# Ice Information Product Specification

**Edition 1.2.0 - March 2025** 





International Hydrographic Organization

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# **Document History**

Changes to this Specification are coordinated by WMO/IOC Joint Technical Commission for Oceanography and Marine Meteorology (JCOMM). New editions will be made available via the IHO web site

Version Number	Date	Approved By	Purpose
1.0.0	2 February 2014	Alexander Benke	Initial edition of S-411
1.1.0	15 June 2014	Jürgen Holfort	Clarifications based on ETSI Meeting 2014 and new color for 10/10.
1.2.0	01 January 2025	IIC technologies	Initial version created using metanorma
1.2.1	13 March 2025	IIC Technologies	Updated following reviews with WMO

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#### 1

## 1 Overview

#### 1.1 Introduction

S-411 is the Ice Information Product Specification, produced by the World Meteorological Organization (WMO)/Intergovernmental Oceanographic Commission Technical Commission for Oceanography and Marine Meteorology (JCOMM) Expert Team on Sea Ice (ETSI).

This document has been produced in response to a requirement to produce a data product that can be used as an Information Overlay within an Electronic Chart Display and Information Systems (ECDIS). It is based on the IHO S-100 framework specification, the ISO 19100 series of standards and Geography Markup Language (GML). It is a vector product specification that is intended for encoding the extent and nature of Sea Ice for navigational purpose in ice covered regions.

# 1.2 Scope

This document describes an S-100 compliant Product Specification for Ice Information, which will form an overlay layer for an S-100 based marine navigation system. It specifies the content, structure, and metadata needed for creating a fully compliant S-411 product and for its portrayal within an S-100 system. This Product Specification includes the content model, the encoding, the Feature Catalogue, Portrayal Catalogue, and metadata.

#### 1.3 References

#### 1.4 References

- [1] S-100 edition 5.2.0: IHO Universal Hydrographic Data Model, International Hydrographic Organization (<a href="https://iho.int/uploads/user/pubs/standards/s-100/S-100\_5">https://iho.int/uploads/user/pubs/standards/s-100/S-100\_5</a>. 2.0\_Final\_Clean.pdf).
- [2] S-44 edition 6.1.0: IHO Standards for Hydrographic Surveys, International Hydrographic Organization (<a href="https://iho.int/uploads/user/pubs/standards/s-44/S-44\_5E.pdf">https://iho.int/uploads/user/pubs/standards/s-44/S-44\_5E.pdf</a>).
- [3] S-49 edition 2.1.0: STANDARDIZATION of MARINERS' ROUTEING GUIDES, International Hydrographic Organization (https://iho.int/uploads/user/pubs/standards/s-49/S-49\_Ed.2.1.0\_Standardization%20of%20Mariners%20Routeing%20Guides\_EN.pdf).
- [4] S-32 edition 1.0.0: Hydrographic Dictionary Glossary of ECDIS Related Terms, International Hydrographic Organization (http://hd.iho.int/en/index.php/Main Page).
- [5] ISO 8601:2004: Data elements and interchange formats Information interchange Representation of dates and times, International Organization for Standardization (https://www.iso.org/standard/40874.html).
- [6] ISO 19103:2015: Geographic information Conceptual schema language, International Organization for Standardization (<a href="https://www.iso.org/standard/56734">https://www.iso.org/standard/56734</a>. <a href="https://www.iso.org/standard/56734">https://www.iso.org/standard/56734</a>.
- [7] ISO 19111:2007: Geographic information Spatial referencing by coordinates, International Organization for Standardization (<a href="https://www.iso.org/standard/41126.">https://www.iso.org/standard/41126.</a>
  <a href="https://www.iso.org/standard/41126.">httml</a>).
- [8] ISO 19115-1:2014/Amd 1:2018: Geographic information Metadata Part 1: Fundamentals Amendment 1, International Organization for Standardization (<a href="https://www.iso.org/standard/73118.html">https://www.iso.org/standard/73118.html</a>).

[9] ISO 19115-2:2009: Geographic information — Metadata — Part 2: Extensions for imagery and gridded data, International Organization for Standardization (<a href="https://www.iso.org/standard/39229.html">https://www.iso.org/standard/39229.html</a>).

[10] ISO/TS 19115-3:2016: Geographic information — Metadata — Part 3: XML schema implementation for fundamental concepts, International Organization for Standardization (<a href="https://www.iso.org/standard/32579.html">https://www.iso.org/standard/32579.html</a>).

#### 1.4.1 Normative

GML OpenGIS® Geography Markup Language (GML) Encoding Standard (Version 3.2.1)

S-97 IHO Guidelines for Creating S-100 Product Specifications, Edition 1.1.0, June 2020.

S-100 IHO Universal Hydrographic Data Model, Edition 5.2.0, June 2024

WMO-No. 574 Sea-ice Information and Services, 2024 edition

#### 1.4.2 Informative

ISO 19101	Geographic Information – Reference Model, 2003				
ISO 19103	Geographic Information – Conceptual Schema Language, 2005				
ISO 19103-2	Geographic Information – Conceptual Schema Language – Part 2, 2005				
ISO 19109	Geographic Information – Rules for Application Schema, 2005				
ISO 19110	Geographic Information – Methodology for Feature Cataloguing, 2005				
ISO 19111	Geographic Information – Spatial Referencing by Coordinates, 2007				
ISO 19115-1	Geographic information — Metadata — Part 1: Fundamentals — 2014/Amd 1: 2018				
ISO 19115-3	$\begin{tabular}{lll} Geographic & information Metadata Part & 3: & XML & Schema & implementation & for fundamental concepts 2016 & \end{tabular}$				
ISO 19117	Geographic Information – Portrayal, 2012				
ISO 19131	Geographic Information – Data Product Specifications, 2008				
ISO 19139-1	$Geographic \ information XML \ schema \ implementation Part \ 1: Encoding \ rules 2019$				
MANICE	Manual of Standard Procedures for Observing and Reporting Ice Conditions, Meteorological Service of Canada, 2005				

## 1.5 Terms, definitions and abbreviations

#### 1.5.1 Use of language

Within this document:

- "Must" indicates a mandatory requirement.
- "Should" indicates an optional requirement, that is the recommended process to be followed, but is not mandatory.
- "May" means "allowed to" or "could possibly" and is not mandatory.

#### 1.5.2 Terms and definitions

#### **Application Schema**

Conceptual schema for data required by one or more applications.

#### **Attribute**

(1) Named property of an entity.

NOTE Describes a geometrical, thematic, or other characteristic of an entity.

- (2) Feature within a classifier that describes a range of values that instances of the classifier may hold.
- NOTE An attribute is semantically equivalent to a composition association; however, the intent and usage is normally different.

NOTE "Feature" used in this definition is the UML meaning of the term.

# **Boundary**

Set that represents the limit of an entity.

NOTE Boundary is most commonly used in the context of geometry, where the set is a collection of points or a collection of objects that represent those points.

#### Coordinate

One of a sequence of *n* numbers designating the position of a **point** in N-dimensional space.

NOTE In a coordinate reference system, the coordinate numbers are qualified by units.

## **Coordinate Reference System**

Coordinate system which is related to an object by a datum.

#### Curve

1-dimensional geometric primitive, representing the continuous image of a line.

NOTE The boundary of a curve is the set of points at either end of the curve. If the curve is a cycle, the two ends are identical, and the curve (if topologically closed) is considered to not have a boundary. The first point is called the start point, and the last is the end point. Connectivity of the curve is guaranteed by the "continuous image of a line" clause. A topological theorem states that a continuous image of a connected set is connected.

#### **Data Quality**

A set of elements describing aspects of quality, including a measure of quality, an evaluation procedure, a quality result, and a scope.

#### **Data Type**

Specification of a value domain with operations allowed on values in this domain.

NOTE Data types include primitive predefined types and user-definable types.

NOTE A data type is identified by a term, for example Integer.

# Dataset

An identifiable collection of data.

NOTE A dataset may be a smaller grouping of data which, though limited by some constraint such as spatial extent or feature type, is located physically within a larger dataset. Theoretically, a dataset may be as small as a single feature contained within a larger dataset. A hardcopy map or chart may be considered a dataset.

#### **Datum**

Parameter or set of parameters that define the position of the origin, the scale, and the orientation of a **coordinate** system.

#### **ECDIS**

A navigation information system which with adequate back-up arrangements can be accepted as complying with the up-to-date chart required by regulations V/19 and V/27 of the 1974 SOLAS Convention, as amended, by displaying selected information from a System Electronic Navigational Chart (System Database) with positional information from navigation sensors to assist the Mariner in route planning and route monitoring, and if required display additional navigation-related information.

#### **Enumeration**

A fixed list of valid identifiers of named literal values. Attributes of an enumerated type may only take values from this list.

#### **Feature**

Abstraction of real-world phenomena.

NOTE A feature may occur as a type or an instance. Feature type or feature instance should be used when only one is meant.

#### **Feature**

Abstraction of real world phenomena.

NOTE A feature may occur as a type or an instance. Feature type or feature instance should be used when only one is meant.

#### **Feature Attribute**

Characteristic of a feature.

NOTE A feature attribute type has a name, a data type, and a domain associated to it. A feature attribute instance has an attribute value taken from the value domain of the feature attribute type.

#### **Feature Catalogue**

A catalogue containing definitions and descriptions of the **feature** types, **feature attributes** occurring in one or more sets of geographic data.

#### **Geometric Primitive**

Geometric object representing a single, connected, homogeneous element of geometry.

NOTE Geometric primitives are non-decomposed objects that present information about geometric configuration. They include points, curves and surfaces.

#### **Multiplicity**

Specification of the number of possible occurrences of a property, or the number of allowable elements that may participate in a given relationship.

EXAMPLES: 1..\* (one to many); 1 (exactly one); 0..1 (zero or one)

# **Point**

0-dimensional geometric primitive, representing a position.

NOTE The boundary of a point is the empty set.

#### **Portrayal Catalogue**

Collection of defined portrayals for a feature catalogue.

NOTE Content of a portrayal catalogue includes portrayal functions, symbols, and portrayal context.

