

# Foundation of Cryptography (0368-4162-01), Lecture 1

## One-Way Functions

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# Section 1

## **Notation**

## Notation I

- For  $t \in \mathbb{N}$ , let  $[t] := \{1, \dots, t\}$ .
- Given a string  $x \in \{0, 1\}^*$  and  $0 \leq i < j \leq |x|$ , let  $x_{i,\dots,j}$  stands for the substring induced by taking the  $i, \dots, j$  bit of  $x$  (i.e.,  $x[i] \dots, x[j]$ ).
- Given a function  $f$  defined over a set  $\mathcal{U}$ , and a set  $\mathcal{S} \subseteq \mathcal{U}$ , let  $f(\mathcal{S}) := \{f(x) : x \in \mathcal{S}\}$ , and for  $y \in f(\mathcal{U})$  let  $f^{-1}(y) := \{x \in \mathcal{U} : f(x) = y\}$ .
- **poly** stands for the set of all polynomials.
- The worst-case running-time of a *polynomial-time algorithm* on input  $x$ , is bounded by  $p(|x|)$  for some  $p \in \text{poly}$ .
- A function is *polynomial-time computable*, if there exists a polynomial-time algorithm to compute it.
- PPT stands for probabilistic polynomial-time algorithms.
- A function  $\mu : \mathbb{N} \mapsto [0, 1]$  is negligible, denoted  $\mu(n) = \text{neg}(n)$ , if for any  $p \in \text{poly}$  there exists  $n' \in \mathbb{N}$  with  $\mu(n) \leq 1/p(n)$  for any  $n > n'$ .

## Distribution and random variables I

- The support of a distribution  $P$  over a finite set  $\mathcal{U}$ , denoted  $\text{Supp}(P)$ , is defined as  $\{u \in \mathcal{U} : P(u) > 0\}$ .
- Given a distribution  $P$  and an event  $E$  with  $\Pr_P[E] > 0$ , we let  $(P \mid E)$  denote the conditional distribution  $P$  given  $E$  (i.e.,  $(P \mid E)(x) = \frac{P(x) \wedge E}{\Pr_P[E]}$ ).
- For  $t \in \mathbb{N}$ , let  $U_t$  denote a random variable uniformly distributed over  $\{0, 1\}^t$ .
- Given a random variable  $X$ , we let  $x \leftarrow X$  denote that  $x$  is distributed according to  $X$  (e.g.,  $\Pr_{x \leftarrow X}[x = 7]$ ).
- Given a finite set  $\mathcal{S}$ , we let  $x \leftarrow \mathcal{S}$  denote that  $x$  is uniformly distributed in  $\mathcal{S}$ .
- We use the convention that when a random variable appears twice in the same expression, it refers to a *single* instance of this random variable. For instance,  $\Pr[X = X] = 1$  (regardless of the definition of  $X$ ).

## Distribution and random variables II

- Given distribution  $P$  over  $\mathcal{U}$  and  $t \in \mathbb{N}$ , we let  $P^t$  over  $\mathcal{U}^t$  be defined by  $D^t(x_1, \dots, x_t) = \prod_{i \in [t]} D(x_i)$ .
- Similarly, given a random variable  $X$ , we let  $X^t$  denote the random variable induced by  $t$  independent samples from  $X$ .

## Section 2

# One Way Functions

# One-Way Functions

## Definition 1 (One-Way Functions (OWFs))

A polynomial-time computable function  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  is **one-way**, if

$$\Pr_{x \leftarrow \{0,1\}^n} \left[ A(1^n, f(x)) \in f^{-1}(f(x)) \right] = \text{neg}(n)$$

for any PPT  $A$ .

**polynomial-time computable:** there exists a polynomial-time algorithm  $F$ , such that  $F(x) = f(x)$  for every  $x \in \{0, 1\}^*$

**PPT** : probabilistic polynomial-time algorithm

**neg:** a function  $\mu: \mathbb{N} \mapsto [0, 1]$  is a *negligible* function of  $n$ , denoted  $\mu(n) = \text{neg}(n)$ , if for any  $p \in \text{poly}$  there exists  $n' \in \mathbb{N}$  such that  $\mu(n) < 1/p(n)$  for all  $n > n'$

We typically omit  $1^n$  from the input list of  $A$

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6 Non uniform OWFs

## Definition 2 (Non-uniform OWF)

A polynomial-time computable function  $f : \{0, 1\}^* \mapsto \{0, 1\}^*$  is **non-uniformly one-way**, if

$$\Pr_{x \leftarrow \{0,1\}^n} [C_n(f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$$

for any polynomial-size family of circuits  $\{C_n\}_{n \in \mathbb{N}}$ .

