

# Software Testing and Validation

A.A. 2023/2024

Corso di Laurea in Informatica

## The SPIN Model Checker

Igor Melatti

Università degli Studi dell'Aquila

Dipartimento di Ingegneria e Scienze dell'Informazione e Matematica



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Acronyms

- Murphi stands for nothing, though it is probable that it reminds Murphi's Laws
  - "if something may fail, it will fail", i.e.,  $\mathbf{EF}p \rightarrow \mathbf{AF}p$
- SPIN stands for Simple Promela INterpreter
- Promela is the SPIN input language
  - Murphi input language does not have a proper name
- Promela stands for PROcess MEta LANGUAGE
  - as we will see, it is actually based on Operating Systems-like processes



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Structure of a Promela Model

- We recall that Murphi input language is based on:
  - global variables with finite types
    - base types are integer subranges and enumerations
    - higher types are arrays and structures
  - function and procedures
  - guarded rules and starting states (*dynamics*)
    - may call functions and procedures, in an *atomic* way
    - Pascal-like syntax: `:=` for assignments, `=` for equality checks...
  - invariants



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Structure of a Promela Model

- Promela instead has:
  - global variables with finite types
    - base types are integer types of the C language
    - enumerations are very limited
    - arrays and records
    - channels!
  - processes behaviour (*dynamics*)
    - possibly with arguments and local variables
  - properties to be checked:
    - assertions
    - deadlocks
    - “neverclaim” describing a BA
    - a separate tool may translate an LTL formula in the corresponding BA



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Structure of a Promela Model

## Variables and Types (1)

- Five different (integer) **basic types**.
- **Arrays**
- **Records** (structs)
- **Type conflicts** are detected at runtime.
- **Default initial value** of basic variables (local and global) is **0**.

### Basic types

<code>bit</code>	<code>turn=1;</code>	<code>[0..1]</code>
<code>bool</code>	<code>flag;</code>	<code>[0..1]</code>
<code>byte</code>	<code>counter;</code>	<code>[0..255]</code>
<code>short</code>	<code>s;</code>	<code>[-2<sup>16</sup>-1.. 2<sup>16</sup>-1]</code>
<code>int</code>	<code>msg;</code>	<code>[-2<sup>32</sup>-1.. 2<sup>32</sup>-1]</code>

### Arrays

```
byte a[27];  
bit flags[4];
```

array  
indic  
start at 0

### Typedef (records)

```
typedef Record {  
    short f1;  
    byte f2;  
}  
Record rr;  
rr.f1 = ..
```

variable  
declaration



# Structure of a Promela Model

- Mainly C-like syntax: = for assignments, == for equality checks...
  - with some exceptions: if, while, message exchange
- No start states: there is only one starting state
  - an “empty” state, we will see how it is defined
- Thus, if you need multiple starting states, you will have to explicitly model this in Promela
  - having the “empty” state non-deterministically going in the desired starting states
- Assertions are conceptually the same as invariants



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Processes in Promela

- Dynamics in Promela is defined through *processes*
- You may define many different codes for your processes:  
proctype
- You may instantiate many times each proctype
  - each instantiation of a proctype is a process
- Each process is either active (i.e., running) from the starting state, or it is explicitly started by some other process
- Though Promela proctypes may seem procedures, they are not!
  - running a process is not like calling a function
  - it is rather like forking a new process, which executes the given code concurrently with the “calling” one



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Peterson Protocol in Promela

```
bool turn, flag[2];
byte ncrit;

active [2] proctype user()
{
  assert(_pid == 0 || _pid == 1);
again:
  flag[_pid] = 1;
  turn = _pid;
  (flag[1 - _pid] == 0 || turn == 1 - _pid);
  ncrit++;
  assert(ncrit == 1); /* critical section */
  ncrit--;
  flag[_pid] = 0;
  goto again
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# Peterson Protocol in Promela

- In this case, the starting state has:
  - two running processes, both ready to execute their first statement (i.e., the assert)
  - `turn`, `flag`, `ncrit` are all set to zero
    - both entries for `flag`
- A special local variable `_pid` is available for all processes
  - similar to Operating Systems PID
  - $n$  instantiations of a proctype will have `_pid` going from 0 to  $n - 1$
  - read-only
- The often-deprecated `goto` statement is heavily used in Promela models
  - C-like labels also may have special meanings
  - the same holds for the `break` statement



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Non-Determinism in Promela: Part I

- In the Peterson model above, there does not seem to be any non-determinism
- Instead, in each state there are two possible successors
  - one obtained executing the (current statement in) the first process
  - the other one obtained executing the second process
- Generally speaking, if in a state there are  $n$  active processes, then there are  $n$  successors
  - actually, they be less, because of blocked statements
  - or more, because of the other source of non-determinism
- SPIN checks that properties hold for *all possible interleavings* between processes
  - using OS-like parlance, the model must be correct regardless of the scheduler



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Blocked and Executable Statements

- Proctypes are sequences of *statements*
- Statements may be either *blocked* or *executable*
  - again, it resembles OS blocking primitives, such as those on semaphores or on message exchange
- If a statement is blocked, then the corresponding process cannot be selected for execution
- For each type of statement, we will say when it is blocked and when it is executable



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Blocked and Executable Statements

- The following statements are always executable: assignments, goto, break, skip, assert, printf
  - return does not exist
  - break must be inside a cycle
  - skip does nothing, useful in some cases
    - not completely true
  - assert takes an expression  $e$  as argument: if  $e$  is false, the verification is aborted and the error is reported
  - printf is only used in debugging the model itself (simulation mode)



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Blocked and Executable Statements

- Expressions may be used as statements
  - in Peterson protocol:  
`(flag[1 - _pid] == 0 || turn == 1 - _pid);`
- An expression  $e$  used as a statement is executable iff  $e$  is evaluated to true in the current state
  - $e$  is always of some integer type
  - as usual in C,  $e$  is true if  $e \neq 0$ , and false otherwise
  - in OS parlance, we are implementing busy waiting
  - $e$  is equivalent to `while (!e) /* do nothing */`



# Peterson Protocol in Promela

```
bool turn, flag[2];
byte ncrit;

active [2] proctype user()
{
  assert(_pid == 0 || _pid == 1);
again:
  flag[_pid] = 1;
  turn = _pid;
  (flag[1 - _pid] == 0 || turn == 1 - _pid);
  ncrit++;
  assert(ncrit == 1); /* critical section */
  ncrit--;
  flag[_pid] = 0;
  goto again
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Blocked and Executable Statements