#### 1.5.3 Abbreviations

This Product Specification adopts the following convention for presentation purposes:

BSH Bundesamt für Seeschifffahrt und Hydrographie (Germany)

CRS Coordinate Reference System

ECDIS Electronic Chart Display and Information System

ENC Electronic Navigational Chart

EPSG European Petroleum Survey Group

ETSI Expert Team on Sea Ice

GML Geography Markup Language

IHO International Hydrographic Organization

ISO International Organization for Standardization

JCOMM Joint Technical Commission for Oceanography and Marine Meteorology

UTF-8 Unicode Transformation Format-8WMO World Meteorological OrganizationXML eXtensible Markup Language

# 1.6 General data product description

Title Ice Information Product Specification.

Abstract Ice Information for ship navigation

Acronym S-411

**Content** Ice features as vector data

**Spatial Extent Description**: Areas specific to navigation in ice covered regions.

East Bounding Longitude: 180° West Bounding Longitude: -180° North Bounding Latitude: 90° South Bounding Latitude: -90°

Purpose Navigation in ice covered regions

# 1.7 Product Specification metadata

**Title** Ice Information Product Specification

**S-100 Version** 5.2.0 **S-411 Version** 1.2.1

**Date** 13 March 2025

Language English (optional additional)

Classification Unclassified

Contact

Identifier JCOMM S-411

Maintenance Changes to this product specification are coordinated by JCOMM ETSI.

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URL <u>www.wmo.int</u>

Identifier S-411

Maintenance Changes to the Product Specification S-411 are coordinated by the JCOMM, and must

be made available via the IHO web site.

# 1.8 WMO Product Specification Maintenance

# 1.8.1 Introduction

Changes to S-411 will be released by the WMO as a New Edition, revision, or clarification.

#### 1.8.2 New Edition

New Editions of S-411 introduce significant changes. New Editions enable new concepts, such as the ability to support new functions or applications, or the introduction of new constructs or data types. New Editions are likely to have a significant impact on either existing users or future users of S-122. All cumulative revisions and clarifications must be included with the release of approved New Editions.

#### 1.8.3 Revision

Revisions are defined as substantive semantic changes to S-411. Typically, *revisions* will change S-411 to correct factual errors; introduce necessary changes that have become evident as a result of practical experience or changing circumstances. A *revision* must not be classified as a clarification. Revisions could have an impact on either existing users or future users of S-411. All cumulative *clarifications* must be included with the release of approved *revisions*.

Changes in a revision are minor and ensure backward compatibility with the previous versions within the same Edition. Newer revisions, for example, introduce new features and attributes. Within the same Edition, a dataset of one version could always be processed with a later version of the Feature and Portrayal Catalogues.

In most cases a new feature or portrayal catalogue will result in a revision of S-411.

#### 1.8.4 Clarification

*Clarifications* are non-substantive changes to S-122. Typically, *clarifications*: remove ambiguity; correct grammatical and spelling errors; amend or update cross references; insert improved graphics in spelling, punctuation and grammar. A *clarification* must not cause any substantive semantic change to S-411.

Changes in a *clarification* are minor and ensure backward compatibility with the previous versions within the same Edition. Within the same Edition, a dataset of one clarification version could always be processed with a later version of the Feature and Portrayal Catalogues, and a Portrayal Catalogue can always rely on earlier versions of the Feature Catalogue.

#### 1.8.5 Version Numbers

The associated version control numbering to identify changes (n) to S-411 must be as follows:

New Editions denoted as n.0.0

Revisions denoted as n.n.0

Clarifications denoted as n.n.n

# 2 Specification Scope

**Hierarchical Lelvel** 

This product specification defines only one general scope which applies to all its sections.

Scope Identification JCOMM S-411 dataset

Hierarchical Level Name Dataset

**Extent** 

EX\_GeographicExtent — Global coverage of maritime areas.

EX TemporalExtent — Not defined for this product specification.

EX\_VerticalExtent -Not defined for this product specification.

## 3 Data Product Identification

A dataset that conforms to this Product Specification may be identified by its discovery metadata as defined in clause 12.

MD ScopeCode -005

Title Ice Information

Abstract S-411 datasets must be produced in accordance with the rules defined in the S-411

Product Specification. The S-411 Product Specification contains all the information necessary to enable producers to produce a consistent Ice Information dataset; and

manufacturers to use that data efficiently within navigation systems.

Topic Category Transportation, climatology, meteorology, atmosphere

Geographic Description

Ice covered regions

Spatial Resolution

Each Data Coverage feature of an Ice Information dataset must indicate a value for an optimum viewing scale. Recommended values for scales can be found in the S-101 ENC Product Specification. Producers should note that at the smaller scales, geographic details will have no perceptible visual separation on a graphic display, and are therefore encouraged to determine display scales taking into account the content and intended navigation purpose of the dataset.

Purpose Navigation in ice covered regions

Language English (Mandatory), other (Optional)

**Classification** Data may be classified as one of the following:

1) Unclassified;

- 2) Restricted;
- 3) Confidential;
- 4) Secret;
- 5) Top Secret;
- 6) Sensitive but Unclassified;
- 7) For official Use Only;
- 8) Protected:

Vector

9) Limited Distribution.

Spatial

Representation

Type

Point of Contact Producing Agency

**Use Limitation** Not for sole use in navigation; must be used with an ENC.

#### 4 Data Content and Structure

## 4.1 Introduction

The S-411 product is based on the S-100 General Feature Model (GFM), and is a feature-based vector product. Figure 4.1 shows how the S-411 application schema is realised from the S-100 GFM. All S-411 feature classes are derived from the abstract class FeatureType defined in the S-411 application schema, which realize the GFM meta-classes S100\_GF\_FeatureType.

The application schema of Ice Information product contains 28 feature types with their attributes, enumerations etc. It is based on the ice objects catalogue (Version 5.3) and can also be found in the ICE domain of the IHO Registry. Because of this it is not possible to describe the full schema in suitable form in this specification. More information can be found as XML Schema File in Annex B – Data Product format (encoding).

# 4.2 Application Schema

S-411 conforms to the General Feature Model (GFM) from S-100 Part 3. The GFM is the conceptual model and the implementation is defined in the Feature Catalogue which is included as a separate Annex

(Annex C) and provides a full specification of all types including feature types, their attributes, allowed and values. The S-411 Product Specification only contains specific examples.

The following conventions are used in the UML diagrams depicting the application schema:

- Standard UML conventions for classes, associations, inheritance, roles, and multiplicities apply.
   These conventions are described in Part 1 of S-100.
- Italic font for a class name indicates an abstract class.
- Feature classes are depicted with green background; the dark shade for abstract feature classes and the light shade for ordinary (non-abstract) feature classes.
- Complex attributes are depicted with a pink background.
- Enumeration lists and codelists are depicted with a tan background. The numeric code corresponding to each listed value is shown to its right following an '=' sign.

#### 4.2.1 Domain model

The S-411 domain model has one base class ('root class') from which all the domain-specific geographic features type classes are derived. The base class is shown in the figure below. The base class for geographic features is FeatureType which has a set of attributes which are therefore inherited by all domain-specific features. The approximate area features in S-411 are also derived from the geographic feature root class. Base classes are abstract classes and do not have direct instances in S-411 data – instead, S-411 feature type data objects are instantiations of all non-abstract classes.

S-411 meta-features are also derived from the base class – S-411 incorporates meta-feature definitions originally prepared for S-101 in the interests of harmonization and interoperability with other S-100-based data products, especially S-101 ENCs.

#### 4.2.2 S-411 Features

#### 4.2.2.1 Meta Features

S-411 provides a single meta-feature, **DataCoverage**. **DataCoverage** is for describing areas in the dataset that are populated with data. The dataset may include multiple **DataCoverage** features to describe different contiguous (i.e. non-overlapping) areas.

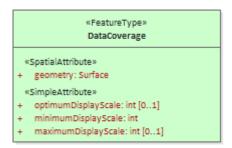


Figure 4-1 — Data Coverage

## 4.2.2.2 Geographic Features

Geographic (geo) feature types form the principal content of the S-411 product and are fully defined by their associated attributes.

The following figure shows all the major ice features and a simplified view of their attributes in the S-411 Application Schema. The abstract Ice class is introduced to simplify the UML model of the features.

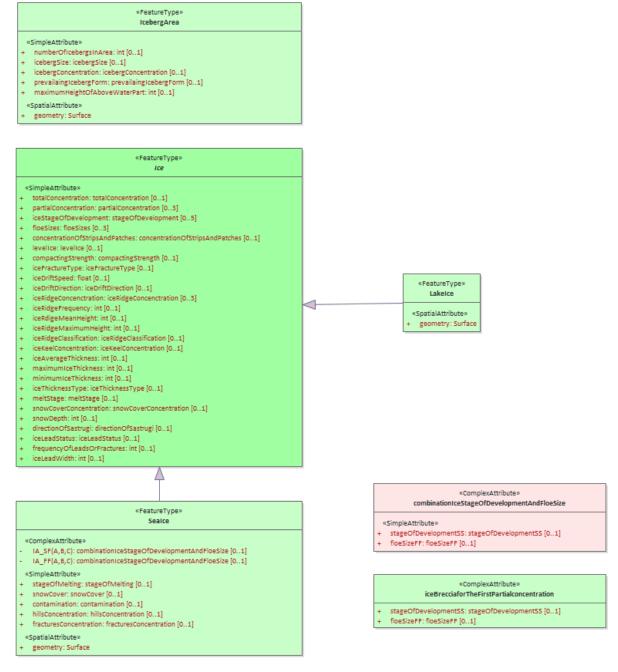


Figure 4-2 — All Ice Features

The ice features contains a number of attributes defined as enumerations. The following diagram shows the main ice (surface) feature classes complete with the details of these enumerations and their values.

