

# Application of Information Theory, Lecture 5

## Channel Capacity and Isoperimetric Inequality

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# Part I

## Channel Capacity

## The problem

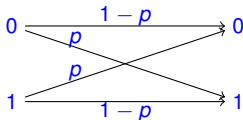
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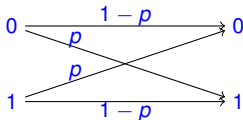
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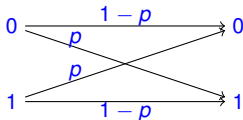
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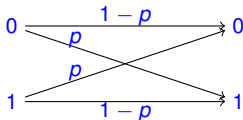
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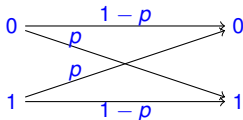


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- ▶ Can we send bits with smaller error?

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- ▶ Can we reduce the error rate, **without** reducing the transmitting rate too much?
- ▶ Before Shannon it was believed that very small error rate requires very small transmission rate.

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- ▶  $C_p$  might be 0 (i.e., for  $p = \frac{1}{2}$ )
- ▶ A revolution in EE and the whole world

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- ▶ ECC Vs compression

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## Theorem 1

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for  $Z_1, \dots, Z_n \text{ iid} \sim (1 - p, p).$

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- ▶ Tight theorem
- ▶ We prove a weaker variant that holds w.h.p. over  $\mathbf{x} \leftarrow \{0, 1\}^m$

# Hamming distance

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- ▶ For  $\mathbf{y} = (y_1, \dots, y_n) \in \{0, 1\}^n$ , let  $|\mathbf{y}| = \sum_i y_i$  — Hamming weight of  $\mathbf{y}$

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- ▶  $|\mathbf{y} - \mathbf{y}'| = |\mathbf{y} \oplus \mathbf{y}'|$  — Hamming distance of  $\mathbf{y}$  from  $\mathbf{y}'$ ; # of places differ.

# Proving the theorem



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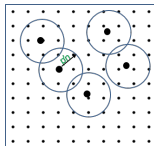


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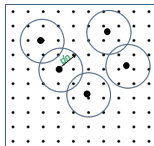
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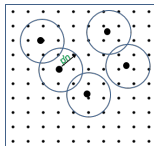
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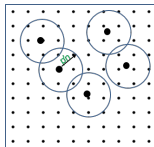
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## Proving there exists good $f$

► Fix  $p' > p$  such that  $\frac{1}{C_{p'}} - \frac{1}{C_p} \leq \frac{\varepsilon}{2}$

► For  $y \in \{0, 1\}^n$ , let  $B_{p'}(y) = \{y \in \{0, 1\}^n : |y' - y| \leq p'n\}$

(1) By weak law of large numbers,  $\exists n' \in \mathbb{N}$  s.t.  $\forall n \geq n'$  and  $\forall \mathbf{x} \in \{0, 1\}^m$ :

$$\alpha_n := \Pr_{z \leftarrow Z} [(f(\mathbf{x}) \oplus z) \notin B_{p'}(f(\mathbf{x}))] \leq \frac{\varepsilon}{2} \quad (\text{for any fixed } f)$$

► Fact (proved later):  $b(p') = |B_{p'}(y)| \leq 2^{n \cdot h(p')}$

$$\Rightarrow \forall \mathbf{x} \neq \mathbf{x}' \in \{0, 1\}^m: \Pr_{f, Z} [f(\mathbf{x}) \oplus Z \in B_{p'}(f(\mathbf{x}'))] = \frac{b(p')}{2^n} \leq \frac{2^{n \cdot h(p')}}{2^n} = 2^{-nC_{p'}}$$

$$\Rightarrow \forall \mathbf{x} \in \{0, 1\}^m: \Pr_{f, Z} [\exists \mathbf{x}' \neq \mathbf{x} \in \{0, 1\}^m: f(\mathbf{x}') \oplus Z \in B_{p'}(f(\mathbf{x}))] \leq 2^{m-nC_{p'}}$$

