## CHAPTER I

## Tamano's Theorem

**Lemma 1.1** The product of a paracompact space with a compact Hausdorff space is paracompact.

**Proof.** Let X be paracompact, Y compact, and let  $\mathcal{U}$  be an open cover of  $X \times Y$ . For fixed  $x \in X$ , as  $\{x\} \times Y$  is compact in  $X \times Y$ , a finite number of elements of  $\mathcal{U}$ , say  $U^x_{\alpha_1}, \ldots, U^x_{\alpha_{n_x}}$ , cover  $\{x\} \times Y$ . Pick an open nhood  $V_x$  of x in X such that  $V_x \times Y \subseteq \bigcup_{i=1}^{n_x} U^x_{\alpha_i}$ .

The sets  $V_x$ , as x ranges through X, form an open cover of X. By the paracompactness of X, let  $\mathcal{V}$  be an open locally finite refinement of  $V_x$ . For each  $V \in \mathcal{V}, V \subseteq V_x$  for some  $V_x$ . Let

$$\mathcal{W}_V = \left\{ (V \times Y) \cap U_{\alpha_i}^x : 1 \le i \le n_x \right\},\,$$

and let

$$\mathcal{R} = \bigcup \{ \mathcal{W}_V : V \in \mathcal{V} \}.$$

Since  $W_V \subseteq \mathcal{U}$  for each  $V \in \mathcal{V}$ ,  $\mathcal{R}$  is a refinement of  $\mathcal{U}$ . For each  $x \in X$ ,  $W_V$  is a cover for  $\{x\} \times Y$  for some  $V \in \mathcal{V}$ . Thus,  $\mathcal{R}$  is a cover of  $X \times Y$ . Lastly,  $\mathcal{R}$  is locally finite because given  $(x,y) \in X \times Y$ , there is a neighborhood  $U_x$  of x which meets only finitely many V's in  $\mathcal{V}$  because  $\mathcal{V}$  is locally finite. Then the neighborhood  $U_x \times Y$  of (x,y) can then only meet only finitely many sets of  $\mathcal{R}$ . Hence,  $X \times Y$  is paracompact.

## Lemma 1.2 Every paracompact space is normal.

**Proof.** We first establish regularity. Suppose A is a closed set in a paracompact space X and  $x \notin A$ . For each  $y \in A$ , as X is Hausdorff, we can find an open set  $V_y$  containing y such that  $x \notin \overline{V_y}$ . Then the sets  $V_y, y \in A$ , together with the set  $X \setminus A$ , form an open cover of X. Let  $\mathcal{W}$  be an open locally finite refinement and let

$$V = \bigcup \{ W \in \mathcal{W} : W \cap A \neq \emptyset \}.$$

Then V is an open set containing A. Now,

$$\overline{V} = \bigcup \left\{ \overline{W} \in \mathcal{W} : W \cap A \neq \emptyset \right\}$$

holds because:

 $\supseteq$ : If  $z \in \bigcup \{\overline{W} \in \mathcal{W} : W \cap A \neq \emptyset\}$ , then  $z \in \overline{W}$  for some  $W \in \mathcal{W}$ . Since

 $W \subseteq V$ , we have  $z \in \overline{W} \subseteq \overline{V}$ .

 $\subseteq$ : If  $z \in \overline{V}$ , then there exists a net  $\{w_{\alpha}\}\subseteq V=\bigcup\{W\in \mathcal{W}:W\cap A\neq\emptyset\}$  converging to z. Since  $\{W\in \mathcal{W}:W\cap A\neq\emptyset\}$  is locally finite, the tail of  $\{w_{\alpha}\}$  must be contained in finitely many W's, say  $\{W_1,\ldots W_n\}$ . By pigeon-hole principle, there exists some  $W_k\in\{W_1,\ldots W_n\}$  such that  $W_k$  contains infinitely many elements of the net  $\{w_{\alpha}\}$ . Thus,  $z\in\overline{W_k}$ .

Since  $x \notin \overline{V_y}$  for each  $y \in A$  and  $\overline{W} \subseteq \overline{V_y}$  for each  $W \in \{W \in W : W \cap A \neq \emptyset\}$ ,  $x \notin \overline{W}$  for each  $W \in \{W \in W : W \cap A \neq \emptyset\}$ . So  $x \notin \overline{V}$ . Regularity is established.

To establish normality, suppose A and B are disjoint closed sets in X. For each  $y \in A$ , by regularity, we can find an open set  $V_y$  such that  $y \in V_y$  and  $\overline{V_y} \cap B = \emptyset$ . Then proceed exactly as before, we can produce an open set V such that  $A \subseteq V$  and  $\overline{V} \cap B = \emptyset$ . Thus X is normal.