# Length preserving functions

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A function  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  is length preserving, if  $|f(x)| = |x|$  for every  $x \in \{0, 1\}^*$

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## Theorem 4

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## Theorem 4

*Assume that OWFs exist, then there exist length-preserving OWFs*

Proof idea: use the assumed OWF to create a length preserving one

# Partial domain functions

## Definition 5 (Partial domain functions)

For  $m, \ell: \mathbb{N} \mapsto \mathbb{N}$ , let  $h: \{0, 1\}^{m(n)} \mapsto \{0, 1\}^{\ell(n)}$  denote a function defined over input lengths in  $\{m(n)\}_{n \in \mathbb{N}}$ , and maps strings of length  $m(n)$  to strings of length  $\ell(n)$ .

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The definition of one-wayness naturally extends to such functions.

## OWFs imply Length Preserving OWFs cont.

Let  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  be a OWF, let  $p \in \text{poly}$  be a bound on its computing-time and assume wlg. that  $p$  is monotony increasing (can we?).



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### Construction 6 (the length preserving function)

Define  $g: \{0, 1\}^{p(n)} \mapsto \{0, 1\}^{p(n)}$  as

$$g(x) = f(x_1, \dots, x_n), 0^{p(n) - |f(x_1, \dots, x_n)|}$$

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Note that  $g$  is well defined, length preserving and efficient (why?).

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How can we prove that  $g$  is one-way?

Answer: using reduction.

## Proving that $g$ is one-way

Proof:

Assume that  $g$  is **not** one-way. Namely, there exists PPT  $A$ ,  $q \in \text{poly}$  and **infinite** set  $\mathcal{I} \subseteq \{p(n) : n \in \mathbb{N}\}$ , with

$$\Pr_{x \leftarrow \{0,1\}^n} [A(y) \in g^{-1}(g(x))] > 1/q(n) \quad (1)$$

for every  $n \in \mathcal{I}$ .

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We show how to use  $A$  for inverting  $f$ .

## Algorithm 8 (The inverter B)

Input:  $1^n$  and  $y \in \{0, 1\}^*$

- 1 Let  $x = A(1^{p(n)}, y, 0^{p(n)-|y|})$
- 2 Return  $x_{1,\dots,n}$



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Let  $\mathcal{I}' := \{n \in \mathbb{N} : p(n) \in \mathcal{I}\}$ . Then

- 1  $\mathcal{I}'$  is infinite
- 2  $\Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] > 1/q(p(n))$  for every  $n \in \mathcal{I}'$

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This contradicts the assumed one-wayness of  $f$ .  $\square$

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# Conclusion

## Remark 10

- We directly related the hardness of  $f$  to that of  $g$
- The reduction is **not** “security preserving”



# From partial domain functions to all-length functions

## Construction 11

Given a function  $f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^{\ell(n)}$ , define  $f_{\text{all}}: \{0, 1\}^* \mapsto \{0, 1\}^*$  as

$$f_{\text{all}}(x) = f(x_1, \dots, x_k), 0^{n-k}$$

where  $n = |x|$  and  $k := \max\{\ell(n') \leq n: n' \in [n]\}$ .

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Clearly,  $f_{\text{all}}$  is length preserving defined for **every** input length, and efficient (i.e., poly-time computable) in case  $f$  and  $\ell$  are.

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## Claim 12

Assume  $f$  and  $\ell$  are efficiently computable,  $f$  is one-way, and  $\ell$  satisfies  $1 \leq \frac{\ell(n+1)}{\ell(n)} \leq p(n)$  for some  $p \in \text{poly}$ , then  $f_{\text{all}}$  is one-way function.

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Proof: ?

# Weak One Way Functions

## Definition 13 (weak one-way functions)

A poly-time computable function  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  is  $\alpha$ -one-way, if

$$\Pr_{x \leftarrow \{0,1\}^n} \left[ A(1^n, f(x)) \in f^{-1}(f(x)) \right] \leq \alpha(n)$$

for any PPT  $A$  and large enough  $n \in \mathbb{N}$ .

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- 1 (strong) OWF according to Definition 1, are  $\text{neg}(n)$ -one-way according to the above definition
- 2 Can we “amplify” weak OWF to strong ones?

