

Foundation of Cryptography, Lecture 9

Non-Interactive ZK

Handout Mode

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December 25, 2025

Part I

Non-Interactive Zero Knowledge

Interaction is crucial for \mathcal{ZK}

Claim 1

Assume that $\mathcal{L} \subseteq \{0, 1\}^*$ has a **one-message** \mathcal{ZK} proof (even computational), with standard completeness and soundness,^a then $\mathcal{L} \in \mathcal{BPP}$.

^aThat is, the completeness is $\frac{2}{3}$ and soundness error is $\frac{1}{3}$.

Proof: HW

1. To reduce interaction, we **relax** the zero-knowledge requirement

1.1 Witness Indistinguishability

$\{ \langle (P(w_1(x)), V^*)(x) \rangle_{V^*} \}_{x \in \mathcal{L}} \approx_c \{ \langle (P(w_2(x)), V^*)(x) \rangle_{V^*} \}_{x \in \mathcal{L}}$,
for any poly-time non-uniform V^* and polynomial output size
functions w_1, w_2 , with $w_i(x) \in R_{\mathcal{L}}(x)$ for any $x \in \mathcal{L}$ and $i \in \{1, 2\}$.

1.2 Witness hiding

1.3 Non-interactive “zero knowledge”

Non-Interactive Zero Knowledge (\mathcal{NIZK})

Definition 2 (\mathcal{NIZK})

A pair of **non interactive** PPTM's (P, V) is a \mathcal{NIZK} for $\mathcal{L} \in \mathcal{NP}$, if $\exists \ell \in \text{poly}$ s.t.

- ▶ **Completeness:** $\Pr_{\mathbf{c} \leftarrow \{0,1\}^{\ell(|x|)}} [V(x, \mathbf{c}, P(x, w(x); \mathbf{c})) = 1] \geq 2/3$,
for any $x \in \mathcal{L}$ and $w(x) \in R_{\mathcal{L}}(x)$.
- ▶ **Soundness:** $\Pr_{\mathbf{c} \leftarrow \{0,1\}^{\ell(|x|)}} [V(x, \mathbf{c}, P^*(x; \mathbf{c})) = 1] \leq 1/3$,
for any P^* and $x \notin \mathcal{L}$.
- ▶ **Zero knowledge:** \exists PPTM S s.t.
$$\{(x, \mathbf{c}, P(x, w(x); \mathbf{c}))\}_{\mathbf{c} \leftarrow \{0,1\}^{\ell(|x|)}}_{x \in \mathcal{L}} \approx_c \{x, S(x)\}_{x \in \mathcal{L}}$$

for any poly-bounded function w with $w(x) \in R_{\mathcal{L}}(x)$.

- ▶ \mathbf{c} – common (random) reference string (CRS)
- ▶ In the ZK part, **CRS is chosen by the simulator.**
- ▶ What does this definition (intuitively) mean?
- ▶ Auxiliary information.
- ▶ Amplification?

Non-Interactive Zero Knowledge, cont.

- ▶ Statistical/Perfect zero knowledge?
- ▶ Non-interactive Witness Hiding (WI)

Section 1

NIZK in HBM

Hidden Bits Model (HBM)

A CRS is chosen at random, but **only the prover can see it**. The prover chooses which bits to reveal as part of the proof.

Let c^H be the “hidden” CRS:

1. Prover sees c^H , and outputs a proof π and a set of indices \mathcal{I} .
2. Verifier only sees π and the bits in c^H indexed by \mathcal{I} .
3. Simulator outputs a proof π , a set of indices \mathcal{I} and a partially hidden CRS c^H .

Soundness, completeness and ZK are naturally defined.

- ▶ We give a \mathcal{NIZK} for \mathcal{HC} , Directed Graph Hamiltonicity, in the **HBM**, and then transfer it into a \mathcal{NIZK} for \mathcal{HC} in the **standard model**.
- ▶ The latter implies a \mathcal{NIZK} for all \mathcal{NP} .

Useful matrices

- ▶ **Permutation matrix**: an $n \times n$ Boolean matrix, each row/column contains a single 1.
- ▶ **Hamiltonian matrix**: an $n \times n$ adjacency matrix of a directed graph that is an Hamiltonian cycle of all nodes (note that Hamiltonian matrix is also a permutation matrix).
- ▶ **Useful matrix**: an $n^3 \times n^3$ Boolean matrix that contains an Hamiltonian generalized $n \times n$ sub-matrix, and all other entries are zeros.

