

1 Cover Letter

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3 Mathematical modeling of the impact of vehicles on water-saturated soil

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6 Igor Ratnere, Ph.D.

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9 Dear Editors-in-Chief,

10 please find the enclosed manuscript "Mathematical modeling of the impact of vehicles on water-saturated soil"  
11 which we are submitting for exclusive consideration for publication in Computers & Geosciences. We confirm that  
12 the submission follows all the requirements and includes all the items of the submission checklist.

13 The manuscript concerns environmental and soil science and contains elements of computational methods, computer  
14 graphics and visualization.

15 Although the work is based on our master's thesis and Ph.D. dissertation, completed back in 1992-1993, we believe  
16 that its results have not lost their relevance.

17 The developed software (Turbo Pascal, total size 0.4 MB!) still runs on a modern computer inside DOSBox  
18 application. Finite element method execution, which used to take a significant amount of time on Intel, now takes  
19 seconds.

20 We provide the source codes in a public repository with details listed in the section "Code availability".

21 Thanks for your consideration.

22  
23 Sincerely,

24 Igor Ratnere, Ph.D.

25 Lawrence Berkeley National Laboratory: E O Lawrence Berkeley National Laboratory

26 Berkeley, CA UNITED STATES

27 igorratn@yahoo.com

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## 29 Highlights

### 30 Mathematical modeling of the impact of vehicles on water-saturated soil

31 Igor Ratnere, Ph.D.

- 32 • A method has been developed to assess the impact of a wheel on waterlogged forest soil.
- 33 • The form of the transverse loading diagram has a significant effect on the degree of the stress state of the soil.
- 34 • Tires with reduced internal air pressure have the least impact on the ground.
- 35 • An application has been developed to assess the degree of soil compaction and the intensity of rutting during the
- 36 operation of a forest machine.

### 37 Mathematical modeling of the impact of vehicles on water-saturated 38 soil

39 Igor Ratnere, Ph.D.<sup>a</sup>

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41 <sup>a</sup>Igor Ratnere, Lawrence Berkeley National Laboratory, Berkeley, CA UNITED STATES, ORCID(s): 0000-0002-  
42 8098-3566

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48 Environmental impact of vehicle

49 Forest vehicle

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51 The finite element method

52 Level lines of a function

53

54

55 Authorship statement

56 The work was solely done by Igor Ratnere.

57 ABSTRACT

58 The presented mathematical model, together with its software implementation, makes it possible  
59 to assess the degree of influence of a vehicle on waterlogged forest soil, depending on the design  
60 parameters of the tire and the vertical loads on it.

61 The adequacy of the mathematical model is confirmed by the conducted experimental studies, as  
62 well as by numerous test results of forest machines.

63 The model is developed based on the theory of soil mechanics. The plane problem of compaction  
64 of water-saturated anisotropic (in the general case) soil is considered. It was shown that with an  
65 instantaneous application of a vertical load, the initial distribution of stress and water pressure in  
66 the soil are expressed through their values in a state of complete stabilization. Therefore, it is  
67 conventionally assumed that the magnitude of the load does not change before the onset of this  
68 state, causing linear (relative to the load) deformations of the soil.

69 Thus, first, a plane problem of different modulus of the theory of a linearly deformable medium  
70 is solved. This problem is described by a system of partial differential equations. The solution is  
71 found by the finite element method with respect to displacements. Then, the steady-state and  
72 initial values of the stresses are determined, as well as the values of the maximum deviation of  
73 the total stress vector.

74 In the case of an isotropic medium, the initial fluid head function  $(H_o)$  satisfies the Laplace  
75 equation:  $\Delta H_o = 0$ . The first boundary value problem is posed and solved. Analytical  
76 expressions are obtained for the initial values of heads and stresses. With their help, one can

77 select the optimal triangulation of the region for a given loading diagram and check the finite  
78 element solution.

79 The calculation results are presented as level lines of a function of two variables. The general  
80 view of the vertical stress function is in good agreement with the available experimental data.

81 It was found that the form of the transverse loading diagram has a significant effect on the degree  
82 of the stress state of the soil. At the same average contact pressures, the parabolic shape of the  
83 loading diagram, which is characteristic of tires with reduced internal air pressure, has the  
84 smallest effect on the soil.

85 The method can serve as the basis for predicting the degree of soil compaction and the intensity  
86 of rutting, as well as the environmental consequences of the operation of forest machines.

## 87 Introduction

88 The result of the harmful environmental impact of the skidder on the ground is soil compaction,  
89 destruction of sod cover, and rut formation. As shown by numerous observations in the USA,  
90 Canada and other countries, the use of a wheeled skidder in logging leads to soil compaction and,  
91 as a consequence, to a decrease in forest productivity. The operation of the machine causes  
92 compaction and destruction of the sod cover, which serves as the most important source of plant  
93 nutrition. There is a change in biogeochemical cycles, and seed germination worsens within the  
94 framework of natural reforestation.

95 Destruction of the upper sod layer, saturated with organic matter, occurs as a result of deepening  
96 the lugs and wheel slip. As the analysis of the impact of the wheel on the ground shows, the most  
97 important factors affecting the environmental consequences of movement are, on the one hand,  
98 the physical and mechanical properties of the soil, on the other hand, the ability of the wheel to  
99 realize the required traction force with minimal slipping and cause minimal soil compaction.

100 When solving the problem of reducing the impact of the wheel on the soil and assessing its state  
101 after the passage of the machine, the question naturally arises of identifying the factors affecting  
102 the deformation and compaction of the soil, and finding the mathematical relationships between  
103 them. The existing mathematical models of the interaction of the wheel with the ground are  
104 usually based on a one-dimensional stress distribution function over depth, which is obtained by  
105 processing the results of stamping tests (Ratnere, 1993). With this approach, it is impossible to  
106 assess the plane and spatial phenomena, including the distribution of compaction zones under the  
107 wheel and edge effects that cause lateral uplift of the soil. In addition, the whole principle of  
108 constructing the model is based on the mechanical transfer of the results of stamp tests to the  
109 wheel rolling process, it does not reflect the dynamics of the phenomenon, and the complication  
110 of the model by the introduction of correction factors for the geometric parameters of the contact  
111 patch and the time of application of the load does not contribute to an increase in the accuracy of  
112 the solution, since their influence on the final result is nullified by averaging the load over the  
113 contact patch and the accuracy of obtaining soil characteristics. Therefore, it is necessary to look  
114 for new methods of constructing a mathematical model.

115 The basis for the construction of a mathematical model was a well-developed theory of soil  
116 mechanics. Its methods have been successfully applied in practice for a long time. There are  
117 proven methods for obtaining the required characteristics of soils and an extensive data on them.

118 The mathematical model, together with its software implementation, allows:

119 1) To judge the influence of the design features of the wheels and the nature of the vertical load  
120 on the distribution of stresses in the soil.

121 2) Take into account the anisotropy of soil properties.

122 3) Simulate movement on ice and swamp.

- 123 4) Assess the ability and environmental impact of vehicle on soft ground.
- 124 5) Predict the degree of soil compaction and the intensity of rutting during the operation of the
- 125 forest machine.

## 126 1. Basic concepts of the physical and mechanical properties of soil

127 By their nature, soils are divided into two main classes: sands are products of mechanical

128 destruction of basic rocks, and clays are products of chemical destruction of basic rocks. Sands

129 and clays differ greatly in their physical and mechanical properties.

130 In nature, soils of mixed origin are usually found. They exhibit intermediate properties of sand

131 and clay and are called, respectively, sandy loam, loam, etc.

132 All qualitative differences in soil properties are determined by the size and shape of the particles

133 forming them. Of great importance in the manifestation of these properties is the water in the

134 gaps between the particles. The gas in the soil (air, methane, water vapor) also strongly affects

135 the properties of the soil.

136 Sands consist of particles having the shape of grains with a diameter of 0.5 - 2 mm (coarse sand)

137 to 0.1 - 0.05 mm (fine sand) (Florin, 1954). Clay particles are in the form of plates with a

138 thickness of not more than 1 micron.

139 Let us introduce the notation.

140  $V$  - some volume of soil;

141  $V_p$  - pore volume;

142  $V_s$  - volume of solid particles;

143  $V = V_p + V_s$  ;

144  $n = \frac{V_p}{V}$  - soil porosity;

145  $m = \frac{V_s}{V}$  - the volume of solid particles per unit volume of soil;

146  $n + m = 1$  ;

147  $\varepsilon = \frac{V_p}{V_s} = \frac{n}{m}$  - coefficient of porosity.

148 Compressibility of soils.

149 Due to the low permeability of solid soil particles, compression deformation occurs mainly due  
 150 to a change in porosity. The relationship between the coefficient of porosity  $\varepsilon$  and compressive  
 151 stresses  $\sigma$  is obtained using uniaxial compression devices (Figure 1.1).

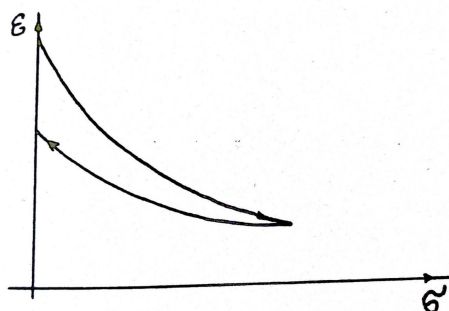


Figure 1.1: Compression curve.

152

153 On small intervals of stresses change, it is approximated by a straight line

$$\varepsilon = -a\sigma + A \quad (1)$$

154

155 With a large number of loading and unloading, the soil becomes practically elastic.

Weakly compacted clays	0.10 - 0.01
Compacted clays	0.005 - 0.001

Compaction factors  $a, \text{cm}^2/\text{kg}$  (Florin, 1954).

Sands	0.54 - 0.82
Compacted clays	0.67 - 1.2
Silt	1.00 - 3.00
Loams and clays	0.67 -1.00

*Porosity coefficients  $\epsilon$  (Florin, 1954).*

156 Filtration properties of soils.

157 The filtration rate is defined in soil mechanics as the flow rate of water through a unit of the  
158 geometrical area of the soil section. Darcy's law establishes a relationship between the filtration  
159 rate  $u$  and the fluid pressure gradient  $H$  :

$$160 \quad u = -k \frac{\partial H}{\partial s} ,$$

161 where  $k$  is the filtration coefficient (cm / s).

162  $H$  is determined in hydraulics by the formula:

$$163 \quad H = \frac{P}{\gamma} + z , \text{ (cm)}$$

164 where  $P$  is the pressure in the liquid (  $kg/cm^2$  ),

165  $\gamma$  - specific gravity of the liquid (  $kg/cm^3$  ),

166  $z$  - the height of this point above the zero mark (cm).

167 The actual speed of water relative to immobile soil grains is determined by the formula:

$$168 \quad u_a = \frac{u}{n} ,$$

169 where is  $n$  the porosity of the soil (see above).

