## **Application of Information Theory, Lecture 12**

# Accessible Entropy and Statistically Hiding Commitments

#### **Handout Mode**

Iftach Haitner

Tel Aviv University.

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## Section 1

## **Commitment Schemes**

#### **Motivation**

- Digital analogue of a safe
- Numerous applications (e.g., zero-knowledge, coin-flipping, secure computations, )

#### **Definition**

#### **Definition 1 (Commitment scheme)**

An efficient two-stage protocol (S, R).

- Commit stage: The sender S has private input  $\sigma \in \{0,1\}^*$  and the common input is 1<sup>n</sup>. The commitment stage results in a **joint** output c, the commitment, and a **private** output d of S, the decommitment.
- Reveal stage: S sends the pair (d, σ) to R, and R either accepts or rejects.

**Completeness:** R always accepts in an honest execution.

**Hiding:** In commit stage: for any R\* and equal length  $\sigma, \sigma' \in \{0, 1\}^*$ ,  $\Delta^{R^*}((S(\sigma), R^*)(1^n), (S(\sigma), R^*)(1^n)) = \text{neg}(n)$ .

**Binding:** The following happens with negligible prob. for any S\*:

 $S^*(1^n)$  interacts with  $R(1^n)$  in the commit stage resulting in a commitment c. Then  $S^*$  outputs two pairs  $(d, \sigma)$  and  $(d', \sigma')$  with  $\sigma \neq \sigma'$  and  $R(c, d, \sigma) = R(c, d', \sigma') = Accept$ .

#### **Definition cont.**

- ▶ Negligible function:  $\mu$ :  $\mathbb{N} \mapsto \mathbb{N}$  is negligible, if for any  $p \in \text{poly } \exists n_p \in \mathbb{N}$  s.t.  $\frac{1}{p(n)} < \mu(n)$  for all  $n > n_p$ .
- Hiding: Perfect, statistical, computational.
- Binding: Perfect, statistical, computational.
- Impossible to have simultaneously both properties to be statistical.
- Suffices to construct "bit commitments"
- OWFs imply both statistically binding and computationally hiding commitments, and (more difficult) computationally binding and statistically hiding commitments.
- We focus on computationally binding, and statistically hiding commitments (SHC)
- Canonical decommitment: d is S's coin and c is protocol's transcript of the commit stage, and decomitment verifies consistency.
- We will focus on constructing the commit algorithm

#### Section 2

# **Inaccessible Entropy**

#### **Motivation**

#### Definition 2 (collision resistant hash family (CRH))

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A function family \mathcal{H} = \{\mathcal{H}_n: \{0,1\}^{2n} \mapsto \{0,1\}^n\} is collision resistant, if \forall PPT A \Pr_{\substack{h \leftarrow \mathcal{H}_n \\ (x,x') \leftarrow A(1^n,h)}} [x \neq x' \in \{0,1\}^* \land h(x) = h(x')] = \text{neg}(n)
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- ▶ Implies SHC. (?) Believed not to be implied by OWFs.
- ▶ Assume for simplicity that  $h \in \mathcal{H}_n$  is  $2^n$  to one and that a PPT cannot find a collision in any  $h \in \mathcal{H}_n$
- Given  $h(U_n)$ , the (min) entropy of  $U_n$  is n/2.
- Consider PPT A that on input h first outputs y, and then outputs  $x \in h^{-1}(y)$  (possibly using additional random coins)
- What is the entropy of x given h, y and the coins A's used to sample y? (essentially) 0!
- ► The 3-block generator G(h, x) = (h, f(x), x) has inaccessible entropy n/2
- Does inaccessible entropy generator implies SHC?
- Does OWF implies inaccessible entropy generator?

