# Foundation of Cryptography, Lecture 6 Interactive Proofs and Zero Knowledge

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# Part I

# **Interactive Proofs**

#### $\mathcal{NP}$ as a Non-interactive Proofs

## **Definition 1** ( $\mathcal{NP}$ )

 $\mathcal{L} \in \mathcal{NP}$  iff  $\exists \ell \in \text{poly}$  and poly-time algorithm V such that:

- $\forall x \in \mathcal{L} \cap \{0,1\}^n$  there exists  $w \in \{0,1\}^{\ell(n)}$  s.t. V(x,w) = 1
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- A non-interactive proof

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- A non-interactive proof
- Interactive proofs?

Interactive algorithm

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- *m*-round algorithm, *m*-round protocol

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A protocol (P, V) is an interactive proof for  $\mathcal{L}$ , if V is PPT and:

Completeness  $\forall x \in \mathcal{L}$ ,  $\Pr[\langle (P, V)(x) \rangle = 1] \ge 2/3$ 

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**Soundness**  $\forall x \notin \mathcal{L}$ , and any algorithm  $P^* \Pr[\langle (P^*, V)(x) \rangle = 1] \le 1/3$ 

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- Sometime we have efficient provers via "auxiliary input"
- computationally sound proofs/interactive arguments: Soundness only guaranteed against efficient (PPT) provers

#### Section 1

# **Interactive Proof for Graph Non-Isomorphism**

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Graphs  $G_0 = ([m], E_0)$  and  $G_1 = ([m], E_1)$  are isomorphic, denoted  $G_0 \equiv G_1$ , if  $\exists \pi \in \Pi_m$  such that  $(u, v) \in E_0$  iff  $(\pi(u), \pi(v)) \in E_1$ .

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- We will show a simple interactive proof for GNI Idea: Beer tasting...

#### IP for $\mathcal{GNI}$

## Protocol 4 ((P, V))

**Common input**  $G_0 = ([m], E_0), G_1 = ([m], E_1)$ 

- V chooses  $b \leftarrow \{0, 1\}$  and  $\pi \leftarrow \Pi_m$ , and sends  $\pi(E_b) = \{(\pi(u), \pi(v)) : (u, v) \in E_b\}$  to P
- 2 P send b' to V (tries to set b' = b)
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#### Claim 5

The above protocol is IP for  $\mathcal{GNI}$ , with perfect completeness and soundness error  $\frac{1}{2}$ .

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Hence,

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:  $Pr[b' = b] \leq \frac{1}{2}$ .

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#### Hence,

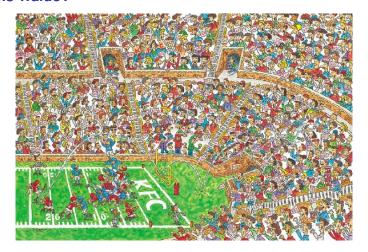
```
G_0 \equiv G_1: \Pr[b' = b] \le \frac{1}{2}.

G_0 \not\equiv G_1: \Pr[b' = b] = 1 (i.e., P can, possibly inefficiently, extracted from \pi(E_i))
```

## Part II

# **Zero knowledge Proofs**

#### Where is Waldo?



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#### **Question 6**

Can you prove you know where Waldo is without revealing his location?

### The concept of zero knowledge

Proving w/o revealing any addition information.

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- What does it mean?

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   Simulation paradigm.

### **Definition 7 (computational** $\mathcal{ZK}$ **)**

An interactive proof (P, V) is computational zero-knowledge proof ( $\mathcal{CZK}$ ) for  $\mathcal{L}$ , if  $\forall$  PPT V\*,  $\exists$  PPT S such that  $\{\langle (P,V^*)(x)\rangle\}_{x\in\mathcal{L}}\approx_c \{S(x)\}_{x\in\mathcal{L}}$ .

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- **1** The "standard"  $\mathcal{NP}$  proof is typically not zero knowledge
- Next class ZK for all NP

### Section 2

# Zero-Knowledge Proof go Graph-Isomorphism

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Idea: route finding

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**Common input**  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$ 

P's input a permutation  $\pi$  such that  $\pi(E_1) = E_0$ 

- **①** P chooses  $\pi' \leftarrow \Pi_m$  and sends  $E = \pi'(E_0)$  to V
- **2** V sends b ← {0,1} to P
- **3** if b = 0, P sets  $\pi'' = \pi'$ , otherwise, it sends  $\pi'' = \pi' \circ \pi$  to V
- V accepts iff  $\pi''(E_b) = E$

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### Claim 9

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   Assuming V rejects w.p. less than ½ and let π<sub>0</sub> and π<sub>1</sub> be the values guaranteed by the above observation (i.e., mapping E<sub>0</sub> and E<sub>1</sub> to E respectively).

