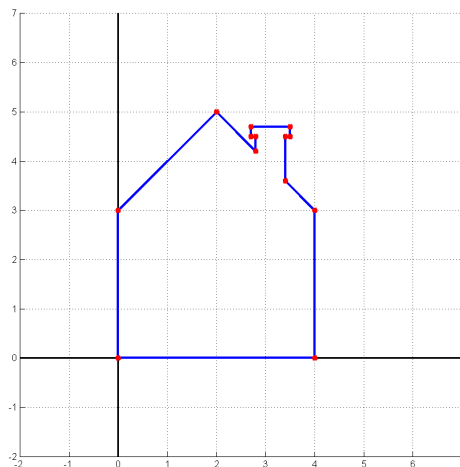


## Project 1: Linear and Affine Transformations

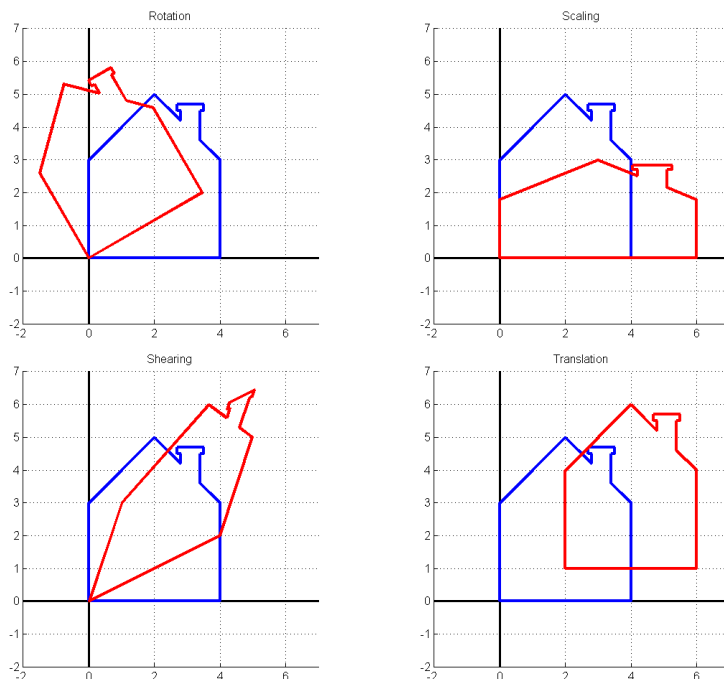
1. In computer graphics (and mechanics!), rotation, scaling, and shearing of an object can all be achieved through a linear transformation. However, we will soon show that translation of an object cannot be represented with a linear transformation, but can be with an affine transformation.

(a) Let  $L : \mathbb{R}^n \mapsto \mathbb{R}^m$  be a linear transformation. Show that  $L(\vec{0}) = \vec{0}$ .

(b) Consider 2D objects (i.e.  $m = n = 2$ ), like the house below.



We see that, given its vertices (which we represent with vectors in  $\mathbb{R}^2$ ), we can draw an object by “connecting the dots,” and that we can rotate, scale, and shear the object about the origin by applying an appropriate linear transformation  $L$  to all the vertices.



From part (a), explain why a translation of an object cannot be represented with a linear map.

(c) While we can apply  $L$  to one vertex  $\vec{x} \in \mathbb{R}^2$  at a time, we can do better. First, collect all  $p$  vertices into a matrix  $X \in \mathbb{R}^{2 \times p}$ . In the house example above, there are  $p = 14$  vertices and

$$X = \begin{bmatrix} 0.0 & 4.0 & 4.0 & 3.4 & 3.4 & 3.5 & 3.5 & 2.7 & 2.7 & 2.8 & 2.8 & 2.0 & 0.0 & 0.0 \\ 0.0 & 0.0 & 3.0 & 3.6 & 4.5 & 4.5 & 4.7 & 4.7 & 4.5 & 4.5 & 4.2 & 5.0 & 3.0 & 0.0 \end{bmatrix}.$$

We then find the matrix  $A \in \mathbb{R}^{2 \times 2}$  so that  $L(\vec{x}) = A\vec{x}$ . Due to partitioning, we can instead compute the matrix-matrix product  $X_{new} = AX$ .

