statistical, computational.
- ▶ Impossible to have simultaneously both properties to be statistical.
- ▶ OWF is necessary assumption
- ▶ Suffices to construct “bit commitments”
- ▶ OWFs imply both statistically binding and computationally hiding commitments, and (more difficult) computationally binding and statistically hiding commitments.
- ▶ We focus on computationally binding, and statistically hiding commitments (SHC)
- ▶ Canonical decommitment: d is S 's coin and c is protocol's transcript of the commit stage, and decommitment verifies consistency.
- ▶ We will focus on constructing the commit algorithm

Section 2

Inaccessible Entropy

Motivation

Definition 2 (collision resistant hash family (CRH))

A function family $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^n \mapsto \{0, 1\}^{n/2}\}$ is **collision resistant**, if \forall PPT A

$$\Pr_{\substack{h \leftarrow \mathcal{H}_n \\ (x, x') \leftarrow A(1^n, h)}} [x \neq x' \in \{0, 1\}^* \wedge h(x) = h(x')] = \text{neg}(n)$$

- ▶ Implies SHC. (?) Believed **not** to be implied by OWFs.
- ▶ Assume for simplicity that $h \in \mathcal{H}_n$ is $2^{n/2}$ to 1 and that a PPT cannot find a collision in any $h \in \mathcal{H}_n$
- ▶ Given $h(U_n)$, the (min) entropy of U_n is $n/2$.
- ▶ Consider PPT A that on input h first outputs h, y , and then outputs $x \in h^{-1}(y)$ (possibly using additional random coins)
- ▶ What is the entropy of x given (h, y) and the coins A 's used to sample y ? (essentially) 0!
- ▶ The generator $G(h, x) = (h, h(x), x)$ has **inaccessible entropy** $n/2$
- ▶ Does inaccessible entropy generator implies SHC?
- ▶ Does OWF implies inaccessible entropy generator?

Real entropy

- ▶ Sample entropy: for rv X let $H_X(x) = -\log \Pr_X[x]$.
- ▶ $H(X) = \mathbb{E}_{x \leftarrow X} [H_X(x)]$
- ▶ Let $G: \{0, 1\}^n \mapsto (\{0, 1\}^\ell)^m$ be an m -block generator and let $(G_1, \dots, G_m) = G(U_n)$
- ▶ For $\mathbf{g} = (g_1, \dots, g_m) \in \text{Supp}(G_1, \dots, G_m)$, let

$$\text{RealH}_G(\mathbf{g}) = \sum_{i \in [m]} H_{G_i|G_1, \dots, G_{i-1}}(g_i|g_1, \dots, g_{i-1})$$

- ▶ The **real Shannon entropy** of G is $\mathbb{E}_{\mathbf{g} \leftarrow G(U_n)} [\text{RealH}_G(\mathbf{g})]$
- ▶ $\mathbb{E}_{\mathbf{g} \leftarrow G(U_n)} [\text{RealH}_G(\mathbf{g})] = \sum_{i \in [m]} H(G_i|G_1, \dots, G_{i-1}) = H(G(U_n))$
- ▶ In the actual construction, we sometimes measure the (real) entropy of some of the output blocks.