Claim 3

Let T be a random $n^3 \times n^3$ Boolean matrix s.t. each entry is 1 w.p n^{-5} . Then, $\Pr[T \text{ is useful}] \in \Omega(n^{-3/2})$.

Proving $\Pr[T \text{ is useful}] \in \Omega(n^{-3/2})$

- ▶ The expected # of ones (entries) in T is $n^6 \cdot n^{-5} = n$.
- ▶ By convergent to the Gaussian distribution, T contains **exactly** n ones w.p. $\theta(1/\sqrt{n})$.
- ▶ Each row/column of T contain more than a single one entry with probability at most $\binom{n^3}{2} \cdot n^{-10} < n^{-4}$.

Hence, wp at least $1 - 2 \cdot n^3 \cdot n^{-4} = 1 - O(n^{-1})$, no row or column of T contains more than a single one entry.

- ▶ Hence, wp $\theta(1/\sqrt{n})$ the matrix T contains a permutation matrix and all its other entries are zero.
- ▶ A **random** permutation matrix forms a cycle wp $1/n$ (there are $n!$ permutation matrices and $(n-1)!$ of them form a cycle)

\mathcal{NIZK} for Hamiltonicity in HBM

- ▶ Common input: a directed graph $G = ([n], E)$
- ▶ We assume wlg. that n is a power of 2 (?)
- ▶ Common reference string T viewed as a $n^3 \times n^3$ Boolean matrix, where each entry is 1 w.p n^{-5} (?)

Algorithm 4 (P)

Input: n -node graph $G = ([n], E)$ and a cycle C in G .

CRS: $T \in \{0, 1\}_{n^3 \times n^3}$.

1. If T not useful, set $\mathcal{I} = n^3 \times n^3$ (i.e., reveal all T) and $\pi = \perp$.
2. Otherwise, let H be the (generalized) $n \times n$ sub-matrix containing the hamiltonian cycle in T .
 - 2.1 Set $\mathcal{I} = T \setminus H$ (i.e., reveal the bits of T outside of H).
 - 2.2 Choose $\phi \xleftarrow{R} \Pi_n$ s.t. C is mapped to the cycle in H .
 - 2.3 Add the entries in H corresponding to non edges in G (wrt. ϕ) to \mathcal{I} .
3. Output $\pi = \phi$ and \mathcal{I} .

\mathcal{NIZK} for Hamiltonicity in HBM cont.

Algorithm 5 (V)

Input: n -node graph $G = ([n], E)$, mapping ϕ , index set $\mathcal{I} \subseteq [n^3] \times [n^3]$ and an ordered set $\{T_i\}_{i \in \mathcal{I}}$.

Accept if $\phi = \perp$, all the bits of T are revealed and T is **not useful**.

Otherwise,

1. Verify that $\phi \in \Pi_n$.
2. Verify that exists a single $n \times n$ generalized submatrix $H \subseteq T$ s.t. all entries in $T \setminus H$ are zeros.
3. Verify that all entries of H **not** corresponding to edges of G according to ϕ , are zeros: $\forall (u, v) \notin E$, the entry $(\phi(u), \phi(v))$ in H is opened to 0.

Claim 6

The above protocol is a **perfect** \mathcal{NIZK} for \mathcal{HC} in the HBM, with **perfect** completeness and soundness error $1 - \Omega(n^{-3/2})$.

Proving Claim 6

- ▶ Completeness: Clear.
- ▶ Soundness: Assume T is useful and V accepts. Then ϕ^{-1} maps the unrevealed “edges” of H to the edges of G .

Hence, ϕ^{-1} maps the cycle in H to an Hamiltonian cycle in G .

- ▶ Zero knowledge?

Algorithm 7 (S)

Input: G

1. Choose T at random (i.e., each entry is one wp n^{-5}).
2. If T is not useful, set $\mathcal{I} = n^3 \times n^3$ and $\phi = \perp$.
3. Otherwise,
 - 3.1 Set $\mathcal{I} = T \setminus H$ (where H is the hamiltonian sub-matrix in T).
 - 3.2 Let $\phi \xleftarrow{R} \Pi_n$.
 - 3.3 Replace all entries of H with zeros.
 - 3.4 Add the entries in H corresponding to non edges in G to \mathcal{I} .
4. Output $\pi = (T, \mathcal{I}, \phi)$.

