

# **Foundation of Cryptography (0368-4162-01), Lecture 3**

## **Hardcore Predicates for Any One-way Function**

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## Definition 1 (hardcore predicates)

An efficiently computable function  $b : \{0, 1\}^n \mapsto \{0, 1\}$  is an hardcore predicate of  $f : \{0, 1\}^n \mapsto \{0, 1\}^n$ , if

$$\Pr[P(f(U_n)) = b(U_n)] \leq \frac{1}{2} + \text{neg}(n),$$

for any PPT  $P$ .

## Theorem 2 (Goldreich-Levin)

Let  $f : \{0, 1\}^n \mapsto \{0, 1\}^n$  be a OWF, and define  $g : \{0, 1\}^n \times \{0, 1\}^n \mapsto \{0, 1\}^n \times \{0, 1\}^n$  as  $g(x, r) = f(x), r$ . Then  $b(x, r) = \langle x, r \rangle_2$ , is an hardcore predicate of  $g$ .

Note that if  $f$  is one-to-one, then so is  $g$ .

## Section 1

# The Information Theoretic Case

### Definition 3 (min-entropy)

The min entropy of a random variable  $X$ , is defined

$$H_{\infty}(X) := \min_{y \in \text{Supp}(X)} \log \frac{1}{\Pr_X[y]}.$$

Examples

- $X$  is uniform over a set of size  $2^k$
- $(X \mid f(X) = y)$ , where  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  is  $2^k$  to 1 and  $X$  is uniform over  $\{0, 1\}^n$

## Pairwise independent hashing

### Definition 4 (pairwise independent hash functions)

A function family  $\mathcal{H}$  from  $\{0, 1\}^n$  to  $\{0, 1\}^m$  is pairwise independent, if for every  $x \neq x' \in \{0, 1\}^n$  and  $y, y' \in \{0, 1\}^m$ , it holds that  $\Pr_{h \leftarrow \mathcal{H}}[h(x) = y \wedge h(x') = y'] = 2^{-2m}$ .

### Lemma 5 (leftover hash lemma)

*Let  $X$  be a random variable over  $\{0, 1\}^n$  with  $H_\infty(X) \geq k$  and let  $\mathcal{H}$  be a family of pairwise independent hash functions from  $\{0, 1\}^n$  to  $\{0, 1\}^m$ , then*

$$\text{SD}((h, h(x))_{h \leftarrow \mathcal{H}, x \leftarrow X}, (h, y)_{h \leftarrow \mathcal{H}, y \leftarrow \{0, 1\}^m}) \leq 2^{(m-k-2)/2}.$$

\* We typically simply write  $\text{SD}((H, H(X)), (H, U_m))$ , where  $H$  is uniformly distributed over  $\mathcal{H}$ .

## efficient function families

### Definition 6 (efficient function family)

An ensemble of function families  $\mathcal{F} = \{\mathcal{F}_n\}_{n \in \mathbb{N}}$  is efficient, if the following hold:

**Samplable.**  $\mathcal{F}$  is samplable in polynomial-time: there exists a PPT that given  $1^n$ , outputs (the description of) a uniform element in  $\mathcal{F}_n$ .

**Efficient.** There exists a polynomial-time algorithm that given  $x \in \{0, 1\}^n$  and (a description of)  $f \in \mathcal{F}_n$ , outputs  $f(x)$ .

## hardcore predicate for regular OWF

### Lemma 7

*Let  $f : \{0, 1\}^n \mapsto \{0, 1\}^n$  be a  $d(n) \in 2^{\omega(\log n)}$  regular function and let  $\mathcal{H} = \{\mathcal{H}_n\}$  be an efficient family of Boolean pairwise independent hash functions over  $\{0, 1\}^n$ . Define  $g : \{0, 1\}^n \times \mathcal{H}_n \mapsto \{0, 1\}^n \times \mathcal{H}_n$  as*

$$g(x, h) = (f(x), h),$$

*then  $b(x, h) = h(x)$  is an hardcore predicate of  $g$ .*

How does it relate to the computational case?

Proof: We prove the claim by showing that

### Claim 8

$SD((f(U_n), H, H(U_n)), (f(U_n), H, U_1)) = \text{neg}(n)$ , where the rv  $H = H(n)$  is uniformly distributed over  $\mathcal{H}_n$ .