## **Real entropy**

- ► Sample entropy: for rv X let  $H_X(x) = -\log \Pr_X[x]$ .
- $\vdash H(X) = \mathsf{E}_{x \leftarrow X} [H_X(x)]$
- ► Let  $G: \{0,1\}^n \mapsto (\{0,1\}^\ell)^m$  be an *m*-block generator and let  $(G_1,\ldots,G_m) = G(U_n)$
- ▶ For  $g = (g_1, ..., g_m) \in \text{Supp}(G_1, ..., G_m)$ , let

$$\mathsf{RealH}_{G}(\mathbf{g}) = \sum_{i \in [m]} H_{G_{i}|G_{1},...,G_{i-1}}(g_{i}|g_{1},...,g_{i-1})$$

- ▶ The real Shannon entropy of G is  $E_{\mathbf{q} \leftarrow G(U_n)}[RealH_G(\mathbf{g})]$
- ightharpoonup  $E_{\mathbf{g}\leftarrow G(U_n)}\left[\mathsf{RealH}_G(\mathbf{g})\right] = \sum_{i\in [m]} H(G_i|G_1,\ldots,G_{i-1}) = G(U_n)$
- In the actual construction, we sometimes measure the (real) entropy of some of the output blocks.

## **Accessible entropy**

- ▶ Let G be an m block generator
- Let  $\widetilde{G}$  be an *m*-block generator, that uses coins  $r_i$  before outputting its i'th block  $(w_i, g_i)$ .
- Let  $\widetilde{T} = (R_1, W_1, \widetilde{G}_1, \dots, R_m, W_m, \widetilde{G}_m)$  be the induced rv's in a random execution of  $\widetilde{G}$
- ►  $t = (r_1, w_1, g_1, ..., r_m, w_m, g_m) \in \text{Supp}(\widetilde{T})$  is valid with respect to G, if  $(g_1, ..., g_i) = G(w_i)_{1,...,i}$  for every  $i \in [m]$ .
- We will assume for simplicity that the string t in consideration is always valid, and omit the w's from the notation.

$$\mathsf{AccH}_{\mathsf{G},\widetilde{\mathsf{G}}}(\mathbf{t}) = \sum_{i \in [m]} H_{\widetilde{\mathsf{G}}_i|R_1,\ldots,R_{i-1}}(g_i|r_1,\ldots,r_{i-1})$$

- ► The accessible entropy of  $\widetilde{G}$  (with respect to G) is at most k, if  $\Pr_{\mathbf{t} \leftarrow \widetilde{T}} \left[ \mathsf{AccH}_{\mathsf{G},\widetilde{\mathsf{G}}}(\mathbf{t}) > k \right] \le \mathsf{neg}(n)$ . Why not  $\mathsf{E}_{\mathbf{t} \leftarrow \widetilde{T}} \left[ \mathsf{AccH}_{\mathsf{G},\widetilde{\mathsf{G}}}(\mathbf{t}) \right]$ ?
- ▶ G has inaccessible entropy d, if the accessible entropy of any PPT  $\widetilde{G}$  is smaller be at least d than its real entropy

#### **Example**

- ► Let  $\mathcal{H} = \{\mathcal{H}_n: \{0,1\}^{2n} \mapsto \{0,1\}^n\}$  be  $2^n$ -to one collision resistant, and assume for simplicity that a PPT cannot find a collision for any  $h \in \mathcal{H}_n$ .
- Let G be the 3-block generator G(h, x) = (h, h(x), x)
- ▶ Real entropy of *G* is  $\log |\mathcal{H}_n| + n$
- ► Accessible entropy of *G* is  $\log |\mathcal{H}_n| + \frac{n}{2}$

## Section 3

# **Manipulating Inaccessible Entropy**

#### **Entropy equalization**

Let *G* be *m*-bit generator.

For  $\ell \in \text{poly let } G^{\otimes \ell}$  be the following  $\ell - 1 \cdot m$ -bit generator

$$G^{\otimes \ell}(x_1,...,x_{\ell},i) = G(x_1)_i,...,G(x_1)_m,...,G(x_{\ell})_1,...,G(x_{\ell})_{i-1}$$