170 In the case of movement of soil grains towards the liquid at a speed,  $v_a$  Darcy's law is written

171 in the form:

$$u_a - v_a = -\frac{k}{n} \frac{\partial H}{\partial s} \Rightarrow u - \epsilon v = -k \frac{\partial H}{\partial s} . \quad (2)$$



Sands	$10^{-2} - 10^{-3}$
Clays	$10^{-6} - 10^{-8}$

*Filtration coefficient  $k$  , cm / s (Florin, 1954).*

173 Understanding stresses in soil.

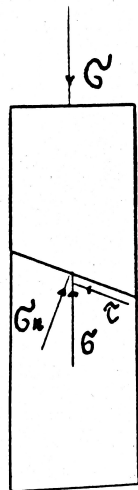
174 Consider the case of deformation propagation in one plane. Let's select an elementary

175 parallelepiped and call the ratio of the force acting on an elementary area to its area stress. Then,

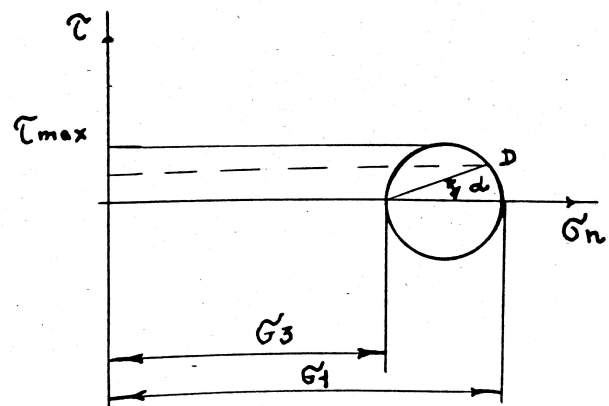
176 on the sections of the parallelepiped, inclined at different angles, we will get different values of

177 stresses. The stress vector coincides in direction with the force vector and it can be decomposed

178 into normal and tangential components:  $\sigma_n$  and  $\tau$  (Figure 1.2).



*Figure 1.2 Plane stresses.*



*Figure 1.3 Mohr's circle.*

180 Let us introduce a rectangular coordinate system  $XoZ$  and denote the stresses acting along the  
181  $oX$  and  $oZ$  axes, respectively,  $\sigma_x$  and  $\sigma_z$  .

182 Let only normal stress act in some section, and there is no tangential stress. This normal stress is  
183 called the principal one. The largest and the smallest normal stresses acting in a given section are  
184 the principal ones. They are denoted by  $\sigma_1$  and  $\sigma_3$  respectively.

185 It is convenient to determine the stress distribution in the sections of an elementary  
186 parallelepiped using Mohr's circles (Figure 1.3).

187 It can be seen from the figure that in the section drawn at an angle  $\alpha$  , the values of the normal  
188 and tangential stresses are determined by the coordinates of the point D on the circle. The  
189 maximum shear stress in absolute value is achieved at  $\alpha = \pm \pi/4$  .

190 The concept of soil strength.

191 In soil mechanics, the main indicators of strength are considered to be the shear resistance of the  
192 soil. The maximum shear stress is determined from the equation:

$$\tau = c + \sigma_n \tan \varphi \quad , \quad (3)$$

193 where  $c$  is called adhesion, and  $\varphi$  is the angle of internal friction. For sands  $c=0$  ,  
194 therefore  $\tau = \sigma_n \tan \varphi$  . The  $\varphi$  angle for sands is a constant value , while for clays the  
195 cohesion and the angle of internal friction depend on the density and moisture. After preliminary  
196 compaction of the soil, an increase in adhesion and a decrease in the angle of internal friction are  
197 observed, this is due to an irreversible decrease in the coefficient of porosity  $\varepsilon$  , as a result of  
198 which the molecular forces of interaction between particles increase (Pokrovsky, 1941).

199 From equation (3), you can determine the straight lines, which are called the lines of destruction.  
200 For a given value  $\sigma_1$  , construct a Mohr circle so that it touches these lines (Figure 1.4).

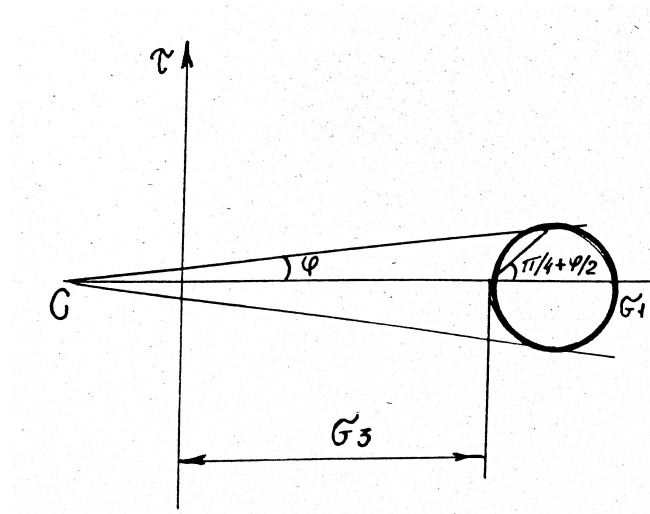


Figure 1.4 Plastic limit equilibrium.

201

202 The slope of the fracture planes can now be determined. It makes an angle  $\pi/4 + \varphi/2$  to the  
 203 line of action of the lowest principal stress. At this moment, the principal stresses satisfy the  
 204 equation

$$\sigma_1 = 2c\sqrt{\lambda_\varphi} + \sigma_3\lambda_\varphi, \quad (4)$$

205

206 where  $\lambda_\varphi = \tan^2(\pi/4 + \varphi/2)$ , and the soil massif is in a state of so-called plastic limiting  
 207 equilibrium (Terzagi, 1961). The effect of the hydrostatic pressure of water in the pores of the  
 208 soil should also be taken into account, therefore, the so-called effective stress, which is perceived  
 209 by the skeleton of the soil, should be substituted in formulas (3) and (4), and their values are less  
 210 than the actual stresses by the value of the pore pressure of water. The sine of the largest  
 211 deviation of the total stress vector can be represented as:

212

$$\sin \theta_{max} = \frac{\sqrt{(\sigma_x - \sigma_z)^2 + 4\tau_{xz}^2}}{\sigma_z + \sigma_x + 2c/\tan \varphi}.$$

213 Deformation modulus and Poisson's ratio.

214 When compressing a soil sample in a compression device, transverse deformations of the soil are

impossible. In this case, the lateral pressure coefficient  $\zeta$  is determined by the formula:

$\zeta = \frac{\sigma_x}{\sigma_z}$ . In soil mechanics, it is assumed that porosity depends only on the sum of the principal stresses, and not on their ratios. This assumption is based on the approximation of the real stress-strain curve by a straight line with sufficient accuracy for practical calculations. Because of this, we write formula (1) for the case of a biaxial stress state (plane problem):

$$\varepsilon = -a \frac{\theta}{1 + \zeta} + A, \quad (5)$$

here  $\theta = \sigma_x + \sigma_z$  is the sum of the principal stresses.

The deformation modulus  $E(\varepsilon)$  is determined in soil mechanics from the expression:

$$de_x = \frac{d\sigma_x - \nu d\sigma_z}{E(\varepsilon)},$$

where  $\sigma_x$  and  $\sigma_z$  is the increment in stresses, that caused  $de_x$  - the strain increment along the oX axis.

Poisson's ratio  $\nu$  is defined through the lateral pressure coefficient  $\zeta$ :  $\nu = \frac{\zeta}{1 + \zeta}$ .

If we take the dependence  $\varepsilon = \varepsilon(\theta)$  as linear, for example, in the form (5), we obtain

$$E = \frac{\beta(1 + \varepsilon)}{a},$$

where  $\beta = \frac{(1 - \zeta)(1 + 2\zeta)}{(1 + \zeta)}$ .

Sands	0.40-0.42
Clays	0.70-0.75

*Lateral pressure coefficient (Florin, 1954).*

The above expressions for the deformation moduli make it possible, in the case of a nonlinear relationship between stresses and strains, to determine the modulus value for any given stress

233 state. However, in many cases it is more convenient to use the so-called average deformation  
 234 modulus

$$235 \quad E_{avg} = \frac{\beta(1+\varepsilon_1)}{a}, \text{ where } \varepsilon_1 \text{ is the initial porosity coefficient.}$$

236 It was shown (Gersevanov, 1948) the constancy of  $E_{avg}$  for plastic soils in a small range of  
 237 load variation, which indicates a linear relationship between stresses in the skeleton and its  
 238 deformations, and this shows that the formulas of the theory of elasticity are applicable to the  
 239 calculations of stresses and deformations in the soil skeleton.

240 In conclusion, let us consider the case of applying a vertical load along the rectilinear boundary  
 241 of a linearly deformed medium. In this case (Timoshenko and Goodier, 1970):

$$\begin{aligned} \sigma_x &= \frac{1}{2}\theta + \frac{1}{2}z \frac{\partial \theta}{\partial z}, \\ \sigma_z &= \frac{1}{2}\theta - \frac{1}{2}z \frac{\partial \theta}{\partial z}, \\ \tau_{xz} &= -\frac{1}{2}z \frac{\partial \theta}{\partial x}. \end{aligned} \quad (6)$$

242

243 The stress components are determined by the formulas:

$$244 \quad \sigma_x = \lambda e + \nu \varepsilon_x,$$

$$245 \quad \sigma_z = \lambda e + \nu \varepsilon_z,$$

$$246 \quad \text{where } \varepsilon_x = \frac{\partial u_1}{\partial x}, \quad \varepsilon_z = \frac{\partial u_3}{\partial z}, \quad \lambda = \frac{\nu E}{(1+\nu)(1-2\nu)}, \quad e = \varepsilon_x + \varepsilon_z,$$

247  $u_1$  и  $u_3$  - displacement components.

$$248 \quad \tau_{xz} = \nu \gamma_{xz},$$

249 where  $\gamma_{xz} = \frac{\partial u_1}{\partial z} + \frac{\partial u_3}{\partial z}$  .

250 For a general model of a linearly deformable medium, the stresses in the soil skeleton must  
251 satisfy the equations (Timoshenko and Goodier, 1970):

$$\frac{\partial \sigma_x}{\partial x} + \frac{\partial \tau_{xz}}{\partial z} + X = 0 \quad , \quad (7)$$

252

$$\frac{\partial \tau_{xz}}{\partial x} + \frac{\partial \sigma_z}{\partial z} + Z = 0 \quad , \quad (8)$$

253

254 where  $X$  и  $Z$  - components of volumetric forces,

255 along with the equation

$$\Delta(\sigma_x + \sigma_z) = -\frac{1}{1-\nu} \left( \frac{\partial X}{\partial x} + \frac{\partial Z}{\partial z} \right) \quad , \quad (9)$$

256

257 where  $\nu$  - коэффициент Пуассона ;

258  $\Delta$  - оператор Лапласа.

