

# B-Trees

# B-tree( a special type of balanced multiway search tree)

- B-tree of order  $n$  is a **balanced** multiway search tree of order  $n$  in which each **non root node** contains atleast  $(n-1)/2$  keys.
- It is a balanced tree: it means all leaves are at the same level.
- **Root node** can contain less than  $(n-1)/2$  keys.
- If we are creating a B-tree of order 7 then:
- *Maximum no. of keys a node can have is 6*
- *Minimum no. of keys a node can have is 3 **except root***
- All the leaves of the tree will be at same level

# Operations on B-Tree

- Insertion operation
- Search operation
- Deletion operation

# Building B-tree (Search and Insert)

**How to build a B-Tree:** Algorithm to insert elements....(**key**)

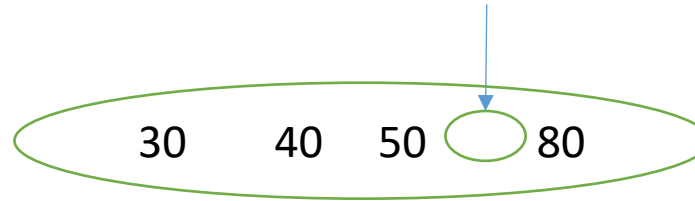
1. Start searching the B-tree in similar fashion as MST and locate the leaf into which the **key** should be inserted
  2. If located leaf is not full, insert the **key** in proper position in that node
- else**
1. If root node, create two new nodes: split the contents of old node as left and right node
  2.  $n/2$  lower keys go into the left node,  $n/2$  larger keys go into the right node and middle key will remain with the root node
- else***

## Cont..

1. Create a new node and split the contents of old node as left and right node
2.  $n/2$  lower keys go into the left node,  $n/2$  larger keys go into the right node
3. The **separator key** or **the middle key** goes up to the **father node** (if father node not full)
4. If father node full, then father node is broken in the same way