- ▶ Perfect simulation for non-useful T 's.
- ▶ For useful T , the location of H is uniform in the real and simulated case.
- ▶ ϕ is a random element in Π_n in both (real and simulated) cases (?)
- ▶ Hence, the simulation is perfect!

Section 2

From HBM to Standard NIZK

Subsection 1

Trapdoor Permutations

Trapdoor permutations

Definition 8 (trapdoor permutations)

A triplet (G, f, Inv) , where G is a PPTM, and f and Inv are poly-time computable, is a **family of trapdoor permutation (TDP)**, if:

1. On input 1^n , $G(1^n)$ outputs a pair (sk, pk) .
2. $f_{pk} = f(pk, \cdot)$ is a permutation over $\{0, 1\}^n$, for every $n \in \mathbb{N}$ and $pk \in \text{Supp}(G(1^n)_2)$.
3. $\text{Inv}_{sk} = \text{Inv}(sk, \cdot) \equiv f_{pk}^{-1}$ for every $(sk, pk) \in \text{Supp}(G(1^n))$
4. For any PPTM A ,

$$\Pr_{x \leftarrow \{0,1\}^n, pk \leftarrow G(1^n)_2} [A(pk, x) = f_{pk}^{-1}(x)] = \text{neg}(n)$$

Hardcore Predicates for Trapdoor Permutations

Definition 9 (hardcore predicates for TDP)

A polynomial-time computable $b: \{0, 1\}^n \mapsto \{0, 1\}$ is a **hardcore predicate** of a TDP (G, f, Inv) , if

$$\Pr_{pk \leftarrow G(1^n), x \leftarrow \{0, 1\}^n} [P(pk, f_{pk}(x)) = b(x)] \leq \frac{1}{2} + \text{neg}(n),$$

for any PPTM P .

Goldreich-Levin: any TDP has an hardcore predicate (ignoring padding issues)

Example, RSA

In the following $N \in \mathbb{N}$ is a large number (n -bit long) and all operations are modulo N .

- ▶ $\mathbb{Z}_N = [N]$ and $\mathbb{Z}_N^* = \{x \in [N]: \gcd(x, N) = 1\}$
- ▶ $\phi(N) = |\mathbb{Z}_N^*|$ (equals $(P-1)(Q-1)$ for $N = PQ$ with $P, Q \in \mathcal{P}$)
- ▶ For every $e \in \mathbb{Z}_{\phi(N)}^*$, the function $f(x) \equiv x^e \bmod N$ is a permutation over \mathbb{Z}_N^* .

In particular, $(x^e)^d \equiv x \bmod N$, for every $x \in \mathbb{Z}_N^*$, where $d \equiv e^{-1} \bmod \phi(N)$

Definition 10 (RSA)

- ▶ $G(P, Q)$ sets $pk = (N = PQ, e)$ for some $e \in \mathbb{Z}_{\phi(N)}^*$, and $sk = (N, d \equiv e^{-1} \bmod \phi(N))$
- ▶ $f(pk, x) = x^e \bmod N$
- ▶ $\text{Inv}(sk, x) = x^d \bmod N$

Factoring is easy \implies RSA is easy. The other direction?

Subsection 2

The Transformation

The transformation

- ▶ Let (P_H, V_H) be a HBM \mathcal{NIZK} for \mathcal{L} , and let $\ell(n)$ be the length of the CRS used for $x \in \{0, 1\}^n$.
- ▶ Let (G, f, Inv) be a TDP and let b be an hardcore bit for it.

For simplicity, assume that $G(1^n)$ chooses (sk, pk) as follows:

1. $sk \xleftarrow{R} \{0, 1\}^n$
2. $pk = PK(sk)$

where $PK: \{0, 1\}^n \mapsto \{0, 1\}^n$ is a polynomial-time computable function.

We construct a \mathcal{NIZK} (P, V) for \mathcal{L} , with the same completeness and “not too large” soundness error.

