Application of Information Theory, Lecture 12

Accessible Entropy and Statistically Hiding Commitments

Handout Mode

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Section 1

Commitment Schemes

Motivation

▸ Digital analogue of a safe

▸ Numerous applications (e.g., zero-knowledge, coin-flipping, secure computations,)

Definition

Definition 1 (Commitment scheme)

An efficient two-stage protocol (S, R).

- ▸ Commit stage: The sender S has private input $\sigma \in \{0, 1\}^*$ and the common input is 1^n. The commitment stage results in a **joint** output c, the commitment, and a **private** output d of S, the decommitment.

- ▸ Reveal stage: S sends the pair (d, σ) to R, and R either accepts or rejects.

Completeness: R always accepts in an honest execution.

Hiding:. In commit stage: for any R^* and equal length $\sigma, \sigma' \in \{0, 1\}^*$, $\Delta^{R^*}((S(\sigma), R^*)(1^n), (S(\sigma'), R^*)(1^n)) = \text{neg}(n)$.

Binding: The following happens with negligible prob. for any S^*:

> $S^*(1^n)$ *interacts with* $R(1^n)$ *in the commit stage resulting in a commitment c. Then S^* outputs two pairs (d, σ) and (d', σ') with $\sigma \neq \sigma'$ and* $R(c, d, \sigma) = R(c, d', \sigma') = \texttt{Accept}$.

Definition cont.

- Negligible function: $\mu\colon \mathbb{N} \mapsto \mathbb{N}$ is negligible, if for any $p \in \mathrm{poly}\ \exists n_p \in \mathbb{N}$ s.t. $\frac{1}{p(n)} < \mu(n)$ for all $n > n_p$.
- Hiding: Perfect, statistical, computational.
- Binding: Perfect, statistical, computational.
- Impossible to have simultaneously both properties to be statistical.
- OWF is necessary assumption
- Suffices to construct "bit commitments"
- OWFs imply both statistically binding and computationally hiding commitments, and (more difficult) computationally binding and statistically hiding commitments.
- We focus on computationally binding, and statistically hiding commitments (SHC)
- Canonical decommitment: d is S's coin and c is protocol's transcript of the commit stage, and decomitment verifies consistency.
- We will focus on constructing the commit algorithm

Section 2

Inaccessible Entropy

Motivation

> **Definition 2 (collision resistant hash family (CRH))**
>
> A function family $\mathcal{H} = \{\mathcal{H}_n : \{0,1\}^n \mapsto \{0,1\}^{n/2}\}$ is collision resistant, if $\forall$ PPT A
>
> $$\Pr_{\substack{h \leftarrow \mathcal{H}_n \\ (x,x') \leftarrow A(1^n, h)}} [x \neq x' \in \{0,1\}^* \wedge h(x) = h(x')] = \text{neg}(n)$$

- Implies SHC. (?) Believed not to be implied by OWFs.

- Assume for simplicity that $h \in \mathcal{H}_n$ is $2^{n/2}$ to 1 and that a PPT cannot find a collision in any $h \in \mathcal{H}_n$

- Given $h(U_n)$, the (min) entropy of U_n is $n/2$.

- Consider PPT A that on input h first outputs h, y, and then outputs $x \in h^{-1}(y)$ (possibly using additional random coins)

- What is the entropy of x given (h, y) and the coins A's used to sample y? (essentially) 0!

- The generator $G(h, x) = (h, h(x), x)$ has inaccessible entropy $n/2$

- Does inaccessible entropy generator implies SHC?

- Does OWF implies inaccessible entropy generator?

Real entropy

- Sample entropy: for rv X let $H_X(x) = -\log \Pr_X[x]$.

- $H(X) = E_{x \leftarrow X}[H_X(x)]$

- Let $G: \{0,1\}^n \mapsto (\{0,1\}^\ell)^m$ be an m-block generator and let $(G_1, \ldots, G_m) = G(U_n)$

- For $\mathbf{g} = (g_1, \ldots, g_m) \in \mathrm{Supp}(G_1, \ldots, G_m)$, let

$$\mathrm{RealH}_G(\mathbf{g}) = \sum_{i \in [m]} H_{G_i|G_1, \ldots, G_{i-1}}(g_i|g_1, \ldots, g_{i-1})$$

- The real Shannon entropy of G is $E_{\mathbf{g} \leftarrow G(U_n)}[\mathrm{RealH}_G(\mathbf{g})]$

- $E_{\mathbf{g} \leftarrow G(U_n)}[\mathrm{RealH}_G(\mathbf{g})] = \sum_{i \in [m]} H(G_i|G_1, \ldots, G_{i-1}) = H(G(U_n))$

- In the actual construction, we sometimes measure the (real) entropy of some of the output blocks.

