# Application of Information Theory, Lecture 8 Kolmogorov Complexity and Other Entropy Measures

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# Part I

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- Solution: the word "described" above in the definition of s is not well defined

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- ▶ Hence  $K(x) \le \log n + nh(k/n)$

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- Hence, at least  $\frac{1}{2}$  of *n*-bit strings have Kolmogorov complexity at least n-1
- ▶ In particular, a random sequence has Kolmogorov complexity  $\approx n$

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- ▶ K(x|y) Kolmogorov complexity of x given y. The length of the shortest partogram that outputd x on input y
- ► Chain rule

$$K(x,y) \approx k(y) + k(x|y)$$

H(X) speaks about a random variable X and K(x) of a string x, but

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- ► Example: coin flip (0.7, 0.3) then whp we get a string with  $K(x) \approx n \cdot h(0.3)$

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- ► Example: length of the human genome: 6 · 109 bits
- But the code is redundant
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- No-one knows its value...

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- ▶ The interesting part is  $P_{\mathcal{U}}(x) \leq c \cdot 2^{-K(x)}$
- ▶ Hence, for  $X \sim P_{\mathcal{U}}$ , it holds that  $|E_{K(X)}[-]H(X)| \leq c$

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- ▶ Problem: P<sub>U</sub> is not computable
- ▶ Solution: compute a better and better estimate for the tree of  $P_{\mathcal{U}}$  along with the "mapping" from the tree nodes back to codewords.

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### Program 3 (M)

Enumerate over all programs in  $\{0,1\}^*$ : at round i emulate the first i programs (one after the other), for i steps, and do: If program p outputs a string x and  $(*,x,n(x)) \notin T$ , place (p,x,n(x)) at unused n(x)-depth node of T, for  $n(x) = \left\lceil \log \frac{1}{\hat{P}_{\mathcal{U}}(x)} \right\rceil + 1$  and  $\hat{P}_{\mathcal{U}}(x) = \sum_{p': \text{ emulated } p' \text{ has output } x} 2^{-|p'|}$ 

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Proof: Let  $x \in \{0, 1\}^*$ . At each point through the execution of M,  $\sum_{(p, x, t) \in T} 2^{-|p|} \le 2^{-K(x)}$ 

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Since  $\sum_{x} 2^{-K(x)} \le 1$ , the proof follows by Kraft inequality.

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Enumerate over all programs in  $\{0,1\}^*$ : at round i emulate the first i programs (one after the other), for i steps, and do: If program p outputs a string x and  $(*,x,n(x)) \notin T$ , place (p,x,n(x)) at unused n(x)-depth node of T, for  $n(x) = \left\lceil \log \frac{1}{\hat{P}_{\mathcal{U}}(x)} \right\rceil + 1$  and  $\hat{P}_{\mathcal{U}}(x) = \sum_{p' : \text{ emulated } p' \text{ has output } x} 2^{-|p'|}$ 

► The program never gets stack (can always add the node).

Proof: Let  $x \in \{0,1\}^*$ . At each point through the execution of M,  $\sum_{(p,x,\cdot)\in\mathcal{T}} 2^{-|p|} \le 2^{-K(x)}$ 

Since  $\sum_{x} 2^{-K(x)} \le 1$ , the proof follows by Kraft inequality.

▶  $\forall x \in \{0,1\}^*$ : *M* adds a node  $(\cdot, x, \cdot)$  to *T* at depth  $2 + \left\lceil \log \frac{1}{P_{\mathcal{U}}(x)} \right\rceil$ 

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- ▶ Program for printing x. Run M till it assigns the node at the location of  $\ell(x)$

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- ▶ This is not a paradox, since the description of *s* is not short.

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- $|T_C| = \log C + D$ , where D is a const
- ▶ Take C such that  $C > \log C + D$
- ▶ If  $T_C$  stops and outputs x, then  $k(x) < \log C + D < C$ , a contradiction to the fact that  $\exists$  proof that k(x) > C.

# Part II

# **Other Entropy Measures**

Let  $X \sim p$  be a random variable over X.

