Foundation of Cryptography, Lecture 11 Black-Box Impossibility Results

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Assume RSA assumption holds.

- ⇒ key-agreement protocols exist.
- ⇒ OWFs imply the existence of key-agreement protocols in a trivial sense.



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- Fully-black-box constructions relativize: hold relative to any oracle.
- Most constructions in cryptography are (fully) black-box, e.g., pseudorandom generator from OWF.
- Few "non black-box" techniques that apply in restricted settings (typically using ZK proofs)



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This yields a contradiction, implying that (I, R) does not exist.

Section 1

Random Permutations

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Claim 2

The number of M-size oracle-circuits mapping n-bit strings to n-bit strings, with oracle access to a function n-bit strings to n-bit strings, is at most $2^{2M+(M+1)n(\log(Mn+n)+1)}$.

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Proof: ?

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$$\Pr_{\pi \leftarrow \Pi_n} \left[\Pr_{x \leftarrow \{0,1\}^n} [\mathsf{D}(\pi(x)) = x] > 2^{-n/5} \right] \le 2^{-2^{\frac{3}{5}n}/2}$$

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 In words: Random permutations are (extremely) hard even for exponential-size circuits.

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 In words: Random permutations are (extremely) hard simultaneously, for all exponential-size circuits.

Lemma 4 (compression lemma)

For any q-query circuit D and $\varepsilon > 0$, exist algorithms Enc and Dec such that: Let $\pi \in \Pi_n$ be such that $\Pr_{\mathbf{x} \leftarrow \{0,1\}^n} [\mathsf{D}^{\pi}(\pi(\mathbf{x})) = \mathbf{x}] > \varepsilon$, then

- $Dec(Enc(\pi)) = \pi$
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- Let D be a $2^{n/5}$ -query circuit. Lemma 4 yields that the fraction of $\pi \in \Pi_n$ with $\Pr_{x \leftarrow \{0,1\}^n} [D(\pi(x)) = x] > 2^{-n/5}$, is (for large enough n) at most

$$\frac{(N-2^{\frac{3}{5}n})!\cdot \binom{N}{2^{\frac{3}{5}n}}^2}{N!}=\frac{\binom{N}{2^{\frac{3}{5}n}!}}{2^{\frac{3}{5}n}!}\leq 2^{-2^{\frac{3}{5}n}/2},$$

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Construction 5 (Useful set $\mathcal{Y} \subseteq \{0, 1\}^n$)

- **1** Set $\mathcal{Y} = \emptyset$ and $\mathcal{I} = \{ y \in \{0, 1\}^n : D^{\pi}(\pi(x)) = \pi \}.$
- While $\mathcal{I} \neq \emptyset$, let y be the smallest lexicographic element in \mathcal{I} .
 - (a) Add y to y.
 - (b) Remove y and all π -queries $D^{\pi}(y)$ makes, from \mathcal{I} .

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Algorithm 6 ($Enc(\pi)$)

Output (description of) \mathcal{Y} and $\mathcal{V} = \{(x, \pi(x)) : \pi(x) \notin \mathcal{Y}\}.$

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Algorithm 7 ($Dec(\mathcal{Y}, \mathcal{V})$)

For all $y \in \mathcal{Y}$ in lex. order:

- Emulate $D^{\pi}(y)$.
- 2 If D makes a π -query x that is undefined in \mathcal{V} , add (x, y) to \mathcal{V} . Otherwise, add $(D^{\pi, \operatorname{Sam}_r^{\pi}}(y), y)$ to \mathcal{V} .

Use \mathcal{V} to reconstruct π .

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- Similar results can be proven for random variants of OWF, TDP, CRH.

Section 2

BB Impossibility for Efficient OWF based PRG

Definition 8 (pseudorandom generators (PRGs))

Poly-time $G: \{0,1\}^n \mapsto \{0,1\}^{\ell(n)}$ is a pseudorandom generator, if

- G is length extending (i.e., $\ell(n) > n$ for any n)
- $G(U_n)$ is pseudorandom (i.e., $\{G(U_n)\}_{n\in\mathbb{N}}\approx_c \{U_{\ell(n)}\}_{n\in\mathbb{N}}$)

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- Matches known upper bounds.
- Without the restriction on the OWP input length, yields an optimal $n^{\Omega(1)}/\log n$ bound.