The protocol

Algorithm 11 (P)

Input: $x \in \mathcal{L}$, $w \in R_{\mathcal{L}}(x)$ and CRS $c = (c_1, \dots, c_\ell) \in \{0, 1\}^{n\ell}$, where $n = |x|$ and $\ell = \ell(n)$.

1. Choose $(sk, pk) \xleftarrow{R} G(sk)$ and compute $c^H = (b(z_1 = f_{pk}^{-1}(c_1)), \dots, b(z_{\ell(n)} = f_{pk}^{-1}(c_\ell)))$
2. Let $(\pi_H, \mathcal{I}) \xleftarrow{R} P_H(x, w, c^H)$ and output $(\pi_H, \mathcal{I}, pk, \{z_i\}_{i \in \mathcal{I}})$

Algorithm 12 (V)

Input: $x \in \mathcal{L}$, CRS $c = (c_1, \dots, c_\ell) \in \{0, 1\}^{np}$, and $(\pi_H, \mathcal{I}, pk, \{z_i\}_{i \in \mathcal{I}})$, where $n = |x|$ and $\ell = \ell(n)$.

1. Verify that $pk \in \{0, 1\}^n$ and that $f_{pk}(z_i) = c_i$ for every $i \in \mathcal{I}$
2. Return $V_H(x, \pi_H, \mathcal{I}, c^H)$, where $c_i^H = b(z_i)$ for every $i \in \mathcal{I}$.

Claim 13

Assuming that (P_H, V_H) is a \mathcal{NIZK} for \mathcal{L} in the HBM with soundness error $2^{-n} \cdot \alpha$, then (P, V) is a \mathcal{NIZK} for \mathcal{L} with the same completeness, and soundness error α .

Proof: Assume for simplicity that b is unbiased (i.e., $\Pr[b(U_n) = 1] = \frac{1}{2}$). For every $pk \in \{0, 1\}^n$: $\left(b(f_{pk}^{-1}(c_1)), \dots, b(f_{pk}^{-1}(c_\ell))\right)_{c \leftarrow \{0, 1\}^{np}}$ is uniformly distributed in $\{0, 1\}^\ell$.

- ▶ Completeness: clear
- ▶ Soundness: follows by a union bound over all possible choice of $pk \in \{0, 1\}^n$.
- ▶ Zero knowledge:?

Proving zero knowledge

Algorithm 14 (S)

Input: $x \in \{0, 1\}^n$ of length n .

- ▶ Let $(\pi_H, \mathcal{I}, c^H) = S_H(x)$, where S_H is the simulator of (P_H, V_H)
- ▶ Output $(c, (\pi_H, \mathcal{I}, pk, \{z_i\}_{i \in \mathcal{I}}))$, where
 - ▶ $pk \xleftarrow{R} G(U_n)$
 - ▶ Each z_i is chosen at random in $\{0, 1\}^n$ such that $b(z_i) = c_i^H$
 - ▶ $c_i = f_{pk}(z_i)$ for $i \in \mathcal{I}$, and a random value in $\{0, 1\}^n$ otherwise.
- ▶ The above implicitly describes an efficient M s.t.
 - ▶ $M(S_H(x)) \equiv S(x)$
 - ▶ $M(C^H, P_H(C^H, x, w(x))) \approx_c (C, P(C, x, w(x)))$
- ▶ Hence, distinguishing $P(x, w(x))$ from $S(x)$ is hard
- ▶ Direct solution for our \mathcal{NIZK}
- ▶ An “adaptive” \mathcal{NIZK}

Section 3

Adaptive NIZK

Adaptive \mathcal{NIZK}

x is chosen **after** the CRS.

