

# Foundation of Cryptography (0368-4162-01), Lecture 7

## MACs and Signatures

Iftach Haitner, Tel Aviv University

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## Section 1

# Message Authentication Code (MAC)

## Message Authentication Code (MAC)

### Definition 1 (MAC)

A trippet of PPT's (Gen, Mac, Vrfy) such that

- ① Gen( $1^n$ ) outputs a key  $k \in \{0, 1\}^*$
- ② Mac( $k, m$ ) outputs a "tag"  $t$
- ③ Vrfy( $k, m, t$ ) output 1 (YES) or 0 (NO)

**Consistency:**  $\text{Vrfy}_k(m, t) = 1$  for any  $k \in \text{Supp}(\text{Gen}(1^n))$ ,  $m \in \{0, 1\}^n$  and  $t = \text{Mac}_k(m)$

### Definition 2 (Existential unforgeability)

A MAC (Gen, Mac, Vrfy) is existential unforgeable (EU), if for any oracle-aided PPT A:

$$\Pr[k \leftarrow \text{Gen}(1^n); (m, t) \leftarrow A^{\text{Mac}_k, \text{Vrfy}_k}(1^n): \\ \text{Vrfy}_k(m, t) = 1 \wedge \text{Mac}_k \text{ was not asked on } m] = \text{neg}(n)$$

- “Private key” definition
- Security definition too strong? Any message? Use of Verifier?
- “Replay attacks”
- strong MACS

## Length-restricted MACs

### Definition 3 (Length-restricted MAC)

Same as in Definition 1, but for  $k \in \text{Supp}(G(1^n))$ ,  $\text{Mac}_k$  and  $\text{Vrfy}_k$  only accept messages of length  $n$ .

## Bounded-query MACs

### Definition 4 ( $\ell$ -time MAC)

A MAC scheme is existential unforgeable against  $\ell$  queries (for short,  $\ell$ -time MAC), if it is existential unforgeable as in Definition 2, but  $A$  can only ask for  $\ell$  queries.

## Section 2

# Constructions

## Zero-time, restricted length, MAC

### Construction 5 (Zero-time, restricted length, MAC)

- $\text{Gen}(1^n)$ : outputs  $k \leftarrow \{0, 1\}^n$
- $\text{Mac}_k(m) = k$
- $\text{Vrfy}_k(m, t) = 1$ , iff  $t = k$

### Claim 6

The above scheme is a length-restricted, zero-time MAC



## $\ell$ -wise independent hash

### Definition 7 ( $\ell$ -wise independent)

A function family  $\mathcal{H}$  from  $\{0, 1\}^n$  to  $\{0, 1\}^m$  is  $\ell$ -wise independent, where  $\ell \in \mathbb{N}$ , if for every distinct  $x_1, \dots, x_\ell \in \{0, 1\}^n$  and every  $y_1, \dots, y_\ell \in \{0, 1\}^m$ , it holds that  $\Pr_{h \leftarrow \mathcal{H}}[h(x_1) = y_1 \wedge \dots \wedge h(x_\ell) = y_\ell] = 2^{-\ell m}$ .

## $\ell$ -times, restricted length, MAC

### Construction 8 ( $\ell$ -time MAC)

Let  $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^n \mapsto \{0, 1\}^n\}$  be an efficient  $(\ell + 1)$ -wise independent function family.

- $\text{Gen}(1^n)$ : outputs  $h \leftarrow \mathcal{H}_n$
- $\text{Mac}(h, m) = h(m)$
- $\text{Vrfy}(h, m, t) = 1$ , iff  $t = h(m)$

### Claim 9

The above scheme is a length-restricted,  $\ell$ -time MAC

Proof: HW

## OWF $\implies$ existential unforgeable MAC

### Construction 10

Same as Construction 8, but uses function  $\mathcal{F} = \{\mathcal{F}_n: \{0, 1\}^n \mapsto \{0, 1\}^n\}$  instead of  $\mathcal{H}$ .

### Claim 11

Assuming that  $\mathcal{F}$  is a PRF, then Construction 10 is an existential unforgeable MAC.

Proof: Easy to prove if  $\mathcal{F}$  is a family of random functions.  
Hence, also holds in case  $\mathcal{F}$  is a PRF.  $\square$

## Collision Resistant Hash Family

### Definition 12 (collision resistant hash family (CRH))

A function family  $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^* \mapsto \{0, 1\}^n\}$  is collision resistant, if

$$\Pr[h \leftarrow \mathcal{H}_n, (x, x') \leftarrow A(1^n, h): x \neq x' \in \{0, 1\}^* \\ \wedge h(x) = h(x')] = \text{neg}(n)$$

for any PPT  $A$ .