$$\Rightarrow \exists f \text{ s.t.}$$

$$\beta_{m,n} := \Pr_{\mathbf{x} \leftarrow \{0,1\}^m} [\exists \mathbf{x}' \neq \mathbf{x} \in \{0, 1\}^m: f(\mathbf{x}') \oplus Z \in B_{p'}(f(\mathbf{x}))] \leq 2^{m-nC_{p'}}$$

$$\Rightarrow \beta_{m,n} \leq \frac{\varepsilon}{2}, \text{ for } n \geq \frac{m}{C_{p'}} - \log \varepsilon + 1 = m \left( \frac{1}{C_{p'}} + \frac{1 - \log \varepsilon}{m} \right)$$

$$(2) \beta_{m,n} \leq \frac{\varepsilon}{2}, \text{ for } m \geq m' = \frac{2(1 - \log \varepsilon)}{\varepsilon} \text{ and } n \geq m \left( \frac{1}{C_p} + \frac{\varepsilon}{2} + \frac{1 - \log \varepsilon}{m} \right) = m \left( \frac{1}{C_p} + \varepsilon \right)$$

► Hence, for  $m > m_\varepsilon = \max\{m', n'\}$  and  $n > m \left( \frac{1}{C_p} + \varepsilon \right)$ , it holds that

$$\Pr_{\mathbf{x} \leftarrow \{0,1\}^m} [g(f(\mathbf{x}) \oplus Z) \neq \mathbf{x}] \leq \alpha_n + \beta_{m,n} \leq \varepsilon. \quad \square$$

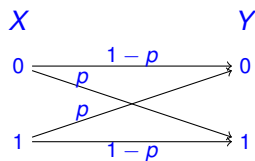
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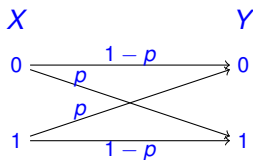
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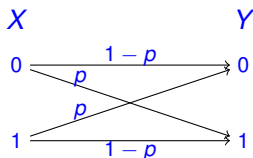
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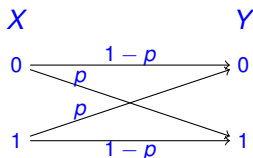
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- ▶ Hence, to recover  $m$  bits, we need to send at least  $m \cdot \frac{1}{C_p}$  bits



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For  $p \in [0, \frac{1}{2}]$  and  $n \in \mathbb{N}$ : it holds that  $\sum_{k=0}^{\lfloor pn \rfloor} \binom{n}{k} \leq 2^{n \cdot h(p)}$

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For  $y \in \{0, 1\}^n$  and  $p \in [0, \frac{1}{2}]$ , let  $B_p(y) = \{y' \in \{0, 1\}^n : |y' - y| \leq pn\}$ . Then  $|B_p(y)| = \sum_{k=0}^{\lfloor pn \rfloor} \binom{n}{k} \leq 2^{n \cdot h(p)}$

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Very useful estimation. Weaker variants follows by AEP or Stirling,

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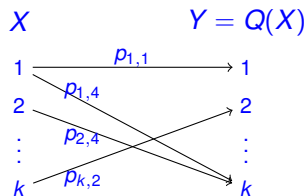
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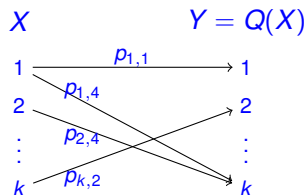


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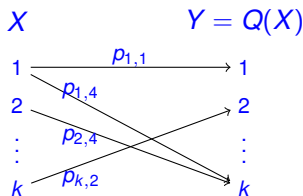


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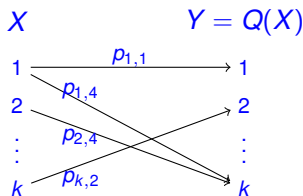


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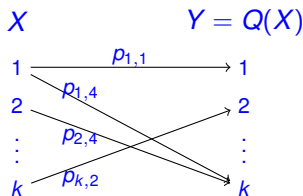


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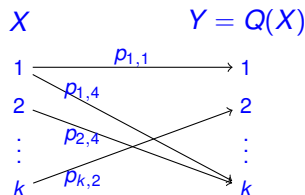


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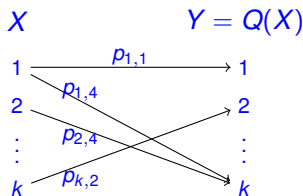