## 259 2. Mathematical formulation of the compaction problem

### 260 2.1. The Simplest One-Dimensional Compaction Problem

261 Key assumptions:

262 a) We consider models of a two-component soil consisting of solid particles and water filling its  
263 pores. This model is called soil mass. “The question may arise: in what cases, in practice, are we  
264 dealing with a soil mass? ... As for the ground lying above the groundwater level, in the vast

majority of cases it is a soil mass, that is, one in which all voids are filled with water due to the capillary rise of water in the fine pores of the soil. In clays, the length of the capillary rise of water can reach a height of over 300 m above the groundwater level.

In order to judge whether we have a soil mass above the groundwater level, we can be guided by the following signs: in all cases when the soil is in a fluid, plastic and semi-solid state, we are dealing with a soil mass. Only when the soil passes from a semi-solid state to a solid state does air penetrate into the pores of the soil and partially fill the voids of the soil skeleton. The transition from solid to solid is characterized by a sharp change in the color of the soil. ... To determine the condition of the soil, i.e. whether it is fluid, plastic or semi-solid, there are fully developed laboratory methods ...”(Gersevanov, 1948, p. 145).

b) The change in porosity occurs only due to the dense packing of soil particles;

c) The filtration coefficient does not depend on the stress state.

Basic equations.

1. Equations of continuity for solid and liquid soil components.

$$\frac{\partial u_z}{\partial z} + \frac{\partial n}{\partial t} = 0 \quad , \quad (1)$$

$$\frac{\partial v_z}{\partial z} + \frac{\partial m}{\partial t} = 0 \quad , \quad (2)$$

here, as before,  $v_z$  and  $u_z$  are the flow rates of the solid and liquid components along the  $oZ$  axis. Adding equations (1), (2) and taking into account equality  $n + m = 1$ , we obtain

$$\frac{\partial u_z}{\partial z} + \frac{\partial v_z}{\partial z} = 0 \quad . \quad (3)$$

283

284 2. Darcy's dependency.

$$u_z - \varepsilon v_z = -k \frac{\partial H}{\partial z} . \quad (4)$$

285

286 3. Equilibrium equation.

287 Let  $\sigma$  - stress in the soil skeleton;  $p$  - pressure in water;  $\sigma^*$  and  $p^*$  - the corresponding  
288 values in a state of complete stabilization.

$$\sigma + p = \sigma^* + p^* . \quad (5)$$

289 That is the sum of stress and pressure is a constant.

290 Differentiate (4) by  $z$  :

$$291 \quad \frac{\partial u_z}{\partial z} - \frac{\partial \varepsilon}{\partial z} v_z - \varepsilon \frac{\partial v_z}{\partial z} = - \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) ,$$

292 considering (3):

$$293 \quad v_z \frac{\partial \varepsilon}{\partial z} + (1 + \varepsilon) \frac{\partial v_z}{\partial z} = \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) .$$

294 Further, taking into account (2) and the relationship between  $\varepsilon$  and  $m$  from Section 1, we  
295 obtain:

$$296 \quad \frac{\partial v_z}{\partial z} = - \frac{\partial m}{\partial t} = - \frac{\partial}{\partial t} \left( \frac{1}{1 + \varepsilon} \right) = \frac{1}{(1 + \varepsilon)^2} \frac{\partial \varepsilon}{\partial t} ;$$

297

$$298 \quad v_z \frac{\partial \varepsilon}{\partial z} + (1 + \varepsilon) \frac{\partial v_z}{\partial z} = \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) .$$

299 Further, as shown in Florin (1961),



$$v_z \frac{\partial \varepsilon}{\partial z} = o\left(\frac{\partial \varepsilon}{\partial t}\right)$$

and the error from replacement  $[1 + \varepsilon(t, z)]$  by  $[1 + \varepsilon(t, z)]$  ( $\varepsilon$  is the average porosity in the considered compaction range) is less than the error of the laboratory determination of the filtration coefficient  $k$ .

In view of the above

$$\frac{\partial \varepsilon}{\partial z} = (1 + \varepsilon) \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right),$$

$$\frac{\partial \varepsilon}{\partial t} = \frac{d\varepsilon}{d\sigma} \frac{\partial \sigma}{\partial t} = -a \left( -\frac{\partial p}{\partial t} \right) = a \gamma \frac{\partial H}{\partial t}.$$

Here we used equation (1) from Section 1 and equilibrium equation (5). We will finally write down

$$\frac{\partial H}{\partial t} = \frac{(1 + \varepsilon)}{a \gamma} \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right). \quad (6)$$

The resulting equation is equivalent in form to the equation of heat conduction and diffusion.

Next, the initial and boundary conditions are assigned:

$$t=0, \quad H=H(Z);$$

$$t>0, \quad z=0: \quad H=\lambda(t), \quad z=z^*: \quad H=\mu(t).$$

Example. Let the distributed load  $q: H_o = q/\gamma$  be instantaneously applied at the initial moment  $t=0$ . If the layers  $z=0$  and  $z=z^*$  are also permeable, then when  $t>0$ :

$$z=0, \quad H=0; \quad z=z^*, \quad H=0,$$

$$\text{For waterproof layers } \frac{\partial H}{\partial z} = 0.$$

The solution of equation (6) together with the given initial and boundary conditions is found by

319 known methods, for example, by the method of separation of variables (by the Fourier method).

320 The stress distribution  $\sigma(z, t)$  is determined from equation (5):

321 
$$\sigma + p = \text{const} = q \quad ,$$

322 
$$\sigma = q - p = q - \gamma H \quad .$$

323 The amount of compaction can be found by the formula:

324 
$$s(t, h) = \int_0^h e_z(t, z) dz \quad ,$$

325 where  $e_z$  is the compaction of the layer with the coordinate  $z$  , according to the results of

326 Section 1,  $e_z = \frac{a}{1 + \varepsilon} \sigma$  ;

327  $h$  - active compaction depth, can be determined in the following way:

328 make up a sequence  $(h_k), k=0,1,2,\dots$  and determine  $h_l$  from the condition:

329 
$$\frac{|s(t, h_{l-1}) - s(t, h_l)|}{s(t, h_l)} \leq \delta \quad .$$

330 Where  $\delta$  is the specified accuracy. Thus, the problem of compaction in the one-dimensional

331 case can be considered solved.

## 332 2.2. Plane and spatial problems of compaction

333 The assumptions are the same as in the previous paragraph. First, consider the planar compaction

334 problem (XoZ plane).

335 Basic equations.

336 1. Equations of continuity

$$\frac{\partial u_x}{\partial x} + \frac{\partial u_z}{\partial z} + \frac{\partial n}{\partial t} = 0 \quad (1)$$

$$\frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} + \frac{\partial m}{\partial t} = 0 \quad . \quad (2)$$

337

338 Add equations (1), (2)

$$\frac{\partial(u_x + v_x)}{\partial x} + \frac{\partial(u_z + v_z)}{\partial z} = 0 \quad . \quad (3)$$

339

340 2. Darcy's dependence

$$u_x - \varepsilon v_x = -k \frac{\partial H}{\partial x} \quad (4)$$

$$u_z - \varepsilon v_z = -k \frac{\partial H}{\partial z} \quad . \quad (5)$$

341 3. Equilibrium equations

$$\sigma_x + p = \sigma_x^* + p^* \quad (6)$$

$$\sigma_z + p = \sigma_z^* + p^* \quad (7)$$

$$\tau_{xz} = \tau_{xz}^* \quad . \quad (8)$$

342 Here  $\tau_{xz}$  are the shear stresses. It is assumed that the tangential load is instantly perceived by

343 the skeleton and is not transmitted to the water.

344 Differentiate (4) by  $x$ , (5) by  $z$  and add up:

$$\frac{\partial u_x}{\partial x} + \frac{\partial u_z}{\partial z} - \varepsilon \left( \frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} \right) = - \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] \quad .$$

346 Comment. Discarded terms  $\frac{\partial \varepsilon}{\partial z} v_z$  and  $\frac{\partial \varepsilon}{\partial x} v_x$  .

347 Taking into account (3), we obtain

$$(1 + \varepsilon) \left( \frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} \right) = \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \quad ,$$

349 where the value  $1 + \varepsilon$  is a constant (see section 2.1).

350 Further, from (2) we have

$$\frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} = -\frac{\partial m}{\partial t} = \frac{1}{(1+\varepsilon)^2} \frac{\partial \varepsilon}{\partial t} ,$$

taking this into account, we get

$$\frac{\partial \varepsilon}{\partial t} = (1+\varepsilon) \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] . \quad (9)$$

Next, we use equation (5) from Section 1.1. We have

$$\frac{\partial \varepsilon}{\partial t} = \frac{d\varepsilon}{d\sigma} \frac{\partial \sigma}{\partial t} = -\frac{a}{1+\xi} \frac{\partial \theta}{\partial t} .$$

From equations (6) and (7) we obtain

$$\theta = \sigma_x + \sigma_z = \theta^* = \sigma_x^* + \sigma_z^* - 2(p - p^*) ,$$

thus it turns out

$$\frac{\partial \theta}{\partial t} = -2 \frac{\partial p}{\partial t} = -2\gamma \frac{\partial H}{\partial t} .$$

Let us finally write equation (9) in the form:

$$\frac{\partial H}{\partial t} = \frac{(1+\varepsilon)(1+\xi)}{2\gamma a} \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] . \quad (10)$$

Reasoning quite similarly in the case of a triaxial stress state, we arrive at the equation:

$$\frac{\partial H}{\partial t} = \frac{(1+\varepsilon)(1+2\xi)}{3\gamma a} \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial y} \left( k \frac{\partial H}{\partial y} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] . \quad (11)$$

Let us assume that the filtration coefficient  $k$  does not change during the compaction process.

Then we have:

$$\frac{\partial H}{\partial t} = K \Delta H . \quad (12)$$

Where  $\Delta$  is the Laplace operator,

368  $K = \frac{(1+\varepsilon)(1+\xi)}{2\gamma a} k$  - for a plane problem

369  $K = \frac{(1+\varepsilon)(1+2\xi)}{3\gamma a} k$  - for a spatial problem.

370 Initial conditions.

371 Note that the initial heads distribution function  $H_o$  satisfies the Laplace equation

$$\Delta H_o = 0 \quad . \quad (13)$$

372

373 This equation is a consequence of the fact that at the initial moment of load application

$$\frac{\partial v_x}{\partial x} = \frac{\partial v_y}{\partial y} = \frac{\partial v_z}{\partial z} = 0 \quad .$$

375 We find the initial pressure distribution from (6) and (7):

376 in the case of the plane problem

$$P_o = \frac{1}{2} \theta_o^* + P_o^* \quad , \quad (14)$$

377 for a spatial task

$$P_o = \frac{1}{3} \theta_o^* + P_o^* \quad . \quad (15)$$

378 Here, as before,  $\theta_o^*$  denotes the sum of normal stresses in a stabilized state,  $P_o^*$  is the final

379 pressure distribution. We accept further  $P_o^* = 0$  .