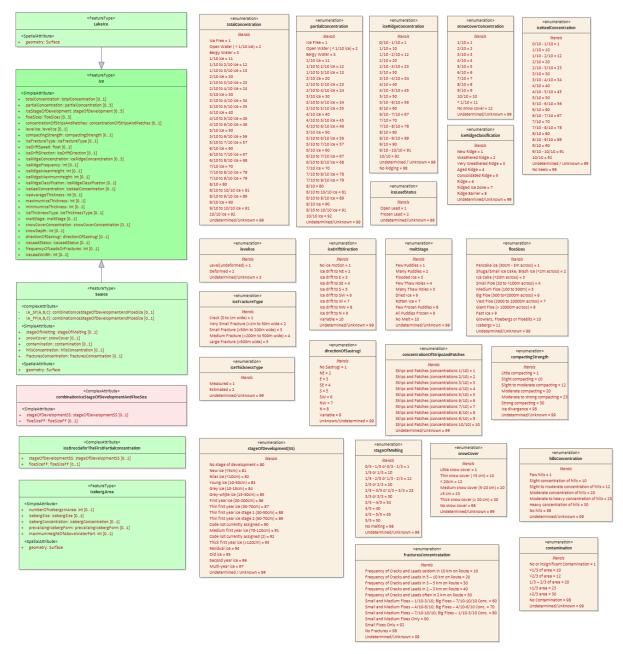


Figure 4-3 — Detailed Ice Features

All Limit and Line curve features and their attributes are shown in the following diagram

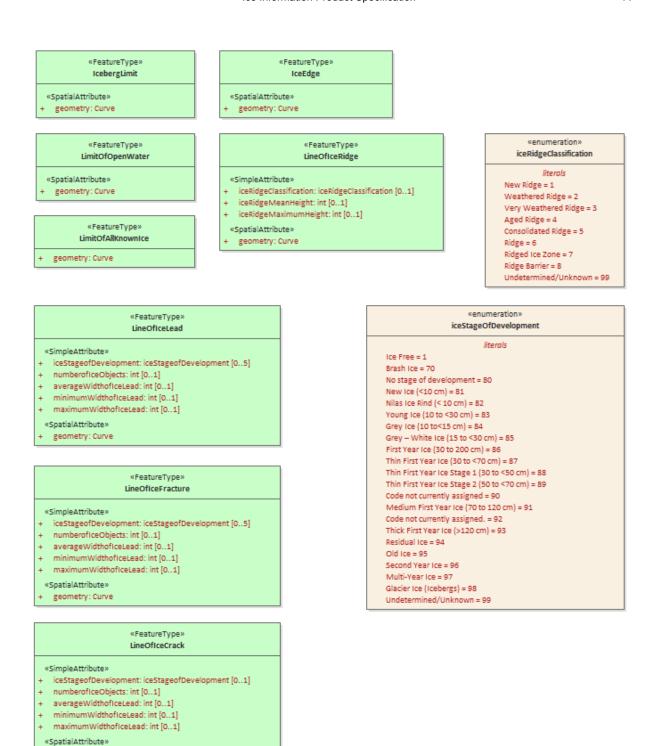


Figure 4-4 — All Curve Features

There are a number of point features shown in the following diagrams

geometry: Curve

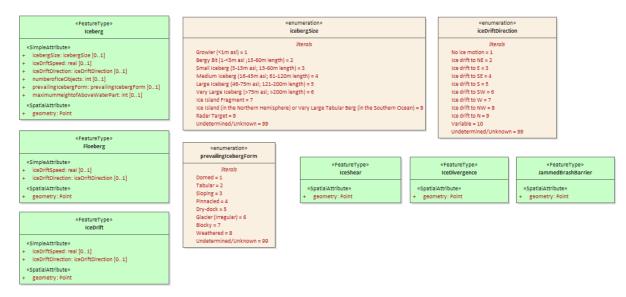


Figure 4-5 — Point Features

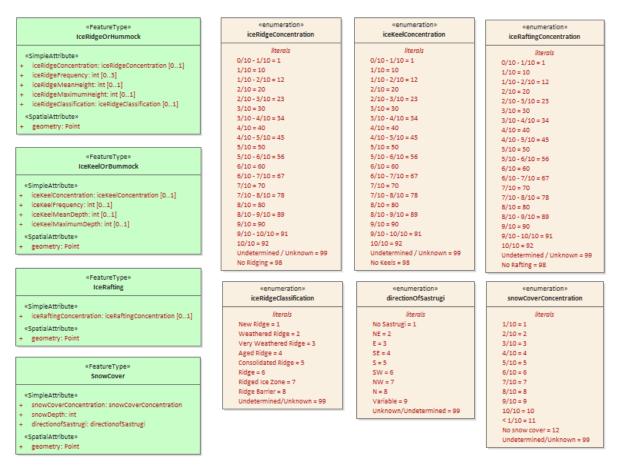


Figure 4-6 — Point Features

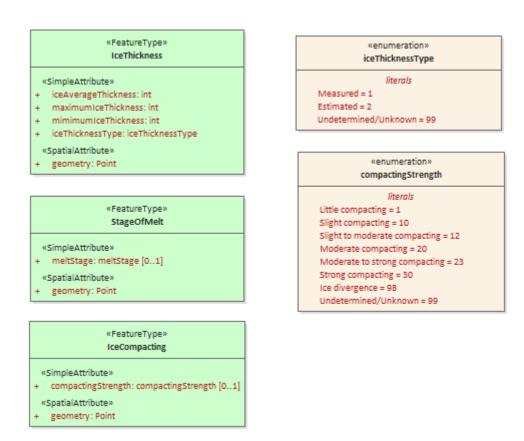


Figure 4-7 — Point Features

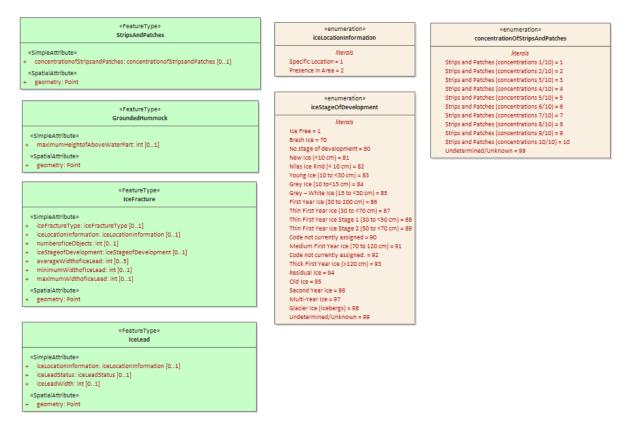


Figure 4-8 — Point Features

Full details of all geographic feature types can be found in Annex A – Data Classification and Encoding Guide, clause 2.1 and Sections 4-22.

# 4.2.3 Attributes

S-411 defines attributes as either simple or complex in line with the S-100 GFM.

#### 4.2.3.1 Simple attributes

S-411 uses 3 types of simple attributes; these types are listed in Annex A - Data Classification and Encoding Guide, clause 2.4.2. Descriptions of the simple attributes included in S-411 can be found in Annex A, Sections 27, 28 and 30.

#### 4.2.3.2 Complex attributes

Complex attributes are aggregations of other attributes that are either simple or complex. The aggregation is defined by means of attribute bindings. Examples of modelling complex attributes can be found in S-100 Part 2a, Appendix 2a-A. Descriptions of the complex attributes included in S-411 can be found in Annex A – Data Classification and Encoding Guide

# 4.3 Feature Catalogue

#### 4.3.1 Introduction

The S-411 Feature Catalogue describes the feature types, attributes and attribute values which may be used in an S-411 product.

The S-411 Feature Catalogue is available as an XML document which conforms to the S-100 XML Feature Catalogue Schema and can be downloaded from the IHO website (<a href="https://registry.iho.int/">https://registry.iho.int/</a>). S-411 Annex A – Data Classification and Encoding Guide, constitutes a human readable interpretation of the Feature Catalogue along with information on how features should be encoded.

The feature Catalogue for Ice Information contains only geographic and meta features. The ice features which can be used in ECDIS are defined within the ICE domain of the IHO Registry.

# 4.3.2 Feature types

Feature types contain descriptive attributes that characterize real-world entities. The word 'feature' may be used in one of two senses – feature type and feature instance. A feature type is a class and is defined in a Feature Catalogue. A feature instance is a single occurrence of the feature type and represented as an object in a dataset. A feature instance is located by a relationship to one or more spatial instances. A feature instance may exist without referencing a spatial instance.

# 4.3.3 Geographic

Geographic (geo) feature types carry the descriptive characteristics of a real-world entity (a location or place on the surface of the Earth). In the context of Ice Information products, this comprises most of the features (with the exception of DataCoverage) and represents those features with a real-world existence.

#### 4.3.4 Meta

Only one metadata feature is defined, DataCoverage. This defines the area of coverage bounded by the dataset, and any features within it. DataCoverage contains a mandatory optimumDisplayScale attribute and two optional maximum, and minimum display scale attributes.

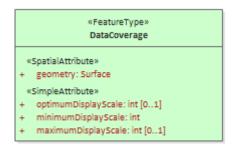


Figure 4-9 — Data Coverage

# 4.3.5 Attributes

S-411 defines attributes as either simple or complex in line with the S-100 GFM.

# 4.3.5.1 Simple attributes

S-411 uses three types of simple attributes; they are listed in the following Table:

Table 4-1

Type Definition	
Integer An integer number.	
Real	A floating point number.
Enumeration	One or more of a list of predefined values.

# 4.3.6 Application Schema implementation

# 4.3.6.1 Implementation description

#### 4.3.6.2 Ice DataSets

Ice Data Sets contains an unlimited number of Ice Features, for example (SeaIce, LakeIce, Iceberg, etc.).