Accessible entropy

- Let G be an m block generator

- Let $\widetilde{G}$ be an m-block generator, that uses coins r_i before outputting its i'th block (w_i, g_i).

- $t = (r_1, w_1, g_1, \ldots, r_m, w_m, g_m)$ is valid with respect to G, if $(g_1, \ldots, g_i) = G(w_i)_{1,\ldots,i}$ for every $i \in [m]$.

- We will assume for simplicity that the string **t** in consideration is always valid, and omit the w's from the notation.

- Let $\widetilde{T} = (\widetilde{R}_1, \widetilde{G}_1, \ldots, \widetilde{R}_m, \widetilde{G}_m)$ be the rv's induced by random execution of $\widetilde{G}$

-
$$\mathrm{AccH}_{G,\widetilde{G}}(\mathbf{t}) = \sum_{i \in [m]} H_{\widetilde{G}_i | \widetilde{R}_1, \widetilde{G}_1, \ldots, \widetilde{R}_{i-1}, \widetilde{G}_{r-1}}(g_i | r_1, g_1, \ldots, r_{i-1}, g_{i-1})$$
$$= \sum_{i \in [m]} H_{\widetilde{G}_i | \widetilde{R}_1, \ldots, \widetilde{R}_{i-1}}(g_i | r_1, \ldots, r_{i-1})$$

- The accessible entropy of $\widetilde{G}$ (with respect to G) is at most k, if $\Pr_{\mathbf{t} \leftarrow \widetilde{T}}\left[\mathrm{AccH}_{G,\widetilde{G}}(\mathbf{t}) > k\right] \le \mathrm{neg}(n)$. Why not $\mathrm{E}_{\mathbf{t} \leftarrow \widetilde{T}}\left[\mathrm{AccH}_{G,\widetilde{G}}(\mathbf{t})\right]$?

- G has inaccessible entropy d, if the accessible entropy of any PPT $\widetilde{G}$ is smaller be at least d than its real entropy

Example

- ▸ Let $\mathcal{H} = \{\mathcal{H}_n : \{0,1\}^n \mapsto \{0,1\}^{n/2}\}$ be 2^n-to-1 collision resistant, and assume for simplicity that a PPT cannot find a collision for any $h \in \mathcal{H}_n$.

- ▸ Let G be the 3-block generator $G(h,x) = (h, h(x), x)$

- ▸ Real entropy of G is $\log|\mathcal{H}_n| + n$

- ▸ Accessible entropy of G is $\log|\mathcal{H}_n| + \frac{n}{2}$

Section 3

Manipulating Inaccessible Entropy

Entropy equalization

Let G be m-bit generator.

For $\ell \in$ poly let $G^{\otimes \ell}$ be the following $\ell - 1 \cdot m$-bit generator

$$G^{\otimes \ell}(x_1, \ldots, x_\ell, i) = G(x_1)_i, \ldots, G(x_1)_m, \ldots, G(x_\ell)_1, \ldots, G(x_\ell)_{i-1}$$

▸ Assume the accessible entropy of G is (at most) k_A, then $k_A^{\otimes \ell}$, the accessible entropy of $G^{\otimes \ell}$, is at most $k(\ell - 2) + m$.

▸ Assume the real entropy of G is k_R, then

  1. $k_R^{\otimes \ell}$, the real entropy of $G^{\otimes \ell}$, is at least $k_R^{\otimes \ell} = (\ell - 1)K_R$
  2. For any $i \in [(\ell - 1) \cdot m]$ and $(g_1, \ldots, g_{i-1}) \in \mathrm{Supp}(G_1^{\otimes \ell}, \ldots, G_{i-1}^{\otimes \ell})$:

  $$H(G_i^{\otimes \ell} | G_1^{\otimes \ell}, \ldots, G_{i-1}^{\otimes \ell}) = k/\ell$$

▸ Assume $k_R \geq k_A + 1$, then for $\ell = m + 2$, it holds that $k_R^{\otimes \ell} \geq k_A^{\otimes \ell} + 1$

Gap amplification and conversion to min entropy

Let G be an m-block generator and for $\ell \in \text{poly}$, let G^ℓ be the ℓ-fold parallel repetition of G.