Then  $\pi_0^{-1}(\pi_1(E_1)) = \pi_0 \implies (G_0, G_1) \in \mathcal{GI}$ .

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  - Then  $\pi_0^{-1}(\pi_1(E_1)) = \pi_0 \implies (G_0, G_1) \in \mathcal{GI}$ .
- $\mathcal{ZK}$ : Idea for  $(G_0, G_1) \in \mathcal{GI}$ , it is easy to generate a random transcript for Steps 1-2, and to be able to open it with prob  $\frac{1}{2}$ .

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Input:  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$ 

Do |x| times:

- **①** Choose  $b' \leftarrow \{0,1\}$  and  $\pi \leftarrow \Pi_m$ , and "send"  $\pi(E_{b'})$  to  $V^*(x)$ .
- 2 Let b be V\*'s answer. If b = b', send  $\pi$  to V\*, output V\*'s output and halt.

Otherwise, rewind the simulation to its first step.

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# Claim 11

$$\{\langle (\mathsf{P},\mathsf{V}^*)(x)\rangle\}_{x\in\mathcal{GI}}\approx \{\mathsf{S}(x)\}_{x\in\mathcal{GI}}$$

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Proof: ? (1) is clear.

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Hence,  $SD(S''(x), S'(x)) \le 2^{-|x|} \square$ 

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Common input  $x \in \{0, 1\}^*$ 

P's input  $w \in R_{\mathcal{L}}(x)$ 

- **1** V chooses  $(d, e) \leftarrow G(1^{|x|})$  and sends e to P
- 2 P sends  $c = E_e(w)$  to V
- **3** V accepts iff  $D_d(c) \in R_{\mathcal{L}}(x)$

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  - Is it zero-knowledge?

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- 2 P sends  $c = E_e(w)$  to V
- **3** V accepts iff  $D_d(c) \in R_L(x)$ 
  - The above protocol has perfect completeness and soundness.
  - Is it zero-knowledge?
  - It has "transcript simulator" (at least for honest verifiers): exits PPT S such that  $\{trans(\langle (P(w \in R_{\mathcal{L}}(x)), V)(x)\rangle)\}_{x \in \mathcal{L}} \approx_{c} \{S(x)\}_{x \in \mathcal{L}}$ , where trans stands for the transcript of the protocol (i.e., the messages exchange through the execution).

## Section 3

# **Black-box Zero Knowledge**

## **Definition 17 (Black-box simulator)**

(P, V) is  $\mathcal{CZK}$  with black-box simulation for  $\mathcal{L}$ , if  $\exists$  oracle-aided PPT S s.t. for every deterministic polynomial-time<sup>a</sup>  $V^*$ :

$$\{(\mathsf{P}(w_x),\mathsf{V}^*(z_x))(x)\}_{x\in\mathcal{L}}\approx_{\mathcal{C}}\{\mathsf{S}^{\mathsf{V}^*(x,z_x)}(x)\}_{x\in\mathcal{L}}$$

for any  $\{(w_x, z_x) \in R_{\mathcal{L}}(x) \times \{0, 1\}^*\}_{x \in \mathcal{L}}$ .

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Prefect and statistical variants are defined analogously.

<sup>&</sup>lt;sup>a</sup>Length of auxiliary input does not count for the running time.

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Prefect and statistical variants are defined analogously.

- "Most simulators" are black box
- 2 Strictly weaker then general simulation!

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## Section 4

# Zero Knowledge for all NP

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## **Definition 18 (3COL)**

 $G = (M, E) \in \mathsf{3COL}$ , if  $\exists \ \phi \colon M \mapsto [3]$  s.t.  $\phi(u) \neq \phi(v)$  for every  $(u, v) \in E$ .

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 $G = (M, E) \in 3COL$ , if  $\exists \phi : M \mapsto [3]$  s.t.  $\phi(u) \neq \phi(v)$  for every  $(u, v) \in E$ .

We use commitment schemes.

### The protocol

Let  $\pi_3$  be the set of all permutations over [3].

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## **Protocol 19 ((P, V))**

Common input: Graph G = (M, E) with n = |G|

P's input: a (valid) coloring  $\phi$  of G

- **1** P chooses  $\pi \leftarrow \Pi_3$  and sets  $\psi = \pi \circ \phi$
- ②  $\forall v \in M$ : P commits to  $\psi(v)$  using Com (with security parameter 1<sup>n</sup>).

Let  $c_v$  and  $d_v$  be the resulting commitment and decommitment.

- 3 V sends  $e = (u, v) \leftarrow E$  to P
- **1** P sends  $(d_u, \psi(u)), (d_v, \psi(v))$  to V
- V verifies that (1) both decommitments are valid, (2)  $\psi(u), \psi(v) \in [3]$  and (3)  $\psi(u) \neq \psi(v)$ .