- Not known to be implied by OWF

Any Length

**Length restricted MAC  $\implies$  MAC****Construction 13 (Length restricted MAC  $\implies$  MAC)**

Let  $(\text{Gen}, \text{Mac}, \text{Vrfy})$  be a length-restricted MAC, and let  $\mathcal{H} = \{\mathcal{H}_n: \{0, 1\}^* \mapsto \{0, 1\}^n\}$  be an efficient function family.

- $\text{Gen}'(1^n): k \leftarrow \text{Gen}(1^n), h \leftarrow \mathcal{H}_n$ . Set  $k' = (k, h)$
- $\text{Mac}'_{k,h}(m) = \text{Mac}_k(h(m))$
- $\text{Vrfy}'_{k,h}(t, m) = \text{Vrfy}_k(t, h(m))$

**Claim 14**

Assume  $\mathcal{H}$  is an efficient collision-resistant family and  $(\text{Gen}, \text{Mac}, \text{Vrfy})$  is existential unforgeable, then  $(\text{Gen}', \text{Mac}', \text{Vrfy}')$  is existential unforgeable MAC.

Proof: ?

## Section 3

# Signature Schemes

## Definition

### Definition 15 (Signature schemes)

A trippet of PPT's (Gen, Sign, Vrfy) such that

- ① Gen( $1^n$ ) outputs a pair of keys  $(s, v) \in \{0, 1\}^* \times \{0, 1\}^*$
- ② Sign( $s, m$ ) outputs a "signature"  $\sigma \in \{0, 1\}^*$
- ③ Vrfy( $v, m, \sigma$ ) outputs 1 (YES) or 0 (NO)

**Consistency:**  $\text{Vrfy}_v(m, \sigma) = 1$  for any  $(s, v) \in \text{Supp}(\text{Gen}(1^n))$ ,  $m \in \{0, 1\}^*$  and  $\sigma \in \text{Supp}(\text{Sign}_s(m))$

### Definition 16 (Existential unforgeability)

A signature scheme is existential unforgeable (EU), if for any oracle-aided PPT A

$$\Pr[(s, v) \leftarrow \text{Gen}(1^n); (m, \sigma) \leftarrow A^{\text{Sign}_s}(1^n, v): \\ \text{Vrfy}_v(m, \sigma) = 1 \wedge \text{Sign}_s \text{ was not asked on } m] = \text{neg}(n)$$

- Signature  $\implies$  MAC
- “Harder” to construct than MACs: (even restricted forms) require OWF
- Oracle access to Vrfy is not given
- Strong existential unforgeable signatures (for short, strong signatures): infeasible to generate *any* new valid signatures (even for message for which a signature was asked)

### Theorem 17

*OWFs imply strong existential unforgeable signatures.*



## Section 4

**OWFs  $\Rightarrow$  Signatures**

## Length-restricted Signatures

### Definition 18 (Length-restricted Signatures)

Same as in Definition 15, but for  $(s, v) \in \text{Supp}(G(1^n))$ ,  $\text{Sign}_s$  and  $\text{Vrfy}_v$  only accept messages of length  $n$ .

## Bounded-query Signatures

### Definition 19 ( $\ell$ -time signatures)

A signature scheme is existential unforgeable against  $\ell$ -query (for short,  $\ell$ -time signature), if it is existential unforgeable as in Definition 16, but  $A$  can only ask for  $\ell$  queries.

### Claim 20

Assuming CRH exists: length restricted, one-time signatures, imply one-time signatures.

OWF  $\implies$  length restricted, One Time Signature**Construction 21 (length restricted, one time signature)**

Let  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$ .

- 1 **Gen**( $1^n$ ):  $s_1^0, s_1^1, \dots, s_n^0, s_n^1 \leftarrow \{0, 1\}^n$ , let  
 $s = (s_1^0, s_1^1, \dots, s_n^0, s_n^1)$  and  
 $v = (v_1^0 = f(s_1^0), v_1^1 = f(s_1^1), \dots, v_n^0 = f(s_n^0), v_n^1 = f(s_n^1))$
- 2 **Sign**( $s, m$ ): Output  $(s_1^{m_1}, \dots, s_n^{m_n})$
- 3 **Vrfy**( $v, m, \sigma = (\sigma_1, \dots, \sigma_n)$ ) check that  $f(\sigma_i) = v_{m_i}$  for all  
 $i \in [n]$

**Lemma 22**

*Assume that  $f$  is a OWF, then scheme from Construction 21 is a length restricted one-time signature scheme*

## Proving Lemma 22

Let a PPT  $A$ ,  $\mathcal{I} \subseteq \mathbb{N}$  and  $p \in \text{poly}$  that break the security of Construction 21, we use  $A$  to invert  $f$ .

