Optimization Problem: Book Scanning

Artificial Intelligence MSc Data Science and Engineering Teacher Luís Paulo Reis

By Igor Diniz, Ingrid Diniz and Paula Ito



Contents

- 1. Introduction
- 2. Input Data
- 3. Development environment
- 4. Algorithms search
 - Hill Climbing
 - Simulated Annealing
 - Tabu Search
 - Genetic Algorithm
- 5. Comparing Results
- 6. Future work
- 7. References

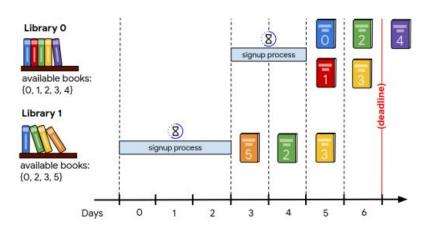
Introduction to the Book Scanning Problem

Online Qualification Round of Hash Code 2020

Goal: Maximize the total score of all scanned books in a given period of time (D days).







Problem Formulation

Solution: composed by list of libraries and list of books.

Evaluation/fitness function: sum of solution's book scores.

Constraints:

- Only **one library can undergo sign up** at a time.
- Books from a library can be scanned only after the sign up process is complete.
- Each library has a **daily limit** on the number of books that can be scanned.
- Books must be shipped, scanned, and returned within the specified time frame.
- Each book is only scanned once.

```
class Solution:
    def __init__(self, libraries: list = [], books: list = []):
        self.libraries = libraries
        self.books = books

def evaluate(self):
        return sum(map(lambda book: book.score, self.books))
```

Input Data

The original data consists of 5 files that have the following information:

- Number of Books (B)
- Number of Libraries (L)
- Number of Days (D)
- Book Scores (S)
- Library Information:
 - Number of Books in the Library (N)
 - Sign Up Time (T)
 - Scanning Rate (R)
 - o **Books in the Library:** A list of book IDs

File Format

Input file	Description
6 2 7	There are 6 books, 2 libraries, and 7 days for scanning.
1 2 3 6 5 4	The scores of the books are 1, 2, 3, 6, 5, 4 (in order).
5 2 2	Library 0 has 5 books, the signup process takes 2 days, and the library can ship 2 books per day.
0 1 2 3 4	The books in library O are: book O, book 1, book 2, book 3, and book 4.
4 3 1	Library 1 has 4 books, the signup process takes 3 days, and the library can ship 1 book per day.
3 2 5 0	The books in library 1 are: book 3, book 2, book 5 and book 0.

Note:

For this first demonstration of results we created 4 files with the same format.

Neighbour, Mutate and Cross-over

General Approach: Change solution's list of libraries, *then* get best books for each library.

Neighbour/Mutate Functions:

- "Internal" neighbours: swap and deletion of libraries.
- "External" neighbours: addition of new library.

Cross-over Functions: Mid and random point.

Implementation Details

Object-oriented: books, libraries, solution and solver.







```
optimization book scanning
   classes
    - book.py
     — library.py
      - a example.in
      - b read on.in
      - c incunabula.in
      - d tough choices.in
     — e so many books.in
     — f libraries of the world
  - helpers
      file reader.py
      - solution.py
      - helpers.py
      - app utils.py
    metaheuristics
     - solver.py
      — genetic algorithm.py
      - tabu search.py
     - hill climbing.py
    - simulated annealing.py
    app.py
    README.md
```

Optimization Algorithms

Hill Climbing Algorithm

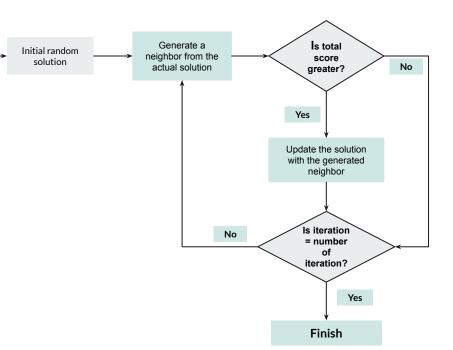
Start

Local Search Algorithm

Do not accept worse solutions

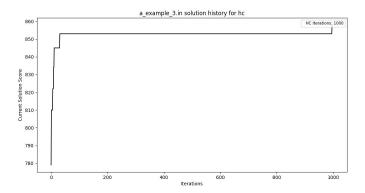
Parameters:

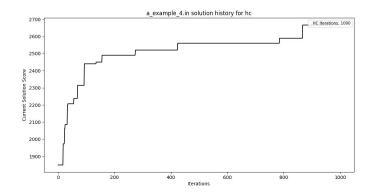
- Initial solution
- Number of iteration



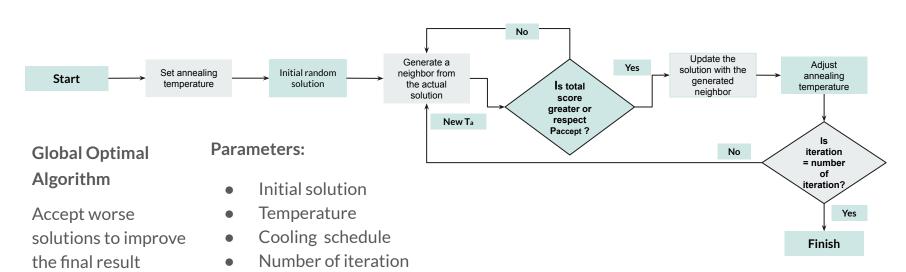
Hill Climbing Algorithm

file	max iterations	best score	time (s)	memory (kB)
a_example.in	1000	21	0.0056	111
a_example_2.in	1000	173	1.54	168
a_example_3.in	1000	205	3.67	160
a_example_4.in	1000	1663	26.06	1057



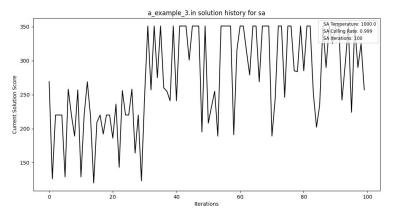


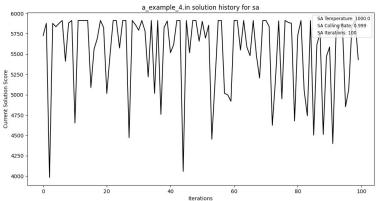
Simulated Annealing Algorithm



Simulated Annealing

file	temperature	cooling rate	best score	time (s)	memory (kB)
a_example.in	1000	0.999	21	0.002	70
a_example_2.in	1000	0.999	248	2.02	180
a_example_3.in	1000	0.999	536	4.83	237
a_example_4.in	1000	0.999	2676	30.36	1299





Tabu Search

Tabu Tenure: number of iterations in tabu list.

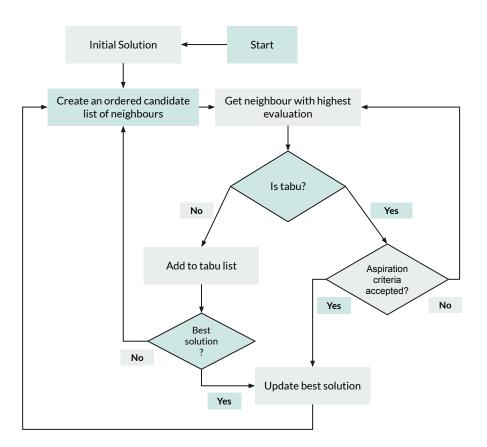
Candidate List: number of neighbours to be generated.

Aspiration Criterion: if current solution is better than the best one known.

Tabu Attributes: Swaps between libraries.

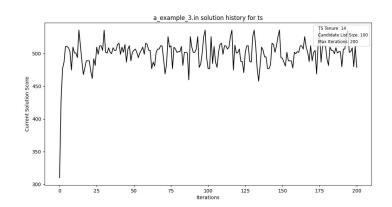
Parameters:

- Initial Solution
- Tabu Tenure
- Number of Neighbours (Candidate List Size)
- Maximum Number of Iterations



Tabu Search

file	tabu tenure	candidate list size	best score	time (s)	memory (kB)
a_example.in	11	all	21	0.024	25
a_example_2.in	11	50	248	4.22	226
a_example_3.in	11	100	536	66.04	1831
a_example_4.in	11	30	2188	227.16	4137



Genetic Algorithm

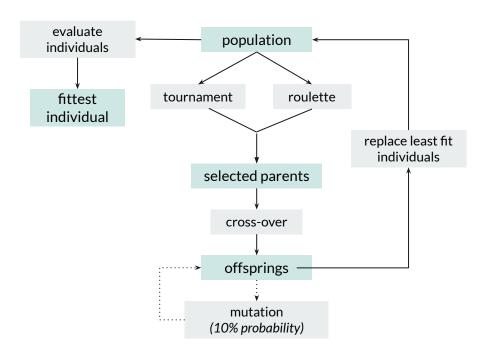
Population: set of individuals (i.e. solutions).