380 Thus, to determine the initial distribution of the pressure, it is necessary to solve problems (7),

381 (8) and (9) of the theory of elasticity (Section 1).

382 The initial stress distribution is determined from (6), (7), (14), (15)

383 for a planar problem

$$\sigma_{xo} = \frac{1}{2} (\sigma_x^* - \sigma_z^*) \quad ,$$

384

$$\sigma_{zo} = \frac{1}{2}(\sigma_z^* - \sigma_x^*) \quad ,$$

$$\tau_{xzo} = \tau_{xz}^* \quad .$$

For a spatial problem

$$\sigma_{xo} = \sigma_x^* - \frac{1}{3}\theta_o^* \quad ,$$

$$\sigma_{yo} = \sigma_y^* - \frac{1}{3}\theta_o^* \quad ,$$

$$\sigma_{zo} = \sigma_z^* - \frac{1}{3}\theta_o^* \quad ,$$

$$\tau_{xzo} = \tau_{xz}^* \quad , \quad \tau_{xyo} = \tau_{xy}^* \quad , \quad \tau_{yzo} = \tau_{yz}^* \quad .$$

Border conditions .

On the permeable sections of the boundary surface, the values of the pressure function are equal to zero:  $H=0$ ,  $x \in \Gamma$  . In watertight areas, the pressure gradient value is zero:

$$\frac{\partial H}{\partial n} = 0, \quad x \in \Gamma \quad .$$

In addition, in the case of compaction of heterogeneous soil, the conjugation conditions must be met at the border of adjacent media:

$$H_1(x, t)|_S = H_2(x, t)|_S \quad ;$$

$$k_1\left(\frac{\partial H_1}{\partial n}\right) = k_2\left(\frac{\partial H_2}{\partial n}\right) \quad .$$

Example. The plane problem of compaction of isotropic soil with an arbitrary vertical load. We find the initial stress distribution from equation (6) in Section 1 and from equations (6), (7), (8):

$$\begin{aligned}
\sigma_{xo} &= \frac{1}{2}(\sigma_x^* - \sigma_z^*) = \frac{1}{2}z \frac{\partial \theta^*}{\partial z} = z \frac{\partial P_o}{\partial z} \\
\sigma_{zo} &= \frac{1}{2}(\sigma_z^* - \sigma_x^*) = -z \frac{\partial P_o}{\partial z} \\
\tau_{xzo} &= \tau_{xz}^* = \frac{1}{2}z \frac{\partial \theta^*}{\partial x} = -z \frac{\partial P_o}{\partial x}
\end{aligned} \quad . \quad (16)$$

402

403 To determine  $P_o$ , it is necessary to solve the following problem:

404

$$\Delta P_o = 0 \quad ,$$

405  $z=0, x \in D \quad P_o = q(x)$  - given load,

$$\begin{aligned}
&x \notin D \quad P_o = 0; \\
&x \rightarrow \pm \infty, \quad z \rightarrow \pm \infty, \quad P_o = 0
\end{aligned} \quad . \quad (17)$$

406

407 Consider a more general first boundary value problem:

$$\begin{aligned}
Lu &= -f(M), \quad (M \in D) \\
u|_S &= \varphi(M)
\end{aligned} \quad . \quad (18)$$

408 In the original problem

$$u \equiv H, \quad Lu = \Delta u = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial z^2} \quad ;$$

410

$$f(M) = f(x, z) = 0, \quad (M \in D) \quad ;$$

411

$$\varphi(M) = \varphi(x, z) \quad \equiv \quad \begin{cases} 0, & z=0, x \notin [-a, a] \\ q(x), & z=0, x \in [-a, a] \\ 0, & z \rightarrow +\infty, x \rightarrow \pm\infty \end{cases} \quad ,$$

412 where  $q(x) = \gamma q(x)$  is the diagram of the load distribution,  $2a$  is the contact width (Figure

413 2.1).

414

415

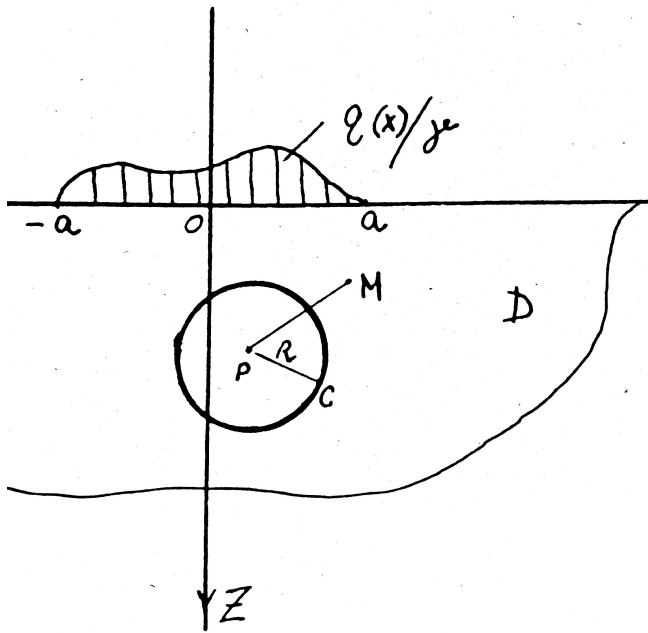


Figure 2.1 On the definition of the Green's function.

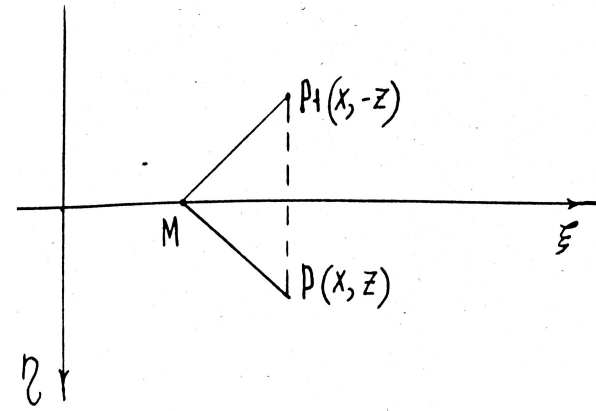


Figure 2.2 Reflection method.

To solve problem (18), the method of Green's functions is used, the solution has the form

(Nikiforov, 1983):

$$u(P) = - \int_S \varphi \frac{\partial G}{\partial n} dS_M$$

where  $G = G(M, P)$  is the solution to an equation of a special form:

$$\begin{cases} \Delta G = -\delta(M, P) & (M \in D) \\ G|_S = 0 \end{cases} \quad (19)$$

Here  $\delta(M, P)$  is the Dirac  $\delta$ -function. Solution (19) is presented in the form:

$$G(M, P) = \psi(\Gamma_{MP}) + V(M, P),$$

where  $V$  is harmonious at  $D$  (i.e.  $\Delta V = 0, M \in D$ ), and  $\psi(\Gamma_{MP})$  has a singularity at the



426 point  $P$  and at  $\Gamma_{MP}=0$

$$\Delta \psi = -\delta(M, P) \quad . \quad (20)$$

427

428 We integrate (20)

$$429 \quad \int_{S_P^R} \Delta \psi \, ds = -1 \quad (\delta \text{ - function property}).$$

430 Using Green's formula, we pass to the integral over a circle centered at the point  $P$  of radius

431  $R$  (see Figure 2.1):

$$\begin{aligned} 432 \quad \oint_C \frac{\partial \psi}{\partial n} \, ds &= \oint_C \frac{d\psi}{dr} \, ds = \left. \frac{d\psi}{dr} \right|_R \cdot 2\pi R = -1 \\ d\psi(R) &= -\frac{1}{2\pi} \frac{dR}{R} \Rightarrow \psi(R) = -\frac{1}{2\pi} \ln \frac{1}{R} \quad . \\ \Rightarrow G(M, P) &= \frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP}} \right) + V(M, P) \end{aligned}$$

433 For our case  $V(M, P)$  is determined by the method of reflections (Figure 2.2).

434 Due to the fact that on the boundary of the half-plane  $G(M, P)=0$ , it follows that

$$435 \quad V(M, P) = -\frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP_1}} \right) \text{ is a harmonic function } \forall M \in D \quad .$$

$$436 \quad \Rightarrow G(M, P) = \frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP}} \right) - \frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP_1}} \right) \quad ,$$

437 or in coordinates  $x, z, \xi, \eta: (M(\xi, \eta), P(x, z))$  :

$$438 \quad G(M, P) = \frac{1}{2\pi} \ln \left( \frac{1}{\sqrt{(x-\xi)^2 + (z-\eta)^2}} \right) - \frac{1}{2\pi} \ln \left( \frac{1}{\sqrt{(x-\xi)^2 + (z+\eta)^2}} \right)$$

$$439 \quad \Rightarrow u(P) = -\int_a^{-a} \varrho(\xi) \frac{\partial G}{\partial \eta} \Big|_{\eta=0} d\eta \quad , \text{ and it remains to find}$$

$$440 \quad \frac{\partial G}{\partial \eta} \Big|_{\eta=0} = \frac{1}{2\pi} \ln \left( \frac{2z}{(x-\xi)^2 + z^2} \right) \quad .$$

441 Thus, the solution (18) is:

$$442 \quad H_o(x, z) = \frac{1}{\pi} \int_a^{-a} Q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi \quad .$$

443 For the original problem, this is equivalent to the following expression:

$$444 \quad H_o(x, z) = \frac{1}{\pi} \int_a^{-a} Q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi \quad .$$

445 So found in the initial pressure distribution:

$$446 \quad P_o(x, z) = \frac{Y}{\pi} \int_a^{-a} Q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi \quad ,$$

447 where  $Q(\xi) = \frac{q(\xi)}{Y}$  , that is, you can rewrite

$$P_o(x, z) = \frac{1}{\pi} \int_a^{-a} q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi \quad . \quad (21)$$

448

449 We find the initial stress distribution by the formulas (16):

$$\begin{aligned} \sigma_{xo} &= z \frac{\partial P_o}{\partial z} = \frac{z}{\pi} \int_a^{-a} q(\xi) \frac{(x-\xi)^2 - z^2}{[(x-\xi)^2 + z^2]^2} d\xi \\ \sigma_{zo} &= -\sigma_{xo} \end{aligned} \quad . \quad (22)$$

$$\tau_{xzo} = -z \frac{\partial P_o}{\partial z} = \frac{1}{\pi} \int_a^{-a} q(\xi) \frac{2z(x-\xi)}{[(x-\xi)^2 + z^2]^2} d\xi$$

450

451 The distribution of initial stresses and pressures for an arbitrary vertical load is shown in Figures  
452 2.3 and 2.4.

