

Meltzer Hellrung LLC  
79 W Monroe St, Suite 1312  
Chicago, IL 60603

1763

70-2189/719

4/3/ 20 17

PAY TO THE  
ORDER OF

Department of Homeland Security \$ 2460. <sup>00</sup>/<sub>100</sub>  
Two thousand four hundred sixty and — <sup>00</sup>/<sub>100</sub> DOLLARS

PNC Bank

FOR FXCM - Turkovic - H/B Cap Rec

Melvin P. Heller <sup>MP</sup>

⑈001763⑈ ⑆071921891⑆ 4628633952⑈

# **MELTZER HELLRUNG** LLC

03/30/2017

U.S. Citizenship and Immigration Services  
Vermont Service Center  
ATTN: I-129 Regular CAP  
4 Lemnah Drive  
St. Albans, VT 05479-0001

**RE: I-129 Nonimmigrant Petition - Bachelor's Cap**  
**Petitioner: FXCM Global Services LLC**  
**Beneficiary: Jurkovic, Iva**  
**Occupation: Financial Analyst, Accounting**

Dear Sir or Madam:

Enclosed is the H-1B petition of FXCM Global Services LLC on behalf of Iva Jurkovic. The petition is accompanied by the following fees, forms, and documents:

- Form G-28, Notice of Appearance
- Form I-129, Petition for Nonimmigrant Worker with the following fees:
  - \$460 filing fee
  - \$500 fraud fee
  - \$1,500 ACWIA fee
- Form H Supplement to I-129
- Form H Data Collection to I-129
- Certified Labor Condition Application (LCA)
- Letter from Petitioner in support of this petition
- Supporting documentation
- Duplicate petition for the Kentucky Consular Center

We respectfully request favorable adjudication of the enclosed H-1B petition for Iva Jurkovic. Thank you for your time and consideration.

Sincerely,



Matthew Paul Hellrung  
Partner

Matthew B. Meltzer  
312-320-6686  
mmeltzer@meltzerhellrung.com

[www.meltzerhellrung.com](http://www.meltzerhellrung.com)

Matthew P. Hellrung  
312-340-9619  
mhellrung@meltzerhellrung.com



Notice of Entry of Appearance  
as Attorney or Accredited Representative

Department of Homeland Security

DHS  
Form G-28  
OMB No. 1615-0105  
Expires 03/31/2018

Part 1. Information About Attorney or  
Accredited Representative

1. USCIS ELIS Account Number (if any)

▶ N/A

Name and Address of Attorney or Accredited  
Representative

2.a. Family Name (Last Name) Hellrung  
2.b. Given Name (First Name) Matthew  
2.c. Middle Name Paul  
3.a. Street Number and Name 79 W. Monroe Street  
3.b. Apt. ☐ Ste. ☒ Flr. ☐ 1312  
3.c. City or Town Chicago  
3.d. State IL 3.e. ZIP Code 60603  
3.f. Province N/A  
3.g. Postal Code N/A  
3.h. Country United States  
4. Daytime Telephone Number (312) 340-9619  
5. Fax Number (217) 666-7619  
6. E-Mail Address (if any) mhellrung@meltzerhellrung.com  
7. Mobile Telephone Number (if any) N/A

Part 2. Notice of Appearance as Attorney or  
Accredited Representative

This appearance relates to immigration matters before  
(Select only one box):

1.a. ☒ USCIS

1.b. List the form numbers

I-129

2.a. ICE

2.b. List the specific matter in which appearance is entered

N/A

3.a. CBP

3.b. List the specific matter in which appearance is entered

N/A

I enter my appearance as attorney or accredited representative at  
the request of:

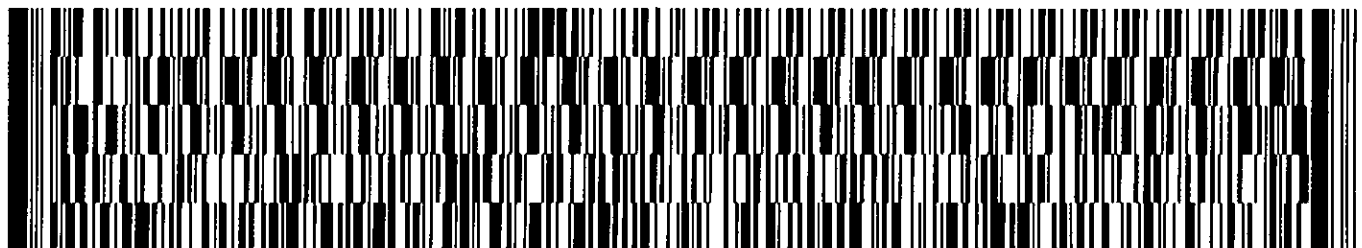
4. Select only one box:

☐ Applicant ☒ Petitioner ☐ Requestor

☐ Respondent (ICE, CBP)

Information About Applicant, Petitioner,  
Requestor, or Respondent

5.a. Family Name (Last Name) Napolitano  
5.b. Given Name (First Name) Amy  
5.c. Middle Name N/A  
6. Name of Company or Organization (if applicable)  
FXCM Global Services LLC



**Part 2. Notice of Appearance as Attorney or Accredited Representative (continued)**

**Information About Applicant, Petitioner, Requestor, or Respondent (continued)**

7. USCIS ELIS Account Number (if any)

▶ N/A

8. Alien Registration Number (A-Number) or Receipt Number

N/A

9. Daytime Telephone Number

(646) 432-2514

10. Mobile Telephone Number (if any)

N/A

11. E-Mail Address (if any)

anapolitano@fxcm.com

**Mailing Address of Applicant, Petitioner, Requestor, or Respondent**

**NOTE:** Provide the mailing address of the applicant, petitioner, requestor, or respondent. If the applicant, petitioner, requestor, or respondent has used a safe mailing address on the application, petition, or request being filed with this Form G-28, provide it in these spaces.

