

Foundation of Cryptography, Lecture 6

Interactive Proofs and Zero Knowledge

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Part I

Interactive Proofs

\mathcal{NP} as a Non-interactive Proofs

Definition 1 (\mathcal{NP})

$\mathcal{L} \in \mathcal{NP}$ iff \exists and poly-time algorithm V such that:

- $\forall x \in \mathcal{L}$ there exists $w \in \{0, 1\}^*$ s.t. $V(x, w) = 1$
- $V(x, w) = 0$ for every $x \notin \mathcal{L}$ and $w \in \{0, 1\}^*$

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- Efficient verifier, efficient prover (given the witness)
- Soundness holds unconditionally

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Protocols between **efficient** verifier and **unbounded** provers.

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A protocol (P, V) is an **interactive proof** for \mathcal{L} , if V is PPT and:

Completeness $\forall x \in \mathcal{L}, \Pr[\langle (P, V)(x) \rangle_V = 1] \geq 2/3$.^a

Soundness $\forall x \notin \mathcal{L}$, and **any** algorithm P^*
 $\Pr[\langle (P^*, V)(x) \rangle_V = 1] \leq 1/3$.

IP is the class of languages that have interactive proofs.

^a $\langle (A(a), B(b))(c) \rangle_B$ denote B 's view in random execution of $(A(a), B(b))(c)$.

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- Sometime we have efficient provers via “auxiliary input”.
- Relaxation: *Computationally sound proofs* [also known as, *interactive arguments*]: soundness only guaranteed against **efficient** (PPT) provers.

Section 1

Interactive Proof for Graph Non-Isomorphism

Graph isomorphism

Π_m – the set of all permutations from $[m]$ to $[m]$

Definition 3 (graph isomorphism)

Graphs $G_0 = ([m], E_0)$ and $G_1 = ([m], E_1)$ are **isomorphic**, denoted $G_0 \equiv G_1$, if $\exists \pi \in \Pi_m$ such that $(u, v) \in E_0$ iff $(\pi(u), \pi(v)) \in E_1$.

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$$\bullet \mathcal{GI} = \{(G_0, G_1) : G_0 \equiv G_1\} \in \mathcal{NP}$$

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- Does $\mathcal{GNI} = \{(G_0, G_1) : G_0 \not\equiv G_1\} \in \mathcal{NP}$?

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- We will show a simple interactive proof for \mathcal{GINI}

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Idea: Beer tasting...

Interactive proof for \mathcal{GNI}

Protocol 4 ((P, V))

Common input $G_0 = ([m], E_0), G_1 = ([m], E_1)$

- 1 V chooses $b \leftarrow \{0, 1\}$ and $\pi \leftarrow \Pi_m$, and sends $\pi(E_b)$ to P.^a
- 2 P send b' to V (tries to set $b' = b$).
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Claim 5

The above protocol is IP for \mathcal{GNI} , with perfect completeness and soundness error $\frac{1}{2}$.

Proving Claim 5

- Graph isomorphism is an equivalence relation (separates the set of all graph pairs into separate subsets)

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Hence,

$$G_0 \equiv G_1: \Pr[b' = b] \leq \frac{1}{2}.$$

$$G_0 \not\equiv G_1: \Pr[b' = b] = 1 \text{ (i.e., } P \text{ can, possibly inefficiently, extracted from } \pi(E_i))$$

□

Part II

Zero knowledge Proofs

Where is Waldo?



Where is Waldo?



Question 6

Can you prove you know where Waldo is **without** revealing his location?

The concept of zero knowledge

- Proving w/o revealing any additional information.

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Simulation paradigm.

Zero knowledge Proof

Definition 7 (zero-knowledge proofs)

An interactive proof (P, V) is **computational zero-knowledge proof** (\mathcal{CZK}) for \mathcal{L} , if \forall PPT V^* , \exists PPT S such that $\{\langle (P, V^*)(x) \rangle_{V^*} \}_{x \in \mathcal{L}} \approx_c \{S(x)\}_{x \in \mathcal{L}}$.

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- 7 Next class — \mathcal{ZK} for all \mathcal{NP}

Section 2

Zero-Knowledge Proof for Graph Isomorphism

\mathcal{ZK} Proof for Graph Isomorphism

Idea: route finding

ZK Proof for Graph Isomorphism

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Common input: $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

P's input: a permutation π over $[m]$ such that $\pi(E_1) = E_0$.

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- 3 If $b = 0$, P sets $\pi'' = \pi'$, otherwise, it sends $\pi'' = \pi' \circ \pi$ to V.
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Claim 9

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Assuming V rejects w.p. less than $\frac{1}{2}$ and let π_0 and π_1 be the values guaranteed by the above observation (i.e., mapping E_0 and E_1 to E respectively).

