Bloc: Library for handling large binary objects in Haskell

 $\begin{tabular}{ll} A the sis submitted \\ $in Partial Fulfillment of the Requirements \\ $for the Degree of \end{tabular}$

Master of Technology

by

Anshu Avinash

to the

DEPARTMENT OF COMPUTER SCIENCE & ENGINEERING INDIAN INSTITUTE OF TECHNOLOGY KANPUR ${\rm June,~2015}$

CERTIFICATE

It is certified that the work contained in the thesis titled **Bloc: Library for handling large binary objects in Haskell**, by **Anshu Avinash**, has been carried out under my supervision and that this work has not been submitted elsewhere for a degree.

Prof Piyush Kurur

Department of Computer Science & Engineering

IIT Kanpur

June, 2015

ABSTRACT

Name of student: **Anshu Avinash** Roll no: **10327122**

Degree for which submitted: Master of Technology

Department: Computer Science & Engineering

Thesis title: Bloc: Library for handling large binary objects in Haskell

Name of Thesis Supervisor: Prof Piyush Kurur

Month and year of thesis submission: June, 2015

In this thesis, we describe a library for handling large binary objects (blob) written in Haskell - a purely-functional programming language. We use the idea of storing each blob as a separate file. We also try to make all the operations on a blob to be safe under concurrent access without using any locks. We leverage many features offered by Haskell like modularity and strong type system.



Acknowledgements

Placeholder

Contents

| Li | st of | Tables | xiii |
|----------|-------|---|------|
| Li | st of | Figures | xv |
| Li | st of | Programs | cvii |
| 1 | Intr | roduction | 1 |
| | 1.1 | Organization of the thesis | 2 |
| 2 | Rel | ated Work | 3 |
| | 2.1 | Storing large objects in database | 3 |
| | 2.2 | Storing metadata and filename in database | 4 |
| | 2.3 | Comparison of both approaches | 4 |
| | | 2.3.1 Performance | 4 |
| | | 2.3.2 Security | 4 |
| 3 | Fun | actional Programming | 5 |
| | 3.1 | Referential Transparency | 5 |
| | 3.2 | Statically typed | 5 |
| | 3.3 | Algebraic Data Types and Pattern Matching | 6 |
| | 3.4 | Lazy Evaluation | 6 |
| | 3.5 | Foreign Function Interface (FFI) | 7 |
| 4 | Des | sign and Implementation | 9 |
| | 4.1 | Initializing the BlobStore | 9 |

| | 4.2 | Creating a Blob | 0 |
|----|--------|---------------------------------------|----|
| | 4.3 | Writing to a Blob | .0 |
| | 4.4 | Reading from Blob | 11 |
| | 4.5 | Garbage Collection | .2 |
| | | 4.5.1 Starting the Garbage Collection | 2 |
| | | 4.5.2 Marking a blob as accessible | .3 |
| | | 4.5.3 End Garbage collection | .3 |
| | 4.6 | Concurrency | 4 |
| 5 | Con | clusion 1 | .5 |
| | 5.1 | Summary | .5 |
| | 5.2 | Future Work | .5 |
| Re | eferei | nces 1 | .7 |

List of Tables

| 4.1 | Interface for operations on blob | | | | | | | | | | | 12 |
|-----|----------------------------------|--|--|--|--|--|--|--|--|--|--|----|
| 4.2 | Interface for garbage collection | | | | | | | | | | | 14 |

List of Figures

| 4.1 | Directory structure of a BlobStore | 1 |
|-----|--|----|
| 4.2 | Order of operations on Blob | 12 |
| 4.3 | Directory structure of a BlobStore during GC | 13 |

List of Programs

| 3.1 | Pattern matching on algebraic data types |
|-----|--|
| 3.2 | Program to generate list of primes |
| 3.3 | Calling C's pow function from Haskell |
| 4.1 | Definition of BlobStore |
| 4.2 | Definition of WriteContext |
| 4.3 | Definition of BlobId |
| 4.4 | Definition of ReadContext |

Introduction

Database refers to a collection of information that exists overs a long period. A Database Management System (DBMS) is a tool for creating and managing large amount of data efficiently. Early database management systems evolved from file systems. These database systems used tree-based and the graph-based models for describing the structure of the information in a database.

