

Foundation of Cryptography, Lecture 1

One-Way Functions

Handout Mode

Iftach Haitner, Tel Aviv University

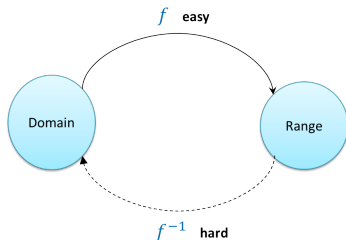
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Section 1

One-Way Functions

Informal discussion



A one-way function (OWF) is:

- Easy to compute, **everywhere**
- Hard to invert, **on the average**
- Why should we care about OWFs?
- Hidden in (almost) **any** cryptographic primitive: necessary for "cryptography"
- Sufficient for many cryptographic primitives

Formal definition

Definition 1 (one-way functions (OWFs))

A polynomial-time computable function $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ is **one-way**, if

$$\Pr_{x \xleftarrow{R} \{0, 1\}^n} [A(1^n, f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$$

for any PPT A .

- **polynomial-time computable**: there exists polynomial-time algorithm F , such that $F(x) = f(x)$ for every $x \in \{0, 1\}^*$.
- **neg**: a function $\mu: \mathbb{N} \mapsto [0, 1]$ is a **negligible** function of n , denoted $\mu(n) = \text{neg}(n)$, if for any $p \in \text{poly}$ there exists $n' \in \mathbb{N}$ such that $\mu(n) < 1/p(n)$ for **all** $n > n'$
- $x \xleftarrow{R} \{0, 1\}^n$: x is uniformly drawn from $\{0, 1\}^n$
- PPT: probabilistic polynomial-time algorithm.

We typically omit 1^n from the input list of A

Formal definition cont.

- 1 Is this the right definition?
 - ▶ Asymptotic
 - ▶ Efficiently computable
 - ▶ On the average
 - ▶ Only against PPT's
- 2 $\text{OWF} \implies \mathcal{P} \neq \mathcal{NP}$?
- 3 (most) Crypto implies OWFs
- 4 Do OWFs imply Crypto?
- 5 Where do we find them?
- 6 Non uniform OWFs

Definition 2 (Non-uniform OWF)

A polynomial-time computable function $f : \{0, 1\}^* \mapsto \{0, 1\}^*$ is **non-uniformly one-way**, if

$$\Pr_{x \leftarrow \{0,1\}^n} [C_n(f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$$

for any polynomial-size family of circuits $\{C_n\}_{n \in \mathbb{N}}$.

Length-preserving functions

Definition 3 (length preserving functions)

A function $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ is **length preserving**, if $|f(x)| = |x|$ for every $x \in \{0, 1\}^*$

Theorem 4

Assume that OWFs exist, then there exist length-preserving OWFs

Proof idea: use the assumed OWF to create a length preserving one

Partial domain functions

Definition 5 (Partial domain functions)

For $m, \ell: \mathbb{N} \mapsto \mathbb{N}$, let $h: \{0, 1\}^{m(n)} \mapsto \{0, 1\}^{\ell(n)}$ denote a function defined over input lengths in $\{m(n)\}_{n \in \mathbb{N}}$, and maps strings of length $m(n)$ to strings of length $\ell(n)$.

The definition of one-wayness naturally extends to such functions.

OWFs imply length-preserving OWFs cont.

Let $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ be a OWF, let $p \in \text{poly}$ be a bound on its computing-time and assume wlg. that p is monotony increasing (can we?).

Construction 6 (the length preserving function)

Define $g: \{0, 1\}^{p(n)} \mapsto \{0, 1\}^{p(n)}$ as

$$g(x) = f(x_1, \dots, x_n), 0^{p(n) - |f(x_1, \dots, x_n)|}$$

Note that g is well defined, length preserving and efficient (why?).

Claim 7

g is one-way.

How can we prove that g is one-way?

Answer: using reduction.

Proving that g is one-way

Proof:

Assume that g is **not** one-way. Namely, there exists PPT A , $q \in \text{poly}$ and **infinite** set $\mathcal{I} \subseteq \{p(n) : n \in \mathbb{N}\}$, with

$$\Pr_{x \leftarrow \{0,1\}^n} [A(y) \in g^{-1}(g(x))] > 1/q(n) \quad (1)$$

for every $n \in \mathcal{I}$.

We show how to use A for inverting f .