Does this conclude the proof?

## Proving Claim 8

Proof: For  $y \in f(\{0, 1\}^n) := \{f(x) : x \in \{0, 1\}^n\}$ , let the rv  $X_y$  be uniformly distributed over  $f^{-1}(y) := \{x \in \{0, 1\}^n : f(x) = y\}$ .

$$\begin{aligned}
 & \text{SD}((f(U_n), H, H(U_n)), (f(U_n), H, U_1)) \\
 &= \sum_{y \in f(\{0, 1\}^n)} \Pr[f(U_n) = y] \cdot \text{SD}((f(U_n), H, H(U_n) \mid f(U_n) = y) \\
 & \quad , (f(U_n), H, U_1 \mid f(U_n) = y)) \\
 &= \sum_{y \in f(\{0, 1\}^n)} \Pr[f(U_n) = y] \cdot \text{SD}((y, H, H(X_y)), (y, H, U_1)) \\
 &\leq \max_{y \in f(\{0, 1\}^n)} \text{SD}((y, H, H(X_y)), (y, H, U_1)) \\
 &\leq \max_{y \in f(\{0, 1\}^n)} \text{SD}((H, H(X_y)), (H, U_1))
 \end{aligned}$$



hardcore predicate for regular functions

## Proving Claim 8 cont.

Since  $H_\infty(X_y) = \log(d(n))$  for any  $y \in f(\{0, 1\}^n)$ ,  
 The leftover hash lemma yields that

$$\begin{aligned} \text{SD}((H, H(X_y)), (H, U_1)) &\leq 2^{(1-H_\infty(X_y)-2)/2} \\ &= 2^{(1-\log(d(n)))/2} = \text{neg}(n). \quad \square \end{aligned}$$

hardcore predicate for regular functions

## Further remarks

### Remark 9

- We can output  $\Theta(\log d(n))$  bits,
- $g$  and  $b$  are not defined over all input length.

## Section 2

# The Computational Case

## Proving Goldreich-Levin Theorem

### Theorem 10 (Goldreich-Levin)

*Let  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  be a OWF, and define  $g: \{0, 1\}^n \times \{0, 1\}^n \mapsto \{0, 1\}^n \times \{0, 1\}^n$  as  $g(x, r) = (f(x), r)$ . Then  $b(x, r) = \langle x, r \rangle_2$ , is an hardcore predicate of  $g$ .*

Note that if  $b(x, r)$  is (almost) a family of pairwise independent hash functions.

Proof: Assume  $\exists$  PPT  $A$ ,  $p \in \text{poly}$  and infinite set  $\mathcal{I} \subseteq \mathbb{N}$  with

$$\Pr[A(g(U_n, R_n)) = b(U_n, R_n)] \geq \frac{1}{2} + \frac{1}{p(n)}, \quad (1)$$

for any  $n \in \mathcal{I}$ , where  $U_n$  and  $R_n$  are uniformly (and independently) distributed over  $\{0, 1\}^n$ .

We show  $\exists$  PPT  $B$  and  $p' \in \text{poly}$  with

$$\Pr_{y \leftarrow f(U_n)}[B(y) \in f^{-1}(y)] \geq \frac{1}{p'(n)}, \quad (2)$$

for every  $n \in \mathcal{I}$ . In the following fix  $n \in \mathcal{I}$ .

## Focusing on a good set

## Claim 11

There exists a set  $S \subseteq \{0, 1\}^n$  with

- ①  $\frac{|S|}{2^n} \geq \frac{1}{2p(n)}$ , and
- ②  $\alpha(x) := \Pr[A(f(x), R_n) = b(x, R_n)] \geq \frac{1}{2} + \frac{1}{2p(n)}, \forall x \in S.$

Proof: Let  $S := \{x \in \{0, 1\}^n : \alpha(x) \geq \frac{1}{2} + \frac{1}{2p(n)}\}$ . It follows that

$$\begin{aligned}\Pr[A(g(U_n, R_n)) = b(U_n, R_n)] &\leq \Pr[U_n \notin S] \cdot \left(\frac{1}{2} + \frac{1}{2p(n)}\right) + \Pr[U_n \in S] \\ &\leq \left(\frac{1}{2} + \frac{1}{2p(n)}\right) + \Pr[U_n \in S] \square\end{aligned}$$

We will present  $q \in \text{poly}$  and a PPT  $B$  such that

$$\Pr[B(y = f(x)) \in f^{-1}(y)] \geq \frac{1}{q(n)}, \quad (3)$$

for every  $x \in S$ . Fix  $x \in S$ .