# 4.3.7 Feature Types Summary

1) Summary of Types in the WMO Ice Domain Register

Table 4-2

Index	Alias	Name		
Feature	SEAICE	Sea Ice		
Feature	LACICE	Lake Ice		
Feature	BRGARE	Iceberg Area		
Feature	ICELNE	Ice Edge		
Feature	BRGLNE	Iceberg Limit		
Feature	OPNLNE	Limit of Open Water		
Feature	LKILNE	Limit of All Known Ice		
Feature	I_RIDG	Line of Ice Ridge		
Feature	I_LEAD	Line of Ice Lead		
Feature	I_FRAL	Line of Ice Fracture		
Feature	I_CRAC	Line of Ice Crack		
Feature	ICECOM	Ice Compacting		
Feature	ICELEA	Ice Lead		
Feature	ICEBRG	Iceberg		
Feature	FLOBRG	Floeberg		
Feature	ICETHK	Ice Thickness		
Feature	ICESHR	Ice Shear		
Feature	ICEDIV	Ice Divergence		

Index	Alias	Name	
Feature	ICERDG	Ice Ridge/Hummock	
Feature	ICEKEL	Ice Keel/Bummock	
Feature	ICEDFT	Ice Drift	
Feature	ICEFRA	Ice Fracture	
Feature	ICERFT	Ice Rafting	
Feature	JMDBRR	Jammed Brash Barrier	
Feature	STGMLT	Stage of Melt	
Feature	SNWCVR	Snow Cover	
Feature	STRPTC	Strips and Patches	
Feature	I_GRHM	Grounded Hummock	
Attribute	ICEACT	Total Concentration	
Attribute	ICEAPC	Partial Concentration	
Attribute	ICESOD	Ice Stage of Development	
Attribute	ICELSO	Lake Ice Stage of Development	
Attribute	ICEFLZ	Floe Sizes	
Attribute	ICEMLT	Melt Stage	
Attribute	ICESPC	Concentration of Strips and Patches	
Attribute	ICEBNM	Number of Icebergs in Area	
Attribute	ICELVL	Level Ice	
Attribute	ICECST	Compacting Strength	
Attribute	ICEFTY	Ice Fracture Type	
Attribute	ICELST	Ice Lead Status	
Attribute	ICELFQ	Frequency of Leads or Fractures	
Attribute	ICELOR	Orientation of Leads or Fractures	
Attribute	ICELWD	Ice Lead (or Fracture or Crack) Width	
Attribute	ICELOC	Ice Location Information	
Attribute	ICEBSZ	Iceberg Size	
Attribute	ICEDDR	Ice Drift Direction	
Attribute	ICEDSP	Ice Drift Speed	
Attribute	ICETCK	Ice Average Thickness	
Attribute	ICEMAX	Maximum Ice Thickness	
Attribute	ICEMIN	Minimum Ice Thickness	
Attribute	ICETTY	Ice Thickness Type	
Attribute	ICESCT	Snow Depth	

Index	Alias	Name	
Attribute	ICESCN	Snow Cover Concentration	
Attribute	ICEDOS	Direction Of Sastrugi	
Attribute	ICERCN	Ice Ridge Concentration	
Attribute	ICERDV	Ice Ridge Classification	
Attribute	ICERMH	Ice Ridge Mean Height	
Attribute	ICERFQ	Ice Ridge Frequency	
Attribute	ICERXH	Ice Ridge Maximum Height	
Attribute	ICEKCN	Ice Keel Concentration	
Attribute	ICEKFQ	Ice Keel Frequency	
Attribute	ICEKMD	Ice Keel Mean Depth	
Attribute	ICEKXD	Ice Keel Maximum Depth	
Attribute	ICEFCN	Ice Rafting Concentration	
Attribute	IA_SFA	Ice Stage of Development and Floe Size for the 1st p.c.	
Attribute	IA_SFB	Ice Stage of Development and Floe Size for the 2nd p.c.	
Attribute	IA_SFC	Ice Stage of Development and Floe Size for the 3rd p.c.	
Attribute	IA_FFA	Ice Breccia for the 1st partial concentration	
Attribute	ICEFCN	Ice Breccia for the 2nd partial concentration	
Attribute	IA_FFC	Ice Breccia for the 3rd partial concentration	
Attribute	IA_SNG	Snow concentration	
Attribute	IA_MLT	Stage of melting	
Attribute	IA_PLG	Contamination	
Attribute	IA_HLG	Hills concentration	
Attribute	IA_DUG	Fractures concentration	
Attribute	IA_BCN	Icebergs concentration	
Attribute	IA_BFM	Prevailing iceberg form	
Attribute	IA_BUH	Max. height of the above-water part (iceberg / grounded hummock)	
Attribute	IA_OBN	Number of ice objects	
Attribute	IA_DXW	Max. width of ice lead (or fracture or crack)	
Attribute	IA_DMW	Min. width of ice lead (or fracture or crack)	
Attribute	ICEBRS	Brash Ice	

# 5 Coordinate Reference Systems (CRS)

# 5.1 Introduction

For exchange of ice data WGS84 (EPSG:4326) must be used

Consider use of projected and/or UTM data

# 5.2 Horizontal Coordinate Reference System

Table 5-1 — S-4111 Coordinate Reference Systems (EPSG Codes)

EPSG Code	Coordinate Reference System			
4326	WGS84			
The full reference to EPSG can be found at <a href="https://epsg.org">https://epsg.org</a> .				

Horizontal Coordinate Reference System EPSG: 4326

Projection NONE (tbc)—Consider use of projected and/or UTM

data

Temporal reference system Gregorian Calendar

Coordinate Reference System registry <u>EPSG Geodetic Parameter Dataset</u>

Date type (according to

ISO 19115-1:2014/Amd 1:2018)

002—publication *TBC* 

Responsible party Technical Commission for Oceanography and Marine

Meteorology (JCOMM)

URL https://wmo.int//

# 5.3 Vertical Coordinate Reference System

In this product there are no direct vertical coordinates which require the use of a vertical coordinate reference system. *TBC* 

# 5.4 Temporal reference system

The temporal reference system is the Gregorian calendar for date and UTC for time. Time is measured by reference to Calendar dates and Clock time in accordance with <u>ISO 8601:2004</u>, <u>Clause 5.4.4</u>. A date-time variable will have the following 16-character format: *yyyymmddThhmmssZ*.

# 6 Data Quality

As ice charts are done for different purposes (from weekly overview to tactical charts and further to model forecasts) data quality can differ. Differences can also be found between ice charts of the same region and same nominal date resulting from different issuing agencies or also from different forecasts models. Further information can be found in the WMO publication 574.

Need to be clarified: overlappings/scales/Spatial accuracy, etc.

# 6.1 Completeness

- 6.1.1 Commission
- 6.1.2 Omission

# 6.2 Logical consistency

#### 6.2.1 Conceptual consistency

Conceptual Consistency is applicable for S-411 and follows the guidelines from S-100, Part 1.

Data Producers must verify that the dataset conforms to the S-100 General Feature Model.

If the dataset conforms to the S-100 General Feature Model, the dataset PASSES this test.

#### 6.2.2 Domain consistency

Domain consistency is applicable for S-411 and follows the guidelines from S-100, Part 5.

Data Producers must verify that the dataset conforms to the S-411 Feature Catalogue and to [annex-data-classification-and-encoding-guide].

If the dataset conforms to the S-411 Feature Catalogue and to [annex-data-classification-and-encoding-quide], the dataset PASSES this test.

# 6.2.3 Format consistency

Format Consistency is applicable for S-411 and follows the guidelines from S-100, Part 10c.

Data Producers must verify that the dataset conforms to Section 10 of this Product Specification.

If the dataset conforms to Section 10, the dataset PASSES this test.

# 6.3 Positional accuracy

#### 6.4 Temporal accuracy

- 6.5 Thematic accuracy
- 6.5.1 Thematic classification correctness
- 6.5.2 Non-quantitative attribute accuracy
- 6.5.3 Quantitative attribute accuracy

# 7 Data Capture and Classification

The data will be captured normally from satellite data. The extraction and classification will be done by ice analysts. In some areas of the world (e.g. the Baltic Sea) in addition also some direct shore and ship based observations and textual ice reports from ice authorities will be used. Further information can be found in the WMO publication 574 in Appendix A.

## 8 Data Maintenance

# 8.1 Maintenance and Update Frequency

Ice information datasets should be maintained if a new dataset of region and provider is available. There is no updating mechanism necessary, because the datasets itself will not updated. The old one have to be replaced with new one.

# 9 Portrayal

#### 9.1 Rules

# Placeholder

#### Figure 9-1 — : Portrayal Rules

main.xsl includes all the rules for single feature types. It manages the selection of the right rule, depending on current feature type in dataset and parameters in `iceDisplayParameters.xml.

iceDisplayParameters.xml contains parameters important for display of ice features:

- String iceclass
- Boolean encoverlay
- String Display Mode

Depending on the <code>iceclass</code> parameter the right seaice or <code>lacice</code> rule can be selected. The default is  $\star$  class <code>III.xsl</code>

The <code>encoverlay</code> parameter helps to calculate the priority for display of single ice feature types. If <code>encoverlay</code> is true, the priority will be calculated, depending on priority of land area feature in ENC. The area objects of ice features should be displayed under the land area polygons, because they are usually drawn over the land.

