

## **Chapter 4**

# **Relations/Function and Matrices**

# Outline



Relations and their properties

Representing Relations

Closures of Relations

Equivalence Relations

Partial Orderings

# Relations and Their Properties

✘ The most direct way to express a relationship between elements of two sets is to use ordered pairs.

For this reason, sets of ordered pairs are called **binary relations**.

## Def:

Let  $A$  and  $B$  be sets. A **binary relation from  $A$  to  $B$**  is a subset  $R$  of  $A \times B = \{ (a, b) : a \in A, b \in B \}$ .

## Example:

$A$  : the set of students in your school.

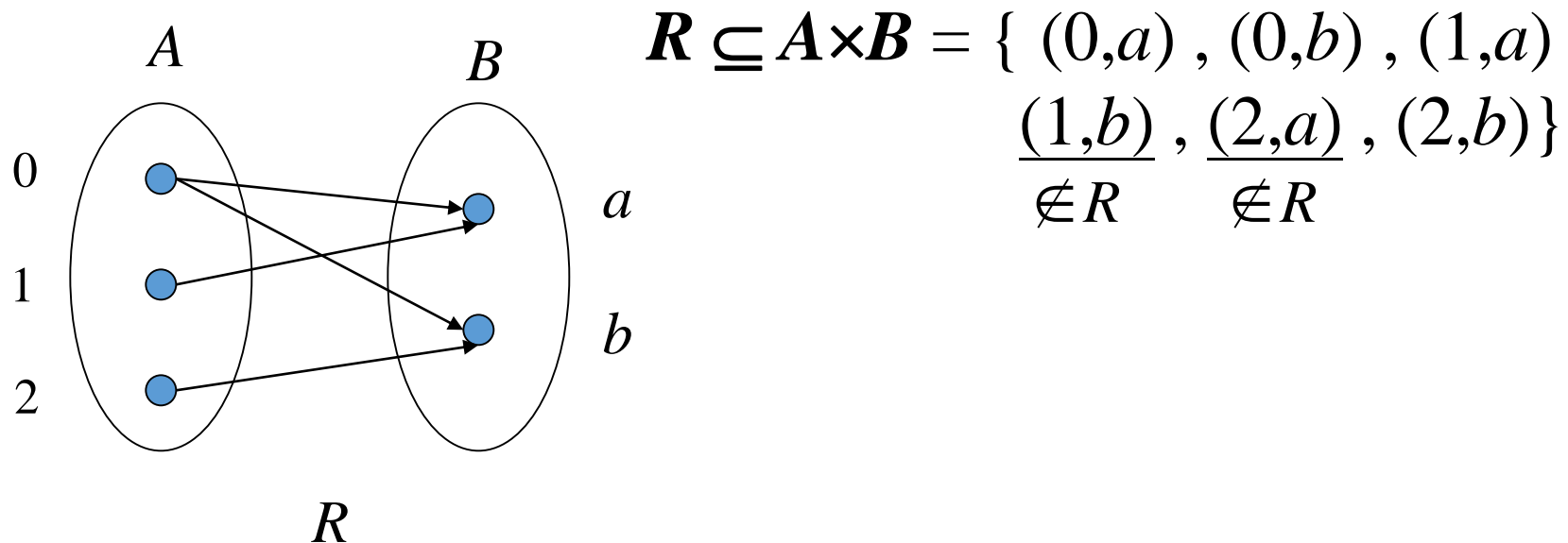
$B$  : the set of courses.

$R = \{ (a, b) : a \in A, b \in B, a \text{ is enrolled in course } b \}$

**Def:** We use the notation  $aRb$  to denote that  $(a, b) \in R$ ,  
and  $\cancel{aRb}$  to denote that  $(a, b) \notin R$ .

Moreover,  $a$  is said to be related to  $b$  by  $R$  if  $aRb$ .

**Example:** Let  $A = \{0, 1, 2\}$  and  $B = \{a, b\}$ , then  
 $\{(0, a), (0, b), (1, a), (2, b)\}$  is a relation  $R$  from  $A$  to  $B$ .  
This means, for instance, that  $0Ra$ , but that  $\cancel{1Rb}$ .



- **Binary relations** represent relationships between the elements of two sets.
- **n-ary relations** express relationships among elements of more than two sets

# Representing Binary Relations

- We can represent a binary relation  $R$  by a **table** showing (marking) the ordered pairs of  $R$ .

## Example:

- Let  $A = \{0, 1, 2\}$ ,  $B = \{u, v\}$  and  $R = \{ (0,u), (0,v), (1,v), (2,u) \}$

- **Table:**

<u>R</u>	<u> </u>	<u>u</u>	<u>v</u>
0		x	x
1			x
2		x	

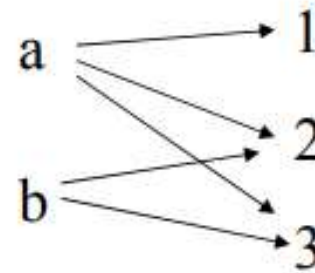
or

<u>R</u>	<u> </u>	<u>u</u>	<u>v</u>
0		1	1
1		0	1
2		1	0

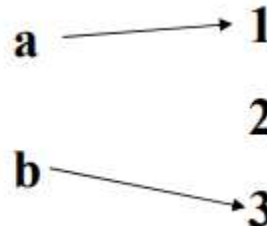
# Relations vs. Functions

A relation can be used to express a 1-to-many relationship between the elements of the sets  $A$  and  $B$ .

- **Example:**



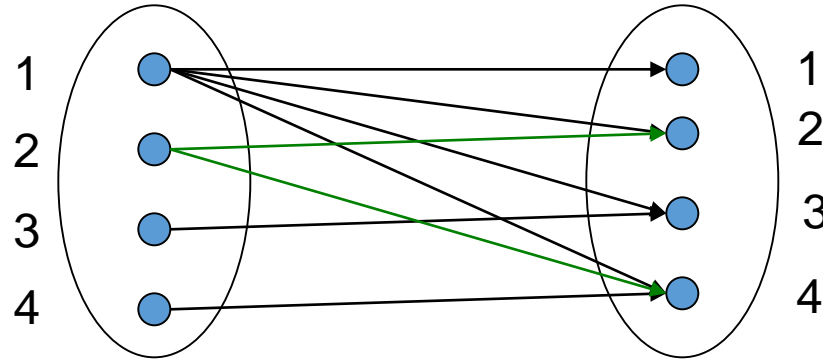
- A function defined on sets  $A, B$   $A \rightarrow B$  assigns to each element in the domain set  $A$  exactly one element from  $B$ . So it is a special relation.



### Example:

Let **A** be the set **{1, 2, 3, 4}**. Which ordered pairs are in the relation  $R = \{ (a, b) \mid a \text{ divides } b \}$ ?

Sol :



$$R = \{ (1,1), (1,2), (1,3), (1,4), \\ (2,2), (2,4), \\ (3,3), \\ (4,4) \}$$



**Example :** Consider the following relations on  $\mathbb{Z}$ .

$$R_1 = \{ (a, b) \mid a \leq b \}$$

$$R_2 = \{ (a, b) \mid a > b \}$$

$$R_3 = \{ (a, b) \mid a = b \text{ or } a = -b \}$$

$$R_4 = \{ (a, b) \mid a = b \}$$

$$R_5 = \{ (a, b) \mid a = b+1 \}$$

$$R_6 = \{ (a, b) \mid a + b \leq 3 \}$$

Which of these relations contain each of the pairs  $(1,1)$ ,  $(1,2)$ ,  $(2,1)$ ,  $(1,-1)$ , and  $(2,2)$ ?