12.a. Street Number and Name 55 Water Street

12.b. Apt. ☐ Ste. ☐ Flr. ☒ 50

12.c. City or Town New York

12.d. State NY 12.e. ZIP Code 10041

12.f. Province N/A

12.g. Postal Code N/A

12.h. Country United States

**Part 3. Eligibility Information for Attorney or Accredited Representative**

Select all applicable items.

1.a. ☒ I am an attorney eligible to practice law in, and a member in good standing of, the bar of the highest courts of the following states, possessions, territories, commonwealths, or the District of Columbia. (If you need additional space, use Part 6.)

Licensing Authority

Illinois

1.b. Bar Number (if applicable)

6306146

1.c. Name of Law Firm

Meltzer Hellrung LLC

1.d. I (choose one) ☒ am not ☐ am

subject to any order of any court or administrative agency disbaring, suspending, enjoining, restraining, or otherwise restricting me in the practice of law. If you are subject to any orders, explain in the space below. (If you need additional space, use Part 6.)

N/A

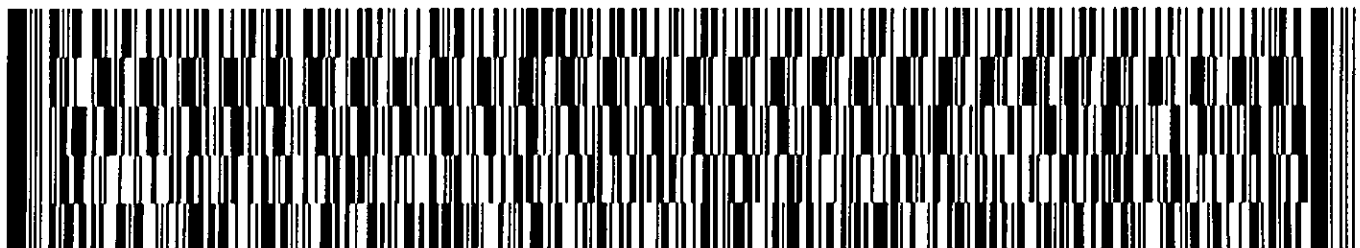
2.a. I am an accredited representative of the following qualified nonprofit religious, charitable, social service, or similar organization established in the United States, so recognized by the Department of Justice, Board of Immigration Appeals, in accordance with 8 CFR 292.2. Provide the name of the organization and the expiration date of accreditation.

2.b. Name of Recognized Organization

N/A

2.c. Date accreditation expires

(mm/dd/yyyy) ▶ N/A



**Part 3. Eligibility Information for Attorney or Accredited Representative (continued)**

3. I am associated with

N/A

the attorney or accredited representative of record who previously filed Form G-28 in this case, and my appearance as an attorney or accredited representative is at his or her request.

**NOTE:** If you select this item, also complete Item Numbers 1.a. - 1.b. or Item Numbers 2.a. - 2.c. in Part 3. (whichever is appropriate).

- 4.a. I am a law student or law graduate working under the direct supervision of the attorney or accredited representative of record on this form in accordance with the requirements in 8 CFR 292.1(a)(2)(iv).

- 4.b. Name of Law Student or Law Graduate

N/A

**Part 4. Applicant, Petitioner, Requestor, or Respondent Consent to Representation, Contact Information, and Signature**

**Consent to Representation and Release of Information**

1. I have requested the representation of and consented to being represented by the attorney or accredited representative named in Part 1. of this form. According to the Privacy Act of 1974 and DHS policy, I also consent to the disclosure to the named attorney or accredited representative of any record pertaining to me that appears in any system of records of USCIS, ICE or CBP.

When you (the applicant, petitioner, requestor, or respondent) are represented, DHS will send notices to both you and your attorney or accredited representative either through mail or electronic delivery.

DHS will also send the Form I-94, Arrival Departure Record, to you unless you select Item Number 2.a. in Part 4. All secure identity documents and Travel Documents will be sent to you (the applicant, petitioner, requestor, or respondent) unless you ask us to send those documents to your attorney of record or accredited representative.

If you do not want to receive original notices or secure identity documents directly, but would rather have such notices and documents sent to your attorney of record or accredited representative, please select all applicable boxes below:

- 2.a. ☒ I request DHS send any notice (including Form I-94) on an application, petition, or request to the business address of my attorney of record or accredited representative as listed in this form. I understand that I may change this election at any future date through written notice to DHS.
- 2.b. ☒ I request that DHS send any secure identity document, such as a Permanent Resident Card, Employment Authorization Document, or Travel Document, that I am approved to receive and authorized to possess, to the business address of my attorney of record or accredited representative as listed in this form. I consent to having my secure identity document sent to my attorney of record or accredited representative and understand that I may request, at any future date and through written notice to DHS, that DHS send any secure identity document to me directly.