453

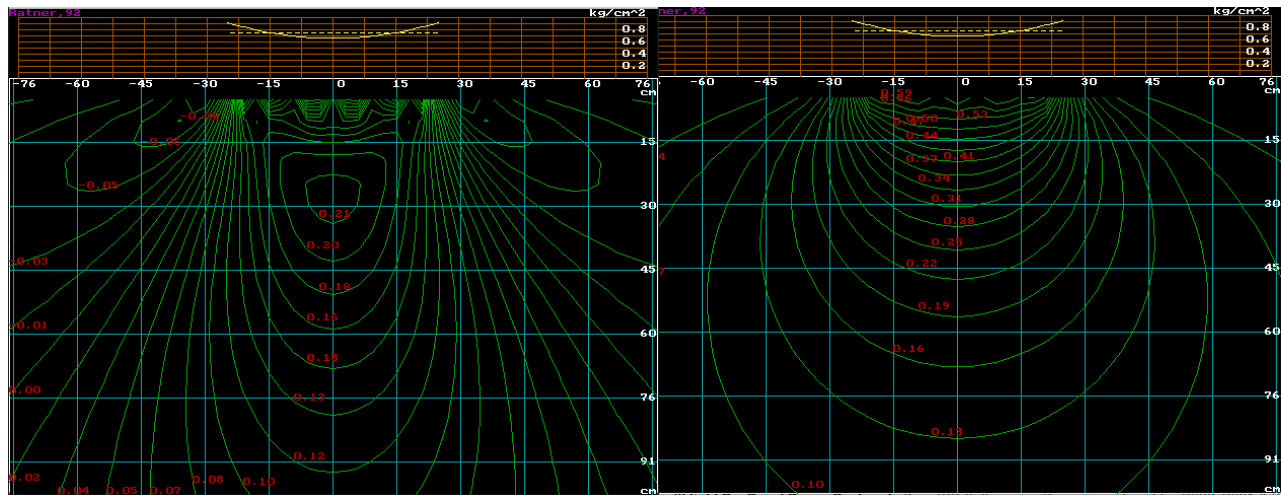


Figure 2.3 Distribution of initial stresses

$\sigma_{z_0}, \text{kg/cm}^2$  in a homogeneous environment.

Figure 2.4 Distribution of initial pressures

$(P_0, \text{kg/cm}^2)$  in a homogeneous environment.

### 3. Finite-element solution of a multimodular problem of the theory of elasticity

The initial conditions of problem (12) in Section 2 are expressed in terms of the steady-state stress distribution, the definition of which is devoted to this section.

Earlier it was indicated (Section 1) that the formulas of the theory of elasticity are formally applicable to the calculation of stresses and strains in the soil skeleton, although in essence it means the presence of not elastic, but a linear relationship between stresses and deformations.

Select a rectangular area on the half-plane and triangulate it (Figure 3.1).

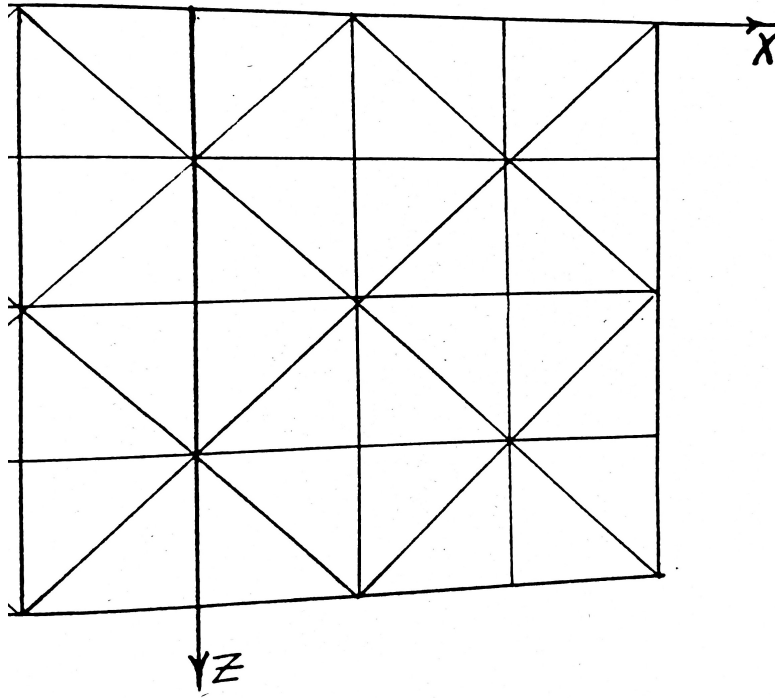


Figure 3.1 Area triangulation.

464 Consider the four types of triangles used in the partition. The nodes are numbered clockwise  
 465 (Figure 3.2).

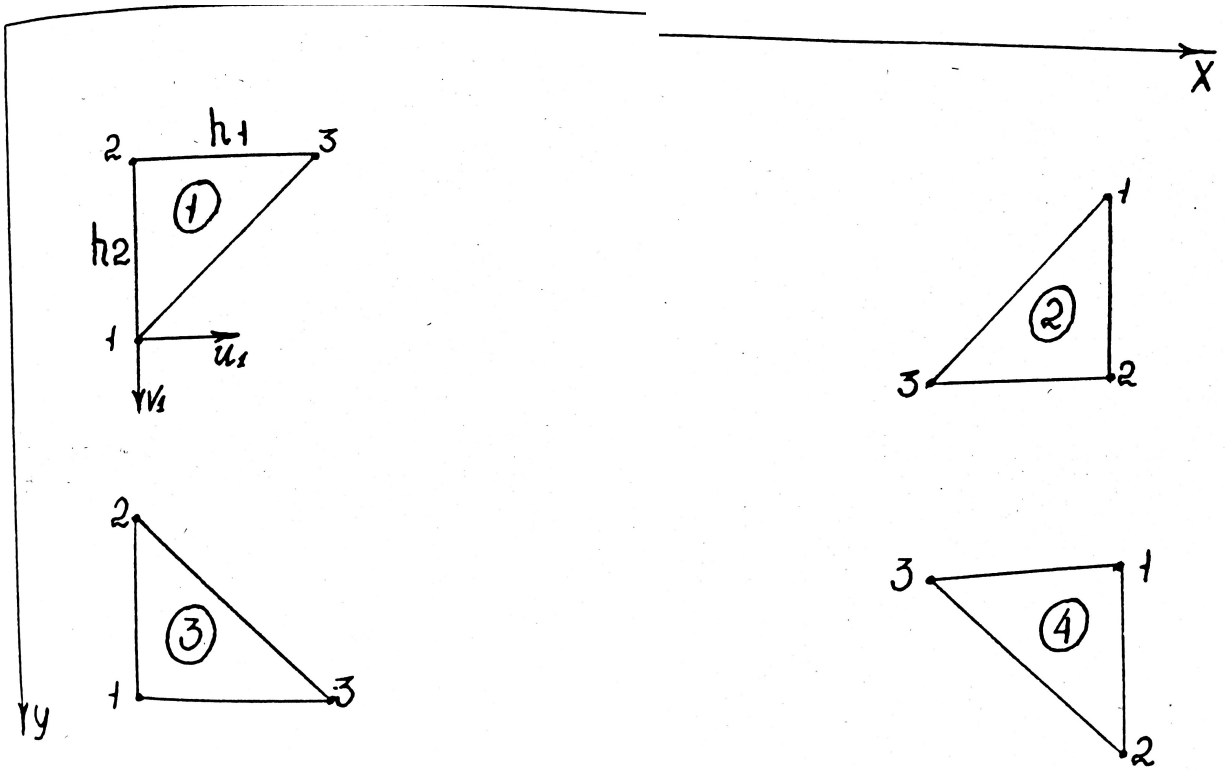


Figure 3.2 Types of triangulation elements.

466 We denote the movement of the  $i$ -th node of a separate element through  $u_i$  and  $v_i$ . The  
 467 displacements of the nodes belonging to the vertical boundaries of the half-plane are set to zero.  
 468 The displacements of element points are expressed in terms of nodal displacements:

$$U_N = \Phi U \quad . \quad (1)$$

469

470 Where  $U_N = \begin{bmatrix} U(x, y) \\ V(x, y) \end{bmatrix}$  - the vector of displacements;

471  $\Phi = \begin{bmatrix} \varphi_1 & 0 & \varphi_2 & 0 & \varphi_3 & 0 \\ \varphi_1 & 0 & \varphi_2 & 0 & \varphi_3 & 0 \end{bmatrix}$  - shape matrix;

472  $\varphi_1, \varphi_2, \varphi_3$  - form functions on an element;

473  $U = [u_1, v_1, u_2, v_2, u_3, v_3]^T$  - vector of nodal values of displacements on the element.

474 We carry out a functional expressing the potential energy of a deformed body:

$$I = \sum_{e=1}^{e=l} t_e \int_{\Omega_e} \frac{1}{2} \varepsilon^T \sigma d\Omega_e - \sum_{e=1}^{e=l} t_e \int_{\Gamma_e} U_N^T Q d\Gamma_e \quad . \quad (2)$$

475 Here the contributions are summed over  $l$  - elements, each of them with thickness  $t_e$ , area

476  $\Omega_e$  ;

477  $\varepsilon = (\varepsilon_x, \varepsilon_y, \gamma_{xy})^T$  - deformation vector,

478  $\sigma = (\sigma_x, \sigma_y, \tau_{xy})^T$  - stress vector,

479  $Q = (q_x, q_y)^T$  is the vector of the distributed load applied to the boundary  $\Gamma_e$  of the boundary

480 element  $e$  .

481 The relationship between stresses and deformation is expressed by Hooke's law (Timoshenko,

482 J.N. Goodier, 1970):

$$\sigma = D \varepsilon \quad . \quad (3)$$

483

484 Here  $D$  is the elasticity matrix of the element:

$$D = \frac{E}{1-\nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & (1-\nu)/2 \end{bmatrix} ,$$

486 where  $E$  is the modulus of deformation on the element,

487  $\nu$  - Poisson's ratio on the element.

