ECE763 Computer Vision

Project Report

Submitted by:

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Contents

1		
	1.1	Abstract
	1.2	Introduction
	1.3	Data Preparation
		1.3.1 Training and Testing
		1.3.2 Data Augmentation
		1.3.3 Data Normalization
	1.4	Model Selection
	1.5	Optimization
	1.6	Regularization
	1.7	Hyperparameter
	1.8	Results
	1.9	Observation and Conclusion
	1.10	References

List of Figures

1.1	Data Preparation
1.2	Data Augmentation
1.3	Data Normalization
1.4	LeNet5 Architecture
1.5	Data Normalization
	a Without Normalization
	b With Normalization
1.6	Summary of the model used
1.7	Comparison of Loss Function with and without Regularization 8
	a Without Regularization
	b With Regularization
1.8	Overfitting at Loss value =0 and Accuracy=1
1.9	Comparison of change in loss
	a Loss barely changing
	b Loss going down
1.10	Getting NaN values for loss
1.11	Accuracy comparison with and without Batch Normalization 11
	a Without Batch Normalization
	b With Batch Normalization
1.12	Cross Validation Strategy

Chapter 1

1.1 Abstract

Improving the accuracy of a model is an important and tedious task especially while using a large amount of dataset to train the model. Thus, adding more data, tuning different parameters and hyperparameters used in the algorithm of a classification task can improve the performance of the classifier and output a better result. In this work, some of the proven methods to improve accuracy are implemented and the different variations in the output are observed while inputting a dataset to the LeNet5 architecture.

1.2 Introduction

Convolutional Neural Network(CNN) is used for image classification tasks as they are effective in reducing the number of parameters without disturbing the model quality. The CNN used in this project is LeNet5, which is one of the pretrained models[1]. This architecture contains two sets of CNN and maximum pooling layers then a flattening convolutional layer followed by two fully connected layers with a softmax classifier. The image dataset used for training and testing consists of face and non-face images. The performance of the classification of this dataset is influenced by different parameters. Therefore, adjusting these parameters while training the model will bring more efficient output by the architecture. Thus, in this project, parameter values and techniques used in the model are altered, analyzed and improved by observing the output at each stage and is shown in the result. The following sections give a brief introduction of the data preparation, hyper parameter, model selection and the optimization and regularization used in this project.

1.3 Data Preparation

The dataset used for this project is FDDB dataset[2]. Each image in the dataset is preprocessed and saved as a face dataset. The non-face dataset is generated from the same FDDB dataset by creating non-face images from face images after some processing. This face and non-face dataset is used for the testing and training purpose of this model. Data augmentation and data normalization is applied on the dataset and the result is observed before and after the application of these techniques. The code used in this project for splitting testing and training is shown in Fig 1.1.

```
# Form the training, validation, and testing dataset using DataLoader class x_train, x_val, y_train, y_val =train_test_split(training_ings, training_labels, train_size=0.8) train_loader = DataLoader(dataset = ([[x_train[i], y_train[i]] for i in range(len(y_train))]), batch_size = batch_size, shuffle = True)

validation_loader = DataLoader(dataset = ([[x_val[i], y_val[i]] for i in range(len(y_val))]), batch_size = batch_size, shuffle = True)

test_loader = DataLoader(dataset = ([[testing_imgs[i], testing_labels[i]] for i in range(len(testing_labels))]), batch_size = batch_size, shuffle = True)
```

Figure 1.1: Data Preparation

1.3.1 Training and Testing

The number of data in the training dataset is 300 and the testing dataset is 100 which contains both face and non face data. The images in the dataset are resized to 32x32 so as to input to LeNet 5 architecture.

1.3.2 Data Augmentation

This technique is employed for increasing the number of data in the dataset used for training and testing. So, this technique uses the existing dataset and makes simple alterations on data such as padding, re-scaling, cropping, flipping, blurring and creates new data. The implementation of data augmentation is shown in fig 1.2.

Figure 1.2: Data Augmentation

1.3.3 Data Normalization

This technique is used for standardizing the dataset by changing the values to a common scale without distorting differences in the range of values. So, the final value of each data point will be between the maximum and minimum values of that dataset. Data normalization implementation is shown in fig 1.3.

