

# Mathematical modeling of the impact of vehicles on water-saturated soil

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## Environmental impact of vehicles on water-saturated soil

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## Abstract

The presented mathematical model, together with its software implementation, makes it possible to assess the degree of influence of a vehicle on waterlogged forest soil, depending on the design parameters of the tire and the vertical loads on it.

The model is developed based on the theory of soil mechanics. The plane problem of compaction of water-saturated anisotropic soil is considered. It was shown that with an instantaneous application of a vertical load, the initial distribution of stress and water pressure in the soil are

expressed through their values in a state of complete stabilization. Therefore, it is conventionally assumed that the magnitude of the load does not change before the onset of this state, causing linear deformations of the soil.

Thus, first, a plane problem of different modulus of the theory of a linearly deformable medium is solved. The solution is found by the finite element method with respect to displacements.

Then, the steady-state and initial values of the stresses are determined.

It was found that the form of the transverse loading diagram has a significant effect on the degree of the stress state of the soil. At the same average contact pressures, the parabolic shape of the loading diagram, which is characteristic of tires with reduced internal air pressure, has the smallest effect on the soil.

The method can serve as the basis for predicting the degree of soil compaction and the intensity of rutting, as well as the environmental consequences of the operation of forest machines.

## Keywords

Soil mechanics/Water-saturated soil/Environmental impact of vehicles/Forest vehicles/The first boundary value problem/The finite element method

## Introduction

The result of the harmful environmental impact of the skidder on the ground is soil compaction, destruction of sod cover, and rut formation. As shown by numerous observations in the USA, Canada and other countries, the use of a wheeled skidder in logging leads to soil compaction and, as a consequence, to a decrease in forest productivity. The operation of the machine causes compaction and destruction of the sod cover, which serves as the most important source of plant nutrition. There is a change in biogeochemical cycles, and seed germination worsens within the framework of natural reforestation.

46 Destruction of the upper sod layer, saturated with organic matter, occurs as a result of deepening  
47 the lugs and wheel slip. As the analysis of the impact of the wheel on the ground shows, the most  
48 important factors affecting the environmental consequences of movement are, on the one hand,  
49 the physical and mechanical properties of the soil, on the other hand, the ability of the wheel to  
50 realize the required traction force with minimal slipping and cause minimal soil compaction.

51 When solving the problem of reducing the impact of the wheel on the soil and assessing its state  
52 after the passage of the machine, the question naturally arises of identifying the factors affecting  
53 the deformation and compaction of the soil, and finding the mathematical relationships between  
54 them. The existing mathematical models of the interaction of the wheel with the ground are  
55 usually based on a one-dimensional stress distribution function over depth, which is obtained by  
56 processing the results of stamping tests (Ratnere, 1993). With this approach, it is impossible to  
57 assess the plane and spatial phenomena, including the distribution of compaction zones under the  
58 wheel and edge effects that cause lateral uplift of the soil. In addition, the whole principle of  
59 constructing the model is based on the mechanical transfer of the results of stamp tests to the  
60 wheel rolling process, it does not reflect the dynamics of the phenomenon, and the complication  
61 of the model by the introduction of correction factors for the geometric parameters of the contact  
62 patch and the time of application of the load does not contribute to an increase in the accuracy of  
63 the solution, since their influence on the final result is nullified by averaging the load over the  
64 contact patch and the accuracy of obtaining soil characteristics. Therefore, it is necessary to look  
65 for new methods of constructing a mathematical model.

66 The basis for the construction of a mathematical model was a well-developed theory of soil  
67 mechanics. Its methods have been successfully applied in practice for a long time. There are  
68 proven methods for obtaining the required characteristics of soils and an extensive data on them.

69 The mathematical model, together with its software implementation, allows:

- 70 1) To judge the influence of the design features of the wheels and the nature of the vertical load  
71 on the distribution of stresses in the soil.
- 72 2) Take into account the anisotropy of soil properties.
- 73 3) Simulate movement on ice and swamp.
- 74 4) Assess the ability and environmental impact of vehicle on soft ground.
- 75 5) Predict the degree of soil compaction and the intensity of rutting during the operation of the  
76 forest machine.

## 77 1. Basic concepts of the physical and mechanical properties of soil

78 By their nature, soils are divided into two main classes: sands are products of mechanical  
79 destruction of basic rocks, and clays are products of chemical destruction of basic rocks. Sands  
80 and clays differ greatly in their physical and mechanical properties.

81 In nature, soils of mixed origin are usually found. They exhibit intermediate properties of sand  
82 and clay and are called, respectively, sandy loam, loam, etc.

83 All qualitative differences in soil properties are determined by the size and shape of the particles  
84 forming them. Of great importance in the manifestation of these properties is the water in the  
85 gaps between the particles. The gas in the soil (air, methane, water vapor) also strongly affects  
86 the properties of the soil.

87 Sands consist of particles having the shape of grains with a diameter of 0.5 - 2 mm (coarse sand)  
88 to 0.1 - 0.05 mm (fine sand) (Florin, 1954). Clay particles are in the form of plates with a  
89 thickness of not more than 1 micron.

90 Let us introduce the notation.

91  $V$  - some volume of soil;

92  $V_p$  - pore volume;

93  $V_s$  - volume of solid particles;

94  $V = V_p + V_s$  ;

95  $n = \frac{V_p}{V}$  - soil porosity;

96  $m = \frac{V_s}{V}$  - the volume of solid particles per unit volume of soil;

97  $n + m = 1$  ;

98  $\varepsilon = \frac{V_p}{V_s} = \frac{n}{m}$  - coefficient of porosity.

99 Compressibility of soils.

100 Due to the low permeability of solid soil particles, compression deformation occurs mainly due  
101 to a change in porosity. The relationship between the coefficient of porosity  $\varepsilon$  and compressive  
102 stresses  $\sigma$  is obtained using uniaxial compression devices (Figure 1.1).

103 On small intervals of stresses change, it is approximated by a straight line

$$\varepsilon = -a\sigma + A \quad (1)$$

104

105 With a large number of loading and unloading, the soil becomes practically elastic.

106 The physical and mechanical properties of typical soil types are presented in the tables 1.1-1.3.

107 Filtration properties of soils.

108 The filtration rate is defined in soil mechanics as the flow rate of water through a unit of the  
109 geometrical area of the soil section. Darcy's law establishes a relationship between the filtration  
110 rate  $u$  and the fluid pressure gradient  $H$  :

111 
$$u = -k \frac{\partial H}{\partial s} ,$$

112 where  $k$  is the filtration coefficient (cm / s).

113  $H$  is determined in hydraulics by the formula:

114 
$$H = \frac{P}{\gamma} + z, \text{ (cm)}$$

115 where  $P$  is the pressure in the liquid (  $\text{kg/cm}^2$  ),

116  $\gamma$  - specific gravity of the liquid (  $\text{kg/cm}^3$  ),

117  $z$  - the height of this point above the zero mark (cm).

118 The actual speed of water relative to immobile soil grains is determined by the formula:

119 
$$u_a = \frac{u}{n},$$

120 where is  $n$  the porosity of the soil (see above).

121 In the case of movement of soil grains towards the liquid at a speed,  $v_a$  Darcy's law is written

122 in the form:

$$u_a - v_a = -\frac{k}{n} \frac{\partial H}{\partial s} \Rightarrow u - \epsilon v = -k \frac{\partial H}{\partial s}. \quad (2)$$

123

124 Understanding stresses in soil.

125 Consider the case of deformation propagation in one plane. Let's select an elementary

126 parallelepiped and call the ratio of the force acting on an elementary area to its area stress. Then,

127 on the sections of the parallelepiped, inclined at different angles, we will get different values of

128 stresses. The stress vector coincides in direction with the force vector and it can be decomposed

129 into normal and tangential components:  $\sigma_n$  and  $\tau$  (Figure 1.2).