# Build Btree of order 5

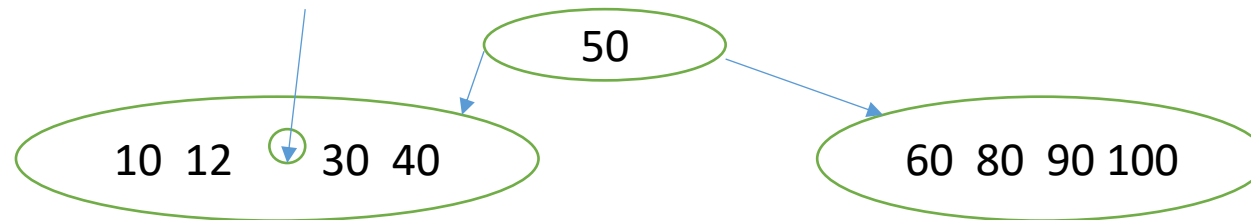
- 30, 40, 50, 80



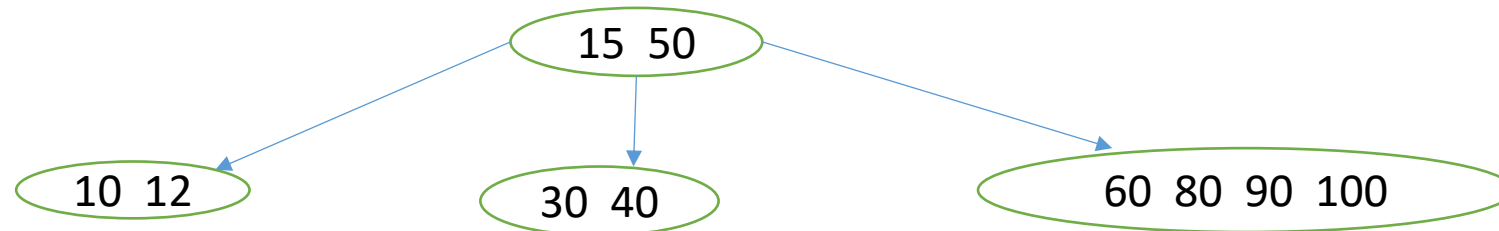
- 60



- 10, 90, 100, 12

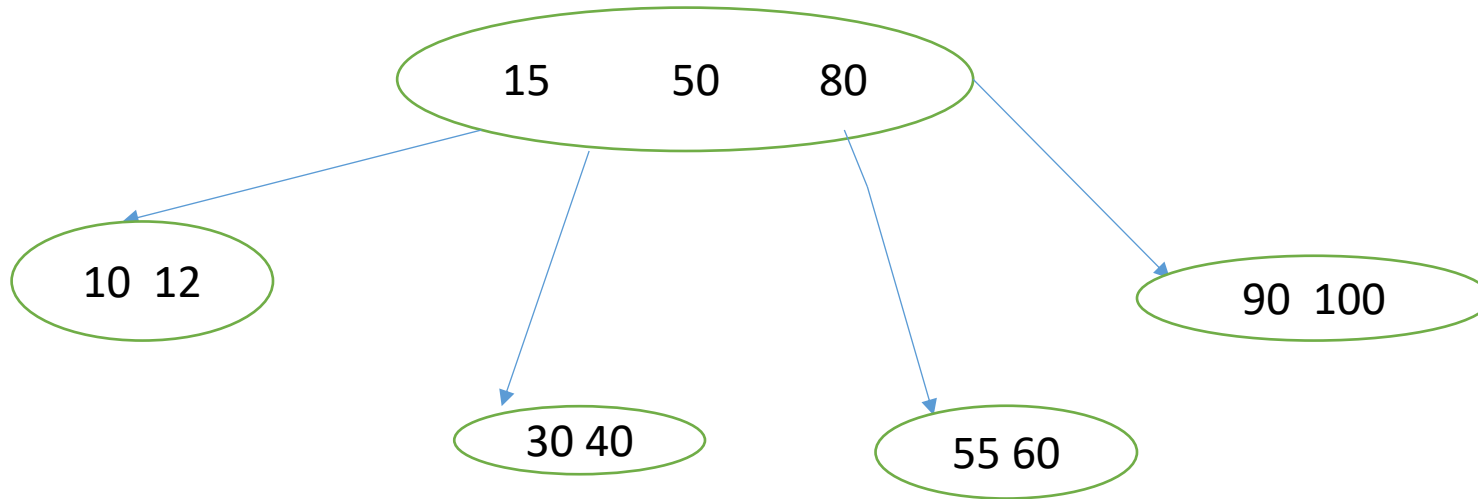


- 15



# Building B-Tree

- Add 55



# Example

- 15, 30, 45, 1, 3, 7, 90, 105, 34, 37, 42, 48, 54, 69, 60, 74, 100, 84, 89, 9, 22, 24



- // defining the structure of the node

```
struct btree_node {  
    int data_item[n-1];  
    int counter;  
    struct btree_node *link[n];  
    struct b-tree_node *father;  
};
```

```
struct btree_node *root_node= NULL;
```

- // creating a Node
- **struct** btree\_node \*create\_node(**int** data\_item){
- **struct** btree\_node \*new\_node;
- new\_node = (**struct** btree\_node \*)malloc(**sizeof**(**struct** btree\_node));
- new\_node -> data\_item[0] = data\_item;
- new\_node -> counter = 1;
- new\_node -> link[0] = NULL;
- new\_node -> link[1] = NULL;
- **return** new\_node;
- }

# Insertion procedure

- **Find the appropriate node:** call to a recursive search function where we pass the address of the root node, it searches the node and returns an integer value “**position**”
- **Position** gives the index of either the **subtree** or the **data\_item** found
- **If the node is full then:**
- **Create two more nodes**
- **First  $n-1/2$  items will go in the first node, middle will remain in the original node and rest  $n-1/2$  will go to the second node**
- Lots of pointers needs to be changed and set.

# Detailed algorithm to insert data items in a B-tree

1. **Declare the structure of B-tree node** (taking some order  $m$ )
2. Define constant  $m$
3. **Function to create a node:** initialize all address fields as NULL and return address of the node
4. **Create root-node** (can be kept as global)
5. **Function to add value in an existing node** (assuming node address is known and no of elements are less than  $m-1$ ).
6. **Function to search in B-tree:** simple node search, if not found, make recursive call to search in the child node

# Cont.

- **Insert the data in the node once we found the place:**

Three scenarios may occur:

**1. The node contains less than  $m-1$  elements :** call `node_insert`

**2. Node contains  $m-1$  items:**

**a. Node is a root node:**

- **create two more nodes**
- **Transfer left  $(m-1)/2$  data items to one node called left node**
- **Transfer rest  $(m-1)/2$  data items to another node called right node**
- **Adjust the pointers of root node accordingly**

- **If it is not the root node:**

- 1. Check the father node, if father node not full:**

- create two more nodes
- Transfer left  $(m-1)/2$  data items to one node called left node
- Transfer rest  $(m-1)/2$  data items to another node called right node
- Move middle data key to father node and adjust the pointers

- 2. If father node full:**

- Check father of father and repeat the same process

# Deletion in B-Tree

Two methods:

- 1. Just mark the key/record deleted**
- 2. Actually delete the key/record**

We have already examined the first method. It works in the same way as it does in top down multiway search tree.

