

# Implementation of acceptor finite-state automata

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I degree diploma

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# Deterministic finite automaton (DFA)

## Definition 1.1

A **deterministic finite automaton** (abbreviated DFA) is called an ordered quintuple  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$ , which consists of the following elements:

- (a)  $Q = \{q_0, \dots, q_m\}$  — a finite set of automaton states;

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# Deterministic finite automaton (DFA)

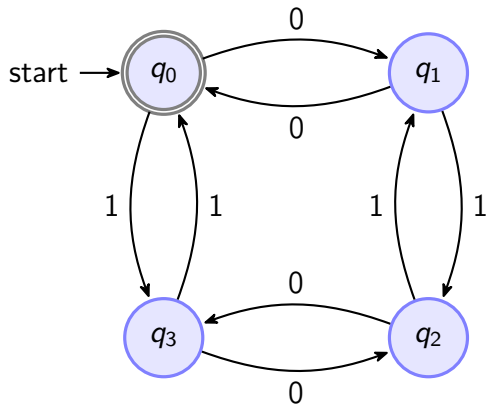
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- (d)  $q_0 \in Q$  — an initial state;
- (e)  $F \subseteq Q$  — a set of accepting (final) states.

# Deterministic finite automaton (DFA)

## Example of an automaton

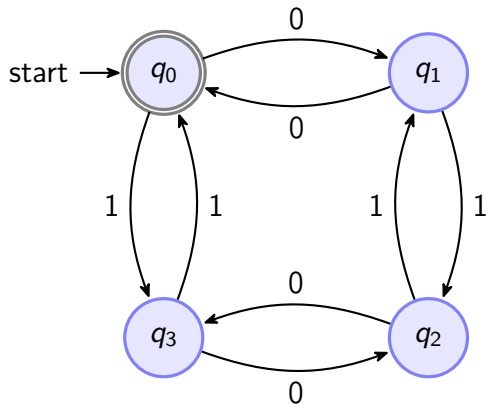


$\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$  - an automaton that recognizes words with an even number of 0s and an even number of 1s



# Deterministic finite automaton (DFA)

## Example of an automaton



$\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$  - an automaton that recognizes words with an even number of 0s and an even number of 1s

(a)  $Q = \{q_0, q_1, q_2, q_3\}$

(b)  $\Sigma = \{0, 1\}$

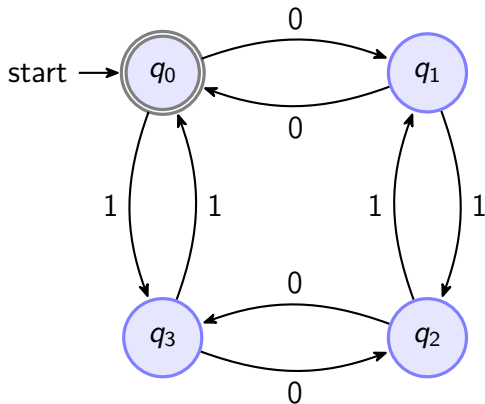
(c)  $\delta : Q \times \Sigma \rightarrow Q$

(d)  $q_0$  - the initial state

(e)  $F = \{q_0\}$

# Deterministic finite automaton (DFA)

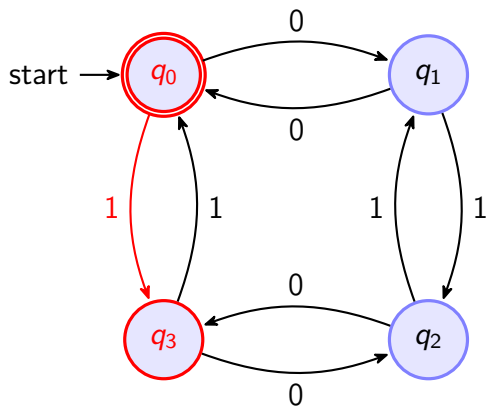
## Word Recognition



Let  $\omega = 101011$ , then the automaton  $\mathcal{A}$  reads and recognizes the word  $\omega$  as indicated below:

# Deterministic finite automaton (DFA)

## Word Recognition

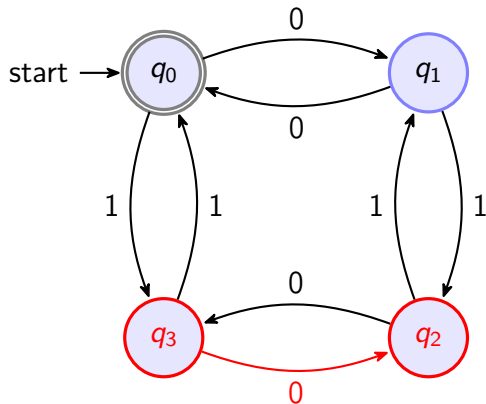


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# Deterministic finite automaton (DFA)

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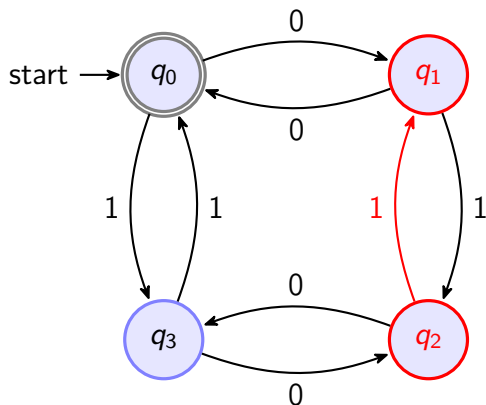
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# Deterministic finite automaton (DFA)

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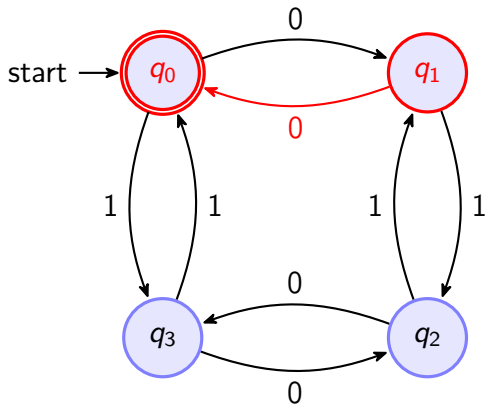


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## Word Recognition

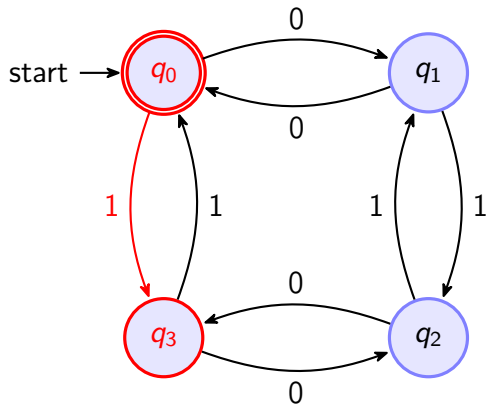


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# Deterministic finite automaton (DFA)

## Word Recognition

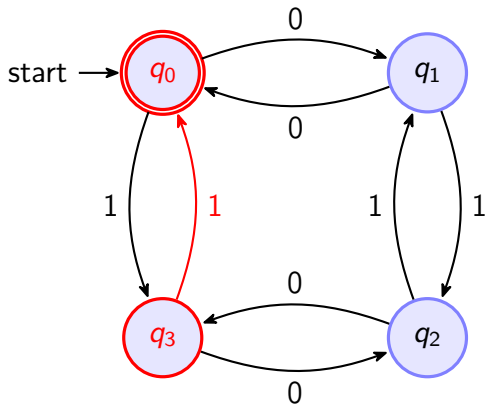


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- (5)  $\delta : (q_0, 1) \rightarrow q_3$
- (6)  $\delta : (q_3, 1) \rightarrow q_0$



# Deterministic finite automaton (DFA)

## Automaton Implementation

```
class DFA:

    class TransitionFunction:
        # ... description of the class ...

# constructor of the DFA class
    def __init__(self):
        self.states = set()
        self._start_state = None
        self.alphabet = set()
        self.transition_function = self.TransitionFunction()
        self._accept_states = None
```