• Let (I, R) be a fully-BB reduction of a $q(n) \in o(n/\log n)$ -query, length-doubling PRG over $\{0, 1\}^n$, to OWP over $\{0, 1\}^n$.

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Algorithm 10 (G(x))

• Emulate $C^{\pi}(x_{1,...,n})$, while answering the *i*'th query z of I to π , with $x_{n+i\cdot t+1,...,n+(i+1)\cdot t} \circ z_{t+1,...,n}$.

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Claim 11

$$G(U_{3n/2}) \equiv (I^{\pi}(U_n))_{\pi \leftarrow \Pi_{n,t}}$$
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• \exists algorithm D that distinguishes $G(U_{3n/2})$ from U_{2n} with advantage $1-2^{-n/4}>\frac{1}{2}$. (?)

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in contradiction to Thm 3.

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- Results can be easily extended to OWFs/TDPs.
- Using similar means, one can prove lower bound on fully-BB constructions of encryption schemes, signature schemes and universal-one-way-hash-functions (UOWHFs), from OWFs/OWPs/TDPs

Section 3

BB Impossibility for Basing CRH on OWF

Definition 12 (collision resistant hash family (CRH))

A function family $\mathcal{H} = \{\mathcal{H}_n \colon \{0,1\}^* \mapsto \{0,1\}^n\}$ is collision resistant, if

$$\Pr_{\substack{h \leftarrow \mathcal{H}_n \\ (x,x') \leftarrow A(1^n,h)}} [x \neq x' \in \{0,1\}^* \land h(x) = h(x')] = \mathsf{neg}(n)$$

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Proving Thm 13 Fix $n \in \mathbb{N}$.

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Input: An *n*-bit input circuit C.

Oracle: $\pi \in \Pi_n$.

- **2** Find the first (in a random order) random $x' \in \{0, 1\}^n$ with $C^{\pi}(x) = C^{\pi}(x')$.
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- In the actual implementation Sam uses independent randomness per input query C.

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Claim 15

For any $h \in \mathcal{H}_n$ and $\pi \in \Pi_n$: $\Pr_{(x,x') \leftarrow \mathsf{Sam}^\pi(h)} [x \neq x' \land h^\pi(x) = h^\pi(x')] \ge \frac{1}{4}$.

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Proof: It suffices to prove that for any length decreasing function g over $\{0,1\}^n$, $\Pr_{x \leftarrow \{0,1\}^n} \left[|g^{-1}(g(x))| = 1 \right] \leq \frac{1}{2}$.

Let \mathcal{H}_n be a length-decreasing oracle-aided circuit family.

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For any
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The following algorithm breaks the collision resistance of any Black-box construction of a CRH from OWP.

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Algorithm 16 (D^{Sam^π})

On input $h \in \mathcal{H}_n$, return $Sam^{\pi}(h)$.

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The augmented number of queries an oracle-aided circuit/algorithm with Sam-gate does, is the number of queries it makes **directly**, plus twice the number of queries the circuits it **passes** to Sam do.

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For any large enough $n \in \mathbb{N}$ and $2^{n/5}/2$ -augmented-query circuit D:

$$\Pr_{\pi \leftarrow \Pi_n; r \leftarrow \{0,1\}^*} \left[\Pr_{x \leftarrow \{0,1\}^n} \left[\mathsf{D}^{\pi,\mathsf{Sam}^\pi_r}(\pi(x)) = x \right] > 2 \cdot 2^{-n/5} \right] \le 2 \cdot 2^{-2^{\frac{3}{5}n}}$$

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- Almost the same result as in the non-Sam case.
- Hence, random permutations are (extremely) hard for exponential-size circuits with oracle access to Sam.

Fix large enough n. The proof follows by the next two claims.

