

# Foundation of Cryptography, Lecture 10

## Pseudorandom Generator from One-Way Functions

### Handout Mode

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# Section 1

## Entropy

## Different measures of entropy

Let  $X$  be a random variable over  $\mathcal{U}$  and let  $X(x) = \Pr_X[x]$ .

- **Support**:  $\text{Supp}(X) := \{x \in \mathcal{U} : X(x) > 0\}$ .
- **Max entropy**:  $H_0(X) = \log |\text{Supp}(X)|$ .
- **Sample entropy**: For  $x \in \text{Supp}(X)$ :  $H_X(x) = \log \frac{1}{X(x)}$ .<sup>1</sup>
- **Shannon entropy**:  $H(X) = \sum_{x \in \mathcal{U}} X(x) \cdot H_X(x) = \mathbb{E}_X [H_X(x)]$
- **Collision probability**:  $\text{CP}(X) = \sum_{x \in \mathcal{U}} X(x)^2 = \Pr_{x, x' \leftarrow X} [x = x']$
- **Renyi entropy**:  $H_2(X) = \log \frac{1}{\text{CP}(X)}$
- **Min entropy**:  $H_\infty(X) = \min_{x \in \text{Supp}(x)} \{H_X(x)\}$

It holds that  $0 \leq H_\infty(X) \leq H_2(X) \leq H(X) \leq H_0(X)$ .

Equality iff  $X$  is **uniform**.

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<sup>1</sup>All logarithmic are on base 2.

# Conditional Entropy

Given two random variable  $X$  and  $Y$ , the conditional Shannon entropy of  $X$  given  $Y$  is defined as

$$H(X | Y) = \mathbb{E}_{y \leftarrow Y} [H(X | Y = y)]$$

Example: let  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  be a  $2^k$  regular function. Let  $X$  be uniform over  $\{0, 1\}^n$  and let  $Y = f(X)$ . Then

$$H(X | Y) = \mathbb{E}_{y \leftarrow Y} [\log 2^k] = k.$$

# Flattening Shannon entropy

## Lemma 1

Let  $X$  be a rv over  $\mathcal{U}$ , let  $t \in \mathbb{N}$  and let  $\varepsilon > 0$ . Then  $\exists$  rv  $Z$  that is  $(\varepsilon + 2^{-t})$ -close to  $X^t$ , and  $H_\infty(Z) \geq t \cdot H(X) - O(\sqrt{t \cdot \log(1/\varepsilon)} \cdot \log(|\mathcal{U}| \cdot t))$ .

Proof: ?

# Pairwise independent hashing

## Definition 2 (pairwise independent function family)

A function family  $\mathcal{H} = \{h: \{0, 1\}^n \mapsto \{0, 1\}^m\}$  is **pairwise independent**, if  $\forall x \neq x' \in \{0, 1\}^n$  and  $y, y' \in \{0, 1\}^m$ , it holds that  $\Pr_{h \leftarrow \mathcal{H}}[h(x) = y \wedge h(x') = y'] = 2^{-2m}$ .

Example  $\mathcal{H} = \{(A, b) \in \{0, 1\}^{m \times n} \times \{0, 1\}^m\}$  with  $(A, b)(x) = A \times x + b$ .

We identify functions with their description, and assume wlg. that (the description of) a random element from  $\mathcal{H}$  is a uniform string.

## Lemma 3 (leftover hash lemma)

Let  $X$  be a rv over  $\{0, 1\}^n$  with  $H_2(X) \geq k$  and let  $\mathcal{H} = \{h: \{0, 1\}^n \mapsto \{0, 1\}^m\}$  be pairwise independent, then

$$\text{SD}((H, H(X)), (H, U_m)) \leq 2^{(m-k-2)/2},$$

where  $H$  is uniformly distributed over  $\mathcal{H}$  and  $U_m$  is uniformly distributed over  $\{0, 1\}^m$ .