Database systems changed significantly following a famous paper written by Ted Codd in 1970 [1]. Codd proposed that database systems should present the user with a view of data organized as tables called relations. This paper was foundation for popular relational databases like MySQL and PostgreSQL.

However, many of the web applications do not require the complex querying and management functionality offered by a Relational Database Management System (RDBMS). This among other reasons gave rise to NoSQL (Not only SQL) databases. These databases can be classified based on the data models used by them. Amazon's Dynamo [2] is a key-value store. In key-value stores records are stored and retrieved using a key that uniquely identifies the record. MongoDB [3] on the other hand is a document-oriented database. Document-oriented databases are designed for managing document-oriented information, also known as semi-structured data.

Today's web applications also work with large files like images, music, videos etc. Size of these files can vary from few MBs to tens of GBs. The application developer can decide to store these files directly into the one of the databases mentioned above or store it as a file and save the filename in the database.

In this thesis, we explore the second option and provide a simple interface written in *Haskell* to store large binary objects or blobs (**B**inary **L**arge **OB**jects). We also provide an interface for garbage collection of deleted blobs. We try to provide concurrency without using locks as much as possible.

1.1 Organization of the thesis

Chapter 2 discusses the approach of storing large files in databases. It also provides a background for this thesis work. In Chapter 3, we give a brief introduction to functional programming. Chapter 4 describes our design and implementation. We conclude and present the future work in Chapter 5.

Related Work

Large object files can either be stored directly in a database or we can store the path to the binary file and other metadata. In this section we will discuss few examples of both. We will also discuss merits and demerits of both the approaches.

2.1 Storing large objects in database

Exodus was one of the first databases to support storage of large object files [4]. It used B+ tree index on byte position within the object plus a collection of leaf (data) blocks. Exodus allowed searching for a range of bytes, inserting a sequence of bytes at a given point in the object, appending a sequence of bytes at the end of the object and to delete a sequence of bytes from a given point in the object.

Popular relational databases like MySQL and PostgreSQL both provide data types to store large object files. In MySQL the data type is called BLOB, and has operations similar to that on a string. Corresponding data type in PostgreSQL is bytea.

PostgreSQL also provides a BLOB data type which is quite different from MySQL's BLOB data type. It's implementation breaks large objects up into "chunks" and stores the chunks in rows in the database. A B-tree index guarantees fast searches for the correct chunk number when doing random access reads and writes.

A similar idea is used by MongoDB, which is a document database. It also divides

the large object into "chunks". It uses GridFS specification for this [5]. GridFS works by storing the information about the file (called metadata) in the files collection. The data itself is broken down into pieces called chunks that are stored in the chunks collection.

2.2 Storing metadata and filename in database

Another approach to store large objects is to store only the filename and some metadata in the database. In this case the application has to take care of the all externally attached files as well as the security settings.

2.3 Comparison of both approaches

Both the approaches have their own benefits and disadvantages.

2.3.1 Performance

When we just store the filename in database, we skip the database layer altogether during file read and write operations. In the paper To BLOB or Not To BLOB [6], performance of SQL Server and NTFS has been compared. The results showed that the database gave higher throughputs for objects for relatively small size (< 1MB).

2.3.2 Security

Security and access controls are simplified when the data is directly stored in the database. When accessing the files directly, security settings between file system and database are independent from each other.

Functional Programming

In functional programming the fundamental operation is the application of functions to arguments. The main program itself is written as a function that receives the program's input as its arguments and delivers the program's output as its result [7]. In this section we will discuss special characteristics and advantages of functional programming (Haskell in particular).