The above protocol is a  $\mathcal{CZK}$  for 3COL, with perfect completeness and soundness 1/|E|.

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- Completeness: Clear
- Soundness: Let  $\{c_V\}_{V \in M}$  be the commitments resulting from an interaction of V with an arbitrary  $P^*$ .

```
Define \phi: M \mapsto [3] as follows:
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 $\forall v \in M$ : let  $\phi(v)$  be the (single) value that it is possible to decommit  $c_v$  into (if not in [3], set  $\phi(v) = 1$ ).

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If G 
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 $\forall v \in M$ : let  $\phi(v)$  be the (single) value that it is possible to decommit  $c_v$  into (if not in [3], set  $\phi(v) = 1$ ).

If G  $\notin$  3COL, then  $\exists (u, v) \in E$  s.t.  $\psi(u) = \psi(v)$ . Hence V rejects such x w.p. a least 1/|E|

## Proving $\mathcal{ZK}$

Fix a deterministic, non-aborting V\* that gets no auxiliary input.

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Fix a deterministic, non-aborting V\* that gets no auxiliary input.

## Algorithm 21 (S)

Input: A graph G = (M, E) with n = |G| Do  $n \cdot |E|$  times:

- Choose  $e' = (u, v) \leftarrow E$ . Set  $\psi(u) \leftarrow [3]$ ,  $\psi(v) \leftarrow [3] \setminus \{\psi(u)\}$ , and  $\psi(w) = 1$  for  $w \in M \setminus \{u, v\}$
- ②  $\forall v \in M$ : commit to  $\psi(v)$  to  $V^*$  (resulting in  $c_v$  and  $d_v$ )
- **3** Let e be the edge sent by  $V^*$ . If e = e', send  $(d_u, \psi(u)), (d_v, \psi(v))$  to  $V^*$ , output  $V^*$ 's output and halt.

Otherwise, rewind the simulation to its first step.

#### **Abort**

## Proving $\mathcal{ZK}$ cont.

### Claim 22

$$\{(P(w_x), V^*)(x)\}_{x \in 3COL} \approx_c \{S^{V^*(x)}(x)\}_{x \in 3COL}, \text{ for any } \{w_x \in P_{3COL}(x)\}_{x \in 3COL}.$$

## Consider the following (inefficient simulator)

## Algorithm 23 (S')

Input: G = (V, E) with n = |G|

Find (using brute force) a valid coloring  $\phi$  of G

Do  $n \cdot |E|$  times

- $oldsymbol{0}$  Act as the honest prover does given private input  $\phi$
- 2 Let *e* be the edge sent by V\*.

W.p. 1/|E|, S' sends  $(\psi(u), d_u), (\psi(v), d_v)$  to V\*, output V\*'s output and halt.

Otherwise, rewind the simulation to its first step.

### **Abort**

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Otherwise, rewind the simulation to its first step.

### **Abort**

## Claim 24

$$\{S^{V^*(x)}(x)\}_{x \in 3COL} \approx_c \{S'^{V^*(x)}(x)\}_{x \in 3COL}$$

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- $oldsymbol{0}$  Act as the honest prover does given private input  $\phi$
- 2 Let e be the edge sent by V\*. W.p. 1/|E|, S' sends  $(\psi(u), d_u), (\psi(v), d_v)$  to V\*, output V\*'s output and halt.

Otherwise, rewind the simulation to its first step.

#### Abort

### Claim 24

$$\{S^{V^*(x)}(x)\}_{x \in 3COL} \approx_c \{S'^{V^*(x)}(x)\}_{x \in 3COL}$$

Proof: ?

#### **Proving Claim 24**

Assume  $\exists$  PPT D,  $p \in$  poly and an infinite set  $\mathcal{I} \subseteq 3COL$  s.t.

$$\left| \Pr[\mathsf{D}(|x|\,,\mathsf{S}^{\mathsf{V}^*(x)}(x)) = 1] - \Pr[\mathsf{D}(|x|\,,\mathsf{S'}^{\mathsf{V}^*(x)}(x)) = 1] \right| \ge 1/p(|x|)$$

for all  $x \in \mathcal{I}$ .

### **Proving Claim 24**

Assume  $\exists$  PPT D,  $p \in$  poly and an infinite set  $\mathcal{I} \subseteq$  3COL s.t.

$$\left| \Pr[D(|x|, S^{V^*(x)}(x)) = 1] - \Pr[D(|x|, S'^{V^*(x)}(x)) = 1] \right| \ge 1/p(|x|)$$

for all  $x \in \mathcal{I}$ .