Figure 1.3: Data Normalization

1.4 Model Selection

LeNet 5 (LeNet): This is a convolutional neural network(CNN) architecture proposed by Yann LeCun et al. [1] in 1989. CNN is a kind of feed-forward neural network used for large-scale image processing. This architecture consists of the following parts, a convolutional encoder with two sets of convolutional layers and max pooling layers. One flattening convolutional layer and two fully-connected layers followed by a softmax classifier.

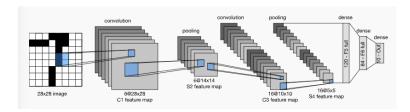


Figure 1.4: LeNet5 Architecture

First Layer: The first layer is a convolutional layer with filters or feature maps with size 6 having size 5x5 and stride of 1. The image dimension changes to 28x28x6. Also, this layer reduces the width and height of the image but increases the depth.

Second Layer: This is the pooling layer or sub-sampling layer with filter size 2x2 and a stride of two. Thus the feature map dimension is reduced to half which is 14x14x6.

Third Layer: This is the second convolutional layer where there are 16 feature maps with size 5x5. The stride is 1.

Fourth Layer: This is the second pooling layer, with filter size of 2x2 and stride of 2. Thus, this layer outputs the image with size 5x5x16, where 16 is the number of feature maps.

Fifth Layer: This is a convolutional layer which is fully connected with a feature map of size 1x1 and the number of input feature maps is 400. These 400 values are then passed to a fully connected layer made up of 120 neurons.

Sixth Layer: This is a fully connected layer which flattens the feature map further to 120 values which are then passed to a fully connected layer made up of 84 neurons.

Seventh Layer: This is a fully connected layer with 84 neurons. From this layer, the output layer is a fully connected softmax layer which outputs the desired result.

1.5 Optimization

The process of training the model iteratively which results in the maximum and minimum function evaluation. The optimization technique used for this project is stochastic gradient descent(SGD), where we use a small set of data points for calculating the local minimum of the function. The data points selected from the dataset are random. Thus, it will bring a parameter update for each training input and output example.

1.6 Regularization

This method is used to reduce the error caused by overfitting by adding a function on the given training dataset. Also, it will add an additional penalty term in the error function while tuning the function. Thus, the additional term prevents fluctuation so that the coefficients will not take extreme values. The technique employed in this project is batch normalization: This technique is used for training the neural network that standardizes the input to a layer for each batch of input data. This stabilizes the learning process by reducing the number of epochs and speeding up the training process.

1.7 Hyperparameter

Hyper parameters are variables whose values influence the learning process and affect the model parameters that a learning algorithm learns. The hyper parameters used are batch size, learning rate, Number of epochs, activation function and cost function.

Learning rate: It is the tuning parameter in the optimization algorithm which determines the step size during each iteration.

Batch size: It is the number of training samples utilized in one iteration.

Number of epochs: It is the number of passes through the dataset. This should be greater than or equal to one or less than or equal to the number of datapoints in the training dataset.

Activation Function: This determines whether a neuron should be active or not. Sigmoid Activation: A weighted sum of inputs is passed through an activation function and this serves as the input to the next layer.

Rectified Linear Unit(ReLU) Activation: This is a piecewise linear function in which the input is shown as the output if the input is positive else zero.

Loss function: It computes the distance between the current and expected output of the algorithm and the average of all the loss functions over the total training data is called cost function. In cross entropy loss, the performance of a classification model is analyzed whose output is a value between 0 and 1.

1.8 Results

Step1: Implemented data pre-processing. The result of the model architecture after classification is observed with and without data augmentation and normalization. Using data augmentation increases the number of training samples and thereby improves the accuracy and overall performance of the model.

The plot of training data with and without the normalization is shown in fig 1.5, which brings the entire dataset in the range of maximum and and minimum data points in the dataset and it is standardized.

Step 2: The architecture-LeNet is preferred over other convolutional neural networks because it is less complex and easy to understand when we change the value of parameters used.

Figure 1.6 shows the summary of the model used in the project.