3.1 Referential Transparency

Functional programs contain no assignment statements, so variables, once given a value, never change. More generally, functional programs contain no side-effects at all. A functional call can have no effect other than to compute its result. This eliminates a major source of bugs, and also makes the order of execution irrelevant - since no side effect can change an expression's value, it can be evaluated at any time. Since expressions can be evaluated at any time, one can freely replace variables by their values and vice versa - that is, programs are "referentially transparent".

3.2 Statically typed

Every expression in Haskell has a type which is determined at compile time. All the types composed together by function application should match up. If they don't,

the program will be rejected by the compiler.

3.3 Algebraic Data Types and Pattern Matching

An algebraic data type has one or more data constructors, and each data constructor can have zero or more arguments. These algebraic data types can be recursive too. We can define functions on algebraic data types using pattern matching. In pattern matching, we attempt to match values against patterns and, if so desired, bind variables to successful matches.

Program 3.1 Pattern matching on algebraic data types

3.4 Lazy Evaluation

In lazy evaluation, an expression is not evaluated until its value is needed. This implies that programs can compose very well. Laziness also allows us to construct infinite data structures. Consider this example of generating primes.

Program 3.2 Program to generate list of primes

The primes method generates an infinite list of primes lazily. In the main method, we take first 10 primes from the list and print them. On running, the above program generates the correct output: [2,3,5,7,11,13,17,19,23,29].

Note that we did not specify the type of **primes** and **main**. In Haskell, we don't have to explicitly write out every type. Types are inferred by unifying every type bidirectionally.

3.5 Foreign Function Interface (FFI)

The Foreign Function Interface allows Haskell programs to cooperate with programs written in other languages. Haskell FFI is very easy to use. Consider an example of calling the power function ("pow") of the libc.

```
Program 3.3 Calling C's pow function from Haskell
```

```
foreign import ccall "pow" c_pow :: Double -> Double -> Double
main = print $ c_pow 3.0 4.0
```

When executed, this program calls the pow function of libc and prints the result 81.0.

Design and Implementation

Our design is inspired from the maildir format [8]. The maildir format stores each message in a separate file with a unique name. The mail user agent (MUA) does not have to worry about partially delivered mail: each message is safely written to disk in the *tmp* subdirectory before it is moved to *new*. When a mail user agent process finds message in the *new* subdirectory, it moves them to *curr*.

Similar to maildir, we also store all large objects in separate files. All the large objects of a database are stored under a single directory which we also call a "BlobStore". The BlobStore contains three subdirectories: tmp, curr and gc. We will discuss purpose of these directories later in this chapter.

4.1 Initializing the BlobStore

Before starting to create blobs inside a directory, we ensure that the *tmp* and *curr* subdirectories have already been created. We provide a method **initBlobStore** which takes the path of a directory which is to be used as BlobStore as argument and does the initialization for us. BlobStore is defined as a newtype. We don't expose the constructor for BlobStore, so the only way to get a BlobStore is by using the **initBlobStore** method.

Program 4.1 Definition of BlobStore

newtype BlobStore = BlobStore FilePath

4.2 Creating a Blob

We provide a method called **createBlob** for creating a new blob. It takes a BlobStore as a parameter and returns a WriteContext.

Program 4.2 Definition of WriteContext

```
data WriteContext = WriteContext { writeLoc :: TempLocati
```

data TempLocation = TempLocation FilePath FilePath

WriteContext contains the file handle of just created blob, a TempLocation and a hashCtx. TempLocation stores the base directory and the filename of just created blob. The hashCtx is used to store the SHA-512 hash of the contents that has been written to the blob.

All the new blobs are created in the tmp folder. We use Version 4 UUID [9] to give unique names to the newly created blobs.