488 The relationship between displacement and deformation is expressed by the formula

489 (Timoshenko and Goodier, 1970):

490

$$\varepsilon = \begin{bmatrix} \frac{\partial u}{\partial x} \\ \frac{\partial v}{\partial y} \\ \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \end{bmatrix}$$

491 or, taking into account (1), we write

$$\varepsilon = B \cdot U \quad (4)$$

492 where

493

$$B = \begin{bmatrix} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\partial}{\partial y} \\ \frac{\partial}{\partial x} + \frac{\partial}{\partial y} \end{bmatrix} \cdot \Phi = \begin{bmatrix} \frac{\partial \varphi_1}{\partial x} & 0 & \frac{\partial \varphi_2}{\partial x} & 0 & \frac{\partial \varphi_3}{\partial x} & 0 \\ 0 & \frac{\partial \varphi_1}{\partial y} & 0 & \frac{\partial \varphi_2}{\partial y} & 0 & \frac{\partial \varphi_3}{\partial y} \\ \frac{\partial \varphi_1}{\partial y} & \frac{\partial \varphi_1}{\partial x} & \frac{\partial \varphi_2}{\partial y} & \frac{\partial \varphi_2}{\partial x} & \frac{\partial \varphi_3}{\partial y} & \frac{\partial \varphi_3}{\partial x} \end{bmatrix}.$$

494 We accept the functions of the shape of the element as linear and equal on the element to its

495 barycentric coordinates, and outside the element to zero:

496

$$\varphi_i = \frac{a_i x + b_i y + c_i}{2S}, i=1,2,3.$$

497

Where  $S$  is the area of the element,

$$\left. \begin{aligned} a_1 &= y_2 - y_3 \\ b_1 &= x_3 - x_2 \\ c_1 &= x_2 y_3 - x_3 y_2 \end{aligned} \right\} \quad (5)$$

498

499 The coefficients  $a_2, a_3, b_2, b_3, c_2, c_3$  are determined through the cyclic permutation of the indices

500 in (5).

501 Then the matrix  $B$  will take the form:

502

$$B = \frac{1}{2S} \begin{bmatrix} a_1 & 0 & a_2 & 0 & a_3 & 0 \\ 0 & b_1 & 0 & b_2 & 0 & b_3 \\ b_1 & a_1 & b_2 & a_2 & b_3 & a_3 \end{bmatrix} .$$

503 Let's rewrite (2) taking into account (1), (3), (4):

$$I = \sum_{e=1}^{e=l} t_e \int_{\Omega_e} \frac{1}{2} U^T B^T D \cdot B \cdot U d\Omega_e - \sum_{e=1}^{e=l} t_e \int_{\Gamma_e} U^T \Phi Q d\Gamma_e . \quad (6)$$

504

505 A finite-element solution provides a minimum to functional (6) on the class of functions from a

506 finite-dimensional space with a basis  $(\varphi_e)_{e=1}^{e=l}$ ,  $\varphi_e = (\varphi_1^e, \varphi_2^e, \varphi_3^e)$  (Ciarlet, 1978).

507 The necessary condition for the minimum of functional (6):

$$\sum_{\substack{e=1 \\ e=1,2,\dots,l}}^{e=l} \frac{\partial I^e}{\partial U} = \sum_{e=1}^{e=l} \int_{\Omega_e} t_e B^T D \cdot B \cdot U d\Omega_e - \sum_{e=1}^{e=l} \int_{\Gamma_e} t_e \Phi Q d\Gamma_e = 0, .$$

509 Therefore, we find the solution from the system of linear equations:

$$K_f \cdot U_f = F_f . \quad (7)$$

510

511 Here  $U_f$  is the global vector of nodal values:

$$U_f = (U^1, U^2, \dots, U^l)^T ,$$

513  $K_f$  - a global stiffness matrix composed of element stiffness matrices (the so-called local

514 stiffness matrices)  $K^e$  :

$$K^e = \int_{\Omega_e} t_e B^T D B U d\Omega_e ,$$

516  $F_f$  - global load vector, composed of load vectors of elements:

$$F_f^e = \int_{\Gamma_e} t_e \Phi^T Q d\Gamma_e .$$

517



518 Consider a boundary element with a distributed vertical load applied to it (Figure 3.3).

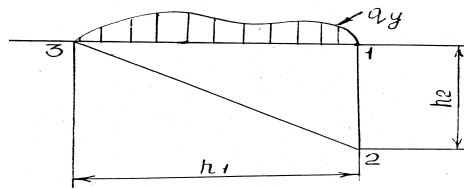


Figure 3.3 Scheme of load application to the boundary element.

520 In the case of linear linear functions of the form, we have on the boundary:

521 
$$\varphi_2 = 1 - \frac{s}{h_1}, \quad \varphi_3 = \frac{s}{h_1}$$

522

$$F_f^e = t_e \int_0^{h_1} (0 \ 0 \ 0 \ \varphi_2 q_y \ 0 \ \varphi_3 q_y)^T dS \quad . \quad (8)$$

523

524 Here are the specific values of the matrices and coefficients. Let's denote for convenience

525 
$$\xi = \frac{h_1}{h_2} \quad .$$

526

The matrix  $B^e$

527

Elements of the 1<sup>st</sup> type

528

$$B^{(1)} = \begin{bmatrix} 0 & 0 & -1/\xi & 0 & 1/\xi & 0 \\ 0 & \xi & 0 & -\xi & 0 & 0 \\ \xi & 0 & -\xi & -1/\xi & 0 & 1/\xi \end{bmatrix}$$

529

530

531 Elements of the 2<sup>nd</sup> type

$$532 \quad B^{(2)} = \begin{bmatrix} 0 & 0 & 1/\xi & 0 & -1/\xi & 0 \\ 0 & -\xi & 0 & \xi & 0 & 0 \\ -xi & 0 & \xi & 1/\xi & 0 & -1/\xi \end{bmatrix}$$

533 Elements of the 3<sup>rd</sup> type

$$534 \quad B^{(3)} = \begin{bmatrix} -1/\xi & 0 & 0 & 0 & 1/\xi & 0 \\ 0 & \xi & 0 & -\xi & 0 & 0 \\ \xi & -1/\xi & -xi & 0 & 0 & 1/\xi \end{bmatrix}$$

535 Elements of the 4<sup>th</sup> type

$$536 \quad B^{(4)} = \begin{bmatrix} 1/\xi & 0 & 0 & 0 & -1/\xi & 0 \\ 0 & -\xi & 0 & \xi & 0 & 0 \\ -xi & 1/\xi & xi & 0 & 0 & -1/\xi \end{bmatrix}.$$

537 The Matrix  $K^e$

538 We denote  $d = \frac{1-\nu}{2}$

539 Elements of the 1<sup>st</sup> type

$$540 \quad \frac{E}{2(1-\nu^2)} \begin{bmatrix} \xi d & 0 & -\xi d & -d & 0 & d \\ 0 & \xi & -\nu & -\xi & \nu & 0 \\ -\xi d & -\nu & 1/\xi + \xi d & \nu + d & -1/\xi & -d \end{bmatrix}$$

541 Elements of the 2<sup>nd</sup> type

$$542 \quad \frac{E}{2(1-\nu^2)} \begin{bmatrix} -d & -\xi & \nu + d & \xi + d/\xi & -\nu & -d/\xi \\ 0 & \nu & -1/\xi & -\nu & 1/\xi & 0 \\ d & 0 & -d & -d/\xi & 0 & d/\xi \end{bmatrix}$$

543 Elements of the 3<sup>rd</sup> type

$$544 \quad \frac{E}{2(1-\nu^2)} \begin{bmatrix} 1/\xi + \xi d & -\nu - d & -\xi d & \nu & -1/\xi & d \\ -\nu - d & \xi + d/\xi & d & -\xi & \nu & -d/\xi \\ -\xi d & d & \xi d & 0 & 0 & -d \end{bmatrix}$$

545

546

Elements of the 4<sup>th</sup> type

547

$$\frac{E}{2(1-\nu^2)} \begin{bmatrix} \nu & -\xi & 0 & \xi & -\nu & 0 \\ -1/\xi & \nu & 0 & -\nu & 1/\xi & 0 \\ d & -d/\xi & -d & 0 & 0 & d/\xi \end{bmatrix}.$$

548

The matrix  $K_f$  of the system (7) is composed of the stiffness matrices of the elements  $K^e$  in

549

the following way. Suppose there are  $l$  -elements (Figure 3.1), we number all the vertices from

550

left to right and from top to bottom. The matrix  $K_f$  has a dimension of  $2 \cdot l \times 2 \cdot l$ . Let's

551

imagine it consisting of blocks (2x2). The dimension of such a matrix will be  $l \times l$ . Matrices of

552

elements, as block ones, consisting of 2x2 submatrices, have a dimension of 3x3. Let the vertices

553

of the elements belonging to the upper layer have numbers  $i$  and (or)  $i+1$ , and the vertices

554

of the elements belonging to the lower layer have numbers  $j$  and (or)  $j+1$ . Then the

555

following contribution to  $K_f$  will be made:

556

elements of the 1<sup>st</sup> type

557

$$K_f(j, j) = K_f(j, j) + KL(1,1)$$

558

(  $KL(1,1)$  ) - are the corresponding submatrices (2x2) of the matrix  $K^{(1)}$  )

559

$$\begin{aligned} K_f(i, i) &= K_f(i, i) + KL(2,2) \\ K_f(i+1, i+1) &= K_f(i+1, i+1) + KL(3,3) \\ K_f(j, i) &= K_f(j, i) + KL(1,2) \\ K_f(j, i+1) &= K_f(j, i+1) + KL(1,3) \\ K_f(i+1, i) &= K_f(i+1, i) + KL(3,2) \end{aligned}$$

560

561

562

563

elements of the 2<sup>nd</sup> type

564

$$\begin{aligned}
K_f(i+1, i+1) &= K_f(i+1, i+1) + KL(1,1) \\
K_f(j+1, j+1) &= K_f(j+1, j+1) + KL(2,2) \\
K_f(j, j) &= K_f(j, j) + KL(3,3) \\
K_f(j+1, i+1) &= K_f(j+1, i+1) + KL(2,1) \\
K_f(j+1, j) &= K_f(j+1, j) + KL(2,3) \\
K_f(j, i+1) &= K_f(j, i+1) + KL(3,1)
\end{aligned}$$

565

elements of the 3<sup>rd</sup> type

566

$$\begin{aligned}
K_f(j, j) &= K_f(j, j) + KL(1,1) \\
K_f(i, i) &= K_f(i, i) + KL(2,2) \\
K_f(j+1, j+1) &= K_f(j+1, j+1) + KL(3,3) \\
K_f(j, i) &= K_f(j, i) + KL(1,2) \\
K_f(j+1, j) &= K_f(j+1, j) + KL(3,1) \\
K_f(j+1, i) &= K_f(j+1, i) + KL(3,2)
\end{aligned}$$

567

elements of the 4<sup>th</sup> type

568

$$\begin{aligned}
K_f(i+1, i+1) &= K_f(i+1, i+1) + KL(1,1) \\
K_f(j+1, j+1) &= K_f(j+1, j+1) + KL(2,2) \\
K_f(i, i) &= K_f(i, i) + KL(3,3) \\
K_f(i+1, i) &= K_f(i+1, i) + KL(1,3) \\
K_f(j+1, i+1) &= K_f(j+1, i+1) + KL(2,1) \\
K_f(j+1, i) &= K_f(j+1, i) + KL(2,3)
\end{aligned}$$

569 It is known from finite element theory (Ciarlet, 1978) that the matrix  $K_f$  is symmetric and  
570 positive definite. Therefore, the filling of elements lying only on the main diagonal and below is  
571 shown. Next, we destroy the rows and columns of the matrix corresponding to the nodes  
572 (vertices) lying on the border of the selected area (except for the zero horizontal).