**Sol :**

	(1,1)	(1,2)	(2,1)	(1,-1)	(2,2)
$R_1$	●	●			●
$R_2$			●	●	
$R_3$	●			●	●
$R_4$	●				●
$R_5$			●		
$R_6$	●	●	●	●	

# Properties of Relations

**Def.** A relation  $R$  on a set  $A$  is called reflexive if  $(a,a) \in R$  for every  $a \in A$ .

**Example:** Consider the following relations on

$\{1, 2, 3, 4\}$  :

$$R_2 = \{ (1,1), (1,2), (2,1) \}$$

$$R_3 = \{ (1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (4,1), (4,4) \}$$

$$R_4 = \{ (2,1), (3,1), (3,2), (4,1), (4,2), (4,3) \}$$

which of them are reflexive ?

**Sol :**

$R_3$

**Example:** Which of the relations from  
Example 5 are reflexive?

$$R_1 = \{ (a, b) \mid a \leq b \}$$

$$R_2 = \{ (a, b) \mid a > b \}$$

$$R_3 = \{ (a, b) \mid a = b \text{ or } a = -b \}$$

$$R_4 = \{ (a, b) \mid a = b \}$$

$$R_5 = \{ (a, b) \mid a = b+1 \}$$

$$R_6 = \{ (a, b) \mid a + b \leq 3 \}$$

**Sol :**  $R_1, R_3$  and  $R_4$

## Symmetric and Antisymmetric :

- (1) A relation  $R$  on a set  $A$  is called symmetric if for  $a, b \in A$ ,  
 $(a, b) \in R \Rightarrow (b, a) \in R$ .
- (2) A relation  $R$  on a set  $A$  is called antisymmetric if for  $a, b \in A$ , if  $(a, b) \in R$  and  $(b, a) \in R \Rightarrow a = b$ .

That is, a relation is symmetric if and only if  $a$  is related to  $b$  implies that  $b$  is related to  $a$ . A relation is antisymmetric if and only if there are no pairs of distinct elements  $a$  and  $b$  with  $a$  related to  $b$  and  $b$  related to  $a$ . That is, the only way to have  $a$  related to  $b$  and  $b$  related to  $a$  is for  $a$  and  $b$  to be the same element. The terms symmetric and antisymmetric are not opposites, because a relation can have both of these properties or may lack both of them

**Example:** Which of the relations from are symmetric or antisymmetric ?

$$R_2 = \{ (1,1), (1,2), (2,1) \}$$

$$R_3 = \{ (1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (4,1), (4,4) \}$$

$$R_4 = \{ (2,1), (3,1), (3,2), (4,1), (4,2), (4,3) \}$$

**Sol :**

$R_2, R_3$  are symmetric

$R_4$  are antisymmetric.

**Example:** Is the “divides” relation on the set of positive integers symmetric? Is it antisymmetric?

**Sol :** It is not symmetric since  $1|2$  but  $2 \nmid 1$ .

It is antisymmetric since  $a|b$  and  $b|a$  implies  $a=b$ .

Note:

- Antisymmetric and symmetric can coexist

$$\forall (a, b) \in R, a \neq b \quad \left\{ \begin{array}{l} \text{sym.} \Rightarrow (b, a) \in R \\ \text{antisym.} \Rightarrow (b, a) \notin R \end{array} \right.$$

Therefore, if there is no  $(a, b)$  with  $a \neq b$  in  $R$ , it can be satisfied at the same time

**eg.** Let  $A = \{1, 2, 3\}$ , give a relation  $R$  on  $A$  set.  
 $R$  is both symmetric and antisymmetric, but not reflexive.

**Sol :**

$$R = \{ (1, 1), (2, 2) \}$$

## Transitive:

A relation  $R$  on a set  $A$  is called **transitive** if for  $a, b, c \in A$ ,  $(a, b) \in R$  and  $(b, c) \in R \Rightarrow (a, c) \in R$ .

**Example:** Is the “divides” relation on the set of positive integers transitive?

**Sol :** Suppose  $a|b$  and  $b|c$

$\Rightarrow a|c$

$\Rightarrow$  transitive

**Example:** Which of the relations in  $R_2$ ,  $R_3$  and  $R_4$  are transitive ?

$$R_2 = \{ (1,1), (1,2), (2,1) \}$$

$$R_3 = \{ (1,1), (1,2), (1,4), (2,1), (2,2), (3,3), (4,1), (4,4) \}$$

$$R_4 = \{ (2,1), (3,1), (3,2), (4,1), (4,2), (4,3) \}$$

**Sol :**

$R_2$  is not transitive since

$$(2,1) \in R_2 \text{ and } (1,2) \in R_2 \text{ but } (2,2) \notin R_2.$$

$R_3$  is not transitive since

$$(2,1) \in R_3 \text{ and } (1,4) \in R_3 \text{ but } (2,4) \notin R_3.$$

$R_4$  is transitive.



# Combining Relations

**Example:** Let  $A = \{1, 2, 3\}$  and  $B = \{1, 2, 3, 4\}$ .

The relation  $R_1 = \{(1,1), (2,2), (3,3)\}$   
and  $R_2 = \{(1,1), (1,2), (1,3), (1,4)\}$  can be  
combined to obtain

$$R_1 \cup R_2 = \{(1,1), (2,2), (3,3), (1,2), (1,3), (1,4)\}$$

$$R_1 \cap R_2 = \{(1,1)\}$$

$$R_1 - R_2 = \{(2,2), (3,3)\}$$

$$R_2 - R_1 = \{(1,2), (1,3), (1,4)\}$$

$$R_1 \oplus R_2 = \{(2,2), (3,3), (1,2), (1,3), (1,4)\}$$

symmetric difference, which is  $(R_1 \cup R_2) - (R_1 \cap R_2)$

**Def:** Let  $R$  be a relation from a set  $A$  to a set  $B$  and  $S$  a relation from  $B$  to a set  $C$ . The **composite of  $R$  and  $S$**  is the relation consisting of ordered pairs  $(a,c)$ , where  $a \in A$ ,  $c \in C$ , and for which there exists an element  $b \in B$  such that  $(a,b) \in R$  and  $(b,c) \in S$ . We denote the composite of  $R$  and  $S$  by  $S \circ R$ .

- ✓  $S \circ R$  is constructed using all ordered pairs in  $R$  and ordered pairs in  $S$ , where the second element of the ordered pair in  $R$  agrees with the first element of the ordered pair in  $S$ .
- ✓ For example, the ordered pairs  $(2, 3)$  in  $R$  and  $(3, 1)$  in  $S$  produce the ordered pair  $(2, 1)$  in  $S \circ R$ . Computing all the ordered pairs in the composite, we find

**Example :** What is the composite of the relations  $R$  and  $S$ , where  $R$  is the relation from  $\{1, 2, 3\}$  to  $\{1, 2, 3, 4\}$  with  $R = \{(1, 1), (1, 4), (2, 3), (3, 1), (3, 4)\}$  and  $S$  is the relation from  $\{1, 2, 3, 4\}$  to  $\{0, 1, 2\}$  with  $S = \{(1, 0), (2, 0), (3, 1), (3, 2), (4, 1)\}$ ?

**Ans.**  $S \circ R$  is the relation from  $\{1, 2, 3\}$  to  $\{0, 1, 2\}$  with  $S \circ R = \{(1, 0), (1, 1), (2, 1), (2, 2), (3, 0), (3, 1)\}$ .

**Def:** Let  $R$  be a relation on the set  $A$ . The powers  $R^n$ ,  $n = 1, 2, 3, \dots$ , are defined recursively by  $R^1 = R$  and  $R^{n+1} = R^n \circ R$ .

**Example:** Let  $R = \{(1, 1), (2, 1), (3, 2), (4, 3)\}$ .  
Find the powers  $R^n$ ,  $n=2, 3, 4, \dots$

**Sol.**  $R^2 = R \circ R = \{(1, 1), (2, 1), (3, 1), (4, 2)\}$ .

$R^3 = R^2 \circ R = \{(1, 1), (2, 1), (3, 1), (4, 1)\}$ .

