

# Foundation of Cryptography, Lecture 1

## One-Way Functions<sup>1</sup>

### Handout Mode

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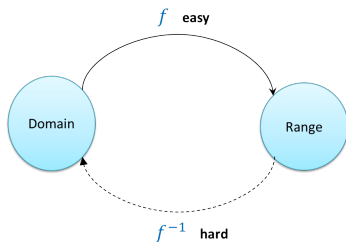
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<sup>1</sup>Last edited on: 2025/10/29.

## Section 1

# One-Way Functions

## Informal discussion



A one-way function (OWF) is:

- ▶ Easy to compute, **everywhere**
- ▶ Hard to invert, **on the average**
- ▶ Why should we care about OWFs?
- ▶ Hidden in (almost) **any** cryptographic primitive: necessary for “cryptography”
- ▶ Sufficient for many cryptographic primitives
- ▶ Weak OWF: no eff. algorithm inverts “too well”

# Formal definition

## Definition 1 (one-way functions (OWFs))

A polynomial-time computable function  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  is **one-way**, if

$$\Pr_{x \leftarrow \{0, 1\}^n} [A(1^n, f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$$

for any PPT  $A$ .

- ▶ **poly-time computable**: for short, poly-time, there exists polynomial-time algorithm  $F$ , such that  $F(x) = f(x)$  for every  $x \in \{0, 1\}^*$ .
- ▶ **neg**: a function  $\mu: \mathbb{N} \mapsto [0, 1]$  is a **negligible** function of  $n$ , denoted  $\mu(n) = \text{neg}(n)$ , if for any  $p \in \text{poly}$  there exists  $n' \in \mathbb{N}$  such that  $\mu(n) < 1/p(n)$  for **all**  $n > n'$
- ▶  $x \leftarrow \{0, 1\}^n$ :  $x$  is uniformly drawn from  $\{0, 1\}^n$
- ▶ PPT: probabilistic polynomial-time algorithm.

We typically omit  $1^n$  from the input list of  $A$

## Formal definition cont.

1. Is this the right definition?
  - ▶ Asymptotic
  - ▶ Efficiently computable
  - ▶ On the average
  - ▶ Only against PPT's
2.  $\text{OWF} \implies \mathcal{P} \neq \mathcal{NP}$
3. Does  $\mathcal{P} \neq \mathcal{NP} \implies \text{OWF}$ ?
4. (most) Crypto implies OWFs
5. Do OWFs imply Crypto?
6. Where do we find them?
7. Non uniform OWFs

### Definition 2 (Non-uniform one-way functions)

A poly-time  $f : \{0, 1\}^* \mapsto \{0, 1\}^*$  is **non-uniformly one-way**, if

$$\Pr_{x \leftarrow \{0, 1\}^n} [A(1^n, z_n, f(x)) \in f^{-1}(f(x))] = \text{neg}(n)$$

for any poly-time  $A$  and polynomial-size bounded  $\{z_n\}_{n \in \mathbb{N}}$ .

# Length-preserving functions

## Definition 3 (length preserving functions)

A function  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  is **length preserving**, if  $|f(x)| = |x|$  for every  $x \in \{0, 1\}^*$

## Theorem 4

*Assume that OWFs exist, then there exist length-preserving OWFs.*

Proof idea: use the assumed OWF to create a length preserving one.

## Partial domain functions

### Definition 5 (Partial domain functions)

For  $m, \ell: \mathbb{N} \mapsto \mathbb{N}$ , let  $f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^{m(n)}$  denote a function defined over input lengths in  $\{\ell(n)\}_{n \in \mathbb{N}}$ , and maps strings of length  $\ell(n)$  to strings of length  $m(n)$ .

Such function is efficient, if it is poly-time computable and  $\ell$  is polynomial time computable and bounded.

The definition of one-wayness naturally extends to such (efficient) functions.