- Assume the accessible entropy of G is (at most)  $k_A$ , then  $k_A^{\otimes \ell}$ , the accessible entropy of  $G^{\otimes \ell}$ , is at most  $k(\ell-2)+m$ .
- Assume the real entropy of G is  $k_R$ , then
  - **1.**  $k_R^{\otimes \ell}$ , the real entropy of  $G^{\otimes \ell}$ , is at least  $k_R^{\otimes \ell} = (\ell 1)K_R$
  - **2.** For any  $i \in [(\ell-1) \cdot m]$  and  $(g_1, \dots, g_{i-1}) \in \text{Supp}(G_1^{\otimes \ell}, \dots, G_{i-1}^{\otimes \ell})$ :  $H(G_i^{\otimes \ell} | G_1^{\otimes \ell}, \dots, G_{i-1}^{\otimes \ell}) = k/\ell$
- Assume  $k_R \ge k_A + 1$ , then for  $\ell = m + 2$ , it holds that  $k_R^{\otimes \ell} \ge k_A^{\otimes \ell} + 1$

## Gap amplification and conversion to min entropy

Let G be an m-block generator and for  $\ell \in \text{poly}$ , let  $G^{\ell}$  be the  $\ell$ -fold parallel repetition of G.

- Assume accessible entropy of G is (at most)  $k_A$ , then the accessible entropy of G is at most  $k_A^{\ell} = \ell \cdot k_A$ .
- ▶ Assume  $H(G_i|G_1,...,G_{i-1}) = k_R$  for any  $i \in [m]$ , then for any  $i \in [m]$  and  $(g_1^\ell,...,g_{i-1}^\ell) \in \text{Supp}(G_1^\ell,...,G_{i-1}^\ell)$ :  $k_{min}^\ell = H_\infty(G_i^\ell|G_1^\ell,...,G_{i-1}^\ell) \approx \ell k_R$
- ▶ If  $k_A \le k_B 1$ , then  $\forall n \in \text{poly } \exists \ell \in \text{poly such that } \ell k_{min}^{\ell} > k_A^{\ell} + n$

## Section 4

## **Inaccessible Entropy from OWF**

#### The generator

#### **Definition 3**

Given a function  $f: \{0,1\}^n \mapsto \{0,1\}^n$ , let G be the (n+1)-block generator  $f(x)_1, \ldots, f(x)_n, x$ .

#### Lemma 4

Assume that f is a OWF then G has accessible entropy at most  $n - \log n$ .

- ► Recall f is OWF if  $\Pr_{X \leftarrow \{0,1\}^n; y = f(X)} \left[ \operatorname{Inv}(y) \in f^{-1}(y) \right] = \operatorname{neg}(n)$  for any PPT Inv.
- ► The real entropy of G is n
- ▶ Hence, entropy gap is log n
- Proof idea

## **Proving Lemma 4**

Assume  $\exists \ \mathsf{PPT}\ \widetilde{G} \ \mathsf{with}\ \mathsf{Pr}_{\mathbf{t}\leftarrow\widetilde{T}}\left[\mathsf{AccH}_{\mathsf{G},\widetilde{G}}(\mathbf{t}) > n - \log n\right] \geq \varepsilon = 1/\operatorname{poly}(n).$  (recall  $\widetilde{T} = (R_1,\widetilde{G}_1,\ldots,R_m,\widetilde{G}_m)$  is the coins and blocks of  $\widetilde{G}$ )

## Algorithm 5 (lnv(z))

- **1.** For i = 1 to n, do the following for  $n^2/\varepsilon$  times:
  - **1.1** Sample  $r_i$  uniformly at random and let  $g_i$  be the i'th output block of  $\widetilde{G}(r_1, \ldots, r_i)$ .
  - **1.2** If  $g_i = z_i$ , move to next value of *i*.
  - 1.3 Abort, if the maximal number of attempts is reached.
- **2.** Finish the execution of  $\widetilde{G}(r_1, \ldots, r_{n+1})$ , and output its (n+1) output block.

We finish the proof showing that

$$\Pr_{x \leftarrow \{0,1\}^n} \left[ \mathsf{Inv}(f(x)) \in f^{-1}(f(x)) \right] \ge \frac{\varepsilon}{4n}$$

## Proving Lemma 4, cont.

Let  $S \subseteq \text{Supp}(\widetilde{T})$  denote the set of transcripts  $\mathbf{t} = (r_1, g_1, \dots, r_{n+1}, g_{n+1})$  with

- 1.  $AccH_{G,\widetilde{G}}(\mathbf{t}) \ge n \log n$ , and
- **2.**  $H_{Y_i \mid \widetilde{G}_1, \dots, \widetilde{G}_{i-1}}(g_i \mid g_1, \dots, g_{i-1}) \le \log(\frac{4n}{\varepsilon})$  for all  $i \in [n]$ .