130 Let us introduce a rectangular coordinate system  $XoZ$  and denote the stresses acting along the

131  $oX$  and  $oZ$  axes, respectively,  $\sigma_x$  and  $\sigma_z$ .

132 Let only normal stress act in some section, and there is no tangential stress. This normal stress is

133 called the principal one. The largest and the smallest normal stresses acting in a given section are

134 the principal ones. They are denoted by  $\sigma_1$  and  $\sigma_3$  respectively.

135 It is convenient to determine the stress distribution in the sections of an elementary  
136 parallelepiped using Mohr's circles (Figure 1.3).

137 It can be seen from the figure that in the section drawn at an angle  $\alpha$ , the values of the normal  
138 and tangential stresses are determined by the coordinates of the point D on the circle. The  
139 maximum shear stress in absolute value is achieved at  $\alpha = \pm \pi/4$ .

140 The concept of soil strength.

141 In soil mechanics, the main indicators of strength are considered to be the shear resistance of the  
142 soil. The maximum shear stress is determined from the equation:

$$\tau = c + \sigma_n \tan \varphi, \quad (3)$$

143 where  $c$  is called adhesion, and  $\varphi$  is the angle of internal friction. For sands  $c=0$ ,  
144 therefore  $\tau = \sigma_n \tan \varphi$ . The  $\varphi$  angle for sands is a constant value, while for clays the  
145 cohesion and the angle of internal friction depend on the density and moisture. After preliminary  
146 compaction of the soil, an increase in adhesion and a decrease in the angle of internal friction are  
147 observed, this is due to an irreversible decrease in the coefficient of porosity  $\varepsilon$ , as a result of  
148 which the molecular forces of interaction between particles increase (Pokrovsky, 1941).

149 From equation (3), you can determine the straight lines, which are called the lines of destruction.  
150 For a given value  $\sigma_1$ , construct a Mohr circle so that it touches these lines (Figure 1.4).

151 The slope of the fracture planes can now be determined. It makes an angle  $\pi/4 + \varphi/2$  to the  
152 line of action of the lowest principal stress. At this moment, the principal stresses satisfy the  
153 equation

$$\sigma_1 = 2c\sqrt{\lambda_\varphi} + \sigma_3\lambda_\varphi, \quad (4)$$

154

155 where  $\lambda_\varphi = \tan^2(\pi/4 + \varphi/2)$  , and the soil massif is in a state of so-called plastic limiting  
156 equilibrium (Terzaghi, 1961). The effect of the hydrostatic pressure of water in the pores of the  
157 soil should also be taken into account, therefore, the so-called effective stress, which is perceived  
158 by the skeleton of the soil, should be substituted in formulas (3) and (4), and their values are less  
159 than the actual stresses by the value of the pore pressure of water. The sine of the largest  
160 deviation of the total stress vector can be represented as:

$$161 \quad \sin \theta_{max} = \frac{\sqrt{(\sigma_x - \sigma_z)^2 + 4\tau_{xz}^2}}{\sigma_z + \sigma_x + 2c/\tan \varphi} .$$

162 Deformation modulus and Poisson's ratio.

163 When compressing a soil sample in a compression device, transverse deformations of the soil are  
164 impossible. In this case, the lateral pressure coefficient  $\zeta$  is determined by the formula:

165  $\zeta = \frac{\sigma_x}{\sigma_z}$  . In soil mechanics, it is assumed that porosity depends only on the sum of the  
166 principal stresses, and not on their ratios. This assumption is based on the approximation of the  
167 real stress-strain curve by a straight line with sufficient accuracy for practical calculations.  
168 Because of this, we write formula (1) for the case of a biaxial stress state (plane problem):

$$169 \quad \varepsilon = -a \frac{\theta}{1 + \zeta} + A , \quad (5)$$

170 here  $\theta = \sigma_x + \sigma_z$  is the sum of the principal stresses.

171 The deformation modulus  $E(\varepsilon)$  is determined in soil mechanics from the expression:

$$172 \quad de_x = \frac{d\sigma_x - \nu d\sigma_z}{E(\varepsilon)} ,$$

173 where  $\sigma_x$  and  $\sigma_z$  is the increment in stresses, that caused  $de_x$  - the strain increment along  
174 the oX axis.



175 Poisson's ratio  $\nu$  is defined through the lateral pressure coefficient  $\zeta$  :  $\nu = \frac{\zeta}{1+\zeta}$  .

176 If we take the dependence  $\varepsilon = \varepsilon(\theta)$  as linear, for example, in the form (5), we obtain

177 
$$E = \frac{\beta(1+\varepsilon)}{a} ,$$

178 where  $\beta = \frac{(1-\zeta)(1+2\zeta)}{(1+\zeta)}$  .

Sands	0.40-0.42
Clays	0.70-0.75

*Lateral pressure coefficient (Florin, 1954).*

179

180 The above expressions for the deformation moduli make it possible, in the case of a nonlinear  
181 relationship between stresses and strains, to determine the modulus value for any given stress  
182 state. However, in many cases it is more convenient to use the so-called average deformation  
183 modulus

184 
$$E_{avg} = \frac{\beta(1+\varepsilon_1)}{a} ,$$
 where  $\varepsilon_1$  is the initial porosity coefficient.

185 It was shown (Gersevanov, 1948) the constancy of  $E_{avg}$  for plastic soils in a small range of  
186 load variation, which indicates a linear relationship between stresses in the skeleton and its  
187 deformations, and this shows that the formulas of the theory of elasticity are applicable to the  
188 calculations of stresses and deformations in the soil skeleton.

189 In conclusion, let us consider the case of applying a vertical load along the rectilinear boundary  
190 of a linearly deformed medium. In this case (Timoshenko and Goodier, 1970):

$$\begin{aligned}\sigma_x &= \frac{1}{2}\theta + \frac{1}{2}z \frac{\partial \theta}{\partial z} , \\ \sigma_z &= \frac{1}{2}\theta - \frac{1}{2}z \frac{\partial \theta}{\partial z} , \\ \tau_{xz} &= -\frac{1}{2}z \frac{\partial \theta}{\partial x} .\end{aligned}\tag{6}$$

191

192 The stress components are determined by the formulas:

$$193 \quad \sigma_x = \lambda e + \nu \varepsilon_x ,$$

$$194 \quad \sigma_z = \lambda e + \nu \varepsilon_z ,$$

$$195 \quad \text{where } \varepsilon_x = \frac{\partial u_1}{\partial x} , \quad \varepsilon_z = \frac{\partial u_3}{\partial z} , \quad \lambda = \frac{\nu E}{(1+\nu)(1-2\nu)} , \quad e = \varepsilon_x + \varepsilon_z ,$$

196  $u_1$  и  $u_3$  - displacement components.

$$197 \quad \tau_{xz} = \nu \gamma_{xz} ,$$

$$198 \quad \text{where } \gamma_{xz} = \frac{\partial u_1}{\partial z} + \frac{\partial u_3}{\partial x} .$$

199 For a general model of a linearly deformable medium, the stresses in the soil skeleton must

200 satisfy the equations (Timoshenko and Goodier, 1970):

$$\frac{\partial \sigma_x}{\partial x} + \frac{\partial \tau_{xz}}{\partial z} + X = 0 ,\tag{7}$$

201

$$\frac{\partial \tau_{xz}}{\partial x} + \frac{\partial \sigma_z}{\partial z} + Z = 0 ,\tag{8}$$

202

203 where  $X$  и  $Z$  - components of volumetric forces,

204 along with the equation

$$\Delta(\sigma_x + \sigma_z) = -\frac{1}{1-\nu} \left( \frac{\partial X}{\partial x} + \frac{\partial Z}{\partial z} \right), \quad (9)$$

205

206 where  $\nu$  - коэффициент Пуассона ;

207  $\Delta$  - оператор Лапласа.