573 In the case of modeling the impact of a load on a soil layer lying on a very weak foundation (eg  
574 swamp), we do not impose restrictions on the lower boundary.

575 The contribution to the global load vector  $F_f$  - the right-hand side of system (7) is determined  
576 from each element by formula (8). In this case, the node with the number  $t$  corresponds to the

577  $(2t-1)$  and  $2t$  lines of the vector  $F_f$ . Lines corresponding to border nodes are destroyed.

578 After finding the nodal displacements, the value of the stresses that are constant on the element is  
579 determined by the formula (3). The values of the stresses at the nodes are found by averaging  
580 over neighboring elements.

581 Stress matrix  $\sigma$

582 
$$\sigma = \begin{bmatrix} \sigma_x \\ \sigma_z \\ \tau_{xy} \end{bmatrix}.$$

583 Let's introduce the notation:

584 
$$K_\sigma = \frac{E}{(1-\nu^2)h_1h_2}; \quad \alpha = \frac{1-\nu}{2};$$

585

586 
$$u_{12} = u_1 - u_2, u_{13} = u_1 - u_3, u_{23} = u_2 - u_3, \\ v_{12} = v_1 - v_2, v_{13} = v_1 - v_3, v_{23} = v_2 - v_3.$$

587

588 Elements of the 1<sup>st</sup> type

589 
$$\sigma = K_\sigma \begin{bmatrix} -h_2 u_{23} + \nu h_1 v_{12} \\ -\nu h_2 u_{23} + h_1 v_{12} \\ \alpha [h_1 u_{12} - h_2 v_{23}] \end{bmatrix}$$

590

591 Elements of the 2<sup>nd</sup> type

592 
$$\sigma = K_\sigma \begin{bmatrix} h_2 u_{23} - \nu h_1 v_{12} \\ \nu h_2 u_{23} - h_1 v_{12} \\ -\alpha [h_1 u_{12} + h_2 v_{23}] \end{bmatrix}$$

593

594 Elements of the 3<sup>rd</sup> type

595 
$$\sigma = K_{\sigma} \begin{bmatrix} -h_2 u_{13} + v h_1 v_{12} \\ -v h_2 u_{13} + h_1 v_{12} \\ \alpha [h_1 u_{12} - h_2 v_{13}] \end{bmatrix}$$

596

597 Elements of the 4<sup>th</sup> type

598 
$$\sigma = K_{\sigma} \begin{bmatrix} h_2 u_{13} - v h_1 v_{12} \\ v h_2 u_{13} - h_1 v_{12} \\ -\alpha [h_1 u_{12} + h_2 v_{13}] \end{bmatrix} .$$

599

600 Further, according to the formulas of section (2), we determine the initial values of pressures,

601 heads and stresses.

## 602 4. Software implementation and calculation results

### 603 4.1 Main software modules

604

605 MKESol – main module;

606 UnCode - contains subroutines for identifying the area of the partition;

607 SplinUnt - contains subroutines for constructing an interpolation cubic spline and for outputting

608 spline values at specified points;

609 GetSpline - the procedure for forming the global load vector (the right side of the linear algebraic

610 system of equations);

611 GetData - procedure for generating a global stiffness matrix;

612 LDL - contains routines for decomposition and solutions for strip matrices by the Cholesky

613 method (Reinsch and Wilkinson, 1971).

614 After the soil program has been processed, the values of the grid functions from the space  $V$   
615 that define the vertical and horizontal displacements are known. These values are recorded in the  
616 Output.mke file;

617 MKEDrow - control module for presenting calculation results;

618 Sigma - contains programs for calculating mesh functions from a subspace  $U_1, \dots, U_s$ . The  
619 initial data is the values of the mesh displacement functions contained in the Output.mke file;

620 FuncLoad - contains numerical integration routines for finding a solution to the first boundary  
621 value problem in the case of an isotropic medium;

622 Anal - contains subroutines for graphical representation of a grid function in the form of function  
623 level lines of two variables. This representation is performed by the LineLab (Nf, k) procedure.  
624 Here Nf is a parameter defining the identifier code of the grid function; k is the number of level  
625 lines on the display screen.

626

Nf	Level line
0	$\sigma_x$ - steady-state stresses along the axis $x$ , $kg/cm^2$
1	$\sigma_z$ - steady-state stresses along the axis $z$ , $kg/cm^2$
2	$\tau_{xz}$ - steady-state shear stresses along the axis $x$ , $kg/cm^2$
3	$\sigma_{xo}$ - initial stresses along the axis $x$ , $kg/cm^2$
4	$\sigma_{zo}$ - initial stresses along the axis $z$ , $kg/cm^2$
5	$P_o$ - initial pressures, $kg/cm^2$
6	$V$ - vertical deformations, $cm$
7	$\Theta_{max}$ - the maximum angle of deviation of the full stress vector, $grad$
Encoding for solving the First Boundary Value Problem (FuncLoad module)	
10	$\sigma_{xo}$ - initial stresses along the axis $x$ , $kg/cm^2$
11	$\sigma_{zo}$ - initial stresses along the axis $z$ , $kg/cm^2$
12	$P_o$ - initial pressures, $kg/cm^2$

*Nf encoding table.*

## 627 4.2 Calculation results

628 The figures 4.1 - 4.4 show the results of calculating the zones of vertical stresses from the impact  
629 of the ML-56 machine for different types of tires:

630 33L-32F134;

631 33L-32F134M - with reduced pressure;

632 71x47-25; 79x59-26} ultra wide-profile.

633 Movement on loamy soil is simulated. The soil is presented in two layers: a thin layer of 30 cm  
634 on a denser base. The relative humidity of the soil is 80%. The characteristics of the soil are  
635 presented in the table 4.1.



	Deformation modulus $E, \text{ kg/cm}^2$	Poisson's ratio $\nu$	Internal grip $C_o, \text{ kg/cm}^2$	Internal friction angle $\varphi_o, \text{ grad.}$
Upper layer	100	0,3	0,21	15
Bottom layer	370	0,3	0,60	18

Table 4.1 Soil characteristics.

636 An intensive increase in rutting can be expected during trips by the machine with 33L-32F134  
637 tires as a result of vertical deformation in the soil and lateral uplift caused by the movement of  
638 destroyed soil into zones with zero and negative (i.e. tensile) vertical stresses. This is the  
639 manifestation of the flat phenomena of the mathematical model.

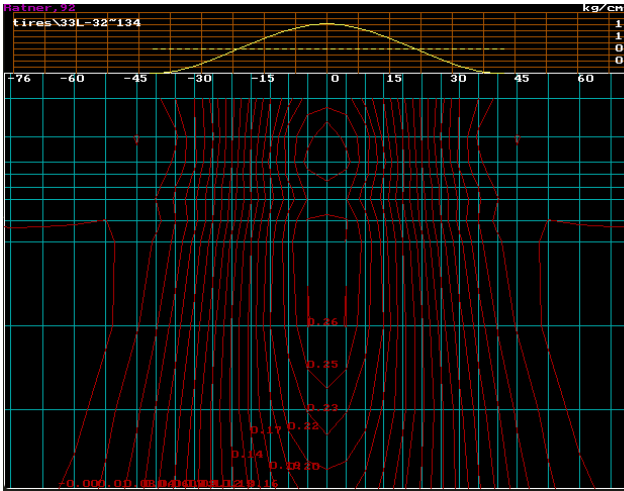


Figure 4.1 Distribution of initial stresses  $\sigma_{z0}, \text{ kg/cm}^2$  . Tires 33L-32FI34.

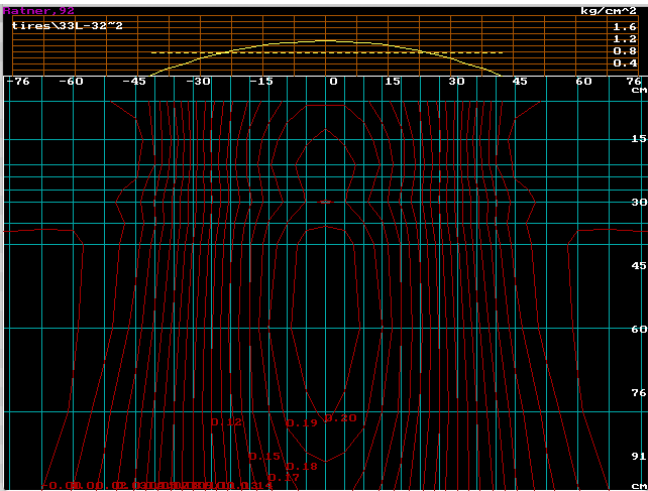


Figure 4.2 Distribution of initial stresses  $\sigma_{z0}, \text{ kg/cm}^2$  . Tires 33L-32FI34 M.

Comparing the figures 4.1 and 4.2, we can note the absence of soil zones with a destroyed structure (Figure 4.2) in the case of using the 33L-32FI34 M tire with an internal air pressure of  $0.8 \text{ kg/cm}^2$ . This is due to a change in the shape of the loading diagram with a decrease in the internal air pressure from  $1.4$  to  $0.8 \text{ kg/cm}^2$ .

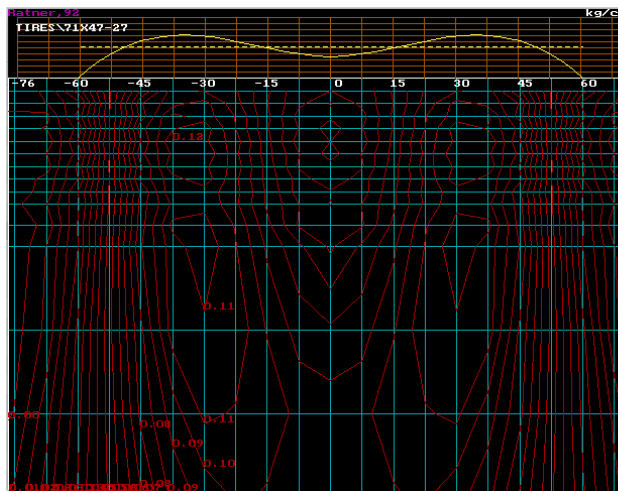


Figure 4.3 Distribution of initial stresses  $\sigma_{z0}, \text{kg/cm}^2$  . Tires 71x47-25.

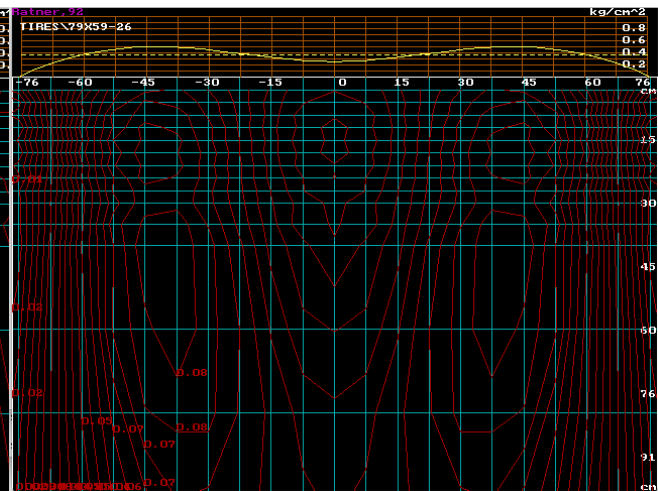


Figure 4.4 Distribution of initial stresses  $\sigma_{z0}, \text{kg/cm}^2$  . Tires 79x59-26.