- The `run` statement may be used to create a new process
  - there is a limit to the number of active processes  $N$
  - `run` is executable iff the number of currently active processes is less than  $N$
- Other ways to have processes:
  - declare a proctype as active  $[n]$ 
    - active since the start state
    - $n$  is the number of instances to be run, may be skipped if  $n = 1$
  - name a proctype as `init`
    - again, active since the start state
- There must be either active proctypes or the `init` proctype in every Promela model



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Structure of a Promela Model

## Processes (3)

- Process are **created** using the **run** statement (which returns the **process id**).
- Processes can be created at **any point** in the execution (within any process).
- Processes start executing **after** the **run** statement.
- Processes can **also** be created by adding **active** in front of the **proctype** declaration.

```
proctype Foo(byte x) {  
    ...  
}  
  
init {  
    int pid2 = run Foo(2);  
    run Foo(27);  
}  
  
active[3] proctype Bar() {  
    ...  
}
```

number of procs. (opt.)

parameters will be initialised to 0





# Peterson Protocol in Promela

```
bool turn, flag[2];
byte ncrit;

proctype user()
{
  /* ... as before */
}

init {
  run user();
  run user();
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Atomic Statements

- Each single statement is *atomic*
  - other processes must wait for an executable single statement completion
  - this differs from OS-like processes: if  $n$  is shared and  $n++$  is executed, race conditions may arise
  - because  $n++$  must be viewed as a sequence of assembly statements
  - not in Promela
- It is sometimes desirable to declare a sequence of statements  $s_1, \dots, s_n$  as atomic: `atomic { $s_1, \dots, s_n$ }`



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Peterson Protocol in Promela

```
bool turn, flag[2];
byte ncrit;

proctype user()
{
  /* ... as before */
}

init {
  atomic{
    run user();
    run user();
  }
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Atomic Statements

- An atomic block like `atomic { $s_1, \dots, s_n$ }` may be executable or blocked as well
- The rule is simple: `atomic { $s_1, \dots, s_n$ }` is executable iff  $s_1$  is executable
- What happens if  $s_i$  is blocked for some  $i > 1$ ?
- The process loses the atomicity, it becomes blocked and other active processes will have to be executed
- This is the only case in which a statement is initially executable and then becomes blocked
- When  $s_i$  is executable again, and the “scheduler” selects the process, the rest of the atomic section is executed atomically again
  - unless a new  $s_j$  is blocked with  $j > i$ ...



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Atomic Statements

- Another way of specifying atomic blocks is `d_step`  
 $\{s_1, \dots, s_n\}$
- Again, executable iff  $s_1$  is executable, but:
  - it is a (runtime) error if  $s_i$  is blocked with  $i > 1$
  - each  $s_i$  must be deterministic
  - all statements seen till now are deterministic, later we will see non-deterministic ones
- Thanks to these restrictions, `d_step` is more efficient than `atomic`
  - intermediate states need not to be generated, as they cannot block and then resume



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA

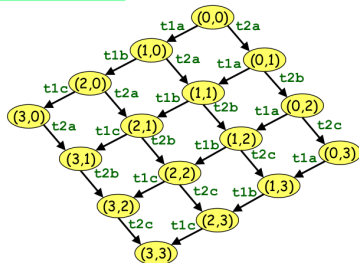
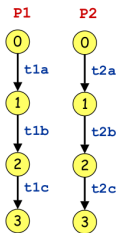


DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Atomic Statements

```
proctype P1() { t1a; t1b; t1c }  
proctype P2() { t2a; t2b; t2c }  
init { run P1(); run P2() }
```

No atomicity



Not completely correct as each process has an implicit end-transition...



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

49



University of Twente



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

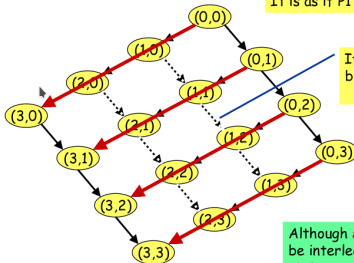
# Atomic Statements

```
proctype P1() { atomic {t1a; t1b; t1c} }  
proctype P2() { t2a; t2b; t2c }  
init { run P1(); run P2() }
```

atomic

It is as if P1 has only one transition...

If one of P1's transitions blocks, these transitions may get executed



Although **atomic** clauses cannot be interleaved, the **intermediate states** are still constructed.



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

50  
University of Twente



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Blocked and Executable Statements

- The `timeout` statement may be used to avoid deadlocks
  - that is, states where all processes only have blocked statements
- In fact, `timeout` is an expression
  - it becomes true (and thus, as a statement, executable) iff we are in a deadlock, in the sense described above
- Used as an escape in some cases



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# Blocked and Executable Statements

- The if statement has a somewhat surprising syntax

if

::  $e_1 \rightarrow s_{11}; \dots; s_{1n_1}$

:

::  $e_m \rightarrow s_{m1}; \dots; s_{mn_m}$

fi

- inspired by Dijkstra guarded command language
- it is executable if there exists  $i$  s.t.  $e_i$  is executable
  - typically  $e_i$  are expressions, thus when  $e_i$  are true
- as a special expression, else is true (executable) iff all  $e_i$  are false (blocked)
  - thus, an if with an else is always executable
- if all  $e_i$  are blocked, then the if statement is blocked
- ; may be used instead of  $\rightarrow$ , which is actually syntactic sugar



UNIVERSITA'  
DELL'AGRICOLA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Blocked and Executable Statements

- The while statement does not exist in Promela
- Instead, we have

do

::  $e_1 \rightarrow s_{11}; \dots; s_{1n_1}$

:

::  $e_m \rightarrow s_{m1}; \dots; s_{mn_m}$

od

- as for the if, it is executable if there exists  $i$  s.t.  $e_i$  is executable
- if all  $e_i$  are blocked, then the do statement is blocked
- of course, if is executed only once, while do is executed forever
- more precisely: once, for some  $i$ ,  $s_{i1}; \dots; s_{in_i}$  is executed, the whole do is evaluated again
- to exit from a do, a break is necessary
- or some other escape, such as goto or unless: see later



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Non-Determinism in Promela: Part II

- There are two sources of non-determinism in Promela:
  - inter-process, as a process may non-deterministically be chosen among all the currently active non-blocked processes
    - a non-blocked process is a process which current statement is executable
  - intra-process: using `if` or `do`
- In fact, if  $E = \{e_{i_1}, \dots, e_{i_k} \mid e_{i_j} \text{ is executable}\}$  is such that  $|E| > 1$ , there will non-deterministically be  $|E|$  successors
  - of course, for the current process only
  - other processes may have a current `if` or `do` as well