Accessible entropy

- ▶ Let G be an m block generator
- ▶ Let \tilde{G} be an m -block generator, that uses coins r_i before outputting its i 'th block (w_i, g_i) .
- ▶ $t = (r_1, w_1, g_1, \dots, r_m, w_m, g_m)$ is **valid** with respect to G , if $(g_1, \dots, g_i) = G(w_i)_{1, \dots, i}$ for every $i \in [m]$.
- ▶ We will assume for simplicity that the string \mathbf{t} in consideration is **always** valid, and omit the w 's from the notation.
- ▶ Let $\tilde{T} = (\tilde{R}_1, \tilde{G}_1, \dots, \tilde{R}_m, \tilde{G}_m)$ be the rv's induced by random execution of \tilde{G}
- ▶
$$\begin{aligned}\text{AccH}_{G, \tilde{G}}(\mathbf{t}) &= \sum_{i \in [m]} H_{\tilde{G}_i | \tilde{R}_1, \tilde{G}_1, \dots, \tilde{R}_{i-1}, \tilde{G}_{i-1}}(g_i | r_1, g_1, \dots, r_{i-1}, g_{i-1}) \\ &= \sum_{i \in [m]} H_{\tilde{G}_i | \tilde{R}_1, \dots, \tilde{R}_{i-1}}(g_i | r_1, \dots, r_{i-1})\end{aligned}$$
- ▶ The **accessible entropy** of \tilde{G} (with respect to G) is at most k , if $\Pr_{\mathbf{t} \leftarrow \tilde{T}} [\text{AccH}_{G, \tilde{G}}(\mathbf{t}) > k] \leq \text{neg}(n)$. Why not $E_{\mathbf{t} \leftarrow \tilde{T}} [\text{AccH}_{G, \tilde{G}}(\mathbf{t})]$?
- ▶ G has **inaccessible entropy** d , if the accessible entropy of any PPT \tilde{G} is smaller be at least d than its real entropy

Example

- ▶ Let $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^n \mapsto \{0, 1\}^{n/2}\}$ be 2^n -to-1 collision resistant, and assume for simplicity that a PPT cannot find a collision for any $h \in \mathcal{H}_n$.
- ▶ Let G be the 3-block generator $G(h, x) = (h, h(x), x)$
- ▶ Real entropy of G is $\log |\mathcal{H}_n| + n$
- ▶ Accessible entropy of G is $\log |\mathcal{H}_n| + \frac{n}{2}$

Section 3

Manipulating Inaccessible Entropy

Entropy equalization

Let G be m -bit generator.

For $\ell \in \text{poly}$ let $G^{\otimes \ell}$ be the following $(\ell - 1) \cdot m$ -bit generator

$$G^{\otimes \ell}(x_1, \dots, x_\ell, i) = G(x_1)_i, \dots, G(x_1)_m, \dots, G(x_\ell)_1, \dots, G(x_\ell)_{i-1}$$

- ▶ Assume the accessible entropy of G is (at most) k_A , then $k_A^{\otimes \ell}$, the accessible entropy of $G^{\otimes \ell}$, is at most $k(\ell - 2) + m$.
- ▶ Assume the real entropy of G is k_R , then
 1. $k_R^{\otimes \ell}$, the real entropy of $G^{\otimes \ell}$, is at least $k_R^{\otimes \ell} = (\ell - 1)K_R$
 2. For any $i \in [(\ell - 1) \cdot m]$ and $(g_1, \dots, g_{i-1}) \in \text{Supp}(G_1^{\otimes \ell}, \dots, G_{i-1}^{\otimes \ell})$:

$$H(G_i^{\otimes \ell} | G_1^{\otimes \ell}, \dots, G_{i-1}^{\otimes \ell}) = k/\ell$$

- ▶ Assume $k_R \geq k_A + 1$, then for $\ell = m + 2$, it holds that $k_R^{\otimes \ell} \geq k_A^{\otimes \ell} + 1$

Gap amplification and conversion to min entropy

Let G be an m -block generator and for $\ell \in \text{poly}$, let G^ℓ be the ℓ -fold parallel repetition of G .

- ▶ Assume accessible entropy of G is (at most) k_A , then the accessible entropy of G is at most $k_A^\ell = \ell \cdot k_A$.
- ▶ Assume $H(G_i | G_1, \dots, G_{i-1}) = k_R$ for any $i \in [m]$, then for any $i \in [m]$ and $(g_1^\ell, \dots, g_{i-1}^\ell) \in \text{Supp}(G_1^\ell, \dots, G_{i-1}^\ell)$ it holds that

$$k_{\min}^\ell = H_\infty(G_i^\ell | G_1^\ell, \dots, G_{i-1}^\ell) \approx \ell k_R$$

- ▶ If $k_A \leq k_R - 1$, then $\forall n \in \text{poly} \exists \ell \in \text{poly}$ such that $\ell k_{\min}^\ell > k_A^\ell + n$

Section 4

Inaccessible Entropy from OWF

The generator

Definition 3

Given a function $f: \{0, 1\}^n \mapsto \{0, 1\}^n$, let G be the $(n + 1)$ -block generator $f(x)_1, \dots, f(x)_n, x$.

