# **Application of Information Theory, Lecture 11**

# Pseudo-Entropy and Pseudorandom Generators

#### **Handout Mode**

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# Part I

# **Motivation**

## **Encryption schemes**

#### **Definition 1**

A pair of algorithms (E, D) is (perfectly correct) encryption scheme, if for any  $k \in \{0,1\}^n$  and  $m \in \{0,1\}^\ell$ , it holds that D(k, E(k,m)) = m

- What security should we ask from such scheme?
- ▶ Perfect secrecy:  $\mathsf{E}_K(m) \equiv \mathsf{E}_K(m')$ , for any  $m, m' \in \{0, 1\}^\ell$  and  $K \sim \{0, 1\}^n$ , letting  $\mathsf{E}_k(x) := \mathsf{E}(k, x)$ .
- ▶ Theorem (Shannon): Perfect secrecy implies  $n \ge \ell$ .
- Is is bad? Is it optimal?
- ▶ Proof: Let  $M \sim \{0,1\}^n$ .
- ▶ Perfect secrecy  $\implies$   $H(M, E_K(M)) = H(M, E_K(0^{\ell}))$
- $\blacktriangleright \implies H(M|\mathsf{E}_K(M)) = H(M,\mathsf{E}_K(M)) H(\mathsf{E}_K(M)) = H(M|\mathsf{E}_K(0^\ell)) = n$
- ▶ Perfect correctness  $\implies$   $H(M|E_K(M), K) = 0$
- $\blacktriangleright \implies H(M|\mathsf{E}_K(M)) \le H(M,K|\mathsf{E}_K(M)) \le H(K|\mathsf{E}_K(M)) + 0 \le H(K)$
- $ightharpoonup m \leq \ell.\square$
- Statistical security? HW. Computational security?

# Part II

# Statistical Vs. Computational distance

#### Distributions and statistical distance

Let  $\mathcal P$  and  $\mathcal Q$  be two distributions over a finite set  $\mathcal U$ . Their statistical distance (also known as, variation distance) is defined as

$$SD(\mathcal{P}, \mathcal{Q}) := \frac{1}{2} \sum_{x \in \mathcal{U}} |\mathcal{P}(x) - \mathcal{Q}(x)| = \max_{S \subseteq \mathcal{U}} (\mathcal{P}(S) - \mathcal{Q}(S))$$

We will only consider finite distributions.

#### Claim 2

For any pair of (finite) distributions  $\mathcal{P}$  and  $\mathcal{Q}$ , it holds that

$$\mathsf{SD}(\mathcal{P},\mathcal{Q}) = \max_{\mathsf{D}} \{\Delta^{\mathsf{D}}(\mathcal{P},\mathcal{Q}) := \Pr_{x \leftarrow \mathcal{P}} \left[ \mathsf{D}(x) = 1 \right] - \Pr_{x \leftarrow \mathcal{Q}} \left[ \mathsf{D}(x) = 1 \right] \},$$

where D is any algorithm.

Let  $\mathcal{P}, \mathcal{Q}, \mathcal{R}$  be finite distributions, then

**Triangle inequality:**  $SD(P, R) \leq SD(P, Q) + SD(Q, R)$ 

Repeated sampling:  $SD(\mathcal{P}^2 = (\mathcal{P}, \mathcal{P}), \mathcal{Q}^2 = (\mathcal{Q}, \mathcal{Q})) \leq 2 \cdot SD(\mathcal{P}, \mathcal{Q})$ 

# Section 1

# **Computational Indistinguishability**

# Computational indistinguishability

### **Definition 3 (computational indistinguishability)**

 $\mathcal{P}$  and  $\mathcal{Q}$  are  $(s, \varepsilon)$ -indistinguishable, if  $\Delta_{\mathcal{P}, \mathcal{Q}}^{\mathsf{D}} \leq \varepsilon$ , for any s-size D.