- 3.a. Signature of Applicant, Petitioner, Requestor, or Respondent

*[Signature]*

- 3.b. Date of Signature (mm/dd/yyyy) ▶ 03/17/2017

**Part 5. Signature of Attorney or Accredited Representative**

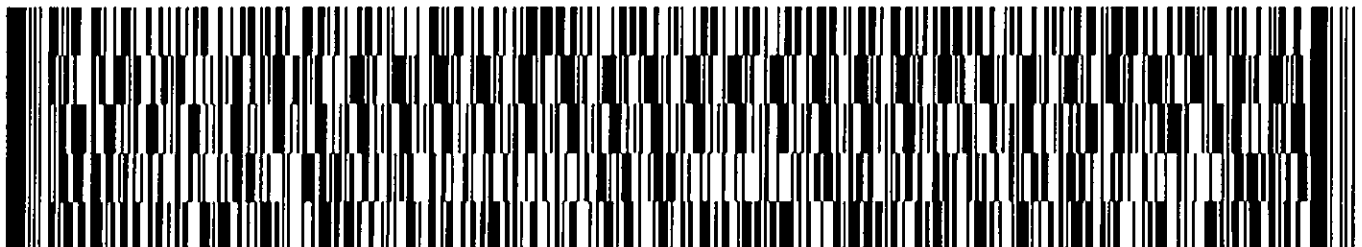
I have read and understand the regulations and conditions contained in 8 CFR 103.2 and 292 governing appearances and representation before the Department of Homeland Security. I declare under penalty of perjury under the laws of the United States that the information I have provided on this form is true and correct.

1. Signature of Attorney or Accredited Representative

*[Signature]*

2. Signature of Law Student or Law Graduate

3. Date of Signature (mm/dd/yyyy) ▶ 3-31-17



## Part 6. Additional Information

Use the space below to provide additional information pertaining to Part 3., Item Numbers 1.a. - 1.d.

N/A

Blank lined paper for writing.



# Petition for a Nonimmigrant Worker

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form I-129  
OMB No. 1615-0009  
Expires 12/31/2018

For USCIS Use Only	Receipt	Partial Approval (explain)	Action Block
Class: _____ No. of Workers: _____ Job Code: _____ Validity Dates: _____ From: _____ To: _____	<input type="checkbox"/> Classification Approved <input type="checkbox"/> Consulate/POE/PFI Notified At: _____ <input type="checkbox"/> Extension Granted <input type="checkbox"/> COS/Extension Granted		

► **START HERE - Type or print in black ink.**

## Part 1. Petitioner Information

If you are an individual filing this petition, complete Item Number 1. If you are a company or an organization filing this petition, complete Item Number 2.

### 1. Legal Name of Individual Petitioner

Family Name (Last Name)

N/A

Given Name (First Name)

N/A

Middle Name

N/A

### 2. Company or Organization Name

FXCM Global Services LLC

### 3. Mailing Address of Individual, Company or Organization

In Care Of Name

Amy Napolitano

Street Number and Name

55 Water Street

Apt. Ste. Flr. Number

☐ ☐ ☒ 50

City or Town

New York

State

NY

ZIP Code

10041

Province

N/A

Postal Code

N/A

Country

United States

### 4. Contact Information

Daytime Telephone Number

(646) 432-2514

Mobile Telephone Number

N/A

Email Address (if any)

anapolitano@fxcm.com

### 5. Other Information

Federal Employer Identification Number (FEIN)

► 30-0876326

Individual IRS Tax Number

► N / A

U.S. Social Security Number (if any)

► N / A

**Part 2. Information About This Petition (See instructions for fee information)**

1. Requested Nonimmigrant Classification (Write classification symbol): **H-1B**
2. Basis for Classification (select only one box):
- ☒ a. New employment.
- ☐ b. Continuation of previously approved employment without change with the same employer.
- ☐ c. Change in previously approved employment.
- ☐ d. New concurrent employment.
- ☐ e. Change of employer.
- ☐ f. Amended petition.
3. Provide the most recent petition/application receipt number for the beneficiary. If none exists, indicate "None." ▶ **N / A**
4. Requested Action (select only one box):
- ☐ a. Notify the office in Part 4, so each beneficiary can obtain a visa or be admitted. (NOTE: A petition is not required for E-1, E-2, E-3, H-1B1 Chile/Singapore, or TN visa beneficiaries.)
- ☒ b. Change the status and extend the stay of each beneficiary because the beneficiary(ies) is/are now in the United States in another status (see instructions for limitations). This is available only when you check "New Employment" in Item Number 2., above.
- ☐ c. Extend the stay of each beneficiary because the beneficiary(ies) now hold(s) this status.
- ☐ d. Amend the stay of each beneficiary because the beneficiary(ies) now hold(s) this status.
- ☐ e. Extend the status of a nonimmigrant classification based on a free trade agreement. (See Trade Agreement Supplement to Form I-129 for TN and H-1B1.)
- ☐ f. Change status to a nonimmigrant classification based on a free trade agreement. (See Trade Agreement Supplement to Form I-129 for TN and H-1B1.)
5. Total number of workers included in this petition. (See instructions relating to when more than one worker can be included.) ▶ **1**

**Part 3. Beneficiary Information** (Information about the beneficiary/beneficiaries you are filing for. Complete the blocks below. Use the Attachment-1 sheet to name each beneficiary included in this petition.)

## 1. If an Entertainment Group, Provide the Group Name

**N/A**

## 2. Provide Name of Beneficiary

Family Name (Last Name)

Given Name (First Name)

Middle Name

**Jurkovic****Iva****N/A**

## 3. Provide all other names the beneficiary has used. Include nicknames, aliases, maiden name, and names from all previous marriages.