Lemma 4

Assume that f is a OWF then G has accessible entropy at most $n - \log n$.

- ▶ Recall f is OWF if $\Pr_{x \leftarrow \{0, 1\}^n} [\text{Inv}(f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$ for any PPT Inv .
- ▶ The real entropy of G is n
- ▶ Hence, inaccessible entropy gap is $\log n$
- ▶ Proof idea

Proving Lemma 4

Let \tilde{G} be a PPT, and assume $\Pr[\text{AccH}_{G,\tilde{G}}(\tilde{T}) \geq n - \log n] \geq \varepsilon = \frac{1}{\text{poly}(n)}$.
(recall $\tilde{T} = (\tilde{R}_1, \tilde{G}_1, \dots, \tilde{R}_m, \tilde{G}_m)$ is the coins and output blocks of \tilde{G})

Algorithm 5 ($\text{Inv}(z)$)

1. For $i = 1$ to n , do the following for n^2/ε times:
 - 1.1 Sample r_i uniformly at random and let g_i be the i 'th output block of $\tilde{G}(r_1, \dots, r_i)$.
 - 1.2 If $g_i = z_i$, move to next value of i .
 - 1.3 Abort, if the maximal number of attempts is reached.
2. Finish the execution of $\tilde{G}(r_1, \dots, r_{n+1})$, and output its $(n+1)$ output block.

Let $\hat{T} = (\hat{R}_1, \hat{G}_1, \dots, \hat{R}_{n+1}, \hat{G}_{n+1})$ be the (final) values of $(r_1, g_1, \dots, r_{n+1}, g_{n+1})$ in a random execution of $\text{Inv}(f(U_n))$.

We start by assuming that Inv is unbounded (i.e., the test on Line 1.3 is removed)

\tilde{T} vs. \hat{T}

Fix $\mathbf{t} = (r_1, g_1, \dots, r_{n+1}, g_{n+1}) \in \text{Supp}(\tilde{T})$

- ▶ Let $P(\mathbf{t}) = \prod_{i=1}^{n+1} \Pr[\tilde{R}_i = r_i | (\tilde{R}_1, \dots, \tilde{R}_{i-1}, \tilde{G}_i) = (r_1, \dots, r_{i-1}, g_i)]$
- ▶

$$\begin{aligned} \Pr_{\tilde{T}}[t] &= \Pr[\tilde{G}_1 = g_1] \cdot \Pr[\tilde{R}_1 = r_1 | \tilde{G}_1 = g_1] \cdot \Pr[\tilde{G}_2 = g_2 | \tilde{R}_1 = r_1] \cdot \Pr[\tilde{R}_2 = r_2 | \tilde{G}_2 = g_2] \dots \\ &= 2^{-\sum_{i=1}^m H_{\tilde{G}_i | \tilde{R}_1, \dots, \tilde{R}_{i-1}}(g_i | r_1, \dots, r_{i-1})} \cdot P(\mathbf{t}) \\ &= 2^{-\text{AccH}_{G, \tilde{G}}(\mathbf{t})} \cdot P(\mathbf{t}) \end{aligned}$$

- ▶ $\Pr_{\hat{T}}[\mathbf{t}] = \Pr[f(U_n) = (g_1, \dots, g_n)] \cdot \Pr[\tilde{G}_{n+1} = g_{n+1}] \cdot P(\mathbf{t})$
- ▶ For \mathbf{t} with $\text{AccH}_{G, \tilde{G}}(\mathbf{t}) \geq n - \log n$ and $\Pr[\tilde{G}_{n+1} = g_{n+1}] \geq \frac{\alpha}{|f^{-1}(g_1, \dots, g_n)|}$:

$$\Pr_{\tilde{T}}[t] \geq \frac{\alpha}{n} \cdot \Pr_{\hat{T}}[t] \tag{1}$$

Inv's success probability

Let $\mathcal{S} \subseteq \text{Supp}(\tilde{T})$ denote the set of transcripts $\mathbf{t} = (r_1, g_1, \dots, r_{n+1}, g_{n+1})$ with