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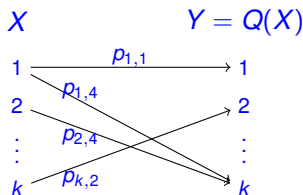


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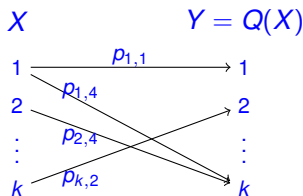
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▶ Shannon theorem:  $\forall Q$  and  $\forall \varepsilon > 0$ ,  $\exists m_\varepsilon: \forall m > m_\varepsilon$  and  $\forall n > m(\frac{1}{C_Q} + \varepsilon): \exists f, g$  as above s.t.  $\Pr_Q[g(Q(f(\mathbf{x}))) \neq \mathbf{x}] \leq \varepsilon$ , for all  $\mathbf{x} \in \{0, 1\}^m$ .



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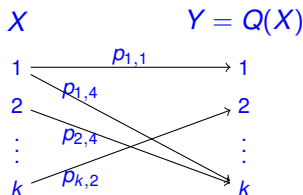
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- ▶ Decoding function  $g: \{1, \dots, k\}^n \mapsto \{0, 1\}^m$
- ▶  $\mathbf{x} \xrightarrow{\text{encoding}} f(\mathbf{x}) \xrightarrow{\text{channel}} Q(f(\mathbf{x})) \xrightarrow{\text{decoding}} g(Q(f(\mathbf{x})))$
- ▶ We hope for  $g(Q(f(\mathbf{x}))) = \mathbf{x}$

▶ **Channel capacity**  $C_Q = \max_X I(X; Y)$

▶ The maximal information  $Y$  gives on  $X$

▶ Shannon theorem:  $\forall Q$  and  $\forall \varepsilon > 0$ ,  $\exists m_\varepsilon: \forall m > m_\varepsilon$  and  $\forall n > m(\frac{1}{C_Q} + \varepsilon): \exists f, g$  as above s.t.  $\Pr_Q[g(Q(f(\mathbf{x}))) \neq \mathbf{x}] \leq \varepsilon$ , for all  $\mathbf{x} \in \{0, 1\}^m$ .

▶ Proof: similar lines to the binary case, but more subtle distribution for  $f$



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# Part II

## **Combinatorial Applications**

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- ▶ Hence,  $X$  is not determined by  $Y$

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- ▶  $\Pr[\sum_i X_i \leq pn] = \Pr[(X_1, \dots, X_n) \in \mathcal{S}] \leq 2^{nh(p)} \cdot 2^{-n} = 2^{-n(1-h(p))}$
- ▶ Very useful inequality. No Chernoff, just IT



# Isoperimetric inequality

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- ▶  $\mathcal{S}_{-1}^e = \{\mathbf{y} \in \{0, 1\}^{n-1} : (0, \mathbf{y}), (1, \mathbf{y}) \in \mathcal{S}\}$  and  $\mathcal{S}_{-1}^{\neg e} = \mathcal{S}_{-1} \setminus \mathcal{S}_{-1}^e$
- ▶  $|\mathcal{S}| = 2|\mathcal{S}_{-1}^e| + |\mathcal{S}_{-1}^{\neg e}|$
- ▶  $|E_1| = |\mathcal{S}_{-1}^e|$
- ▶  $H(X|X_{-1}) = \Pr[X_{-1} \in \mathcal{S}_{-1}^e] \cdot 1$

## Proving Lemma 5

We prove for  $i = 1$

- ▶  $\mathcal{S} \subseteq \{0, 1\}^n$ ;  $X = (X_1, \dots, X_n) \leftarrow \mathcal{S}$
- ▶  $E = \{(u, v) \in \mathcal{S} : |u - v| = 1\}$  and  $E_1$  contains edges of  $E$  in direction 1
- ▶  $\mathcal{S}_{-1} = \{\mathbf{y} \in \{0, 1\}^{n-1} : \exists x \in \{0, 1\} \text{ s.t. } (x, \mathbf{y}) \in \mathcal{S}\}$ .  
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- ▶ ...