Family Name (Last Name)

Given Name (First Name)

Middle Name

**N/A****N/A****N/A****N/A****N/A****N/A****N/A****N/A****N/A**

## 4. Other Information

Date of birth

(mm/dd/yyyy)

**07/25/1985**

Gender

☐ Male☒ Female

U.S. Social Security Number (if any)

**▶ 687546637**



**Part 3. Beneficiary Information** (Information about the beneficiary/beneficiaries you are filing for. Complete the blocks below. Use the Attachment-1 sheet to name each beneficiary included in this petition.) (continued)

Alien Registration Number (A-Number) Country of Birth

► A-

Province of Birth

Country of Citizenship or Nationality

**5. If the beneficiary is in the United States, complete the following:**

Date of Last Arrival (mm/dd/yyyy)

I-94 Arrival-Departure Record Number

►

Passport or Travel Document Number

Date Passport or Travel Document Issued (mm/dd/yyyy)

Date Passport or Travel Document Expires (mm/dd/yyyy)

Passport or Travel Document Country of Issuance

Current Nonimmigrant Status

Date Status Expires or D/S

(mm/dd/yyyy)

Student and Exchange Visitor Information System (SEVIS) Number (if any)

Employment Authorization Document (EAD) Number (if any)

**6. Current Residential U.S. Address (if applicable) (do not list a P.O. Box)**

Street Number and Name

Apt. Ste. Flr. Number

☒ ☐ ☐

City or Town

State ZIP Code

**Part 4. Processing Information**

1. If a beneficiary or beneficiaries named in Part 3. is/are outside the United States, or a requested extension of stay or change of status cannot be granted, state the U.S. Consulate or inspection facility you want notified if this petition is approved.

a. Type of Office (select only one box): ☒ Consulate

☐ Pre-flight inspection ☐ Port of Entry

b. Office Address (City)

c. U.S. State or Foreign Country

d. Beneficiary's Foreign Address

Street Number and Name

Apt. Ste. Flr. Number

☐ ☐ ☐

City or Town

State

Province

Postal Code

Country

2. Does each person in this petition have a valid passport? ☒ Yes ☐ No. If no, go to Part 9. and type or print your explanation.

#### Part 4. Processing Information (continued)

3. Are you filing any other petitions with this one?  
☐ Yes. If yes, how many? ▶ **N/A** ☒ No
4. Are you filing any applications for replacement/initial I-94, Arrival-Departure Records with this petition? Note that if the beneficiary was issued an electronic Form I-94 by CBP when he/she was admitted to the United States at an air or sea port, he/she may be able to obtain the Form I-94 from the CBP Website at [www.cbp.gov/i94](http://www.cbp.gov/i94) instead of filing an application for a replacement/initial I-94.  
☐ Yes. If yes, how many? ▶ **N/A** ☒ No
5. Are you filing any applications for dependents with this petition?  
☐ Yes. If yes, how many? ▶ **N/A** ☒ No
6. Is any beneficiary in this petition in removal proceedings?  
☐ Yes. If yes, proceed to Part 9. and list the beneficiary's(ies) name(s). ☒ No
7. Have you ever filed an immigrant petition for any beneficiary in this petition?  
☐ Yes. If yes, how many? ▶ **N/A** ☒ No
8. Did you indicate you were filing a new petition in Part 2?  
☒ Yes. If yes, answer the questions below. ☐ No. If no, proceed to Item Number 9.
- a. Has any beneficiary in this petition ever been given the classification you are now requesting within the last seven years?  
☐ Yes. If yes, proceed to Part 9. and type or print your explanation. ☒ No
- b. Has any beneficiary in this petition ever been denied the classification you are now requesting within the last seven years?  
☐ Yes. If yes, proceed to Part 9. and type or print your explanation. ☒ No
9. Have you ever previously filed a nonimmigrant petition for this beneficiary?  
☐ Yes. If yes, proceed to Part 9. and type or print your explanation. ☒ No
10. If you are filing for an entertainment group, has any beneficiary in this petition not been with the group for at least one year?  
☐ Yes. If yes, proceed to Part 9. and type or print your explanation. ☒ No
- 11.a. Has any beneficiary in this petition ever been a J-1 exchange visitor or J-2 dependent of a J-1 exchange visitor?  
☐ Yes. If yes, proceed to Item Number 11.b. ☒ No
- 11.b. If you checked yes in Item Number 11.a., provide the dates the beneficiary maintained status as a J-1 exchange visitor or J-2 dependent. Also, provide evidence of this status by attaching a copy of either a DS-2019, Certificate of Eligibility for Exchange Visitor (J-1) Status, a Form IAP-66, or a copy of the passport that includes the J visa stamp.

**N/A**

#### Part 5. Basic Information About the Proposed Employment and Employer

Attach the Form I-129 supplement relevant to the classification of the worker(s) you are requesting.

1. Job Title **Financial Analyst, Accounting**
2. LCA or ETA Case Number **I-200-17076-401702**

**Part 5. Basic Information About the Proposed Employment and Employer (continued)**

3. Address where the beneficiary(ies) will work if different from address in Part 1.

Street Number and Name

55 Water Street

Apt. Ste. Flr. Number

☐ ☐ ☒ 50

City or Town

New York

State

NY

ZIP Code

10041

4. Did you include an itinerary with the petition? ☐ Yes ☒ No
5. Will the beneficiary(ies) work for you off-site at another company or organization's location? ☐ Yes ☒ No
6. Will the beneficiary(ies) work exclusively in the Commonwealth of the Northern Mariana Islands (CNMI)? ☐ Yes ☒ No
7. Is this a full-time position? ☒ Yes ☐ No

8. If the answer to Item Number 7. is no, how many hours per week for the position?

▶ N/A

9. Wages: \$ 66000 per (Specify hour, week, month, or year)

▶ Yearly

10. Other Compensation (Explain)

Standard benefits package

11. Dates of intended employment From: (mm/dd/yyyy) 10/01/2017 To: (mm/dd/yyyy) 09/12/2020

12. Type of Business

Foreign Currency Exchange

13. Year Established

2015

14. Current Number of Employees in the United States

500

15. Gross Annual Income

Over \$20 million

16. Net Annual Income

Over \$200,000

**Part 6. Certification Regarding the Release of Controlled Technology or Technical Data to Foreign Persons in the United States**

(This section of the form is required only for H-1B, H-1B1 Chile/Singapore, L-1, and O-1A petitions. It is not required for any other classifications. Please review the Form I-129 General Filing Instructions before completing this section.)