## 9.2 Symbols

# 9.2.1 Polygon Features

## 9.2.1.1 IceNavigationalDisplayMode (Traffic Light Principle, depends on Ice Class)

#### Table 9-1

Object Class	Acronym			
Sea Ice	seaice			
Lake Ice	lacice			
Iceberg Area	icebrg			

# 9.2.1.2 IceScientificIceactDisplayMode

# Table 9-2

iceact	Description	rgb	colour
1	Ice Free	000 100 255	
2	Open Water (<1/10 ice)	150 200 255	
3	Bergy Water	150 200 255	

10	1/10 ice	140 255 160
12	1/10 to 2/10 ice	140 255 160
13	1/10 to 3/10 ice	140 255 160
20	2/10 ice	140 255 160
23	2/10 to 3/10 ice	140 255 160
24	2/10 to 4/10 ice	140 255 160
30	3/10 ice	140 255 160
34	3/10 to 4/10 ice	140 255 160
35	3/10 to 5/10 ice	255 255 000
40	4/10 ice	255 255 000
45	4/10 to 5/10 ice	255 255 000
46	4/10 to 6/10 ice	255 255 000
50	5/10 ice	255 255 000
56	5/10 to 6/10 ice	255 255 000
57	5/10 to 7/10 ice	255 255 000
60	6/10 ice	255 255 000
67	6/10 to 7/10 ice	255 255 000
68	6/10 to 8/10 ice	255 125 007
70	7/10 ice	255 125 007
78	7/10 to 8/10 ice	255 125 007
79	7/10 to 9/10 ice	255 125 007
80	8/10 ice	255 125 007
81	8/10 to 10/10 ice	255 000 000
89	8/10 to 9/10 ice	255 125 007
90	9/10 ice	255 255 000
91	9/10 to 10 or 9+/10 ice	255 255 000
92	10/10 ice	145 000 000
99	Undertermined/Unknown	SymbolFill
		·

# 9.2.1.3 IceNavigationalDisplayMode

# Table 9-3

icesod	Description	rgb	Colour
1	Ice Free	150 200 255	
70	Brash Ice	150 200 255	
80	No Stage Development	150 200 255	

81	New Ice (<10 cm)	240 210 250
82	Nilas Ice Rind (<10 cm)	255 100 255
83	Young Ice (10 to <30 cm)	170 040 240
84	Grey Ice (10 to <15 cm)	135 060 215
85	Grey — White Ice (15 to <30 cm)	220 080 235
86	First Year Ice (30 to 200 cm)	255 255 000
87	Thin First Year Ice (30 to <70 cm)	175 250 000
88	Thin First Year Ice Stage 1 (30 to <50 cm)	215 250 130
89	Thin First Year Ice Stage 2 (50 to <70 cm)	175 250 000
91	Medium First Year Ice (70 to 120 cm)	000 120 000
93	Thick First Year Ice (>120 cm)	000 120 000
94	Residual Ice	000 120 000
95	Old Ice	180 100 050
96	Second Year Ice	255 120 010
97	Multi-Year Ice	200 000 000
98	Glacier Ice (Icebergs)	Symbolfill
99	Undertermined/Unknown	SymbolFill
	-	

# 9.2.2 Line Features

Table 9-4

Feature Class	Acronym	Symbol
Iceberg Limit	brglne	Figure 9-2
Line of Ice Ridge	i_ridg	-≜▲ ≜≜ Figure 9-3
Line of Ice Lead	i_lead	Figure 9-4
Line of Ice Fracture	i_fral	Figure 9-5
Line of Ice Crack	i_crac	Figure 9-6

# 9.2.3 Point Features

Table 9-5

Feature Class	Acronym	Symbol
Ice Compacting	icecom	→← Figure 9-7
Ice Lead	icelea	Figure 9-8
Ice Shear	iceshr	Figure 9-9
Ice Divergence	icediv	←→ Figure 9-10
Ice Ridge / Hummock	icerdg	▲▲ Figure 9-11
Ice Keel / Bummock	icekel	▼▼ Figure 9-12
Ice Fracture	flobrg	Figure 9-13
Ice Rafting	icerft	лл. Figure 9-14
Jammed Brash Barrier	jmdbrr	Figure 9-15
Stage of Mell	stgmlt	Figure 9-16
Snow Cover	snwcvr	Ç Figure 9-17
Strips and patches	strptc	E∖⊒ Figure 9-18
Grounded Hummock	i_grhm	Figure 9-19
Iceberg	icebrg	
	icebrg 01 (Growler)	Figure 9-20
	icebrg 02 (Bergy Bit)	Figure 9-21

	icebrg 03 (Small Iceberg)	∑ Figure 9-22
	icebrg 04 (Medium Iceberg)	Figure 9-23
	icebrg 05 (Large Iceberg)	A Figure 9-24
	icebrg 06 (Very Large Iceberg)	<u>A</u> Figure 9-25
	icebrg 07 (Ice Island Fragment)	Figure 9-26
	icebrg 08 (Ice Island)	Figure 9-27
	icebrg 09 (Radar Target)	Sigure 9-28
	icebrg 99 (Unknown)	A Figure 9-29
Ice Drift	icedft	
	icedft 01 (No Ice Motion)	Figure 9-30
	icedft 02 (NE)	Figure 9-31
	icedft 03 (E)	Figure 9-32
	icedft 04 (SE)	Figure 9-33
	icedft 05 (S)	¥ Figure 9-34
	icedft 06 (SW)	Figure 9-35

icedft 07 (W)	Figure 9-36
icedft 08 (NW)	Figure 9-37
icedft 09 (N)	† Figure 9-38
icedft 10 (Variable)	Figure 9-39
icedft 99 (Unknown)	Figure 9-40

# 9.2.4 Draw order

The highest number will be drawn on the top.

Table 9-6

No	Name	Acronym
1	Sea Ice	seacie
1	Lake Ice	lacice
2	Iceberg Area	seacie
1	Sea Ice	icebrg
3	Ice Edge	icelne
4	Iceberg Limit	brglne
5	Limit of Open Water	opnlne
6	Limit of All Known Ice	Ikilne
7	Line of Ice Ridge	i_ridg
8	Line of Ice Lead	i_lead
9	Line of Ice Fracture	i_fral
10	Line of Ice Crack	i_crac
11	Ice Compacting	icecom
12	Ice Lead	icelea
13	Iceberg	icebrg
14	Floeberg	flobrg
15	Ice Thickness	icethk
16	Ice Shea	iceshr
17	Ice Divergence	icediv

No	Name	Acronym
18	Ice Ridge / Hummock	icerdg
19	Ice Keel / Bummock	icekel
20	Ice Drift	icedft
21	Ice Fracture	icefra
22	Ice Rafting	icerft
23	Jammed Brash Barrier	jmdbrr
24	Stage of Melt	stgmlt
25	Snow Cover	snwcvr
26	Strips and Patches	strptc
27	Grounded Hummock	i_grhm

# 10 Data Product Format (encoding)

## 10.1 Introduction

This clause describes encoding rules for S-100 base ice datasets. For the encoding of ice datasets GML 3.2.1 is used as per S-100 Part 10b.

# 10.2 Encoding Rules

# 10.2.1 Longitude / Latitude

- Longitude and latitude must be encoded in decimal degrees, e.g.: 12.567 56.765
- Number of decimals is not specified.

# 10.3 Encoding Examples

Below there are three examples of the encoding of various ice features using different geometric primitives, the encoding of other ice objects can be done in a similar way.

# 10.3.1 Polygon Feature

```
<ice:IceFeatureMember>
    <ice:brgare gml:id="brgare.1">
        <ice:icebnm/>
        <ice:icebsz/>
        <ice:ia_bcn/>
        <ice:ia_bfm/>
        <ice:ia_buh/>
        <gml:Polygon srsName="http://www.opengis.net/gml/srs/epsg.xml#4326">
            <gml:exterior>
                <gml:LinearRing>
                    <gml:posList>
                                22.546 62.391 25.033 62.404 24.995 60.182
22.483 60.169 22.546 62.391
                    </gml:posList>
                </gml:LinearRing>
            </gml:exterior>
            <gml:interior>
                <gml:LinearRing>
```

Figure 10-1

# 10.3.2 LineString Feature

Figure 10-2

# 10.3.3 Point Feature

Figure 10-3

# 11 Data Product Delivery

## 11.1 Format Specification

At the moment there is only one type of dataset supported. This is GML encoded ice feature collections according to S-100 Part 10b.

#### 11.2 Introduction

Ice Information Product will be delivered as an S-100 Exchange Set, containing datasets, metadata, etc. It is also possible that several datasets are available for an area. The decision which one to use, once installed in the system database is the responsibility of the navigator on the vessel.

#### 11.3 Exchange Set

The exchange set for the Ice Information Product has following structure (from S-100 Part 17):

# Placeholder

# Figure 11-1 — : Exchange set Structure

An Ice Information exchange set must conform to the structure laid out in S-100 Part 17 and may comprise datasets and S-411 catalogue files.

# 11.4 Exchange Catalogue

#### 11.4.1 Exchange Catalogue Naming

Name of Exchange Catalogue is CATALOG. XML as per S-100 Part 17

The clauses in italic below come from S-102 PS. JCOMM ETSI to confirm what has to be retained/deleted/adapted

Each dataset must be contained in a physically separate, uniquely identified file on the transfer medium.

Each exchange set has a single exchange catalogue which contains the discovery metadata for each dataset.

An exchange set is encapsulated into a form suitable for transmission by a mapping called an encoding. An encoding translates each of the elements of the exchange set into a logical form suitable for writing to media and for transmission online. An encoding may also define other elements in addition to the exchange set contents (This is media identification, data extents etc. ...) and may define commercial constructs such as encryption and compression methods.

If the data is transformed in S-411 it must not be changed.

This Product Specification defines the encoding which must be used as a default for transmission of data between parties.

The encoding encapsulates exchange set elements as follows:

#### **Mandatory Elements**

- S-411 datasets GML encoding
- Exchange Catalogue—the XML encoded representation of exchange set catalogue features [discovery metadata].

## **Optional Elements**

- S-411 Feature Catalogue If it is necessary to deliver the latest Feature Catalogue to the end user it may be done using the S-411 exchange set mechanism for datasets
- S-411 Portrayal Catalogue If it is necessary to deliver the latest Portrayal Catalogue to the end user it may be done using the S-411 exchange set mechanism for datasets.

#### 11.5 Datasets

#### 11.5.1 Dataset size

No requirements for maximum dataset size are specified.

## 11.5.2 Dataset file naming

Dataset naming must follow a standard pattern to give implementers greater predictability of incoming datasets (see <u>S-100</u>, <u>Part 17</u>, <u>Clause 4.3</u>). S-411 dataset naming conventions must follow these rules and no further restrictions are made in this product specification.

## 411YYYYØØØØØØØØØØØØØØ. 411 GML

the first 3 characters identify the dataset as an S-411 dataset (mandatory).

YYYY the fourth to seventh characters identify the producer code according to the Producer Code Register.

ØØØØ the eighth to the maximum nineteenth characters are optional and may be used in any way by the producer to provide the unique file name. The following characters are allowed in the dataset name: A to Z, 0 to 9 and the special character \_ (underscore).