Steady-state: least fit individuals replace each generation.

Parameters:

- Population size \rightarrow 20
- No. of generations \rightarrow 10
- Mutation mode:
 - swap, deletion or addition
- Crossover mode
 - o mid or random point

grid search



Genetic Algorithm

file	mutation mode	crossover mode	best score	time (min)
a_example.in	swap	random	21	0.03
a_example_2.in	swap	random	206	0.36
a_example_3.in	addition	mid	594	13.49
a_example_4.in	deletion	random	3181	9.08
b_read_on	swap	random	4770900	7.17
c_incunabula	addition	mid	1014099	1.19
d_tough_choices	addition	random	4362930	17.99
e_so_many_books	deletion	random	1258925	2.66
f_libraries_of_the_world	deletion	mid	1831208	1.34

Population evoluion: f_libraries_in_the_world.in Generation 0 Generation 5 Generation 1 Generation 6 0.5 Generation 2 Generation 7 1e6 Scores Generation 3 Generation 8 1.0 0.5 Generation 4 Generation 9 0.5 10 15 20 10 15 20 Individuals

Comparing Results

Parameters

Initial Solution: random (HC, SA, TS) **Max. iterations:** 100 (HC, SA, TS)

Simulated Annealing:

• Temperature: 1000

Cooling schedule: 0.99

Tabu Search:

• Tabu tenure: 15

• Num. neighbours: 100

Genetic Algorithm:

• Population size: 100

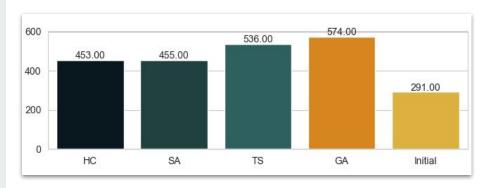
Num. generations: 20

Mutate: addition

• Crossover: mid point

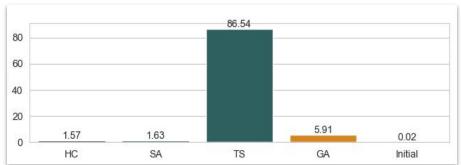
Score Analysis

Comparison for different algorithms



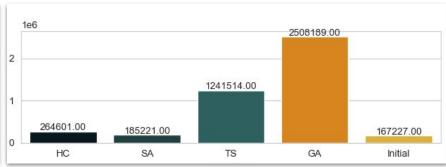
Time Analysis

Comparison for different algorithms



Memory Usage Analysis

Comparison for different algorithms



Conclusion

Problem complexity → **Algorithm Choice!**

Hill Climbing and Simulated Annealing:

- Work well on small, simple datasets
- Less memory and time to process

Tabu Search and Genetic Algorithm:

- Solve big, complex datasets (better scores)
- More memory and time to process



DEMO TIME!

Future Works and Improvements for Next Week

- Run the code for the real datasets provided by Hash Code
- 2. Find the best parameters for each algorithm with the real datasets
- 3. Add a time limit parameter in the implementations
- 4. Add penalty using the Long Term
 Frequency Based Memory for Tabu
 Search
- Generate initial population using greedy solution for Genetic Algorithm

References

Soares, A., & Costa, J. P. (2013). Principled Modeling of the Google Hash Code Problems for Meta-Heuristics [University of Coimbra]. Retrieved from https://estudogeral.uc.pt/retrieve/265403/tese_final_pedro_rodrigues.pdf.

John, K. (2020, October 30). How we achieved 98.27% of the best score at Google HashCode 2020. [Medium]. Retrieved from https://medium.com/@kevinjohn1999/how-we-achieved-a-98-27-of-the-best-score-at-google-hashcode-2020-94314a9041 90.

Thank You!

Artificial Intelligence | MSc Data Science and Engineering Teacher Luís Paulo Reis By Igor Diniz, Ingrid Diniz and Paula Ito