## 208 2. Mathematical formulation of the compaction problem

### 209 2.1. The Simplest One-Dimensional Compaction Problem

210 Key assumptions:

211 a) We consider models of a two-component soil consisting of solid particles and water filling its  
 212 pores. This model is called soil mass. “The question may arise: in what cases, in practice, are we  
 213 dealing with a soil mass? ... As for the ground lying above the groundwater level, in the vast  
 214 majority of cases it is a soil mass, that is, one in which all voids are filled with water due to the  
 215 capillary rise of water in the fine pores of the soil. In clays, the length of the capillary rise of  
 216 water can reach a height of over 300 m above the groundwater level.

217 In order to judge whether we have a soil mass above the groundwater level, we can be guided by  
 218 the following signs: in all cases when the soil is in a fluid, plastic and semi-solid state, we are  
 219 dealing with a soil mass. Only when the soil passes from a semi-solid state to a solid state does  
 220 air penetrate into the pores of the soil and partially fill the voids of the soil skeleton. The  
 221 transition from solid to solid is characterized by a sharp change in the color of the soil. ... To  
 222 determine the condition of the soil, i.e. whether it is fluid, plastic or semi-solid, there are fully  
 223 developed laboratory methods ... ”(Gersevanov, 1948, p. 145).

224 b) The change in porosity occurs only due to the dense packing of soil particles;

225 c) The filtration coefficient does not depend on the stress state.

226 Basic equations.

227 1. Equations of continuity for solid and liquid soil components.

$$\frac{\partial u_z}{\partial z} + \frac{\partial n}{\partial t} = 0, \quad (1)$$

228

$$\frac{\partial v_z}{\partial z} + \frac{\partial m}{\partial t} = 0, \quad (2)$$

229

230 here, as before,  $v_z$  and  $u_z$  are the flow rates of the solid and liquid components along the  $oz$

231 axis. Adding equations (1), (2) and taking into account equality  $n + m = 1$ , we obtain

$$\frac{\partial u_z}{\partial z} + \frac{\partial v_z}{\partial z} = 0. \quad (3)$$

232

233 2. Darcy's dependency.

$$u_z - \varepsilon v_z = -k \frac{\partial H}{\partial z}. \quad (4)$$

234

235 3. Equilibrium equation.

236 Let  $\sigma$  - stress in the soil skeleton;  $p$  - pressure in water;  $\sigma^*$  and  $p^*$  - the corresponding

237 values in a state of complete stabilization.

$$\sigma + p = \sigma^* + p^*. \quad (5)$$

238 That is the sum of stress and pressure is a constant.

239 Differentiate (4) by  $z$  :

$$\frac{\partial u_z}{\partial z} - \frac{\partial \varepsilon}{\partial z} v_z - \varepsilon \frac{\partial v_z}{\partial z} = - \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) ,$$

considering (3):

$$v_z \frac{\partial \varepsilon}{\partial z} + (1 + \varepsilon) \frac{\partial v_z}{\partial z} = \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) .$$

Further, taking into account (2) and the relationship between  $\varepsilon$  and  $m$  from Section 1, we obtain:

$$\frac{\partial v_z}{\partial z} = - \frac{\partial m}{\partial t} = - \frac{\partial}{\partial t} \left( \frac{1}{1 + \varepsilon} \right) = \frac{1}{(1 + \varepsilon)^2} \frac{\partial \varepsilon}{\partial t} ;$$

$$v_z \frac{\partial \varepsilon}{\partial z} + (1 + \varepsilon) \frac{\partial v_z}{\partial z} = \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) .$$

Further, as shown in Florin (1961),

$$v_z \frac{\partial \varepsilon}{\partial z} = o \left( \frac{\partial \varepsilon}{\partial t} \right)$$

and the error from replacement  $[1 + \varepsilon(t, z)]$  by  $[1 + \varepsilon(t, z)]$  ( $\varepsilon$  is the average porosity in the considered compaction range) is less than the error of the laboratory determination of the filtration coefficient  $k$  .

In view of the above

$$\frac{\partial \varepsilon}{\partial z} = (1 + \varepsilon) \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) ,$$

$$\frac{\partial \varepsilon}{\partial t} = \frac{d \varepsilon}{d \sigma} \frac{\partial \sigma}{\partial t} = -a \left( - \frac{\partial p}{\partial t} \right) = a \gamma \frac{\partial H}{\partial t} .$$

Here we used equation (1) from Section 1 and equilibrium equation (5). We will finally write down

$$\frac{\partial H}{\partial t} = \frac{(1+\varepsilon)}{a\gamma} \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) . \quad (6)$$

258

259 The resulting equation is equivalent in form to the equation of heat conduction and diffusion.

260 Next, the initial and boundary conditions are assigned:

$$261 \quad t=0, \quad H=H(Z) ;$$

$$262 \quad t>0, \quad z=0: \quad H=\lambda(t), \quad z=z^*: \quad H=\mu(t) .$$

263 Example. Let the distributed load  $q: H_o=q/\gamma$  be instantaneously applied at the initial

264 moment  $t=0$  . If the layers  $z=0$  and  $z=z^*$  are also permeable, then when  $t>0$  :

$$265 \quad z=0, \quad H=0; \quad z=z^*, \quad H=0 ,$$

$$266 \quad \text{For waterproof layers } \frac{\partial H}{\partial z}=0 .$$

267 The solution of equation (6) together with the given initial and boundary conditions is found by

268 known methods, for example, by the method of separation of variables (by the Fourier method).

269 The stress distribution  $\sigma(z, t)$  is determined from equation (5):

$$270 \quad \sigma + p = \text{const} = q ,$$

$$271 \quad \sigma = q - p = q - \gamma H .$$

272 The amount of compaction can be found by the formula:

$$273 \quad s(t, h) = \int_0^h e_z(t, z) dz ,$$

274 where  $e_z$  is the compaction of the layer with the coordinate  $z$  , according to the results of

$$275 \quad \text{Section 1, } e_z = \frac{a}{1+\varepsilon} \sigma ;$$

276  $h$  - active compaction depth, can be determined in the following way:

277 make up a sequence  $(h_k), k=0,1,2,\dots$  and determine  $h_l$  from the condition:

278 
$$\frac{|s(t, h_{l-1}) - s(t, h_l)|}{s(t, h_l)} \leq \delta .$$

279 Where  $\delta$  is the specified accuracy. Thus, the problem of compaction in the one-dimensional  
280 case can be considered solved.

## 281 2.2. Plane and spatial problems of compaction

282 The assumptions are the same as in the previous paragraph. First, consider the planar compaction  
283 problem (XoZ plane).

284 Basic equations.

285 1. Equations of continuity

$$\frac{\partial u_x}{\partial x} + \frac{\partial u_z}{\partial z} + \frac{\partial n}{\partial t} = 0 \quad (1)$$

$$\frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} + \frac{\partial m}{\partial t} = 0 . \quad (2)$$

286

287 Add equations (1), (2)

$$\frac{\partial (u_x + v_x)}{\partial x} + \frac{\partial (u_z + v_z)}{\partial z} = 0 . \quad (3)$$

288

289 2. Darcy's dependence

$$u_x - \varepsilon v_x = -k \frac{\partial H}{\partial x} \quad (4)$$

$$u_z - \varepsilon v_z = -k \frac{\partial H}{\partial z} . \quad (5)$$

290 3. Equilibrium equations

$$\sigma_x + p = \sigma_x^* + p^* \quad (6)$$

$$\sigma_z + p = \sigma_z^* + p^* \quad (7)$$

$$\tau_{xz} = \tau_{xz}^* \quad (8)$$

Here  $\tau_{xz}$  are the shear stresses. It is assumed that the tangential load is instantly perceived by the skeleton and is not transmitted to the water.

Differentiate (4) by  $x$ , (5) by  $z$  and add up:

$$\frac{\partial u_x}{\partial x} + \frac{\partial u_z}{\partial z} - \varepsilon \left( \frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} \right) = - \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] \quad .$$

Comment. Discarded terms  $\frac{\partial \varepsilon}{\partial z} v_z$  and  $\frac{\partial \varepsilon}{\partial x} v_x$  .