Let 
$$\mathcal{Z} := \{z \in \{0,1\}^n : \exists (r_1, g_1, \dots, r_{n+1}, g_{n+1}) \in \mathcal{S} \text{ s.t. } f(g_{n+1}) = z\}$$

For any  $z \in \mathcal{Z}$ :

$$\Pr\left[\operatorname{Inv}(z) \in f^{-1}(z)\right] \ge 1 - n \cdot \left(1 - \frac{\varepsilon}{4n}\right)^{n^2/\varepsilon} \ge 1 - O(n \cdot 2^{-n}) \ge \frac{1}{2}$$

We complete the proof showing that

- **1.**  $\Pr_{\widetilde{\tau}}[S] \ge \varepsilon/2$ , and
- **2.**  $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{Z}] \ge \Pr_{\widetilde{T}} [\mathcal{S}] / n$

Yielding that  $\Pr_{x \leftarrow \{0,1\}^n} \left[ \mathsf{Inv}(f(x)) \in f^{-1}(f(x)) \right] \ge \frac{\varepsilon}{4n}$ .

#### S is large

$$\Pr_{\widetilde{T}}[\mathcal{S}] \ge \Pr\left[\mathsf{AccH}_{G,\widetilde{G}}(T) \ge n - \log n\right]$$

$$- \Pr_{(g_1,\dots,g_{n+1}) \leftarrow (\widetilde{G}_1,\dots,\widetilde{G}_{n+1})} \left[\exists i \in [n] : H_{\widetilde{G}_i|\widetilde{G}_1,\dots,\widetilde{G}_{i-1}}(g_i \mid g_1,\dots,g_{i-1}) > \log(\frac{4n}{\varepsilon})\right]$$

$$\ge \varepsilon - n \cdot 2 \cdot \frac{\varepsilon}{4n} = \varepsilon/2$$

#### $\mathcal{Z}$ is large

For  $t = (r_1, g_1, ..., r_{n+1}, g_{n+1}) \in \text{Supp}(\widetilde{T})$  let

$$P(t) := \prod_{i=1}^{n+1} \Pr \left[ R_i = r_i \mid (R_{1,...,i-1}, \widetilde{G}_i) = (r_{1,...,i-1}, g_i) \right]$$

#### Compute

$$\Pr_{\widetilde{T}}[t] = \Pr[\widetilde{G}_1 = g_1] \cdot \Pr[R_1 = r_1 \mid \widetilde{G}_1 = g_1] 
\cdot \Pr[\widetilde{G}_2 = g_2 \mid R_1 = r_1] \cdot \Pr[R_2 = r_2 \mid \widetilde{G}_2 = g_2] \cdots 
= 2^{-\sum_{i=1}^{m} H_{\widetilde{G}_i \mid R_1, \dots, R_{i-1}} (g_i \mid r_1, \dots, r_{i-1})} \cdot P(t) 
= 2^{-\operatorname{AccH}_{G, \widetilde{G}}(t)} \cdot P(t)$$
(1)

 $\mathcal{Z}$  is large, cont.

For 
$$t = (r_1, g_1, ..., r_{n+1}, g_{n+1}) \in \text{Supp}(\widetilde{T})$$
.

$$P(t) = \prod_{i=1}^{n+1} \Pr\left[R_{i} = r_{i} \mid (R_{1,...,i-1}, \widetilde{G}_{i}) = (r_{1,...,i-1}, g_{i})\right]$$

$$= \prod_{i=1}^{n+1} \Pr\left[R_{i} = r_{i} \mid (R_{1,...,i-1}, \widetilde{G}_{i}) = (r_{1,...,i-1}, g_{i})\right] \cdot \Pr\left[\widetilde{G}_{i} = g_{i} \mid \widetilde{G}_{n+1} = g_{n+1}\right]$$

$$= \prod_{i=1}^{n+1} \Pr\left[R_{i} = r_{i} \mid (R_{1,...,i-1}, \widetilde{G}_{i}, \widetilde{G}_{n+1}) = (r_{1,...,i-1}, g_{i}, g_{n+1})\right]$$