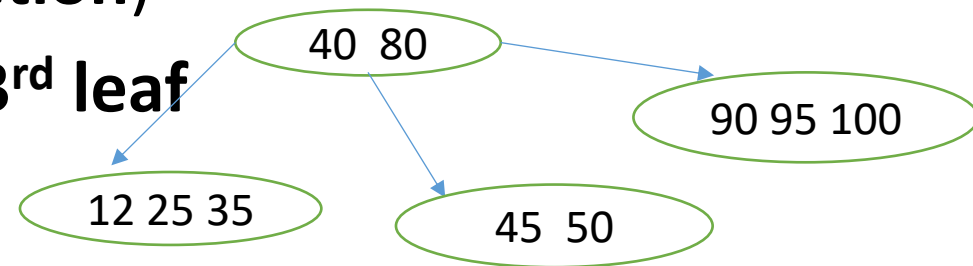
**We will examine actual deletion in B-Tree**

# Deleting a key in B-tree (Example 1)

- While deleting a key from B-tree, we must maintain the properties of B-tree i.e. every node has at least  $(n-1)/2$  keys except root and tree is balanced
- Different cases: (example order 5)
  1. Deletion from a leaf:

**Case 1:** If leaf contains *more than*  $(n-1)/2$  keys, simply delete the key and compact the node (**Simple deletion**)

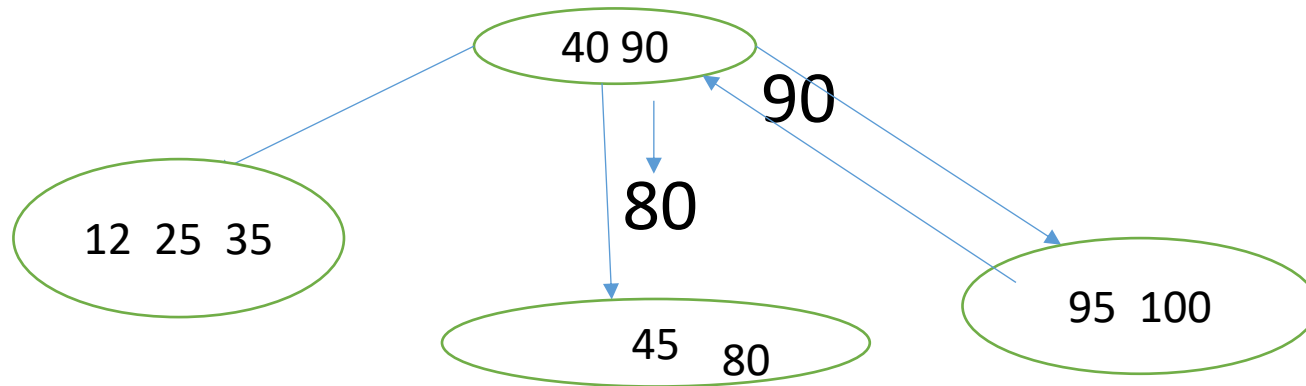
Case 1: means deleting from 1<sup>st</sup> or 3<sup>rd</sup> leaf





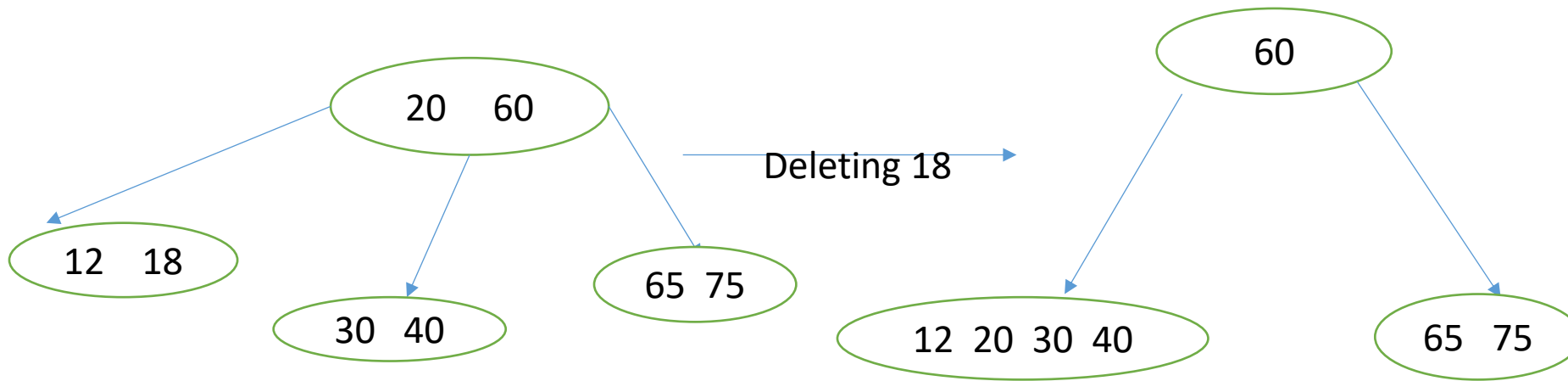
# Deleting from B-Tree leaf

- **Case 2:** If leaf has  $(n-1)/2$  keys, then examine the node's younger brother or elder brother and if anyone contains **more than**  $(n-1)/2$  keys, move the extra key from brother to father and from father to this node (**taking one key from father and father key is replaced by brother**)
- *Deleting 50*



# Deleting from B-Tree leaf

- **Case 3:** If both the brothers have minimum number of keys, then concatenate the node with one of its brother i.e. **merge the two nodes taking one key from father node**