# Deterministic finite automaton (DFA)

## Implementation of the transition function class

```
class TransitionFunction:
```

```
    # constructor of the class
```

```
    def __init__(self):  
        self.__data = {}
```

```
    # function of adding to the dictionary __data
```

```
    # iterates through the template state>symbol>next_state
```

```
    def add(self, transition):
```

```
        state, symbol, next_state = transition.split('>')
```

```
        if state not in self.__data:
```

```
            self.__data[state] = {}
```

```
        if symbol in self.__data[state]:
```

```
            raise AutomatonInputError("DFA mustn't contain"  
                                       " non-deterministic transitions")
```

```
        self.__data[state][symbol] = next_state
```

# Deterministic finite automaton (DFA)

## Implementation of the recognition mechanism

```
class DFA:

    # The recognition function, which takes a string word,
    # executes transitions in the automaton according to each symbol,
    # and determines the final state
    def recognize(self, word):
        current_state = self.start_state
        for symbol in word:
            if symbol not in self.alphabet \
                or current_state not in self.transition_function \
                or symbol not in self.transition_function[current_state]:
                return False
            current_state = self.transition_function[current_state][symbol]
        return current_state in self.accept_states
```

# Deterministic finite automaton (DFA)

## Example of algorithm operation

```
dfa = DFA()
dfa._start_state = "q0"
dfa._accept_states = {"q0"}
dfa.update_transition([
    "q0>0>q1", "q0>1>q3",
    "q1>0>q0", "q1>1>q2",
    "q2>0>q3", "q2>1>q1",
    "q3>0>q2", "q3>1>q0",
])

print(dfa)

word = "101011"
print(f"The word {word} is recognized:
→ {dfa.recognize(word)}")
```

```
<class 'automata.DFA'>
States: {'q2', 'q0', 'q3', 'q1'}
Start state: q0
Alphabet: {'1', '0'}
Accept states: {'q0'}
Transition function:
q0: {'0': 'q1', '1': 'q3'}
q1: {'0': 'q0', '1': 'q2'}
q2: {'0': 'q3', '1': 'q1'}
q3: {'0': 'q2', '1': 'q0'}
```

The word 101011 is recognized: True

# Nondeterministic finite automaton (NFA)

## Definition 2.1

A **nondeterministic finite automaton** (abbreviated as NFA) is called an ordered quintuple  $\mathcal{A} = (Q, \Sigma, \Delta, q_0, F)$ , in which  $Q, \Sigma, q_0, F$  are defined as in the deterministic case, but the transition function  $\Delta$  is of the form  $\Delta : Q \times \Sigma \rightarrow \mathcal{P}(Q)$ , where  $\mathcal{P}(Q)$  is the power set of  $Q$  (the set of all subsets of  $Q$ ).

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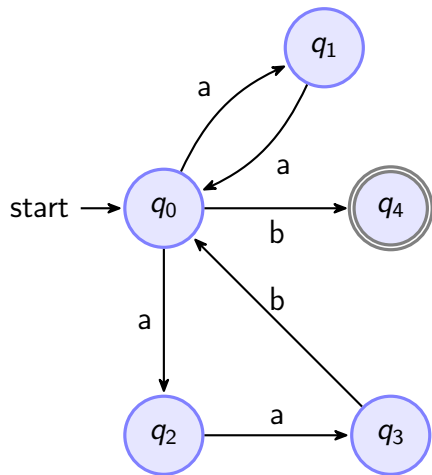
## Definition 2.2

The language  $\mathcal{L}(\mathcal{A})$  defined by an automaton  $\mathcal{A}$  is  $\{\omega \in \Sigma^* \mid \delta^*(q_0, \omega) \in F\}$ , the set of all words that are recognized by the deterministic automaton  $\mathcal{A}$ .

The language  $\mathcal{L} \subseteq \Sigma^*$  is said to be **automaton-recognizable**, and there exists a deterministic finite automaton  $\mathcal{A}$  such that  $\mathcal{L} = \mathcal{L}(\mathcal{A})$ .