## OWFs imply length-preserving OWFs cont.

Let  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  be a OWF, let  $p \in \text{poly}$  be a bound on its computing-time, and assume wlg. that  $p$  is monotony increasing (can we?).

### Construction 6 (the length preserving function)

Define  $g: \{0, 1\}^{p(n)+1} \mapsto \{0, 1\}^{p(n)+1}$  as

$$g(x) = f(x_1, \dots, x_n), 1, 0^{p(n)-|f(x_1, \dots, x_n)|}$$

Note that  $g$  is well defined, length preserving and efficient.

### Claim 7

$g$  is one-way.

How can we prove that  $g$  is one-way?

Answer: using reduction.



## Proving that $g$ is one-way

Proof: Assume that  $g$  is **not** one-way. Namely, there exists PPT  $A$ ,  $q \in \text{poly}$  and **infinite** set  $\mathcal{I} \subseteq \{p(n) + 1 : n \in \mathbb{N}\}$ , with

$$\Pr_{x \leftarrow \{0,1\}^{n'}} [A(1^{n'}, y) \in g^{-1}(g(x))] > 1/q(n') \quad (1)$$

for every  $n' \in \mathcal{I}$ .

We show how to use  $A$  for inverting  $f$ .

### Claim 8

$$w \in g^{-1}(y, 1, 0^{p(n)-|y|}) \implies w_1, \dots, w_n \in f^{-1}(y)$$

Proof: Since  $g(w) = f(w_1, \dots, w_n), 1, 0^{p(n)-|f(w_1, \dots, w_n)|} = y, 1, 0^{p(n)-|y|}$ , it follows that  $f(w_1, \dots, w_n) = y$  (?).  $\square$

### Algorithm 9 (Inverter B for $f$ )

Input:  $1^n$  and  $y \in \{0, 1\}^*$

1. Let  $x = A(1^{p(n)+1}, y, 1, 0^{p(n)-|y|})$
2. Return  $x_{1,\dots,n}$

### Claim 10

Let  $\mathcal{I}' := \{n \in \mathbb{N} : p(n) + 1 \in \mathcal{I}\}$ . Then

1.  $\mathcal{I}'$  is infinite
2.  $\Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] > 1/q(p(n) + 1)$  for every  $n \in \mathcal{I}'$

This contradicts the assumed one-wayness of  $f$ .  $\square$

Proof: (1) is clear, (2)

$$\begin{aligned} & \Pr_{x \leftarrow \{0,1\}^n} [B(1^n, f(x)) \in f^{-1}(f(x))] \\ &= \Pr_{x \leftarrow \{0,1\}^n} [A(1^{p(n)+1}, f(x), 0^{p(n)-n})_{1,\dots,n} \in f^{-1}(f(x))] \\ &= \Pr_{x' \leftarrow \{0,1\}^{p(n)+1}} [A(1^{p(n)+1}, g(x'))_{1,\dots,n} \in f^{-1}(f(x'_{1,\dots,n}))] \\ &\geq \Pr_{x' \leftarrow \{0,1\}^{p(n)+1}} [A(1^{p(n)+1}, g(x')) \in g^{-1}(g(x'))] \geq 1/q(p(n) + 1). \end{aligned}$$

# From partial-domain length-preserving OWFs to length-preserving OWFs

## Construction 11

Given a function  $f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^{\ell(n)}$ , define  $f_{\text{all}}: \{0, 1\}^n \mapsto \{0, 1\}^n$  as

$$f_{\text{all}}(x) = f(x_1, \dots, x_k), 0^{n-k}$$

where  $n = |x|$  and  $k := \max\{\ell(n') \leq n: n' \in [n]\}$ .

Clearly,  $f_{\text{all}}$  is length preserving, defined for **every** input length, and efficient if  $f$  is.

## Claim 12

Assume  $f$  is efficient,  $f$  is one-way, and  $\ell$  satisfies  $1 \leq \frac{\ell(n+1)}{\ell(n)} \leq p(n)$  for some  $p \in \text{poly}$ , then  $f_{\text{all}}$  is one-way function.