# Computational notions of entropy

## Definition 4

A random variable has **pseudoentropy** at least  $k$ , if it is computationally indistinguishable from a RV  $Y$  with  $H(Y) \geq k$ .

**Pseudo min/Reiny -entropy** are analogously defined.

- Examples
- Repeated sampling

## Section 2

# **PRG from Regular OWF**



# PRG from Regular OWF

## Definition 5

Given a function  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  and function family

$\mathcal{H}: \{0, 1\}^n \mapsto \{0, 1\}^m$ , let  $g = g(f, \mathcal{H}): \mathcal{H} \times \{0, 1\}^n \mapsto \mathcal{H} \times \{0, 1\}^n \times \{0, 1\}^m$  be defined by  $g(h, x) = (g(x), h, h(x))$ .

In case  $f$  and  $\mathcal{H}$  are function families, we let  $g(f, \mathcal{H}) = \{g(f_n, \mathcal{H}_n)\}_{n \in \mathbb{N}}$ .

## Claim 6

Let  $f$  be a  $2^{k=k(n)}$ -regular OWF,  $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^n \mapsto \{0, 1\}^{m(n)=k(n)+\log n}\}$  be efficient family of pairwise independent hash function family, and let  $g = g(f, \mathcal{H})$ . Then

- 1  $H(g(U_n, H_n)) \geq n + H(H_n) - \frac{1}{n}$ , where  $H_n$  is uniform over  $\mathcal{H}_n$ .
- 2  $g$  is one-way.

## $g$ has high entropy

$$\begin{aligned}\text{CP}(g(U_n, H_n)) &:= \Pr_{w, w' \leftarrow \{0,1\}^n \times \mathcal{H}_n} [g(w) = g(w')] \\ &= \Pr_{h, h' \leftarrow \mathcal{H}_n} [h = h'] \cdot \Pr_{x, x' \leftarrow \{0,1\}^n} [f(x) = f(x')] \\ &\quad \cdot \Pr_{h \leftarrow \mathcal{H}_n; x, x' \leftarrow \mathcal{Z}^n} [h(x) = h(x') \mid f(x) = f(x')] \\ &= \text{CP}(H_n) \cdot \text{CP}(f(U_n)) \cdot (2^{-k} + (1 - 2^{-k}) \cdot 2^{-m}) \\ &\leq \text{CP}(H_n) \cdot \text{CP}(f(U_n)) \cdot (2^{-k} + 2^{-m}) \\ &\leq \text{CP}(H_n)(2^{-n} + 2^{-n - \log n}) = \text{CP}(H_n) \cdot \text{CP}(U_n) \cdot (1 + \frac{1}{n}).\end{aligned}$$

Hence,  $H_2(g(U_n, H_n)) \geq H_2(\mathcal{H}_n) + H_2(U_n) + \log \frac{1}{1 + \frac{1}{n}} \geq H(H_n) + n - \frac{1}{n}$ .

Thus,  $H(g(U_n, H_n)) \geq H(H_n) + n - \frac{1}{n}$ .

## $g$ is one-way

Assume  $g$  is not one-way and let  $A$  be a PPT that inverts  $g$  w.p  $1/p(n)$ , for some  $p \in \text{poly}$ , for infinitely many  $n$ 's.

The following algorithm inverts  $f$  with non-negligible probability.

Let  $t = t(n) = k(n) - 2 \lceil \log(p(n)) \rceil$ .

### Algorithm 7 (B)

Input:  $y \in \{0, 1\}^n$ .

Sample  $h \leftarrow \mathcal{H}_n$  and  $z \leftarrow \{0, 1\}^t$ , and return  $D(y, h, z)$

### Algorithm 8 (D)

Input:  $y \in \{0, 1\}^n$ ,  $h \in \mathcal{H}_n$  and  $z_1 \in \{0, 1\}^t$ .