$R^4 = R^3 \circ R = \{(1, 1), (2, 1), (3, 1), (4, 1)\} = R^3$ .

Therefore  $R^n = R^3$  for  $n=4, 5, \dots$

**THEOREM:** The relation  $R$  on a set  $A$  is transitive if and only if  $R^n \subseteq R$  for  $n = 1, 2, 3, \dots$

# Representing Relations

**1. Representing Relations using Matrices**

**2. Representing Relations using Diagraphs**

# 1. Representing Relations using Matrices

A relation between finite sets can be represented using a zero–one matrix.

Suppose that  $R$  is a relation from  $A = \{a_1, a_2, \dots, a_m\}$  to  $B = \{b_1, b_2, \dots, b_n\}$ .

The relation  $R$  can be represented by the matrix

$M_R = [m_{ij}]$ , where

$$m_{ij} = \begin{cases} 1, & \text{if } (a_i, b_j) \in R \\ 0, & \text{if } (a_i, b_j) \notin R \end{cases}$$

**Example:** Suppose that  $A = \{1, 2, 3\}$  and  $B = \{1, 2\}$   
 Let  $R = \{(a, b) \mid a > b, a \in A, b \in B\}$ .  
 What is the matrix  $M_R$  representing  $R$ ?

**Sol :**

$$R = \{(2, 1), (3, 1), (3, 2)\}$$

$$\begin{array}{c}
 \begin{array}{c}
 \begin{array}{c}
 1 \\
 2 \\
 3
 \end{array}
 \end{array}
 \begin{array}{c}
 A
 \end{array}
 \begin{array}{c}
 \begin{array}{cc}
 & B \\
 & \begin{array}{cc}
 1 & 2
 \end{array}
 \end{array}
 \end{array}
 \begin{bmatrix}
 0 & 0 \\
 1 & 0 \\
 1 & 1
 \end{bmatrix}
 \end{array}
 \therefore M_R = \begin{bmatrix}
 0 & 0 \\
 1 & 0 \\
 1 & 1
 \end{bmatrix}$$

Note. Different order of elements of A and B will produce different MR.  
 If A=B, the rows and columns should use the same order.

❌ Let  $A = \{a_1, a_2, \dots, a_n\}$ .

A relation  $R$  on  $A$  is reflexive iff  $(a_i, a_i) \in R, \forall i$ .

i.e.,

$$M_R = \begin{bmatrix} a_1 & a_2 & \dots & \dots & a_n \\ 1 & & & & \\ & 1 & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \ddots \\ & & & & & 1 \end{bmatrix}$$

All 1s on the diagonal.  
Off Diagonal Elements  
can Be 0 or 1.

❌ The relation  $R$  is symmetric iff  $(a_i, a_j) \in R \Rightarrow (a_j, a_i) \in R$ .

This means  $m_{ij} = m_{ji}$  (i.e. MR is a symmetric matrix).

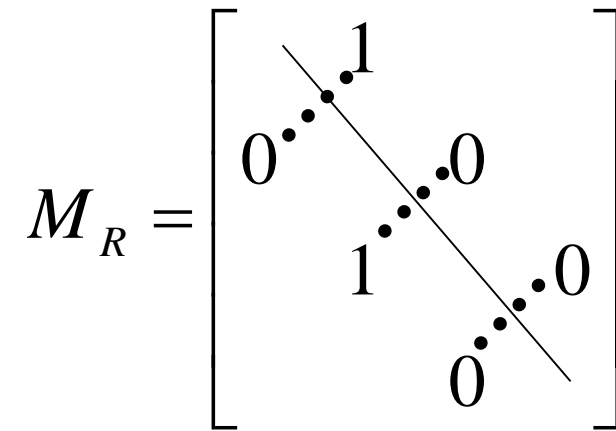
$$M_R = \left[ \begin{array}{cc} 1 & 0 \\ 1 & 0 \end{array} \right] = (M_R)^t$$



✘ The relation  $R$  is antisymmetric iff  
 $(a_i, a_j) \in R$  and  $i \neq j \Rightarrow (a_j, a_i) \notin R$ .

This means that if  $m_{ij}=1$  with  $i \neq j$ , then  $m_{ji}=0$ .

i.e.,

$$M_R = \begin{bmatrix} & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \end{bmatrix}$$


✘ The transitive property is not easy to judge directly from the matrix, and needs to be calculated

**Example:** Suppose that the relation  $R$  on a set is represented by the matrix

$$M_R = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

Is  $R$  reflexive, symmetric, and/or antisymmetric ?

**Sol :**

Reflexive

Symmetric

Not Antisymmetric

**Example:** Suppose that  $S=\{0, 1, 2, 3\}$ . Let  $R$  be a relation containing  $(a, b)$  if  $a \leq b$ , where  $a \in S$  and  $b \in S$ . Is  $R$  reflexive, symmetric, antisymmetric ?

**Sol :**

$$M_R = \begin{matrix} & \begin{matrix} 0 & 1 & 2 & 3 \end{matrix} \\ \begin{matrix} 0 \\ 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \end{matrix}$$

$\therefore R$  is reflexive and antisymmetric, not symmetric.

**Example:** Suppose the relations  $R_1$  and  $R_2$  on a set A are represented by the matrices

$$M_{R_1} = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \quad M_{R_2} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

What are the matrices representing  $R_1 \cup R_2$  and  $R_1 \cap R_2$ ?

**Sol :**

$$M_{R_1 \cup R_2} = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix} \quad M_{R_1 \cap R_2} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

**Example:** Find the matrix representing the relation  $S^\circ R$ , where the matrices representing  $R$  and  $S$  are

$$M_R = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad M_S = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$

**Sol :**

$$M_{S^\circ R} = M_R \odot M_S = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

After  $M_R \times M_S$  (matrix multiplication) change numbers

**Example 6.** Find the matrix representing the relation  $R^2$ , where the matrix representing  $R$  is

$$M_R = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

**Sol :**

$$M_{R^2} = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

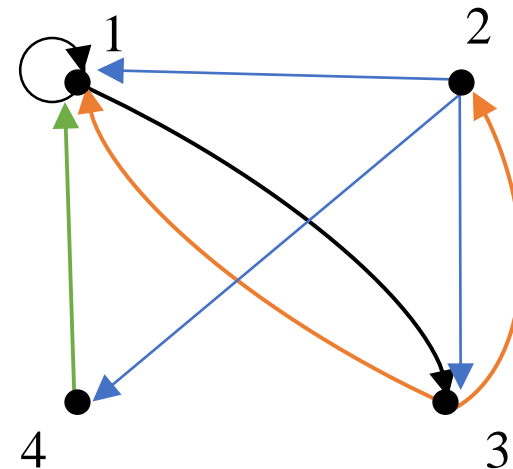
## 2. Representing Relations using Digraphs

**Def:** A directed graph (digraph) consists of a set  $V$  of vertices (or nodes) together with a set  $E$  of ordered pairs of elements of  $V$  called edges (or arcs).

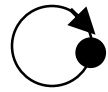
**Example:** Show the digraph of the relation  $R = \{(1,1), (1,3), (2,1), (2,3), (2,4), (3,1), (3,2), (4,1)\}$  on the set  $\{1,2,3,4\}$ .