- Adversaries are circuits (possibly randomized)
- $lackbox(\infty,arepsilon)$ -indistinguishable is equivalent to statistical distance arepsilon
- ▶ We sometimes think of  $s = n^{\omega(1)}$  and  $\varepsilon = 1/s$ , where n is the "security parameter"
- Can it be different from the statistical case?
- ▶ Unless said otherwise, distributions are over  $\{0,1\}^n$

# Repeated sampling

#### **Question 4**

Assume  $\mathcal{P}$  and  $\mathcal{Q}$  are  $(s, \varepsilon)$ -indistinguishable, what about  $\mathcal{P}^2$  and  $\mathcal{Q}^2$ ?

▶ Let D be an s'-size algorithm with  $\Delta^{D}(\mathcal{P}^{2}, \mathcal{Q}^{2}) = \varepsilon'$ 

$$\varepsilon' = \Pr_{x \leftarrow \mathcal{P}^2} [D(x) = 1] - \Pr_{x \leftarrow \mathcal{Q}^2} [D(x) = 1]$$

$$= (\Pr_{x \leftarrow \mathcal{P}^2} [D(x) = 1] - \Pr_{x \leftarrow (\mathcal{P}, \mathcal{Q})} [D(x) = 1])$$

$$+ (\Pr_{x \leftarrow (\mathcal{P}, \mathcal{Q})} [D(x) = 1] - \Pr_{x \leftarrow \mathcal{Q}^2} [D(x) = 1])$$

$$= \Delta^D(\mathcal{P}^2, (\mathcal{P}, \mathcal{Q})) + \Delta^D((\mathcal{P}, \mathcal{Q}), \mathcal{Q}^2)$$

- ► So either  $\Delta^{\mathbb{D}}(\mathcal{P}^2, (\mathcal{P}, \mathcal{Q})) \geq \varepsilon'/2$ , or  $\Delta^{\mathbb{D}}((\mathcal{P}, \mathcal{Q}), \mathcal{Q}^2) \geq \varepsilon'/2$
- ▶ Hence,  $\varepsilon' < 2\varepsilon$  implies s' > s n.

# Repeated sampling cont.

What about  $\mathcal{P}^k$  and  $\mathcal{Q}^k$ ?

#### Claim 5

Assume  $\mathcal{P}$  and  $\mathcal{Q}$  are  $(s, \varepsilon)$ -indistinguishable, then  $\mathcal{P}^k$  and  $\mathcal{Q}^k$  are  $(s - kn, k\varepsilon)$ -indistinguishable.

#### Proof: ?

- ► For  $i \in \{0, ..., k\}$ , let  $H^i = (P_1, ..., P_i, Q_{i+1}, ..., Q_k)$ , where the  $P_i$ 's are iid  $\sim \mathcal{P}$  and the  $Q_i$ 's are iid  $\sim \mathcal{Q}$ . (hybrids)
- ▶ Let D be a s'-size algorithm with  $\Delta^{D}(\mathcal{P}^{k}, \mathcal{Q}^{k}) = \varepsilon'$
- $\blacktriangleright \ \varepsilon' = \textstyle \sum_{i \in [k]} \mathsf{Pr} \left[ \mathsf{D}(H^i) = 1 \right] \mathsf{Pr} \left[ \mathsf{D}(H^{i-1}) = 1 \right] = \textstyle \sum_{i \in [k]} \Delta^{\mathsf{D}}(H^i, H^{i-1})$
- ▶  $\implies \exists i \in [k] \text{ with } \Delta^{\mathsf{D}}(H^i, H^{i-1}) \geq \varepsilon'/k.$
- ▶ Thus,  $\varepsilon' \le k\varepsilon$  implies s' > s kn
- When considering bounded time algorithms, things behaves very differently!

# Part III

# **Pseudorandom Generators**

# **Pseudorandom generator**

# **Definition 6 (pseudorandom distributions)**

A distribution  $\mathcal{P}$  over  $\{0,1\}^n$  is  $(s,\varepsilon)$ -pseudorandom, if it is  $(s,\varepsilon)$ -indistinguishable from  $U_n$ .