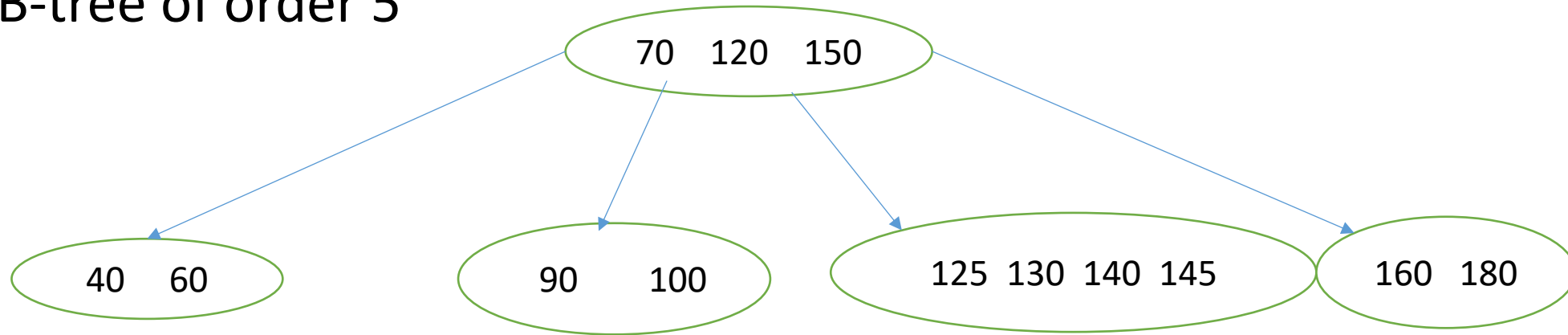


# Deleting a key in B-tree, continued..

**Case 4:** But, if father node contains only minimum number of keys and it does not have any extra key to spare then in that case it can borrow from its father and brother

# Example 2

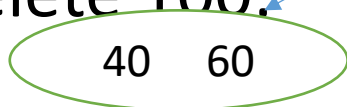
- B-tree of order 5



- Delete 130: simply delete

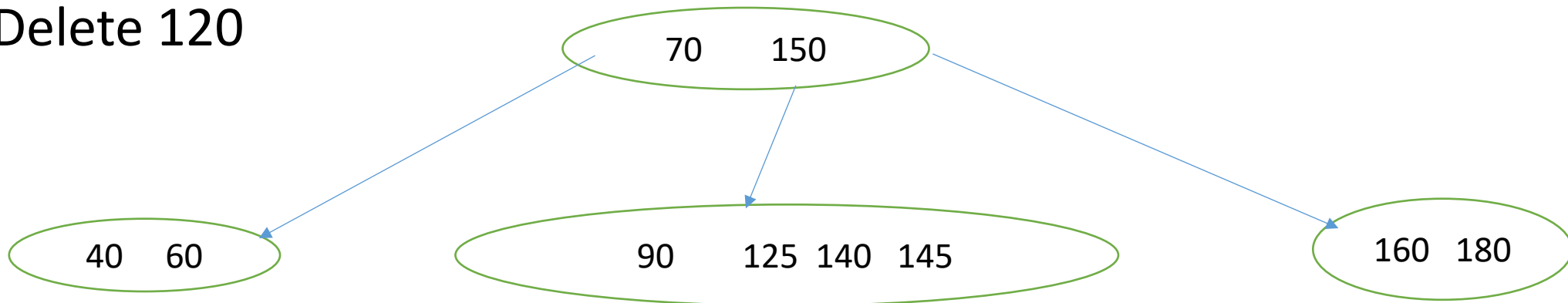


- Delete 100:

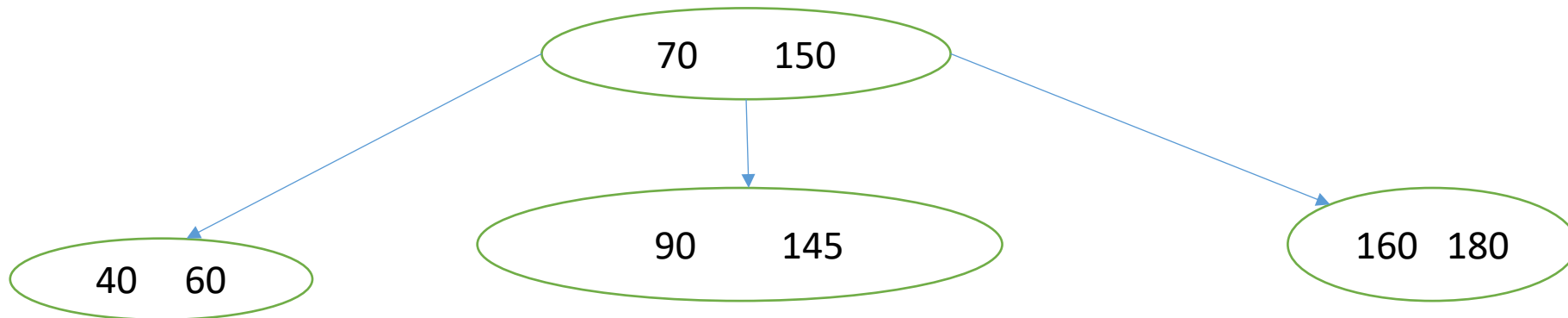


# Cont...

- Delete 120

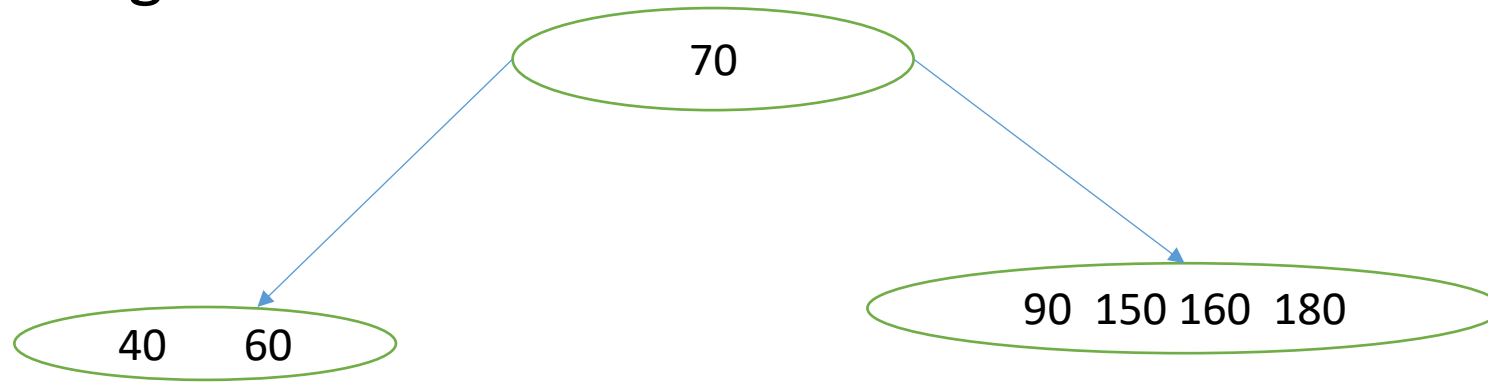


- After deleting 125 and 140, we will have



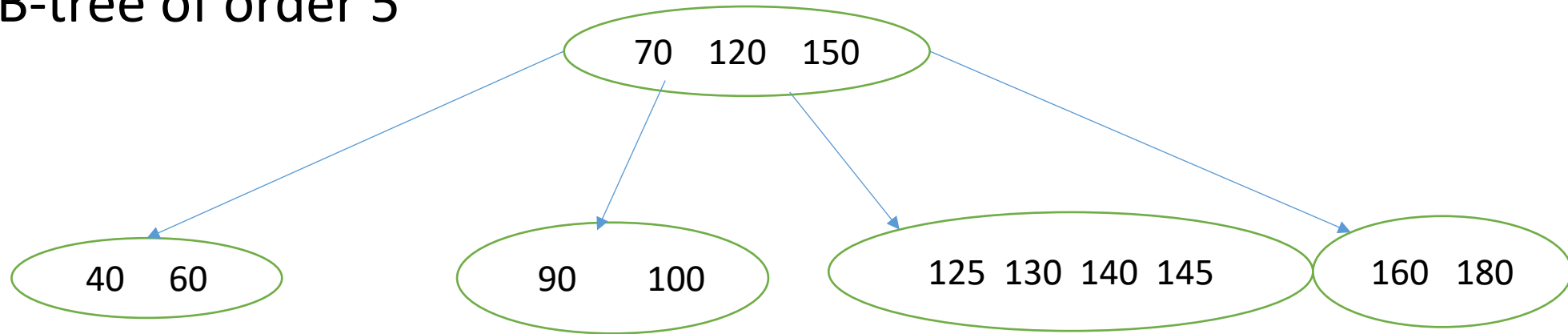
# Cont..

- After deleting 145:

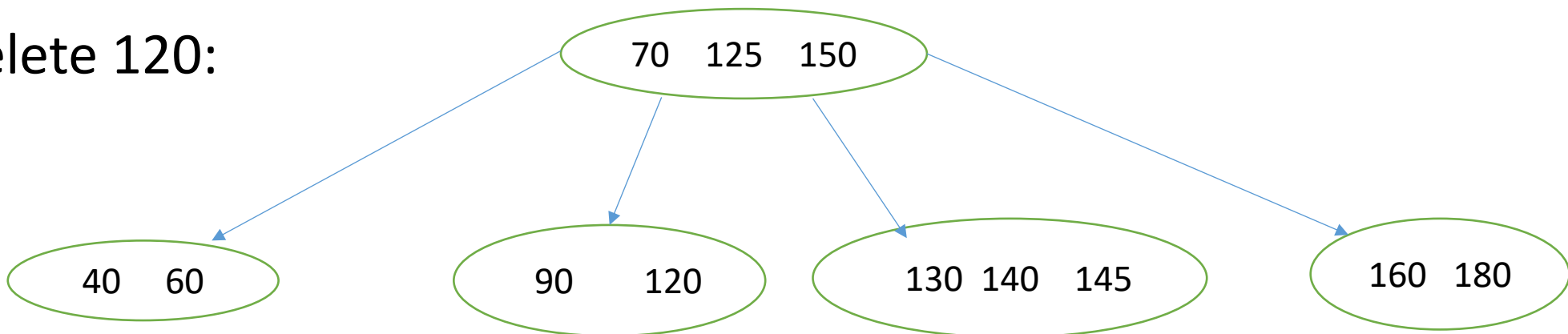


# Example 3

- B-tree of order 5

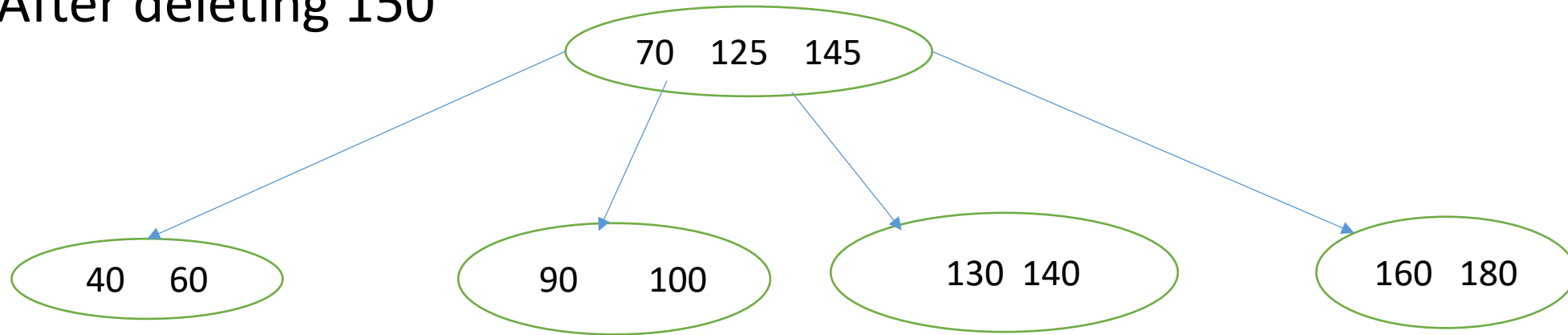


- Delete 120:

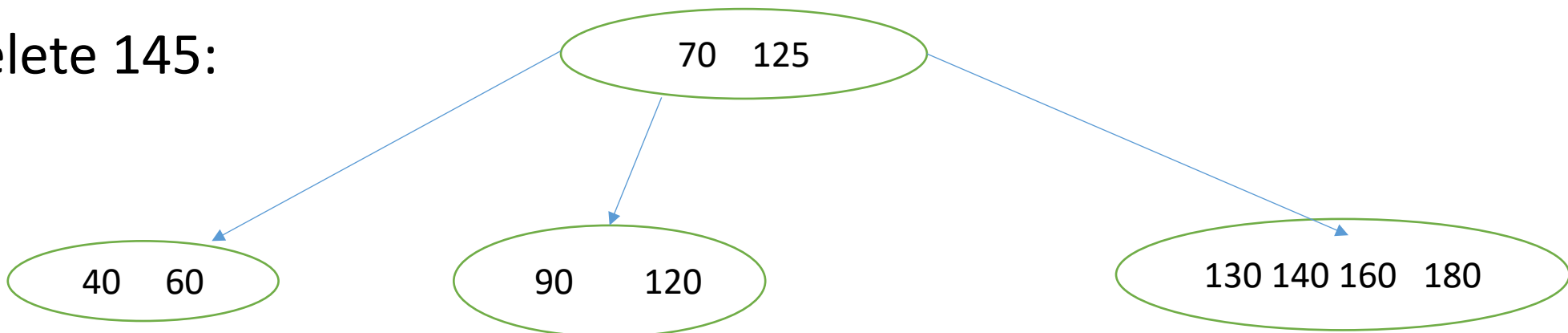


# Cont...

- After deleting 150



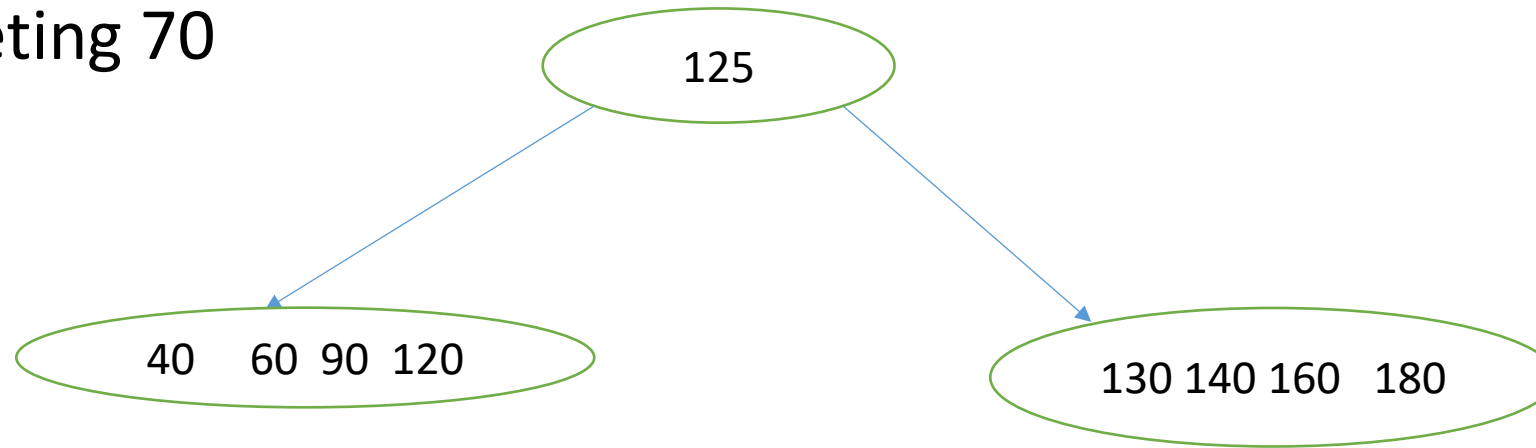
- Delete 145:





## Example 3 cont...

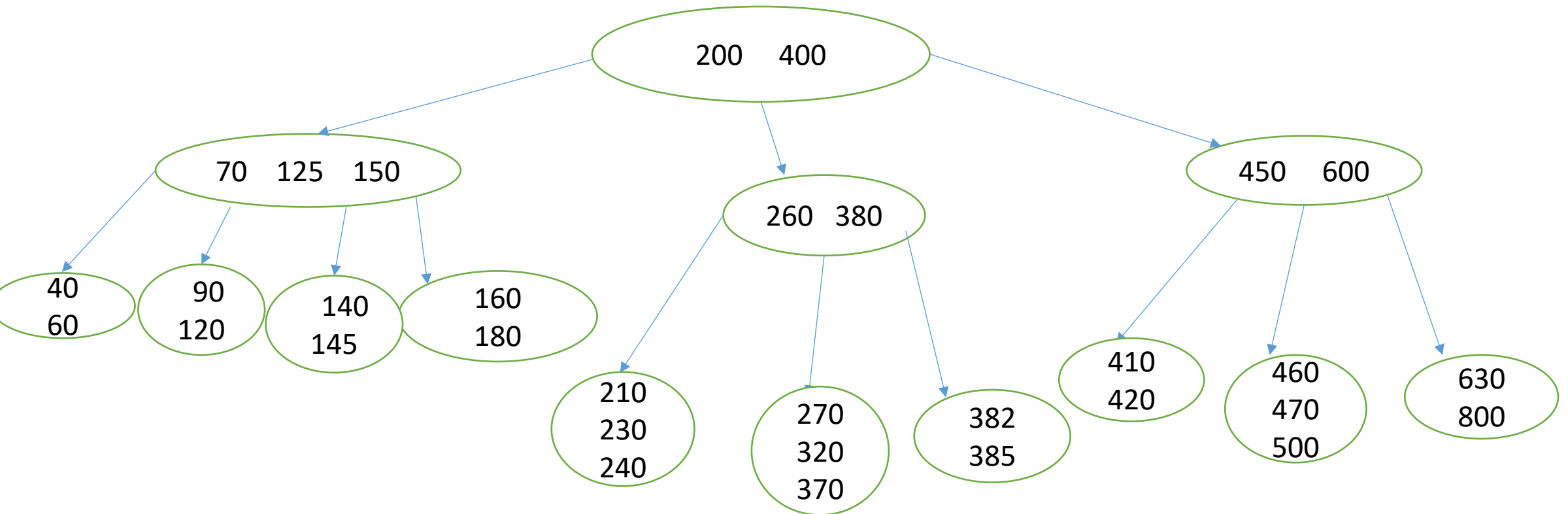
- After deleting 70



- Delete 125: Delete 130: delete 140: delete 90

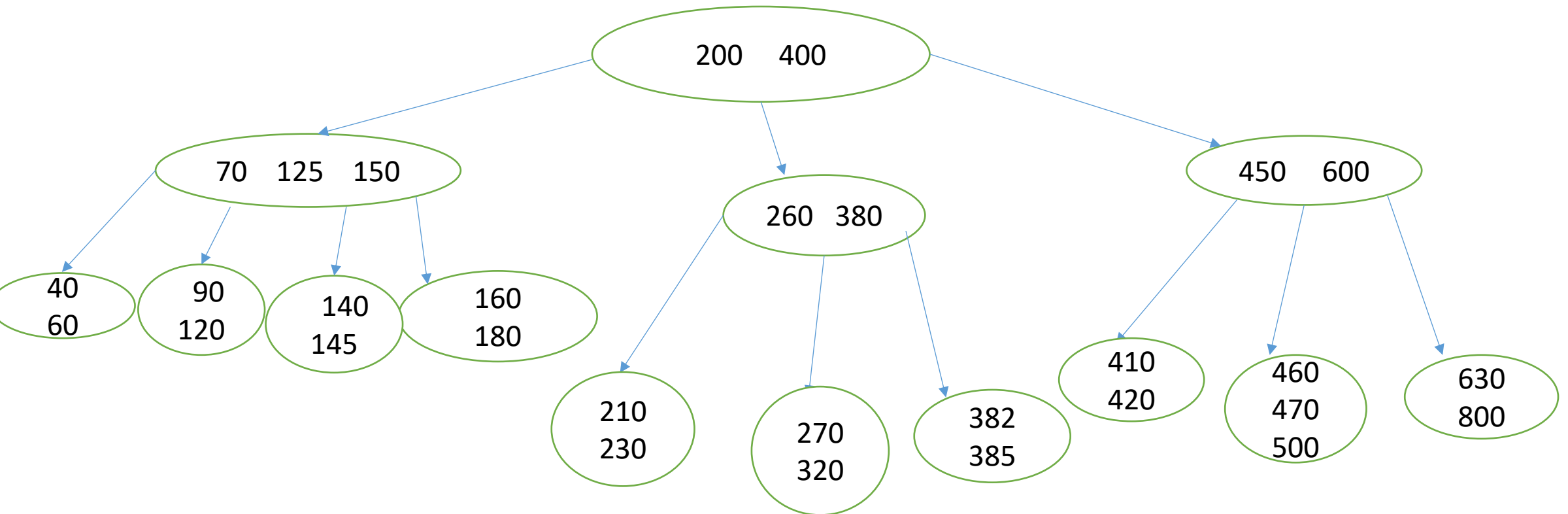
# Example 4

- B-tree of order 5 and height 2



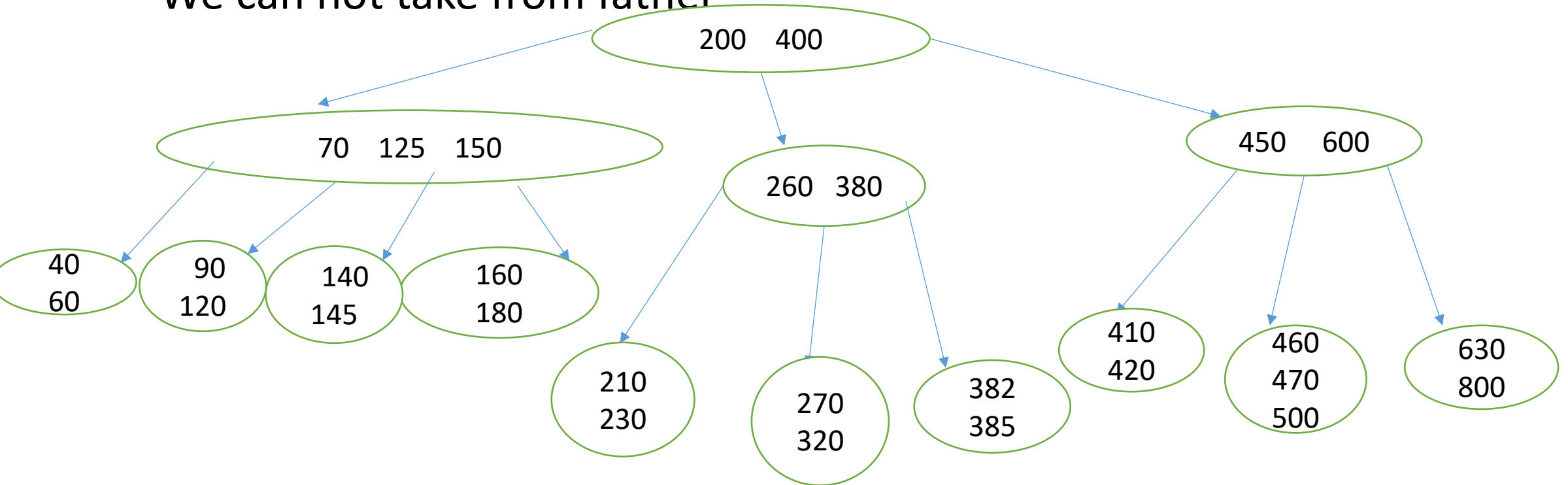
# Cont..

- After deleting 240 and 370



# Example

- Deleting 260 (the operations will be same for any key in this branch)
- We can not take from father



# Cont...

Deleting a key from non leaf node:

- Replace it by its immediate successor and it will be in the leaf node
- So, deletion from the non-leaf node is equivalent to deleting from the leaf node

# Applications of B-tree

- B-trees are used in databases to store indexes that allow for efficient searching and retrieval of data.
- B-trees are used in file systems to organize and store files efficiently
- Hard drives, flash memory, and CD-ROMs are examples of storage devices that use B-Trees to avoid sluggish, clumsy data access.
- Multilevel indexing is possible with the indexing feature.