004. ChatGPT, fc and conv backprop (fixed).py

```
import numpy as np # numerical arrays, linear algebra, randoms - our workhorse
2
3
   # ===========
   # Forward-pass primitives
   # ==========
6
   def conv2d(image, kernel):
7
8
9
       Naive 2D 'valid' convolution (really cross-correlation — no kernel flip).
       image: 2D array (H x W)
10
       kernel: 2D array (kH x kW)
11
12
       returns: 2D array of shape ((H - kH + 1) \times (W - kW + 1))
13
       h, w = image.shape
14
                                              # input spatial dims
       kh, kw = kernel.shape
15
                                              # kernel spatial dims
16
       out h = h - kh + 1
                                              # 'valid' conv height
       out w = w - kw + 1
                                              # 'valid' conv width
17
       output = np.zeros((out h, out w))
                                              # allocate result buffer
18
19
20
       # slide kernel over every valid top-left position
       for i in range(out_h):
                                              # row loop over output
21
           for j in range(out_w):
                                              # col loop over output
22
               region = image[i:i+kh, j:j+kw] # current receptive field (kH x kW)
23
               output[i, j] = np.sum(region * kernel) # elementwise mult + sum
24
25
                                              # (out h x out w)
       return output
26
27
28
   def relu(x):
29
       Elementwise ReLU: max(0, x) applied to every entry.
30
       We use np.maximum (vectorized), not np.max (which would reduce to a scalar).
31
32
       return np.maximum(0, x)
33
                                              # same shape as x
34
```

```
35
36
    def max pooling(x, size=2, stride=2):
37
38
        2D max pooling.
        x: 2D array after ReLU (H x W)
39
40
        size: pooling window (size x size)
        stride: step between windows
41
        returns: pooled map of shape:
42
                 out h = floor((H - size)/stride) + 1
43
                 out_w = floor((W - size)/stride) + 1
44
        ....
45
46
        h, w = x.shape
47
        out h = (h - size) // stride + 1
                                                # generic formula (not assuming size==stride, though default matches)
        out w = (w - size) // stride + 1
48
        output = np.zeros((out h, out w))
49
                                                # pooled output
50
        for i in range(out_h):
                                                # iterate vertical positions
51
            for j in range(out_w):
                                                # iterate horizontal positions
52
53
                # extract the pooling window using stride for position and size for window extent
                region = x[i*stride:i*stride+size, j*stride:j*stride+size]
54
                output[i, j] = np.max(region) # route forward the maximum
55
56
        return output
57
58
59
    def flatten(x):
60
        Flatten a 2D feature map to 1D vector (row-major).
61
62
63
        return x.flatten()
                                                 # shape: (H*W,)
64
65
    def fully connected(x, weight, bias):
66
67
68
        Dense layer: y = W x + b
        weight: (num classes x D)
69
        bias: (num_classes,)
70
        x: (D,)
71
```

```
returns: (num_classes,)
72
73
74
        return np.dot(weight, x) + bias
75
76
77
    def softmax(x):
78
79
        Numerically-stable softmax.
        x: logits (K,)
80
        returns: probabilities summing to 1 (K,)
81
82
        shifted = x - np.max(x)
                                              # stabilize exponentials
83
84
        exps = np.exp(shifted)
                                              # exp per class
85
        return exps / np.sum(exps)
                                              # normalize to simplex
86
87
    def cross_entropy_loss(probs, label):
88
89
        Negative log-likelihood for a single label.
90
        probs: softmax probs (K,)
91
        label: integer class id
92
93
94
        return -np.log(probs[label] + 1e-10) # 1e-10 to avoid log(0) tantrums
95
96
97
    # -----
    # Backward-pass (autodiff by hand)
98
99
    100
    def grad fully connected(x, weights, probs, label):
101
102
        Gradients for FC layer when loss = cross-entropy(softmax(logits), label).
103
        For softmax + CE, dL/dlogits = probs with 1 subtracted at the true class.
104
        x: input vector to FC (D,)
105
        weights: (K x D)
106
        probs: softmax output (K,)
107
108
        label: integer
```

```
109
         returns:
110
           dW: (K \times D), db: (K,), dx: (D,)
111
112
         dlogits = probs.copy()
                                                 # do NOT mutate probs in-place
113
         dlogits[label] -= 1.0
                                                 # softmax-CE gradient magic
114
115
         dW = np.outer(dlogits, x)
                                                 # each row k: dlogits[k] * x
                                                 # derivative wrt bias is just dlogits
         db = dlogits
116
         dx = np.dot(weights.T, dlogits)
                                                 # push gradient back to input vector
117
         return dW, db, dx
118
119
120
121
    def unflatten gradient(flat grad, shape):
122
123
         Reshape a flat gradient vector back to the pooled map shape.
124
        flat grad: (H*W,)
125
         shape: tuple (H,W) to restore
126
127
         return flat_grad.reshape(shape)
                                           # exact inverse of flatten()
128
129
130
        grad max pool(dpool out, relu out, size=2, stride=2):
131
132
         Backprop through max-pooling.
133
         dpool out: gradient arriving from above, shape (out h, out w)
        relu out: the original input to pooling (post-ReLU map), shape (H,W)
134
135
        We re-find argmax in each pooling window and send all gradient to that spot.