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Non-Determinism in Promela: Part II

## if-statement (2)

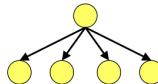
```
if
:: (n & 2 != 0) -> n=1
:: (n >= 0)      -> n=n-2
:: (n & 3 == 0)  -> n=3
:: else         -> skip
fi
```

- The **else** guard becomes **executable** if **none** of the other guards is executable.

give n a random value

```
if
:: skip -> n=0
:: skip -> n=1
:: skip -> n=2
:: skip -> n=3
fi
```

non-deterministic branching



**skips** are **redundant**, because assignments are themselves **always executable**...



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

33



University of Twente



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Other Promela

## unless

```
{ <stats> } unless { guard; <stats> }
```

- Statements in *<stats>* are executed **until** the first statement (*guard*) in the escape sequence becomes **executable**.
- resembles **exception handling** in languages like Java
- *Example:*

```
proctype MicroProcessor() {  
  {  
    ...  
    /* execute normal instructions */  
  }  
  unless { port ? INTERRUPT; ... }  
}
```



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

57



University of Twente



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

## macros - **cpp** preprocessor

- Promela uses **cpp**, the **C preprocessor** to preprocess Promela models. This is useful to define:

- **constants**

```
#define MAX 4
```

All **cpp** commands start with a hash:  
**#define**, **#ifdef**, **#include**, etc.

- **macros**

```
#define RESET_ARRAY(a) \  
d_step { a[0]=0; a[1]=0; a[2]=0; a[3]=0; }
```

- **conditional** Promela model fragments

```
#define LOSSY 1  
...  
#ifdef LOSSY  
active proctype Daemon() { /* steal messages */ }  
#endif
```



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

58



University of Twente



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Other Promela

## inline - poor man's procedures

- Promela also has its own **macro-expansion** feature using the **inline**-construct.

```
inline init_array(a) {  
  d_step {  
    i=0;   
    do   
      :: i<N -> a[i] = 0; i++  
      :: else -> break  
    od;  
    i=0;  
  }  
}
```

Should be declared somewhere else (probably as a local variable).

Be sure to reset temporary variables.

- error messages are more **useful** than when using **#define**
- cannot** be used as **expression**
- all **variables** should be **declared somewhere else**



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

59



University of Twente



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Inter-Process Communication

- Two processes may communicate using shared memory
  - that is, using global variables
  - one writes and the other reads
- If synchronization is required, busy waiting must be used
  - that is, read only after writing



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# Inter-Process Communication

```
byte x;  
  
active [2] proctype user()  
{  
    byte y;  
    if  
    :: _pid == 0 -> x = 1  
    :: _pid == 1 -> y = x;  
    fi;  
    ...  
}
```

What if I want  $y = x$  to happen only after  $x = 1$ ?



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Inter-Process Communication

```
byte x;  
bit b = 0;  
active [2] proctype user()  
{  
    byte y;  
    if  
    :: _pid == 0 -> atomic{b = 1; x = 1}  
    :: _pid == 1 -> atomic{b == 1; y = x;}  
fi;  
    ...  
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Inter-Process Communication: Channels

- Fortunately, Promela offers a simple way to handle communication: FIFO channels
  - similar to OS message exchange via mailbox
- To declare a channel, the `chan` data type can be used
  - the modeler must specify both the size of the channel and the type of the messages to be exchanged
- Messages may be tuples
  - their types must be enclosed in brackets



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

## Communication (2)

- Communication between processes is via **channels**:
  - **message passing**
  - **rendez-vous** synchronisation (**handshake**)

- Both are defined as **channels**:

also called:  
queue or buffer

```
chan <name> = [<dim>] of {<t1>, <t2>, ... <tn>};
```

name of  
the channel

type of the elements that will be  
transmitted over the channel

number of elements in the channel  
dim==0 is special case: rendez-vous

```
chan c      = [1] of {bit};  
chan toR    = [2] of {mtype, bit};  
chan line[2] = [1] of {mtype, Record};
```

array of  
channels



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

37



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Inter-Process Communication: Channels

- To send a message in a channel: `channel!value1,...valuen`
  - executable iff `channel` has size  $m > 0$  and contains at most  $m - 1$  messages
  - each message has size  $n...$
- To receive a message in a channel: `channel?x1,...,xn`
  - if all  $x_i$  are variables, the first still undelivered message in `channel` is stored in each  $x_i$ , breaking down the tuple
  - executable iff the channel is not empty
  - if all  $x_i$  are constant values, the first still undelivered message in `channel` is compared to the values  $x_i$ , breaking down the tuple
  - executable iff the first message in the channel matches the given values
  - in this case, the message is removed from the channel
  - variables and constants may be mixed



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Inter-Process Communication: Rendez-Vous Channels

- It is sometimes desirable to also have blocking send
  - that is, if there is not some other process receiving on the channel, the send must block
  - reading is always blocking, if there is not something to be received
- This may be achieved using *rendez-vous* channel
- Defined using 0 as the channel size
- Both the sending and the reading process will block, till when some other process perform the dual operation
- Then, both of them go on to the following statement
  - only case in which two separate statement of two different process are executed at the same time



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Dijkstra Protocol in Promela

```
#define p 0
#define v 1
chan sema = [0] of { bit }; /* rendez-vous */

proctype dijkstra()
{
    byte count = 1; /* local variable */
    do
        :: (count == 1) -> sema!p; count = 0
        /* send 0 and blocks, unless some other
           proc is already blocked in reception */
        :: (count == 0) -> sema?v; count = 1
        /* receive 1, same as above */
    od
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Dijkstra Protocol in Promela

```
proctype user()  
{  
  do  
    :: sema?p;  
      /*      critical section      */  
    sema!v;  
      /* non-critical section */  
  od  
}  
  
init  
{  
  run dijkstra();  
  run user(); run user(); run user()  
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# Inter-Process Communication: Channels

https:

[//en.wikipedia.org/wiki/Alternating\\_bit\\_protocol](https://en.wikipedia.org/wiki/Alternating_bit_protocol)

DEMO

## Alternating Bit Protocol (2)