**Sol :**

Vertex : 1, 2, 3, 4  
Edge : (1,1), (1,3),  
(2,1), (2,3), (2,4),  
(3,1), (3,2),  
(4,1)

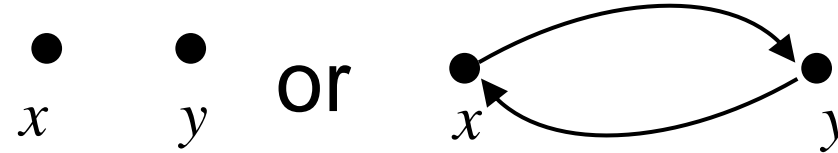


✘ The relation  $R$  is Reflexive iff for every vertex,



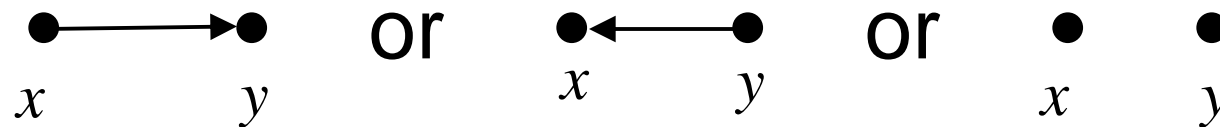
(Loop At Each Point)

✘ The relation  $R$  is Symmetric iff for any vertices  $x \neq y$ , either



(If there is an edge between two points, it must be a pair of edges in different directions)

✘ The relation  $R$  is Antisymmetric iff for any  $x \neq y$ ,

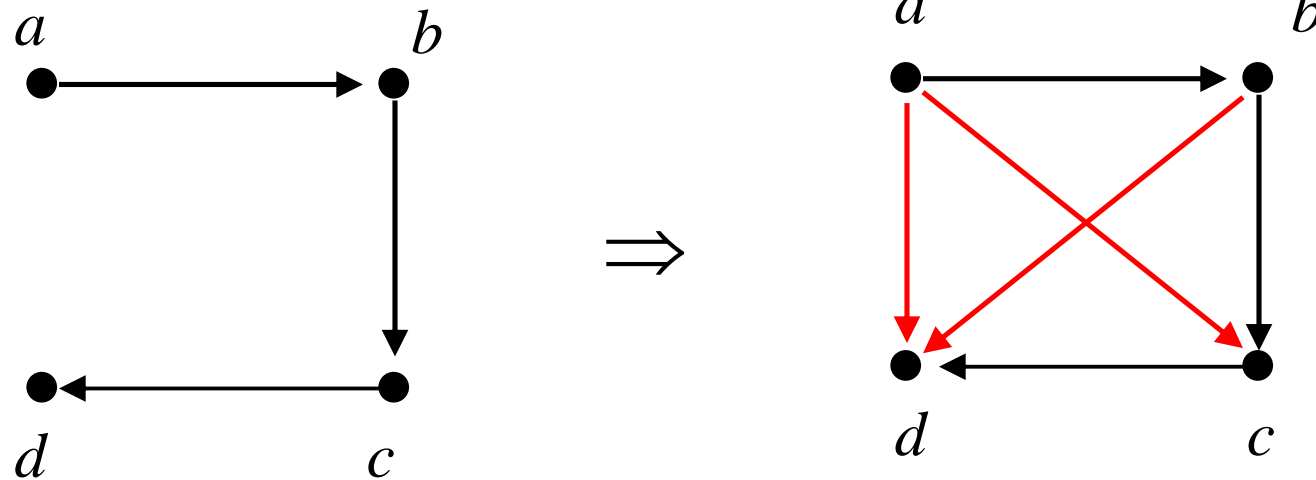


If there is an edge between two points, there must be only one edge



✘ The relation  $R$  is **Transitive** iff for  $a, b, c \in A$ ,  
 $(a, b) \in R$  and  $(b, c) \in R \Rightarrow (a, c) \in R$ .

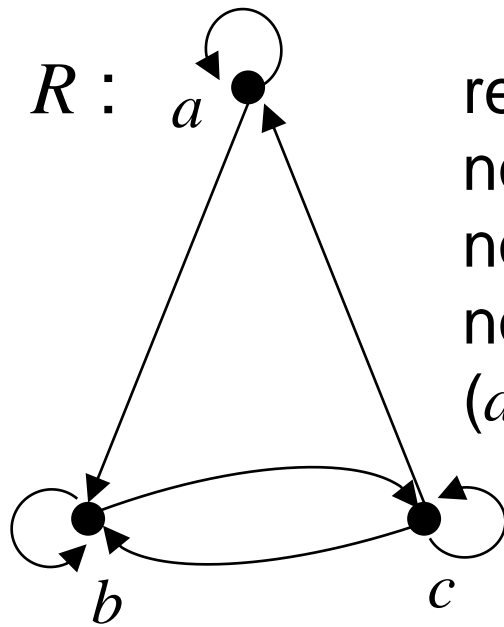
This means:



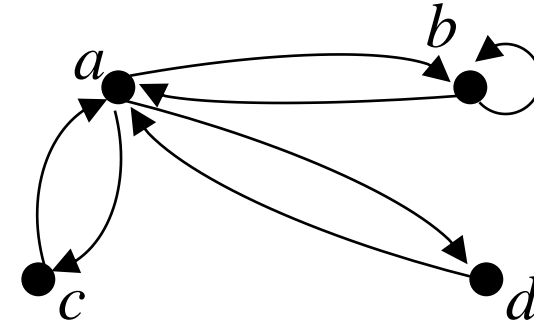
(As long as point  $x$  has a path to point  $y$ ,  $x$  must have an edge directly connected to  $y$ )

**Example:** Determine whether the relations  $R$  and  $S$  are reflexive, symmetric, antisymmetric, and/or transitive

**Sol :**



reflexive,  
not symmetric,  
not antisymmetric,  
not transitive  
( $a \rightarrow b, b \rightarrow c, a \not\rightarrow c$ )



$S$

not reflexive,  
symmetric  
not antisymmetric  
not transitive  
( $b \rightarrow a, a \rightarrow c, b \not\rightarrow c$ )

**Irreflexive :**  $(a, a) \notin R, \forall a \in A$

# Closures of Relations

# Closures of Relations

**Def:** The closure of a relation  $R$  with respect to property  $P$  is the relation obtained by adding the minimum number of ordered pairs to  $R$  to obtain property  $P$ .

- In terms of the digraph representation of  $R$ 
  - To find the reflexive closure - **add loops**.
  - To find the symmetric closure - **add arcs in the opposite direction**.
  - To find the transitive closure - **if there is a path from  $a$  to  $b$ , add an arc from  $a$  to  $b$** .

# Closures of Relations

## ✂ Closures

The relation  $R = \{(1,1), (1,2), (2,1), (3,2)\}$  on the set  $A = \{1, 2, 3\}$  is not reflexive.

Q: How to construct a smallest reflexive relation  $R_r$  such that  $R \subseteq R_r$ ?

Sol: Let  $R_r = R \cup \{(2,2), (3,3)\}$ .

i. e.,  $R_r = R \cup \Delta$ , where  $\Delta = \{(a, a) \mid a \in A\}$ .

$R_r$  is a reflexive relation containing  $R$  that is as small as possible. It is called the **reflexive closure** of  $R$ .

**Example:** What is the reflexive closure of the relation  $R=\{(a,b) \mid a < b\}$  on the set of integers ?

**Sol :** 
$$R_r = R \cup \Delta = \{(a,b) \mid a < b\} \cup \{(a, a) \mid a \in \mathbf{Z}\}$$
$$= \{(a, b) \mid a \leq b, \ a, b \in \mathbf{Z}\}$$

**Example :**

The relation  $R=\{(1,1),(1,2),(2,2),(2,3),(3,1),(3,2)\}$  on the set  $A=\{1,2,3\}$  is not symmetric. Find a smallest symmetric relation  $R_s$  containing  $R$ .

**Sol :** Let  $R^{-1}=\{(b, a) \mid (a, b) \in R\}$   
Let  $R_s = R \cup R^{-1} = \{(1,1),(1,2),(2,1),(2,2),(2,3),$   
 $(3,1),(1,3),(3,2)\}$

$R_s$  is called the Symmetric Closure of  $R$ .

**Example:** What is the symmetric closure of the relation  
 $R = \{ (a, b) \mid a > b \}$  on the set of positive integers ?