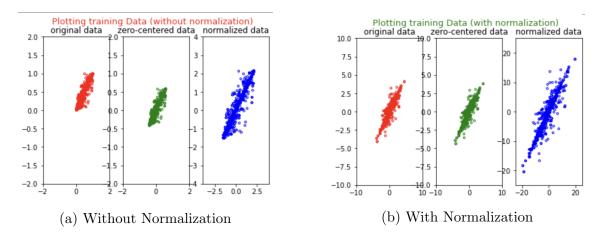


Figure 1.5: Data Normalization

```
net = LeNet5(2).to(device)
print(net)

LeNet5(
  (layer1): Sequential(
    (0): Conv2d(3, 6, kernel_size=(5, 5), stride=(1, 1))
    (1): BatchNorm2d(6, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (2): ReLU()
    (3): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
    )
    (layer2): Sequential(
    (0): Conv2d(6, 16, kernel_size=(5, 5), stride=(1, 1))
    (1): BatchNorm2d(16, eps=1e-05, momentum=0.1, affine=True, track_running_stats=True)
    (2): ReLU()
    (3): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
    )
    (fc): Linear(in_features=400, out_features=120, bias=True)
    (relu): ReLU()
    (fc1): Linear(in_features=120, out_features=84, bias=True)
    (relu1): ReLU()
    (fc2): Linear(in_features=84, out_features=2, bias=True)
    )
```

Figure 1.6: Summary of the model used

Step 3: In this step we are checking if the loss is reasonable. For two classes the loss should be approximately equal to 0.693. The loss calculated without regularization is shown in Fig 1.7(a).

Step 4: In this step we are adding a small regularization and checking if the loss increases. The weight_decay parameter applies L2 regularization while initialising optimizer. This adds regularization term to the loss function, with the effect of shrinking the parameter estimates, making the model simpler and less likely to overfit. The training loss has increased by a small value after adding a small amount of regularization as shown in Fig 1.7(b).

```
Printing loss for first epoch
Epoch [1/20], Step [960/960], Training Loss: 0.6954
Epoch [1/20], Step [240/960], Validation Loss: 0.6655

(a) Without Regularization

(b) With Regularization
```

Figure 1.7: Comparison of Loss Function with and without Regularization

Step 5: The learning rate is set to 0.03 to observe the overfitting. The result is shown in Fig 1.8, at loss value = 0, accuracy = 1.

Step 6: The value of learning rate is changed so as to find the good value that minimizes the loss. The learning rate is set to 0.0001 and observed that the loss is

```
Epoch [17/20], Step [20/960], Training Accuracy: 1.0000, Training Loss: 0.0000
Epoch [17/20], Step [20/960], Validation Accuracy: 1.0000, Validation Loss: 0.0007
17
Epoch [18/20], Step [20/960], Training Accuracy: 1.0000, Training Loss: 0.0000
Epoch [18/20], Step [20/960], Validation Accuracy: 1.0000, Validation Loss: 0.0000
18
Epoch [19/20], Step [20/960], Training Accuracy: 1.0000, Training Loss: 0.0012
Epoch [19/20], Step [20/960], Validation Accuracy: 1.0000, Validation Loss: 0.0000
19
Epoch [20/20], Step [20/960], Training Accuracy: 1.0000, Training Loss: 0.0017
Epoch [20/20], Step [20/960], Validation Accuracy: 1.0000, Validation Loss: 0.0005
```