# Nondeterministic finite automaton (NFA)

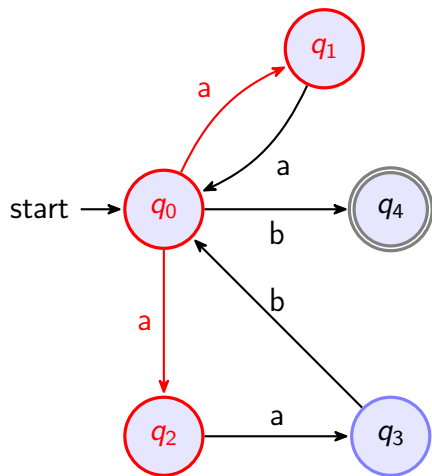
## Example of automaton



$$\mathcal{A} = (Q, \Sigma, \Delta, q_0, F)$$

# Nondeterministic finite automaton (NFA)

## Example of automaton



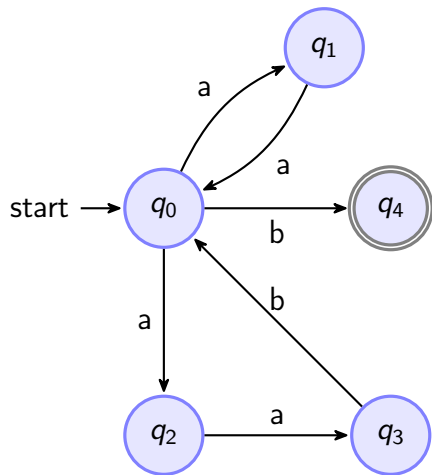
$$\mathcal{A} = (Q, \Sigma, \Delta, q_0, F)$$

$$\delta : (q_0, a) \rightarrow \{q_1, q_2\} \in \Delta$$



# Nondeterministic finite automaton (NFA)

## Example of automaton



$$\mathcal{A} = (Q, \Sigma, \Delta, q_0, F)$$

$$\delta : (q_0, a) \rightarrow \{q_1, q_2\} \in \Delta$$

$$\mathcal{L}(\mathcal{A}) = \{aa, aab\}^* \{b\}$$

$$\omega = aabaab \in \mathcal{L}(\mathcal{A})$$

# Nondeterministic finite automaton (NFA)

## Implementation of automaton

```
# NFA class, inherited as a subclass of the DFA class
class NFA(DFA):
    # Overriding the DFA.TransitionFunction class
    class TransitionFunction(DFA.TransitionFunction):
        def add(self, transition):
            state, symbol, next_state = transition.split('>')
            if state not in self.__data:
                self.__data[state] = {}
            if symbol not in self.__data[state]:
                self.__data[state][symbol] = set()
            self.__data[state][symbol].add(next_state)

    # Constructor of the NFA class
    def __init__(self):
        super().__init__()
        self.transition_function = self.TransitionFunction()
```

# Nondeterministic finite automaton (NFA)

## Implementation of the recognition mechanism

```
class NFA(DFA):  
    # Overriding DFA's recognize function  
    def recognize(self, word):  
        current_states = {self._start_state}  
  
        for symbol in word:  
            next_states = set()  
            for state in current_states:  
                if symbol in self.transition_function[state]:  
                    next_states.update(self.transition_function[state][symbol])  
            current_states = next_states  
  
            if not current_states:  
                return False  
  
        return any(state in self._accept_states for state in current_states)
```

# Nondeterministic finite automaton (NFA)

## Example of algorithm operation

```
nfa = NFA()
nfa._start_state = "q0"
nfa._accept_states = {"q4"}
nfa.update_transition([
    "q0>a>q1", "q0>a>q2", "q0>b>q4",
    "q1>a>q0",
    "q2>a>q3",
    "q3>b>q0",
])

print(nfa)

word = "aabaab"
print(f"The word {word} is recognized:
↳ {nfa.recognize(word)}")
```

```
<class 'automata.NFA'>
States: {'q1', 'q0', 'q4', 'q2', 'q3'}
Start state: q0
Alphabet: {'a', 'b'}
Accept states: {'q4'}
Transition function:
q0: {'a': {'q2', 'q1'}, 'b': {'q4'}}
q1: {'a': {'q0'}}
q2: {'a': {'q3'}}
q3: {'b': {'q0'}}
```

The word aabaab is recognized: True

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

## Definition 3.1

A **nondeterministic finite automaton with  $\varepsilon$ -transitions** (abbreviated as  $\varepsilon$ -NFA) is called an ordered quintuple  $\mathcal{A} = (Q, \Sigma, \Delta, q_0, F)$ , where  $Q, \Sigma, q_0, F$  are defined as in the nondeterministic automaton without  $\varepsilon$ -transitions, but the transition function  $\Delta$  is extended to  $\Delta : Q \times (\Sigma \cup \{\varepsilon\}) \rightarrow \mathcal{P}(Q)$ .