```
mtype {MSG, ACK};  
chan toS = [2] of {mtype, bit};  
chan toR = [2] of {mtype, bit};  
  
proctype Sender(chan in, out)  
{  
  bit sendbit, rcvbit;  
  do  
    :: out ! MSG, sendbit ->  
      in ? ACK, rcvbit;  
    if  
      :: rcvbit == sendbit ->  
        sendbit = 1-sendbit  
      :: else  
        fi  
    od  
}
```

channel  
length of 2

```
proctype Receiver(chan in, out)  
{  
  bit rcvbit;  
  do  
    :: in ? MSG(rcvbit) ->  
      out ! ACK(rcvbit);  
    od  
  }  
  
  init  
  {  
    run Sender(toS, toR);  
    run Receiver(toR, toS);  
  }  
}
```

Alternative notation:  
ch ! MSG(par1, ...)  
ch ? MSG(par1, ...)



Thursday 11-Apr-2002

Theo C. Ruys - SPIN Beginners' Tutorial

41



University of Twente

SITA  
TUDI  
JULIA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Promela: Other

- Each statement may have a label (e.g. again in Peterson's protocol)
- If the label begins with “end”, then it is a valid end-state
- An end-state is valid if it has an “end” label or if it consists of the closing brackets of a process
- Any other state from which it is not possible to execute a transition triggers a verification error, claiming a *deadlock* has been found
- If the label begins with “accept”, then it is an accepting state
  - typically inside some neverclaim representing a BA of some LTL formula



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# From Promela to Kripke Structures

- We define the Kripke structure  $\mathcal{S} = \langle S, I, R, L \rangle$  corresponding to a given Promela model
  - $S = D_1 \times \dots \times D_n \times \{1, \dots, M_1\} \times \dots \times \{1, \dots, M_k\}$ 
    - here we are assuming  $n$  (flattened) local and global variables, including channels
    - we also assume there are  $k$  running processes, with process  $i$  having  $M_i$  statements inside it
    - this is due to the fact that *program counters* must be stored for each running process
    - so we can single out the exact statement to be executed in each process, being on some current state
    - if a  $D_i$  corresponds to `short` or `int`, then it has  $2^{16}$  or  $2^{32}$  values on a typical 64-bit architecture, as it is in C
    - a channel is essentially an array of structures



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# From Promela to Kripke Structures

- This state space is *dynamic*, as it contains the *currently running* processes
  - new processes may be added at any time by a `run` statement
  - thus, the state space cannot be defined *in advance* as it is with Murphi
  - this could only be possible when only active proctypes are used, without `run` commands
  - however, local variables may be defined at any point inside proctypes, thus it is not possible even in that case
- Thus, state space grows: as new processes run and new local variables are reached
- ... and shrinks: as some process terminate



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# From Promela to Kripke Structures

- $I = \{s_0\}$  where  $s_0$  contains only processes defined as active and all global variables are zero
  - all program counters are at the beginning, local variables still does not exist
- Intuitively,  $R(s, s')$  holds iff there is a running process  $p$  in  $s$  and an executable statement  $t$  at the current program counter of  $p$  s.t.  $t$ , when executed, leads from  $s$  to  $s'$ 
  - if  $t$  is the beginning of an atomic sequence, then the whole atomic sequence must be executed
  - till the first blocking statement of the sequence
  - if  $t$  is a send on a rendez-vous channel  $c$ , and there is another current statement  $t'$  in another process  $p'$  s.t.  $t'$  is a receive on  $c$ , both  $t$  and  $t'$  have to be executed when leading from  $s$  to  $s'$
- $L$  is similar to Murphi, i.e., equations between (global and local) variables and values; however, also program counters must be considered



# SPIN Simulation

Almost equal to Murphi one

```
void Make_a_run(NFSS  $\mathcal{N}$ )
{
  let  $\mathcal{N} = \langle S, \{s_0\}, \text{Post} \rangle$ ;
  s_curr =  $s_0$ ;
  if (some assertion fail in s_curr)
    return with error message;
  while (1) { /* loop forever */
    if (Post(s_curr) =  $\emptyset$ )
      return with deadlock message;
    s_next = pick_a_state(Post(s_curr));
    if (some assertion fail in s_curr)
      return with error message;
    s_curr = s_next;
  }
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# SPIN Verification

- Able to answer to the following questions:
  - is there a deadlock (invalid end state)?
  - are there reachable assertions which fail (safety)?
  - is a given LTL formula (safety or liveness) ok in the current system?
  - is a given neverclaim (safety or liveness) ok in the current system?
- It is possible to specify some side behaviours:
  - is sending to a full channel blocking, or the message is dropped without blocking?
- It may report unreachable code
  - Promela statements in the model which are never executed



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# SPIN Verification

- Similar to Murphi:
  - 1 the SPIN compiler (`SrcXXX/spin -a`) is invoked on `model.prm` and outputs 5 files:
    - `pan.c`, `pan.h`, `pan.m`, `pan.b`, `pan.t` (unless there are errors...)
  - 2 the 5 files given above are compiled with a C compiler
    - it is sufficient to compile `pan.c`, which includes all other files
    - in this way, an executable file `model` is obtained
  - 3 just execute `model`
    - option `--help` gives an overview of all possible options



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# PAN: Protocol ANalyzer

- `pan.[ch]` is the fixed part of the verifier, it implements a DFS (also BFS starting from some later version, but less efficient), it also includes the other files
- `pan.t` creates a table with an entry for each statement in the source Promela model
  - for each statement, the corresponding values to execute the forward and backward in `pan.[bm]` are stored
  - this is needed for simulations and counterexamples



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Protocol ANalyzer

- `pan.m` is the part of the verifier which depends on the Promela model: it contains a C `switch` statement implementing the transition relation
  - very similar to Murphi Code implementing a rule body
  - given the current state, given a running process index  $i$  and the program counter  $p$  inside that process, it performs on `now` the modifications demanded by the Promela statement at line  $i$  of process  $p$ , so obtaining the next state
  - the current state is saved in a memory buffer called `now` which is very similar to the Murphi's `workingstate`
  - actually, a second index  $j$  is needed in the case the current statement is non-deterministic



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Protocol ANalyzer

- `pan.b`: the same of `pan.m`, but *backwards*!
  - actually, `pan.m` does not surprise and it is not conceptually difficult to understand and implement
  - implementing the same backwards is not straightforward, but SPIN does it!
  - essentially, all Promela instruction may be reversed, and the code to reverse them is in `pan.b`
  - PAN maintains old values for all variables in the state (i.e., values are saved before overwriting due to new assignments)
  - thanks to the fact that the visit is a DFS (SPIN is optimized for DFS), it is only needed to maintain the *last* values, thus a stack for each variable is used for this purpose



