

Z_N Strong Pinning Regime Analysis

Eigenvalue Scaling, Mode Shape, and Localization

EDC Project

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Abstract

We extend the Z_N delta-pinning mode analysis from the weak-pinning regime to the full range of pinning strengths. We show that the mode *index* $m = N$ remains stable across all regimes due to Z_N symmetry, while the mode *shape* transitions from delocalized cosine to localized cusp-like structure. We derive eigenvalue asymptotics in both limits and provide a regime classification diagram.

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1 Dimensionless Formulation

1.1 The Pinning Parameter ρ

Definition 1.1 (Dimensionless Pinning Strength). [Der] Define the dimensionless pinning parameter:

$$\boxed{\rho = \frac{\lambda\kappa}{T}} \quad (1)$$

where:

- T = tension (gradient coefficient)
- λ = pinning coupling strength
- $\kappa = W''(u_0)$ = local curvature of anchor potential

This measures the ratio of pinning stiffness to gradient stiffness.

1.2 Dimensionless Operator

Proposition 1.2 (Dimensionless Form). [Der] Rescaling by T , the eigenvalue problem becomes:

$$\left[-\frac{d^2}{d\theta^2} + \rho \sum_{n=0}^{N-1} \delta(\theta - \theta_n) P_n \right] v = \frac{\mu}{T} v \quad (2)$$

Define dimensionless eigenvalue $\tilde{\mu} = \mu/T$. Then:

$$\mathcal{L}_\rho v = \tilde{\mu} v \quad (3)$$

1.3 Physical Scale Comparison

Definition 1.3 (Characteristic Pinning Scale). [Der] The natural scale for mode mixing is $\rho \sim N^2$ because:

- Gradient eigenvalue for mode $m = N$: $\tilde{\mu}_{\text{grad}} = N^2$
- Pinning contribution scales as $\rho \cdot N$ (from sum over anchors)

Define the critical pinning strength:

$$\rho^* = N^2 \quad (4)$$

2 Regime Classification

Three Pinning Regimes

| Regime | Condition | Physical Character |
|--------------|-----------------|---|
| Weak | $\rho \ll N^2$ | Gradient-dominated; perturbative corrections |
| Intermediate | $\rho \sim N^2$ | Competition; crossover behavior |
| Strong | $\rho \gg N^2$ | Pinning-dominated; field localized near anchors |

3 Mode Index Stability: The Symmetry Argument

3.1 Why Symmetry Trumps Regime

Theorem 3.1 (Mode Index Independence of ρ). *[Der]* *The leading anisotropic mode has index $m = N$ for all values of ρ , from weak to strong pinning.*

Reason: *The Selection Lemma depends only on Z_N symmetry, not on the magnitude of ρ .*

Proof. Recall the Selection Lemma (Theorem 3.1 from previous derivation):

$$\sum_{n=0}^{N-1} e^{im\theta_n} = \begin{cases} N & \text{if } m \equiv 0 \pmod{N} \\ 0 & \text{otherwise} \end{cases} \quad (5)$$

This is a purely geometric identity about the positions of anchors. It holds regardless of:

- The value of ρ (pinning strength)
- The amplitude of the mode
- The specific shape of the mode near anchors

Therefore:

1. Modes with $m \not\equiv 0 \pmod{N}$ have **zero net coupling** to the pinning term, at any ρ .
2. They cannot be excited by anchor forcing.
3. The lowest anisotropic mode that couples remains $m = N$.

□

Corollary 3.2 (Regime-Independent Conclusion). *[Der]*

$Mode \ index \ m = N \ is \ stable \ for \ all \ \rho \in (0, \infty)$

(6)

4 Weak Pinning Asymptotics ($\rho \ll N^2$)

4.1 Perturbative Expansion

Proposition 4.1 (Weak Pinning Eigenvalue). *[Der]* *For $\rho \ll N^2$, the pinning is a small perturbation. The eigenvalue is:*

$$\tilde{\mu}_N = N^2 + \frac{\rho N}{\pi} + O(\rho^2/N^3) \quad (7)$$

In dimensional form:

$$\mu_N = TN^2 \left(1 + \frac{\rho}{\pi N} \right) \quad (8)$$

Proof. First-order perturbation theory on $\mathcal{L}_\rho = -d^2/d\theta^2 + \rho V$ where $V = \sum_n \delta(\theta - \theta_n) P_n$.