Then $\pi_0^{-1}(\pi_1(E_1)) = \pi_0 \implies (G_0, G_1) \in \mathcal{GI}$.

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- \mathcal{ZK} : Idea – for $(G_0, G_1) \in \mathcal{GI}$, it is easy to generate a random transcript for Steps 1-2, and to be able to open it with prob $\frac{1}{2}$.

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Algorithm 10 (S)

Input: $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

Do $|x|$ times:

- 1 Choose $b' \leftarrow \{0, 1\}$ and $\pi \leftarrow \Pi_m$, and “send” $\pi(E_{b'})$ to $V^*(x)$.
- 2 Let b be V^* ’s answer. If $b = b'$, send π to V^* , output V^* ’s output and halt. Otherwise, **rewind** V^* to its initial step, and go to step 1.

Abort.

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Claim 11 implies that Protocol 8 is zero knowledge.

Proving Claim 11

Consider the following inefficient simulator:

Algorithm 12 (S')

Input: $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$.

Do $|x|$ times:

① Choose $\pi \leftarrow \Pi_m$ and send $E = \pi(E_0)$ to $V^*(x)$.

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W.p. $\frac{1}{2}$,

① Find π' such that $E = \pi'(E_b)$, and send it to V^* .

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$S(x) \equiv S'(x)$ for any $x \in \mathcal{GI}$.

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Proof: ?

Proving Claim 11 cont.

Consider a second inefficient simulator:

Algorithm 14 (S'')

Input: $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

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Proving Claim 11 cont.

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$\forall x \in \mathcal{GI}$ it holds that

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Proof: ? (1) is clear.

Proving Claim 15(2)

Fix (E, π') and let $\alpha = \Pr_{S''(x)}[(E, \pi')]$.

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Hence, $SD(S''(x), S'(x)) \leq 2^{-|x|} \square$

- 1 Randomized verifiers

Remarks

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- 2 Aborting verifiers

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Protocol 16 $((P, V))$

Common input: $x \in \{0, 1\}^*$

P 's input: $w \in R_{\mathcal{L}}(x)$

- 1 V chooses $(d, e) \leftarrow G(1^{|x|})$ and sends e to P
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- 3 V accepts iff $D_d(c) \in R_{\mathcal{L}}(x)$

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- The above protocol has perfect completeness and soundness.
- Is it zero-knowledge?
- It has “transcript simulator” (at least for honest verifiers): exists PPT S such that $\{ \langle (P(w \in R_{\mathcal{L}}(x)), V)(x) \rangle_V \}_{x \in \mathcal{L}} \approx_c \{ S(x) \}_{x \in \mathcal{L}}$,
where *trans* stands for the transcript of the protocol (i.e., the messages exchange through the execution).

Section 3

Black-box Zero Knowledge

Black-box simulators

Definition 17 (Black-box simulator)

(P, V) is \mathcal{CZK} with **black-box simulation** for \mathcal{L} , if \exists oracle-aided PPT S s.t.

$$\{\langle (P(w_x), V^*(z_x))(x) \rangle_{V^*} \}_{x \in \mathcal{L}} \approx_c \{S^{V^*(x, z_x)}(x)\}_{x \in \mathcal{L}}$$

for any deterministic polynomial-time^a V^* and $\{(w_x, z_x) \in R_{\mathcal{L}}(x) \times \{0, 1\}^*\}_{x \in \mathcal{L}}$.

Prefect and statistical variants are defined analogously.

^aLength of auxiliary input does not count for the running time.

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- 1 What about randomized verifier?
- 2 "Most simulators" are black box
- 3 Strictly **weaker** than general simulation!

Section 4

Zero Knowledge for all NP

- Assuming that OWFs exists, we give a (black-box) \mathcal{CZK} for 3COL .

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$G = (M, E) \in \text{3COL}$, if $\exists \phi: M \mapsto [3]$ s.t. $\phi(u) \neq \phi(v)$ for every $(u, v) \in E$.

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$G = (M, E) \in 3\text{COL}$, if $\exists \phi: M \mapsto [3]$ s.t. $\phi(u) \neq \phi(v)$ for every $(u, v) \in E$.

We use [commitment schemes](#).