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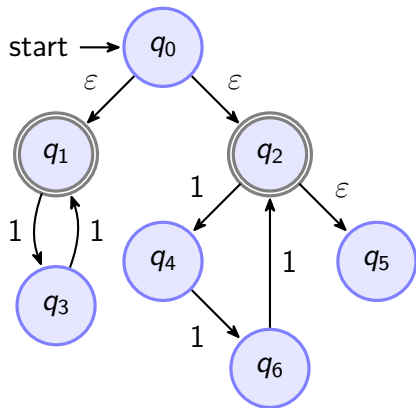
## Definition 3.2

Let  $\mathcal{A} = (Q, \Sigma, \Delta, q_0, F)$  be an  $\varepsilon$ -NFA. The **closure** of a state  $q \in Q$  denoted as  $Orb(q)$  is the set of all states that are reachable from state  $q$  through  $\varepsilon$ -transitions and is defined by the following conditions:

- $q \in P$ ,
- If  $p \in P$  i  $\Delta(p, \varepsilon) \cap Q \neq \emptyset$ , then  $\Delta(p, \varepsilon) \subseteq P$ .

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

Example of automaton and closure

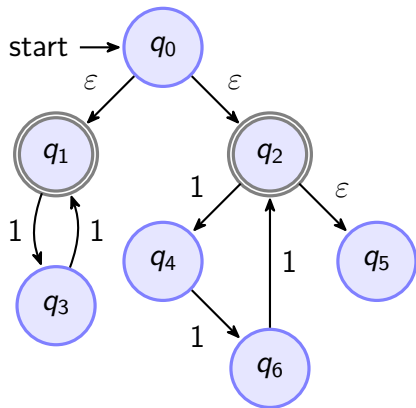


$\mathcal{A}$  -  $\varepsilon$ -NFA, such that

$$\mathcal{L}(\mathcal{A}) = \{1^n : n - \text{is even, or divisible by } 3\}$$

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

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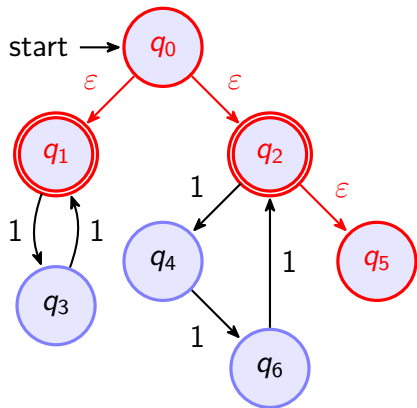
$$\omega = 111111 \in \mathcal{L}(\mathcal{A})$$

$$\omega = 11111 \notin \mathcal{L}(\mathcal{A})$$



# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

Example of automaton and closure



$\mathcal{A}$  -  $\varepsilon$ -NFA, such that

$$\mathcal{L}(\mathcal{A}) = \{1^n : n - \text{is even, or divisible by } 3\}$$

$$\omega = 111111 \in \mathcal{L}(\mathcal{A})$$

$$\omega = 11111 \notin \mathcal{L}(\mathcal{A})$$

$$\text{Orb}(q_0) = \{q_0, q_1, q_2, q_5\}$$

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

## Implementation of automaton

*# Defined class NFA*

```
class eNFA(NFA):
```

*# Defined constructor of class NFA*

```
def __init__(self):
```

```
    super().__init__()
```

```
    self.epsilon = 'eps'
```

*# Method that computes the epsilon-closure*

```
def epsilon_closure(self, states):
```

```
    # ... description of the function ...
```

*# Method that recognizes the passed word.*

*# Uses NFA.epsilon\_closure()*

```
def recognize(self, word):
```

```
    # ... description of the function ...
```