$$\cdot \Pr\left[\widetilde{G}_{i} = g_{i} \mid \widetilde{G}_{n+1} = g_{n+1}\right]$$

$$= \Pr_{\widetilde{T}}\left[t \mid \widetilde{G}_{n+1} = g_{n+1}\right]$$

#### $\mathcal{Z}$ is large, cont..

- ► Recall,  $\mathcal{Z} = \{z \in \{0,1\}^n : \exists (r_1, g_1, \dots, r_{n+1}, g_{n+1}) \in \mathcal{S} \text{ s.t. } f(g_{n+1}) = z\}$
- ▶ By definition  $2^{-AccH_{G,\widetilde{G}}(t)} \le n \cdot 2^{-n}$ , for any  $t \in S$
- $\blacktriangleright \ \ \text{We saw } \Pr_{\widetilde{\mathcal{T}}}\left[\mathbf{t}\right] = 2^{-\mathsf{AccH}_{G,\widetilde{G}}(t)} \cdot \Pr_{\widetilde{\mathcal{T}}}\left[t \middle| \widetilde{G}_{n+1} = g_{n+1} \right] \text{ for any } \mathbf{t} \in \mathsf{Supp}(\mathcal{T}).$

#### Hence

$$\begin{split} \Pr_{\widetilde{T}}\left[\mathcal{S}\right] &\leq n \cdot 2^{-n} \cdot \sum_{\mathbf{t} \in \mathcal{S}} \Pr_{\widetilde{T}}\left[\mathbf{t} \middle| \widetilde{G}_{n+1} = g_{n+1}\right] \\ &= n \cdot 2^{-n} \cdot \sum_{z \in \mathcal{Z}} \sum_{\mathbf{t} = (\dots, g_{n+1}) \in \mathcal{S}: f(g_{n+1}) = z} \Pr_{\widetilde{T}}\left[\mathbf{t} \middle| \widetilde{G}_{n+1} = g_{n+1}\right] \\ &= n \cdot 2^{-n} \cdot \sum_{z \in \mathcal{Z}} \sum_{y \in f^{-1}(z)} \sum_{\mathbf{t} = (\dots, y)} \Pr_{\widetilde{T}}\left[\mathbf{t} \middle| \widetilde{G}_{n+1} = y\right] \\ &\leq n \cdot 2^{-n} \cdot \sum_{z \in \mathcal{Z}} \left| f^{-1}(z) \right| \\ &= n \cdot \Pr_{x \leftarrow \{0, 1\}^n}\left[f(x) \in \mathcal{Z}\right]. \Box \end{split}$$

#### Section 5

# **SHC from Inaccessible Entropy**

#### **High-level description**

- Entropy equalization + gap amplification to get generator that has the same min-entropy in each block and whose accessible entropy is n-bit smaller than the sum of the min entropies.
- Use universal hashing to get a "generator" with zero accessible entropy block
- Use target-collision-resistant hash family (a non-interactive cryptographic tool implied by OWF) to get weakly binding SHC
- Amplify the above into full-fledged SHC

## **Hashing protocol**

Let  $\mathcal{T} \subseteq \{0,1\}^{\ell}$  be  $2^{k}$ -size set.

Let  $\mathcal{H}^1$  be  $\ell$ -wise independent family mapping  $\ell$ -bit strings to k-bit strings Let  $\mathcal{H}^2$  be 2-universal family mapping  $\ell$ -length strings to n-bit strings

#### Protocol 6 ((S,R))

- 1. S selects  $x \in \mathcal{T}$
- **2.** R sends  $h^1 \leftarrow \mathcal{H}^1$  to S
- **3.** S sends  $y^1 = h^1(x)$  to R
- **4.** R sends  $h^2 \leftarrow \mathcal{H}^2$  to S
- **5.** S sends  $y^2 = h^2(x)$  to R

Let  $\widetilde{S}$  be an arbitrary algorithm and let  $Y^1$ ,  $Y^2$ ,  $H^1$ ,  $H^2$  be value of  $y^1$ ,  $y^2$ ,  $h^1$ ,  $h^2$  in a random execution of  $(\widetilde{S}, R)$ .