Taking into account (3), we obtain

$$(1 + \varepsilon) \left( \frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} \right) = \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \quad ,$$

where the value  $1 + \varepsilon$  is a constant (see section 2.1).

Further, from (2) we have

$$\frac{\partial v_x}{\partial x} + \frac{\partial v_z}{\partial z} = - \frac{\partial m}{\partial t} = \frac{1}{(1 + \varepsilon)^2} \frac{\partial \varepsilon}{\partial t} \quad ,$$

taking this into account, we get

$$\frac{\partial \varepsilon}{\partial t} = (1 + \varepsilon) \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] \quad . \quad (9)$$

Next, we use equation (5) from Section 1.1. We have

$$\frac{\partial \varepsilon}{\partial t} = \frac{d \varepsilon}{d \sigma} \frac{\partial \sigma}{\partial t} = - \frac{a}{1 + \xi} \frac{\partial \theta}{\partial t} \quad .$$

From equations (6) and (7) we obtain

$$\theta = \sigma_x + \sigma_z = \theta^* = \sigma_x^* + \sigma_z^* - 2(p - p^*) \quad ,$$

thus it turns out



$$\frac{\partial \theta}{\partial t} = -2 \frac{\partial p}{\partial t} = -2 \gamma \frac{\partial H}{\partial t} \quad .$$

Let us finally write equation (9) in the form:

$$\frac{\partial H}{\partial t} = \frac{(1+\varepsilon)(1+\xi)}{2\gamma a} \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] \quad . \quad (10)$$

Reasoning quite similarly in the case of a triaxial stress state, we arrive at the equation:

$$\frac{\partial H}{\partial t} = \frac{(1+\varepsilon)(1+2\xi)}{3\gamma a} \left[ \frac{\partial}{\partial x} \left( k \frac{\partial H}{\partial x} \right) + \frac{\partial}{\partial y} \left( k \frac{\partial H}{\partial y} \right) + \frac{\partial}{\partial z} \left( k \frac{\partial H}{\partial z} \right) \right] \quad . \quad (11)$$

Let us assume that the filtration coefficient  $k$  does not change during the compaction process.

Then we have:

$$\frac{\partial H}{\partial t} = K \Delta H \quad . \quad (12)$$

Where  $\Delta$  is the Laplace operator,

$$K = \frac{(1+\varepsilon)(1+\xi)}{2\gamma a} k \quad - \text{ for a plane problem}$$

$$K = \frac{(1+\varepsilon)(1+2\xi)}{3\gamma a} k \quad - \text{ for a spatial problem.}$$

Initial conditions.

Note that the initial heads distribution function  $H_o$  satisfies the Laplace equation

$$\Delta H_o = 0 \quad . \quad (13)$$

This equation is a consequence of the fact that at the initial moment of load application

$$\frac{\partial v_x}{\partial x} = \frac{\partial v_y}{\partial y} = \frac{\partial v_z}{\partial z} = 0 \quad .$$

324 We find the initial pressure distribution from (6) and (7):

325 in the case of the plane problem

$$P_o = \frac{1}{2} \theta_o^* + P_o^* , \quad (14)$$

326 for a spatial task

$$P_o = \frac{1}{3} \theta_o^* + P_o^* . \quad (15)$$

327 Here, as before,  $\theta_o^*$  denotes the sum of normal stresses in a stabilized state,  $P_o^*$  is the final

328 pressure distribution. We accept further  $P_o^* = 0$  .

329 Thus, to determine the initial distribution of the pressure, it is necessary to solve problems (7),

330 (8) and (9) of the theory of elasticity (Section 1).

331 The initial stress distribution is determined from (6), (7), (14), (15)

332 for a planar problem

$$333 \quad \sigma_{xo} = \frac{1}{2} (\sigma_x^* - \sigma_z^*) ,$$

$$334 \quad \sigma_{zo} = \frac{1}{2} (\sigma_z^* - \sigma_x^*) ,$$

$$335 \quad \tau_{xzo} = \tau_{xz}^* .$$

336 For a spatial problem

$$337 \quad \sigma_{xo} = \sigma_x^* - \frac{1}{3} \theta_o^* ,$$

$$338 \quad \sigma_{yo} = \sigma_y^* - \frac{1}{3} \theta_o^* ,$$

$$339 \quad \sigma_{zo} = \sigma_z^* - \frac{1}{3} \theta_o^* ,$$

$$\tau_{xzo} = \tau_{xz}^*, \quad \tau_{xyo} = \tau_{xy}^*, \quad \tau_{yzo} = \tau_{yz}^* .$$

Border conditions .

On the permeable sections of the boundary surface, the values of the pressure function are equal to zero:  $H=0, x \in \Gamma$  . In watertight areas, the pressure gradient value is zero:

$$\frac{\partial H}{\partial n} = 0, x \in \Gamma .$$

In addition, in the case of compaction of heterogeneous soil, the conjugation conditions must be met at the border of adjacent media:

$$H_1(x, t)|_S = H_2(x, t)|_S ;$$

$$k_1 \left( \frac{\partial H_1}{\partial n} \right) = k_2 \left( \frac{\partial H_2}{\partial n} \right) .$$

Example. The plane problem of compaction of isotropic soil with an arbitrary vertical load. We find the initial stress distribution from equation (6) in Section 1 and from equations (6), (7), (8):

$$\begin{aligned} \sigma_{xo} &= \frac{1}{2} (\sigma_x^* - \sigma_z^*) = \frac{1}{2} z \frac{\partial \theta^*}{\partial z} = z \frac{\partial P_o}{\partial z} \\ \sigma_{zo} &= \frac{1}{2} (\sigma_z^* - \sigma_x^*) = -z \frac{\partial P_o}{\partial z} \\ \tau_{xzo} &= \tau_{xz}^* = \frac{1}{2} z \frac{\partial \theta^*}{\partial x} = -z \frac{\partial P_o}{\partial z} \end{aligned} . \quad (16)$$

To determine  $P_o$  , it is necessary to solve the following problem:

$$\Delta P_o = 0 ,$$

$z=0, x \in D$   $P_o = q(x)$  - given load,

$$\begin{aligned} x \notin D \quad P_o &= 0; \\ x \rightarrow \pm \infty, \quad z \rightarrow \pm \infty, \quad P_o &= 0 \end{aligned} . \quad (17)$$

356 Consider a more general first boundary value problem:

$$\begin{aligned} Lu &= -f(M), \quad (M \in D) \\ u|_S &= \varphi(M) \end{aligned} \quad (18)$$

357 In the original problem

$$358 \quad u \equiv H, \quad Lu = \Delta u = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial z^2};$$

$$359 \quad f(M) = f(x, z) = 0, \quad (M \in D);$$

$$360 \quad \varphi(M) = \varphi(x, z) \equiv \begin{cases} 0, & z=0, x \notin [-a, a] \\ q(x), & z=0, x \in [-a, a] \\ 0, & z \rightarrow +\infty, x \rightarrow \pm\infty \end{cases},$$

361 where  $q(x) = \gamma q(x)$  is the diagram of the load distribution,  $2a$  is the contact width (Figure  
362 2.1).