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Protocol ANalyzer

- On-the-fly exploration: as in Murphi, the RAM contains only the part of the graph which has been explored till now
  - only the states, no transitions between them
- Hash table for the visited states
  - Murphi uses open addressing, here the hash table is handled with collision lists
  - in order to speed up visited states check, such lists are ordered (i.e., each new state is inserted in order)
- Iterative DFS (recursive one is inefficient)
  - with gotos and global variables!
  - DFS stack is explicitly handled in a lighter and more efficient way



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Standard Recursive DFS

```
HashTable Visited =  $\emptyset$ ;
```

```
DFS(graph  $G = (V, E)$ , node  $v$ )  
{  
    Visited := Visited  $\cup v$ ;  
    foreach  $v' \in V$  t.c.  $(v, v') \in E$  {  
        if ( $v' \notin$  Visited)  
            DFS( $G, v'$ );  
    }  
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Iterative DFS Easy Version

```
DFS(graph  $G = (V, E)$ )
{
   $s := \text{init}$ ;
  push( $s$ , 1);
  while (stack  $\neq \emptyset$ ) {
    ( $s$ ,  $i$ ) := top();
    increment  $i$  on the top of the stack;
    if ( $s \notin \text{Visited}$ ) {
      Visited := Visited  $\cup s$ ;
      let  $S' = \{s' \mid (s, s') \in E\}$ ;
      if ( $|S'| \geq i$ ) {
         $s := i\text{-th element in } S'$ ;
        push( $s$ , 1);
      }
    }
    else pop();
  }
  else pop();
} }
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Iterative DFS

```
DFS(graph  $G = (V, E)$ )
{
     $s := \text{init}$ ;  $i := 1$ ;  $\text{depth} := 0$ ;
    push( $s$ , 1);
Down:
    if ( $s \in \text{Visited}$ )
        goto Up;
    Visited := Visited  $\cup s$ ;
    let  $S' = \{s' \mid (s, s') \in E\}$ ;
    if ( $|S'| \geq i$ ) {
         $s := i\text{-th element in } S'$ ;
        increment  $i$  on the top of the stack;
        push( $s$ , 1);
         $\text{depth} := \text{depth} + 1$ ;
        goto Down;
    }
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Iterative DFS

```
Up:
  (s, i) := pop();
  depth := depth - 1;
  if (depth > 0)
    goto Down;
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# DFS in PAN

```
DFS(NFSS  $\mathcal{N}$ )
{
  let  $\mathcal{N} = (S, I, \text{Post})$ ;
  now := init; depth := 0;
Down:
  if (now  $\in$  Visited)
    goto Up;
  Visited := Visited  $\cup$  now;
  foreach p s.t. p is a running process in now {
    foreach opt s.t. opt is enabled at p.pc {
      now := apply(now, p, opt);
/* no need of incrementing opt on the top of the
stack: when popping, it will be done by the
foreach on opt... */
      push(p, opt);
      depth := depth + 1;
      goto Down;
    }
  }
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# DFS in PAN

Up:

```
    (p, opt) := pop();  
    depth := depth - 1;  
    now := undo(now, p, opt);  
} }  
if (depth > 0)  
    goto Down;  
}
```



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Just Two Indexes!

- The stack does *not* store states
- Instead, each stack entry stores a pair  $\langle p, o \rangle$  of indices (integers)
  - $p$  is a process pid
  - $o$  identifies a statement at the current program counter of  $p$
  - (recall that there may be non-determinism inside each process...)
  - so it is 8 bytes, whilst the current state may easily require some kB
- We now detail the rational behind this choice



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Just Two Indexes!

- There is just one initial state
- Let  $\langle p_0, o_0 \rangle$  be the first (from the bottom) pair on the stack; it univocally identifies a statement  $istr_0$  to be executed
- By applying  $istr_0$  to  $s_0$  we obtain a state  $s_1$  (formally,  $s_1 = \text{apply}(s_0, p_0, o_0)$ )
- Analogously,  $s_2 = \text{apply}(s_1, p_1, o_1)$  if  $\langle p_1, o_1 \rangle$  is the second pair on the stack
- Thus, a stack  $\langle \langle p_0, o_0 \rangle, \dots, \langle p_d, o_d \rangle \rangle$  univocally identifies a state  $s_d$ , obtained by chaining the executions due to pairs  $\langle p_i, o_i \rangle$
- Formally,  $\forall 1 \leq i \leq d \ s_i = \text{apply}(s_{i-1}, p_{i-1}, o_{i-1})$



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Just Two Indexes!

- Moreover, SPIN is able to define the *undo* function, with the same parameters of the *apply* function
  - of course, *apply* is defined in `pan.m`, *undo* in `pan.b`
  - *undo* needs a stack of values for each variable, as explained above
  - however, it tries to minimise such stacks usage; e.g., if a  $c = c + 2$  statement must be undone, then it is sufficient to execute  $c = c - 2$
  - for direct assignments (e.g.,  $c = 4$ ), the *apply* function puts the preceding values of  $v$  in the stack of  $v$  before overwriting it with 4
  - *undo* will pop the value from the stack of  $v$  and put it back in  $v$
  - this works because the whole visit is a DFS



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Just Two Indexes!

- Finally, recall we have a global fixed structure now implementing the current state
  - same as Murphi's `workingstate`
- Summing up, given what we said:
  - no need of pushing a whole state  $s$  in the DFS stack: SPIN pushes the pair  $\langle p, o \rangle$  which generates  $s$  if applied to the current state
  - no need of popping a state  $s$ : SPIN pops the pair  $\langle p, o \rangle$  which generates  $s$  if undone on the current state



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Details

- ch13.pdf adds some more details
- Atomic sequences handling:
  - if we are inside an atomic sequence, SPIN must take care that only the current process can execute
  - this is done by setting  $From = To = II$  (line 44), which forces the for loop in line 24 to only select the current process
  - normal behaviour is reprised at line 46
  - a state may be searched and possibly inserted in the hash table (line 13) only if we are not in an atomic sequence



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Details

- ch13.pdf adds some more details
- timeout handling:
  - it is a Promela boolean expression, which is true iff the whole system deadlocks (all processes must execute non-executable statements)
  - thus, when the double for at lines 24 and 28 is finished without any statement being executable (thus,  $n$  is still 0) and this is not a valid end state, PAN tries to perform the whole computation again with timeout set to 1
  - linea 46 reprises the normal non-timeout behaviour



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# PAN: Details

- ch13.pdf adds some more details
- Apply ed undo are implemented in `pan.m` (included at line 30) and `pan.b` (line 54)
  - if a statement cannot be executed, `pan.m` performs a `C` `continue` statement, which forces `for` in line 28 to go on with next iteration
  - otherwise, a `goto P999` is executed
  - instead, `pan.b` executes `goto R999`
- Finally, recall that, for LTL verification, a nested DFS is used



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN: Counteracting State Space Explosion

- PAN has the same bit compression (called *byte masking*) and hash compaction techniques we described for Murphi
  - to enable hash compaction, compile `pan.c` with `-DHC`
  - byte masking is always enabled, compile with `-DNOCOMP` to disable it
    - simply align to bytes instead of 4-bytes words
  - also bitstate hashing, a precursor of hash compaction
  - stack cycling, i.e., efficiently use disk for DFS stack
- Other interesting techniques: collapse compression, minimized automaton (may be combined), partial order reduction
- First two techniques try to use less memory to represent the set of visited states so far
  - same goal of hash compaction et similia
- Last technique directly prunes the state space
  - same goal of symmetry reduction in Murphi



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Collapse Compression

- Less effective than hash compaction, but exhaustive as bit compression
  - to enable it, compile `pan.c` with `-DCOLLAPSE`
- Recall the main components of a Promela model:  $N$  processes, global variables, channels
- The idea is to store in the hashtable  $N + 2$  state fragments, instead of a single state
  - this is the default, but you can put all processes together (`-DJOINPROCS`)
  - or separate channels with `DSEPPQS`
- A further special “order fragment” is used to say which is the first fragment, the second, ... till the  $(N + 2)$ -th fragment



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Collapse Compression

- Thus, to decide if the current state is visited, first split it as described above
- If at least one fragment is not in the hashtable, the state is new
  - of course, the missing fragment(s) must be placed inside the hash table
  - for each of them, a unique identifier is generated and stored together with the fragment
  - the unique identifier is an integer with value  $i$ , if this is the  $i$ -th fragment to be generated
    - of course, only considering the current fragment typology...
  - the special order fragment contains the sequence of such identifiers



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Collapse Compression

- Otherwise, also the order fragment must be checked
  - if it is found, then the state is already visited