For all  $z_2 \in \{0, 1\}^{m-t}$ :

- 1 Let  $(x, h) \leftarrow A(y, h, z)$ .
- 2 If  $f(x) = y$ , return  $x$ .

$$\Pr_{x \leftarrow \{0,1\}^n; h \leftarrow \mathcal{H}_n} [D(f(x), h, h(x)_{1,\dots,t}) \in f^{-1}(f(x))] \geq \frac{1}{p(n)} \quad (1)$$

## $g$ is one-way, cont.

By the leftover hash lemma(?)

$$\text{SD}((f(x), h, h(x)_1, \dots, t)_{x \leftarrow \{0,1\}, h \leftarrow \mathcal{H}_n}, (f(x), h, U_t)_{x \leftarrow \{0,1\}, h \leftarrow \mathcal{H}_n}) \leq \frac{1}{2p(n)} \quad (2)$$

Hence,

$$\Pr_{x \leftarrow \{0,1\}^n} [\mathbf{B}(f(x)) \in f^{-1}(f(x))] \geq \frac{1}{p(n)} - \frac{1}{2p(n)} = \frac{1}{2p(n)}.$$

# The generator

## Claim 9

Let  $f: \{0, 1\}^n \mapsto \{0, 1\}^m$  be a OWF with  $H(f(U_n)) \geq n - \frac{1}{2}$ , and let  $b$  be an hardcore predicate for  $f$ . Then  $g(x) = f(x) \circ b(x)$  has pseudoentropy  $n + \frac{1}{2}$ .

Proof: ?

We call such  $g$  a **pseudo-entropy** generator.

## Claim 10

The function  $g^{n^2}(x_1, \dots, x_{n^2}) = g(x_1), \dots, g(x_{n^2})$  has **pseudo min-entropy**  $n(n + \frac{1}{2}) - O(\sqrt{n \log^2 n \cdot \log(n^2)}) \geq n^2 + n/2 - O(n^{2/3})$ .

Proof: by the flattening lemma, taking  $\varepsilon = 2^{-\log^2 n}$  and  $t = n$ .

## Claim 11

Let  $\mathcal{H}: \{0, 1\}^{n^2+n} \mapsto \{0, 1\}^{n^2+n/4}$  be an efficient pairwise hash function, then  $G: \{0, 1\}^{n^2} \times \mathcal{H}_n$  defined by  $G(x_1, \dots, x_{n^2}, h) = (h, h(g^{n^2}(x_1, \dots, x_{n^2})))$ , is a PRG.

Proof: by the leftover hash lemma

## Section 3

# **PRG from any OWF**

## Inefficient construction

### Definition 12

Given a function  $f: \{0, 1\}^n \mapsto \{0, 1\}^m$  and  $x \in \{0, 1\}^n$ , let

$$d_f(x) = \lceil \log(|f^{-1}(f(x))|) + \log n \rceil.$$

Given  $\mathcal{H}: \{0, 1\}^n \mapsto \{0, 1\}^{n+\log n}$ , let

$g = g(f, \mathcal{H}): \mathcal{H} \times \{0, 1\}^n \mapsto \mathcal{H} \times \{0, 1\}^n \times \{0, 1\}^{n+\log n}$  be defined by  
 $g(h, x) = (f(x), h, h(x)_{1, \dots, d_f(x)}, 1^{n+\log n - d_f(x)}).$

### Claim 13

Let  $f$  be a OWF,  $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^n \mapsto \{0, 1\}^{n+\log n}\}$  be efficient family of pairwise independent hash function family, and let  $g = g(f, \mathcal{H})$ . Then

- 1  $H(g(U_n, H_n)) \geq n + H(H_n) - \frac{1}{n}$ , where  $H_n$  is uniform over  $\mathcal{H}_n$ .
- 2 Assume  $d_f$  is poly-time computable, then  $g$  is a one-way function.

Proof:

Hence, if  $d_f$  is poly-time computable, then building a PRG from  $f$  follows the same lines we used for regular OWF.

Should we expect  $d_f$  to be poly-time computable?