## 5. Experimental data

The figures 5.1 – 5.4 show the calculated zones of vertical stresses from the impact of the TT-4M tractor with a highly elastic and serial track. The soil is homogeneous (in modulus of deformation), therefore, an analytical solution to the first boundary value problem is presented. The characteristics of the soil are presented in table 5.1. Available experimental data (mean values of pressure sensors over time series) are presented in Table 5.2 (Ratnere, 1993).

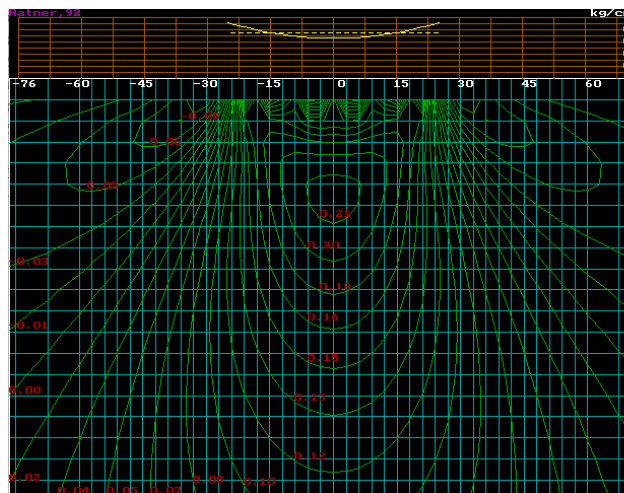


Figure 5.1 Distribution of initial stresses

$\sigma_{z0}$ ,  $\text{kg/cm}^2$  in a homogeneous medium. Serial track.

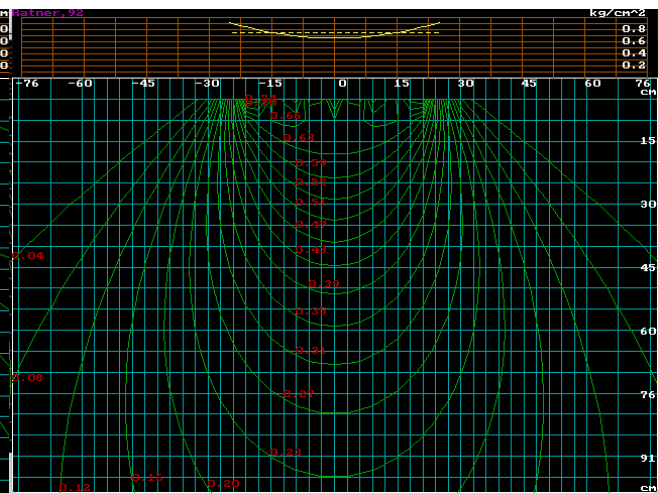


Figure 5.2 Distribution of steady-state stresses

$\sigma_z$ ,  $\text{kg/cm}^2$  in a homogeneous medium. Serial track.

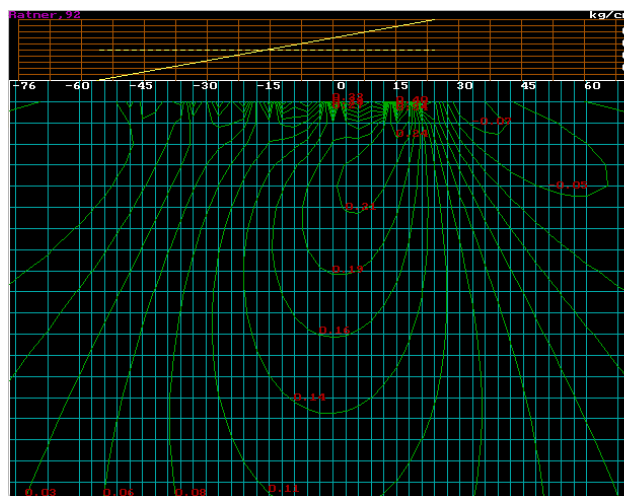


Figure 5.3 Distribution of initial stresses

$\sigma_{z0}$ ,  $\text{kg/cm}^2$  in a homogeneous medium. Highly elastic track.

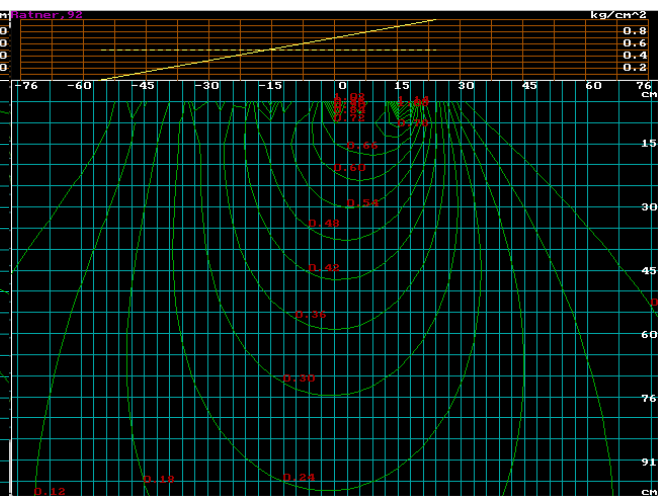


Figure 5.4 Distribution of steady-state stresses

$\sigma_z$ ,  $\text{kg/cm}^2$  in a homogeneous medium. Highly elastic track.

Sampling depth, cm	The number of strikes by the a striker	Soil deformation modulus for a striker, MPA	Density of wet soil $g/cm^3$	Density of the soil skeleton $g/cm^3$
20	3.33	5.0	2.04	1.7
40	4.0	6.0	2.02	1.68
60	2.75	4.12	2.11	1.74

*Table 5.1 Physical and mechanical indicators of soils and grounds on measured plots.*

648

Distance from the center of the treadmill to the pressure sensors, cm			
	-25	0	25
Serial track. Gross weight of the tractor 21250 kg			
Top row	0.090	0.384	...
+ 20 cm	0.196	0.333	0.357
+ 40 cm	...	0.231	...
Highly elastic track. Gross weight of the tractor 23900 kg			
Top row	0.060	...	1.538
+ 20 cm	0.190	0.282	0.289
+ 40 cm	...	0.189	...

*Table 5.2 Average values of pressures,  $kg/cm^2$  .*

649 It can be seen from Table 5.2 that the general view of the calculated distribution functions of  
650 vertical stresses for both types of tracks is in good agreement with the experimental data. The  
651 experimental values of stresses are greater than the initial calculated values, but less than the  
652 steady-state values of stresses. This can be explained by the following factors:

653 a) The initial distance from the top layer of the sensors (20 cm) decreased as a result of soil

654 deformation.

655 b) The values of pressures averaged over the time series are taken as experimental data, i.e.  
656 pressure from the load, which acts for some time, and the initial stresses from the instantly  
657 applied load are taken as the calculated ones, the value of which is averaged over the reference  
658 area of the track.

659 c) Pressure sensors perceive the load, the components of which are the load from water pressure  
660 and the load from vertical stresses in the soil skeleton, and we calculate only vertical stresses in  
661 the skeleton.

## 662 Conclusion

663 The presented mathematical model, together with its software implementation, makes it possible  
664 to assess the degree of influence of the tire of a forest wheeled tractor on the waterlogged forest  
665 soil, depending on the design parameters of the tire and the vertical loads that fall on it.

666 The adequacy of the mathematical model is confirmed by the conducted experimental studies, as  
667 well as by numerous test results of forest wheeled tractors.

668 The model is developed based on the theory of soil mechanics. The plane problem of compaction  
669 of water-saturated anisotropic (in the general case) soil is considered. It was shown that with an  
670 instantaneous application of a vertical load, the initial distribution of stress and water pressure in  
671 the soil are expressed through their values in a state of complete stabilization. Therefore, it is  
672 conventionally assumed that the magnitude of the load does not change before the onset of this  
673 state, causing linear (relative to the load) deformations of the soil.

674 Thus, first, a plane problem of different modulus of the theory of a linearly deformable medium  
675 is solved. This problem is described by a system of partial differential equations (equations 7-9  
676 of section 1). The solution is found by the finite element method with respect to displacements.

677 Then, the steady-state and initial values of the stresses are determined, as well as the values of  
678 the maximum deviation of the total stress vector -  $\theta_{max}$  .

679 In the case of an isotropic medium, the initial heads function ( $H_o$ ) satisfies the Laplace  
680 equation:  $\Delta H_o = 0$  . The first boundary value problem is posed and solved. Analytical  
681 expressions are obtained for the initial values of water heads, pressure and stresses. With their  
682 help, one can select the optimal triangulation of the region for a given loading diagram and check  
683 the finite element solution.

684 The initial data for this mathematical model are the layer-by-layer values of the deformation  
685 modulus, Poisson's ratio, adhesion coefficient  $C_o$  , and angle of internal friction  $\varphi_o$  .

686 Condition:  $\theta_{max} = \infty$  means that the soil mass is in a state of ultimate plastic equilibrium.

687 The calculation results are presented as level lines of the function of two variables. The general  
688 view of the vertical stress function is in good agreement with the available experimental data.

689 It was found that the form of the transverse loading diagram has a significant effect on the degree  
690 of the stress state of the soil. At the same average contact pressures, the parabolic shape of the  
691 loading diagram, which is characteristic of tires with reduced internal air pressure, has the  
692 smallest effect on the soil.

693 The method can serve as the basis for predicting the degree of soil compaction and the intensity  
694 of rutting, as well as the environmental consequences of the operation of forest machines.

## 695 Acknowledgement

696 The author sincerely grateful to Mr. Philip Rodriguez for his help in preparing the text.

## 697 Computer Code Availability

698 Name of code: soil-models

699 Dr. Igor Ratnere, Lawrence Berkeley National Laboratory

700 1 Cyclotron Road, M/S 91R0183, Berkeley, CA 94720, [iratnere@lbl.gov](mailto:iratnere@lbl.gov), 510-495-8373 (Office)

701 Hardware required: Mac (Mac OS ) or PC (Windows)

702 Program language: Turbo Pascal

703 Software required: DosBox, Turbo Pascal

704 (<https://gist.github.com/nvgrw/da00b5d3ac96b9c45c80>)

705 Program size: 408 KB

706 The source codes are available for downloading at the link: [https://github.com/igorratn/soil-](https://github.com/igorratn/soil-models.git)

707 [models.git](https://github.com/igorratn/soil-models.git)

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