Select Item Number 1. or Item Number 2. as appropriate. DO NOT select both boxes.

With respect to the technology or technical data the petitioner will release or otherwise provide access to the beneficiary, the petitioner certifies that it has reviewed the Export Administration Regulations (EAR) and the International Traffic in Arms Regulations (ITAR) and has determined that:

1. ☒ A license is not required from either the U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the foreign person; or
2. ☐ A license is required from the U.S. Department of Commerce and/or the U.S. Department of State to release such technology or technical data to the beneficiary and the petitioner will prevent access to the controlled technology or technical data by the beneficiary until and unless the petitioner has received the required license or other authorization to release it to the beneficiary.

**Part 7. Declaration, Signature, and Contact Information of Petitioner or Authorized Signatory (Read the information on penalties in the instructions before completing this section.)**

Copies of any documents submitted are exact photocopies of unaltered, original documents, and I understand that, as the petitioner, I may be required to submit original documents to U.S. Citizenship and Immigration Services (USCIS) at a later date.

I authorize the release of any information from my records, or from the petitioning organization's records that USCIS needs to determine eligibility for the immigration benefit sought. I recognize the authority of USCIS to conduct audits of this petition using publicly available open source information. I also recognize that any supporting evidence submitted in support of this petition may be verified by USCIS through any means determined appropriate by USCIS, including but not limited to, on-site compliance reviews.

If filing this petition on behalf of an organization, I certify that I am authorized to do so by the organization.

I certify, under penalty of perjury, that I have reviewed this petition and that all of the information contained in the petition, including all responses to specific questions, and in the supporting documents, is complete, true, and correct.

**1. Name and Title of Authorized Signatory**

Family Name (Last Name)

Napolitano

Given Name (First Name)


Amy

Title

Managing Director, HR

**2. Signature and Date**

Signature of Authorized Signatory



Date of Signature

(mm/dd/yyyy) 4-3-17

**3. Signatory's Contact Information**

Daytime Telephone Number

(646) 432-2514

Email Address (if any)

anapolitano@fxcm.com

**NOTE:** If you do not fully complete this form or fail to submit the required documents listed in the instructions, a final decision on your petition may be delayed or the petition may be denied.

**Part 8. Declaration, Signature, and Contact Information of Person Preparing Form, If Other Than Petitioner**

Provide the following information concerning the preparer:

**1. Name of Preparer**

Family Name (Last Name)

Hellrung

Given Name (First Name)

Matthew

**2. Preparer's Business or Organization Name (if any)**

(If applicable, provide the name of your accredited organization recognized by the Board of Immigration Appeals (BIA).)

Meltzer Hellrung LLC

**Part 8. Declaration, Signature, and Contact Information of Person Preparing Form, If Other Than Petitioner (continued)**

**3. Preparer's Mailing Address**

Street Number and Name

79 W. Monroe Street

Apt. Ste. Flr. Number

☐☒☐

1312

City or Town

Chicago

State

IL

ZIP Code

60603

Province

N/A

Postal Code

N/A

Country

United States

**4. Preparer's Contact Information**

Daytime Telephone Number

(312) 340-9619

Fax Number

(217) 666-7619

Email Address (if any)

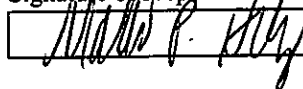
mhellrung@meltzerhellrung.com

**Preparer's Declaration**

By my signature, I certify, swear, or affirm, under penalty of perjury, that I prepared this petition on behalf of, at the request of, and with the express consent of the petitioner or authorized signatory. The petitioner has reviewed this completed petition as prepared by me and informed me that all of the information in the form and in the supporting documents, is complete, true, and correct.

**5. Signature and Date**

Signature of Preparer



Date of Signature

(mm/dd/yyyy)

3-31-17



# H Classification Supplement to Form I-129

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form I-129  
OMB No. 1615-0009  
Expires 12/31/2018

1. Name of the Petitioner

FXCM Global Services LLC

Name of the beneficiary or if this petition includes multiple beneficiaries, the total number of beneficiaries

2.a. Name of the Beneficiary

Iva Jurkovic

OR

2.b. Provide the total number of beneficiaries

1

3. List each beneficiary's prior periods of stay in H or L classification in the United States for the last six years (beneficiaries requesting H-2A or H-2B classification need only list the last three years). Be sure to only list those periods in which each beneficiary was actually in the United States in an H or L classification. Do not include periods in which the beneficiary was in a dependent status, for example, H-4 or L-2 status.

**NOTE:** Submit photocopies of Forms I-94, I-797, and/or other USCIS issued documents noting these periods of stay in the H or L classification. (If more space is needed, attach an additional sheet.)

Subject's Name	Period of Stay (mm/dd/yyyy)	
	From	To
Iva Jurkovic	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A
N/A	N/A	N/A

4. Classification sought (select **only one** box):

- ☒ a. H-1B Specialty Occupation  
☐ b. H-1B1 Chile and Singapore  
☐ c. H-1B2 Exceptional services relating to a cooperative research and development project administered by the U.S. Department of Defense (DOD)  
☐ d. H-1B3 Fashion model of distinguished merit and ability  
☐ e. H-2A Agricultural worker  
☐ f. H-2B Non-agricultural worker  
☐ g. H-3 Trainee  
☐ h. H-3 Special education exchange visitor program

5. Are you filing this petition on behalf of a beneficiary subject to the Guam-CNMI cap exemption under Public Law 110-229?  
☐ Yes ☒ No

6. Are you requesting a change of employer and was the beneficiary previously subject to the Guam-CNMI cap exemption under Public Law 110-229?  
☐ Yes ☒ No

- 7.a. Does any beneficiary in this petition have ownership interest in the petitioning organization?  
☐ Yes. If yes, please explain in Item Number 7.b. ☒ No

7.b. Explanation  
N/A


**Section 1. Complete This Section If Filing for H-1B Classification**

1. Describe the proposed duties.  
Please see letter in support of petition.
2. Describe the beneficiary's present occupation and summary of prior work experience.  
Please see letter in support of petition.