### Algorithm 23 (Inv)

**Input:**  $y \in \{0, 1\}^n$

- ➊ Choose  $(s, v) \leftarrow \text{Gen}(1^n)$  and replace  $v_{j^*}^{i^*}$  for a random  $i^* \in [n]$  and  $j^* \in \{0, 1\}$ , with  $y$ .
- ➋ If  $A(1^n, v)$  asks to sign message  $m \in \{0, 1\}^n$  with  $m_{i^*} = j^*$  abort, otherwise use  $s$  to answer the query.
- ➌ Let  $(m, \sigma)$  be  $A$ 's output. If  $\sigma$  is not a valid signature for  $m$ , or  $m_{i^*} \neq j^*$ , abort.  
Otherwise, return  $\sigma_{j^*}$ .

$v$  is distributed as it is in the real "signature game" (ind. of  $i^*$  and  $j^*$ ). Therefore  $\text{Inv}$  inverts  $f$  w.p.  $\frac{1}{2np(n)}$  for any  $n \in \mathcal{I}$ .

## Stateful schemes (also known as, Memory-dependant schemes)

### Definition 24 (Stateful scheme)

Same as in Definition 15, but Sign might keep state.

- Make sense in many applications (e.g., , smartcards)
- We'll use it a building block for building a stateless scheme

## Naive construction

Let  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  be a one-time signature scheme.

### Construction 25 (Naive construction)

- 1  $\text{Gen}'(1^n)$  outputs  $(s_1, v_1) = \text{Gen}(1^n)$ .
- 2  $\text{Sign}'_{s_1}(m_i)$ , where  $m_i$  is  $i$ 'th message to sign:  
Let  $((m_1, \sigma'_1), \dots, (m_{i-1}, \sigma'_{i-1}))$  be the previously signed pairs of messages/signatures.
  - 1 Let  $(s_{i+1}, v_{i+1}) \leftarrow \text{Gen}(1^n)$
  - 2 Let  $\sigma_i = \text{Sign}_{s_i}(m_i, v_{i+1})$ , and output  $\sigma'_i = (\sigma'_{i-1}, m_i, v_{i+1}, \sigma_i)$ .<sup>a</sup>
- 3  $\text{Vrfy}'_{v_1}(m, \sigma' = (m_1, v_2, \sigma_1), \dots, (m_i, v_{i+1}, \sigma_i))$ :
  - 1 Verify  $\text{Vrfy}_{v_j}((m_j, v_{j+1}), \sigma_j) = 1$  for every  $j \in [i]$
  - 2 Verify  $m_i = m$

<sup>a</sup>Where  $\sigma'_0$  is the empty string.

- 1 State is used for maintaining the private key (e.g.,  $s_i'$ ) and to prevent using the same one-time signature twice.
- 2 Inefficient scheme, though still polynomial, both running time and signature size are linear in number of signatures
- 3 Critically uses the fact that  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  works for any length



## Lemma 26

*Assume that  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is one time signature scheme, then  $(\text{Gen}', \text{Sign}', \text{Vrfy}')$  is a stateful existential unforgeable signature scheme.*

Proof: Let a PPT  $A'$ ,  $\mathcal{I} \subseteq \mathbb{N}$  and  $p \in \text{poly}$  that breaks the security of  $(\text{Gen}', \text{Sign}', \text{Vrfy}')$ , we present a PPT  $A$  that breaks the security of  $(\text{Gen}, \text{Sign}, \text{Vrfy})$ .

- We assume for simplicity that  $p$  also bounds the query complexity of  $A'$

## Proving Lemma 26 cont.

Let the random variables

$(m, \sigma = (m_1, v_2, \sigma_1), \dots, (m_q, v_{q+1}, \sigma_q))$  be the pair output by  $A'$

### Claim 27

Whenever  $A'$  succeeds,  $\exists \tilde{i} = \tilde{i}(m, \sigma) \in [q]$  such that:

- ①  $\text{Sign}'$  was *not* asked by  $A'$  on  $m_{\tilde{i}}$ .
- ②  $\text{Sign}'$  was asked by  $A'$  on  $m_i$ , for every  $i \in [\tilde{i} - 1]$

Proof: Let  $\tilde{i}$  be the maximal index such that condition (2) holds (cannot be  $q + 1$ ).  $\square$

- Let  $\tilde{m} = (m_{\tilde{i}}, v_{\tilde{i}+1})$ , and let  $s_{\tilde{i}}$  be the signing key generated together with  $v_{\tilde{i}}$ .
- Hence,  $\text{Sign}_{s_{\tilde{i}}}(\sigma_{\tilde{i}}, \tilde{m}) = 1$ , and  $\text{Sign}_{s_i}$  was not queried by  $\text{Sign}'_s$  on  $\tilde{m}$ .