Figure 1.8: Overfitting at Loss value =0 and Accuracy=1

barely changing as the learning rate is too small. Then, learning rate is changed to 0.0005 and the loss value starting decreasing and when learning rate is 3e-6, loss becomes NaN, which can be observed in Fig 1.9(a),(b) and Fig 1.10.

```
[240/960],
                                 Validation Loss: 0.7123
          Step
                  [960/960],
                                 Training Loss: 0.7072
                  [240/960],
[960/960],
          Step
                                Validation Loss: 0.6897
[3/20],
          Step
                                 Training Loss: 0.7053
                                 Validation Loss:
           Step
          Step
                  [960/960],
                                 Training Loss: 0.7002
[5/20],
          Step
                  [960/960]
                                Training Loss: 0.6763
Validation Loss: 0.68
          Step
                  .
[960/960],
                                 Training Loss: 0.6994
          Step
                                Training Loss: 0.6924
Validation Loss: 0.6995
          Step
                  [960/960]
          Step
[8/20], Step [8/20], Step
                 [960/960],
[240/960],
                                Training Loss: 0.6758
Validation Loss: 0.6837
         Step [960/960], Training Loss: 0.6754
Step [240/960], Validation Loss: 0.6790
[10/20], Step
                  [960/960], Training Loss: 0.6838
[240/960], Validation Loss: 0.6745
[10/20], Step
                   [960/960], Training Loss: 0.6641
[240/960], Validation Loss: 0.6866
            Step
           Step
                                 Training Loss: 0.6946
Validation Loss: 0.6545
            Step
                   [240/960],
[12/20],
            Step
                                 Training Loss: 0.7044
Validation Loss: 0.6319
            Step
                   [240/960],
            Step
[14/20],
           Step
Step
                   [240/960],
                                  Validation Loss:
            Step
                                 Validation Loss: 0.6479
                   [960/960],
            Step
                                  Training Loss: 0.6635
           Step
Step
                   [240/960],
[960/960],
                                  Training Loss: 0.6887
           Step
Step
                   [240/960],
[960/960],
                                  Training Loss: 0.7650
[18/20],
           Step
Step
                   [240/960],
                   [960/960],
                                  Training Loss: 0.7623
                   [960/960],
                                  Training Loss: 0.6461
```

```
Training Loss: 0.6424
                         [960/960],
                  Step
                                       Training Loss: 0.6532
                  Step
                         [960/960],
                                       Training Loss: 0.6857
       [4/20],
[4/20],
                  Step
                         [960/960],
[240/960],
                                       Training Loss: 0.5951
Validation Loss: 0.7327
                  Step
       [5/20],
                  Step
                         [960/960],
[240/960],
                                       Training Loss: 0.5606
Validation Loss: 0.7242
                         [960/960],
[240/960],
                                       Training Loss: 0.4790 Validation Loss: 0.5112
       [6/20],
                  Step
                  Step
                         [240/960],
                                       Validation Loss: 0.5847
                         [240/960],
       [8/201,
                  Step
                                       Validation Loss: 1.1722
                         [960/960],
       [9/20], Step [10/20], Step
                         [240/960], Validation Loss: 1.732
[960/960], Training Loss: 0.2260
                                       Validation Loss: 1.7321
Epoch [10/20],
                   Step
                                        Validation Loss: 0.0735
       [11/20], Step
                          [960/960], Training Loss: 0.0177
                          [240/960], Validation Loss: 0.08
[960/960], Training Loss: 0.0419
       [11/20], Step
       [12/20], Step
                                         Validation Loss:
                          [960/960],
       [13/20], Step
                                         Training Loss: 0.0245
                          [960/960],
       [14/20],
                   Step
                                         Training Loss: 0.0008
                   Step
                           [240/960],
       [15/20],
[15/20],
                          [960/960],
                                         Training Loss: 0.0204
                   Step
Epoch [16/20],
                   Step
                           [960/960],
                                         Training Loss: 0.0453
Validation Loss: 0.20
                   Step
                           [960/960],
                                         Training Loss: 0.0004
Validation Loss: 0.1565
                   Step
                           [240/960],
       [17/20], Step
                                        Training Loss: 0.0001
Validation Loss: 0.0029
       [18/20],
                   Step
                           [960/960],
                           [240/960],
       [18/20],
                   Step
                          [240/960],
                                         Validation Loss:
                   Step
                                                               0.0013
```

(a) Loss barely changing

(b) Loss going down

Figure 1.9: Comparison of change in loss

Step 7: As part of testing the model performance on FDDB dataset, the results were observed with and without batch normalization. The accuracy value change with and without batch normalization is shown in Fig 1.11. Results of training with weight decay of 0.001 and learning rate of 0.0003. The above results were observed without batch normalization and got an accuracy of 82.75. When batch normalization is included, Test Accuracy accuracy is 91.75.