Proof: ?

We conclude that the existence of OWF implies the existence of length-preserving OWF that is defined over all input lengths.

## Few remarks

More “security-preserving” reductions exists.

### Convention for rest of the talk

Let  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  be a one-way function.

# Weak one-way functions

## Definition 13 (Weak one-way functions)

A poly-time computable function  $f: \{0, 1\}^* \mapsto \{0, 1\}^*$  is  $\alpha$ -one-way, if

$$\Pr_{x \leftarrow \{0,1\}^n} [A(1^n, f(x)) \in f^{-1}(f(x))] \leq \alpha(n)$$

for any PPT  $A$  and large enough  $n \in \mathbb{N}$ .

1. (strong) OWF according to Definition 1, are neg-one-way according to the above definition
2. Can we “amplify” weak OWF to strong ones?

## Strong to weak OWFs

### Claim 14

Assume there exists OWFs, then there exist functions that are  $\frac{2}{3}$ -one-way, but **not** (strong) one-way

Proof: For a OWF  $f$ , let

$$g(x) = \begin{cases} (1, f(x)), & x_1 = 1; \\ 0, & \text{otherwise } (x_1 = 0). \end{cases}$$

## Weak to strong OWFs

### Theorem 15 (weak to strong OWFs (Yao))

*Assume there exist  $(1 - \delta)$ -weak OWFs with  $\delta(n) \geq 1/q(n)$  for some  $q \in \text{poly}$ , then there exist (strong) one-way functions.*

- ▶ Idea: parallel repetition (i.e., direct product): Consider  $g(x_1, \dots, x_t) = f(x_1), \dots, f(x_t)$  for large enough  $t$
- ▶ Motivation: if something is somewhat hard, than doing it many times is (very) hard
- ▶ But, is it really so?

Consider matrix multiplication: Let  $A \in \mathbb{R}^{n \times n}$  and  $x \in \mathbb{R}^n$

Computing  $Ax$  takes  $\Theta(n^2)$  times, but computing  $A(x_1, x_2, \dots, x_n)$  takes ... only  $O(n^{2.3\dots}) < \Theta(n^3)$

- ▶ Fortunately, parallel repetition **does** amplify weak OWFs :-)

# Amplification via parallel repetition

## Theorem 16

Let  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$  be a  $(1 - \delta)$ -weak OWF for  $\delta(n) = 1/q(n)$  for some (positive)  $q \in \text{poly}$ , and let  $t(n) = \left\lceil \frac{\log^2 n}{\delta(n)} \right\rceil$ . Then  $g: (\{0, 1\}^n)^{t(n)} \mapsto (\{0, 1\}^n)^{t(n)}$  defined by  $g(x_1, \dots, x_{t(n)}) = f(x_1), \dots, f(x_{t(n)})$ , is a one-way function.

Clearly  $g$  is efficient. Is it one-way? Proof via **reduction**: Assume  $\exists$  PPT  $A$  violating the one-wayness of  $g$ , we show there exists a PPT  $B$  violating the weak hardness of  $f$ .

*Difficulty:* We need to use an inverter for  $g$  with **low** success probability, e.g.,  $\frac{1}{n}$ , to get an inverter for  $f$  with **high** success probability, e.g.,  $\frac{1}{2}$  or even  $1 - \frac{1}{n}$ .

In the following we fix (an assumed) PPT  $A$ ,  $p \in \text{poly}$  and infinite set  $\mathcal{I} \subseteq \mathbb{N}$  s.t.

$$\Pr_{w \leftarrow \{0, 1\}^{t(n) \cdot n}} [A(g(w)) \in g^{-1}(g(w))] \geq 1/p(n)$$

for every  $n \in \mathcal{I}$ . We also “fix”  $n \in \mathcal{I}$  and omit it from the notation.