# Efficient construction, first approach

## Definition 14

For  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  and  $\mathcal{H} = \{h: \{0, 1\}^n \mapsto \{0, 1\}^{n+\log n}\}$ , let  $g = g(f, \mathcal{H}): \mathcal{H} \times [n] \times \{0, 1\}^n \mapsto \mathcal{H} \times [n] \times \{0, 1\}^n \times \{0, 1\}^{n+\log n}$  be defined by  $g(h, i, x) = f(x), h, i, h(x)_{1, \dots, i+\log n}, 1^{n+\log n-i}$ .

## Claim 15

Assume  $f$  is OWF and that  $\mathcal{H}$  is the Matrix-based pairwise-independent hash functions. Then the **pseudo** Shannon-entropy of  $g(H_n, I_n, U_n)$ , where  $I_n$  is uniform over  $[n]$ , is larger by at least  $1/n$  than its (real) Shannon entropy.

We call such  $g$  a **false-pseudoentropy** generator.

Proof: Define

$$g'(h, i, x) = \begin{cases} f(x), h, i, h(x)_{1, \dots, i+\log n-1}, U, 1^{n+\log n-i}, & i = d_f(x) \\ g(h, i, x), & \text{otherwise.} \end{cases}$$

## Claim 16

- ①  $g(H_n, I_n, U_n) \approx_c g'(H_n, I_n, U_n)$
- ②  $H(g'(H_n, I_n, U_n)) - H(g(H_n, I_n, U_n)) \geq 1/n$



# False-pseudoentropy generator to PRG

- 1 Using repetition convert the Shannon pseudoentropy of the output of  $g$  into min pseudoentropy.

Problem:  $g'$  is not efficiently computable, and thus  $g'(H_n, I_n, U_n)$  is not efficiently samplable

- 2 “extract” this min-entropy, and also the (real) min-entropy “left” in the inputs.

Very complicated an inefficient construction. Seed length of PRG is  $\Theta(n^8)$ .

## Efficient construction, second approach

### Definition 17

For  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$ , and the Matrix-based pairwise-independent hash functions  $\mathcal{H} = \{h: \{0, 1\}^n \mapsto \{0, 1\}^{n+\log n}\}$ , let  $g: \mathcal{H} \times \{0, 1\}^n \mapsto \mathcal{H} \times \{0, 1\}^n \times \{0, 1\}^{n+\log n}$  be defined by  $g(h, x) = (f(x), h, h(x))$ .

But  $g$  is **invertible** and thus its output pseudoentropy is as large as its real entropy.(?)

Right, but not in the eyes of an **online observer**.

## Next-block pseudoentropy generator

### Definition 18 (next-block pseudoentropy)

$X = (X_1, \dots, X_m)$  has **next-block pseudoentropy at least  $k$** ,  $\exists$  rv  $Y = (Y_1, \dots, Y_m)$ , (jointly distributed with  $X$ ), such that:

- 1  $\forall i, (X_1, X_2, \dots, X_{i-1}, X_i) \approx_c (X_1, X_2, \dots, X_{i-1}, Y_i)$ .
- 2  $\sum_i H(Y_i | X_1, \dots, X_{i-1}) \geq k$ .

Quantitative generalization of unpredictability: measures how hard it to predict  $X_i$  from  $X_1, X_2, \dots, X_{i-1}$  (for  $i \leftarrow [k]$ ).

### Claim 19

Assume  $f$  is OWF, then  $g(U_n, H_n)$  has next-block pseudoentropy  $n + |h| + 1$ .

Proof: Define  $g'(h, x)$  by  $g'(h, x)_i = \begin{cases} U, & i = n + |h| + d_f(x) + \log n \\ g(h, x)_i, & \text{otherwise.} \end{cases}$   
 $g'(U_n, H_n)$  realizes the next-block pseudoentropy of  $g(U_n, H_n)$ .

Continue to Power-point presentation.