

# Data Structure - Spring 2022

## 9. Sorting - Lab

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**Based on:**

Goodrich, Chapter 6

Karumanchi, Chapter 5

Slides by Prof. Yung Yi, KAIST

Slides by Prof. Chansu Shin, HUFS



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# Sorting-Review

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- **Sequential methods:**

- Insertion Sort
- Other similar algorithms: selection sort, bubble sort

- **Divide-and-conquer methods**

- Merge sort
  - Divide the data into two halves
  - Recur for each half until there one or less element
  - Merge the sorted halves returned from recursion
- Quick sort
  - Choose a pivot  $x$  (usually, the first or last element is chosen)
  - Divide the data into L, E, G subsets
    - L: less than pivot, E: equal to pivot, G: greater than pivot
  - Recur for L and G
  - Merge as (L, E, G)

# **Algorithm Guide**

**with Python Code**

# Insertion sort

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**Algorithm** InsertionSort(A):

*Input:* An array A of n comparable elements

*Output:* The array A with elements rearranged in nondecreasing order

**for** k from 1 to n − 1 **do**

    Insert A[k] at its proper location within A[0], A[1], ..., A[k].

```
1  def insertion_sort(A):
2      """ Sort list of comparable elements into nondecreasing order. """
3      for k in range(1, len(A)):          # from 1 to n-1
4          cur = A[k]                      # current element to be inserted
5          j = k                            # find correct index j for current
6          while j > 0 and A[j-1] > cur:    # element A[j-1] must be after current
7              A[j] = A[j-1]
8              j -= 1
9          A[j] = cur                       # cur is now in the right place
```

7	4 A[j]	8	2
---	-----------	---	---

cur = 4

7 A[j]	7	8	2
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4	7	8	2
---	---	---	---

4	7 A[j-1]	8 A[j]	2
---	-------------	-----------	---

cur = 8

4	7	8	2 A[j]
---	---	---	-----------

cur = 2

4	7	8	8
---	---	---	---

4 A[j-1]	7 A[j]	7	8
-------------	-----------	---	---

4 A[j]	4	7	8
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2	4	7	8
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# Merge-sort: divide and conquer (DnC) method

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- **Divide-and conquer is a general algorithm design paradigm:**
  - Divide: divide the input data  $S$  in two disjoint subsets  $S_1$  and  $S_2$
  - Recur: solve the subproblems associated with  $S_1$  and  $S_2$
  - Conquer: combine the solutions for  $S_1$  and  $S_2$  into a solution for  $S$
- **The base case for the recursion are subproblems of size 0 or 1**

# Merge-sort

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1. **Divide:** If  $S$  has zero or one element, return  $S$  immediately; it is already sorted. Otherwise ( $S$  has at least two elements), remove all the elements from  $S$  and put them into two sequences,  $S_1$  and  $S_2$ , each containing about half of the elements of  $S$ ; that is,  $S_1$  contains the first  $\lfloor n/2 \rfloor$  elements of  $S$ , and  $S_2$  contains the remaining  $\lceil n/2 \rceil$  elements.
2. **Conquer:** Recursively sort sequences  $S_1$  and  $S_2$ .
3. **Combine:** Put back the elements into  $S$  by merging the sorted sequences  $S_1$  and  $S_2$  into a sorted sequence.