**Theorem 1.3** Let X be a Hausdorff space. Then  $X \times \beta X$  is normal iff X is paracompact.

**Proof of**  $\Leftarrow$ : Since X is paracompact and  $\beta X$  is compact  $T_2$ , by **Lemma 1.1**,  $X \times \beta X$  is paracompact. By **Lemma 1.2**, paracompact implies normal and so  $X \times \beta X$  is normal.

**Proof of**  $\Rightarrow$ : We will prove a slighty stronger version that for any compactification cX of X, if  $X \times cX$  is normal, then X is paracompact.

Let cX be a compactification of X such that  $X \times cX$  is normal. Let  $\{U_a : a \in A\}$  be an open cover of X. We will show that it has an open locally finite refinement.

X is a subspace of cX, so for each  $a \in A$ , there exists  $V_a$  open in cX such that  $V_a \cap X = U_a$ . Let  $F = cX \setminus \bigcup \{V_a : a \in A\}$ . We can assume that F is nonempty. Since if  $F = \emptyset$ , then  $cX = \bigcup \{V_a : a \in A\}$ , and since cX is compact, we can find a finite subcover  $\{V_{a_i} : 1 \le i \le n\} \subseteq \{V_a : a \in A\}$ . Then,  $\{U_{a_i} : 1 \le i \le n\}$  is an open locally finite refinement of  $\{U_a : a \in A\}$ .

Let  $\triangle = \{(x,x) : x \in X\}$ . Both  $X \times F$  and  $\triangle$  are closed in  $X \times cX$ . Since  $X \times cX$  is normal, by Urysohn's Lemma, there is a continuous function  $f \colon X \times cX \to [0,1]$  with  $f[\triangle] \subseteq \{0\}$  and  $f[X \times F] \subseteq \{1\}$ .

Define  $d: X \times X \to \mathbb{R}$  such that

$$d(x,y) = \sup \{ |f(x,z) - f(y,z)| : z \in X \}$$

for all  $(x,y) \in X \times X$ . Now, for all  $x,y,w \in X$ , we have:

- 1.  $d(x,x) = \sup_{z \in X} |f(x,z) f(x,z)| = 0$
- 2.  $d(x,y) = \sup_{z \in X} |f(x,z) f(y,z)| = \sup_{z \in X} |f(y,z) f(x,z)| = d(y,x)$
- 3.  $d(x, w) = \sup_{z \in X} |f(x, z) f(w, z)|$   $= \sup_{z \in X} |f(x, z) f(y, z) + f(y, z) f(w, z)|$   $\leq \sup_{z \in X} |f(x, z) f(y, z)| + \sup_{z \in X} |f(y, z) f(w, z)|$  = d(x, y) + d(y, w)

Thus d is a pseudometric on X. Denote  $\tau_d(X)$  to be the set of open sets in the topology induced by the pseudometric d.

For each  $B(x_0, \epsilon) \in \tau_d$ , pick any point  $x' \in B(x_0, \epsilon)$ . Let  $\epsilon' = \epsilon - d(x_0, x')$ . The set  $\Gamma = \{G \times H \subseteq X \times cX : G \times H \text{ is open in } X \times cX, x' \in G, \text{ and } diam (f[G \times H]) < \epsilon'\}$  is an open cover of  $\{x'\} \times cX$ . To show that, pick any point  $(x', y) \in \{x'\} \times cX$ . Let  $c = f(x', y) \in [0, 1]$ . Since f is continuous and the set  $E = (c - \frac{\epsilon'}{2}, c + \frac{\epsilon'}{2}) \cap [0, 1]$  is open in  $[0, 1], f^{\leftarrow}[E]$  must be open in  $X \times cX$ . Since  $f^{\leftarrow}[E]$  is an open set that contains (x', y) in  $X \times cX$ , there exist  $G_b \in \tau(X)$  containing x', and  $H_b \in \tau(cX)$  containing y such that  $G_b \times H_b \subseteq f^{\leftarrow}[E]$ . Thus  $G_b \times H_b$  is an element of  $\Gamma$ . Since (x', y) was arbitrarily chosen from  $\{x'\} \times cX$ , we conclude that  $\Gamma$  is an open cover of  $\{x'\} \times cX$ .

Γ being an open cover of  $\{x'\} \times cX$  means that  $\{H_b : b \in B\}$  is an open cover of cX. Since cX is compact, there is an finite subcover  $\{H_i : 1 \le i \le n\} \subseteq \{H_b : b \in B\}$ . Corresponding to  $\{H_i : 1 \le i \le n\}$  is the set  $\{G_i : 1 \le i \le n\}$ . Where for each  $i \in \{1 ... n\}$ , we have  $f[G_i \times H_i] \subseteq (c_i - \frac{\epsilon'}{2}, c_i + \frac{\epsilon'}{2})$  for some  $c_i \in (0,1)$ . Pick any  $z \in cX = \bigcup \{H_i : 1 \le i \le n\}$ . For some  $1 \le k \le n$ ,  $z \in H_k$ . Then  $f[G_k \times \{z\}] \subseteq f[G_k \times H_k] \subseteq (c_k - \frac{\epsilon'}{2}, c_k + \frac{\epsilon'}{2})$ .