4.3 Writing to a Blob

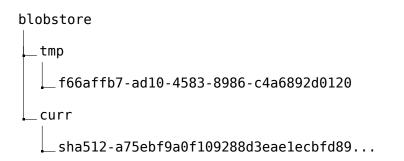
We only allow to add new data at the end of a given blob. We provide writePartial method for this. writePartial takes a blob and a WriteContext as arguments and appends the given blob to the WriteContext's blob. Once all the data has been written to the blob, finalizeWrite is called. finalizeWrite takes a WriteContext as argument and moves the blob from tmp folder to curr folder. We also rename the file to SHA-512 hash of its contents. finalizeWrite returns a BlobId. This BlobId

contains the location of the blob. No more updates to the blob are possible after calling finalizeWrite.

```
Program 4.3 Definition of BlobId

data BlobId = BlobId FilePath FilePath
```

Figure 4.1: Directory structure of a BlobStore



4.4 Reading from Blob

Reading is also sequential. First the initRead method is called which takes a BlobId as argument and returns a ReadContext. ReadContext also contains the file handle of the blob which is opened in read mode.

```
Program 4.4 Definition of ReadContext

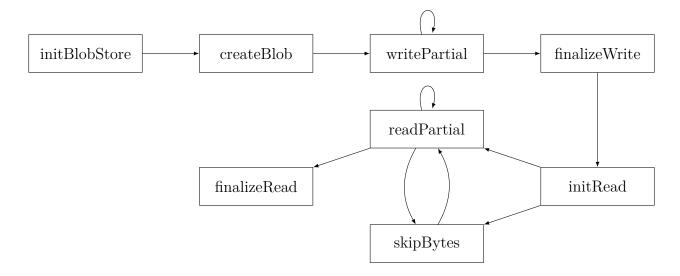
data ReadContext = ReadContext Handle
```

readPartial takes a ReadContext and number of bytes as input and returns those number of bytes from the blob. While reading from a blob, you can skip ahead using the method skipBytes. skipBytes takes a ReadContext and number of bytes, b as input and skips b bytes ahead in the ReadContext.

Table 4.1: Interface for operations on blob

| Methods | Purpose |
|-----------------------------|--|
| initBlobStore createBlob | Initializes given directory to be used as a BlobStore Creates a blob in the given BlobStore |
| writePartial | Takes a blob and appends it to the end of the blob given in the argument |
| finalizeWrite | Takes a WriteContext as input and returns a BlobId |
| initRead | Takes a BlobId as input and returns a ReadContext |
| readPartial | Reads a given number of bytes from a Blob |
| skipBytes | Skips ahead a given number of bytes in a Blob |
| finalizeRead | Completes the read |

Figure 4.2: Order of operations on Blob



4.5 Garbage Collection

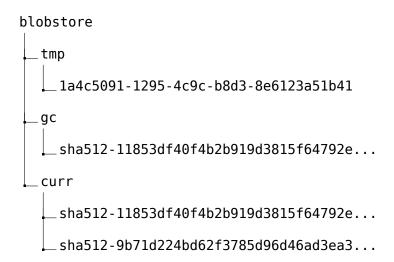
It is quite likely that the same blob would be shared by multiple "values" in the database. For a relational database these values are rows in a table, while for a document-oriented database, these values are documents. Hence, we provide an interface for garbage collecting the deleted blobs.

4.5.1 Starting the Garbage Collection

The startGC method takes a BlobStore as argument and starts garbage collection (GC) for that BlobStore. startGC does two things: It first creates the gc folder and

then creates empty files in the gc folder corresponding to every blob in the curr folder. We use the readdir system call to iterate through the contents of the curr folder. Once a GC has started you can not start another GC on the same BlobStore until the first one finishes - doing so will throw an error. Also, note that creation of new blobs and reading the old blobs can happen concurrently with the GC.

Figure 4.3: Directory structure of a BlobStore during GC



4.5.2 Marking a blob as accessible

Once a blob is marked as not deleted using the method markAsAccessible, we delete the file corresponding to that blob from gc directory. This ensures that the blob does not get deleted at the end of the GC.

4.5.3 End Garbage collection

This step involves removal of all the blobs which are not accessible. The endGC method takes a BlobStore as argument. It iterates over all files in the gc directory and deletes the corresponding blob from the curr directory. After this step finishes, endGC deletes the gc directory along with its contents.