# Merge-sort algorithm

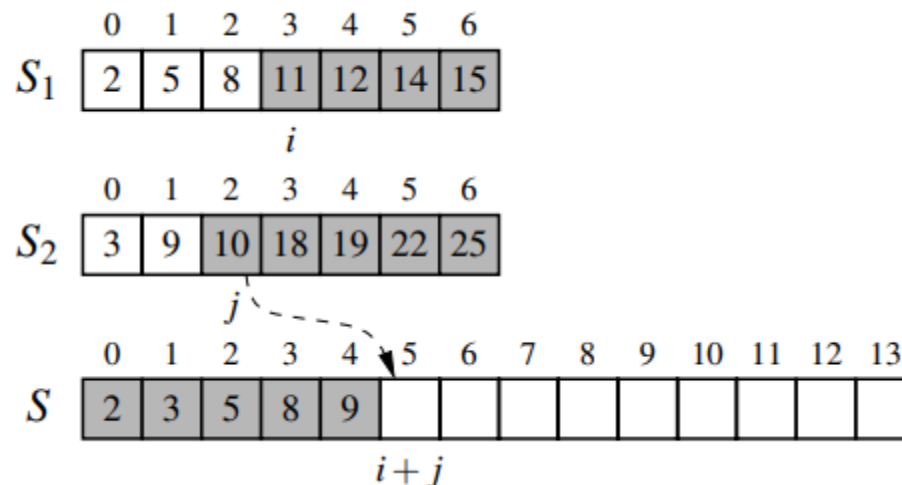
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```
1  def merge_sort(S):
2      """Sort the elements of Python list S using the merge-sort algorithm."""
3      n = len(S)
4      if n < 2:
5          return                # list is already sorted
6      # divide
7      mid = n // 2
8      S1 = S[0:mid]             # copy of first half
9      S2 = S[mid:n]             # copy of second half
10     # conquer (with recursion)
11     merge_sort(S1)             # sort copy of first half
12     merge_sort(S2)             # sort copy of second half
13     # merge results
14     merge(S1, S2, S)           # merge sorted halves back into S
```



# How to merge S1, S2 into S?

```
def merge(S1, S2, S):  
    """ Merge two sorted Python lists S1 and S2 into properly sized list S. """  
    i = j = 0  
    while i + j < len(S):  
        if j == len(S2) or (i < len(S1) and S1[i] < S2[j]):  
            S[i+j] = S1[i]                # copy ith element of S1 as next item of S  
            i += 1  
        else:  
            S[i+j] = S2[j]                # copy jth element of S2 as next item of S  
            j += 1
```

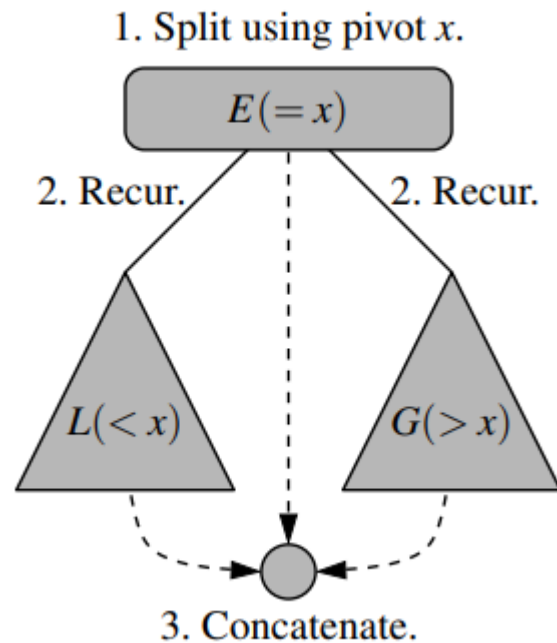


# Quick-sort: another DnC method

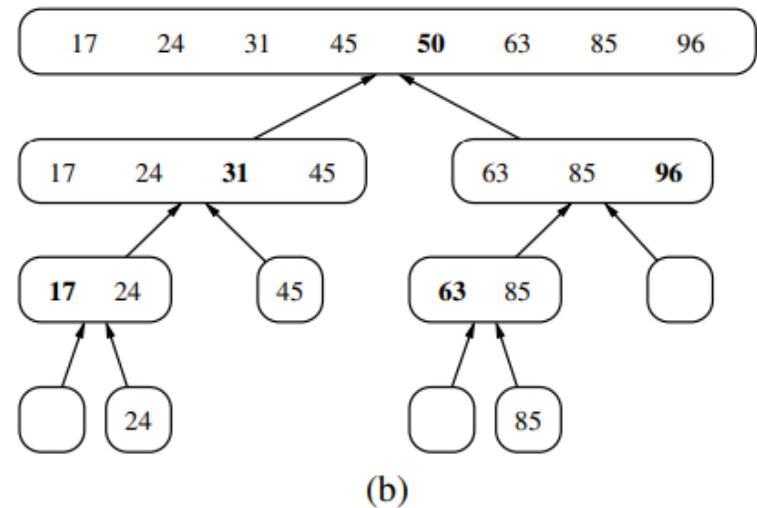
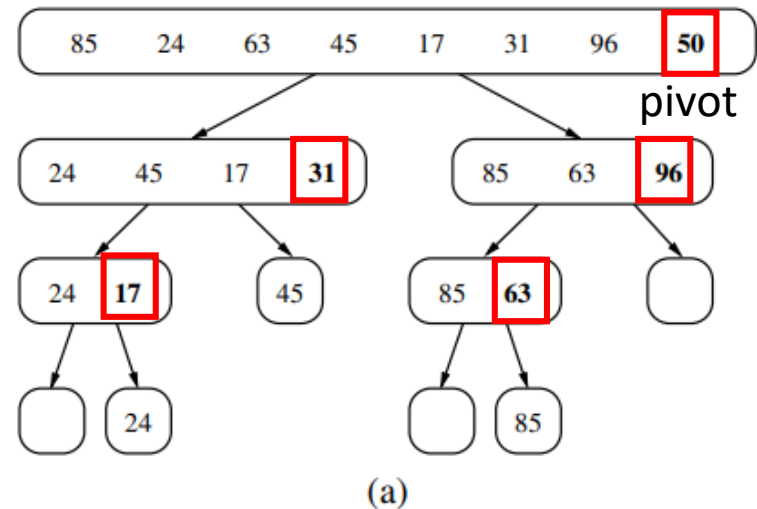
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1. **Divide:** If  $S$  has at least two elements (nothing needs to be done if  $S$  has zero or one element), select a specific element  $x$  from  $S$ , which is called the *pivot*. As is common practice, choose the pivot  $x$  to be the last element in  $S$ . Remove all the elements from  $S$  and put them into three sequences:
  - $L$ , storing the elements in  $S$  less than  $x$
  - $E$ , storing the elements in  $S$  equal to  $x$
  - $G$ , storing the elements in  $S$  greater than  $x$Of course, if the elements of  $S$  are distinct, then  $E$  holds just one element—the pivot itself.
2. **Conquer:** Recursively sort sequences  $L$  and  $G$ .
3. **Combine:** Put back the elements into  $S$  in order by first inserting the elements of  $L$ , then those of  $E$ , and finally those of  $G$ .

# Quick-sort: another DnC method



L-E-G 순으로 Concatenate



# Quick-sort in Python