Perfect case

## The perfect case $\alpha(x) = 1$

For every  $i \in [n]$ , it holds that

$$A(f(x), e^i) = b(x, e^i),$$

where  $e^i = (\underbrace{0, \dots, 0}_{i-1}, 1, \underbrace{0, \dots, 0}_{n-i})$ .

• Hence,  $x_i = \langle x, e^i \rangle_2 = A(f(x), e^i)$

We let  $B(f(x)) = (A(f(x), e^1), \dots, A(f(x), e^n))$

Easy case

**Easy case:**  $\alpha(x) \geq 1 - \text{neg}(n)$ **Fact 12**

- ①  $\forall r \in \{0, 1\}^n$ , the rv  $(r \oplus R_n)$  is uniformly dist. over  $\{0, 1\}^n$
- ②  $\forall w, y \in \{0, 1\}^n$ , it holds that  $b(x, w) \oplus b(x, y) = b(x, w \oplus y)$

Hence,  $\forall i \in [n]$ :

- ①  $\forall r \in \{0, 1\}^n$  it holds that  $x_i = b(x, r) \oplus b(x, r \oplus e^i)$
- ②  $\Pr[A(f(x), R_n) = b(x, R_n) \wedge A(f(x), R_n \oplus e^i) = b(x, R_n \oplus e^i)] \geq 1 - \text{neg}(n)$

We let  $B(f(x)) = (A(f(x), R_n) \oplus A(f(x), R_n \oplus e^1)), \dots, A(f(x), R_n) \oplus A(f(x), R_n \oplus e^n))$ .

## Intermediate case

**Intermediate case:**  $\alpha(x) \geq \frac{3}{4} + \frac{1}{q(n)}$

For any  $i \in [n]$ , it holds that

$$\begin{aligned}
 & \Pr[A(f(x), R_n) \oplus A(f(x), R_n \oplus e^i) = x_i] & (4) \\
 & \geq \Pr[A(f(x), R_n) = b(x, R_n) \wedge A(f(x), R_n \oplus e^i) = b(x, R_n \oplus e^i)] \\
 & \geq \frac{1}{2} + \frac{2}{q(n)}
 \end{aligned}$$

### Algorithm 13 (B)

Input:  $f(x) \in \{0, 1\}^n$

- 1 For every  $i \in [n]$ 
  - Sample  $r^1, \dots, r^v \in \{0, 1\}^n$  uniformly at random
  - Let  $m_i = \text{maj}_{j \in [v]} \{(A(f(x), r^j) \oplus A(f(x), r^j \oplus e^i))\}$
- 2 Output  $(m_1, \dots, m_n)$



## B's success provability

The following holds for “large enough”  $v = v(n)$ .

### Claim 14

For every  $i \in [n]$ , it holds that  $\Pr[m_i = x_i] \geq 1 - \text{neg}(n)$ .

Proof: For  $j \in [v]$ , let the indicator rv  $W^j$  be 1, iff  $A(f(x), r^j) \oplus A(f(x), r^j \oplus e^j) = x_i$ .

We want to lowerbound  $\Pr \left[ \sum_{j=1}^v W^j > \frac{v}{2} \right]$ .

- The  $W^j$  are iids and  $E[W^j] \geq \frac{1}{2} + \frac{2}{q(n)}$ , for every  $j \in [v]$

### Lemma 15 (Hoeffding's inequality)

Let  $X^1, \dots, X^v$  be iid over  $[0, 1]$  with expectation  $\mu$ . Then,  
 $\Pr \left[ \left| \frac{\sum_{j=1}^v X^j}{v} - \mu \right| \geq \varepsilon \right] \leq 2 \cdot \exp(-2\varepsilon^2 v)$  for every  $\varepsilon > 0$ .

