Demo code for FedAST: Federated Asynchronous Simultaneous Training

Used assets and codes

Our implementation is based on the public repositories of <u>FLSim (https://github.com/iQua/flsim)</u> and <u>Async-HFL</u> (https://github.com/Orienfish/Async-HFL).

Requirements

We suggest creating a new environment before installing the required packages in order to avoid any collisions. We provide the versions of each package that we work with. They may work with other previously installed versions too.

To install requirements:

```
pip install -r requirements.txt
```

All experiments in the paper are run on NVIDIA GeForce GTX TITAN X at our internal cluster using CUDA. The code also supports running solely on the CPU!

Downloading Datasets

MNIST, Fashion-MNIST, CIFAR-10, and CIFAR-100 are available with built-in methods of PyTorch. They will be downloaded to ./data automatically. We follow the instructions on <u>LEAF (https://github.com/TalwalkarLab/leaf)</u> to download Shakespeare dataset. (You may use --sf 0.1 or --sf 0.2 for a subset of the dataset)

```
git clone https://github.com/TalwalkarLab/leaf.git
cd leaf/data/shakespeare/
./preprocess.sh -s niid --sf 1.0 -k 0 -t sample -tf 0.8
```

Running Demo Experiments

After installing the requirements, you can run the demo Notebook files. The config files we provide are set to use cuda as a default option. You need to change all occurrences of "cuda" to "cpu" in config files if CUDA is not available on your device. We tested both options on different devices.

demo 1 is a similar experiment to Figure 6.

You can also run any experiments on the terminal with the following line:

```
python run.py -c ./configFiles/**filename**.json
```

Configuration File Instructions

```
    Below, you can find the experimental parameters:

  num of threads := Nb. of threads if only CPU is used (Not used in our experiments, you can set to 1), an
  integer value
  algo := Training method, one of "fedast" (FedAST), "sync" (Sync-ST), "sync-bods" (Sync-Bayes-ST), "sync-ucb"
  (Sync-UCB-ST).
  partialNbofClients := The total number of active clients, an integer value.
  full async := Flag to keep buffer size always at 1 (FedAST-NoBuffer), True for FedAST-NoBuffer.
  varBasedR := Flag for dynamic / static options. True for dynamic, false for static.
  R bs ratio := The value to keep the ratio of R/buffer_size fixed at, integer.
  max time := The maximum simulated time for the experiment, a float value.
  clients := Client control settings
  —total := The number of total clients, an integer value.
  —slow ratio := The ratio of slow clients, a float value between 0-1.
  —normal ratio := The ratio of normal-speed clients, a float value between 0-1.
  —fast ratio := The ratio of fast clients, a float value between 0-1.
  models := Model control settings, sub-dictionaries should be named as "model1", "model2",...
  -model1 := Control settings of Model 1.
     —name:= How many rounds Model 1 is trained, one of "MNIST", "FashionMNIST", "CIFAR-10",
  "Shakespeare", "CIFAR-100".
     —rounds:= How many rounds Model 1 is trained, an integer value.
     target accuracy:=Target test accuracy to train Model 1 until, a float value between 0-1.
     -model path:= "./models"
     —data path:= "./leaf/data/shakespeare/data" if name is "Shakespeare" else "./data"
     —model device:= Device to store model, one of cuda or cpu.
```

```
batch size:= Batch size, an integer value.
  —buffer size:= Buffer size for FedAST, an integer value.
  pochs:= If shuffled (epoch) training is done, a boolean value. If True, local iter epochs are run for
each local training, if False local iter SGD steps are run on each local training.
  local iter:= Number of SGD steps or epochs based on epochs value, an integer value.
  firstC:= k in accepted first-k updates for synchronous methods, an integer value.
  —round to test:= Number of round period for test set evaluation, an integer value.
  |---local lr:= Client-side learning rate, a float value.
  —global 1r:= Server-side learning rate, a float value.
  —nb_of_active_works:= Number of active local training requests for FedAST. The ratio of these values
across models for synchronous methods determines client sharing. An integer value.
  —delay:= Control settings of delay generation.
   ├─name:= shiftedExp.
   -exp_mean:= Mean of exponential part, a float value.
   -shift:= Constant shift part, a float value.
   |—local multi:= Number of local SGD steps-manuel entry. 27 for our experiments. An integer value.
  -data:= Control settings of data.
   —trainset device:= Device to store trainset, one of cuda or cpu.
   —testset_device:= Device to store testset, one of cuda or cpu.
   —data seed:= Seed for random data distribution, an integer value.
   —dirichlet:= Dirichlet data distribution
     —alpha:= α parameter for Dirichlet data distribution
-model2 := Control settings of Model 2 (Same as Model 1).
-model 3 := Control settings of Model 3 (Same as Model 1).
-model4 := Control settings of Model 4 (Same as Model 1).
— . . . := You can add as many models as desired.
```

bods alpha := α parameter for Sync-Bayes-ST as used in the original paper, a float value between 0-1.

ucb gamma := y parameter for Sync-UCB-ST as used in the original paper, a float value between 0-1.