## Proving that $g$ is One-Way – the Naive approach

Assume  $A$  attacks each of the  $t$  outputs of  $g$  **independently**:  $\exists$  PPT  $A'$  such that  $A(z_1, \dots, z_t) = A'(z_1) \dots, A'(z_t)$

It follows that  $A'$  inverts  $f$  with probability **greater** than  $(1 - \delta)$ .  
Otherwise

$$\begin{aligned} \Pr_{w \leftarrow \{0,1\}^{t \cdot n}} [A(g(w)) \in g^{-1}(g(w))] &= \prod_{i=1}^t \Pr_{x \leftarrow \{0,1\}^n} [A'(f(x)) \in f^{-1}(f(x))] \\ &\leq (1 - \delta)^t \leq e^{-\log^2 n} \leq n^{-\log n} \end{aligned}$$

Hence  $A'$  violates the weak hardness of  $f$

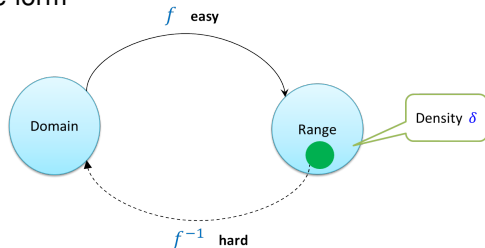
A less naive approach would be to assume that  $A$  goes over the inputs **sequentially**.

Unfortunately, we can assume **none** of the above.

Any idea?

# Hardcore sets

Assume  $f$  is of the form



## Definition 17 (hardcore sets)

$\mathcal{S} = \{\mathcal{S}_n \subseteq \{0, 1\}^n\}$  is a  $\delta$ -hardcore set for  $f: \{0, 1\}^n \mapsto \{0, 1\}^n$ , if:

1.  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}_n] \geq \delta(n)$  for large enough  $n$ , and
2. For any PPT  $A$  and  $q \in \text{poly}$ : for large enough  $n$ , it holds that  $\Pr [A(y) \in f^{-1}(y)] \leq \frac{1}{q(n)}$  for every  $y \in \mathcal{S}_n$ .

Assuming  $f$  has such a  $\delta$ -HC set seems like a good starting point :-)

Unfortunately, we do not know how to prove that  $f$  has hardcore set :-<

# Failing sets

## Definition 18 (failing sets)

$f: \{0, 1\}^n \mapsto \{0, 1\}^n$  has a  $\delta$ -failing set for a pair  $(A, q)$  of algorithm and polynomial, if **exists**  $\mathcal{S} = \{\mathcal{S}_n \subseteq \{0, 1\}^n\}$ , such that the following holds for large enough  $n$ :

1.  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}_n] \geq \delta(n)$ , and
2.  $\Pr [A(y) \in f^{-1}(y)] \leq 1/q(n)$ , for **every**  $y \in \mathcal{S}_n$

## Claim 19

Let  $f$  be a  $(1 - \delta)$ -OWF, then  $f$  has a  $\delta/2$ -failing set, for **any** pair of PPT  $A$  and  $q \in \text{poly}$ .

Proof: Assume  $\exists$  PPT  $A$  and  $q \in \text{poly}$ , such that for any  $\mathcal{S} = \{\mathcal{S}_n \subseteq \{0, 1\}^n\}$  **at least** one of the following holds:

1.  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}_n] < \delta(n)/2$  for infinitely many  $n$ 's, or
2. For infinitely many  $n$ 's:  $\exists y \in \mathcal{S}_n$  with  $\Pr [A(y) \in f^{-1}(y)] \geq 1/q(n)$ .

We'll use  $A$  to contradict the hardness of  $f$ .

## Using $A$ to invert $f$

For  $n \in \mathbb{N}$ , let  $\mathcal{S}_n := \{y \in \{0, 1\}^n : \Pr[A(y) \in f^{-1}(y)] < 1/q(n)\}$ .

