Application of Information Theory, Lecture 6 Counting

Iftach Haitner

Tel Aviv University.

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Section 1

Graph Homomorphisms

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- Special case of a more general theorem

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Section 2

Perfect Matchings

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$$\begin{split} \log |\mathcal{M}| &= H(M) = H(M(1)) + H(M(2)|M(1)) + \ldots + H(M(n)|M(1), \ldots, M(n-1)) \\ &\leq H(M(1)) + H(M(2)) + \ldots + H(M(n)) \\ &\leq \log d(1) + \log d(2) + \ldots + \log d(n) \\ &= \log \prod_{i \in [n]} d(i) \end{split}$$

Key observations:

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- $\implies \mathsf{E}_P[H(M(i) \mid M(\mathcal{S}_P(i)))] \le \frac{1}{d(i)} \sum_{k=1}^{d(i)} \log k = \log ((d(i)!)^{1/d(i)})$
- \Longrightarrow

$$H(M) = \mathop{\mathsf{E}}_{P} \left[\sum_{i=1}^{n} H(M(i)|M(\mathcal{S}_{P}(i))) \right]$$

- Key observations:
 - $H(M(i|M(1),\ldots,M(i-1)) \leq \log |N(i) \setminus \{M(1),\ldots,M(i-1)\}|$
- ▶ Let \mathcal{P} be the set of all permutation over [n]. For $p \in \mathcal{P}$:

$$H(M) = H(M(p(1))) + \ldots + H(M(p(n))|M(p(1)), \ldots, M(p(n-1)))$$

- ▶ $S_p(i) = \{j \in [n]: p^{-1}(j) < p^{-1}(i)\}$ matchings proceeding i w.r.t. p
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Section 3

 $H(X_1, X_2, X_3)$ Vs. $H(X_1, X_2) + H(X_2, X_3) + H(X_3, X_1)$

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 Vs. $H(X_1, X_2) + H(X_2, X_3) + H(X_3, X_1)$

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Let $X = (X_1, ..., X_n)$ be a rv and let \mathcal{F} be a family of subset of [n] s.t. each $i \in [n]$ appears in at least m subset of \mathcal{F} . Then $H(X) \leq \frac{1}{m} \sum_{F \in \mathcal{F}} H(X_F)$.

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Corollary 3

Let
$$\mathcal{F} = \{F \subseteq [n] \colon |F| = k\}$$
. Then $H(X) \leq \frac{n}{k} \cdot \frac{1}{\binom{n}{k}} \cdot \sum_{F \in \mathcal{F}} H(X_F) = \frac{n}{k} \cdot \mathsf{E}_{F \leftarrow \mathcal{F}} [H(X_F)].$

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. Then $H(X) \leq \frac{n}{k} \cdot \frac{1}{\binom{n}{k}} \cdot \sum_{F \in \mathcal{F}} H(X_F) = \frac{n}{k} \cdot \mathsf{E}_{F \leftarrow \mathcal{F}} [H(X_F)].$

Proof: $\frac{k}{n} \cdot \binom{n}{k}$ is the # of times *i* appears in \mathcal{F} .

Implications:

▶ Let $Q \subseteq \{0,1\}^n$ and $X = (X_1, \dots, X_n) \leftarrow Q$

Corollary 3

Let
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- ▶ $E_F[H(X_F)]$ is small $\implies Q$ is small
- ▶ Q is large \implies $E_F[H(X_F)]$ is large

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 - ▶ Stronger conclusion: X_F is close to the uniform distribution.

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▶ If dk << n, then $\exists F \in \mathcal{F}$ s.t. X_F is close to the uniform distribution (over k bits)

Section 4

Gold Coins

ightharpoonup Q — (finite) set of points in \mathbb{R}^3

- ightharpoonup Q (finite) set of points in \mathbb{R}^3
 - ► Projection of *Q* on *xy* 6

- ▶ Q (finite) set of points in \mathbb{R}^3
 - ▶ Projection of Q on xy 6
 - ▶ Projection of Q on xz 8

- ▶ Q (finite) set of points in \mathbb{R}^3
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- ightharpoonup Q (finite) set of points in \mathbb{R}^3
 - ▶ Projection of Q on xy 6
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 - ▶ Projection of *Q* on *yz* 12
- ► Can we bound |Q|?