  - otherwise, insert the new fragment order and return the state as not visited
- Very good if there are many combinations of a few state fragments
  - the order fragment is much shorter than fragments concatenation



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Minimized Automaton

- Explicit model checking, borrowing ideas from symbolic model checking
- We still have the DFS as above, but as for visited states check there is not any hash table!
- It is replaced by a “minimized automaton” representing the visited states
  - here, a minimized automaton is essentially similar to those recognizing regular expressions
  - but they are limited: no cycles (it is a DAG), as there is a maximum length to the words



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Minimized Automaton

- Finite State Automaton (FSA) for regular expressions:  
 $\mathcal{F} = \langle Q, \Sigma, \delta, q_0, F \rangle$ 
  - $Q$  is the finite set of states
  - being  $q_0 \in Q$  the initial state and  $F \subseteq Q$  the final states
  - $\Sigma$  is the alphabet (input symbols) of the regular expression
  - $\delta \subseteq Q \times \Sigma \times Q$  is the transition relation
- A word  $w \in \Sigma^*$  is recognized if, starting from  $q_0$ , it ends up in a final state in  $F$ 
  - $w = \sigma_1 \dots \sigma_n$ ,  $\langle q_0, \dots, q_n \rangle$  is such that  $(q_{i-1}, w_i, q_i) \in \delta$  for  $1 \leq i \leq n$
  - $w$  is recognized iff  $q_n \in F$
- $\mathcal{L}(\mathcal{F})$  is the set of recognized words



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Minimized Automaton

- A minimized automaton  $\mathcal{F}$  is a special case of a FSA where:
  - $\Sigma = \{0, 1\}^8$  (input symbols are bytes)
  - $|F| = 1$
  - $\delta$  is deterministic, thus  $\delta : Q \times \Sigma \rightarrow Q$
  - $\mathcal{L}(\mathcal{F})$  is the set of bit sequences representing visited states, which implies  $|\mathcal{L}(\mathcal{F})| < \infty$
  - as a consequence, there are no cycles induced by  $\delta$  (it is a DAG)
    - “diamonds”, i.e., circuits, are still possible
    - the original definition of minimized automaton also has layers of states
    - s.t.  $\delta$  goes from a state in level  $i$  to  $i + 1$
- PAN incrementally constructs  $\mathcal{F}$  for each unvisited state
  - keeping it minimal w.r.t. the number of states
  - several heuristics are also used, not covered here



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA

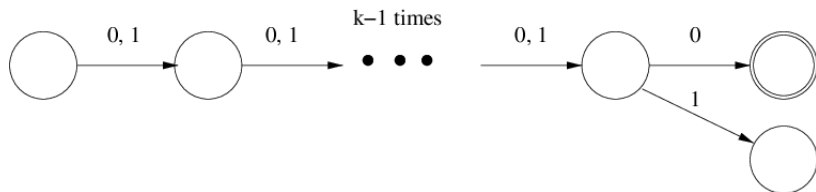


DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# Minimized Automaton: Why Effective?

- Suppose you have a  $k$ -bytes state vector, and that the visited states are exactly those having 8 zeros in the last byte
  - thus, a visited state is represented by  $[0, 1]^{8(k-1)}0$
- Using an hash table, we have to store  $2^{k-1}$  states
- Instead, using the minimized automaton:



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Minimized Automaton: Why Effective?

- As usual in Model Checking: impossible to a priori state that a given KS will be “well” represented by a minimized automaton, or collapse compression, or whatever
  - all such techniques may be seen as “heuristics” in some sense
- For the minimized automaton, some “regularity” is needed inside the bit representation of the set of visited state
- Also note that sometimes adding a state may improve regularity, making the minimized automaton smaller
  - and of course, in some other cases, adding a state may decrease regularity and make the automaton bigger

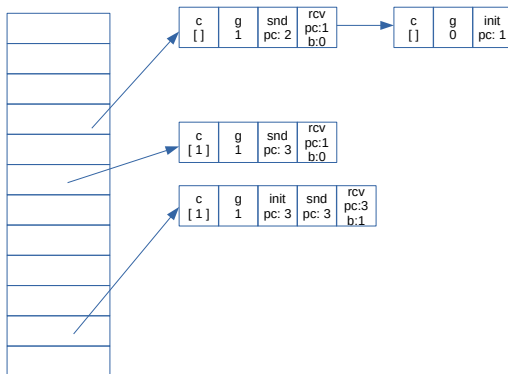


UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA

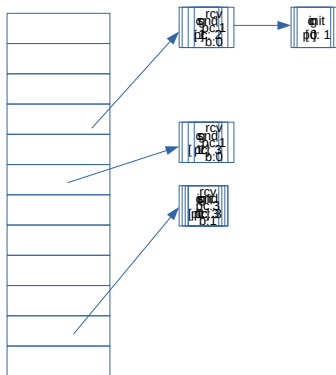


DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

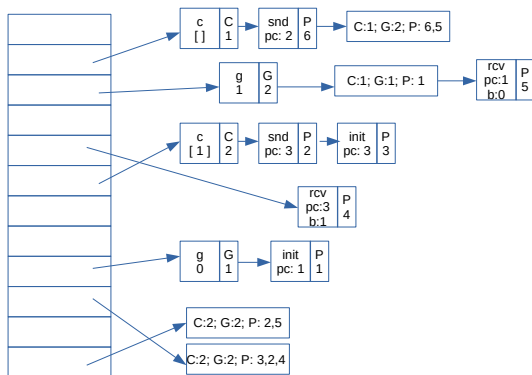
# PAN Saving Memory Recap: Normal



# PAN Saving Memory Recap: Hash Compaction



# PAN Saving Memory Recap: Collapse



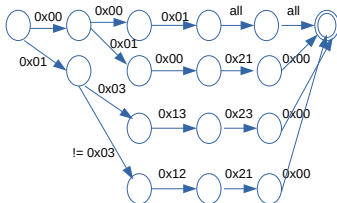
# PAN Saving Memory Recap: Minimized Automaton

c []	g 1	snd pc: 2	rcv pc:1 b:0
0x00 01 12 210			

c []	g 0	init pc: 1	
0x00 00 01			

c [ 1]	g 1	snd pc: 3	rcv pc:1 b:0
0x01 01 12 210			

c [ 1]	g 1	init pc: 3	snd pc: 3	rcv pc:3 b:1
0x01 01 03 13 230				

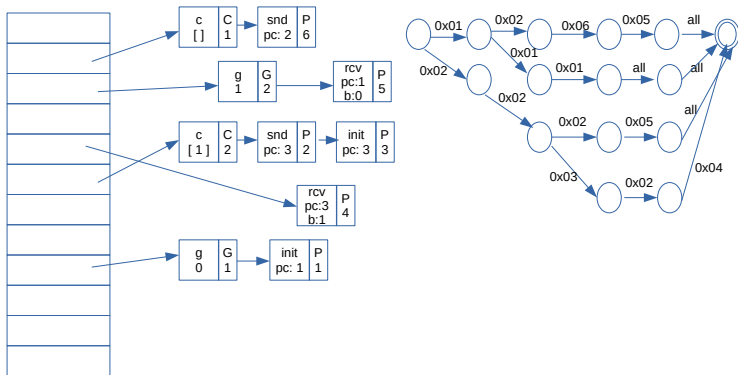


DEGLI STUDI  
DELL'AQUILA



Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# PAN Saving Memory Recap: Collapse + Minimized Automaton



# Partial Order Reduction

- POR does not try to use less memory to save the same states: it tries to save less states
  - while retaining correctness, of course
  - some states are “useless” and need not to be explored (and saved)
  - also saves in computation time, of course
- Similar to Murphi symmetry for the goal, but different in use and algorithm
  - use: Murphi modeler must specify which parts of the model are symmetric
  - in SPIN, POR is directly applied without the modeler being aware of it
  - though it is possible to disable it



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



# Partial Order Reduction

- There are many ways to perform POR; here, we focus on *ample sets*
- The main idea is that not all interleavings of processes must actually be expanded
  - if we have, e.g., 2 processes, for some actions it is not important if we execute P1 and then P2 or viceversa
- We need an algorithm to decide when only one interleaving can be considered, retaining verification correctness
  - such algorithm must have a low overhead
  - must also work locally (we cannot first expand all reachable states and then decide which one can be removed...)



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Partial Order Reduction

- Let  $\mathcal{P} = \langle Q, q_0, T \rangle$  be a *finite state program* (FSP) where:
  - $Q$  is a finite set of states,  $q_0 \in Q$  is the start state
  - $T$  is a finite set of *operations*
    - also called *actions* or *transitions*
    - each action  $t \in T$  is a partial function  $t : Q \rightarrow Q \cup \{\perp\}$
    - i.e., executing  $t$  from a state  $q$  generates a new state  $q' = t(q)$
    - we also define, for each action  $t \in T$ , the set  $\text{en}_t = \{q \in Q \mid t(q) \neq \perp\}$
    - furthermore, the function  $\text{en} : Q \rightarrow 2^T$  returns all actions enabled in a state  $q$ , i.e.,  $\text{en}(q) = \{t \in T \mid q \in \text{en}_t\}$
  - paths are sequences  $\pi = r_0 \alpha_0 r_1 \dots$ 
    - notation:  $\pi^{(q)}(i) = r_i$ ,  $\pi^{(a)}(i) = \alpha_i$
    - of course,  $r_{i+1} = \alpha_i(r_i)$ ,  $\alpha_{i+1} \in \text{en}(r_i)$