#### Claim 7

$$\Pr\left[\exists x \neq x' \in \mathcal{T}: H^{1}(x) = H^{1}(x') = Y^{1} \wedge H^{2}(x) = H^{3}(x') = Y^{3}\right] \in 2^{-\Omega(n)}.$$

Proof: ? Can we do it in a single round?

## "Generator" with zero accessible entropy block

Let G be m-block generator of block size  $\ell$  and input length s. Let  $\mathcal{H}^1$  be  $\ell$ -wise function family mapping  $\ell$ -bit strings of k-bit strings. Let  $\mathcal{H}^2$  be 2-universal function family mapping  $\ell$ -bit strings to n-bit strings.

## Protocol 8 (G' = (S, R))

S sets  $x \leftarrow \{0,1\}^s$ 

For i = 1 to m:

- 1. R sends  $h_i^1 \leftarrow \mathcal{H}^1$  to S
- **2.** S sends  $y_i^1 = h_i^1(G(x)_i)$  to R
- **3.** R sends  $h_i^2 \leftarrow \mathcal{H}^2$  to S
- **4.** S sends  $y_i^2 = h_i^2(G(x)_i)$  to R
- **5.** S sends  $g_i = G(x)_i$  to R
- We view G' as an m-block "interactive generator" (the blocks are  $g_1, \ldots, g_m$ ).
- Assume the blocks of G has real min-entropy (k + n + t), then the blocks of G' has real min-entropy roughly t
- Assume G has accessible entropy mk, then w.p. 1 − negl(n) in an execution of G' exists block with accessible entropy 0:

$$H_{\widetilde{G}_{i}|R_{1},...,R_{i-1},H_{1},...,H_{i},Y_{i}}(g_{i}|r_{1},...,r_{i-1},(h_{1}^{1},h_{1}^{2}),...,(h_{i}^{1},h_{i}^{2}),(y_{i}^{1},y_{i}^{2})) = 0$$
), where  $H_{i}/Y_{i}$  are the values of  $(h_{i}^{1},h_{i}^{2})/(y_{i}^{1},y_{i}^{2})$  in random execution of  $\widetilde{G}$ .

#### **Target collision-resistant functions**

#### **Definition 9 (target collision-resistant functions (TCR))**

A function family  $\mathcal{H} = \{\mathcal{H}_n\}$  is target collision resistant, if

$$\Pr_{(x,a)\leftarrow\mathsf{A}_1(1^n);h\leftarrow\mathcal{H}_n;x'\leftarrow\mathsf{A}_2(a,h)}\left[x\neq x'\wedge h(x)=h(x')\right]=\mathsf{neg}(n)$$

for any pair of PPT's  $A_1$ ,  $A_2$ .

Relaxed variant of collision resistant.

#### **Theorem 10**

OWFs imply efficient compressing TCRs.

#### Weakly binding SHC

Let G be m-block generator of block size  $\ell$  and input length s. Let  $\mathcal{H}$  be a TCR family mapping strings of length  $\ell$  to string of length k. Let  $\mathcal{G}$  be 2-universal Boolean function family over strings of length  $\ell$ .

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Protocol 11 (Com = (S(\sigma), R))

S sets x \leftarrow \{0,1\}^s and R sets i^* \leftarrow [m]

For i = 1 to m:

1. R sends h_i \leftarrow \mathcal{H} to S

2. S sends y_i = h_i(G(x)_i) to R

3. If i = i^*:

3.1 R sends g \leftarrow \mathcal{G} to S

3.2 S sends g(G(x)_i) \oplus \sigma to R

3.3 Parties stop the execution.
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- Assume the blocks of G has real min entropy (k + n), then Com is statistically hiding
- Assume G has a zero entropy block, then Com is  $\frac{1}{m}$  binding. Proof:
  - **1.** For some  $i \in [m]$ , cheating  $\widetilde{S}$  must send hash of zero-entropy block.
  - 2. If  $i^* = i$ , we have binding

#### Remarks

- ▶ OWF over *n* bits implies  $\Theta(n)$ -round SHC
- ► Can be pushed to  $\Theta(n/\log n)$  rounds
- Tight (at least for certain type of reductions)