We complete the proof taking  $X^j = W^j$ ,  $\varepsilon = 1/4q(n)$  and  $v \in \omega(\log(n) \cdot q(n)^2)$ .

Actual case

**The actual case:**  $\alpha(x) \geq \frac{1}{2} + \frac{1}{q(n)}$

- What goes wrong?
- Idea: guess the values of  $\{b(x, r^1), \dots, b(x, r^v)\}$   
(instead of calling  $\{A(f(x), r^1), \dots, A(f(x), r^v)\}$ )
- Problem: negligible success probability
- Solution: choose the samples in a *correlated* manner

Actual case

## Algorithm B

Fix  $\ell = \ell(n)$  (will be  $O(\log n)$ ) and set  $v = 2^\ell - 1$ .

We let  $\mathcal{L} \subseteq [\ell]$  stands for non-empty subset.

### Algorithm 16 (B)

Input:  $f(x) \in \{0, 1\}^n$

- ① Sample uniformly (and independently)  $t_1, \dots, t_\ell \in \{0, 1\}^n$
- ② For all  $\mathcal{L} \subseteq [\ell]$ , set  $r^\mathcal{L} = \bigoplus_{i \in \mathcal{L}} t^i$
- ③ Guess  $\{b(x, t^i)\}$ , and compute  $\{b(x, r^\mathcal{L})\}$  (how?)
- ④ For all  $i \in [n]$ , let
 
$$m_i = \text{maj}_{\mathcal{L} \subseteq [0, 1]^n} \{A(f(x), r^\mathcal{L} \oplus e^i) \oplus b(x, r^\mathcal{L})\}$$
- ⑤ Output  $(m_1, \dots, m_n)$

Fix  $i \in [n]$ , and let  $W^\mathcal{L}$  be 1, iff  $A(f(x), r^\mathcal{L} \oplus e^i) \oplus b(x, r^\mathcal{L}) = x_i$ .

We want to lowerbound  $\Pr[\sum_{\mathcal{L} \subseteq [\ell]} W^\mathcal{L} > \frac{v}{2}]$

Problem: the  $W^\mathcal{L}$ 's are *dependent*!

Actual case

## Analyzing B's success probability

- 1 Let  $T^1, \dots, T^\ell$  be iid over  $\{0, 1\}^n$ .
- 2 For every  $\mathcal{L} \subseteq [\ell]$ , let  $R^\mathcal{L} = \bigoplus_{i \in \mathcal{L}} T^i$ .

### Fact 17

- 1  $\forall \mathcal{L} \subseteq [\ell]$ ,  $R^\mathcal{L}$  is uniformly distributed over  $\{0, 1\}^n$
- 2  $\forall w, y \in \{0, 1\}^n$  and  $\forall \mathcal{L} \neq \mathcal{L}' \subseteq [\ell]$ , it holds that  
 $\Pr[R^\mathcal{L} = w \wedge R^{\mathcal{L}'} = y] = \Pr[R^\mathcal{L} = w] \cdot \Pr[R^{\mathcal{L}'} = y]$

That is, the  $R^\mathcal{L}$ 's are *pairwise independent*.

Actual case

## Proving Fact 17(2)

Assume wlg. that  $1 \in (\mathcal{L}' \setminus \mathcal{L})$ .

$$\begin{aligned}
 & \Pr[R^{\mathcal{L}} = w \wedge R^{\mathcal{L}'} = y] \\
 &= \sum_{(t^2, \dots, t^\ell) \in \{0,1\}^{(\ell-1)n}} \Pr[(T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \cdot \\
 & \quad \Pr[R^{\mathcal{L}} = w \wedge R^{\mathcal{L}'} = y \mid (T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \\
 &= \sum_{(t^2, \dots, t^\ell): (\oplus_{i \in \mathcal{L}} t^i) = w} \Pr[(T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \\
 & \quad \cdot \Pr[R^{\mathcal{L}} = w \wedge R^{\mathcal{L}'} = y \mid (T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \\
 &= \sum_{(t^2, \dots, t^\ell): (\oplus_{i \in \mathcal{L}} t^i) = w} \Pr[(T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \cdot 2^{-n} \\
 &= 2^{-n} \cdot 2^{-n} = \Pr[R^{\mathcal{L}} = w] \cdot \Pr[R^{\mathcal{L}'} = y] \square
 \end{aligned}$$