1. $\text{AccH}_{\tilde{G}, \tilde{G}}(\mathbf{t}) \geq n - \log n$,
2. $H_{\tilde{G}_i | \tilde{G}_1, \dots, \tilde{G}_{i-1}}(g_i | g_1, \dots, g_{i-1}) \leq \log(\frac{4n}{\varepsilon})$ for all $i \in [n]$,
3. $H_{\tilde{G}_{n+1} | \tilde{G}_1, \dots, \tilde{G}_n}(g_{n+1} | g_1, \dots, g_n) \leq \log(\frac{4}{\varepsilon} \cdot |f^{-1}(g_1, \dots, g_n)|)$.

- ▶ $\Pr_{\tilde{T}} \left[\exists i \in [n]: H_{\tilde{G}_i | \tilde{G}_1, \dots, \tilde{G}_{i-1}}(g_i | g_1, \dots, g_{i-1}) > \log(\frac{4n}{\varepsilon}) \right] \leq n \cdot \frac{\varepsilon}{4n} = \varepsilon/4$
- ▶ $\Pr_{\tilde{T}} \left[H_{\tilde{G}_{n+1} | \tilde{G}_1, \dots, \tilde{G}_n}(g_{n+1} | g_1, \dots, g_n) > \log(\frac{4}{\varepsilon}) \right] \leq \varepsilon/4$
- ▶ $\Pr_{\tilde{T}}[\mathcal{S}] \geq \Pr[\text{AccH}_{\tilde{G}, \tilde{G}}(T) \geq n - \log n] - 2 \cdot \frac{\varepsilon}{4} \geq \frac{\varepsilon}{2}$
- ▶ By Eq. (1): $\Pr_{\tilde{T}}[\mathcal{S}] \geq \frac{\varepsilon^2}{8n}$

Back the **bounded** version of **Inv**.

- ▶ For $z \in \{0, 1\}^n$ for which $\exists (r_1, z_1, \dots, r_n, z_n, \dots) \in \mathcal{S}$:
 $\Pr[\text{Inv}(z) \text{ aborts}] \leq n \cdot (1 - \frac{\varepsilon}{2n})^{n^2/\varepsilon} = O(n \cdot 2^{-n}) \leq \frac{1}{2}$
- ▶ Hence, $\Pr_{\tilde{T}}[\mathcal{S}] \geq \frac{\varepsilon^2}{16n} \implies \Pr_{x \leftarrow \{0,1\}^n} [\text{Inv}(f(x)) \in f^{-1}(f(x))] \geq \frac{\varepsilon^2}{16n}$

Section 5

Statistically Hiding Commitment from Inaccessible Entropy Generator

High-level description

- ▶ Entropy equalization + gap amplification to get generator that has the **same** min-entropy in each block and whose accessible entropy is n -bit smaller than the sum of the min entropies.
- ▶ Use universal hashing to get a “generator” with **zero** accessible entropy block
- ▶ Use target-collision-resistant hash family (a non-interactive cryptographic tool implied by OWF) to get **weakly binding** SHC
- ▶ Amplify the above into full-fledged SHC

Hashing protocol

Let $\mathcal{T} \subseteq \{0, 1\}^\ell$ be 2^k -size set.

Let \mathcal{H}^1 be ℓ -wise independent family mapping ℓ -bit strings to k -bit strings

Let \mathcal{H}^2 be 2-universal family mapping ℓ -length strings to n -bit strings

Protocol 6 ((S, R))

1. S selects $x \in \mathcal{T}$
2. R sends $h^1 \leftarrow \mathcal{H}^1$ to S
3. S sends $y^1 = h^1(x)$ to R
4. R sends $h^2 \leftarrow \mathcal{H}^2$ to S
5. S sends $y^2 = h^2(x)$ to R

Let \tilde{S} be an arbitrary algorithm and let Y^1, Y^2, H^1, H^2 be value of y^1, y^2, h^1, h^2 in a random execution of (\tilde{S}, R) .