GML denotes a GML file (according to S-100 PArt 10b).

# 11.6 Exchange Set

The structure of an S-411 Exchange Set must be according to the structure described below, which is based on S-100, Part 17, Clause 4.2.

- An S-411 Exchange Set must contain an Exchange Set Catalogue, CATALOG.XML, its digital signature CATALOG.SIGN, and may contain any number of S-411 conformant dataset files, support files, and Catalogue files.
- 2) All content must be placed inside a top root folder named S100\_ROOT. This is the only top level root folder in an Exchange Set containing only S-100 products.
- 3) The S100\_ROOT folder must contain a subfolder named S-411. This subfolder holds content specific to the S-411 Product Specification.
- 4) The S-411 subfolder must contain subfolders for the component dataset files (DATASET\_FILES) and Catalogues (CATALOGUES) as required.
- 5) The required Exchange Set Catalogue XML document instance must be named CATALOG.XML and placed in the S100\_ROOT folder, together with its digital signature (CATALOG.SIGN) file. All other digital signatures are included within their corresponding resource metadata records in the CATALOG.XML.

## 11.7 Support Files

#### 11.7.1 Support File Naming

Support file naming must conform to the requirements stated in S-100 Part 17. All support files referenced by features must be included in the exchange set.

## 11.8 Exchange Catalogue

The Exchange Catalogue acts as the table of contents for the Exchange Set. The Catalogue file of the Exchange Set must be named CATALOG.XML. No other file in the Exchange Set may be named CATALOG.XML. The contents of the Exchange Catalogue are described in <u>Section 12</u>.

# 11.9 Data integrity and encryption

<u>S-100, Part 15</u> defines the algorithms for compressing, encrypting and digitally signing datasets based on the S-100 Data Model. Use of encryption is optional.

## 11.9.1 Use of compression

The data producer decides if compression will be used on the S-411 product files (GML). It is expected that a hydrographic office will make a policy decision and that all the S-411 datasets from the producer will be either compressed or uncompressed.

It is recommended to compress all the dataset files. The ZIP compression method defined in <u>S-100, Part 15, Clause 5.2</u> should be used where applicable.

## 11.9.2 Use of digital signatures

Digital signatures must be used on all files included in a S-411 compliant Exchange Set to meet the requirements of IMO resolution MSC.428(98) to reduce cyber security risks among users,

especially when used in navigations systems at sea. The recommended signature method is defined in S-100, Part 15.

The digital signature information is encoded in the corresponding discovery block in the exchange catalogue for each file included in the Exchange Set as defined in S-100 Part 17.

## 12 Metadata

#### 12.1 Introduction

There are two kinds of metadata to prepare:

- ISO 19139 Metadata
   This kind of metadata implementation can be read by broad range of software.
- S100 Metadata
   The S100 metadata are for describing the structure of Exchange Catalogue

#### 12.1.1 ISO 19139 Metadata

For the description of ice data following metadata are necessary:

# Placeholder

Figure 12-1 — Meta Data Structure of S-411

Table 12-1

Element Name	Description	Namespace
MD_Metadata	root element	gmd
fileIdentifier	Id for dataset	gmd
language	Product language	gmd
characterSet	Used characterset	gmd
contact	Contact data	gmd
dateStamp	date of publishing	gmd
identificationInfo	Specific info about product	gmd

Figure 12-2

#### 12.1.1.1 fileIdentifier

**Table 12-2** 

Element Name	Description	Namespace	
fileIdentifier	Id for dataset	gmd	
CharacterString	String contained id value	gmd	

#### 12.1.1.2 language

**Table 12-3** 

Element Name	Description	Namespace
language	Language of dataset	gmd
LanguageCode	Code for language	gmd

```
<gmd:language>
    <gmd:LanguageCode
        codeList="http://www.isotc211.org/2005/resources/Codelist/ML_
gmxCodelists.xml#LanguageCode"
        codeListValue="eng">English
        </gmd:LanguageCode>
</gmd:language>
```

Figure 12-3

#### 12.1.1.3 characterSet

**Table 12-4** 

Element Name	Description	Namespace	
characterSet	characterSet of dataset	gmd	
MD_CharacterSetCode	Code for characterSet	gmd	

LanguageCode element contains two attributes:

- codeList Link to the list containing the codes for languages
- codeListValue value from the list defining the used language

Figure 12-4

#### 12.1.1.4 contact

**Table 12-5** 

Element Name	Description	Namespace
contact	Contact for questions to dataset	gmd
CI_ResponsibleParty	ISO Element for contact data	gmd

Element Name	Description	Namespace
individualName	Name of responsible person	gmd
CharacterString	individualName value (text)	gco
organisationName	Name of responsible organisation	gmd
CharacterString	organisationName value (text)	gco
contactInfo	Contact information	gmd
CI_Contact	ISO Element for contact information	gmd
phone	phone	gmd
CI_Telephone		gmd
voice		gmd
CharacterString	Voice telephone value (text)	gco
facsimile		gmd
CharacterString	Fax number value (text)	gco
address		gmd
deliveryPoint		gmd
CharacterString	Postal Address (street, house number)	gco
city		gmd
CharacterString	City name value (text)	gco
administrativeArea		gmd
CharacterString	Administrative Area name value (text)	gco
postalCode		gmd
CharacterString		gco
electronicMailAddress		gmd
CharacterString	Email value (text)	gco
role		gmd
CI_RoleCode		gmd

# CI\_RoleCode element contains two attributes:

- · codeList: link to the list containing the codes for roles
- codeListValue: value from the list defining the used roles

```
<gmd:CI_Telephone>
                         <gmd:voice>
                             <gco:CharacterString>+49 (0) 381 4563-782/gco:
CharacterString>
                         </gmd:voice>
                         <gmd:facsimile>
                             <gco:CharacterString>+49 (0) 381 4563-949/gco:
CharacterString>
                         </gmd:facsimile>
                    </gmd:CI_Telephone>
                </gmd:phone>
                <gmd:address>
                    <gmd:CI_Address>
                         <gmd:deliveryPoint>
                             <gco:CharacterString>Neptunallee 5/gco:
CharacterString>
                         </gmd:deliveryPoint>
                         <gmd:administrativeArea>
                             <gco:CharacterString>Rostock</gco:CharacterString>
                         </gmd:administrativeArea>
                         <gmd:postalCode>
                             <gco:CharacterString>18057</gco:CharacterString>
                         </gmd:postalCode>
                         <gmd:electronicMailAddress>
                             <gco:CharacterString>ice@bsh.de</gco:</pre>
CharacterString>
                         </gmd:electronicMailAddress>
                    </gmd:CI_Address>
                </gmd:address>
            </gmd:CI_Contact>
        </gmd:contactInfo>
        <gmd:role>
            <gmd:CI_RoleCode</pre>
                codeList="http://www.isotc211.org/2005/resources/Codelist/
gmxCodelists.xml#CI_RoleCode" codeListValue="originator">originator</gmd:CI_
RoleCode>
            </gmd:role>
    </gmd:CI_ResponsibleParty>
</gmd:contact>
```

Figure 12-5

#### 12.1.1.5 dateStamp

**Table 12-6** 

Element Name	Description	Namespace	
dataStamp	Date Stamp	gmd	
Date	Formatted String (yyyy-MM-dd)	gco	

The dateStamp should be used for the publication date (just day using gco:date or including the time using gco:datetime). The date and time where the ice chart is considered valid should be given in identificationinfo (see 10.1.1.6). Classic operational ice charts should have a time stamp within the temporal extent given in identificationinfo, a dateStamp preceding the temporal extent denotes a prognosis chart, a dateStamp that is more recent then the temporal extent denotes an historic reanalysis or a climatological chart.

```
<gmd:dateStamp>
  <gco:Date>2013-02-25/gco:Date>
```

# </gmd:dateStamp>

# Figure 12-6

# 12.1.1.6 identificationInfo

#### **Table 12-7**

Element Name	Description	Namespace
identificationInfo		gmd
MD_DataIdentification		
citation		
CI_Citation		
title		
CharacterString		gco
date		
CI_Date		
date		gco
dateType		
CI_DateTypeCode		
abstract		
CharacterString		gco
language		
LanguageCode		
characterSet		
MD_CharacterSetCode		
topicCategory		
MD_TopicCategoryCode		
extent		
EX_Extent		
geographicElement		
EX_GeographicBoundingBox		
westBoundLongitude		
Decimal		gco
eastBoundLongitude		
Decimal		gco
southBoundLatitude		
Decimal		gco
northBoundLatitude		gmd