136
         returns: gradient wrt relu_out, shape (H,W)
137
        d_relu = np.zeros_like(relu_out)
                                                 # initialize with zeros (only argmax gets gradient)
138
139
         ph, pw = dpool out.shape
                                                 # pooled spatial dims
140
        for i in range(ph):
                                                 # loop pooled rows
141
142
             for j in range(pw):
                                                 # loop pooled cols
143
                 # slice the exact window that produced pooled value
                 region = relu out[i*stride:i*stride+size, j*stride:j*stride+size]
144
145
                 # index of the maximum inside the region (ties go to the first max)
```

```
146
                 max_pos = np.unravel_index(np.argmax(region), region.shape)
147
                 # route upstream gradient ONLY to that max position
                 d relu[i*stride + max pos[0], j*stride + max pos[1]] += dpool out[i, j]
148
149
        return d_relu
150
151
152
    def grad_relu(d_after_relu, pre_relu):
153
154
         Backprop through ReLU.
        d after relu: gradient wrt ReLU output
155
        pre relu: the tensor BEFORE ReLU (to know where it was <= 0)</pre>
156
        returns: gradient wrt pre-ReLU input (zero where pre relu <= 0)
157
158
159
        d = d_after_relu.copy()
                                                 # avoid mutating caller's buffer
        d[pre_relu <= 0] = 0.0</pre>
160
                                                  # gradient blocked where ReLU was off
161
        return d
162
163
    def grad_conv(image, d_conv_out, kernel_shape):
164
165
166
        Gradient wrt the kernel for our 'valid' conv.
167
         image: input (H x W)
168
         d conv out: gradient wrt conv output (H-kH+1 x W-kW+1)
169
        kernel shape: (kH, kW)
170
         returns: dKernel (kH x kW)
        NOTE: We don't compute dImage here (not needed to update weights in this toy).
171
172
173
         dkernel = np.zeros(kernel_shape)
                                                  # accumulator for kernel gradient
174
        kh, kw = kernel shape
                                                  # kernel dims
        dh, dw = d conv out.shape
175
                                                  # output gradient dims
176
        for i in range(dh):
177
                                                  # slide over every output location
178
             for j in range(dw):
                 region = image[i:i+kh, j:j+kw] # input patch that contributed
179
180
                 dkernel += region * d conv out[i, j] # linearity lets us sum contributions
181
         return dkernel
182
```

```
183
184
    # ===========
185 | # Tiny training demo (1 step)
186
    # ============
187
188
    # Reproducibility - keep the RNG civilized
    np.random.seed(42)
189
190
191 # Fake input and label for the demo
    image = np.random.rand(28, 28)
                                              # a pretend grayscale image (MNIST-ish)
192
193 true label = 3
                                               # arbitrarily pick class 3 as the target
194
195 # Initialize parameters (small randoms to avoid early saturation)
196 kernel = np.random.randn(3, 3) * 0.01
                                               # single 3x3 conv filter
197 # After conv (28->26) and 2x2 pool stride 2 (26->13), we have 13*13 features
198 | fc in dim = 13 * 13
199 num classes = 10
200 | fc_weights = np.random.randn(num_classes, fc_in_dim) * 0.01 # FC weight matrix
    fc_bias = np.zeros(num_classes)
                                              # bias starts at zeros
201
202
203
    learning rate = 0.01
                                              # da tiny SGD step
204
205 # ----- FORWARD PASS -----
206 conv out = conv2d(image, kernel)
                                           # (26 x 26)
207 relu out = relu(conv out)
                                              \# (26 x 26), threshold at 0
    pool out = max pooling(relu out, size=2, stride=2) # (13 x 13)
208
209 | flat = flatten(pool_out)
                                               # (169,)
    logits = fully_connected(flat, fc_weights, fc_bias) # (10,)
210
    probs = softmax(logits)
211
                                               # (10,), sums to 1
    loss = cross_entropy_loss(probs, true_label)# scalar
212
213
    print("Initial prediction:", np.argmax(probs)) # which class had the max prob
214
    print("Loss:", float(loss))
                                                   # cast to float for prettier print
215
216
217 # ------ BACKWARD PASS ------
218 # Gradients through FC
219 dfc_W, dfc_b, d_flat = grad_fully_connected(flat, fc_weights, probs, true_label) # shapes: (10x169), (10,), (169,)
```

```
220
221 # Reshape gradient back to pooled map shape
    d pool = unflatten gradient(d flat, pool out.shape) # (13 x 13)
222
223
    # Backprop through max-pool (needs the *forward* relu out to re-find argmax)
224
    d relu from pool = grad max pool(d pool, relu out, size=2, stride=2) # (26 x 26)
225
226
    # Backprop through ReLU to get gradient wrt conv out (pre-ReLU)
227
    d conv out = grad relu(d relu from pool, conv out) # (26 x 26)
228
229
    # Gradient wrt kernel from conv layer
230
    dkernel = grad conv(image, d conv out, kernel.shape) # (3 x 3)
231
232
233 # ----- SGD PARAM UPDATE -----
234 fc_weights -= learning_rate * dfc_W # descend on FC weights
235 fc_bias -= learning_rate * dfc_b # descend on FC bias
               -= learning rate * dkernel
    kernel
                                             # descend on conv kernel
236
237
    # ----- RE-FORWARD (sanity poke) -----
238
    conv out = conv2d(image, kernel)
                                             # recompute with updated params
239
240 relu out = relu(conv out)
    pool_out = max_pooling(relu_out, size=2, stride=2)
241
242 | flat = flatten(pool out)
    logits = fully_connected(flat, fc_weights, fc_bias)
243
    probs = softmax(logits)
244
    loss = cross entropy loss(probs, true label)
245
246
247
    print("\nAfter one update:")
    print("Prediction:", np.argmax(probs))
248
    print("Loss:", float(loss))
249
250
```