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

## Implementation of the closure operation

```
class eNFA(NFA):
    def epsilon_closure(self, states):
        closure = set(states)  # initialize the set of closure states
        stack = list(states)   # initialize a LIFO-stack of states

        while stack:          # DFS-like traversal of transitions
            state = stack.pop()
            if self.epsilon not in self.transition_function[state]:
                continue
            for next_state in self.transition_function[state][self.epsilon]:
                if next_state not in closure:
                    closure.add(next_state)
                    stack.append(next_state)

        return closure
```

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

## Implementation of the recognition mechanism

```
class eNFA(NFA):
    def recognize(self, word):
        current_states = self.epsilon_closure({self._start_state})

        for symbol in word:
            next_states = set()
            for state in current_states:
                if symbol in self.transition_function[state]:
                    next_states.update(self.transition_function[state][symbol])
            next_states.update(next_states)
            current_states = self.epsilon_closure(next_states)

        if not current_states:
            return False

        return any(state in self._accept_states for state in current_states)
```

# $\varepsilon$ - Nondeterministic finite automaton ( $\varepsilon$ -NFA)

## Example of algorithm operation

```
enfa = eNFA()
enfa._start_state = "q0"
enfa._accept_states = {"q1", "q2"}
enfa.update_transition([
    "q0>eps>q1", "q0>eps>q2",
    "q1>1>q3", "q2>1>q4",
    "q2>eps>q5", "q3>1>q1",
    "q4>1>q6", "q6>1>q2"
])

print(enfa)
print((f"The word 111111 is recognized:
→ {enfa.recognize('111111')}")
print(f"The word 11111 is recognized:
→ {enfa.recognize('11111')}")
```

```
<class 'automata.eNFA'>
States: {'q3', 'q4', 'q1', 'q5', 'q6',
→ 'q2', 'q0'}
Start state: q0
Alphabet: {'1'}
Accept states: {'q1', 'q2'}
Transition function:
q0: {'eps': {'q1', 'q2'}}
q1: {'1': {'q3'}}
q2: {'1': {'q4'}, 'eps': {'q5'}}
q3: {'1': {'q1'}}
q4: {'1': {'q6'}}
q6: {'1': {'q2'}}
The word 111111 is recognized: True
The word 11111 is recognized: False
```

# Elimination of $\varepsilon$ -moves

## Theorem 4.1 (about constructing an equivalent DFA)

For any nondeterministic finite automaton with  $\varepsilon$ -moves  $\mathcal{A}$  there exists an equivalent nondeterministic finite automaton  $\mathcal{A}'$  such that  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{A}')$ .

# Elimination of $\varepsilon$ -moves

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For any state  $q \in Q$ , we define its closure

$$\text{Orb}(q) = \{q\} \cup \{p \in Q \mid \text{in } \mathcal{A} \text{ there exists a path } q \xrightarrow{\varepsilon} q_1 \xrightarrow{\varepsilon} \dots \xrightarrow{\varepsilon} q_k \xrightarrow{\varepsilon} p\},$$

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Then  $\mathcal{A}' = (Q, \Sigma, \Delta', q_0, F')$  - NFA, where:



# Elimination of $\varepsilon$ -moves

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For any state  $q \in Q$ , we define its closure

$$Orb(q) = \{q\} \cup \{p \in Q \mid \text{in } \mathcal{A} \text{ there exists a path } q \xrightarrow{\varepsilon} q_1 \xrightarrow{\varepsilon} \dots \xrightarrow{\varepsilon} q_k \xrightarrow{\varepsilon} p\},$$

Then  $\mathcal{A}' = (Q, \Sigma, \Delta', q_0, F')$  - NFA, where:

- For every state  $q \in Q$  and symbol  $a \in \Sigma$ , the transition function  $\Delta'$  is defined as  $\Delta'(q, a) = \bigcup_{p \in Orb(q)} \Delta(p, a)$ , where  $Orb(q)$  – is the closure of state  $q$ .