The protocol

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Protocol 19 ((P, V))

Common input: Graph $G = (M, E)$ with $n = |G|$

P's input: a (valid) coloring ϕ of G

- 1 P chooses $\pi \leftarrow \Pi_3$ and sets $\psi = \pi \circ \phi$
- 2 $\forall v \in M$: P commits to $\psi(v)$ using Com (with security parameter 1^n).
Let c_v and d_v be the resulting commitment and decommitment.
- 3 V sends $e = (u, v) \leftarrow E$ to P
- 4 P sends $(d_u, \psi(u)), (d_v, \psi(v))$ to V
- 5 V verifies that
 - 1 Both decommitments are valid,
 - 2 $\psi(u), \psi(v) \in [3]$, and
 - 3 $\psi(u) \neq \psi(v)$.

Claim 20

The above protocol is a \mathcal{CZK} for 3COL , with perfect completeness and soundness $1/|E|$.

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- Soundness: Let $\{c_v\}_{v \in M}$ be the commitments resulting from an interaction of V with an arbitrary P^* .

Define $\phi: M \mapsto [3]$ as follows:

$\forall v \in M$: let $\phi(v)$ be the (single) value that it is possible to decommit c_v into (if not in $[3]$, set $\phi(v) = 1$).

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If $G \notin 3\text{COL}$, then $\exists (u, v) \in E$ s.t. $\phi(u) \neq \phi(v)$.

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Hence V rejects such x w.p. at least $1/|E|$

Proving \mathcal{ZK}

Fix a deterministic, non-aborting V^* that gets no auxiliary input.

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Algorithm 21 (S)

Input: A graph $G = (M, E)$ with $n = |G|$

Do $n \cdot |E|$ times:

- 1 Choose $e' = (u, v) \leftarrow E$.
 - 1 Set $\psi(u) \leftarrow [3]$,
 - 2 Set $\psi(v) \leftarrow [3] \setminus \{\psi(u)\}$, and
 - 3 Set $\psi(w) = 1$ for $w \in M \setminus \{u, v\}$.
- 2 $\forall v \in M$: commit to $\psi(v)$ to V^* (resulting in c_v and d_v)
- 3 Let e be the edge sent by V^* .

If $e = e'$, send $(d_u, \psi(u)), (d_v, \psi(v))$ to V^* , output V^* 's output and halt.

Otherwise, **rewind** V^* to its initial step, and go to step 1.

Abort.

Proving \mathcal{ZK} cont.

Claim 22

$\{\langle (P(w_x), V^*)(x) \rangle_{V^*}\}_{x \in 3\text{COL}} \approx_c \{S^{V^*(x)}(x)\}_{x \in 3\text{COL}},$
for any $\{w_x \in R_{3\text{COL}}(x)\}_{x \in 3\text{COL}}.$

Consider the following (inefficient simulator)

Algorithm 23 (S')

Input: $G = (V, E)$ with $n = |G|$

Find (using brute force) a valid coloring ϕ of G

Do for $n \cdot |E|$ times:

- 1 Act like the honest prover does given private input ϕ .
- 2 Let e be the edge sent by V^* . W.p. $1/|E|$,
 - 1 Send $(\psi(u), d_u), (\psi(v), d_v)$ to V^* ,
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Claim 24

$$\{S^{V^*}(x)\}_{x \in 3\text{COL}} \approx_c \{S'^{V^*}(x)\}_{x \in 3\text{COL}}$$

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Abort.

Claim 24

$$\{S^{V^*}(x)\}_{x \in 3\text{COL}} \approx_c \{S'^{V^*}(x)\}_{x \in 3\text{COL}}$$

Proof: ?

Proving Claim 24

Assume \exists PPT D , $p \in \text{poly}$ and an infinite set $\mathcal{I} \subseteq 3\text{COL}$ s.t.

$$\left| \Pr[D(|x|, S^{V^*(x)}(x)) = 1] - \Pr[D(|x|, S'^{V^*(x)}(x)) = 1] \right| \geq 1/p(|x|)$$

for all $x \in \mathcal{I}$.

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for all $x \in \mathcal{I}$.

Hence, \exists PPT R^* and $b \in [3] \setminus 1$ such that

$$\{\text{View}_{R^*}(\text{Snd}(1), R^*(x))(1^{|x|})\}_{x \in \mathcal{I}} \not\approx_c \{\text{View}_{R^*}(\text{Snd}(b), R^*(x))(1^{|x|})\}_{x \in \mathcal{I}}$$

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Assume \exists PPT D , $p \in \text{poly}$ and an infinite set $\mathcal{I} \subseteq 3\text{COL}$ s.t.

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We critically used the non-uniform security of Com .

S' is a good simulator

Claim 25

$\{\langle (P(w_x), V^*)(x) \rangle_{V^*} \}_{x \in 3\text{COL}} \approx_c \{S'^{V^*(x)}(x)\}_{x \in 3\text{COL}}$, for any $\{w_x \in R_{3\text{COL}}(x)\}_{x \in 3\text{COL}}$.