363

364

365

366

367 To solve problem (18), the method of Green's functions is used, the solution has the form

368 (Nikiforov, 1983):

$$369 \quad u(P) = - \int_S \varphi \frac{\partial G}{\partial n} dS_M$$

370 where  $G = G(M, P)$  is the solution to an equation of a special form:

$$\begin{cases} \Delta G = -\delta(M, P) \quad (M \in D) \\ G|_S = 0 \end{cases} \quad (19)$$

371

372 Here  $\delta(M, P)$  is the Dirac  $\delta$  - function. Solution (19) is presented in the form:

373 
$$G(M, P) = \psi(\Gamma_{MP}) + V(M, P) ,$$

374 where  $V$  is harmonious at  $D$  (i.e.  $\Delta V = 0, M \in D$ ), and  $\psi(\Gamma_{MP})$  has a singularity at the  
375 point  $P$  and at  $\Gamma_{MP} = 0$

$$\Delta \psi = -\delta(M, P) . \quad (20)$$

376

377 We integrate (20)

378 
$$\int_{S_P^R} \Delta \psi ds = -1 \quad (\delta \text{ - function property}).$$

379 Using Green's formula, we pass to the integral over a circle centered at the point  $P$  of radius  
380  $R$  (see Figure 2.1):

381 
$$\oint_C \frac{\partial \psi}{\partial n} ds = \oint_C \frac{d\psi}{dr} ds = \frac{d\psi}{dr} \Big|_R \cdot 2\pi R = -1$$
  

$$d\psi(R) = -\frac{1}{2\pi} \frac{dR}{R} \Rightarrow \psi(R) = -\frac{1}{2\pi} \ln \frac{1}{R} .$$
  

$$\Rightarrow G(M, P) = \frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP}} \right) + V(M, P)$$

382 For our case  $V(M, P)$  is determined by the method of reflections (Figure 2.2).

383 Due to the fact that on the boundary of the half-plane  $G(M, P) = 0$ , it follows that

384 
$$V(M, P) = -\frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP_1}} \right) \text{ is a harmonic function } \forall M \in D .$$

385 
$$\Rightarrow G(M, P) = \frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP}} \right) - \frac{1}{2\pi} \ln \left( \frac{1}{\Gamma_{MP_1}} \right) ,$$

386 or in coordinates  $x, z, \xi, \eta: (M(\xi, \eta), P(x, z))$  :

387 
$$G(M, P) = \frac{1}{2\pi} \ln \left( \frac{1}{\sqrt{(x-\xi)^2 + (z-\eta)^2}} \right) - \frac{1}{2\pi} \ln \left( \frac{1}{\sqrt{(x-\xi)^2 + (z+\eta)^2}} \right)$$

388  $\Rightarrow u(P) = - \int_a^{-a} q(\xi) \frac{\partial G}{\partial \eta} \big|_{\eta=0} d\xi$  , and it remains to find

389 
$$\frac{\partial G}{\partial \eta} \big|_{\eta=0} = \frac{1}{2\pi} \ln \left( \frac{2z}{(x-\xi)^2 + z^2} \right) .$$

390 Thus, the solution (18) is:

391 
$$H_o(x, z) = \frac{1}{\pi} \int_a^{-a} q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi .$$

392 For the original problem, this is equivalent to the following expression:

393 
$$H_o(x, z) = \frac{1}{\pi} \int_a^{-a} q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi .$$

394 So found in the initial pressure distribution:

395 
$$P_o(x, z) = \frac{y}{\pi} \int_a^{-a} q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi ,$$

396 where  $q(\xi) = \frac{q(\xi)}{y}$  , that is, you can rewrite

$$P_o(x, z) = \frac{1}{\pi} \int_a^{-a} q(\xi) \frac{z}{(x-\xi)^2 + z^2} d\xi . \quad (21)$$

397

398 We find the initial stress distribution by the formulas (16):

$$\begin{aligned} \sigma_{xo} &= z \frac{\partial P_o}{\partial z} = \frac{z}{\pi} \int_{-a}^a q(\xi) \frac{(x-\xi)^2 - z^2}{[(x-\xi)^2 + z^2]^2} d\xi \\ \sigma_{zo} &= -\sigma_{xo} \\ \tau_{xzo} &= -z \frac{\partial P_o}{\partial z} = \frac{1}{\pi} \int_{-a}^a q(\xi) \frac{2z(x-\xi)}{[(x-\xi)^2 + z^2]^2} d\xi \end{aligned} \quad (22)$$

399

400 The distribution of initial stresses and pressures for an arbitrary vertical load is shown in Figures

401 2.3 and 2.4.

### 402 3. Finite-element solution of a multimodular problem of the theory of 403 elasticity

404 The initial conditions of problem (12) in Section 2 are expressed in terms of the steady-state  
405 stress distribution, the definition of which is devoted to this section.

406 Earlier it was indicated (Section 1) that the formulas of the theory of elasticity are formally  
407 applicable to the calculation of stresses and strains in the soil skeleton, although in essence it  
408 means the presence of not elastic, but a linear relationship between stresses and deformations.

409 Select a rectangular area on the half-plane and triangulate it (Figure 3.1). Consider the four types  
410 of triangles used in the partition. The nodes are numbered clockwise (Figure 3.2).

411 We denote the movement of the i-th node of a separate element through  $u_i$  and  $v_i$ . The  
412 displacements of the nodes belonging to the vertical boundaries of the half-plane are set to zero.

413 The displacements of element points are expressed in terms of nodal displacements:

$$U_N = \Phi U \quad (1)$$

414

415 Where  $U_N = \begin{bmatrix} U(x, y) \\ V(x, y) \end{bmatrix}$  - the vector of displacements;

416  $\Phi = \begin{bmatrix} \varphi_1 & 0 & \varphi_2 & 0 & \varphi_3 & 0 \\ \varphi_1 & 0 & \varphi_2 & 0 & \varphi_3 & 0 \end{bmatrix}$  - shape matrix;

417  $\varphi_1, \varphi_2, \varphi_3$  - form functions on an element;

418  $U = [u_1, v_1, u_2, v_2, u_3, v_3]^T$  - vector of nodal values of displacements on the element.

419 We carry out a functional expressing the potential energy of a deformed body:

$$I = \sum_{e=1}^{e=l} t_e \int_{\Omega_e} \frac{1}{2} \varepsilon^T \sigma d\Omega_e - \sum_{e=1}^{e=l} t_e \int_{\Gamma_e} U_N^T Q d\Gamma_e . \quad (2)$$

420 Here the contributions are summed over  $l$  - elements, each of them with thickness  $t_e$  , area

421  $\Omega_e$  ;

422  $\varepsilon = (\varepsilon_x, \varepsilon_y, \gamma_{xy})^T$  - deformation vector,

423  $\sigma = (\sigma_x, \sigma_y, \tau_{xy})^T$  - stress vector,

424  $Q = (q_x, q_y)^T$  is the vector of the distributed load applied to the boundary  $\Gamma_e$  of the boundary

425 element  $e$  .

426 The relationship between stresses and deformation is expressed by Hooke's law (Timoshenko,

427 J.N. Goodier, 1970):

$$\sigma = D \varepsilon . \quad (3)$$

428

429 Here  $D$  is the elasticity matrix of the element:

$$430 \quad D = \frac{E}{1-\nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & (1-\nu)/2 \end{bmatrix} ,$$

431 where  $E$  is the modulus of deformation on the element,

432  $\nu$  - Poisson's ratio on the element.