# Elimination of $\varepsilon$ -moves

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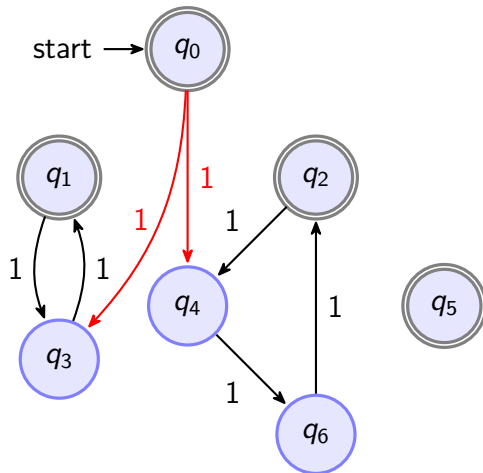
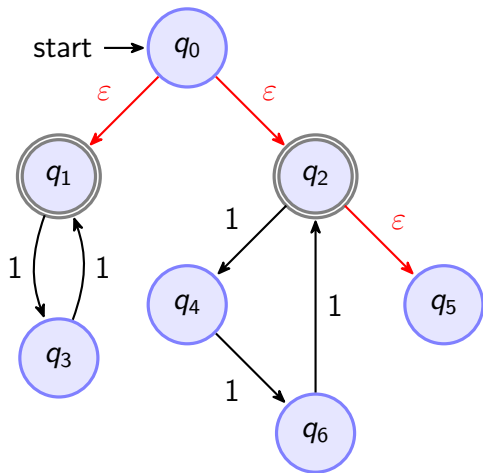
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- The set of selected states  $F'$  is defined as  $F' = \{q \in Q \mid Orb(q) \cap F \neq \emptyset\}$ .

# Elimination of $\varepsilon$ -moves

Example of  $\varepsilon$  - moves elimination



# Elimination of $\epsilon$ -moves

## Implementation

```
class eNFA(NFA):
    def eliminate_epsilon(self):
        nfa = NFA()
        nfa.start_state = self.start_state
        nfa.accept_states = set()
        for state in self.states:
            orbit = self.epsilon_closure({state})
            for satellite in orbit:
                for symbol in self.transition_function[satellite]:
                    if symbol == self.epsilon:
                        continue
                    next_states = self.transition_function[satellite][symbol]
                    for next_state in next_states:
                        nfa.add_transition(f"{state}>{symbol}>{next_state}")
        if orbit & self.accept_states != set():
            nfa.accept_states |= orbit
```

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For any NFA  $\mathcal{A}$  there exists a DFA  $\mathcal{A}'$  such that  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\mathcal{A}')$ .

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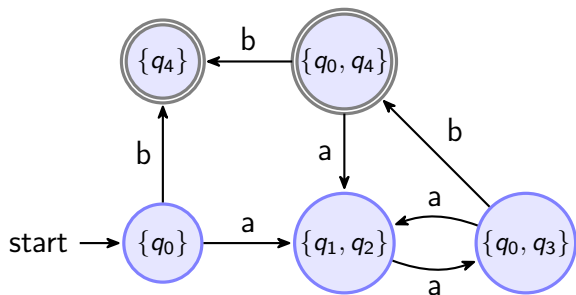
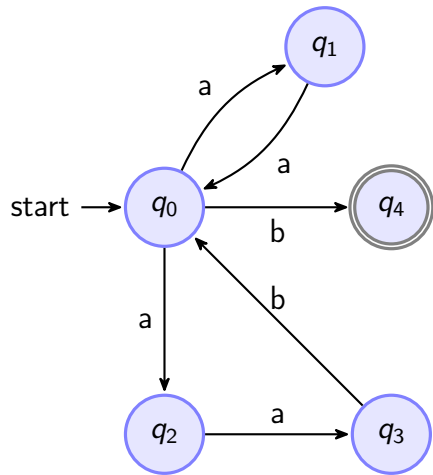
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- (d)  $F' = \{q' \in Q' \mid q' \cap F \neq \emptyset\}$ .