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Definition 19

For circuit D, $\pi \in \Pi_n$, $r \in \{0,1\}^*$, an $y \in \{0,1\}^n$, let $\mathsf{hit}_{\mathsf{D};r}^\pi(y)$ be one, if $\mathsf{D}^{\pi,\mathsf{Sam}_r^\pi}(y)$ makes a query $(x,x') = \mathsf{Sam}_r^\pi(\mathsf{C})$, and either $\mathsf{C}^\pi(x)$ or $\mathsf{C}^\pi(x')$ query π on $\pi^{-1}(y)$.

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Claim 20

For any t-augQuery circuit D, $\exists 2t$ -augQuery circuit D such that:

$$\Pr_{\pi;r}\left[\Pr_{x}\left[\operatorname{hit}_{\mathsf{D};r}^{\pi}(\pi(x))\right]>\varepsilon\right]\leq$$

$$\mathsf{Pr}_{\pi;r}\left[\mathsf{Pr}_x\left[\widetilde{\mathsf{D}}^{\pi,\mathsf{Sam}_r^\pi}(\pi(x)) = x \land \neg\mathsf{hit}_{\widetilde{\mathsf{D}};r}^\pi(p(x))\right] > \varepsilon/2\right] ext{ for any } \varepsilon \geq 0.$$

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For any t-augQuery circuit D, \exists 2t-augQuery circuit D such that:

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Claim 21

For any $2^{n/5}/2$ -augQuery circuit D:

$$\mathsf{Pr}_{\pi;r}\left[\mathsf{Pr}_{x}\left[\mathsf{D}^{\pi,\mathsf{Sam}_{r}^{\pi}}(\pi(x))=x
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For any *t*-augQuery circuit D, \exists 2*t*-augQuery circuit \widetilde{D} such that:

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Proof: We describe a random circuit \widetilde{D} , and its deterministic variant follows by fixing the best coins.

For any t-augQuery circuit D, \exists 2t-augQuery circuit D such that:

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Algorithm 22 ($\widetilde{D}^{\pi,Sam_r^{\pi}}(y)$)

Emulate $D^{\pi, Sam_r^{\pi}}(y)$. Before any query of $Sam_r^{\pi}(C)$: Evaluate $C^{\pi}(z)$ for $z \leftarrow \{0, 1\}^n$. If $C^{\pi}(z)$ makes a query $\pi(x) = y$, return x and halt.

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• The augmented query complexity of \widetilde{D} is at most twice that of D.

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- Fix π and y, and let $\delta_i = \Pr_r[D(y)]$ makes first hit on i'th Sam query].

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For any t-augQuery circuit D, \exists 2t-augQuery circuit D such that:

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$$\widetilde{D}^{\pi,Sam_r^{\pi}}(y)$$
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- The augmented query complexity of \tilde{D} is at most twice that of D.
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For any $2^{n/5}/2$ -augQuery circuit D:

$$\mathsf{Pr}_{\pi;r}\left[\mathsf{Pr}_{x}\left[\mathsf{D}^{\pi,\mathsf{Sam}^{\pi}_{r}}(\pi(x))=x\right]>2^{-n/5}\wedge\neg\mathsf{hit}^{\pi}_{\mathsf{D};r}\right]\leq 2^{-2^{3n/5}}.$$

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The proof is similar to the non-Sam case.

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The proof is similar to the non-Sam case.

Lemma 23 (compression lemma, Sam variant)

For q-augQuery circuit D, $r \in \{0,1\}^*$ and $\varepsilon > 0$, exist algorithms Enc and Dec such that: Let $\pi \in \Pi_n$ be with

$$\mathsf{Pr}_{\mathsf{x} \leftarrow \{0,1\}^n} \left[\mathsf{D}^{\pi,\mathsf{Sam}^\pi_r}(\pi(\mathsf{x})) = \mathsf{x} \land \neg \mathsf{hit}^\pi_{\mathsf{D};r}(p(\mathsf{x})) \right] > \varepsilon$$
, then

- $Dec(Enc(\pi)) = \pi$
- $|\mathsf{Enc}(\pi)| \leq \mathsf{log}((N-a)!) + 2 \cdot \mathsf{log}\binom{N}{a}$, for $a \geq \frac{\varepsilon N}{q+1}$

Definition 24

Assume $D^{\pi, \operatorname{Sam}_r^{\pi}}(\pi(x))(y)$ makes a query $\operatorname{Sam}_r^{\pi}(C)$ and get answer (x, x'), we call the π -queries done by $C^{\pi}(x)$ and $C^{\pi}(x')$, indirect queries of D.