- **Completeness:** $\forall f: \{0, 1\}^{\ell(n)} \mapsto \mathcal{L} \cap \{0, 1\}^n$ and $w(x) \in R_{\mathcal{L}}(x)$:
 $\Pr_{c \leftarrow^R \{0, 1\}^{\ell(n)}; x=f(c)} [V(x, c, P(x, w(x); c)) = 1] \geq 2/3$
- **Soundness:** $\forall f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^n$ and P^*
 $\Pr_{c \leftarrow^R \{0, 1\}^{\ell(n)}; x=f(c)} [V(x, c, P^*(c)) = 1 \wedge x \notin \mathcal{L}] \leq 1/3$
- \mathcal{ZK} : \exists pair of PPTM's (S_1, S_2) s.t. \forall **poly-time** $f: \{0, 1\}^{\ell(n)} \mapsto \mathcal{L} \cap \{0, 1\}^n$

$$\{(c \leftarrow^R \{0, 1\}^{\ell(n)}, x = f(c), P(x, w(x)))\}_{n \in \mathbb{N}} \approx_c \{S^f(n)\}_{n \in \mathbb{N}}.$$

where $S^f(n)$ is the output of the following process

1. $(c, s) \leftarrow^R S_1(1^n)$
2. $x = f(c)$
3. Output $(c, x, S_2(x, c, s))$

Why do we need s ?

Adaptive \mathcal{NIZK} , cont.

- ▶ Adaptive completeness and soundness are easy to achieve from any non-adaptive \mathcal{NIZK} .(?)
- ▶ **Not** every \mathcal{NIZK} is adaptive \mathcal{ZK} .

Theorem 15

*Assume TDP exist, then every \mathcal{NP} language has an **adaptive** \mathcal{NIZK} with perfect completeness and negligible soundness error.*

In the following, when saying adaptive \mathcal{NIZK} , we mean negligible completeness and soundness error.

Section 4

Simulation-Sound NIZK

Simulation soundness

A \mathcal{NIZK} system (P, V) for \mathcal{L} has (one-time) simulation soundness, if \exists a pair of PPTM's $S = (S_1, S_2)$ that satisfies the \mathcal{ZK} property of P with respect to \mathcal{L} , and in addition

$$\Pr_{(c, x, \pi, x', \pi') \leftarrow \text{Exp}_{V, S, P^*}^n} [x' \notin \mathcal{L} \wedge V(x', \pi', c) = 1 \wedge (x', \pi') \neq (x, \pi)] = \text{neg}(n)$$

for any pair of PPTM's $P^* = (P_1^*, P_2^*)$.

Experiment 16 (Exp_{V, S, P^*}^n)

1. $(c, s) \xleftarrow{R} S_1(1^n)$
2. $(x, p) \xleftarrow{R} P_1^*(1^n, c)$
3. $\pi \xleftarrow{R} S_2(x, c, s)$
4. $(x', \pi') \xleftarrow{R} P_2^*(p, \pi)$
5. Output (c, x, π, x', π')

Simulation soundness, cont.

- ▶ After seeing a simulated (possibly false) proof, hard to generate an **additional** false proof
- ▶ Definition only considers **efficient** provers
- ▶ Might not imply adaptive soundness (?)
- ▶ Standard \mathcal{NIZK} guarantees weak type of simulation soundness (hard to fake proofs for simulated CRS and predefined x') (?)
- ▶ Does the adaptive \mathcal{NIZK} we seen have simulation soundness?

Construction

We present a simulation sound $\mathcal{NIZK}(\mathcal{P}, \mathcal{V})$ for $\mathcal{L} \in \mathcal{NP}$

Ingredients:

1. Strong signature scheme $(\text{Gen}, \text{Sign}, \text{Vrfy})$ (one-time scheme suffices)
2. Non-interactive, perfectly-binding commitment Com .
 - ▶ Pseudorandom range: for some $\ell \in \text{poly}$
 $\{\text{Com}(w, r \xleftarrow{\mathcal{R}} \{0, 1\}^{\ell(|w|)})\}_{w \in \{0, 1\}^*} \approx_c \{u \xleftarrow{\mathcal{R}} \{0, 1\}^{\ell(|w|)}\}_{w \in \{0, 1\}^*}$
 - * achieved by the standard OWP (or TDP) based perfectly-binding commitment.
 - ▶ Negligible support: a random string is a valid commitment only with negligible probability.
 - * achieved by using the standard OWP (or TDP) based perfectly-binding commitment, and committing to the **same** value many times.
3. Adaptive $\mathcal{NIZK}(\mathcal{P}_A, \mathcal{V}_A)$ for
 $\mathcal{L}_A := \{(x, \text{com}, y) : x \in \mathcal{L} \vee \exists r \in \{0, 1\}^* : \text{com} = \text{Com}(y; r)\} \in \mathcal{NP}$
 - * adaptive **WI** suffices

Construction, cont.