### Claim 20

$\exists$  infinite  $\mathcal{I} \subseteq \mathbb{N}$  with  $\Pr_{x \leftarrow \{0, 1\}^n}[f(x) \in \mathcal{S}_n] < \delta(n)/2$  for every  $n \in \mathcal{I}$ .

### Algorithm 21 (The inverter $B$ on input $y \in \{0, 1\}^n$ )

Do (with fresh randomness) for  $n \cdot q(n)$  times:

If  $x = A(y) \in f^{-1}(y)$ , return  $x$

Clearly,  $B$  is a PPT

### Claim 22

For  $n \in \mathcal{I}$ , it holds that  $\Pr_{x \leftarrow \{0, 1\}^n}[B(f(x)) \in f^{-1}(f(x))] > 1 - \frac{\delta(n)}{2} - 2^{-n}$

Proof: ?

Hence, for large enough  $n \in \mathcal{I}$ :  $\Pr_{x \leftarrow \{0, 1\}^n}[B(f(x)) \in f^{-1}(f(x))] > 1 - \delta(n)$ .

Namely,  $f$  is **not**  $(1 - \delta)$ -one-way  $\square$

$g$  is **not** one-way  $\implies f$  has **no**  $\delta/2$  failing set

### Claim 23

Assume  $\exists$  PPT  $A$ ,  $p \in \text{poly}$  and an infinite set  $\mathcal{I} \subseteq \mathbb{N}$  such that

$$\Pr_{w \leftarrow \{0,1\}^{t(n) \cdot n}} [A(g(x)) \in g^{-1}(g(w))] \geq \frac{1}{p(n)}$$

for every  $n \in \mathcal{I}$ . Then  $\exists$  PPT  $B$  such that

$$\Pr_{x \leftarrow \{0,1\}^n | y=f(x) \in \mathcal{S}_n} [B(y) \in f^{-1}(y)] \geq \frac{1}{t(n)p(n)} - n^{-\log n}$$

for every  $n \in \mathcal{I}$  and **every**  $\mathcal{S}_n \subseteq \{0,1\}^n$  with  $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{S}_n] \geq \delta(n)/2$ .

Fix  $\mathcal{S} = \{\mathcal{S}_n \subseteq \{0,1\}^n\}$ . By **Claim 23**, for every  $n \in \mathcal{I}$ , either

- ▶  $\Pr_{x \leftarrow \{0,1\}^n} [f(x) \in \mathcal{S}_n] < \delta(n)/2$ , or
- ▶  $\Pr_{x \leftarrow \{0,1\}^n | y=f(x) \in \mathcal{S}_n} [B(y) \in f^{-1}(y)] \geq \frac{1}{t(n)p(n)} - n^{-\log n}$   
(for large enough  $n$ )  
 $\geq \frac{1}{2t(n)p(n)}$   
(for large enough  $n$ )  
 $\implies \exists y \in \mathcal{S}_n: \Pr [B(y) \in f^{-1}(y)] \geq \frac{1}{2t(n)p(n)}.$

Namely,  $f$  has **no**  $\delta/2$  failing set for  $(B, q = 2t(n)p(n))$

# The non failing-set algorithm

## Algorithm 24 (Inverter B on input $y \in \{0, 1\}^n$ )

1. Choose  $w \leftarrow (\{0, 1\}^n)^{t=t(n)}$ ,  $z = (z_1, \dots, z_t) = g(w)$  and  $i \leftarrow [t]$
2. Set  $z' = (z_1, \dots, z_{i-1}, y, z_{i+1}, \dots, z_t)$
3. Return  $A(z')_i$

Fix  $n \in \mathcal{I}$  and a set  $\mathcal{S}_n \subseteq \{0, 1\}^n$  with  $\Pr_{x \leftarrow \{0, 1\}^n} [f(x) \in \mathcal{S}] \geq \delta(n)/2$ .