## Strong to weak OWFs

### Claim 14

Assume there exists OWFs, then there exist functions that are  $\frac{2}{3}$ -one-way, but not (strong) one-way



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Proof: For a OWF  $f$ , let

$$g(x) = \begin{cases} (1, f(x)), & x_1 = 1; \\ 0, & \text{otherwise.} \end{cases}$$

## Weak to Strong OWFs

### Theorem 15

*Assume there exists  $(1 - \alpha)$ -weak OWFs with  $\alpha(n) > 1/p(n)$  for some  $p \in \text{poly}$ , then there exists (strong) one-way functions.*

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### Construction 16 ( $g$ – the strong one-way function)

Let  $t: \mathbb{N} \mapsto \mathbb{N}$  be a poly-time computable function satisfying  $t(n) \in \omega(\log n / \alpha(n))$ . Define  $g: (\{0, 1\}^n)^{t(n)} \mapsto (\{0, 1\}^n)^{t(n)}$  as

$$g(x_1, \dots, x_t) = f(x_1), \dots, f(x_t)$$

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Proof: we assume wlg that  $f$  is length preserving (why can we do so?)

### Construction 16 ( $g$ – the strong one-way function)

Let  $t: \mathbb{N} \mapsto \mathbb{N}$  be a poly-time computable function satisfying  $t(n) \in \omega(\log n / \alpha(n))$ . Define  $g: (\{0, 1\}^n)^{t(n)} \mapsto (\{0, 1\}^n)^{t(n)}$  as

$$g(x_1, \dots, x_t) = f(x_1), \dots, f(x_t)$$

### Claim 17

$g$  is one-way.

## Proving that $g$ is one-way – the naive approach

Let  $A$  be a potential inverter for  $g$ , and assume that  $A$  tries to attacks each of the  $t$  outputs of  $g$  **independently**. Then

$$\Pr_{x \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(x)) \in g^{-1}(g(x))] \leq (1 - \alpha(n))^{t(n)} \leq e^{-\omega(\log n)} = \text{neg}(n)$$

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Unfortunately, we can assume **none** of the above.



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Unfortunately, we can assume **none** of the above.

Any idea?

# Failing Sets

# Failing Sets

## Definition 18 (failing set)

A function  $f: \{0, 1\}^n \mapsto \{0, 1\}^{\ell(n)}$  has a  $(\delta, \varepsilon)$ -failing set for algorithm  $A$ , if for large enough  $n$ , exists set  $\mathcal{S} = \mathcal{S}(n) \subseteq \{0, 1\}^{\ell(n)}$  with

- 1  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \delta(n)$ , and
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Let  $f$  be a  $(1 - \alpha)$ -OWF. Then  $f$  has  $(\alpha/2, 1/p)$ -failing set for any PPT  $A$  and  $p \in \text{poly}$ .

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Proof: Assume  $\exists$  PPT  $A$ ,  $p \in \text{poly}$  and an infinite set  $\mathcal{I} \subseteq \mathbb{N}$  such that for every  $n \in \mathcal{I}$ ,  $\exists \mathcal{L} \subseteq \{0, 1\}^n$  with

- 1  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{L}] \geq 1 - \alpha(n)/2$ , and
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We'll use  $A$  to contradict the hardness of  $f$ .

## Using $A$ to invert $f$

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### Algorithm 20 (The inverter $B$ )

Input:  $y \in \{0, 1\}^n$ .

Do (with fresh randomness) for  $n \cdot p(n)$  times:

If  $x = A(y) \in f^{-1}(y)$ , return  $x$



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For every large enough  $n \in \mathcal{I}$ , it holds that

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For every large enough  $n \in \mathcal{I}$ , it holds that

$$\Pr_{x \leftarrow \{0,1\}^n} [B(f(x)) \in f^{-1}(f(x))] > 1 - \alpha(n)$$

Hence,  $f$  is not  $(1 - \alpha)$ -one-way  $\square$

Proof: [of Claim 21]

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for large enough  $n$ . ♣

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We show that if  $g$  is not OWF, then  $f$  has no flailing-set of the “right” type.

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Assume  $\exists$  PPT  $A$ ,  $p \in \text{poly}$  and an infinite set  $\mathcal{I} \subseteq \mathbb{N}$  s.t.

$$\Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(x)) \in g^{-1}(g(w))] \geq 1/p(n) \quad (2)$$

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$$\Pr_{y \leftarrow \mathcal{S}} [B(y) \in f^{-1}(y)] \geq 1/q(n) \quad (3)$$

for every  $n \in \mathcal{I}$  and  $\mathcal{S} \subseteq \{0,1\}^n$  with  $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{S}] \geq \alpha(n)/2$ .