Element Name	Description	Namespace
Decimal		gco
temporalElement		gmd
EX_TemporalExtent		gmd
extent		gmd
TimePeriod		gml
beginPosition		gml
endPosition		gml

```
<gmd:identificationInfo>
  <gmd:MD_DataIdentification>
    <gmd:citation>
      <gmd:CI_Citation>
        <gmd:title>
          <gco:CharacterString>IceArea25022013.shp</gco:CharacterString>
        </gmd:title>
        <gmd:date>
          <gmd:CI_Date>
            <gmd:date>
              <gco:Date>2013-02-25</gco:Date>
            </gmd:date>
          <gmd:dateType>
            <gmd:CI_DateTypeCode</pre>
codeList="http://www.isotc211.org/2005/resources/Codelist/ML_gmxCodelists.
xml#CI_DateTypeCode" codeListValue="creation">creation</gmd:CI_DateTypeCode>
          </gmd:dateType>
        </gmd:CI_Date>
      </gmd:date>
    </gmd:CI Citation>
  </gmd:citation>
  <gmd:abstract>
    <gco:CharacterString>Ice Chart for Baltic sea</gco:CharacterString>
  </gmd:abstract>
  <gmd:language>
    <gmd:LanguageCode</pre>
codeList="http://www.isotc211.org/2005/resources/Codelist/ML_gmxCodelists.
xml#LanguageCode" codeListValue="eng">English</gmd:LanguageCode>
  </gmd:language>
  <gmd:characterSet>
    <gmd:MD CharacterSetCode</pre>
codeList="http://www.isotc211.org/2005/resources/Codelist/ML gmxCodelists.
xml#MD_CharacterSetCode"
codeListValue="utf8">UTF 8/gmd:MD_CharacterSetCode>
  </gmd:characterSet>
  <gmd:topicCategory>
    <gmd:MD_TopicCategoryCode>geoscientificInformation/gmd:MD_
TopicCategoryCode>
  </gmd:topicCategory>
  <gmd:extent>
    <gmd:EX_Extent>
      <gmd:geographicElement>
        <gmd:EX_GeographicBoundingBox>
          <gmd:westBoundLongitude>
            <gco:Decimal>8.963</gco:Decimal>
          </gmd:westBoundLongitude>
        <gmd:eastBoundLongitude>
          <gco:Decimal>30.353</gco:Decimal>
```

```
</gmd:eastBoundLongitude>
        <gmd:southBoundLatitude>
          <gco:Decimal>53.613</gco:Decimal>
        </gmd:southBoundLatitude>
        <gmd:northBoundLatitude>
          <gco:Decimal>65.0/gco:Decimal>
        </gmd:northBoundLatitude>
      </gmd:EX GeographicBoundingBox>
    </gmd:geographicElement>
    <gmd:temporalElement>
          <gmd:EX_TemporalExtent>
            <gmd:extent>
              <gml:TimePeriod gml:id="ek1-20130225-16">
                <gml:beginPosition>2013-02-25/gml:beginPosition>
                <gml:endPosition>2013-02-27/gml:endPosition>
              </gml:TimePeriod>
            </gmd:extent>
          </gmd:EX_TemporalExtent>
        </gmd:temporalElement>
      </gmd:EX_Extent>
    </gmd:extent>
  </gmd:MD_DataIdentification>
</gmd:identificationInfo>
```

Figure 12-7

#### 12.2 Language

The language used in metadata must be English. Other languages are optional and only as addition to the English version.

Metadata used for the discovery, identification, and use of S-411 datasets in S-100-based navigations systems (specifically, an S-100-capable ECDIS) is encoded in the exchange catalogue. This metadata conforms to S-100 Part 17, with product-specific restrictions added.

### 12.3 Exchange Set metadata

For information exchange, there are several categories of metadata required: metadata about the overall Exchange Catalogue, metadata about each of the datasets contained in the Catalogue.

[fig-components-and-associated-metadata-for-the-s411-exchange-set] depicts the relationships of exchange set elements (datasets and feature/portrayal catalogues) and exchange set metadata. This figure is derived from S-100, Part 17, Figure 2 with relationships not applicable to S-411 omitted.

[fig-relationship-between-exchange-catalogue-discovery-metadata-and-dataset] depicts the structure of the exchange catalogue and its component discovery metadata blocks. The structure is the same as in S-100, Part 17.

More detailed information about the various classes is shown in [fig-s411-exchange-set-class-details] with further description in <u>Table 12-8</u> to <u>Clause 12.8.2</u>. In the cases in which classes are used without modification, refer to S-100, Part 17 for their descriptions.

The discovery metadata classes have numerous attributes which enable important information about the datasets to be examined without the need to process the data (e.g., decryption, decompression, loading). Other Catalogues can be included in the Exchange Set in support of the datasets such as Feature and Portrayal.

The following clauses define the mandatory and optional metadata needed for S-411. In some cases, the metadata may be repeated in a national language. If this is the case it is noted in the Remarks column.

The XML schemas for S-411 exchange catalogues will be available from the IHO Geospatial Information (GI) Registry and/or the S-100 GitHub site (https://github.com/IHO-S100WG).

The S-411 exchange catalogue uses the S-100 exchange catalogue schemas which are available from the S-100 schema server at <a href="https://schemas.s100dev.net">https://schemas.s100dev.net</a> (downloadable archives are also available on the site for offline use). Implementation of the S-411-specific constraints described in following clauses below is left to developer decision as it can be done in various ways depending on implementation frameworks and the requirements of production or application software.

Character strings must be encoded using the character set defined in [iso-10646-1], in Unicode Transformation Format-8 (UTF-8). A BOM (byte order mark) must not be used.

# 12.4 S100\_ExchangeCatalogue

Each Exchange Set has a single S100\_ExchangeCatalogue which contains meta information for the data in the Exchange Set.

S-411 uses S100\_ExchangeCatalogue without modification.

### 12.4.1 S100\_ExchangeCatalogueIdentifier

S-411 uses S100\_ExchangeCatalogueIdentifier without modification.

#### 12.4.2 S100\_CataloguePointOfContact

S-411 uses S100\_CataloguePointOfContact without modification.

# 12.5 S100\_DatasetDiscoveryMetadata

Dataset discovery metadata in S-411 restricts certain attributes and roles as described in <u>Table 12-8</u>. Optional S-100 attributes which are mandatory in S-411 are indicated in the Remarks column.

Table 12-8 — S100\_DatasetDiscoveryMetadata parameters

Role name	Name	Description	Mult	Туре	Remarks
Class	S100_ DatasetDiscoveryMetadata	Metadata about the individual datasets in the Exchange Catalogue	-	-	The optional S-100 attributes updateNumber, updateApplicationDate, referenceID, and temporalExtent are not used in S-411.  References to support file discovery metadata are not permitted because S-411 does not use support files.
Attribute	fileName	Dataset file name	1	URI	See <u>S-100</u> , <u>Part 1</u> , <u>Clause 4.6</u> Format: file:/S-411/DATASET_FILES/ <dsname> Dataset file name <dsname> must be according to format defined in <u>Clause 11.5.2</u>.</dsname></dsname>
Attribute	datasetID	Dataset ID expressed as a Maritime Resource Name	01	URN	The URN must be an MRN. See S-100, Part 3, Clause 10
Attribute	editionNumber	The edition number of the dataset	1	Integer	When a data set is initially created, the Edition number 1 is assigned to it. The Edition number is increased by 1 at each new Edition. Edition number remains the same for a re-issue.

Role name	Name	Description	Mult	Туре	Remarks
					Mandatory in S-411
Attribute	issueDate	Date on which the data was made available by the Data Producer	1	Date	-
Attribute	issueTime	Time of day at which the data was made available by the Data Producer	01	Time	The S-100 datatype Time  May be required if multiple instances of a product are issued on the same day.
Attribute	boundingBox	The extent of the dataset limits	1	EX_ GeographicBoundingBox	Mandatory in S-411 Defined as a rectangle coincident with the outermost cell boundaries of the dataset.
Attribute	productSpecification	The Product Specification used to create this dataset	1	S100_ ProductSpecification	Table 12-12
Attribute	producingAgency	Agency responsible for producing the data	1	CI_Responsibility>CI_ Organisation	See <u>S-100</u> , Part 17, Table 17–3
Attribute	producerCode	The official IHO Producer Code from S-62	1	CharacterString	Mandatory in S-411
Attribute	encodingFormat	The encoding format of the dataset	1	S100_EncodingFormat	The only allowed value is GML  Table 12-11
Attribute	dataCoverage	Provides information about data coverages within the dataset	1*	S100_DataCoverage	Mandatory in S-411 Table 12-9
Attribute	comment	Any additional information	01	CharacterString	-
Attribute	defaultLocale	Default language and character set used in the dataset	01	PT_Locale	In absence of defaultLocale, the language is English, and the character set is UTF-8.
Attribute	otherLocale	Other languages and character sets used in the dataset	0*	PT_Locale	
Attribute	metadataPointOfContact	Point of contact for metadata	01	CI_Responsibility>CI_ Individual	Only if metadataPointOfContact differs from producingAgency

Role name	Name	Description	Mult	Туре	Remarks
				or CI_Responsibility>CI_ Organisation	
Attribute	metadataDateStamp	Date stamp for metadata	01	Date	May or may not be the issue date
Attribute	replacedData	Indicates if a cancelled dataset is replaced by another data file(s)	01	Boolean	See note following S-100, Part 17, Table S100_  DatasetDiscoveryMetadata  Mandatory when purpose = cancellation
Attribute	dataReplacement	Dataset name	0*	CharacterString	A dataset may be replaced by 1 or more datasets.  Dataset name must be according to format defined in Clause 11.5.2.  For example, 411DE00KD54.GML  See note following S-100, Part 17, Table S100  DatasetDiscoveryMetadata  Mandatory when replacedData = true
Attribute	navigationPurpose	Classification of intended navigation purpose (for Catalogue indexing purposes)	13	S100_NavigationPurpose	If Product Specification is intended for creation of navigational products, this attribute should be mandatory.  Mandatory in S-411

# 12.5.1 S100\_NavigationPurpose

S-411 uses S100\_NavigationPurpose without modification.

# 12.5.2 S100\_DataCoverage

S-411 uses S100\_DataCoverage without modification, but with additional remarks and changes to the multiplicity.

Table 12-9 — S100\_DataCoverage parameters

Role name	Name	Description	Mult	Туре	Remarks
Class	S100_DataCoverage	A spatial extent where data is provided along with the display scale information for the provided data	-	-	This field is used by user systems as part of the data loading and unloading algorithms, and it is strongly encouraged that Product Specifications mandate the use of one or more of the displayScale provided as part of S100_DataCoverage.

Role name	Name	Description	Mult	Туре	Remarks
Attribute	boundingPolygon	A polygon which defines the actual data limit	1	EX_ BoundingPolygon	Clause 12.5.2, Note
Attribute	temporalExtent	Specification of the temporal extent of the coverage	0	S100_ TemporalExtent	The temporalExtent is not used in S-411.
Attribute	optimumDisplayScale	The scale at which the data is optimally displayed	01	Integer	Example: A scale of 1:25000 is encoded as 25000
Attribute	maximumDisplayScale	The maximum scale at which the data is displayed	01	Integer	
Attribute	minimumDisplayScale	The minimum scale at which the data is displayed	01	Integer	

NOTE boundingPolygon is restricted to a single GML Polygon with one exterior and 0 or more interiors expressed as Linear Rings using SRS EPSG:4326. The exterior and optional interiors shall be composed of a closed sequence of >=4 coordinate positions expressed individually or as a list (posList). The GML polygon shall have a valid GML identifier.