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Proof: ?

Remarks

- Aborting verifiers

Remarks

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- Auxiliary inputs

Remarks

- Aborting verifiers
- Auxiliary inputs
- Soundness amplification

Extending to all \mathcal{NP}

For $\mathcal{L} \in \mathcal{NP}$ let Map_x and Map_w be two poly-time functions s.t.

- $x \in \mathcal{L} \iff \text{Map}_x(x) \in 3\text{COL},$

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- $x \in \mathcal{L} \iff \text{Map}_x(x) \in 3\text{COL}$,
- Map_x is efficiently invertible.
- $(x, w) \in R_{\mathcal{L}} \iff \text{Map}_w(x, w) \in R_{3\text{COL}}(\text{Map}_x(x))$

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Let (P, V) be a \mathcal{CZK} for 3COL .

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Let (P, V) be a \mathcal{CZK} for 3COL .

Protocol 26 $((P_{\mathcal{L}}, V_{\mathcal{L}}))$

Common input: $x \in \{0, 1\}^*$.

$P_{\mathcal{L}}$'s input: $w \in R_{\mathcal{L}}(x)$.

- 1 The two parties interact in $(P(\text{Map}_w(x, w)), V)(\text{Map}_x(x))$, where $P_{\mathcal{L}}$ and $V_{\mathcal{L}}$ taking the role of P and V respectively.
- 2 $V_{\mathcal{L}}$ accepts iff V accepts in the above execution.

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

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$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.
- Zero knowledge: Let S (an efficient) \mathcal{ZK} simulator for (P, V) (for 3COL). Define $S_{\mathcal{L}}(x)$ to output $S(\text{Map}_x(x))$, while replacing the string $\text{Map}_x(x)$ in the output of S with x .

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.
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Claim 28

$\{\langle (P_{\mathcal{L}}(w_x), V_{\mathcal{L}}^*)(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \approx_c \{S_{\mathcal{L}}^{V_{\mathcal{L}}^*}(x)\}_{x \in \mathcal{L}}$ for any PPT $V_{\mathcal{L}}^*$.

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

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Claim 28

$\{\langle (P_{\mathcal{L}}(w_x), V_{\mathcal{L}}^*)(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \approx_c \{S_{\mathcal{L}}^{V_{\mathcal{L}}^*}(x)\}_{x \in \mathcal{L}}$ for any PPT $V_{\mathcal{L}}^*$.

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.
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Claim 28

$\{\langle (P_{\mathcal{L}}(w_x), V_{\mathcal{L}}^*)(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \approx_c \{S_{\mathcal{L}}^{V_{\mathcal{L}}^*(x)}(x)\}_{x \in \mathcal{L}}$ for any PPT $V_{\mathcal{L}}^*$.

Proof:

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.
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Proof: Assume $\{ \langle (P_{\mathcal{L}}(w_x), V_{\mathcal{L}}^*)(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \not\approx_c \{ S_{\mathcal{L}}^{V_{\mathcal{L}}^*}(x) \}_{x \in \mathcal{L}}$ for some $V_{\mathcal{L}}^*$.

Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$ is a \mathcal{CZK} for \mathcal{L} with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.
- Zero knowledge: Let S (an efficient) \mathcal{ZK} simulator for (P, V) (for 3COL). Define $S_{\mathcal{L}}(x)$ to output $S(\text{Map}_x(x))$, while replacing the string $\text{Map}_x(x)$ in the output of S with x .

Claim 28

$\{ \langle (P_{\mathcal{L}}(w_x), V_{\mathcal{L}}^*)(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \approx_c \{ S_{\mathcal{L}}^{V_{\mathcal{L}}^*}(x) \}_{x \in \mathcal{L}}$ for any PPT $V_{\mathcal{L}}^*$.

Proof: Assume $\{ \langle (P_{\mathcal{L}}(w_x), V_{\mathcal{L}}^*)(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \not\approx_c \{ S_{\mathcal{L}}^{V_{\mathcal{L}}^*}(x) \}_{x \in \mathcal{L}}$ for some $V_{\mathcal{L}}^*$.

Hence, $\{ \langle (P(\text{Map}_W(x, w_x)), V^*)(x) \rangle_{V^*} \}_{x \in 3\text{COL}} \not\approx_c \{ S^{V^*}(x) \}_{x \in 3\text{COL}}$.

$V^*(x)$: act like $V^*(x')$ for $x' = \text{Map}_x^{-1}(x)$.