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for every  $n \in \mathcal{I}$  and  $\mathcal{S} \subseteq \{0,1\}^n$  with  $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{S}] \geq \alpha(n)/2$ .

Namely,  $f$  does not have a  $(\alpha/2, 1/q)$ -flailing set.

# Algorithm B

## Algorithm 23 (No failing-set algorithm B)

Input:  $y \in \{0, 1\}^n$ .

- 1 Choose  $w \leftarrow \{0, 1\}^{t(n) \cdot n}$ ,  $z = (z_1, \dots, z_t) = g(w)$  and  $i \leftarrow [t]$
- 2 Set  $z' = (z_1, \dots, z_{i-1}, y, z_{i+1}, \dots, z_t)$
- 3 Return  $A(z')_i$

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Fix  $n \in \mathcal{I}$  and a set  $\mathcal{S} \subseteq \{0, 1\}^n$  with  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \alpha(n)/2$ . We analyze B's success probability with respect to  $\mathcal{S}$ , using the following (inefficient) algorithm B\*:

## Algorithm B\*

### Definition 24 (Bad)

For  $z = (z_1, \dots, z_t) \in \text{Im}(g)$  (the image of  $g$ ), we set  $\text{Bad}(z) = 1$  iff  $\nexists i \in [t]$  with  $z_i \in \mathcal{S}$ .



## Algorithm $B^*$

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$B^*$  differ from  $B$  in the way it chooses  $z'$ : in case  $\text{Bad}(z) = 1$ , it sets  $z' = z$ . Otherwise, it sets  $i$  to the **first** index  $j \in [t]$  with  $z_j \in \mathcal{S}$ , and sets  $z'$  as  $B$  does with respect to this  $i$ .

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$$\Pr_{y \leftarrow \mathcal{S}}[B^*(y) \in f^{-1}(y)] \geq \frac{1}{p(n)} - \text{neg}(n),$$

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### Claim 25

$$\Pr_{y \leftarrow \mathcal{S}}[B^*(y) \in f^{-1}(y)] \geq \frac{1}{p(n)} - \text{neg}(n),$$

Therefore,  $\Pr_{y \leftarrow \mathcal{S}}[B(y) \in f^{-1}(y)] \geq \frac{1}{t(n)p(n)} - \text{neg}(n). \square$

Claim 25 follows from the following two claims,

### Claim 26

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### Claim 27

- Let  $Z = g(W)$  for  $W \leftarrow \{0,1\}^{t(n) \cdot n}$
- Let  $Z'$  be the value of  $z'$  induced by a random execution of  $B^*(f(X))$ , for  $X \leftarrow \{0,1\}^n \mid f(X) \in \mathcal{S}$ .

Then  $Z$  and  $Z'$  are **identically** distributed.

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$$\Pr_{y \leftarrow \mathcal{S}} [B^*(y) \in f^{-1}(y)] \geq \Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}; z = g(w)} \left[ A(z) \in g^{-1}(z) \wedge \neg \text{Bad}(z) \right] \quad (4)$$

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It follows that

$$\begin{aligned} \Pr_{y \leftarrow \mathcal{S}}[B^*(y) \in f^{-1}(y)] & \geq \Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}; z=g(w)} [A(z) \in g^{-1}(z)] - \text{neg}(n) \\ & \geq \frac{1}{p(n)} - \text{neg}(n). \square \end{aligned}$$

## Proof of Claim 26?

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### Algorithm 28 (P)

- 1 Sample  $\ell_1, \dots, \ell_{t(n)}$ , each taking the value 1 with  $\beta$ .
- 2 Output  $z_1, \dots, z_{t(n)}$ , where  $z_i$  is sampled according to

$$\begin{cases} f(x) \mid x \leftarrow \{0,1\}^n, f(x) \in \mathcal{S}, & \ell_i = 1; \\ f(x) \mid x \leftarrow \{0,1\}^n, f(x) \notin \mathcal{S}, & \text{otherwise.} \end{cases}$$

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The process for sampling  $Z'$  can be described as follows:

- 1 Choose  $\ell_1, \dots, \ell_{t(n)}$  and  $z_1, \dots, z_{t(n)}$  according to P
- 2 **Resample**  $z_i$  for some  $i$  with  $\ell_i = 1$  (if such exists)

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Hence,  $Z'$  has the same distribution as of P, and hence as of  $Z$ .  $\square$

# Conclusion

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- What properties of the weak OWF have we used in the proof?