433 The relationship between displacement and deformation is expressed by the formula

434 (Timoshenko and Goodier, 1970):

$$435 \quad \varepsilon = \begin{bmatrix} \frac{\partial u}{\partial x} \\ \frac{\partial v}{\partial y} \\ \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \end{bmatrix}$$



436 or, taking into account (1), we write

$$\varepsilon = B \cdot U \quad (4)$$

437 where

$$438 \quad B = \begin{bmatrix} \frac{\partial}{\partial x} & 0 \\ 0 & \frac{\partial}{\partial y} \\ \frac{\partial}{\partial x} + \frac{\partial}{\partial y} \end{bmatrix} \cdot \Phi = \begin{bmatrix} \frac{\partial \varphi_1}{\partial x} & 0 & \frac{\partial \varphi_2}{\partial x} & 0 & \frac{\partial \varphi_3}{\partial x} & 0 \\ 0 & \frac{\partial \varphi_1}{\partial y} & 0 & \frac{\partial \varphi_2}{\partial y} & 0 & \frac{\partial \varphi_3}{\partial y} \\ \frac{\partial \varphi_1}{\partial y} & \frac{\partial \varphi_1}{\partial x} & \frac{\partial \varphi_2}{\partial y} & \frac{\partial \varphi_2}{\partial x} & \frac{\partial \varphi_3}{\partial y} & \frac{\partial \varphi_3}{\partial x} \end{bmatrix}.$$

439 We accept the functions of the shape of the element as linear and equal on the element to its

440 barycentric coordinates, and outside the element to zero:

$$441 \quad \varphi_i = \frac{a_i x + b_i y + c_i}{2S}, i=1,2,3 \quad .$$

442 Where  $S$  is the area of the element,

$$\left. \begin{aligned} a_1 &= y_2 - y_3 \\ b_1 &= x_3 - x_2 \\ c_1 &= x_2 y_3 - x_3 y_2 \end{aligned} \right\} \quad . \quad (5)$$

443

444 The coefficients  $a_2, a_3, b_2, b_3, c_2, c_3$  are determined through the cyclic permutation of the indices

445 in (5).

446 Then the matrix  $B$  will take the form:

$$447 \quad B = \frac{1}{2S} \begin{bmatrix} a_1 & 0 & a_2 & 0 & a_3 & 0 \\ 0 & b_1 & 0 & b_2 & 0 & b_3 \\ b_1 & a_1 & b_2 & a_2 & b_3 & a_3 \end{bmatrix}.$$

448 Let's rewrite (2) taking into account (1), (3), (4):

$$I = \sum_{e=1}^{e=l} t_e \int_{\Omega_e} \frac{1}{2} U^T B^T D \cdot B \cdot U d\Omega_e - \sum_{e=1}^{e=l} t_e \int_{\Gamma_e} U^T \Phi Q d\Gamma_e \quad . \quad (6)$$

449

450 A finite-element solution provides a minimum to functional (6) on the class of functions from a

451 finite-dimensional space with a basis  $(\varphi_e)_{e=1}^{e=l}$ ,  $\varphi_e = (\varphi_1^e, \varphi_2^e, \varphi_3^e)$  (Ciarlet, 1978).

452 The necessary condition for the minimum of functional (6):

$$\sum_{e=1}^{e=l} \frac{\partial I^e}{\partial U} = \sum_{e=1}^{e=l} \int_{\Omega_e} t_e B^T D \cdot B \cdot U d\Omega_e - \sum_{e=1}^{e=l} \int_{\Gamma_e} t_e \Phi Q d\Gamma_e = 0, \quad .$$

$e = 1, 2, \dots, l$

454 Therefore, we find the solution from the system of linear equations:

$$K_f \cdot U_f = F_f \quad . \quad (7)$$

455

456 Here  $U_f$  is the global vector of nodal values:

$$U_f = (U^1, U^2, \dots, U^l)^T \quad ,$$

458  $K_f$  - a global stiffness matrix composed of element stiffness matrices (the so-called local

459 stiffness matrices)  $K^e$  :

$$K^e = \int_{\Omega_e} t_e B^T D B U d\Omega_e \quad ,$$

461  $F_f$  - global load vector, composed of load vectors of elements:

$$F_f^e = \int_{\Gamma_e} t_e \Phi^T Q d\Gamma_e \quad .$$

463 Consider a boundary element with a distributed vertical load applied to it (Figure 3.3).

464 In the case of linear linear functions of the form, we have on the boundary:

$$\varphi_2 = 1 - \frac{s}{h_1} \quad , \quad \varphi_3 = \frac{s}{h_1}$$

466

$$F_f^e = t_e \int_0^{h_1} (0 \ 0 \ 0 \ \varphi_2 q_y \ 0 \ \varphi_3 q_y)^T dS \quad . \quad (8)$$

467

468 Here are the specific values of the matrices and coefficients. Let's denote for convenience

$$\xi = \frac{h_1}{h_2} \quad .$$

470

The matrix  $B^e$

471

Elements of the 1<sup>st</sup> type

$$B^{(1)} = \begin{bmatrix} 0 & 0 & -1/\xi & 0 & 1/\xi & 0 \\ 0 & \xi & 0 & -\xi & 0 & 0 \\ \xi & 0 & -\xi & -1/\xi & 0 & 1/\xi \end{bmatrix}$$

473

474

475

Elements of the 2<sup>nd</sup> type

$$B^{(2)} = \begin{bmatrix} 0 & 0 & 1/\xi & 0 & -1/\xi & 0 \\ 0 & -\xi & 0 & \xi & 0 & 0 \\ -xi & 0 & \xi & 1/\xi & 0 & -1/\xi \end{bmatrix}$$

477

Elements of the 3<sup>rd</sup> type

$$B^{(3)} = \begin{bmatrix} -1/\xi & 0 & 0 & 0 & 1/\xi & 0 \\ 0 & \xi & 0 & -\xi & 0 & 0 \\ \xi & -1/\xi & -xi & 0 & 0 & 1/\xi \end{bmatrix}$$

479

Elements of the 4<sup>th</sup> type

480

$$B^{(4)} = \begin{bmatrix} 1/\xi & 0 & 0 & 0 & -1/\xi & 0 \\ 0 & -\xi & 0 & \xi & 0 & 0 \\ -xi & 1/\xi & xi & 0 & 0 & -1/\xi \end{bmatrix}.$$

481

The Matrix  $K^e$ 

482

$$\text{We denote } d = \frac{1-v}{2}$$

483

Elements of the 1<sup>st</sup> type

484

$$\frac{E}{2(1-v^2)} \begin{bmatrix} \xi d & 0 & -\xi d & -d & 0 & d \\ 0 & \xi & -v & -\xi & v & 0 \\ -\xi d & -v & 1/\xi + \xi d & v+d & -1/\xi & -d \end{bmatrix}$$

485

Elements of the 2<sup>nd</sup> type

486

$$\frac{E}{2(1-v^2)} \begin{bmatrix} -d & -\xi & v+d & \xi+d/\xi & -v & -d/\xi \\ 0 & v & -1/\xi & -v & 1/\xi & 0 \\ d & 0 & -d & -d/\xi & 0 & d/\xi \end{bmatrix}$$

487

Elements of the 3<sup>rd</sup> type

488

$$\frac{E}{2(1-v^2)} \begin{bmatrix} 1/\xi + \xi d & -v-d & -\xi d & v & -1/\xi & d \\ -v-d & \xi+d/\xi & d & -\xi & v & -d/\xi \\ -\xi d & d & \xi d & 0 & 0 & -d \end{bmatrix}$$

489

490

Elements of the 4<sup>th</sup> type

491

$$\frac{E}{2(1-v^2)} \begin{bmatrix} v & -\xi & 0 & \xi & -v & 0 \\ -1/\xi & v & 0 & -v & 1/\xi & 0 \\ d & -d/\xi & -d & 0 & 0 & d/\xi \end{bmatrix}.$$

492

The matrix  $K_f$  of the system (7) is composed of the stiffness matrices of the elements  $K^e$  in

493

the following way. Suppose there are  $l$  -elements (Figure 3.1), we number all the vertices from

494

left to right and from top to bottom. The matrix  $K_f$  has a dimension of  $2 \cdot l \times 2 \cdot l$ . Let's

495

imagine it consisting of blocks (2x2). The dimension of such a matrix will be  $l \times l$ . Matrices of

496 elements, as block ones, consisting of 2x2 submatrices, have a dimension of 3x3. Let the vertices  
 497 of the elements belonging to the upper layer have numbers  $i$  and (or)  $i+1$ , and the vertices  
 498 of the elements belonging to the lower layer have numbers  $j$  and (or)  $j+1$ . Then the  
 499 following contribution to  $K_f$  will be made:

500 elements of the 1<sup>st</sup> type

$$501 \quad K_f(j, j) = K_f(j, j) + KL(1,1)$$

502 ( $KL(1,1)$  - are the corresponding submatrices (2x2) of the matrix  $K^{(1)}$ )

$$\begin{aligned} 503 \quad & K_f(i, i) = K_f(i, i) + KL(2,2) \\ & K_f(i+1, i+1) = K_f(i+1, i+1) + KL(3,3) \\ & K_f(j, i) = K_f(j, i) + KL(1,2) \\ & K_f(j, i+1) = K_f(j, i+1) + KL(1,3) \\ & K_f(i+1, i) = K_f(i+1, i) + KL(3,2) \end{aligned}$$

504

505

506

507 elements of the 2<sup>nd</sup> type

$$\begin{aligned} & K_f(i+1, i+1) = K_f(i+1, i+1) + KL(1,1) \\ & K_f(j+1, j+1) = K_f(j+1, j+1) + KL(2,2) \\ 508 \quad & K_f(j, j) = K_f(j, j) + KL(3,3) \\ & K_f(j+1, i+1) = K_f(j+1, i+1) + KL(2,1) \\ & K_f(j+1, j) = K_f(j+1, j) + KL(2,3) \\ & K_f(j, i+1) = K_f(j, i+1) + KL(3,1) \end{aligned}$$

509 elements of the 3<sup>rd</sup> type

$$\begin{aligned}
K_f(j, j) &= K_f(j, j) + KL(1,1) \\
K_f(i, i) &= K_f(i, i) + KL(2,2) \\
K_f(j+1, j+1) &= K_f(j+1, j+1) + KL(3,3) \\
K_f(j, i) &= K_f(j, i) + KL(1,2) \\
K_f(j+1, j) &= K_f(j+1, j) + KL(3,1) \\
K_f(j+1, i) &= K_f(j+1, i) + KL(3,2)
\end{aligned}$$

elements of the 4<sup>th</sup> type

$$\begin{aligned}
K_f(i+1, i+1) &= K_f(i+1, i+1) + KL(1,1) \\
K_f(j+1, j+1) &= K_f(j+1, j+1) + KL(2,2) \\
K_f(i, i) &= K_f(i, i) + KL(3,3) \\
K_f(i+1, i) &= K_f(i+1, i) + KL(1,3) \\
K_f(j+1, i+1) &= K_f(j+1, i+1) + KL(2,1) \\
K_f(j+1, i) &= K_f(j+1, i) + KL(2,3)
\end{aligned}$$

It is known from finite element theory (Ciarlet, 1978) that the matrix  $K_f$  is symmetric and positive definite. Therefore, the filling of elements lying only on the main diagonal and below is shown. Next, we destroy the rows and columns of the matrix corresponding to the nodes (vertices) lying on the border of the selected area (except for the zero horizontal).

In the case of modeling the impact of a load on a soil layer lying on a very weak foundation (eg swamp), we do not impose restrictions on the lower boundary.

The contribution to the global load vector  $F_f$  - the right-hand side of system (7) is determined from each element by formula (8). In this case, the node with the number  $t$  corresponds to the  $(2t-1)$  and  $2t$  lines of the vector  $F_f$ . Lines corresponding to border nodes are destroyed.

After finding the nodal displacements, the value of the stresses that are constant on the element is determined by the formula (3). The values of the stresses at the nodes are found by averaging over neighboring elements.

Stress matrix  $\sigma$

526

$$\sigma = \begin{bmatrix} \sigma_x \\ \sigma_z \\ \tau_{xy} \end{bmatrix}.$$

527

Let's introduce the notation:

528

$$K_\sigma = \frac{E}{(1-\nu^2)h_1h_2} \quad ; \quad \alpha = \frac{1-\nu}{2} \quad ;$$

529

530

$$u_{12} = u_1 - u_2, \quad u_{13} = u_1 - u_3, \quad u_{23} = u_2 - u_3, \\ v_{12} = v_1 - v_2, \quad v_{13} = v_1 - v_3, \quad v_{23} = v_2 - v_3.$$

531

532

Elements of the 1<sup>st</sup> type

533

$$\sigma = K_\sigma \begin{bmatrix} -h_2 u_{23} + \nu h_1 v_{12} \\ -\nu h_2 u_{23} + h_1 v_{12} \\ \alpha [h_1 u_{12} - h_2 v_{23}] \end{bmatrix}$$

534

535

Elements of the 2<sup>nd</sup> type

536

$$\sigma = K_\sigma \begin{bmatrix} h_2 u_{23} - \nu h_1 v_{12} \\ \nu h_2 u_{23} - h_1 v_{12} \\ -\alpha [h_1 u_{12} + h_2 v_{23}] \end{bmatrix}$$

537

538

Elements of the 3<sup>rd</sup> type

539

$$\sigma = K_\sigma \begin{bmatrix} -h_2 u_{13} + \nu h_1 v_{12} \\ -\nu h_2 u_{13} + h_1 v_{12} \\ \alpha [h_1 u_{12} - h_2 v_{13}] \end{bmatrix}$$

540

541 Elements of the 4<sup>th</sup> type

542 
$$\sigma = K_{\sigma} \begin{bmatrix} h_2 u_{13} - \nu h_1 v_{12} \\ \nu h_2 u_{13} - h_1 v_{12} \\ -\alpha [h_1 u_{12} + h_2 v_{13}] \end{bmatrix} .$$

543

544 Further, according to the formulas of section (2), we determine the initial values of pressures,  
545 heads and stresses.

## 546 4. Software implementation and calculation results

### 547 4.1 Main software modules

548

549 MKESol – main module;

550 UnCode - contains subroutines for identifying the area of the partition;

551 SplinUnt - contains subroutines for constructing an interpolation cubic spline and for outputting  
552 spline values at specified points;

553 GetSpline - the procedure for forming the global load vector (the right side of the linear algebraic  
554 system of equations);

555 GetData - procedure for generating a global stiffness matrix;

556 LDL - contains routines for decomposition and solutions for strip matrices by the Cholesky  
557 method (Reinsch and Wilkinson, 1971).

558 After the soil program has been processed, the values of the grid functions from the space  $V$   
559 that define the vertical and horizontal displacements are known.

560 MKEDrow - control module for presenting calculation results;



561 Sigma - contains programs for calculating mesh functions from a subspace  $U_1, \dots, U_s$ . The  
562 initial data is the values of the mesh displacement functions contained in the Output.mke file;  
563 FuncLoad - contains numerical integration routines for finding a solution to the first boundary  
564 value problem in the case of an isotropic medium;  
565 Anal - contains subroutines for graphical representation of a grid function in the form of function  
566 level lines of two variables. This representation is performed by the LineLab (Nf, k) procedure.  
567 Here Nf is a parameter defining the identifier code of the grid function; k is the number of level  
568 lines on the display screen (Table 4.1).  
569 The source code is available for downloading at the link: <https://github.com/igorratn/soil->  
570 [models.git](https://github.com/igorratn/soil-models.git)

## 571 4.2 Calculation results

572 The figures 4.1 - 4.4 show the results of calculating the zones of vertical stresses from the impact  
573 of the ML-56 machine for different types of tires:  
574 33L-32F134;  
575 33L-32F134M - with reduced pressure;  
576 71x47-25; 79x59-26} ultra wide-profile.  
577 Movement on loamy soil is simulated. The soil is presented in two layers: a thin layer of 30 cm  
578 on a denser base. The relative humidity of the soil is 80%. The characteristics of the soil are  
579 presented in the table 4.2.  
580 An intensive increase in rutting can be expected during trips by the machine with 33L-32F134  
581 tires as a result of vertical deformation in the soil and lateral uplift caused by the movement of  
582 destroyed soil into zones with zero and negative (i.e. tensile) vertical stresses. This is the

583 manifestation of the flat phenomena of the mathematical model.