▶ Do such distributions exit for interesting  $(s, \varepsilon)$ 

# Definition 7 (pseudorandom generators (PRGs))

A poly-time computable function  $g: \{0,1\}^n \mapsto \{0,1\}^{\ell(n)}$  is a  $(s,\varepsilon)$ -pseudorandom generator, if for any  $n \in \mathbb{N}$ 

- g is length extending (i.e.,  $\ell(n) > n$ )
- ▶  $g(U_n)$  is  $(s(n), \varepsilon(n))$ -pseudorandom
- ▶ We omit the "security parameter", i.e., *n*, when its value is clear from the context
- Do such generators exist?
- Applications?

# Section 2

# Pseudorandom generators (PRGs) from One-Way Permutations (OWPs)

#### **OWP to PRG**

#### Claim 8

Let  $f: \{0,1\}^n \mapsto \{0,1\}^n$  be a poly-time permutation and let  $b: \{0,1\}^n \mapsto \{0,1\}$  be a poly-time  $(s,\varepsilon)$ -hardcore predicate of f, then g(x) = (f(x),b(x)) is a  $(s-O(n),\varepsilon)$ -PRG.

- ▶ Hence, OWP ⇒ PRG
- ▶ Proof: Let D be an s'-size algorithm with  $\Delta^{D}(g(U_n), U_{n+1}) = \varepsilon'$ , we will show  $\exists (s' + O(n))$ -size P with  $\Pr[P(f(U_n)) = b(U_n)] = \frac{1}{2} + \varepsilon'$ .
- ▶ Let  $\delta = \Pr[D(U_{n+1}) = 1]$  (hence,  $\Pr[D(g(U_n)) = 1] = \delta + \varepsilon'$ )
- Compute

$$\begin{split} \delta &= \Pr[\mathsf{D}(f(U_n), U_1) = 1] \quad (f \text{ is a permuation}) \\ &= \Pr[U_1 = b(U_n)] \cdot \Pr[\mathsf{D}(f(U_n), U_1) = 1 \mid U_1 = b(U_n)] \\ &+ \Pr[U_1 = \overline{b(U_n)}] \cdot \Pr[\mathsf{D}(f(U_n), U_1) = 1 \mid U_1 = \overline{b(U_n)}] \\ &= \frac{1}{2}(\delta + \varepsilon') + \frac{1}{2} \cdot \Pr[\mathsf{D}(f(U_n), U_1) = 1 \mid U_1 = \overline{b(U_n)}]. \end{split}$$

▶ Hence,  $\Pr\left[D(f(U_n), \overline{b(U_n)}) = 1\right] = \delta - \varepsilon'$ 

#### **OWP to PRG cont.**

- ▶  $Pr[D(f(U_n), b(U_n)) = 1] = \delta + \varepsilon'$
- ▶  $Pr[D(f(U_n), \overline{b(U_n)}) = 1] = \delta \varepsilon'$

## Algorithm 9 (P)

Input:  $y \in \{0, 1\}^n$ 

- 1. Flip a random coin  $c \leftarrow \{0, 1\}$ .
- **2.** If D(y, c) = 1 output c, otherwise, output  $\overline{c}$ .
- It follows that

$$\begin{aligned} \Pr[\mathsf{P}(f(U_n)) &= b(U_n)] = \Pr[c = b(U_n)] \cdot \Pr[\mathsf{D}(f(U_n), c) = 1 \mid c = b(U_n)] \\ &+ \Pr[c = \overline{b(U_n)}] \cdot \Pr[\mathsf{D}(f(U_n), c) = 0 \mid c = \overline{b(U_n)}] \\ &= \frac{1}{2} \cdot (\delta + \varepsilon') + \frac{1}{2} (1 - \delta + \varepsilon') = \frac{1}{2} + \varepsilon'. \end{aligned}$$

# Part IV

# **PRG from Regular OWF**

# **Computational notions of entropy**

#### **Definition 10**

X has  $(s, \varepsilon)$ -pseudoentropy at least k, if  $\exists$  rv Y with  $H(Y) \ge k$  and  $\Delta^{\mathbb{D}}(X, Y) \le \varepsilon$  for any s-size D.  $(s, \varepsilon)$ -pseudo min/Reiny -entropy are analogously defined.