**Sol :**

The symmetric closure of R is the relation

$$R \cup R^{-1} = \{ (a, b) \mid a > b \} \cup \{ (b, a) \mid a > b \} = \{ (a, b) \mid a \neq b \}$$

*OR*

$$R \cup \{ (b, a) \mid a > b \} = \{ (c, d) \mid c \neq d \}$$

## Def :

### 1. (Reflexive Closure of $R$ on $A$ )

$R_r$  = the smallest reflexive relation containing  $R$ .

$$R_r = R \cup \{ (a, a) \mid a \in A, (a, a) \notin R \}$$

### 2. (Symmetric Closure of $R$ on $A$ )

$R_s$  = the smallest symmetric relation containing  $R$ .

$$R_s = R \cup \{ (b, a) \mid (a, b) \in R \text{ and } (b, a) \notin R \}$$

### 3. (Transitive closure of $R$ on $A$ )

$R_t$  = the smallest transitive relation containing  $R$ .

$$R_t = R \cup \{ (a, c) \mid (a, b) \in R_t \text{ and } (b, c) \in R_t, \text{ but } (a, c) \notin R_t \} \text{ (repeat)}$$

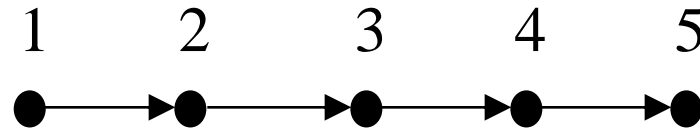
Note. There is no antisymmetric closure, because if it is not antisymmetric, it means that there is  $a \neq b$ , and  $(a, b)$  &  $(b, a)$  are both  $\in R$ , then adding any pair cannot become antisymmetric.



## Paths in Directed Graphs

**Def:** A **path** from  $a$  to  $b$  in the digraph  $G$  is a sequence of edges  $(x_0, x_1), (x_1, x_2), \dots, (x_{n-1}, x_n)$  in  $G$ , where  $n \in \mathbb{Z}^+$ , and  $x_0 = a, x_n = b$ . This path is denoted by  $x_0, x_1, x_2, \dots, x_n$  and has **length**  $n$ .

**Example:**



A **path** from 1 to 5  
of length 4

**Theorem:** Let  $R$  be a relation on a set  $A$ . There is a path of length  $n$ , where  $n \in \mathbb{Z}^+$ , from  $a$  to  $b$  if and only if  $(a, b) \in R^n$ .

## Transitive Closures

**Def:** Let  $R$  be a relation on a set  $A$ . The **connectivity relation**  $R^*$  consists of pairs  $(a, b)$  such that there is a path of length at least one from  $a$  to  $b$  in  $R$ .

$$\text{i.e., } R^* = \bigcup_{i=1}^{\infty} R^i$$

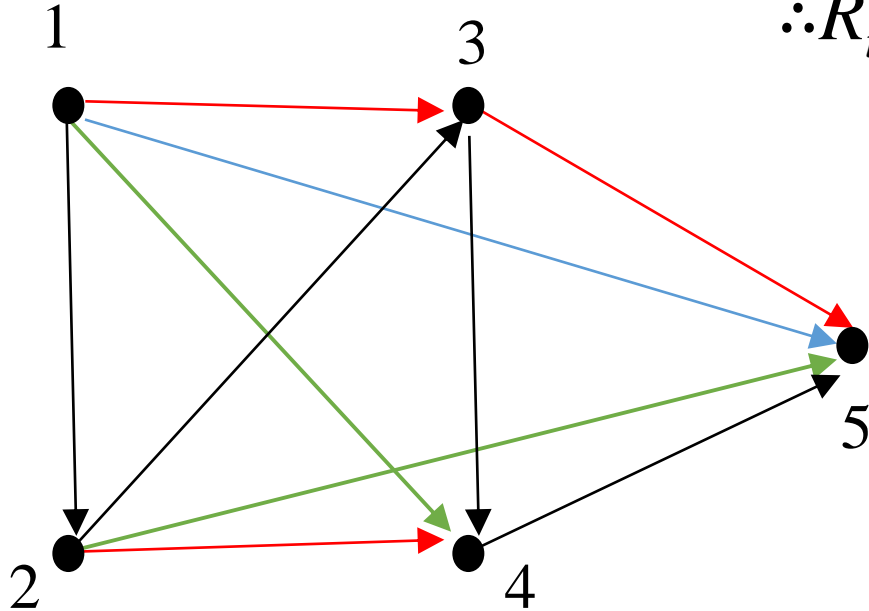
**Theorem:** The transitive closure of a relation  $R$  equals the connectivity relation  $R^*$ .

**Lemma 1** Let  $R$  be a relation on a set  $A$  with  $|A|=n$ .  
then

$$R^* = \bigcup_{i=1}^n R^i$$

**Example:** Let  $R$  be a relation on a set  $A$ , where  
 $A = \{1, 2, 3, 4, 5\}$ ,  $R = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$ .  
What is the transitive closure  $R_t$  of  $R$ ?

**Sol :**



$$\begin{aligned}\therefore R_t &= R \cup R^2 \cup R^3 \cup R^4 \cup R^5 \\ &= \{(1,2), (2,3), (3,4), (4,5), \\ &\quad (1,3), (2,4), (3,5), \\ &\quad (1,4), (2,5), \\ &\quad (1,5)\}\end{aligned}$$

**Theorem:** Let  $M_R$  be the zero-one matrix of the relation  $R$  on a set with  $n$  elements. Then the zero-one matrix of the transitive closure  $R^*$  is

$$M_{R^*} = M_R \vee M_R^{[2]} \vee \cdots \vee M_R^{[n]}.$$

**Example:** Find the zero-one matrix of the transitive closure of the relation  $R$  where

$$M_R = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$

**Sol :**

$$M_{R^*} = M_R \vee M_R^{[2]} \vee M_R^{[3]} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

# Equivalence Relations



# Equivalence Relations

**Def:** A relation  $R$  on a set  $A$  is called an **equivalence relation** if it is reflexive, symmetric, and transitive.

## Example:

Let  $R$  be the relation on the set of integers such that  $aRb$  if and only if  $a=b$  or  $a=-b$ . Then  $R$  is an equivalence relation.

## Example:

Let  $R$  be the relation on the set of real numbers such that  $aRb$  if and only if  $a-b$  is an integer. Then  $R$  is an equivalence relation.

**Example:** (Congruence Modulo  $m$ )

Let  $m \in \mathbf{Z}$  and  $m > 1$ . Show that the relation

$R = \{ (a, b) \mid a \equiv b \pmod{m} \}$  is an equivalence relation on the set of integers.

(  $a$  is congruent to  $b$  modulo  $m$ ,  $a$  and  $b$  have the same remainder when divided by  $m$  )

**Sol :** Note that  $a \equiv b \pmod{m}$  iff  $m \mid (a - b)$ .

$\therefore$  ①  $a \equiv a \pmod{m} \Rightarrow (a, a) \in R \Rightarrow$  **reflexive**

② If  $a \equiv b \pmod{m}$ , then  $a - b = km, k \in \mathbf{Z}$

$\Rightarrow b - a = (-k)m \Rightarrow b \equiv a \pmod{m} \Rightarrow$  **symmetric**

③ If  $a \equiv b \pmod{m}$ ,  $b \equiv c \pmod{m}$

then  $a - b = km, b - c = lm$

$\Rightarrow a - c = (k + l)m \Rightarrow a \equiv c \pmod{m} \Rightarrow$  **transitive**

$\therefore R$  is an equivalence relation.

### Example:

Let  $l(x)$  denote the length of the string  $x$ .

Suppose that the relation

$R = \{(a, b) \mid l(a) = l(b), a, b \text{ are strings of English letters} \}$

Is  $R$  an equivalence relation?

### Sol :

- ①  $(a, a) \in R \quad \forall \text{string } a \quad \Rightarrow \text{reflexive}$
  - ②  $(a, b) \in R \Rightarrow (b, a) \in R \quad \Rightarrow \text{symmetric}$
  - ③  $(a, b) \in R, (b, c) \in R \Rightarrow (a, c) \in R \Rightarrow \text{transitive}$
- } Yes.