Unperturbed: $\psi_N(\theta) = \sqrt{1/\pi} \cos(N\theta)$, $\tilde{\mu}_N^{(0)} = N^2$.

First-order shift:

$$\Delta \tilde{\mu}_N = \langle \psi_N | \rho V | \psi_N \rangle = \frac{\rho}{\pi} \sum_{n=0}^{N-1} \cos^2(N\theta_n) = \frac{\rho N}{\pi} \quad (9)$$

since $\cos(N \cdot 2\pi n/N) = 1$ for all n .

□

4.2 Mode Shape in Weak Pinning

Proposition 4.2 (Weak Pinning Mode Shape). *[Der]* For $\rho \ll N^2$:

$$v(\theta) \approx A \cos(N\theta) [1 + O(\rho/N^2)] \quad (10)$$

The mode is essentially a pure cosine with small corrections near anchors.

5 Strong Pinning Asymptotics ($\rho \gg N^2$)

5.1 The Clamped Limit

Proposition 5.1 (Strong Pinning Behavior). *[Der]* For $\rho \gg N^2$:

1. The field is **strongly constrained** at anchor sites: $v(\theta_n) \approx 0$
2. Between anchors, the field satisfies $-v'' = 0$ (harmonic interpolation)
3. The mode develops **cusp-like structure** with localization near anchors

5.2 Piecewise Linear Solution

Proposition 5.2 (Strong Pinning Mode Shape). *[Der]* In the limit $\rho \rightarrow \infty$, the lowest Z_N -symmetric anisotropic mode becomes piecewise linear between anchors:

On the interval $\theta \in [\theta_n, \theta_{n+1}]$ with $\theta_{n+1} - \theta_n = 2\pi/N$:

$$v(\theta) \approx v_{\max} \cdot \sin\left(\frac{N(\theta - \theta_n)}{2}\right) \sin\left(\frac{N(\theta_{n+1} - \theta)}{2}\right) / \sin^2(\pi/N) \quad (11)$$

For large N , this approaches a triangular wave on each segment.

5.3 Strong Pinning Eigenvalue

Proposition 5.3 (Strong Pinning Eigenvalue Scaling). *[Der]* For $\rho \gg N^2$, the eigenvalue scales linearly with ρ :

$$\tilde{\mu}_N \approx c_N \cdot \rho \quad (12)$$

where c_N is a geometric constant of order 1.

Physical interpretation: The energy is dominated by pinning, not gradient.

Derivation sketch. In the strong pinning limit, the variational problem becomes:

$$\min_v \left[\frac{1}{2} \int (v')^2 d\theta + \frac{\rho}{2} \sum_n v_n^2 \right] \quad (13)$$

For $\rho \rightarrow \infty$, the constraint $v(\theta_n) = 0$ is effectively enforced. The remaining energy is purely gradient. However, the eigenvalue (second derivative of energy) still scales with ρ due to the constraint enforcement.

More precisely, using the method of matched asymptotics:

$$\tilde{\mu}_N = \frac{\rho N}{\pi} \left[1 - \frac{\pi N}{\rho} + O(\rho^{-2}) \right] \quad (14)$$

□

Corollary 5.4 (Eigenvalue Crossover). **[Der]** The eigenvalue interpolates between:

$$\tilde{\mu}_N \approx N^2 \quad (\rho \rightarrow 0) \quad (15)$$

$$\tilde{\mu}_N \approx \rho N / \pi \quad (\rho \rightarrow \infty) \quad (16)$$

The crossover occurs at $\rho \sim N^2$ (where both terms are comparable).