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Partial Order Reduction

- From an FSP  $\mathcal{P} = \langle Q, I, T \rangle$  it is easy to generate a KS  $\mathcal{S} = \langle S, J, R, L \rangle$ 
  - $Q = S, J = I$
  - $(s, s') \in R$  iff  $\exists t \in \text{en}(s) : s' = t(s)$
  - $L$  may be defined as needed
- Note that actions are deterministic, but the resulting KS may be non-deterministic
  - there may exists  $t, t' \in T$  s.t.  $t \neq t', q \in \text{en}_t \cap \text{en}_{t'} \neq \emptyset$  and  $t(q) \neq t'(q)$
- It is easy to see that a Promela model is close to an FSP: each action is a statement
  - thus, an action is identified by a PID and a statement inside that PID
  - of course, states are defined as above from Promela to KSs



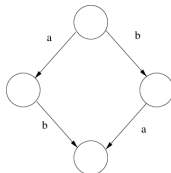
# Partial Order Reduction

- Given an FSP  $\mathcal{P} = \langle Q, I, T \rangle$ , an *ample selector* is a function  $\text{amp} : Q \rightarrow 2^T$  s.t.  $\text{amp}(q) \subseteq \text{en}(q)$ 
  - for a given  $q \in Q$ ,  $\text{amp}(q)$  is an *ample set*
- An ample selector defines a new KS  $\mathcal{S}' = \langle S, I, R', L \rangle$ , where  $(s, s') \in R'$  iff  $\exists t \in \text{amp}(s) : s' = t(s)$ 
  - of course,  $R' \subseteq R$
  - from a DFS point of view, we normally expand actions in  $\text{en}(q)$ ; instead, here we expand only  $\text{amp}(q)$
- We want to choose a *POR-sound*  $\text{amp}$ 
  - $\mathcal{S} \models \varphi$  iff  $\mathcal{S}' \models \varphi$
  - we start by considering only invariants (assertions) as  $\varphi$
- We want to compute  $\text{amp}(q)$  (almost) only looking at current state  $q$ 
  - must be simple, i.e., with little overhead
  - no need to be optimal



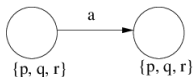
# Partial Order Reduction: Independent Actions

- Two actions  $\alpha, \beta \in T$  are *independent* iff
$$\forall q \in \text{en}_\alpha \cap \text{en}_\beta. \alpha(q) \in \text{en}_\beta \wedge \beta(q) \in \text{en}_\alpha \wedge \alpha(\beta(q)) = \beta(\alpha(q))$$
  - i.e.,  $\alpha, \beta$  can be executed in any order, obtaining the same result
  - otherwise,  $\alpha, \beta$  are *dependent*, which means that  $\exists q \in \text{en}_\alpha \cap \text{en}_\beta : (\alpha(q) \in \text{en}_\beta \wedge \beta(q) \in \text{en}_\alpha) \rightarrow \alpha(\beta(q)) \neq \beta(\alpha(q))$
  - in this case, it is both  $\alpha$  dependent on  $\beta$  and viceversa
  - example 1: two actions modifying local variables only are always independent
  - example 2: two actions modifying the same global variable are nearly always dependent
    - unless  $\alpha = \beta$ , or the new value is however the same



# Partial Order Reduction: Invisible Actions

- An action  $\alpha$  is *invisible* w.r.t. a labeling  $L : Q \rightarrow 2^{AP}$  iff  $\forall q \in \text{en}_\alpha. L(q) = L(\alpha(q))$



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Partial Order Reduction: Conditions for amp

- Recall:
  - we are performing a DFS of the KS generated by an FSP
  - we have a current state  $q$
  - we want to decide if we can consider  $\text{amp}(q) \subset \text{en}(q)$  instead of  $\text{en}(q)$
- The first 2 conditions only look at  $q$  and its actions
  - $\forall q \in Q. \text{en}(q) \neq \emptyset \rightarrow \text{amp}(q) \neq \emptyset$ 
    - otherwise, we have introduced a deadlock...
  - $\forall q \in Q. \text{amp}(q) \subset \text{en}(q) \rightarrow (\forall \alpha \in \text{amp}(q). \alpha \text{ is invisible})$ 
    - if we cut some actions, then this must not affect the labeling
    - this also means that only invisible actions can be cut



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Partial Order Reduction: Conditions for amp

The remaining conditions also considers paths starting from  $q$

- $\forall q \in Q, \forall \pi \in \text{Path}(\mathcal{P}, q). (\exists i > 0, \alpha \in \text{amp}(q) : \pi^{(a)}(i), \alpha \text{ are dependent}) \rightarrow \exists j < i : \pi^{(a)}(j) \in \text{amp}(q)$
- if this is true, then either:
  - there exists an  $\alpha \in \text{amp}(q)$  which is the first from  $\text{amp}(q)$  in  $\pi$ 
    - then,  $\alpha$  is independent on all previous actions on  $\pi$ , and can be executed first
  - otherwise, there exists an  $\alpha \in \text{amp}(q)$  which is independent on all other actions in  $\sigma$ 
    - again, such  $\alpha$  can be executed first



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA

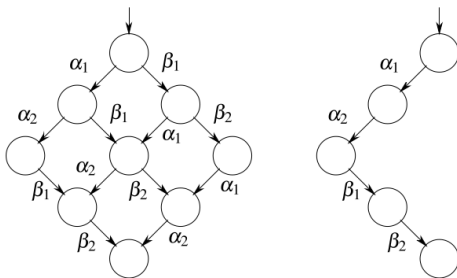


DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica



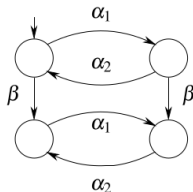
# Partial Order Reduction: Conditions for amp

- Example till now:  $\alpha_1, \beta_1$  and  $\alpha_2, \beta_2$  are independent



# Partial Order Reduction: Conditions for amp

- Essentially, POR *defers* execution of some actions
  - not executing an action at all means that a meaningful portion of the state space is omitted
- With these 3 conditions only, it may happen that an action is never expanded, due to cycles
  - in the example below,  $\beta$  is independent on both  $\alpha_1, \alpha_2$



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Partial Order Reduction: Conditions for amp

The remaining condition rules out the problem with cycles

- Consider a DFS on the reduced KS, and suppose an expanded state  $q$  is detected as already visited
- We also check if it is on the DFS stack; this implies:
  - there is a cycle
  - some part of the  $q$  sub-tree has not be explored
- Then,  $\text{amp}(q) = \text{en}(q)$ 
  - i.e.,  $q$  must be fully expanded



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica

# Partial Order Reduction with LTL Formulas

- It seemed that POR with ample set was ok for any *stutter-invariant* LTL formula
  - recall that a formula  $\varphi$  may be viewed as the set (language) of words  $\mathcal{L}(\varphi)$  in  $AP^*$  which are recognized by  $\varphi$
  - $\varphi$  is stutter-invariant iff, for any sequence of integers  $i_j \in \mathbb{N}$  and  $w = p_0 p_1 \dots \in \mathcal{L}(\varphi)$ ,  $p_0^{i_0} p_1^{i_1} \dots \in \mathcal{L}(\varphi)$
  - essentially, by repeating any character in the word for any number of times you still obtain a word in the language
  - if  $\varphi$  does not contain **X**, then it is stutter-invariant
  - viceversa does not hold
- However an error was discovered (and corrected) in 2019



UNIVERSITÀ  
DEGLI STUDI  
DELL'AQUILA



DISIM  
Dipartimento di Ingegneria  
e Scienze dell'Informazione  
e Matematica