# Definition of A

## Algorithm 28 (A)

**Input:**  $v, 1^n$

**Oracle:**  $\text{Sign}_s$

- 1 Choose  $i^* \leftarrow [p = p(n)]$  and  $(s', v') \leftarrow \text{Gen}'(1^n)$ .
  - 2 Emulate a random execution of  $A'^{\text{Sign}'_{s'}}$  with a single twist:
    - On the  $i^*$ 'th call to  $\text{Sign}'_{s'}$ , set  $v_{i^*} = v$  (rather than choosing it via  $\text{Gen}$ )
    - When need to sign using  $s_{i^*}$ , use  $\text{Sign}_s$ .
  - 3 Let  $(m, \sigma = (m_1, v_1, \sigma_1), \dots, (m_q, v_q, \sigma_q)) \leftarrow A'$
  - 4 Output  $((m_{i^*}, v_{i^*}), \sigma_{i^*})$  (abort if  $i^* > q$ )
- $\text{Sign}_s$  is called at most once
  - The emulated game  $A'^{\text{Sign}'_{s'}}$  has the “right” distribution.
  - A breaks  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  whenever  $i^* = \tilde{i} > 1$ .

## Analysis of A

For any  $n \in \mathcal{I}$

$$\begin{aligned} & \Pr[A(1^n) \text{ breaks } (\text{Gen}, \text{Sign}, \text{Vrfy})] \\ & \geq \Pr_{i^* \leftarrow [p=p(n)]}[i = \tilde{i}] \\ & \geq \frac{1}{p} \cdot \Pr[A' \text{ breaks } (\text{Gen}', \text{Sign}', \text{Vrfy}')] \geq \frac{1}{p(n)^2} \end{aligned}$$

## “Somewhat”-Stateful Schemes

A one-time scheme  $(\text{Gen}, \text{Sign}, \text{Vrfy})$ , and  $\ell = \ell(n) \in \omega(\log n)$

### Construction 29

- $\text{Gen}'(1^n)$ : output  $(s_\lambda, v_\lambda) \leftarrow \text{Gen}(1^n)$ .
- $\text{Sign}'_s(m)$ : choose *unused*  $\bar{r} \in \{0, 1\}^\ell$ 
  - ① For  $i = 0$  to  $\ell - 1$ : if  $a_{\bar{r}_1, \dots, i}$  was not set:
    - ① For both  $j \in \{0, 1\}$ , let  $(s_{\bar{r}_1, \dots, i, j}, v_{\bar{r}_1, \dots, i, j}) \leftarrow \text{Gen}(1^n)$
    - ②  $\sigma_{\bar{r}_1, \dots, i} = \text{Sign}_{s_{\bar{r}_1, \dots, i}}(a_{1, \dots, i} = (v_{\bar{r}_1, \dots, i, 0}, v_{\bar{r}_1, \dots, i, 1}))$
  - ② Output  $(\bar{r}, a_\lambda, \sigma_\lambda, \dots, a_{\bar{r}_1, \dots, \ell-1}, \sigma_{\bar{r}_1, \dots, \ell-1}, \sigma_{\bar{r}} = \text{Sign}_{s_{\bar{r}}}(m))$
- $\text{Vrfy}'_v(m, \sigma' = (\bar{r}, a_\lambda, \sigma_\lambda, \dots, a_{\bar{r}_1, \dots, \ell-1}, \sigma_{\bar{r}_1, \dots, \ell-1}, \sigma_{\bar{r}}))$ 
  - ① Verify  $\text{Vrfy}_{v_{\bar{r}_1, \dots, i}}(a_{\bar{r}_1, \dots, i}, \sigma_{\bar{r}_1, \dots, i}) = 1$  for every  $i \in \{0, \dots, \ell - 1\}$
  - ② Verify  $\text{Vrfy}_{v_{\bar{r}}}(m, \sigma_{\bar{r}}) = 1$  (where  $v_{\bar{r}} = (a_{\bar{r}})_{\bar{r}[\ell]}$ )

- 1 More efficient scheme
- 2  $\text{Sign}'$  does not keep track of the message history.
- 3 Each leaf is visited at most once.
- 4 Each one-time signature is used once.