Algorithm 8 (The inverter B)

Input: 1^n and $y \in \{0, 1\}^*$

- 1 Let $x = A(1^{p(n)}, y, 0^{p(n)-|y|})$
- 2 Return $x_{1,\dots,n}$

Claim 9

Let $\mathcal{I}' := \{n \in \mathbb{N} : p(n) \in \mathcal{I}\}$. Then

- 1 \mathcal{I}' is infinite
- 2 $\Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] > 1/q(p(n))$ for every $n \in \mathcal{I}'$

This contradicts the assumed one-wayness of f . \square

Proof: (1) is clear, (2)

$$\begin{aligned} & \Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] \\ &= \Pr_{x \leftarrow \{0,1\}^n} [A(1^{p(n)}, f(x), 0^{p(n)-n})_{1,\dots,n} \in f^{-1}(f(x))] \\ &\geq \Pr_{x' \leftarrow \{0,1\}^{p(n)}} [A(1^{p(n)}, g(x)) \in g^{-1}(g(x))] \geq 1/q(p(n)) \end{aligned}$$

From partial-domain OWFs to OWFs

Construction 10

Given a function $f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^{\ell(n)}$, define $f_{\text{all}}: \{0, 1\}^* \mapsto \{0, 1\}^*$ as

$$f_{\text{all}}(x) = f(x_1, \dots, x_k), 0^{n-k}$$

where $n = |x|$ and $k := \max\{\ell(n') \leq n: n' \in [n]\}$.

Clearly, f_{all} is length preserving defined for **every** input length, and efficient (i.e., poly-time computable) in case f and ℓ are.

Claim 11

Assume f and ℓ are efficiently computable, f is one-way, and ℓ satisfies $1 \leq \frac{\ell(n+1)}{\ell(n)} \leq p(n)$ for some $p \in \text{poly}$, then f_{all} is one-way function.

Proof: ?

Few Remarks

More “security-preserving” reductions exists.

Convention for rest of the talk

Let $f: \{0, 1\}^n \mapsto \{0, 1\}^n$ be a one-way function.

Weak One Way Functions

Definition 12 (weak one-way functions)

A poly-time computable function $f: \{0, 1\}^* \mapsto \{0, 1\}^*$ is α -one-way, if

$$\Pr_{x \leftarrow \{0,1\}^n} [A(1^n, f(x)) \in f^{-1}(f(x))] \leq \alpha(n)$$

for any PPT A and large enough $n \in \mathbb{N}$.

- 1 (strong) OWF according to Definition 1, are neg-one-way according to the above definition
- 2 Can we “amplify” weak OWF to strong ones?

Strong to Weak OWFs

Claim 13

Assume there exists OWFs, then there exist functions that are $\frac{2}{3}$ -one-way, but **not** (strong) one-way

Proof: For a OWF f , let

$$g(x) = \begin{cases} (1, f(x)), & x_1 = 1; \\ 0, & \text{otherwise } (x_1 \neq 1). \end{cases}$$

Weak to Strong OWFs

Theorem 14 (weak to strong OWFs (Yao))

Assume there exist $(1 - \delta)$ -weak OWFs with $\delta(n) \geq 1/q(n)$ for some $q \in \text{poly}$, then there exist (strong) one-way functions.

- Idea: parallel repetition (i.e., direct product): Consider $g(x_1, \dots, x_t) = f(x_1), \dots, f(x_t)$ for large enough t
- Motivation: if something is somewhat hard, than doing it many times is (very) hard
- But, is it really so?

Consider matrix multiplication: Let $A \in \mathbb{R}^{n \times n}$ and $x \in \mathbb{R}^n$

Computing Ax takes $\Theta(n^2)$ times, but computing $A(x_1, x_2, \dots, x_n)$ takes ... only $O(n^{2.3\dots}) < \Theta(n^3)$

- Fortunately, parallel repetition does amplify weak OWFs :-)

Amplification via Parallel Repetition

Theorem 15

Let $f: \{0, 1\}^n \mapsto \{0, 1\}^n$, and for $t(n) := \left\lceil \frac{\log^2 n}{\delta(n)} \right\rceil$ define

$g: (\{0, 1\}^n)^{t(n)} \mapsto (\{0, 1\}^n)^{t(n)}$ as

$$g(x_1, \dots, x_{t(n)}) = f(x_1), \dots, f(x_{t(n)})$$

Assume f is $(1 - \delta)$ -weak OWF and $\delta(n) = 1/q(n)$ for some (positive) $q \in \text{poly}$, then g is a one-way function.