Let 
$$S = \bigcap \{G_i : 1 \le i \le n\} \subseteq G_k$$
. Then

$$f[S \times \{z\}] \subseteq f[G_k \times \{z\}] \subseteq (c_k - \frac{\epsilon'}{2}, c_k + \frac{\epsilon'}{2}).$$

For all  $x, y \in S$ ,  $|f(x, z) - f(y, z)| < \epsilon'$ , and because this inequality holds true for all  $z \in cX$ ,  $d(x, y) = \sup_{z \in cX} |f(x, z) - f(y, z)| \le \epsilon'$ . Since  $x' \in G_b$  for all  $b \in B, x' \in \bigcap \{G_i : 1 \le i \le n\} = S$ . Combining with the fact that  $d(x, y) \le \epsilon'$  for all  $x, y \in S$ , we have  $x' \in S \subseteq B(x', \epsilon') \subseteq B(x_0, \epsilon)$ . Note that  $S \in \tau(X)$  because  $G_i \in \tau(X)$  for each  $i \in \{1 \dots n\}$ .

Thus, for each  $x' \in B(x_0, \epsilon)$ , we can find  $S \in \tau(X)$  such that  $x' \in S \subseteq B(x_0, \epsilon)$ . Since  $B(x_0, \epsilon)$  is an arbitrarily chosen set in  $\tau_d(X)$ , we have  $B(x_0, \epsilon) \in \tau_d(X) \Rightarrow B(x_0, \epsilon) \in \tau(X)$ . Hence,  $\tau_d(X) \subseteq \tau(X)$ .

Stone's Theorem states that pseudo-metrizable implies paracompact. So X is paracompact with respect to the pseudo-metrizable topology  $\tau_d$ . For an open cover  $\{B(x, \frac{9}{10}) : x \in X\}$ , there is an open locally finite refinement,  $\{W_t : t \in T\}$ . Since  $\tau_d(X) \subseteq \tau(X)$ ,  $\{W_t : t \in T\} \subseteq \tau(X)$ .

Pick any  $x_0 \in X$  and  $x' \in B(x_0, \frac{9}{10})$ . We have  $f(x_0, x') = |f(x_0, x') - 0| = |f(x_0, x') - f(x', x')| \le \sup_{z \in X} |f(x_0, z) - f(x_0, z)| = d(x_0, x') < \frac{9}{10}$ . So,  $f\left[\{x_0\} \times B(x_0, \frac{9}{10})\right] \subseteq [0, \frac{9}{10})$ . By continuity,  $f\left[\{x_0\} \times \overline{B(x_0, \frac{9}{10})}^{cX}\right] \subseteq [0, \frac{9}{10}]$ . So,  $\overline{B(x_0, \frac{9}{10})}^{cX} \cap F = \emptyset$  because  $f\left[X \times F\right] \subseteq \{1\}$ .

We now have  $\{W_t: t \in T\}$  refines  $\{B(x_0, \frac{9}{10}): x_0 \in X\}$  and  $\overline{B(x_0, \frac{9}{10})}^{cX} \cap F = \emptyset$  for all  $x_0 \in X$ . These give us  $\overline{W_t}^{cX} \cap F = \emptyset$  for every  $t \in T$ . Then, for each  $t \in T$ ,  $\overline{W_t}^{cX} \subseteq cX \setminus F = \bigcup \{V_a: a \in A\}$ . Since  $\overline{W_t}^{cX}$  is compact in cX, there exists a finite subcover  $\{V_j^t: 1 \leq j \leq m_t\} \subseteq \{V_a: a \in A\}$  such that  $\overline{W_t}^{cX} \subseteq \bigcup \{V_j^t: 1 \leq j \leq m\}$ . We have:

$$X \cap \overline{W_t}^{cX} \subseteq X \cap (\bigcup \left\{V_j^t : 1 \leq j \leq m\right\}).$$
 Thus,  $X \cap W_t \subseteq X \cap \overline{W_t}^{cX} \subseteq \bigcup \left\{U_j^t : 1 \leq j \leq m\right\}.$ 

The set  $\{W_t \cap U_j^t : t \in T, 1 \leq j \leq m_t\} \subseteq \tau(X)$  is the desired locally finite open cover of X which refines  $\{U_a : a \in A\}$ . Hence X is paracompact.