Table 4.2: Interface for garbage collection

| Methods | Purpose |
|-----------------------------|---|
| startGC markAsAccessible | Starts garbage collection for the given BlobStore Marks the given blob as accessible |
| endGC | Ends the garbage collection by removing all the unaccessible blobs |

4.6 Concurrency

In this section we will describe how the design described above allows concurrent access on blobs across processes.

The createBlob creates an empty file in the *tmp* folder. This method will never fail, as we ensure that the *tmp* folder is always present. In finalizeWrite, we move the blob to *curr* folder. We use the rename system call for this, which is atomic i.e. a partial file will never be read [10]. Before moving the blob to *curr*, we mark it as accessible using markAsAccessible, otherwise it will get deleted when endGC is called.

startGC first creates an empty gc directory using mkdir. We rely on mkdir to be atomic i.e. if two processes try to create the gc directory simultaneously, only one of them will succeed. This ensures that at any instance, only one GC can be running. We then traverse the curr directory using readdir system call and create an empty file with same name in gc directory. Note that readdir is not thread-safe. However, in our implementation only one GC will be running at any instance, thread safety of readdir is immaterial.

In endGC, we traverse the gc directory and delete the corresponding file from curr directory. It might happen that a blob which was opened for reading by initRead gets deleted during endGC. We use the unlink system call for removing the file. unlink ensures that the file remains in existence until the last file descriptor referring to it is closed [11].

Conclusion

5.1 Summary

In this thesis we described our design and implementation of Bloc - a library for handling large binary objects. We also describe how our design achieves concurrency without using any locks.

5.2 Future Work

Currently our code uses several functions which are supported only on POSIX. This means that our library will not work on Windows. In future, we might look into adding support for Windows.

We are also working on creating a key-value store like Bitcask. We plan to add support for storing BlobIds provided by our library as a value in the key-value store.

References

- [1] Edgar F Codd. "A relational model of data for large shared data banks". In: Communications of the ACM 13.6 (1970), pp. 377–387.
- [2] Giuseppe DeCandia, Deniz Hastorun, Madan Jampani, Gunavardhan Kakulapati, Avinash Lakshman, Alex Pilchin, Swaminathan Sivasubramanian, Peter Vosshall, and Werner Vogels. "Dynamo: amazon's highly available key-value store". In: ACM SIGOPS Operating Systems Review. Vol. 41. 6. ACM. 2007, pp. 205–220.
- [3] Kristina Chodorow. MongoDB: the definitive guide. "O'Reilly Media, Inc.", 2013.
- [4] Michael J Carey, David J DeWitt, Joel E Richardson, and Eugene J Shekita. Object and file management in the EXODUS extensible database system. University of Wisconsin-Madison. Computer Sciences Department, 1986.
- [5] David Hows, Peter Membrey, and Eelco Plugge. "GridFS". In: *MongoDB Basics*. Springer, 2014, pp. 101–115.
- [6] Russell Sears, Catharine Van Ingen, and Jim Gray. "To blob or not to blob: Large object storage in a database or a filesystem?" In: arXiv preprint cs/0701168 (2007).
- [7] John Hughes. "Why functional programming matters". In: *The computer journal* 32.2 (1989), pp. 98–107.
- [8] Daniel J Bernstein. Using maildir format. 1995.
- [9] Paul J Leach, Michael Mealling, and Rich Salz. "A universally unique identifier (uuid) urn namespace". In: (2005).
- [10] rename(2) man page. URL: http://man7.org/linux/man-pages/man2/rename.2.html (visited on 05/30/2015).
- [11] $unlink(2) \ man \ page$. URL: http://man7.org/linux/man-pages/man2/unlink. 2.html (visited on 05/30/2015).
- [12] DS Justin Sheehy and D Smith. "Bitcask. a log-structured hash table for fast key/value data". In: White paper, April (2010).
- [13] Mendel Rosenblum and John K Ousterhout. "The design and implementation of a log-structured file system". In: ACM Transactions on Computer Systems (TOCS) 10.1 (1992), pp. 26–52.