**Statement for H-1B Specialty Occupations and H-1B1 Chile and Singapore**

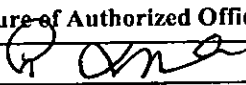
By filing this petition, I agree to, and will abide by, the terms of the labor condition application (LCA) for the duration of the beneficiary's authorized period of stay for H-1B employment. I certify that I will maintain a valid employer-employee relationship with the beneficiary at all times. If the beneficiary is assigned to a position in a new location, I will obtain and post an LCA for that site prior to reassignment.

I further understand that I cannot charge the beneficiary the ACWIA fee, and that any other required reimbursement will be considered an offset against wages and benefits paid relative to the LCA.

Signature of Petitioner	Name of Petitioner	Date (mm/dd/yyyy)
	Amy Napolitano	4-3-17

**Statement for H-1B Specialty Occupations and U.S. Department of Defense (DOD) Projects**

As an authorized official of the employer, I certify that the employer will be liable for the reasonable costs of return transportation of the alien abroad if the beneficiary is dismissed from employment by the employer before the end of the period of authorized stay.

Signature of Authorized Official of Employer	Name of Authorized Official of Employer	Date (mm/dd/yyyy)
	Amy Napolitano	4-3-17

**Statement for H-1B U.S. Department of Defense Projects Only**

I certify that the beneficiary will be working on a cooperative research and development project or a co-production project under a reciprocal government-to-government agreement administered by the U.S. Department of Defense.

Signature of DOD Project Manager	Name of DOD Project Manager	Date (mm/dd/yyyy)

**Section 2. Complete This Section If Filing for H-2A or H-2B Classification**

1. Employment is: (select only one box)  
☐ a. Seasonal    ☐ b. Peak load    ☐ c. Intermittent    ☐ d. One-time occurrence
2. Temporary need is: (select only one box)  
☐ a. Unpredictable    ☐ b. Periodic    ☐ c. Recurrent annually



# H-1B and H-1B1 Data Collection and Filing Fee Exemption Supplement

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form I-129  
OMB No. 1615-0009  
Expires 12/31/2018

1. Name of the Petitioner

FXCM Global Services LLC

2. Name of the Beneficiary

Iva Jurkovic

## Section 1. General Information

1. Employer Information - (select all items that apply)

- a. Is the petitioner an H-1B dependent employer? ☐ Yes ☒ No
- b. Has the petitioner ever been found to be a willful violator? ☐ Yes ☒ No
- c. Is the beneficiary an H-1B nonimmigrant exempt from the Department of Labor attestation requirements? ☐ Yes ☐ No
- c.1. If yes, is it because the beneficiary's annual rate of pay is equal to at least \$60,000? ☐ Yes ☐ No
- c.2. Or is it because the beneficiary has a master's degree or higher degree in a specialty related to the employment? ☐ Yes ☐ No
- d. Does the petitioner employ 50 or more individuals in the United States? ☒ Yes ☐ No
- d.1. If yes, are more than 50 percent of those employees in H-1B, L-1A, or L-1B nonimmigrant status? ☐ Yes ☒ No

2. Beneficiary's Highest Level of Education (select only one box)

- ☐ a. NO DIPLOMA
- ☐ b. HIGH SCHOOL GRADUATE DIPLOMA or the equivalent (for example: GED)
- ☐ c. Some college credit, but less than 1 year
- ☐ d. One or more years of college, no degree
- ☐ e. Associate's degree (for example: AA, AS)
- ☒ f. Bachelor's degree (for example: BA, AB, BS)
- ☐ g. Master's degree (for example: MA, MS, MEng, MEd, MSW, MBA)
- ☐ h. Professional degree (for example: MD, DDS, DVM, LLB, JD)
- ☐ i. Doctorate degree (for example: PhD, EdD)

3. Major/Primary Field of Study

Business Administration - Finance

4. Rate of Pay Per Year

\$66000

5. DOT Code

160

6. NAICS Code

523130

## Section 2. Fee Exemption and/or Determination

In order for USCIS to determine if you must pay the additional \$1,500 or \$750 American Competitiveness and Workforce Improvement Act (ACWIA) fee, answer all of the following questions:

1. Are you an institution of higher education as defined in section 101(a) of the Higher Education Act of 1965, 20 U.S.C. 1001(a)? ☐ Yes ☒ No
2. Are you a nonprofit organization or entity related to or affiliated with an institution of higher education, as defined in 8 CFR 214.2(h)(19)(iii)(B)? ☐ Yes ☒ No



## Section 2. Fee Exemption and/or Determination (continued)

3. Are you a nonprofit research organization or a governmental research organization, as defined in 8 CFR 214.2(h)(19)(iii)(C)? ☐ Yes ☒ No
4. Is this the second or subsequent request for an extension of stay that this petitioner has filed for this alien? ☐ Yes ☒ No
5. Is this an amended petition that does not contain any request for extensions of stay? ☐ Yes ☒ No
6. Are you filing this petition to correct a USCIS error? ☐ Yes ☒ No
7. Is the petitioner a primary or secondary education institution? ☐ Yes ☒ No
8. Is the petitioner a nonprofit entity that engages in an established curriculum-related clinical training of students registered at such an institution? ☐ Yes ☒ No

If you answered yes to any of the questions above, you are not required to submit the ACWIA fee for your H-1B Form I-129 petition. If you answered no to all questions, answer **Item Number 9.** below.