# NFA determinization

## Example of determinization



# NFA determinization

## Example of algorithm operation

```
nfa = NFA()
nfa._start_state = "q0"
nfa._accept_states = {"q4"}
nfa.update_transition([
    "q0>a>q1", "q0>a>q2", "q0>b>q4",
    "q1>a>q0",
    "q2>a>q3",
    "q3>b>q0",
])

print(nfa.determinize())
```

```
<class 'automata.DFA'>
States: {'q4', 'q3,q0', 'q0', 'q4,q0',
        ↪ 'q1,q2'}
Start state: q0
Alphabet: {'b', 'a'}
Accept states: {'q4', 'q4,q0'}
Transition function:
q0: {'b': 'q4', 'a': 'q1,q2'}
q1,q2: {'a': 'q3,q0'}
q3,q0: {'b': 'q4,q0', 'a': 'q1,q2'}
q4,q0: {'b': 'q4', 'a': 'q1,q2'}
```

## Definition 6.1

Let  $\mathcal{D} = (Q, \Sigma, \delta, q_0, F)$  be a DFA (the definition for NFA and  $\varepsilon$ -NFA is analogous).

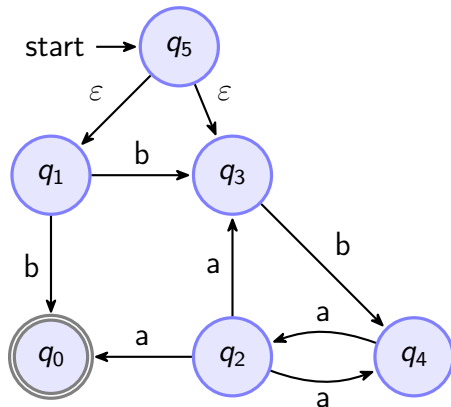
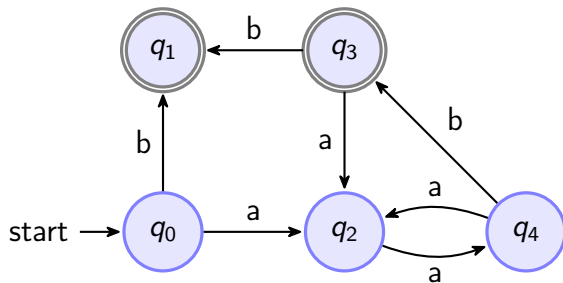
The automaton **reversed** relative to  $\mathcal{D}$  is called such an automaton

$\mathcal{D}^{-1} = (Q, \Sigma, \Delta, F, \{q_0\})$ , where:

- (a)  $Q$  and  $\Sigma$  remain unchanged;
- (b) The transition function  $\Delta$  is defined as  $\Delta(q, a) = \{p \in Q \mid \delta(p, a) = q\}$  for all  $q \in Q$  and  $a \in \Sigma$ ;
- (c) The set of initial states  $\mathcal{D}^{-1}$  corresponds to the set of accept states  $F$  of the automaton  $\mathcal{D}$ ;
- (d) The set of accept states  $\mathcal{D}^{-1}$  consists only of the initial state  $q_0$  of the automaton  $\mathcal{D}$ .

# DFA minimization

## Reversal of the automaton



# DFA minimization

## Automaton reversal implementation

```
class DFA:
    def reverse(self):
        enfa = eNFA()
        enfa.alphabet = self.alphabet

        terminal_state = f"q{len(self.states)}"
        enfa.start_state = terminal_state
        enfa.accept_states = {self.start_state}

        for state in self.states:
            for symbol, next_state in self.transition_function[state].items():
                enfa.add_transition(f"{next_state}>{symbol}>{state}")
            if state in self.accept_states:
                enfa.add_epsilon_transition(terminal_state, state)

        return enfa
```

# DFA minimization

## Brzowski's Algorithm

### Theorem 6.2

Let  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$  — be a deterministic finite automaton. **The Brzowski minimization** is defined as follows:

- 1 Define the reversal operator  $r$ , such that for a given automaton  $\mathcal{A}$ , the automaton  $r(\mathcal{A})$  is the reversed automaton of  $\mathcal{A}$ .
- 2 Define the determinization operator  $d$ , such that for any nondeterministic finite automaton  $\mathcal{A}'$ , the automaton  $d(\mathcal{A}')$  is the deterministic automaton  $\mathcal{A}'$ .
- 3 The minimized automaton is obtained as  $drdr(\mathcal{A})$ .



# DFA minimization

## Brzowski's Algorithm implementation

```
class DFA:
    def minimize(self):
        r = self.reverse()
        dr = r.determinize()
        rdr = dr.reverse()
        drdr = rdr.determinize()
        return drdr
```

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