**Lemma 30**

*Assume that  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is one time signature scheme, then  $(\text{Gen}', \text{Sign}', \text{Vrfy}')$  is a stateful existential unforgeable signature scheme.*

Proof: Let  $(m, \sigma' = (\bar{r}, a_\lambda, \sigma_\lambda, \dots, a_{\bar{r}-1}, \sigma_{\bar{r}_1, \dots, \ell-1}, \sigma_{\bar{r}}))$  be the output of a cheating  $A'$  and let  $a_{\bar{r}} = m$

**Claim 31**

Whenever  $A'$  succeeds,  $\exists \tilde{i} = \tilde{i}(m, \sigma') \in \{0, \dots, \ell\}$  such that:

- ①  $\text{Sign}'_s$  queried  $\text{Sign}_{s_{\bar{r}_1, \dots, i}}(a_{\bar{r}_1, \dots, i})$  for every  $i \in [\tilde{i} - 1]$ , where  $s_{\bar{r}_1, \dots, i}$  is the value sampled by  $\text{Sign}'$  when sampling  $a_{\bar{r}_1, \dots, i-1}$  (or  $s_\lambda$ , if  $\tilde{i} = 0$ )
- ②  $\text{Sign}'_s$  did not query  $\text{Sign}_{s_{\bar{r}_1, \dots, i}}(a_{\bar{r}_1, \dots, i})$ .

## Stateless Scheme

### Inefficient scheme:

Let  $\Pi_{\ell,q}$  be the set of random functions from  $\{0, 1\}^*$  to  $\{0, 1\}^q$ .

- 1  $\text{Gen}'(1^n)$  : let  $(s, v) \leftarrow \text{Gen}(1^n)$  and  $\pi \leftarrow \Pi_{\ell(n),q(n)}$ , where  $q \in \text{poly}$  is large enough for the application below, and outputs  $(s' = (s, \pi), v' = v)$
- 2  $\text{Sign}'(1^n)$  :
  - 1 choose  $\bar{r} = \pi(0^\ell \circ m)_{1,\dots,\ell}$
  - 2 When setting  $(s_{\bar{r}_{1,\dots,i,j}}, v_{\bar{r}_{1,\dots,i,j}}) \leftarrow \text{Gen}(1^n)$ , use  $\pi(\bar{r}_{1,\dots,i,j})$  as the randomness for Gen.
- $\text{Sign}'$  keeps no state
- A single one-time signature key might be used several times, but always on *the same* message

**Efficient scheme:** use PRF



# Without CRH

## Definition 32 (target collision resistant (TCR))

A function family  $\mathcal{H} = \{\mathcal{H}_n\}$  is target collision resistant, if any pair of PPT's  $A_1, A_2$ :

$$\Pr[(x, a) \leftarrow A_1(1^n); h \leftarrow \mathcal{H}_n; x' \leftarrow A_2(a, h): \\ x \neq x' \wedge h(x) = h(x')] = \text{neg}(n)$$

## Theorem 33

*OWFs imply efficient compressing TCRs.*

**Definition 34 (target one-time signatures)**

A signature scheme  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is target one-time existential unforgeable (for short, target one-time signature), if for any pair of PPT's  $A_1, A_2$

$$\Pr[(m, a) \leftarrow A_1(1^n); (s, v) \leftarrow \text{Gen}(1^n); \\ (m', \sigma) \leftarrow A_2(a, \text{Sign}_s(m)): m' \neq m \wedge \text{Vrfy}_v(m', \sigma) = 1] \\ = \text{neg}(n)$$

**Claim 35**

OWFs imply target one-time signatures.

**Definition 36 (random one-time signatures)**

A signature scheme  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is random one-time existential unforgeable (for short, random one-time signature), if for any PPT  $A$  and any samplable ensemble  $\mathcal{M} = \{\mathcal{M}_n\}_{n \in \mathbb{N}}$ , it holds that

$$\begin{aligned} & \Pr[m \leftarrow \mathcal{M}_n; (s, v) \leftarrow \text{Gen}(1^n); (m', \sigma) \leftarrow A(m, \text{Sign}_s(m)) : \\ & \quad m' \neq m \wedge \text{Vrfy}_v(m', \sigma) = 1] \\ & = \text{neg}(n) \end{aligned}$$

**Claim 37**

Assume  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is target one-time existential unforgeable, then it is random one-time existential unforgeable.

**Lemma 38**

*Assume that  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is a target one-time signature scheme, then  $(\text{Gen}', \text{Sign}', \text{Vrfy}')$  from Construction 29 is a stateful existential unforgeable signature scheme.*

Proof: ?