- Assume accessible entropy of G is (at most) k_A, then the accessible entropy of G is at most $k_A^\ell = \ell \cdot k_A$.

- Assume $H(G_i|G_1, \ldots, G_{i-1}) = k_R$ for any $i \in [m]$, then for any $i \in [m]$ and $(g_1^\ell, \ldots, g_{i-1}^\ell) \in \text{Supp}(G_1^\ell, \ldots, G_{i-1}^\ell)$ it holds that

$$k_{min}^\ell = H_\infty(G_i^\ell | G_1^\ell, \ldots, G_{i-1}^\ell) \approx \ell k_R$$

- If $k_A \leq k_R - 1$, then $\forall n \in \text{poly} \; \exists \ell \in \text{poly}$ such that $\ell k_{min}^\ell > k_A^\ell + n$

Section 4

Inaccessible Entropy from OWF

The generator

Definition 3

Given a function $f\colon \{0,1\}^n \mapsto \{0,1\}^n$, let G be the $(n+1)$-block generator $f(x)_1, \ldots, f(x)_n, x$.

Lemma 4

Assume that f is a OWF then G has accessible entropy at most $n - \log n$.

- Recall f is OWF if
  $\Pr_{x \leftarrow \{0,1\}^n} \left[\mathsf{Inv}(f(x)) \in f^{-1}(f(x)) \right] = \mathrm{neg}(n)$ for any PPT Inv.
- The real entropy of G is n
- Hence, inaccessible entropy gap is $\log n$
- Proof idea

Proving Lemma 4

Let $\widetilde{G}$ be a PPT, and assume $\Pr\left[\mathsf{AccH}_{G,\widetilde{G}}(\widetilde{T}) \geq n - \log n\right] \geq \varepsilon = \frac{1}{\mathrm{poly}(n)}$.

(recall $\widetilde{T} = (\widetilde{R}_1, \widetilde{G}_1, \ldots, \widetilde{R}_m, \widetilde{G}_m)$ is the coins and output blocks of $\widetilde{G}$)

Algorithm 5 ($\mathsf{Inv}(z)$)

1. For $i = 1$ to n, do the following for n^2/ε times:

    1.1 Sample r_i uniformly at random and let g_i be the i'th output block of $\widetilde{G}(r_1, \ldots, r_i)$.

    1.2 If $g_i = z_i$, move to next value of i.

    1.3 Abort, if the maximal number of attempts is reached.

2. Finish the execution of $\widetilde{G}(r_1, \ldots, r_{n+1})$, and output its $(n+1)$ output block.

Let $\widehat{T} = (\widehat{R}_1, \widehat{G}_1, \ldots, \widehat{R}_{n+1}, \widehat{G}_{n+1})$ be the (final) values of $(r_1, g_1, \ldots, r_{n+1}, g_{n+1})$ in a random execution of $\mathsf{Inv}(f(U_n))$.

We start by assuming that Inv is unbounded (i.e., the test on Line 1.3 is removed)

$\widetilde{T}$ vs. $\widehat{T}$

Fix $\mathbf{t} = (r_1, g_1, \ldots, r_{n+1}, g_{n+1}) \in \mathsf{Supp}(\widetilde{T})$

- Let $P(\mathbf{t}) = \prod_{i=1}^{n+1} \Pr\left[\widetilde{R}_i = r_i | (\widetilde{R}_{1,\ldots,i-1}, \widetilde{G}_i) = (r_{1,\ldots,i-1}, g_i)\right]$

-

$$
\begin{aligned}
\Pr_{\widetilde{T}}[t] &= \Pr[\widetilde{G}_1 = g_1] \cdot \Pr[\widetilde{R}_1 = r_1 | \widetilde{G}_1 = g_1] \cdot \Pr[\widetilde{G}_2 = g_2 | \widetilde{R}_1 = r_1] \cdot \Pr[\widetilde{R}_2 = r_2 | \widetilde{G}_2 = g_2] \ldots \\
&= 2^{-\sum_{i=1}^{m} H_{\widetilde{G}_i | \widetilde{R}_1, \ldots, \widetilde{R}_{i-1}}(g_i | r_1, \ldots, r_{i-1})} \cdot P(\mathbf{t}) \\
&= 2^{-\mathsf{AccH}_{G,\widetilde{G}}(\mathbf{t})} \cdot P(\mathbf{t})
\end{aligned}
$$