- Example
- Repeated sampling
- Non-monotonicity
- Ensembles
- ▶ In the following we will simply write  $(s, \varepsilon)$ -entropy, etc

# High entropy OWF from regular OWF

#### Claim 11

Let  $f: \{0,1\}^n \mapsto \{0,1\}^n$  be a  $2^k$ -regular  $(s,\varepsilon)$ -one-way, let  $\mathcal{H} = \{h: \{0,1\}^n \mapsto \{0,1\}^{k+2}\}$  be 2-universal family, and let g(h,x) = (f(x),h,h(x)). Then

- **1.**  $H_2(g(U_n, H)) \ge 2n \frac{1}{2}$ , for  $H \leftarrow \mathcal{H}$ .
- **2.** g is  $(\Theta(s\varepsilon^2), 2\varepsilon)$ -one-way.
- $\blacktriangleright$  k and m and  $\mathcal{H}$  are parameterized by of n
- ▶ We assume  $\log |\mathcal{H}| = n$  and  $s \ge n$

# g has high Renyi entropy

$$\begin{split} \mathsf{CP}(g(U_n,H)) &:= \Pr_{w,w' \leftarrow \{0,1\}^n \times \mathcal{H}} [g(w) = g(w')] \\ &= \Pr_{h,h' \leftarrow \mathcal{H}} [h = h'] \cdot \Pr_{(x,x') \leftarrow (\{0,1\}^n)^2} [f(x) = f(x')] \\ &\cdot \Pr_{h \leftarrow \mathcal{H}; (x,x') \leftarrow (\{0,1\}^n)^2} [h(x) = h(x') \mid f(x) = f(x')] \\ &= \mathsf{CP}(\mathsf{H}) \cdot \mathsf{CP}(f(U_n)) \cdot (2^{-k} + (1 - 2^{-k}) \cdot 2^{-k-2}) \\ &\leq \mathsf{CP}(H) \cdot \mathsf{CP}(f(U_n)) \cdot 2^{-k} \cdot \frac{4}{3} = 2^{-n} \cdot 2^{-n} \cdot \frac{4}{3}. \end{split}$$

Hence,  $H_2(g(U_n, H)) \ge 2n + \log \frac{3}{4} \ge 2n - \frac{1}{2}$ .

### g is one-way

Let A be an s'-size algorithm that inverts g w.p  $\varepsilon'$  and let  $\ell = k - \lceil 2 \log \frac{1}{\varepsilon'} \rceil$ .

Consider the following inverter for f

# Algorithm 12 (B)

Input:  $y \in \{0, 1\}^n$ .

Return D(y, h, z), for  $h \leftarrow \mathcal{H}$  and  $z \leftarrow \{0, 1\}^{\ell}$ .

# Algorithm 13 (D)

Input:  $y \in \{0,1\}^n$ ,  $h \in \mathcal{H}$  and  $z_1 \in \{0,1\}^{\ell}$ .

For all  $z_2 \in \{0, 1\}^{k+2-\ell}$ :

- **1.** Let  $(x, h) = A(y, h, z_1 \circ z_2)$ .
- **2.** If f(x) = y, return x.
- ▶ B's size is  $((s' + O(n)) \cdot 2^{2 \log \frac{1}{\varepsilon'} + 2} = \Theta(s'/\varepsilon^2)$
- ▶  $\Pr_{x \leftarrow \{0,1\}^n; h \leftarrow \mathcal{H}} \left[ \mathsf{D}(f(x), h, h(x)_{1,\dots,\ell}) \in f^{-1}(f(x)) \right] = \varepsilon'$