Find  $A \in \mathbb{R}^{2 \times 2}$  that represents the (i) rotation, (ii) scaling, and (iii) shearing of the house on page 1. Verify your answers by running **project1.m**.

\* Rotation is done 30 degrees counterclockwise.

\* Horizontal scale of 1.5, vertical scale of 0.6.

\* For shearing, notice that  $\begin{bmatrix} 4.0 \\ 0.0 \end{bmatrix}$  is mapped to  $\begin{bmatrix} 4.0 \\ 2.0 \end{bmatrix}$ , and  $\begin{bmatrix} 0.0 \\ 3.0 \end{bmatrix}$  is mapped to  $\begin{bmatrix} 1.0 \\ 3.0 \end{bmatrix}$ .

Hint: Recall that the columns of  $A$  correspond to the actions of  $L$  on the (standard) basis vectors.

(d) Notice how a series of  $N$  rotations, scalings, and shearings (in any order) is just a composition of linear maps  $L_1, \dots, L_N$ , which is just the product of the corresponding matrices  $A_1, \dots, A_N \in \mathbb{R}^{2 \times 2}$ . Now, for  $X \in \mathbb{R}^{2 \times p}$ , we can compute  $X_{new}$  in two different ways:

\*  $X_{new} = A_N(\dots A_2(A_1 X) \dots)$

\*  $X_{new} = (A_N \dots A_2 A_1) X$

If  $N$  and  $p$  are large, which is more efficient? Explain by computing the cost involved in each.

2. We say that  $T : \mathbb{R}^n \mapsto \mathbb{R}^m$  is an affine transformation if  $T(\vec{x}) = A\vec{x} + \vec{b}$ ,  $\forall \vec{x} \in \mathbb{R}^n$  for some  $A \in \mathbb{R}^{m \times n}$  and  $\vec{b} \in \mathbb{R}^m$ . (Note, for  $m = n = 1$ , how we used to call  $A$  the slope and  $\vec{b}$  the  $y$ -intercept in algebra.)

(a) Again, consider 2D. Show that a translation by  $h$  units to the right and  $k$  units to the above can be represented with an affine map  $T : \mathbb{R}^2 \mapsto \mathbb{R}^2$  by determining what  $A$  and  $\vec{b}$  are.

(b) Again, we don't want to apply  $T$  to one vertex  $\vec{x}$  at a time, but to all the vertices  $X$  at once. For translation, we consider "homogeneous coordinates," whereby we add a third coordinate to each  $\vec{x}$  and set it to 1. For the house example, (with subscript  $H$  for homogeneous)

$$X_H = \begin{bmatrix} 0.0 & 4.0 & 4.0 & 3.4 & 3.4 & 3.5 & 3.5 & 2.7 & 2.7 & 2.8 & 2.8 & 2.0 & 0.0 & 0.0 \\ 0.0 & 0.0 & 3.0 & 3.6 & 4.5 & 4.5 & 4.7 & 4.7 & 4.5 & 4.5 & 4.2 & 5.0 & 3.0 & 0.0 \\ 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 & 1.0 \end{bmatrix}.$$

Verify that computing  $X_{H,new} = A_H X_H \equiv \left[ \begin{array}{c|c} A & \vec{b} \\ \hline \vec{0}^T & 1 \end{array} \right] X_H$  and reading the first two rows of  $X_{H,new}$  is equivalent to applying  $T$  to one  $\vec{x}$  at a time. Note that  $A_H \in \mathbb{R}^{3 \times 3}$ .

(c) Find  $A_H \in \mathbb{R}^{3 \times 3}$  that represents the translation of the house on page 1. Note that the house has been moved 2 units to the right, 1 unit to the above. Verify your answer by running **project1.m**.

(d) Explain how we can represent rotations, scalings, and shearings with a  $3 \times 3$  matrix instead, so that we can apply a series of  $N$  rotations, scalings, shearings, and translations (in any order) as a product of matrices  $A_{H,1}, \dots, A_{H,N} \in \mathbb{R}^{3 \times 3}$ .

3. Think about how rotation, scaling, shearing, and translation of a 3D object can be done.