Claim 7

$$\Pr[\exists x \neq x' \in \mathcal{T}: H^1(x) = H^1(x') = Y^1 \wedge H^2(x) = H^2(x') = Y^2] \in 2^{-\Omega(n)}.$$

Proof: ? Can we do it in a single round?

“Generator” with zero accessible entropy block

Let G be m -block generator of block size ℓ and input length s . Let \mathcal{H}^1 be ℓ -wise function family mapping ℓ -bit strings of k -bit strings. Let \mathcal{H}^2 be 2-universal function family mapping ℓ -bit strings to n -bit strings.

Protocol 8 ($G' = (S, R)$)

S sets $x \leftarrow \{0, 1\}^s$

For $i = 1$ to m :

1. R sends $h_i^1 \leftarrow \mathcal{H}^1$ to S
2. S sends $y_i^1 = h_i^1(G(x)_i)$ to R
3. R sends $h_i^2 \leftarrow \mathcal{H}^2$ to S
4. S sends $y_i^2 = h_i^2(G(x)_i)$ to R
5. S sends $g_i = G(x)_i$ to R

- ▶ We view G' as an m -block “interactive generator” (the blocks are g_1, \dots, g_m).
- ▶ Assume the blocks of G has real min-entropy $(k + n + t)$, then the blocks of G' has real min-entropy roughly t
- ▶ Assume G has accessible entropy mk , then w.p. $1 - \text{negl}(n)$ in an execution of G' exists block with accessible entropy 0:

$H_{\tilde{G}_i | \tilde{R}_1, \dots, \tilde{R}_{i-1}, H_1, \dots, H_i, Y_i}(g_i | r_1, \dots, r_{i-1}, (h_1^1, h_1^2), \dots, (h_i^1, h_i^2), (y_i^1, y_i^2)) = 0$, where H_i / Y_i are the values of $(h_i^1, h_i^2) / (y_i^1, y_i^2)$ in random execution of \tilde{G} .

Target collision-resistant functions

Definition 9 (target collision-resistant functions (TCR))

A function family $\mathcal{H} = \{\mathcal{H}_n\}$ is **target collision resistant**, if

$$\Pr_{(x,a) \leftarrow A_1(1^n); h \leftarrow \mathcal{H}_n; x' \leftarrow A_2(a,h)} [x \neq x' \wedge h(x) = h(x')] = \text{neg}(n)$$

for any pair of PPT's A_1, A_2 .

Relaxed variant of collision resistant.

Theorem 10

OWFs imply efficient compressing TCRs.

Weakly binding statistically hiding commitment

Let G be m -block generator of block size ℓ and input length s . Let \mathcal{H} be a TCR family mapping strings of length ℓ to string of length k . Let \mathcal{G} be 2-universal Boolean function family over strings of length ℓ .

Protocol 11 ($\text{Com} = (\text{S}(\sigma), \text{R})$)

S sets $x \leftarrow \{0, 1\}^s$ and R sets $i^* \leftarrow [m]$

For $i = 1$ to m :

1. R sends $h_i \leftarrow \mathcal{H}$ to S
2. S sends $y_i = h_i(G(x)_i)$ to R
3. If $i = i^*$:
 - 3.1 R sends $g \leftarrow \mathcal{G}$ to S
 - 3.2 S sends $g(G(x)_i) \oplus \sigma$ to R
 - 3.3 Parties **stop** the execution.

- ▶ Assume the blocks of G has real min entropy $(k + n)$, then Com is statistically hiding
- ▶ Assume G has a zero entropy block, then Com is $\frac{1}{m}$ binding. Proof:
 1. For some $i \in [m]$, cheating $\tilde{\text{S}}$ must send hash of zero-entropy block.
 2. If $i^* = i$, we have binding

Remarks

- ▶ OWF over n bits implies $\Theta(n)$ -round SHC
- ▶ Can be pushed to $\Theta(n/\log n)$ rounds
- ▶ Tight (at least for certain type of reductions)