Step 8: In the final step for cross validation, the starting parameters used are shown in Fig 1.12. ReduceLROnPlateau scheduler is used to update the learning rate. The training rate updates itself if the validation loss doesn't decrease till the number of epochs equal to patience(passed as an argument to ReduceLROn Plateau Function. The patience = 5.

```
Epoch [1/20], Step [960/960], Training Loss: nan
Epoch [1/20], Step [240/960], Validation Loss: nan
Epoch [2/20], Step [960/960], Training Loss: nan
Epoch [2/20], Step [240/960], Validation Loss: nan
Epoch [3/20], Step [960/960], Training Loss: nan
Epoch [3/20], Step [240/960], Validation Loss: nan
Epoch [4/20], Step [960/960], Training Loss: nan
Epoch [4/20], Step [240/960], Validation Loss: nan
Epoch [5/20], Step [960/960], Training Loss: nan
Epoch [5/20], Step [240/960], Validation Loss: nan
Epoch [6/20], Step [960/960], Training Loss: nan
Epoch [6/20], Step [240/960], Validation Loss: nan
Epoch [7/20], Step [960/960], Training Loss: nan
Epoch [7/20], Step [240/960], Validation Loss: nan
Epoch [8/20], Step [960/960], Training Loss: nan
Epoch [8/20], Step [240/960], Validation Loss: nan
Epoch [9/20], Step [960/960], Training Loss: nan
Epoch [9/20], Step [240/960], Validation Loss: nan
Epoch [10/20], Step [960/960], Training Loss: nan
Epoch [10/20], Step [240/960], Validation Loss: nan
Epoch [11/20], Step [960/960], Training Loss: nan
Epoch [11/20], Step [240/960], Validation Loss: nan
Epoch [12/20], Step [960/960], Training Loss: nan
Epoch [12/20], Step [240/960], Validation Loss: nan
Epoch [13/20], Step [960/960], Training Loss: nan
Epoch [13/20], Step [240/960], Validation Loss: nan
Epoch [14/20], Step [960/960], Training Loss: nan
Epoch [14/20], Step [240/960], Validation Loss: nan
Epoch [15/20], Step [960/960], Training Loss: nan
Epoch [15/20], Step [240/960], Validation Loss: nan
Epoch [16/20], Step [960/960], Training Loss: nan
Epoch [16/20], Step [240/960], Validation Loss: nan
Epoch [17/20], Step [960/960], Training Loss: nan
Epoch [17/20], Step [240/960], Validation Loss: nan
Epoch [18/20], Step [960/960], Training Loss: nan
Epoch [18/20], Step [240/960], Validation Loss: nan
     [19/20], Step [960/960], Training Loss: nan
     [19/20], Step [240/960], Validation Loss: nan
     [20/20], Step [960/960], Training Loss: nan
Epoch [20/20], Step [240/960], Validation Loss: nan
```