Hence,  $\exists$  PPT  $\mathbb{R}^*$  and  $b \neq b' \in [3]$  such that

$$\{\mathsf{View}_{\mathsf{R}^*}(\mathsf{S}(b),\mathsf{R}^*(x))(1^{|x|})\}_{x\in\mathcal{I}}\not\approx_{c}\{\mathsf{View}_{\mathsf{R}^*}(\mathsf{S}(b'),\mathsf{R}^*(x))(1^{|x|})\}_{x\in\mathcal{I}}$$

where S is the sender in Com.

### **Proving Claim 24**

Assume  $\exists$  PPT D,  $p \in$  poly and an infinite set  $\mathcal{I} \subseteq$  3COL s.t.

$$\left| \Pr[D(|x|, S^{V^*(x)}(x)) = 1] - \Pr[D(|x|, S'^{V^*(x)}(x)) = 1] \right| \ge 1/\rho(|x|)$$

for all  $x \in \mathcal{I}$ .

Hence,  $\exists$  PPT  $\mathbb{R}^*$  and  $b \neq b' \in [3]$  such that

$$\{\mathsf{View}_{\mathsf{R}^*}(\mathsf{S}(b),\mathsf{R}^*(x))(1^{|x|})\}_{x\in\mathcal{I}}\not\approx_{c}\{\mathsf{View}_{\mathsf{R}^*}(\mathsf{S}(b'),\mathsf{R}^*(x))(1^{|x|})\}_{x\in\mathcal{I}}$$

where S is the sender in Com.

We critically used the non-uniform security of Com

## S' is a good simulator

#### Claim 25

$$\{(\mathsf{P}(w_x),\mathsf{V}^*)(x)\}_{x\in 3\mathsf{COL}} \approx_c \{\mathsf{S'}^{\mathsf{V}^*(x)}(x)\}_{x\in 3\mathsf{COL}}, \text{ for any } \{w_x\in \mathsf{R}_{G\mathcal{I}}(x)\}_{x\in 3\mathsf{COL}}.$$

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Proof: ?

#### Remarks

Aborting verifiers

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Let (P, V) be a  $\mathcal{CZK}$  for 3COL, and let  $Map_X$  and  $Map_W$  be two poly-time functions s.t.

•  $x \in \mathcal{L} \iff \mathsf{Map}_X(x) \in \mathsf{3COL}$ ,

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- $\bullet \ (x,w) \in R_L \Longleftrightarrow \mathsf{Map}_W(x,w) \in R_{\mathsf{3COL}}(\mathsf{Map}_X(x))$

# Protocol 26 (( $P_{\mathcal{L}}, V_{\mathcal{L}}$ ))

Common input:  $x \in \{0, 1\}^*$ 

 $P_{\mathcal{L}}$ 's input:  $w \in R_{\mathcal{L}}(x)$ 

- The two parties interact in  $\langle (P(Map_W(x, w)), V)(Map_X(x)) \rangle$ , where  $P_{\mathcal{L}}$  and  $V_{\mathcal{L}}$  taking the role of P and V respectively.
- 2  $V_{\mathcal{L}}$  accepts iff V accepts in the above execution.

#### Claim 27

 $(P_{\mathcal{L}}, V_{\mathcal{L}})$  is a  $\mathcal{CZK}$  for  $\mathcal{L}$  with the same completeness and soundness as (P, V) as for 3COL.

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Completeness and soundness: Clear.

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 $(P_{\mathcal{L}}, V_{\mathcal{L}})$  is a  $\mathcal{CZK}$  for  $\mathcal{L}$  with the same completeness and soundness as (P, V) as for 3COL.

- Completeness and soundness: Clear.
- Zero knowledge: Let S (an efficient) ZK simulator for (P, V) (for 3COL).

Define  $S_{\mathcal{L}}(x)$  to output  $S(\operatorname{Map}_{X}(x))$ , while replacing the string  $\operatorname{Map}_{X}(x)$  in the output of S with x.

```
 \{ (\mathsf{P}(w_{x}), \mathsf{V}^{*})(x) \}_{x \in \mathcal{L}} \not\approx_{\mathcal{C}} \{ \mathsf{S}_{\mathcal{L}}^{\mathsf{V}^{*}(x)}(x) \}_{x \in \mathcal{L}} \text{ for some } \mathsf{V}_{\mathcal{L}}^{*}, \text{ implies } \\ \{ (\mathsf{P}(\mathsf{Map}_{W}(x, w_{x})), \mathsf{V}^{*})(x) \}_{x \in \mathsf{3COL}} \not\approx_{\mathcal{C}} \{ \mathsf{S}^{\mathsf{V}^{*}(x)}(x) \}_{x \in \mathsf{3COL}},
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 $(P_{\mathcal{L}}, V_{\mathcal{L}})$  is a  $\mathcal{CZK}$  for  $\mathcal{L}$  with the same completeness and soundness as (P, V) as for 3COL.

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```

•  $V^*(x)$ : find  $x^{-1} = \operatorname{Map}_X^{-1}(x)$  and act like  $V_L^*(x^{-1})$