Clearly g is efficient. Is it one-way? Proof via **reduction**: Assume \exists PPT A violating the one-wayness of g , we show there exists a PPT B violating the weak hardness of f .

Difficulty: We need to use an inverter for g with **low** success probability, e.g., $\frac{1}{n}$, to get an inverter for f with **high** success probability, e.g., $\frac{1}{2}$ or even $1 - \frac{1}{n}$

In the following we fix (an assumed) PPT A , $p \in \text{poly}$ and infinite set $\mathcal{I} \subseteq \mathbb{N}$ s.t.

$$\Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(w)) \in g^{-1}(g(w))] \geq 1/p(n)$$

for every $n \in \mathcal{I}$. We also “fix” $n \in \mathcal{I}$ and omit it from the notation.

Proving that g is One-Way – the Naive Approach

Assume A attacks each of the t outputs of g **independently**: \exists PPT A' such that $A(z_1, \dots, z_t) = A'(z_1) \dots, A'(z_t)$

It follows that A' inverts f with probability **greater** than $(1 - \delta(n))$.
Otherwise

$$\begin{aligned} \Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(w)) \in g^{-1}(g(w))] &= \prod_{i=1}^t \Pr_{x \leftarrow \{0,1\}^n} [A'(f(x)) \in f^{-1}(f(x))] \\ &\leq (1 - \delta(n))^{t(n)} \leq e^{-\log^2 n} \leq n^{-\log n} \end{aligned}$$

Hence A' violates the weak hardness of f

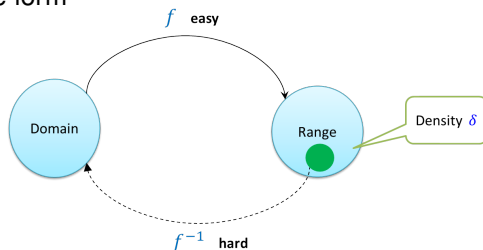
A less naive approach would be to assume that A goes over the inputs **sequentially**.

Unfortunately, we can assume **none** of the above.

Any idea?

Hardcore Sets

Assume f is of the form



Definition 16 (hardcore sets)

$\mathcal{S} = \{\mathcal{S}_n \subseteq \{0, 1\}^n\}$ is a δ -hardcore set for $f: \{0, 1\}^n \mapsto \{0, 1\}^n$, if:

- 1 $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \delta(n)$ for large enough n , and
- 2 For any PPT A and $q \in \text{poly}$: for large enough n , it holds that $\Pr [A(y) \in f^{-1}(y)] \leq \frac{1}{q(n)}$ for every $y \in \mathcal{S}_n$.

Assuming f has a δ seems like a good starting point :-)

Unfortunately, we do not know how to prove that f has hardcore set :-<

Failing Sets

Definition 17 (failing sets)

A function $f: \{0, 1\}^n \mapsto \{0, 1\}^n$ has a δ -failing set for a pair (A, q) of algorithm and polynomial, if **exists** $\mathcal{S} = \{\mathcal{S}_n \subseteq \{0, 1\}^n\}$, such that the following holds for large enough n :

- 1 $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}_n] \geq \delta(n)$, and
- 2 $\Pr [A(y) \in f^{-1}(y)] \leq 1/q(n)$, for **every** $y \in \mathcal{S}_n$

Claim 18

Let f be a $(1 - \delta)$ -OWF, then f has a $\delta/2$ -failing set, for **any** pair of PPT A and $q \in \text{poly}$.

Proof: Assume \exists PPT A and $q \in \text{poly}$, such that for any $\mathcal{S} = \{\mathcal{S}_n \subseteq \{0, 1\}^n\}$ **at least** one of the following holds:

- 1 $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}_n] < \delta(n)/2$ for infinitely many n 's, or
- 2 For infinitely many n 's: $\exists y \in \mathcal{S}_n$ with $\Pr [A(y) \in f^{-1}(y)] \geq 1/q(n)$.

We'll use A to contradict the hardness of f .

Using A to Invert f

For $n \in \mathbb{N}$, let $\mathcal{S}_n := \{y \in \{0, 1\}^n : \Pr[A(y) \in f^{-1}(y)] < 1/q(n)\}$.

Claim 19

\exists infinite $\mathcal{I} \subseteq \mathbb{N}$ with $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{S}_n] < \delta(n)/2$ for every $n \in \mathcal{I}$.