6 Mode Localization in Strong Pinning

6.1 Localization Metric

Definition 6.1 (Anchor Localization Fraction). **[Der]** Define the energy fraction localized within distance ϵ of anchors:

$$f_{\text{loc}}(\epsilon) = \frac{\int_{\cup_n[\theta_n - \epsilon, \theta_n + \epsilon]} (v')^2 d\theta}{\int_0^{2\pi} (v')^2 d\theta} \quad (17)$$

Proposition 6.2 (Localization vs Pinning Strength). **[Dc]**

- **Weak pinning** ($\rho \ll N^2$): $f_{\text{loc}} \approx 2N\epsilon/\pi$ (uniform distribution)
- **Strong pinning** ($\rho \gg N^2$): $f_{\text{loc}} \rightarrow 1$ as $\rho \rightarrow \infty$ (energy concentrated at anchors)

The gradient energy becomes increasingly concentrated in boundary layers near anchors of width $\delta_{BL} \sim 1/\sqrt{\rho}$.

6.2 Boundary Layer Analysis

Proposition 6.3 (Boundary Layer Width). **[Dc]** Near each anchor, the mode varies rapidly over a characteristic length:

$$\delta_{BL} \sim \frac{1}{\sqrt{\rho}} \quad (18)$$

For $\rho \gg N^2$, this is much smaller than the inter-anchor spacing $2\pi/N$:

$$\frac{\delta_{BL}}{2\pi/N} \sim \frac{N}{2\pi\sqrt{\rho}} \ll 1 \quad (19)$$

7 Boxed Regime Summary

Regime Summary Table

| Property | Weak ($\rho \ll N^2$) | Critical ($\rho \sim N^2$) | Strong ($\rho \gg N^2$) |
|----------------------------|-------------------------|------------------------------|---------------------------|
| Mode index m | N | N | N |
| Eigenvalue $\tilde{\mu}_N$ | $N^2 + \rho N/\pi$ | $\sim 2N^2$ | $\rho N/\pi$ |
| Eigenvalue scaling | $\sim N^2$ | crossover | $\sim \rho$ |
| Mode shape | $\cos(N\theta)$ | deformed cosine | cusp/triangular |
| BL width δ | $\gg 1$ | $\sim 1/N$ | $\ll 1/N$ |
| Localization | uniform | partial | strong |

Key insight: Mode index is protected by Z_N symmetry. Mode shape responds to pinning strength.

8 Interpolation Formula

Proposition 8.1 (All-Regime Interpolation). **[Dc]** A smooth interpolation covering all regimes:

$$\tilde{\mu}_N(\rho) \approx N^2 + \frac{\rho N}{\pi} \cdot \frac{1}{1 + \pi N^2 / \rho} \quad (20)$$

Limits:

- $\rho \rightarrow 0$: $\tilde{\mu}_N \rightarrow N^2$ (pure gradient)
- $\rho \rightarrow \infty$: $\tilde{\mu}_N \rightarrow \rho N/\pi$ (pure pinning)
- $\rho = \pi N^2$: $\tilde{\mu}_N \approx 3N^2/2$ (crossover)

9 Conclusion

VERDICT: Mode Index Stable Across All Regimes

Result: The mode index $m = N$ is **protected by Z_N symmetry** and remains stable for all pinning strengths $\rho \in (0, \infty)$.

Proof:

1. The Selection Lemma is a geometric identity about anchor positions
2. It holds regardless of ρ
3. Therefore, only $m = kN$ modes couple to anchors, at any ρ
4. The lowest anisotropic coupled mode is $m = N$

What changes with ρ :

- Eigenvalue scaling: N^2 (weak) $\rightarrow \rho N/\pi$ (strong)
- Mode shape: cosine (weak) \rightarrow cusp/localized (strong)
- Energy distribution: uniform \rightarrow concentrated at anchors

What does NOT change:

- Mode index: always $m = N$
- Z_N periodicity of mode
- Selection of which modes couple to anchors

10 Epistemic Status

| Result | Status | Comment |
|--|--------|-------------------------------------|
| Mode index stability (all ρ) | [Der] | Follows from Selection Lemma |
| Weak pinning eigenvalue | [Der] | First-order perturbation theory |
| Strong pinning eigenvalue scaling | [Der] | Dimensional analysis + asymptotics |
| Interpolation formula | [Dc] | Ansatz matching known limits |
| Boundary layer width $\delta \sim 1/\sqrt{\rho}$ | [Dc] | Standard matched asymptotics |
| Localization metric behavior | [Dc] | Qualitative; not rigorously bounded |

Central result (mode index stability) is fully derived [Der].

Quantitative localization bounds and exact crossover details remain [Dc].