**Example:** Let  $R$  be the relation on the set of real numbers such that  $xRy$  if and only if  $x$  and  $y$  differ by less than 1, that is  $|x - y| < 1$ . Show that  $R$  is not an equivalence relation.

**Sol :**

①  $xRx \forall x$  since  $x - x = 0 \Rightarrow$  reflexive

②  $xRy \Rightarrow |x - y| < 1 \Rightarrow |y - x| < 1 \Rightarrow yRx$   
 $\Rightarrow$  symmetric

③  $xRy, yRz \Rightarrow |x - y| < 1, |y - z| < 1 \not\Rightarrow |x - z| < 1$   
 $\Rightarrow$  Not transitive

Take  $x = 2.8$ ,  $y = 1.9$ , and  $z = 1.1$ ,  
so  $|x - y| = |2.8 - 1.9| = 0.9 < 1$ ,  $|y - z| = |1.9 - 1.1| = 0.8 < 1$ , but  
 $|x - z| = |2.8 - 1.1| = 1.7 > 1$ . That is,  $2.8R 1.9$ ,  $1.9R 1.1$ , but  $2.8$   
 $R 1.1$ .

# Equivalence Classes

## Def:

Let  $R$  be an equivalence relation on a set  $A$ .

The equivalence class of the element  $a \in A$  is

$$[a]_R = \{ s \mid (a, s) \in R \}$$

For any  $b \in [a]_R$ ,  $b$  is called a representative of this equivalence class.

## Note:

If  $(a, b) \in R$ , then  $[a]_R = [b]_R$ .

### Example:

What are the equivalence class of 0 and 1  
for congruence modulo 4 ?

### Sol :

Let  $R = \{ (a,b) \mid a \equiv b \pmod{4} \}$

Then  $[0]_R = \{ s \mid (0,s) \in R \}$

$$= \{ \dots, -8, -4, 0, 4, 8, \dots \}$$

$$[1]_R = \{ t \mid (1,t) \in R \} = \{ \dots, -7, -3, 1, 5, 9, \dots \}$$

# Equivalence Classes and Partitions

## Def.

A partition of a set  $S$  is a collection of disjoint nonempty subsets  $A_i$  of  $S$  that have  $S$  as their union.

In other words, we have  $A_i \neq \emptyset, \forall i$ ,

$$A_i \cap A_j = \emptyset, \text{ for any } i \neq j, \text{ and } \cup A_i = S.$$

## Example:

Suppose that  $S = \{1, 2, 3, 4, 5, 6\}$ . The collection of sets  $A_1 = \{1, 2, 3\}$ ,  $A_2 = \{4, 5\}$ , and  $A_3 = \{6\}$  form a partition of  $S$ .

## THEOREM:

Let  $R$  be an equivalence relation on a set  $A$ .

Then the equivalence classes of  $R$  form a partition of  $A$ .

## Example:

List the ordered pairs in the equivalence relation  $R$  produced by the partition  $A_1=\{1, 2, 3\}$ ,  $A_2=\{4, 5\}$ , and  $A_3=\{6\}$  of  $S=\{1, 2, 3, 4, 5, 6\}$ .

## Sol :

$$\begin{aligned} R &= \{ (a, b) \mid a, b \in A_1 \} \cup \{ (a, b) \mid a, b \in A_2 \} \\ &\quad \cup \{ (a, b) \mid a, b \in A_3 \} \\ &= \{(1, 1), (1, 2), (1, 3), (2, 1), (2, 2), (2, 3), (3, 1), (3, 2), \\ &\quad (3, 3), (4, 4), (4, 5), (5, 4), (5, 5), (6, 6)\} \end{aligned}$$

## Example:

The equivalence classes of the congruence modulo 4 relation form a partition of the integers. **OR**

What are the sets in the partition of the integers arising from congruence modulo 4?

**Sol :** There are four congruence classes, corresponding to  $[0]_4$ ,  $[1]_4$ ,  $[2]_4$ , and  $[3]_4$ . They are the sets

$$[0]_4 = \{ \dots, -8, -4, 0, 4, 8, \dots \}$$

$$[1]_4 = \{ \dots, -7, -3, 1, 5, 9, \dots \}$$

$$[2]_4 = \{ \dots, -6, -2, 2, 6, 10, \dots \}$$

$$[3]_4 = \{ \dots, -5, -1, 3, 7, 11, \dots \}$$

# Partial Orderings

# Partial Orderings

**Def:** A relation  $R$  on a set  $S$  is called a **partial ordering** or **partial order** if it is reflexive, **antisymmetric**, and transitive. A set  $S$  together with a partial ordering  $R$  is called a **partially ordered set**, or **poset**, and is denoted by  $(S, R)$ .

## Example:

Show that the “greater than or equal” ( $\geq$ ) is a partial ordering on the set of integers.

### Sol :

- ①  $x \geq x \quad \forall x \in \mathbf{Z}$   $\Rightarrow$  reflexive
- ② If  $x \geq y$  and  $y \geq x$  then  $x = y$ .  $\Rightarrow$  antisymmetric
- ③  $x \geq y, y \geq z \Rightarrow x \geq z$   $\Rightarrow$  transitive



**Def:**

The elements  $a$  and  $b$  of a poset  $(S, \preceq)$  are called **comparable** if either  $a \preceq b$  or  $b \preceq a$ . When  $a$  and  $b$  are elements of  $S$  such that neither  $a \preceq b$  or  $b \preceq a$ ,  $a$  and  $b$  are called **incomparable**.

**Example:**

In the poset  $(\mathbf{Z}^+, |)$ , are the integers 3 and 9 comparable? Are 5 and 7 comparable?

**Sol :**

$3|9 \Rightarrow$  comparable

$5 \nmid 7$  and  $7 \nmid 5 \Rightarrow$  incomparable

**Def:** If  $(S, \preccurlyeq)$  is a poset and every two elements of  $S$  are comparable,  $S$  is called a **totally ordered** or **linearly ordered set**, and  $\preccurlyeq$  is called a **total order** or a **linear order**. A totally ordered set is also called a **chain**.

**Example:**

The poset  $(\mathbf{Z}, \leq)$  is totally ordered, because  $a \leq b$  or  $b \leq a$  whenever  $a$  and  $b$  are integers.

**Example:**

The poset  $(\mathbf{Z}^+, |)$  is not totally ordered.

## Lexicographic Order:

The words in a dictionary are listed in alphabetic, or lexicographic, order, which is based on the ordering of the letters in the alphabet.

**Def.** Let  $(A_1, \preccurlyeq_1)$  and  $(A_2, \preccurlyeq_2)$  be two posets. The **lexicographic ordering**  $\preccurlyeq$  on  $A_1 \times A_2$  is defined as

$(a_1, a_2) \prec (b_1, b_2)$  either if  $a_1 \prec_1 b_1$  or  
if both  $a_1 = b_1$  and  $a_2 \prec_2 b_2$

We obtain a partial ordering  $\preccurlyeq$  by adding equality to the ordering  $\prec$  on  $A_1 \times A_2$ .