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- The real story

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- $\blacktriangleright X = (X_1, X_2, X_3) \leftarrow Q$

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 - Projection of Q on xy 6
 - Projection of Q on xz 8
 - ▶ Projection of Q on yz 12
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- The real story
- $\blacktriangleright X = (X_1, X_2, X_3) \leftarrow Q$

$$\log |Q| = H(X) \le \frac{1}{2} (H(X_1, X_2) + H(X_1, X_3) + H(X_2, X_3))$$

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 - Projection of Q on xy 6
 - Projection of Q on xz 8
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 - ▶ Projection of Q on xy 6
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- $\blacktriangleright X = (X_1, X_2, X_3) \leftarrow Q$

$$\begin{aligned} \log |Q| &= H(X) \le \frac{1}{2} (H(X_1, X_2) + H(X_1, X_3) + H(X_2, X_3)) \\ &\le \frac{1}{2} (\log 6 + \log 8 + \log 12) \end{aligned}$$

- Q (finite) set of points in R³
 - Projection of Q on xy 6
 - Projection of Q on xz 8
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► Hence, $|Q| \le \sqrt{6 \cdot 8 \cdot 12} = 24$

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- ► $\log |Q| = H(X) \le \frac{1}{n-1} \sum_{i} H(X_{-i}) \le \frac{1}{n-1} \sum_{i} \log m_{i}$

Section 5

Independent Sets

Theorem 4

Let G = (A, B, E) be an n-regular graph with |A| = |B| = m. Then the number of independent sets in G is at most $(2^{n+1} - 1)m$.

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Proof: \mathcal{I} — set of independent sets in G.

▶ Let $I \leftarrow \mathcal{I}$, let $X_v = 1$ iff $v \in I$, and $X_S = \{X_v : v \in S\}$.

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Fix
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Let G = (A, B, E) be an n-regular graph with |A| = |B| = m. Then the number of independent sets in G is at most $(2^{n+1} - 1)m$.

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- ► Hence $H(I) \le \sum_{v \in A} p(v) + \frac{1}{n} (h(p(v)) + (1 p(v)) \log(2^n 1))$

►
$$\log |\mathcal{I}| = H(I) \le \sum_{v \in A} p(v) + \frac{1}{n} (h(p(v)) + (1 - p(v)) \log(2^n - 1))$$

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- ▶ Hence, $\log |\mathcal{I}| \leq \frac{m}{n} \log(2^{n+1} 1)$. \square

Section 6

Intersecting Graphs

Corollary 5

Let \mathcal{A} and \mathcal{F} be collections of subsets of [n], and for $F \in \mathcal{F}$ let \mathcal{A}_F be the collection $\{A \cap F : A \in \mathcal{A}\}$. Assume that each element of [n] appears in at least m subsets of \mathcal{F} , then $|\mathcal{A}|^m \leq \prod_{F \in \mathcal{F}} |\mathcal{A}_F|$.

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- ▶ Let $X = (X_1, ..., X_n) \leftarrow A$.
- ► $\log |A_F| \ge H(X_F)$ ($Supp(X_F) \subseteq A_F$)
- ▶ By Shearer's lemma, $\log |\mathcal{A}| = H(X) \leq \frac{1}{m} \sum_{F \in \mathcal{F}} H(X_F)$. \square

Theorem 6

Let \mathcal{G} be a family of graphs over [n], s.t. $G \cap G'$ contains a triangle for each $G, G' \in \mathcal{G}$. Then $|\mathcal{G}| \leq 2^{\binom{n}{2}-2}$.

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- ► Hence, $|\mathcal{G}| \le 2^{m \frac{m}{m'}} \le 2^{\binom{n}{2} 2}$

Section 7

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Theorem 7 (Next lecture)

Let X rv over [m]. Assume $H(X) \ge \log m - \varepsilon$, then

$$SD(X, \sim [m]) \le \sqrt{\varepsilon \cdot \frac{\ln 2}{2}} = O(\sqrt{\varepsilon})$$