#### 12.5.3 S100\_Purpose

S-411 uses S100\_Purpose without modification, but with a restriction on the allowed values.

**Table 12-10 — S100\_Purpose** 

Role name	Name	Description	Code	Remarks
Enumeration	S100_Purpose	The purpose of the dataset	-	The S-100 values <i>update</i> , <i>reissue</i> , and <i>delta</i> are not used in S-411.
Value	newDataset	Brand new dataset	1	No data has previously been produced for this area.
Value	newEdition	New edition of the dataset or Catalogue	2	Includes new information which has not been previously distributed by updates.
Value	cancellation	Dataset or Catalogue that has been cancelled	5	Indicates the dataset or Catalogue should no longer be used and can be deleted.

# 12.5.4 S100\_EncodingFormat

S-411 uses S100\_EncodingFormat with a restriction on the allowed values to permit only the S-100 GML format for S-411 datasets.

Table 12-11 — S100\_EncodingFormat parameters

Role name	Name	Description		Remarks
Enumeration	S100_EncodingFormat	The encoding format		The only value allowed in S-411 is "GML".
Value	HDF5	The HDF5 data format as defined in S-100, Part 10c		-

# 12.5.5 S100\_ProductSpecification

S-411 uses S100\_ProductSpecification without modification, but with additional remarks and changes to the multiplicity.

Table 12-12 — S100\_ProductSpecification parameters

Role name	Name	Description	Mult	Туре	Remarks
Class	S100_ ProductSpecification	The Product Specification contains the information needed to build the specified product.	-	-	-
Attribute	name	The name of the Product Specification used to create the datasets	1	CharacterString	The name in the GI Registry should be used for this field. For S-411, this name is "Bathymetric Surface" (as of 25 June 2024).
Attribute	version	The version number of the Product Specification	1	CharacterString	TR 2/2007 specifies versioning of Product Specifications Example: 3.0.0 for S-411 Edition 3.0.0
Attribute	date	The version date of the Product Specification	1	Date	-
Attribute	productIdentifier	Machine readable unique identifier of a product type	1	CharacterString (Restricted to Product ID values from the IHO Product Specification Register in the IHO Geospatial Information (GI) Registry)	For S-411, this identifier is "S-411" (without quotes).
Attribute	number	The number used to lookup the product in the Product Specification Register of the IHO GI registry	1	Integer	For IHO Product Specifications, these numbers should be taken from the IHO Product Specification Register in the IHO GI Registry.

Role name	Name	Description	Mult	Туре	Remarks
					The corresponding ldx-number of the IHO Registry for S-411 is numbered 199.
Attribute	compliancyCategory	The level of compliance of the Product Specification to S-100	01	S100_CompliancyCategory	See <u>S-100</u> , <u>Part 4a</u> , <u>Clause 4a–5.5</u> and <u>Clause 12.5.6</u> below.

### 12.5.6 S100\_CompliancyCategory

S-411 exchange sets conforming to this edition of S-411 and using a CRS from the EPSG registry may be encoded as category 3 or 4 when the compliancyCategory metadata attribute is populated. Because S-98 interoperability assumes category4 datasets, category4 may be used for test purposes, though the absence of test datasets and of a published IHO interoperability catalogue mean this edition of S-411 does not yet qualify for category4. Given the uncertainty about interoperability testing requirements and availability of test datasets, the S-100 WG chair and S-411 PT chair should be consulted for up-to-date guidance.

Table 12-13 — \$100_C	ompliancyCategory
-----------------------	-------------------

Role Name	Name	Description	Code	Remarks
Enumeration	S100_ CompliancyCategory	-	-	S-411 should use <i>category3</i> or <i>category4</i> , subject to the guidance provided in <u>Clause 12.5.6</u> .
Value	category3	IHO S-100 compliant with standard encoding	3	Qualifies as <i>category2</i> ; plus "The Product Specification uses only an encoding method defined in S-100, Part 10 and Part 4a, Clause 5.5.3"
Value	category4	IHO S-100 and IMO harmonized display compliant	4	Qualifies as <i>category3</i> ; plus additional requirements, including a portrayal catalogue, cybersecurity (digital signatures and encryption), test material, use of a CRS from the EPSG Registry, and compliance with the IHO S-98 interoperability catalogue. S-100, Part 4a, Clause 5.5.4

# 12.5.7 S100\_ProtectionScheme

S-411 uses S100\_ProtectionScheme without modification.

## 12.6 MD\_MaintenanceInformation

S-411 uses MD MaintenanceInformation without modification.

#### 12.7 MD\_MaintenanceFrequencyCode

S-411 uses MD MaintenanceFrequencyCode without modification.

### 12.8 S100\_CatalogueDiscoveryMetadata

S-411 uses S100 CatalogueDiscoveryMetadata without modification.

#### 12.8.1 S100\_CatalogueScope

S-411 uses S100 CatalogueScope without modification.

#### 12.8.2 PT\_Locale

S-411 uses PT\_Locale without modification. The class PT\_Locale is defined in <u>ISO 19115-1:2014/Amd 1:2018</u>. LanguageCode, CountryCode, and MD CharacterSetCode are ISO codelists which are defined in a codelists file which is part of the S-100 Edition 5.2.0 schema distribution.

#### 12.9 Certificates and Digital Signatures

The classes S100\_SE\_CertificateContainerType (S-100, Part 15, Clause 8.11.1), S100\_SE\_DigitalSignatureReference (S-100, Part 15, Clause 8.11.7), and S100\_SE\_DigitalSignature are defined in S-100, Part 15 and implemented in the S-100 generic schemas.

In accordance with <u>S-100, Part 15</u>, only the ECDSA algorithm is allowed from the S100\_SE\_DigitalSignatureReference enumeration.

S-411 uses S100\_SE\_DigitalSignature without modification. As stated in S-100, Part 15, Clause 15–8.11.3:

"The class S100\_SE\_DigitalSignature is realized as one of either S100\_SE\_SignatureOnData (a digital signature of a particular identified resource) or an additional digital signature defined using the class S100\_SE\_AdditionalSignature, each of which is either a S100\_SE\_SignatureOnData or S100\_SE\_SignatureOnSignature element as described in S-100, Part 15, Clause 8.8. S-100, Part 17 metadata thus allows for multiple digital signatures, a single mandatory S100\_SE\_SignatureOnData and any number of additional signatures, either of the data or other signatures."

### 13 Dataset Encoding

#### 13.1 Introduction

A dataset is a grouping of features, attributes, geometry and metadata which comprises a specific coverage.

#### 13.2 Dataset Rules

In order to facilitate the efficient processing of S-411 data the geographic coverage of a given **maximum display** scale may be split into multiple datasets.

The discovery metadata of a dataset must list all the **Data Coverage** features contained within that dataset and their assigned scale attributions.

Datasets must not cross the 180° meridian; this includes both the **Data Coverage** features and the bounding box for the dataset.

## 13.3 Data Coverage rules

- All base datasets (new dataset, new edition) must contain at least one Data Coverage feature.
- The data boundary of the base dataset is defined by the extent of the Data Coverage features and must be contained within the bounding box.
- The **Data Coverage** features within a dataset must not overlap, however **Data Coverage** features from different datasets may overlap if they have differing **maximum display scales**.
- Datasets may overlap, however there must be no overlapping Data Coverage features of the same maximum display scale, except at the agreed adjoining
  national data limits, where, if it is difficult to achieve a perfect join, a 5 metre overlapping buffer zone may be used; and for this situation, there must be
  no gaps in data.
- When a dataset has multiple Data Coverage features, then the minimum display scale must be the same for all Data Coverage features within the dataset.
   The maximum display scale for multiple Data Coverage features within a dataset may be different.
- When a dataset has multiple **Data Coverage features** then the **maximum display scale** of the dataset must be equal to the largest **maximum display scale** of the **Data Coverage** features.
- The **maximum display scale** is considered to be the equivalent of the compilation scale of the data.

# 14 Display Scale Range

A scale range of a dataset is used to indicate a range of scales between which a producer considers the data is intended for use. The smallest scale is defined by the **minimum display scale** and the largest scale by the **maximum display scale**. These scales must be set at one of the scales specified elsewhere in this product specification.

When the system's viewing scale is smaller than the value indicated by minimum display scale, features within the Data Coverage feature are not displayed.

# 15 Geometry

### 15.1 S-411 Geometry

S-411 Ice Information features are encoded as vector entities which conform to S-100 geometry configuration level 3a (S-100 clause 7-4.3).

Level 3a is described by the following constraints:

- Each curve must reference a start and end point (they may be the same).
- Curves must not self intersect. See S-100 Figure 7-5.
- Areas are represented by a closed loop of curves beginning and ending at a common point.
- In the case of areas with holes, all internal boundaries must be completely contained within the external boundary and the internal boundaries must not intersect each other or the external boundary. Internal boundaries may touch other internal boundaries or the external boundary tangentially (that is at one point) as shown in S-100 Figure 7-6.
- The outer boundary of a surface must be in a clockwise direction (surface to the right of the curve) and the curve orientation positive. The inner boundary of a surface must be in a counter-clockwise direction (surface to the right of the curve) and the curve orientation negative. See S-100 Figure 7-7.

#### 16 List of annexes

These Annexes are separate files and can be found either in the S-411 Product Specification distribution package or at the locations indicated.

- Annex A Data Classification and Encoding Guide. Separate document. IHO Geospatial Information Registry, https://registry.iho.int
- Annex B Encoding Format. GML schema, Schematron rule files, and schema documentation. S-100 schema server, https://schemas.s100dev.net TBC
- Annex C Feature catalogue. XML file. IHO Geospatial Information Registry, <a href="https://registry.iho.int">https://registry.iho.int</a>
- Annex D Validation Checks. Separate document. IHO Geospatial Information Registry, https://registry.iho.int TBC
- Annex E Portrayal Catalogue. Zip archive of portrayal catalogue. IHO Geospatial Information Registry, https://registry.iho.int