## Example:

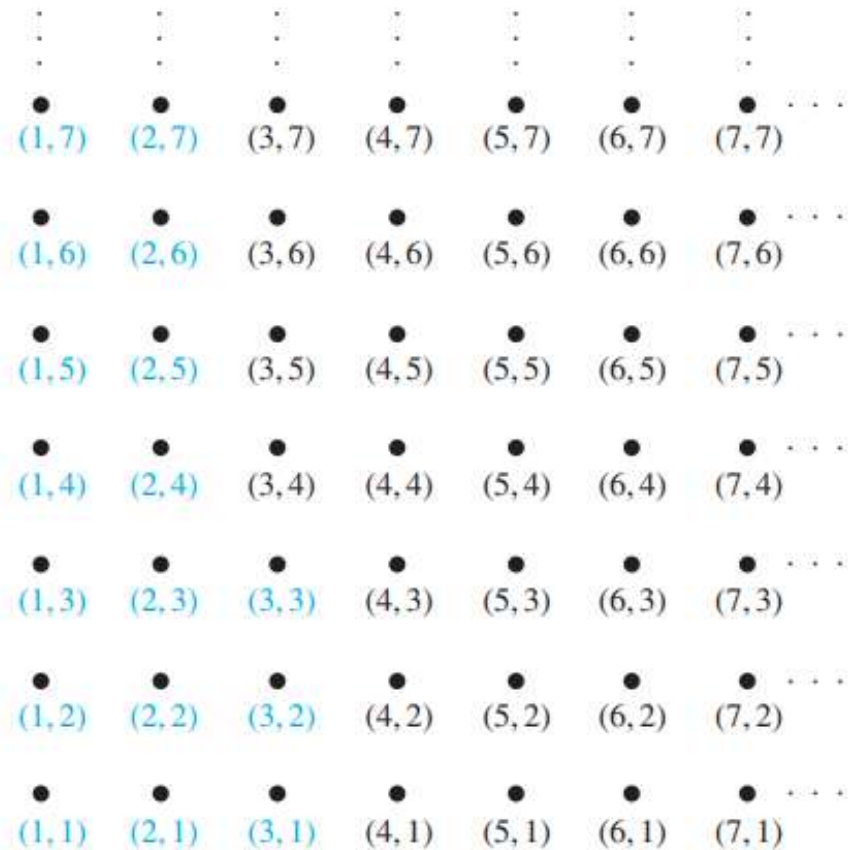
In the poset  $(\mathbf{Z} \times \mathbf{Z}, \preceq)$ , where  $\preceq$  is the lexicographic ordering constructed from the usual  $\leq$  relation on  $\mathbf{Z}$ .

$$(3, 5) \prec (4, 8),$$

$$(3, 8) \prec (4, 5),$$

$$(4, 9) \prec (4, 11)$$

**Sol:** The Ordered Pairs Less Than  $(3, 4)$  in Lexicographic Order.



# Hasse Diagrams:

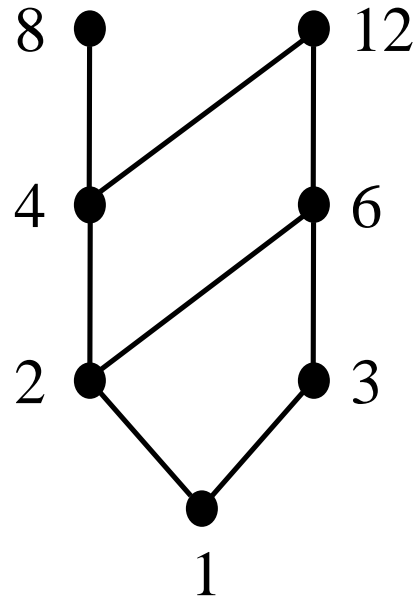
*Graphical representation* of the relation of elements of a **partially ordered set (poset)** with an implied *upward orientation*. A point is drawn for each element of the **poset** and joined with the line segment according to the following rules:

- If  $p < q$  in the poset, then the point corresponding to  $p$  *appears lower* in the drawing than the point corresponding to  $q$ .
- The two points  $p$  and  $q$  will be joined by line segment *if  $p$  is related to  $q$* .

### Example:

Draw the Hasse diagram representing the partial ordering  $\{(a, b) \mid a \text{ divides } b\}$  on  $\{1, 2, 3, 4, 6, 8, 12\}$ .

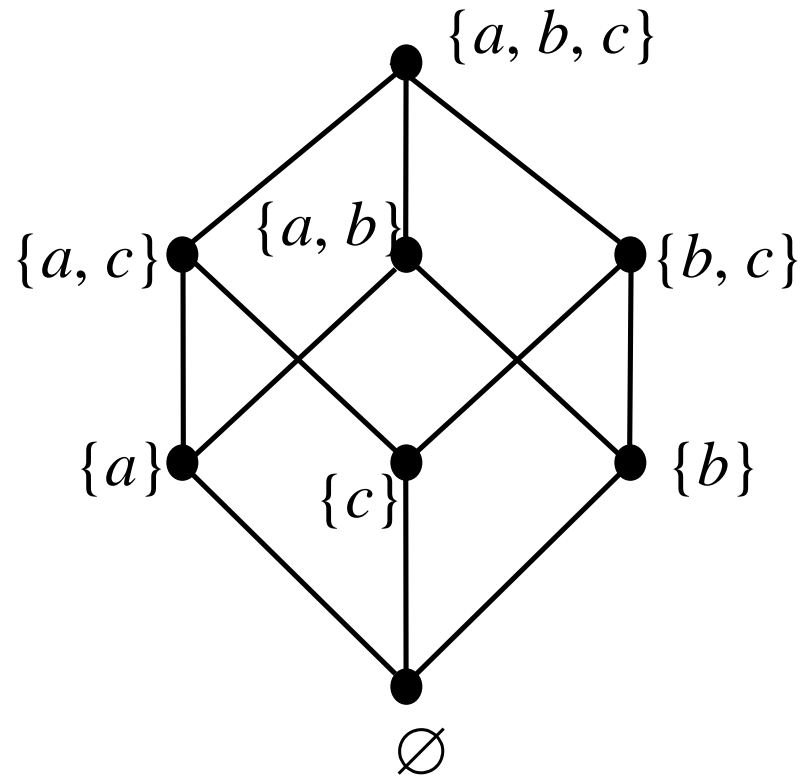
**Sol :**



### Example:

Draw the Hasse diagram for the partial ordering  $\{(A, B) \mid A \subseteq B\}$  on the power set  $P(S)$  where  $S = \{a, b, c\}$ .

**Sol :**



# Maximal and Minimal Elements

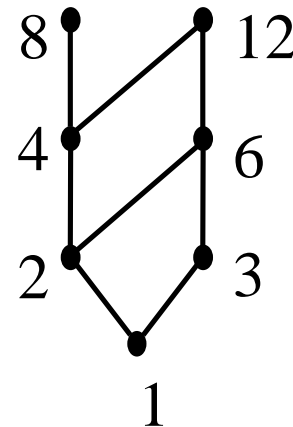
## Def.

An element  $a \in S$  is **maximal** in the poset  $(S, \preceq)$  if there is no  $b \in S$  such that  $a \prec b$ . Similarly, an element  $a \in S$  is **minimal** if there is no  $b \in S$  such that  $b \prec a$ .

$a$  is the **greatest element** of the poset  $(S, \preceq)$  if  $b \preceq a$  for all  $b \in S$ .  $a$  is the **least element** of  $(S, \preceq)$  if  $a \preceq b$  for all  $b \in S$ .

## Example:

8, 12 are maximal,  
1 is least and minimal,  
no greatest element



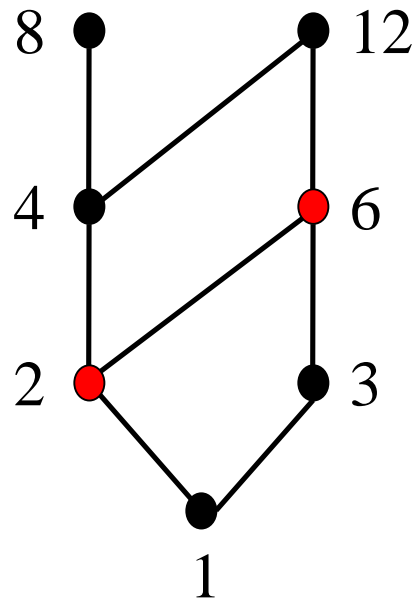


### Def.

Let  $A$  be a subset of a poset  $(S, \preceq)$ . If  $u$  is an element of  $S$  such that  $a \preceq u$  for all elements  $a \in A$ , then  $u$  is called an **upper bound** of  $A$ .