- $\Pr_{\widehat{T}}[\mathbf{t}] = \Pr[f(U_n) = (g_1, \ldots, g_n)] \cdot \Pr\left[\widetilde{G}_{n+1} = g_{n+1}\right] \cdot P(\mathbf{t}) \geq 2^{-n} \cdot P(\mathbf{t})$

- In particular, for $\mathbf{t}$ with $\mathsf{AccH}_{G,\widetilde{G}}(\mathbf{t}) \geq n - \log n$:

$$
\Pr_{\widetilde{T}}[t] \geq \Pr_{\widehat{T}}[t]/n \tag{1}
$$

Inv's success probability

Let $\mathcal{S} \subseteq \mathsf{Supp}(\widetilde{T})$ denote the set of transcripts $\mathbf{t} = (r_1, g_1, \ldots, r_{n+1}, g_{n+1})$ with

1. $\mathsf{AccH}_{G,\widetilde{G}}(\mathbf{t}) \geq n - \log n$, and

2. $H_{\widetilde{G}_i | \widetilde{G}_1, \ldots, \widetilde{G}_{i-1}}(g_i \mid g_1, \ldots, g_{i-1}) \leq \log(\frac{2n}{\varepsilon})$ for all $i \in [n]$.

$$\Pr_{\widetilde{T}}[\mathcal{S}] \geq \Pr\left[\mathsf{AccH}_{G,\widetilde{G}}(T) \geq n - \log n\right]$$

$$- \Pr_{(g_1, \ldots, g_{n+1}) \leftarrow (\widetilde{G}_1, \ldots, \widetilde{G}_{n+1})}\left[\exists i \in [n] \colon H_{\widetilde{G}_i | \widetilde{G}_1, \ldots, \widetilde{G}_{i-1}}(g_i \mid g_1, \ldots, g_{i-1}) > \log(\frac{2n}{\varepsilon})\right]$$

$$\geq \varepsilon - n \cdot \frac{\varepsilon}{2n} = \varepsilon/2$$

It follows that $\Pr_{\widetilde{T}}[\mathcal{S}] \geq \varepsilon/2n$.

Back to the bounded version of Inv.

- For $z \in \{0,1\}^n$ for which $\exists (r_1, z_1, \ldots, r_n, z_n, \ldots) \in \mathcal{S}$:
  $\Pr[\mathsf{Inv}(z) \text{ aborts }] \leq n \cdot (1 - \frac{\varepsilon}{2n})^{n^2/\varepsilon} = O(n \cdot 2^{-n}) \leq \frac{1}{2}$

- Hence, (in the bounded version of Inv) $\Pr_{\widetilde{T}}[\mathcal{S}] \geq \varepsilon/4n$

  $\implies \Pr_{x \leftarrow \{0,1\}^n}\left[\mathsf{Inv}(f(x)) \in f^{-1}(f(x))\right] \geq \varepsilon/4n$

Section 5

**Statistically Hiding Commitment from
Inaccessible Entropy Generator**

High-level description

- Entropy equalization + gap amplification to get generator that has the same min-entropy in each block and whose accessible entropy is *n*-bit smaller than the sum of the min entropies.

- Use universal hashing to get a "generator" with zero accessible entropy block

- Use target-collision-resistant hash family (a non-interactive cryptographic tool implied by OWF) to get weakly binding SHC

- Amplify the above into full-fledged SHC

Hashing protocol

Let $\mathcal{T} \subseteq \{0,1\}^\ell$ be 2^k-size set.
Let $\mathcal{H}^1$ be ℓ-wise independent family mapping ℓ-bit strings to k-bit strings
Let $\mathcal{H}^2$ be 2-universal family mapping ℓ-length strings to n-bit strings

Protocol 6 ((S, R))

1. S selects $x \in \mathcal{T}$
2. R sends $h^1 \leftarrow \mathcal{H}^1$ to S
3. S sends $y^1 = h^1(x)$ to R
4. R sends $h^2 \leftarrow \mathcal{H}^2$ to S
5. S sends $y^2 = h^2(x)$ to R

Let $\widetilde{S}$ be an arbitrary algorithm and let Y^1, Y^2, H^1, H^2 be value of y^1, y^2, h^1, h^2 in a random execution of $(\widetilde{S}, R)$.