## Claim 25

$$\Pr_{x \leftarrow \{0, 1\}^n | y=f(x) \in \mathcal{S}_n} [B(y) \in f^{-1}(y)] \geq \frac{1}{t(n) \cdot p(n)} - n^{-\log n}$$

## Proving Claim 25

### Algorithm 26 (Inverter B on input $y \in \{0, 1\}^n$ )

1. Choose  $w \leftarrow (\{0, 1\}^n)^{t=t(n)}$ ,  $z = (z_1, \dots, z_t) = g(w)$  and  $i \leftarrow [t]$
2. Set  $z' = (z_1, \dots, z_{i-1}, y, z_{i+1}, \dots, z_t)$
3. Return  $A(z')_i$

► Let  $Z$  and  $Z'$  be values of  $z$  and  $z'$  in random exe. of  $B(f(U_n)|_{f(U_n) \in S_n})$

► Let  $Typ = \{v \in (\{0, 1\}^n)^t : \exists i \in [t] : v_i \in S_n\}$ .

►  $\Pr_Z [Typ] \geq 1 - n^{-\log n}$

► For  $\mathcal{L} \subseteq \{0, 1\}^{t(n) \cdot n}$ :

$$\Pr_Z [\mathcal{L}' := \mathcal{L} \cap Typ] = \sum_{\ell \in \mathcal{L}'} \Pr[Z = \ell] \stackrel{?}{\leq} \sum_{\ell \in \mathcal{L}'} t(n) \cdot \Pr[Z' = \ell] = t(n) \cdot \Pr_{Z'} [\mathcal{L}']$$

$$\Rightarrow \Pr_{Z'} [\mathcal{L}] \geq \Pr_{Z'} [\mathcal{L}'] \geq \frac{\Pr_Z [\mathcal{L}']}{t(n)} \geq \frac{\Pr_Z [\mathcal{L}] - n^{-\log n}}{t(n)}.$$

## Proving Claim 25, cont.

Assume  $A$  is *deterministic* and let  $\mathcal{L}_A = \{v \in \{0, 1\}^{t \cdot n} : A(v) \in g^{-1}(v)\}$ .

By assumption,  $\Pr[Z \in \mathcal{L}_A] \geq 1/p(n)$ .

Hence,

$$\begin{aligned} \Pr_{x \leftarrow \{0,1\}^n | y=f(x) \in \mathcal{S}_n} [B(y) \in f^{-1}(y)] &\geq \Pr[Z' \in \mathcal{L}_A] \\ &\geq \frac{\Pr[Z \in \mathcal{L}_A] - n^{-\log n}}{t(n)} \\ &\geq \frac{1}{t(n) \cdot p(n)} - n^{-\log n} \square \end{aligned}$$



## Randomized A

In the case that  $A$  is randomized, let

- ▶  $v(n)$ — number of coins  $A$  uses on input of length  $nt(n)$ .
- ▶  $A_r$  —  $A$  whose coins *fixed* to  $r$
- ▶  $\alpha_r(n)$  — the inversion probability of  $A_r$  on  $g(U_{nt(n)})$

By assumption,  $E_{r \leftarrow \{0,1\}^{v(n)}} [\alpha_r(n)] \geq 1/p(n)$ .

Hence,

$$\begin{aligned} \Pr_{x \leftarrow \{0,1\}^n | y=f(x) \in S_n} [B(y) \in f^{-1}(y)] &\geq E_{r \leftarrow \{0,1\}^{v(n)}} \left[ \frac{\alpha_r(n)}{t(n)} - n^{-\log n} \right] \\ &= E_r [\alpha_r(n)] / t(n) - n^{-\log n} \\ &\geq \frac{1}{t(n) \cdot p(n)} - n^{-\log n}. \square \end{aligned}$$

## Closing remarks

- ▶ Weak OWFs can be **amplified** into strong one
- ▶ Can we give a more security preserving amplification?
- ▶ Similar hardness amplification theorems for other cryptographic primitives (e.g., Captchas, general protocols)?
- ▶ What properties of the weak OWFs have we used in the proof?