## g is one-way, cont.

We saw that

$$\Pr_{x \leftarrow \{0,1\}^n; h \leftarrow \mathcal{H}} \left[ \mathsf{D}(f(x), h, h(x)_{1,\dots,\ell}) \in f^{-1}(f(x)) \right] = \varepsilon' \tag{1}$$

By the leftover hash lemma

$$SD((f(x), h, h(x)_{1,\dots,\ell})_{x \leftarrow \{0,1\}, h \leftarrow \mathcal{H}}, (f(x), h, U_{\ell})_{x \leftarrow \{0,1\}, h \leftarrow \mathcal{H}}) \leq \varepsilon'/2$$
 (2)

Hence,

$$\Pr_{\mathbf{x} \leftarrow \{0,1\}^n} \left[ \mathsf{B}(f(\mathbf{x})) \in f^{-1}(f(\mathbf{x})) \right] \ge \varepsilon' - \varepsilon'/2 = \varepsilon'/2.$$

## The generator

#### Claim 14

Let  $g: \{0,1\}^n \mapsto \{0,1\}^m$  be a function with  $H_2(g(U_n)) \ge n - \frac{1}{2}$ , and let b be  $(s,\varepsilon)$ -hardcore predicate for g. Then  $v(U_n) = (g(U_n),b(U_n))$  has  $(s,\varepsilon)$ -Renyi-entropy  $n+\frac{1}{2}$ .

Proof: ?

We call such *v* a pseudo Renyi-entropy generator.

#### Claim 15

The function 
$$v^n(x_1, \ldots, x_n) = (v(x_1), \ldots, v(x_n))$$
 has  $(s - n^2, n\varepsilon)$ -Renyi-entropy  $n^2 + \frac{n}{2}$ .

#### Proof:

- Let Z be a rv with  $H_2(Z) \ge n + \frac{1}{2}$  such that Z and  $v(U_n)$  are  $(s, \varepsilon)$  indistinguishable.
- ►  $H_2(Z^n) \ge n^2 + \frac{n}{2}$
- ►  $Z^n$  and  $v^n(U_n^n)$  are  $(s-n^2, n\varepsilon)$  indistinguishable

## The generator cont.

#### Claim 16

Let  $\mathcal{H}\colon\{0,1\}^{n^2+n}\mapsto\{0,1\}^{n^2+n/4}$  be an 2-universal family and let  $G\colon\{0,1\}^n\times\mathcal{H}$  defined by  $G(x_1,\ldots,x_n,h)=(h,h(v^n(x_1,\ldots,x_n)))$ . Then  $G(H,U_n^n)$  is  $(s-n^2-s_{\mathcal{H}},n_{\mathcal{E}}+2^{-n/4})$  indistinguishable from  $(H,U_{n^2+n/4})$ , for  $H\leftarrow\mathcal{H}$  and  $s_{\mathcal{H}}$  being the size of sampling and evaluating algorithm for  $\mathcal{H}$ .

## **Corollary 17**

If f and b and  $\mathcal{H}$  (?) are poly-time computable, then G is a  $(s-n^2-s_{\mathcal{H}},n_{\mathcal{E}}+2^{-n/4})$ -PRG.

#### Proof: (of claim)

- ▶ By the leftover hash lemma  $SD((H, H(Z^n)), (H, U_{n^2+n/4})) \le 2^{-n/4}$
- ▶ Let D be an s'-size algorithm that distinguishes  $G(U_n^n, H)$  from  $(H, U_{n^2+n/4})$  with advantage  $\varepsilon' + 2^{-n/4}$
- ▶ Hence,  $\exists$   $(s' + s_H)$ -size algorithm that distinguishes  $G(U_n^n, H)$  from  $(H, H(Z^n))$  with advantage  $\varepsilon'$
- ▶ Hence  $s' \le s n^2 s_{\mathcal{H}} \implies \varepsilon' \le n\varepsilon$ .

#### Remarks

- PRG "length extension"
- PRG from any OWF