## 584 5. Experimental data

585 The figures 5.1 – 5.4 show the calculated zones of vertical stresses from the impact of the TT-  
586 4M tractor with a highly elastic and serial track. The soil is homogeneous (in modulus of  
587 deformation), therefore, an analytical solution to the first boundary value problem is presented.

588 The characteristics of the soil are presented in table 5.1. Available experimental data (mean  
589 values of pressure sensors over time series) are presented in Table 5.2 (Ratnere, 1993).

590 It can be seen from Table 5.2 that the general view of the calculated distribution functions of  
591 vertical stresses for both types of tracks is in good agreement with the experimental data. The  
592 experimental values of stresses are greater than the initial calculated values, but less than the  
593 steady-state values of stresses. This can be explained by the following factors:

594 a) The initial distance from the top layer of the sensors (20 cm) decreased as a result of soil  
595 deformation.

596 b) The values of pressures averaged over the time series are taken as experimental data, i.e.  
597 pressure from the load, which acts for some time, and the initial stresses from the instantly  
598 applied load are taken as the calculated ones, the value of which is averaged over the reference  
599 area of the track.

600 c) Pressure sensors perceive the load, the components of which are the load from water pressure  
601 and the load from vertical stresses in the soil skeleton, and we calculate only vertical stresses in  
602 the skeleton.

## 603 Conclusion

604 The presented mathematical model, together with its software implementation, makes it possible

605 to assess the degree of influence of the tire of a forest wheeled tractor on the waterlogged forest  
606 soil, depending on the design parameters of the tire and the vertical loads that fall on it.

607 The adequacy of the mathematical model is confirmed by the conducted experimental studies, as  
608 well as by numerous test results of forest wheeled tractors.

609 The model is developed based on the theory of soil mechanics. The plane problem of compaction  
610 of water-saturated anisotropic (in the general case) soil is considered. It was shown that with an  
611 instantaneous application of a vertical load, the initial distribution of stress and water pressure in  
612 the soil are expressed through their values in a state of complete stabilization. Therefore, it is  
613 conventionally assumed that the magnitude of the load does not change before the onset of this  
614 state, causing linear (relative to the load) deformations of the soil.

615 Thus, first, a plane problem of different modulus of the theory of a linearly deformable medium  
616 is solved. This problem is described by a system of partial differential equations (equations 7-9  
617 of section 1). The solution is found by the finite element method with respect to displacements.  
618 Then, the steady-state and initial values of the stresses are determined, as well as the values of  
619 the maximum deviation of the total stress vector -  $\theta_{max}$  .

620 In the case of an isotropic medium, the initial heads function  $(H_o)$  satisfies the Laplace  
621 equation:  $\Delta H_o = 0$  . The first boundary value problem is posed and solved. Analytical  
622 expressions are obtained for the initial values of water heads, pressure and stresses. With their  
623 help, one can select the optimal triangulation of the region for a given loading diagram and check  
624 the finite element solution.

625 The initial data for this mathematical model are the layer-by-layer values of the deformation  
626 modulus, Poisson's ratio, adhesion coefficient  $C_o$  , and angle of internal friction  $\varphi_o$  .

627 Condition:  $\theta_{max} = \infty$  means that the soil mass is in a state of ultimate plastic equilibrium.

628 The calculation results are presented as level lines of the function of two variables. The general  
629 view of the vertical stress function is in good agreement with the available experimental data.

630 It was found that the form of the transverse loading diagram has a significant effect on the degree  
631 of the stress state of the soil. At the same average contact pressures, the parabolic shape of the  
632 loading diagram, which is characteristic of tires with reduced internal air pressure, has the  
633 smallest effect on the soil.

634 The method can serve as the basis for predicting the degree of soil compaction and the intensity  
635 of rutting, as well as the environmental consequences of the operation of forest machines.

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## 656 Tables

657

Weakly compacted clays	0.10 - 0.01
Compacted clays	0.005 - 0.001

*Table 1.1 Compaction factors  $a$ ,  $\text{cm}^2/\text{kg}$  (Florin, 1954).*

Sands	0.54 - 0.82
Compacted clays	0.67 - 1.2
Silt	1.00 - 3.00
Loams and clays	0.67 - 1.00

Table 1.2 Porosity coefficients  $\varepsilon$  (Florin, 1954).

Sands	$10^{-2} - 10^{-3}$
Clays	$10^{-6} - 10^{-8}$

Table 1.3 Filtration coefficient  $k$ ,  $\text{cm} / \text{s}$  (Florin, 1954).

658

Nf	Level line
0	$\sigma_x$ - steady-state stresses along the axis $x$ , $kg/cm^2$
1	$\sigma_z$ - steady-state stresses along the axis $z$ , $kg/cm^2$
2	$\tau_{xz}$ - steady-state shear stresses along the axis $x$ , $kg/cm^2$
3	$\sigma_{xo}$ - initial stresses along the axis $x$ , $kg/cm^2$
4	$\sigma_{zo}$ - initial stresses along the axis $z$ , $kg/cm^2$
5	$P_o$ - initial pressures, $kg/cm^2$
6	$V$ - vertical deformations, $cm$
7	$\Theta_{max}$ - the maximum angle of deviation of the full stress vector, $grad$
Encoding for solving the First Boundary Value Problem (FuncLoad module)	
10	$\sigma_{xo}$ - initial stresses along the axis $x$ , $kg/cm^2$
11	$\sigma_{zo}$ - initial stresses along the axis $z$ , $kg/cm^2$
12	$P_o$ - initial pressures, $kg/cm^2$

Table 4.1 Nf encoding chart.

	Deformation modulus $E$ , $kg/cm^2$	Poisson's ratio $\nu$	Internal grip $C_o$ , $kg/cm^2$	Internal friction angle $\varphi_o$ , $grad.$
Upper layer	100	0,3	0,21	15
Bottom layer	370	0,3	0,60	18

Table 4.2 Soil characteristics.

Sampling depth, cm	The number of strikes by the a striker	Soil deformation modulus for a striker, MPA	Density of wet soil $g/cm^3$	Density of the soil skeleton $g/cm^3$
20	3.33	5.0	2.04	1.7
40	4.0	6.0	2.02	1.68
60	2.75	4.12	2.11	1.74

Table 5.1 Physical and mechanical indicators of soils and grounds on measured plots.

660

Distance from the center of the treadmill to the pressure sensors, cm			
	-25	0	25
Serial track. Gross weight of the tractor 21250 kg			
Top row	0.090	0.384	...
+ 20 cm	0.196	0.333	0.357
+ 40 cm	...	0.231	...
Highly elastic track. Gross weight of the tractor 23900 kg			
Top row	0.060	...	1.538
+ 20 cm	0.190	0.282	0.289
+ 40 cm	...	0.189	...

Table 5.2 Average values of pressures,  $kg/cm^2$  .

661

## 662 Figure legends

- 663 1. Figure 1.1: Compression curve.
- 664 2. Figure 1.2: Plane stresses.
- 665 3. Figure 1.3: Mohr's circle.
- 666 4. Figure 1.4: Plastic limit equilibrium.
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- 673 9. Figure 3.1: Area triangulation.
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- 678 14. Figure 4.3: Distribution of initial stresses  $\sigma_{zo}, kg/cm^2$  . Tires 71x47-25.
- 679 15. Figure 4.4: Distribution of initial stresses  $\sigma_{zo}, kg/cm^2$  . Tires 79x59-26.
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681 Serial track.
- 682 17. Figure 5.2: Distribution of steady-state stresses  $\sigma_z, kg/cm^2$  in a homogeneous medium.  
683 Serial track.
- 684 18. Figure 5.3: Distribution of initial stresses  $\sigma_{zo}, kg/cm^2$  in a homogeneous medium.  
685 Highly elastic track.



686 19. Figure 5.4: Distribution of steady-state stresses  $\sigma_z, kg/cm^2$  in a homogeneous medium.

687 Highly elastic track.

688