Actual case

## Pairwise independence variables

### Definition 18 (pairwise independent random variables)

A sequence of random variables  $X^1, \dots, X^v$  is pairwise independent, if  $\forall i \neq j \in [v]$  and  $\forall a, b$ , it holds that

$$\Pr[X^i = a \wedge X^j = b] = \Pr[X^i = a] \cdot \Pr[X^j = b]$$

For every  $\mathcal{L} \neq \mathcal{L}' \subseteq [\ell]$ , the rvs  $R^{\mathcal{L}}$  and  $R^{\mathcal{L}'}$  are pairwise independent, and therefore also  $W^{\mathcal{L}}$  and  $W^{\mathcal{L}'}$  (why?).

### Lemma 19 (Chebyshev's inequality)

Let  $X^1, \dots, X^v$  be pairwise-independent random variables with expectation  $\mu$  and variance  $\sigma^2$ . Then, for every  $\varepsilon > 0$ ,

$$\Pr \left[ \left| \frac{\sum_{j=1}^v X^j}{v} - \mu \right| \geq \varepsilon \right] \leq \frac{\sigma^2}{\varepsilon^2 v}$$

## B's success provability cont

Assuming that B always guesses  $\{b(x, t^i)\}$  correctly, then for every  $\mathcal{L} \subseteq [\ell]$

- $E[W^{\mathcal{L}}] \geq \frac{1}{2} + \frac{1}{q(n)}$
- $\text{Var}(W^{\mathcal{L}}) := E[(W^{\mathcal{L}})^2] - E[W^{\mathcal{L}}]^2 \leq 1$

Taking  $\varepsilon = 1/2q(n)$  and  $v = 2n/\varepsilon^2$  (i.e.,  $\ell = \lceil \log(2n/\varepsilon^2) \rceil$ ), yields that

$$\Pr[m_i = x_i] = \Pr\left[\frac{\sum_{\mathcal{L} \subseteq [\ell]} W^{\mathcal{L}}}{v} > \frac{1}{2}\right] \geq 1 - \frac{1}{2n} \quad (5)$$

and by a union bound, B outputs  $x$  with probability  $\frac{1}{2}$ .

Taking the guessing into account, yields that B outputs  $x$  with probability at least  $2^{-\ell-1} \in \Omega(n/q(n)^2)$ .

# Reflections

**Hardcore functions.** Similar ideas allows to output  $\log n$  “pseudorandom bits”

**Alternative proof for the LHL.** Let  $X$  be a rv with over  $\{0, 1\}^n$  with  $H_\infty(X) \geq t$ , and assume that  $SD((R_n, \langle R_n, X \rangle_2), (R_n, U_1)) > \alpha = 2^{-c \cdot t}$  for some universal  $c > 0$ . Hence

- ①  $\exists$  (a possibly inefficient) algorithm  $D$  that distinguishes  $(R_n, \langle R_n, X \rangle_2)$  from  $(R_n, U_1)$  with advantage  $\alpha$
- ②  $\exists A$  that predicts  $\langle R_n, X \rangle_2$  given  $R_n$  with prob  $\frac{1}{2} + \alpha$
- ③ (by GL)  $\exists B$  that guesses  $X$  “from nothing”, with prob  $\alpha^{O(1)} > 2^{-t}$



## Reflections cont.

**List decoding.** An efficient encoding  $C: \{0, 1\}^n \mapsto \{0, 1\}^m$ , and a decoder  $D$ . Such that the following holds for any  $x \in \{0, 1\}^n$  and  $c$  of hamming distance  $\frac{1}{2} - \delta$  from  $C(x)$ :

$D(c, \delta)$  outputs a list of size at most  $\text{poly}(1/\delta)$  that whp. contains  $x$

The code we used here is known as the *Hadamard* code

**LPN - learning parity with noise.** Find  $x$  given polynomially many samples of  $\langle x, R_n \rangle_2 + N$ , where  $\Pr[N = 1] \leq \frac{1}{2} - \delta$ .

The difference comparing to Goldreich-Levin – no control over the  $R_n$ 's.