```
1 def quick_sort(S):
2     """Sort the elements of queue S using the quick-sort algorithm."""
3     n = len(S)
4     if n < 2:
5         return # list is already sorted
6     # divide
7     p = S.first() # using first as arbitrary pivot
8     L = LinkedQueue()
9     E = LinkedQueue()
10    G = LinkedQueue()
11    while not S.is_empty(): # divide S into L, E, and G
12        if S.first() < p:
13            L.enqueue(S.dequeue())
14        elif p < S.first():
15            G.enqueue(S.dequeue())
16        else:
17            E.enqueue(S.dequeue()) # S.first() must equal pivot
18    # conquer (with recursion)
19    quick_sort(L) # sort elements less than p
20    quick_sort(G) # sort elements greater than p
21    # concatenate results
22    while not L.is_empty():
23        S.enqueue(L.dequeue())
24    while not E.is_empty():
25        S.enqueue(E.dequeue())
26    while not G.is_empty():
27        S.enqueue(G.dequeue())
```

Note that:  
The book uses **queue**.

However, you should simply  
use **lists** to define L, E, G  
subsets.

Also, here the first element  
is chosen as pivot,  
**not the last**  
You may choose either one

# Today's Tasks

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- **On Goorm:**

- Implement insertion sort, merge sort, and quick sort algorithms
- Compare their experimental running time

- **On Eclass:**

- Upload a report on "Running Time Analysis of Sorting Algorithms"
  - Introduction: briefly introduce the three sorting algorithms
  - Methods: describe how you implemented, and how you compared the running time
  - Results and Discussion: present your comparison results. Discuss your experimental observation compared with the Big-Oh running time.

# The final code for your report

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- **For your experiment**

- Take input of  $n$ : the size of the array/list
- Generate a list  $A$  with  $n$  random numbers
- Measure time for sorting list  $A$  with different sorting algorithms

- **How to present the comparison results**

- $n$  vs time plot
  - Plot the running times for  $n=10, 100, 1000, 10000, \dots$
  - Use log-log plot

- **Bonus point**

- Also, plot the Big-Oh times and actual running times in the same graph to compare