9. Do you currently employ a total of 25 or fewer full-time equivalent employees in the United States, including all affiliates or subsidiaries of this company/organization? ☐ Yes ☒ No

If you answered yes, to **Item Number 9.** above, you are required to pay an additional ACWIA fee of \$750. If you answered no, then you are required to pay an additional ACWIA fee of \$1,500.

**NOTE:** A petitioner seeking initial approval of H-1B nonimmigrant status for a beneficiary, or seeking approval to employ an H-1B nonimmigrant currently working for another employer, must submit an additional \$500 Fraud Prevention and Detection fee. For petitions filed on or after December 18, 2015, an additional fee of \$4,000 must be submitted if you responded yes to **Item Numbers 1.d. and 1.d.1.** of Section 1. of this supplement. This \$4,000 fee was mandated by the provisions of Public Law 114-113.

The Fraud Prevention and Detection Fee and Public Law 114-113 fee do not apply to H-1B1 petitions. These fees, when applicable, may not be waived. You must include payment of the fees when you submit this form. Failure to submit the fees when required will result in rejection or denial of your submission. Each of these fees should be paid by separate checks or money orders.

## Section 3. Numerical Limitation Information

1. Specify the type of H-1B petition you are filing. (select only one box):

- ☒ a. CAP H-1B Bachelor's Degree ☐ c. CAP H-1B1 Chile/Singapore  
☐ b. CAP H-1B U.S. Master's Degree or Higher ☐ d. CAP Exempt

2. If you answered **Item Number 1.b. "CAP H-1B U.S. Master's Degree or Higher,"** provide the following information regarding the master's or higher degree the beneficiary has earned from a U.S. institution as defined in 20 U.S.C. 1001(a):

- a. Name of the United States Institution of Higher Education

N/A

- b. Date Degree Awarded

N/A

- c. Type of United States Degree

N/A

- d. Address of the United States institution of higher education

Street Number and Name

N/A

Apt. Ste. Flr. Number

☐ ☐ ☐ N/A

City or Town

N/A

State

N/A

ZIP Code

N/A

### Section 3. Numerical Limitation Information (continued)

3. If you answered Item Number 1.d. "CAP Exempt," you must specify the reason(s) this petition is exempt from the numerical limitation for H-1B classification:

- ☐ a. The petitioner is an institution of higher education as defined in section 101(a) of the Higher Education Act, of 1965, 20 U.S.C. 1001(a).
- ☐ b. The petitioner is a nonprofit entity related to or affiliated with an institution of higher education as defined in 8 CFR 214.2(h)(8)(ii)(F)(2).
- ☐ c. The petitioner is a nonprofit research organization or a governmental research organization as defined in 8 CFR 214.2(h)(8)(ii)(F)(3).
- ☐ d. The beneficiary will be employed at a qualifying cap exempt institution, organization or entity pursuant to 8 CFR 214.2(h)(8)(ii)(F)(4).
- ☐ e. The petitioner is requesting an amendment to or extension of stay for the beneficiary's current H-1B classification.
- ☐ f. The beneficiary of this petition is a J-1 nonimmigrant physician who has received a waiver based on section 214(l) of the Act.
- ☐ g. The beneficiary of this petition has been counted against the cap and (1) is applying for the remaining portion of the 6 year period of admission, or (2) is seeking an extension beyond the 6-year limitation based upon sections 104(c) or 106(a) of the American Competitiveness in the Twenty-First Century Act (AC21).
- ☐ h. The petitioner is an employer subject to the Guam-CNMI cap exemption pursuant to Public Law 110-229.

### Section 4. Off-Site Assignment of H-1B Beneficiaries

1. The beneficiary of this petition will be assigned to work at an off-site location for all or part of the period for which H-1B classification sought. ☐ Yes ☒ No  
If no, do not complete Item Numbers 2. and 3.
2. Placement of the beneficiary off-site during the period of employment will comply with the statutory and regulatory requirements of the H-1B nonimmigrant classification. ☐ Yes ☐ No
3. The beneficiary will be paid the higher of the prevailing or actual wage at any and all off-site locations. ☐ Yes ☐ No

Labor Condition Application for Nonimmigrant Workers  
ETA Form 9035 & 9035E  
U.S. Department of Labor



**Electronic Filing of Labor Condition Applications  
For The H-1B Nonimmigrant Visa Program**

This Department of Labor, Employment and Training Administration (ETA), electronic filing system enables an employer to file a Labor Condition Application (LCA) and obtain certification of the LCA. This Form must be submitted by the employer or by someone authorized to act on behalf of the employer.

A) I understand and agree that, upon my receipt of ETA's certification of the LCA by electronic response to my submission, I must take the following actions at the specified times and circumstances:

- print and sign a hardcopy of the electronically filed and certified LCA;
- maintain a signed hardcopy of this LCA in my public access files;
- submit a signed hardcopy of the LCA to the United States Citizenship and Immigration Services (USCIS) in support of the I-129, on the date of submission of the I-129;
- provide a signed hardcopy of this LCA to each H-1B nonimmigrant who is employed pursuant to the LCA.

☒ Yes ☐ No

B) I understand and agree that, by filing the LCA electronically, I attest that all of the statements in the LCA are true and accurate and that I am undertaking all the obligations that are set out in the LCA (Form ETA 9035E) and the accompanying instructions (Form ETA 9035CP).