Figure 1.10: Getting NaN values for loss

1.9 Observation and Conclusion

Learned the architecture of LeNet5 and the different layers in the model. Different hyper parameters were defined and tested on the training and testing dataset. Analyzed the accuracy, loss function and different changes during the evaluation and shown in the result. Thus, if we add more number of data using data augmentation and standardize the data using data normalization, the accuracy increases. Regularization and optimization also plays a major part in the model evaluation. Therefore, the machine learning performance is supported by other parameters. So, the improvement in the parameters help in getting the best result.

```
Epoch (1/28), step (960/960), Training Accuracy: 0.5094, Training Loss: 0.7423
Epoch (1/28), step (240/960), Validation Accuracy: 0.4625, Validation Loss: 0.7595
Epoch (2/28), step (240/960), Training Accuracy: 0.5656, Training Loss: 0.7366
Epoch (2/28), step (240/960), Training Accuracy: 0.5656, Training Loss: 0.7366
Epoch (2/28), step (240/960), Training Accuracy: 0.6573, Training Loss: 0.7183
Epoch (3/28), step (960/960), Training Accuracy: 0.6573, Training Loss: 0.7183
Epoch (3/28), step (240/960), Training Accuracy: 0.7375, Training Loss: 0.4530
Epoch (3/28), step (240/960), Training Accuracy: 0.7375, Training Loss: 0.4530
Epoch (3/28), step (240/960), Training Accuracy: 0.7375, Training Loss: 0.4530
Epoch (3/28), step (960/960), Training Accuracy: 0.7381, Training Loss: 0.8723
Epoch (3/28), step (960/960), Training Accuracy: 0.7840, Training Loss: 0.8723
Epoch (3/28), step (960/960), Training Accuracy: 0.7833, Validation Loss: 0.8723
Epoch (3/28), step (960/960), Training Accuracy: 0.9125, Validation Loss: 0.8728
Epoch (3/28), step (960/960), Training Accuracy: 0.9125, Validation Loss: 0.8096
Epoch (3/28), step (960/960), Training Accuracy: 0.9375, Validation Loss: 0.6296
Epoch (3/28), step (960/960), Training Accuracy: 0.9375, Validation Loss: 0.6296
Epoch (3/28), step (960/960), Training Accuracy: 0.9375, Validation Loss: 0.6296
Epoch (3/28), step (960/960), Training Accuracy: 0.9374, Training Loss: 0.6226
Epoch (3/28), step (960/960), Training Accuracy: 0.9374, Training Loss: 0.6226
Epoch (3/28), step (960/960), Training Accuracy: 0.9383, Validation Loss: 0.6226
Epoch (3/28), step (960/960), Training Accuracy: 0.9383, Validation Loss: 0.6226
Epoch (3/28), step (960/960), Training Accuracy: 0.9383, Validation Loss: 0.6081
Epoch (3/28), step (960/960), Training Accuracy: 0.9383, Validation Loss: 0.6082
Epoch (3/28), step (960/960), Validation Accuracy: 0.9383, Validation Loss: 0.6082
Epoch (3/28), step (960/960), Validation Accuracy: 0.9384, Training Loss: 0.8080
Epoch (3/28), step (960/960), Validation
```

```
Epoch (1/7), Step (960/960), Triaining Accuracy: 0.717, Training Loss: 0.5002
Epoch (1/7), Step (240/960), Validation Accuracy: 0.783, Validation Loss: 0.5703
Epoch (2/7), Step (240/960), Validation Accuracy: 0.8021, Training Loss: 1.2066
Epoch (2/7), Step (240/960), Triaining Accuracy: 0.8021, Training Loss: 0.9471
Epoch (3/7), Step (240/960), Validation Accuracy: 0.9052, Training Loss: 0.9471
Epoch (3/7), Step (240/960), Validation Accuracy: 0.9052, Validation Loss: 0.9095
Epoch (3/7), Step (240/960), Validation Accuracy: 0.9563, Training Loss: 0.9096
Epoch (4/7), Step (240/960), Validation Accuracy: 0.9667, Validation Loss: 0.9096
Epoch (4/7), Step (240/960), Validation Accuracy: 0.9667, Training Loss: 0.9016
Epoch (5/7), Step (240/960), Validation Accuracy: 0.9967, Training Loss: 0.9017
Epoch (6/7), Step (240/960), Validation Accuracy: 0.9965, Training Loss: 0.9017
Epoch (6/7), Step (240/960), Validation Accuracy: 0.9067, Validation Loss: 0.9017
Epoch (6/7), Step (240/960), Validation Accuracy: 0.9067, Validation Loss: 0.9016
Epoch (7/7), Step (240/960), Validation Accuracy: 0.9067, Validation Loss: 0.9006
Epoch (7/7), Step (240/960), Validation Accuracy: 0.9067, Validation Loss: 0.9006
Epoch (7/7), Step (240/960), Validation Accuracy: 0.9067, Validation Loss: 0.9006
Epoch (7/7), Step (240/960), Validation Accuracy: 0.9072, Validation Loss: 0.9006
Calculate accuracy_TestDataset(model,test_loader)

Accuracy of the network on the test images: 91.75 %
```

(b) With Batch Normalization

(a) Without Batch Normalization

Figure 1.11: Accuracy comparison with and without Batch Normalization

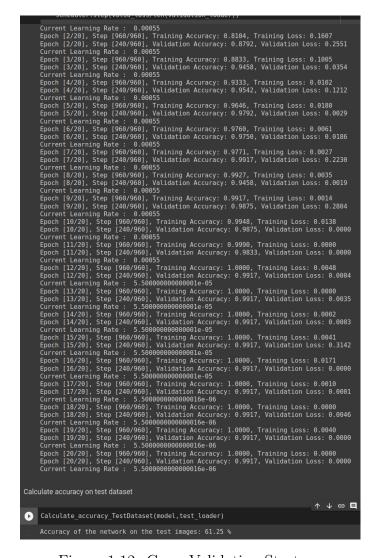


Figure 1.12: Cross Validation Strategy

1.10 References

- [1] Y. Lecun, L. Bottou, Y. Bengio and P. Haffner, "Gradient-based learning applied to document recognition," in Proceedings of the IEEE, vol. 86, no. 11, pp. 2278-2324, Nov. 1998, doi: 10.1109/5.726791
- [2] http://vis-www.cs.umass.edu/fddb/

Websites:

- 1. https://pytorch.org/tutorials/beginner/introyt/modelsyt $_t$ utorial.html?highlight = lenet5
 - 2. https://discuss.pytorch.org/t/simple-l2-regularization/139

CONTRIBUTION:

Bhagya- data preprocessing (augment, normalized, regularization others), report Apoorva- model exploration, selection and implemention, adding standard regularization such as batch normalization, report.

Hritwik-hyparameter tuning, cross validation checking, report