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- ► For instance,  $CP(X) \le \sum_{x} p(x) \max_{x'} p(x') = \max_{x'} p(x')$ . Hence,  $H_2(X) \ge -\log \max_{x'} p(x') = H_{\infty}(X)$ .
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- ► Recall that Shannon entropy of X is  $H(X) = \sum_{x \in \mathcal{X}} -p(x) \cdot \log p(x) = \mathsf{E}_X \left[ -\log p(X) \right]$
- Max entropy of X is H<sub>0</sub>(X) = log |Supp(X)|
- ▶ Min entropy of X is  $H_{\infty}(X) = \min_{x \in \mathcal{X}} \{-\log p(x)\} = -\log \max_{x \in \mathcal{X}} \{p(x)\}$
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- Let  $X = \perp$  wp  $\frac{1}{2}$  and uniform over  $\{0, 1\}^n$  otherwise, and let Y be indicator for  $X = \perp$ .
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#### Section 1

# **Shannon to Min entropy**

Given rv  $X \sim p$ , let  $X^n$  denote n independent copies of X, and let  $p^n(x_1, \ldots, x_n) = \prod_{i=1}^n p(x_i)$ .

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Let  $X \sim p$  and let  $\varepsilon > 0$ . Then  $\Pr\left[-\log p^n(X^n) \le n \cdot (\mathsf{H}(X) - \varepsilon)\right] < 2 \cdot e^{-2\varepsilon^2 n}$ .

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## **Corollary 7**

 $\exists rv W \text{ that is } (2 \cdot e^{-2\varepsilon^2 n}) \text{-close to } X^n, \text{ and } H_{\infty}(W) \geq n(H(X) - \varepsilon).$ 

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Proof:  $W = X^n$  if  $X^n \in A_{n,\varepsilon}$ , and "well spread" outside  $Supp(X^n)$  otherwise.

#### Lemma 8

Let 
$$(X, Y) \sim p$$
 let  $\varepsilon > 0$ . Then

$$\mathsf{Pr}_{(X^n, Y^n) \leftarrow (X, Y)^n} \left[ -\log p^n_{X^n \mid Y^n} (X^n \mid Y^n) \le n \cdot (\mathsf{H}(X \mid Y) - \varepsilon) \right] < 2 \cdot e^{-2\varepsilon^2 n}.$$

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## **Corollary 9**

 $\exists rv \ W \ over \ \mathcal{X}^n \times \mathcal{Y}^n \ that \ is \ (\mathbf{2} \cdot \mathbf{e}^{-2\varepsilon^2 n}) \text{-far from } (X,Y)^n,$ 

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Proof: ?

## Section 2

# **Renyi-entropy to Uniform Distribution**

## **Definition 10 (pairwise independent function family)**

A function family  $\mathcal{G} = \{g \colon \mathcal{D} \mapsto \mathcal{R}\}$  is pairwise independent, if  $\forall \ x \neq x' \in \mathcal{D}$  and  $y, y' \in \mathcal{R}$ , it holds that  $\Pr_{g \leftarrow \mathcal{G}} \left[ g(x) = y \land g(x') = y' \right] = \left( \frac{1}{|\mathcal{R}|} \right)^2$ .

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► Example: for  $\mathcal{D} = \{0, 1\}^n$  and  $\mathcal{R} = \{0, 1\}^m$  let  $\mathcal{G} = \{(A, b) \in \{0, 1\}^{m \times n} \times \{0, 1\}^m\}$  with  $(A, b)(x) = A \times x + b$ .

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Let X be a rv over  $\{0,1\}^n$  with  $H_2(X) \ge k$  let  $\mathcal{G} = \{g : \{0,1\}^n \mapsto \{0,1\}^m\}$  be 2-universal and let  $G \leftarrow \mathcal{G}$ . Then  $SD((G,G(X)),(G,\sim\{0,1\}^m)) \le \frac{1}{2} \cdot 2^{(m-k))/2}$ .

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To deuce the proof of Lemma 11, we notice that

$$\mathsf{CP}(G,G(X)) \leq \frac{1}{|\mathcal{G}|} \cdot (2^{-k} + 2^{-m}) = \frac{1 + 2^{m-k}}{|\mathcal{G} \times \{0,1\}^m|}$$