Construction 25 (Useful set $\mathcal{Y} \subseteq \{0, 1\}^n$)

- While $\mathcal{I} \neq \emptyset$, let y be the smallest lex. element in \mathcal{I} .
 - Add y to y.
 - **2** Remove y and all direct & indirect π -queries D(y) makes from \mathcal{I} .

Definition 24

Assume $D^{\pi,\operatorname{Sam}_r^{\pi}}(\pi(x))(y)$ makes a query $\operatorname{Sam}_r^{\pi}(C)$ and get answer (x,x'), we call the π -queries done by $C^{\pi}(x)$ and $C^{\pi}(x')$, indirect queries of D.

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Algorithm 26 ($Enc(\pi)$)

Output (description of) \mathcal{Y} and $\mathcal{V} = \{(x, \pi(x)) : \pi(x) \notin \mathcal{Y}\}.$

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Construction 25 (Useful set $\mathcal{Y} \subseteq \{0, 1\}^n$)

- $\textbf{0} \ \ \mathsf{Set} \ \mathcal{Y} = \emptyset \ \mathsf{and} \ \mathcal{I} = \{ y \in \{0,1\}^n \colon \mathsf{D}^{\pi,\mathsf{Sam}_r^\pi}(\pi(x)) = \pi \land \neg \mathsf{hit}^\pi_{\mathsf{D};r}(y) \}.$
- ② While $\mathcal{I} \neq \emptyset$, let y be the smallest lex. element in \mathcal{I} .
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Algorithm 26 ($Enc(\pi)$)

Output (description of) \mathcal{Y} and $\mathcal{V} = \{(x, \pi(x)) : \pi(x) \notin \mathcal{Y}\}.$

Under proper encoding, $|\operatorname{Enc}(\pi)| \leq \log((N-a)!) + 2 \cdot \log\binom{N}{a}$ for $a = |Y| \geq \frac{\varepsilon N}{a+1}$.

Proving Lemma 23 cont.

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Algorithm 27 ($Dec(\mathcal{Y}, \mathcal{V})$)

For all $y \in \mathcal{Y}$ in lex. order:

- Emulate $D^{\pi,\operatorname{Sam}_r^{\pi}}(y)$.
 - **1** Answer π -query using \mathcal{V} .
 - On Sam-query Sam_r^{π}(C): choose x according to r, and let x' be the first element in $\{0,1\}^n$ for which the π -queries of $C^{\pi}(x')$ are defined, and $C^{\pi}(x') = C^{\pi}(x)$.
- ② If D makes a π -query x that is undefined in \mathcal{V} , add (x, y) to \mathcal{V} . Otherwise, add $(D^{\pi, \operatorname{Sam}_r^{\pi}}(y), y)$ to \mathcal{V} .

Use \mathcal{V} to reconstruct π

Proving Lemma 23 cont.

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For all $y \in \mathcal{Y}$ in lex. order:

- Emulate $D^{\pi,\operatorname{Sam}_r^{\pi}}(y)$.
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 - On Sam-query Sam_r^{π}(C): choose x according to r, and let x' be the first element in $\{0,1\}^n$ for which the π -queries of $C^{\pi}(x')$ are defined, and $C^{\pi}(x') = C^{\pi}(x)$.
- 2 If D makes a π -query x that is undefined in \mathcal{V} , add (x, y) to \mathcal{V} . Otherwise, add $(D^{\pi, \operatorname{Sam}_r^{\pi}}(y), y)$ to \mathcal{V} .

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Correctness holds since $\mathsf{hit}^\pi_{\mathsf{D};r}(y) = 0$ for all $y \in \mathcal{Y}$, and thus answer to all Sam-queries are defined.

Remarks

Results extends to OWFs and to TDPs.

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- Making Sam use independent randomness per input query C?