If  $l$  is an element of  $S$  such that  $l \preceq a$  for all elements  $a \in A$ , then  $l$  is called an **lower bound** of  $A$ .

### Ex



$$A = \{2, 6\}$$

upper bound of  $A$ : 6, 12

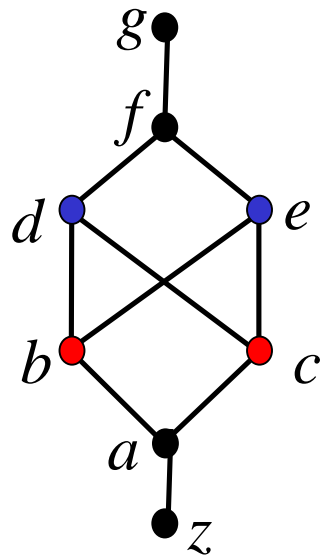
lower bound of  $A$ : 1, 2

## Def.

Let  $A$  be a subset of a poset  $(S, \preceq)$ . An element  $x$  is called the **least upper bound** of  $A$  if  $x$  is an upper bound of  $A$  and  $x \preceq z$  whenever  $z$  is an upper bound of  $A$ .

Let  $A$  be a subset of a poset  $(S, \preceq)$ . An element  $x$  is called the **greatest lower bound** of  $A$  if  $x$  is a lower bound of  $A$  and  $y \preceq x$  whenever  $y$  is a lower bound of  $A$ .

## Ex



$$A_1 = \{d, e\}, A_2 = \{b, c\}$$

least upper bound of  $A_1 = f$

$A_1$  has no greatest lower bound

$A_2$  has no least upper bound

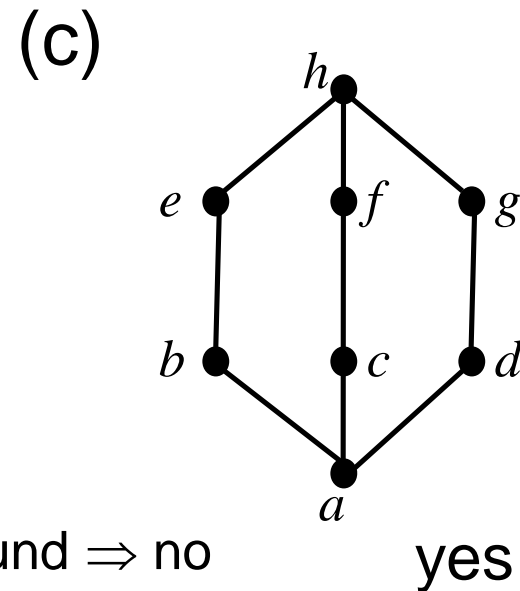
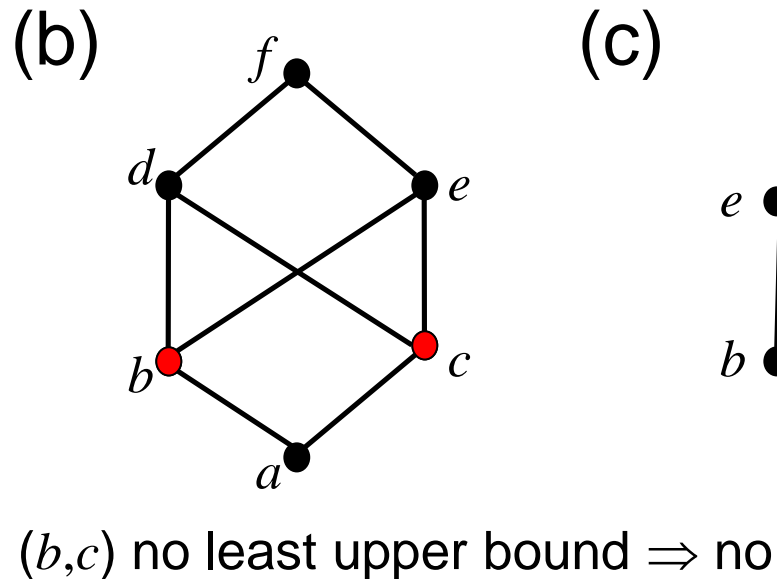
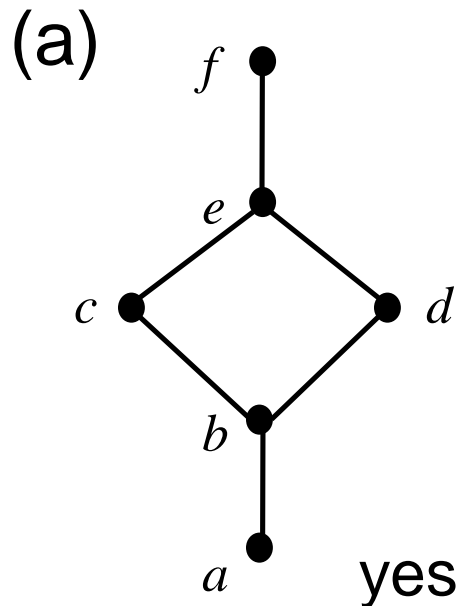
greatest lower bound of  $A_2 = a$

# Lattices

**Def.** A partially ordered set in which every pair of elements has both a least upper bound and a greatest lower bound is called a **lattice**.

## Example:

Determine whether the following posets are lattices.



### Example:

Is the poset  $(\mathbb{Z}^+, |)$  a lattice?

**Sol :** For any  $a, b \in \mathbb{Z}^+$ ,  
 $\gcd(a, b)$  is the greatest lower bound of  $a, b$ : least common multiple  
 $\text{lcm}(a, b)$  is the least upper bound of  $a, b$ : greatest common divisor  
 $\Rightarrow$  Yes

### Example:

Determine whether the posets  $(\{1, 2, 3, 4, 5\}, |)$  and  $(\{1, 2, 4, 8, 16\}, |)$  are lattices.

**Sol :** In  $(\{1, 2, 3, 4, 5\}, |)$ , 2 and 3 has no l.u.b.  $\Rightarrow$  No.

In  $(\{1, 2, 4, 8, 16\}, |)$ ,  
any  $a, b$  has l.u.b. and g.l.b.  $\Rightarrow$  Yes.

## Topological Sorting

Suppose that a project is made up of 20 different tasks. Some tasks can be completed only after others have been finished. How can an order be found for these tasks?

### Def.

A total ordering  $\preceq$  is said to be **compatible** with the partial ordering  $R$  if  $a \preceq b$  whenever  $aRb$ .

Constructing a compatible total ordering from a partial ordering is called **topological sorting**.

### Lemma 1.

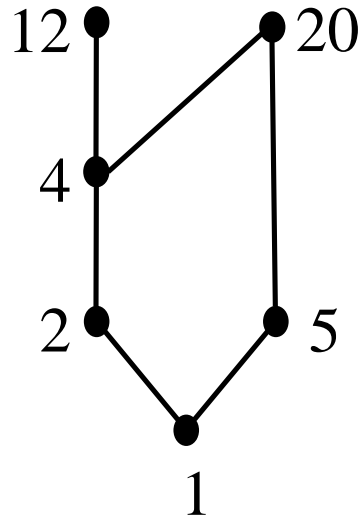
Every finite nonempty poset  $(S, \preceq)$  has at least one minimal element.

**Topological sorting:** Output minimal element one by one, that is, get compatible total ordering from small to large

**Example:**

Find a compatible total ordering for the poset  $(\{1, 2, 4, 5, 12, 20\}, |)$ .

**Sol :**



$1 \prec 2 \prec 5 \prec 4 \prec 12 \prec 20$

The order of 2 and 5 can be swapped,  
as can 12 and 20