Claim 7

$\Pr\left[\exists x \neq x' \in \mathcal{T} : H^1(x) = H^1(x') = Y^1 \wedge H^2(x) = H^3(x') = Y^3\right] \in 2^{-\Omega(n)}$.

Proof: ? Can we do it in a single round?

"Generator" with zero accessible entropy block

Let G be m-block generator of block size ℓ and input length s. Let $\mathcal{H}^1$ be ℓ-wise function family mapping ℓ-bit strings of k-bit strings. Let $\mathcal{H}^2$ be 2-universal function family mapping ℓ-bit strings to n-bit strings.

Protocol 8 ($G' = (S, R)$)

S sets $x \leftarrow \{0,1\}^s$

For $i = 1$ to m:

1. R sends $h_i^1 \leftarrow \mathcal{H}^1$ to S
2. S sends $y_i^1 = h_i^1(G(x)_i)$ to R
3. R sends $h_i^2 \leftarrow \mathcal{H}^2$ to S
4. S sends $y_i^2 = h_i^2(G(x)_i)$ to R
5. S sends $g_i = G(x)_i$ to R

- We view G' as an m-block "interactive generator" (the blocks are $g_1, \ldots, g_m$).
- Assume the blocks of G has real min-entropy $(k + n + t)$, then the blocks of G' has real min-entropy roughly t
- Assume G has accessible entropy mk, then w.p. $1 - negl(n)$ in an execution of G' exists block with accessible entropy 0:

$H_{\widetilde{G}_i | \widetilde{R}_1, \ldots, \widetilde{R}_{i-1}, H_1, \ldots, H_i, Y_i}(g_i | r_1, \ldots, r_{i-1}, (h_1^1, h_1^2), \ldots, (h_i^1, h_i^2), (y_i^1, y_i^2)) = 0)$, where H_i / Y_i are the values of $(h_i^1, h_i^2)/(y_i^1, y_i^2)$ in random execution of $\widetilde{G}$.

Target collision-resistant functions

Definition 9 (target collision-resistant functions (TCR))

A function family $\mathcal{H} = \{\mathcal{H}_n\}$ is target collision resistant, if

$$\Pr_{(x,a) \leftarrow A_1(1^n); h \leftarrow \mathcal{H}_n; x' \leftarrow A_2(a,h)} [x \neq x' \wedge h(x) = h(x')] = \operatorname{neg}(n)$$

for any pair of PPT's A_1, A_2.

Relaxed variant of collision resistant.

Theorem 10

OWFs imply efficient compressing TCRs.

Weakly binding statistically hiding commitment

Let G be m-block generator of block size ℓ and input length s. Let $\mathcal{H}$ be a TCR family mapping strings of length ℓ to string of length k. Let $\mathcal{G}$ be 2-universal Boolean function family over strings of length ℓ.

Protocol 11 (Com $= (\mathsf{S}(\sigma), \mathsf{R})$)

S sets $x \leftarrow \{0,1\}^s$ and R sets $i^* \leftarrow [m]$
For $i = 1$ to m:
  1. R sends $h_i \leftarrow \mathcal{H}$ to S
  2. S sends $y_i = h_i(G(x)_i)$ to R
  3. If $i = i^*$:
     3.1 R sends $g \leftarrow \mathcal{G}$ to S
     3.2 S sends $g(G(x)_i) \oplus \sigma$ to R
     3.3 Parties **stop** the execution.

- Assume the blocks of G has real min entropy $(k + n)$, then Com is statistically hiding

- Assume G has a zero entropy block, then Com is $\frac{1}{m}$ binding. Proof:
  1. For some $i \in [m]$, cheating $\widetilde{\mathsf{S}}$ must send hash of zero-entropy block.
  2. If $i^* = i$, we have binding

Remarks

- OWF over *n* bits implies $\Theta(n)$-round SHC
- Can be pushed to $\Theta(n/\log n)$ rounds
- Tight (at least for certain type of reductions)