Algorithm 20 (The inverter B on input $y \in \{0, 1\}^n$)

Do (with fresh randomness) for $n \cdot q(n)$ times:

If $x = A(y) \in f^{-1}(y)$, return x

Clearly, B is a PPT

Claim 21

For $n \in \mathcal{I}$, it holds that $\Pr_{x \leftarrow \{0,1\}^n} [B(f(x)) \in f^{-1}(f(x))] > 1 - \frac{\delta(n)}{2} - 2^{-n}$

Proof: ?

Hence, for large enough $n \in \mathcal{I}$: $\Pr_{x \leftarrow \{0,1\}^n} [B(f(x)) \in f^{-1}(f(x))] > 1 - \delta(n)$.

Namely, f is **not** $(1 - \delta)$ -one-way \square

Proving g is One-Way cont.

We show that if g is **not** one way, then f has **no** $\delta/2$ flailing-set for some PPT B and $q \in \text{poly}$.

Claim 22

Assume \exists PPT A , $p \in \text{poly}$ and an infinite set $\mathcal{I} \subseteq \mathbb{N}$ such that

$$\Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(x)) \in g^{-1}(g(w))] \geq \frac{1}{p(n)}$$

for every $n \in \mathcal{I}$. Then \exists PPT B such that

$$\Pr_{x \leftarrow \{0,1\}^n | y=f(x) \in S_n} [B(y) \in f^{-1}(y)] \geq \frac{1}{t(n)p(n)} - n^{-\log n}$$

for every $n \in \mathcal{I}$ and **every** $S_n \subseteq \{0,1\}^n$ with $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in S_n] \geq \delta(n)/2$.

Fix $\mathcal{S} = \{S_n \subseteq \{0,1\}^n\}$. By **Claim 22**, for every $n \in \mathcal{I}$, either

- $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in S_n] < \delta(n)/2$, or
- $\Pr_{x \leftarrow \{0,1\}^n | y=f(x) \in S_n} [B(y) \in f^{-1}(y)] \geq \frac{1}{t(n)p(n)} - n^{-\log n}$ (for large enough $n \in \mathcal{I}$)
(for large enough $n \in \mathcal{I}$) $\implies \exists y \in S_n: \Pr [B(y) \in f^{-1}(y)] \geq \frac{1}{2t(n)p(n)}$

Namely, f has **no** $\delta/2$ failing set for $(B, q = 2t(n)p(n))$

The No Failing-Set Algorithm

Algorithm 23 (Inverter B on input $y \in \{0, 1\}^n$)

- 1 Choose $w \xleftarrow{R} (\{0, 1\}^n)^{t(n)}$, $z = (z_1, \dots, z_t) = g(w)$ and $i \xleftarrow{R} [t]$
- 2 Set $z' = (z_1, \dots, z_{i-1}, y, z_{i+1}, \dots, z_t)$
- 3 Return $A(z')_i$

Fix $n \in \mathcal{I}$ and a set $\mathcal{S}_n \subseteq \{0, 1\}^n$ with $\Pr_{x \xleftarrow{R} \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \delta(n)/2$.

Claim 24

$$\Pr_{x \xleftarrow{R} \{0, 1\}^n | y=f(x) \in \mathcal{S}_n} [B(y) \in f^{-1}(y)] \geq \frac{1}{t(n) \cdot p(n)} - n^{-\log n}.$$

Proof: Assume for simplicity that A is deterministic.



Let $\text{Typ} = \{v \in \{0, 1\}^{t(n) \cdot n} : \exists i \in [t(n)] : v_i \in \mathcal{S}_n\}$. $\Pr_z [\text{Typ}] \geq 1 - n^{-\log n}$.

For all $\mathcal{L} \subseteq \{0, 1\}^{t(n) \cdot n}$: $\Pr_{z'} [\mathcal{L}] \geq \frac{\Pr_z [\mathcal{L} \cap \text{Typ}]}{t(n)} \geq \frac{\Pr_z [\mathcal{L}] - n^{-\log n}}{t(n)}$. \square

To conclude the proof take $\mathcal{L} = \{v \in \{0, 1\}^{t(n) \cdot n} : A(v) \in g^{-1}(v)\}$

Closing remarks

- Weak OWFs can be **amplified** into strong one
- Can we give a more security preserving amplification?
- Similar hardness amplification theorems for other cryptographic primitives (e.g., Captchas, general protocols)?
- What properties of the weak OWFs have we used in the proof?