Recall $\mathcal{L}_A := \{(x, \text{com}, y) : x \in \mathcal{L} \vee \exists r \in \{0, 1\}^* : \text{com} = \text{Com}(y; r)\}$.

Algorithm 17 (P)

Input: $x \in \mathcal{L}$ and $w \in R_{\mathcal{L}}(x)$, and CRS $c = (c_1, c_2)$

1. $(sk, vk) \xleftarrow{R} \text{Gen}(1^{|x|})$
2. $\pi_A \xleftarrow{R} P_A((x, c_1, vk), w; c_2)$
3. $\sigma \xleftarrow{R} \text{Sign}_{sk}(x, \pi_A)$
4. Output $\pi = (vk, \pi_A, \sigma)$

Algorithm 18 (V)

Input: $x \in \{0, 1\}^*$, $\pi = (vk, \pi_A, \sigma)$ and a CRS $c = (c_1, c_2)$

Verify that $\text{Vrfy}_{vk}((x, \pi_A), \sigma) = 1$ and $V_A((x, c_1, vk), \pi_A; c_2) = 1$

Claim 19

The proof system (P, V) is an adaptive \mathcal{NIZK} for \mathcal{L} , with one-time simulation soundness.

Proving Claim 19

Recall $\mathcal{L}_A := \{(x, \text{com}, y) : x \in \mathcal{L} \vee \exists r \in \{0, 1\}^* : \text{com} = \text{Com}(y; r)\}$.

- ▶ **Adaptive completeness:** Follows by the adaptive completeness of (P_A, V_A) .
 - ▶ **Adaptive \mathcal{ZK} :**
 - ▶ $S_1(1^n)$:
 1. Let $(sk, vk) \xleftarrow{R} \text{Gen}(1^n)$, $z \xleftarrow{R} \{0, 1\}^{\ell(n)}$ and $c_1 = \text{Com}(vk, z)$.
 2. Output $(c = (c_1, c_2), s = (z, sk, vk))$, where c_2 is chosen uniformly at random.
 - ▶ $S_2(x, c = (c_1, c_2), s = (z, sk, vk))$:
 1. Let $\pi_A \xleftarrow{R} P_A((x, c_1, vk), z, c_2)$
 2. $\sigma \xleftarrow{R} \text{Sign}_{sk}(x, \pi_A)$
 3. Output $\pi = (vk, \pi_A, \sigma)$
- Proof follows by the adaptive WI of (P_A, V_A) and the pseudorandomness of Com (?)
- ▶ **Adaptive soundness:** Implicit in the proof of simulation soundness, given next slide.

Proving simulation soundness

Recall $\mathcal{L}_A := \{(x, \text{com}, y) : x \in \mathcal{L} \vee \exists r \in \{0, 1\}^* : \text{com} = \text{Com}(y; r)\}$.

Let $\mathbf{P}^* = (\mathbf{P}_1^*, \mathbf{P}_2^*)$ be a pair of PPTM's attacking the simulation soundness of (V, S) with respect to \mathcal{L} , and let $c = (c_1, c_2)$, x , π , x' and $\pi' = (vk', \pi'_A, \sigma')$ be the values generated by a random execution of $\text{Exp}_{V, S, \mathbf{P}^*}^\eta$. (Copy to board)

Assume $\text{Vrfy}_{vk'}((x', \pi'_A), \sigma') = 1$, $x' \notin \mathcal{L}$ and $(x', \pi') \neq (x, \pi)$.

Then with all but negligible probability:

- ▶ vk' is not the verification key appeared in π ($(\text{Gen}, \text{Sign}, \text{Vrfy})$ is a strong signature)

$$\implies \nexists r \in \{0, 1\}^* \text{ s.t. } c_1 = \text{Com}(vk', r) \quad (\text{Com is perfectly binding})$$

$$\implies x'_A = (x', c_1, vk') \notin \mathcal{L}_A \quad (\text{above and } x' \notin \mathcal{L})$$

Since c_2 was chosen at random by S_1 , the **adaptive soundness** of (P_A, V_A) yields that $\Pr[V_A(x'_A, c_2, \pi'_A) = 1] = \text{neg}(n)$.

Adaptive soundness? Whp c_1 is not a commitment