☒ Yes ☐ No

C) I hereby choose one of the following options, with regard to the accompanying instructions:

☒ I choose to have the Form ETA 9035CP electronically attached to the certified LCA, and to be bound by the LCA obligations as explained in this form

☐ I choose not to have the Form ETA 9035CP electronically attached to the certified LCA, but I have read the instructions and I understand that I am bound by the LCA obligations as explained in this form

Labor Condition Application for Nonimmigrant Workers  
ETA Form 9035 & 9035E  
U.S. Department of Labor



Please read and review the filing instructions carefully before completing the ETA Form 9035 or 9035E. A copy of the instructions can be found at <http://www.foreignlaborcert.doleta.gov/>. In accordance with Federal Regulations at 20 CFR 655.730(b), incomplete or obviously inaccurate Labor Condition Applications (LCAs) will not be certified by the Department of Labor. If the employer has received permission from the Administrator of the Office of Foreign Labor Certification to submit this form non-electronically, ALL required fields/items containing an asterisk ( \* ) must be completed as well as any fields/items where a response is conditional as indicated by the section ( § ) symbol.

**A. Employment-Based Nonimmigrant Visa Information**

1. Indicate the type of visa classification supported by this application (Write classification symbol): \*

H-1B

**B. Temporary Need Information**

1. Job Title \* FINANCIAL ANALYST, ACCOUNTING

2. SOC (ONET/OES) code \*  
13-2051

3. SOC (ONET/OES) occupation title \*  
FINANCIAL ANALYSTS

4. Is this a full-time position? \*  
☒ Yes ☐ No

**Period of Intended Employment**

5. Begin Date \* 09/13/2017  
(mm/dd/yyyy)

6. End Date \* 09/12/2020  
(mm/dd/yyyy)

7. Worker positions needed/basis for the visa classification supported by this application

Total Worker Positions Being Requested for Certification \*

Basis for the visa classification supported by this application  
(indicate the total workers in each applicable category based on the total workers identified above)

a. New employment \*

d. New concurrent employment \*

b. Continuation of previously approved employment \*  
without change with the same employer

e. Change in employer \*

c. Change in previously approved employment \*

f. Amended petition \*

**C. Employer Information**

1. Legal business name \* FXCM GLOBAL SERVICES LLC

2. Trade name/Doing Business As (DBA), if applicable N/A

3. Address 1 \* 55 WATER STREET

4. Address 2 50

5. City \* NEW YORK

6. State \* NY

7. Postal code \* 10041

8. Country \* UNITED STATES OF AMERICA

9. Province  
N/A

10. Telephone number \* 6464322514

11. Extension N/A

12. Federal Employer Identification Number (FEIN from IRS) \*  
300876326

13. NAICS code (must be at least 4-digits) \*  
523130



Labor Condition Application for Nonimmigrant Workers  
ETA Form 9035 & 9035E  
U.S. Department of Labor

**D. Employer Point of Contact Information**

**Important Note:** The information contained in this Section must be that of an employee of the employer who is authorized to act on behalf of the employer in labor certification matters. The information in this Section must be different from the agent or attorney information listed in Section E, unless the attorney is an employee of the employer.

1. Contact's last (family) name *	2. First (given) name *	3. Middle name(s) *
NAPOLITANO	AMY	N/A
4. Contact's job title * MANAGING DIRECTOR, HR		
5. Address 1 * 55 WATER STREET		
6. Address 2 50		
7. City * NEW YORK	8. State * NY	9. Postal code * 10041
10. Country * UNITED STATES OF AMERICA		11. Province N/A
12. Telephone number *	13. Extension	14. E-Mail address
6464322514	N/A	ANAFOLITANO@FXCM.COM

**E. Attorney or Agent Information (If applicable)**

1. Is the employer represented by an attorney or agent in the filing of this application? *		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If "Yes", complete the remainder of Section E below.		
2. Attorney or Agent's last (family) name §	3. First (given) name §	4. Middle name(s) §
HELLRUNG	MATTHEW	PAUL
5. Address 1 § 79 W. MONROE STREET		
6. Address 2 1312		
7. City § CHICAGO	8. State § IL	9. Postal code § 60603
10. Country § UNITED STATES OF AMERICA		11. Province N/A
12. Telephone number §	13. Extension	14. E-Mail address
3123409619	N/A	SUPPORT@BRIDGE.US
15. Law firm/Business name § MELTZER HELLRUNG LLC		16. Law firm/Business FEIN § 471604373
17. State Bar number (only if attorney) §	18. State of highest court where attorney is in good standing (only if attorney) §	
6306146	IL	
19. Name of the highest court where attorney is in good standing (only if attorney) § SUPREME COURT OF ILLINOIS		



Labor Condition Application for Nonimmigrant Workers  
ETA Form 9035 & 9035E  
U.S. Department of Labor

**F. Rate of Pay**

1. Wage Rate (Required) From: \$ <u>66000.00</u> *	2. Per: (Choose only one) * <input type="checkbox"/> Hour <input type="checkbox"/> Week <input type="checkbox"/> Bi-Weekly <input type="checkbox"/> Month <input checked="" type="checkbox"/> Year
To: \$ <u>N/A</u>	

**G. Employment and Prevailing Wage Information**

**Important Note:** It is important for the employer to define the place of intended employment with as much geographic specificity as possible. The place of employment address listed below must be a physical location and cannot be a P.O. Box. The employer may use this section to identify up to three (3) physical locations and corresponding prevailing wages covering each location where work will be performed and the electronic system will accept up to 3 physical locations and prevailing wage information. If the employer has received approval from the Department of Labor to submit this form non-electronically and the work is expected to be performed in more than one location, an attachment must be submitted in order to complete this section.

**a. Place of Employment 1**

1. Address 1 * 55 WATER STREET	
2. Address 2 50	
3. City * NEW YORK	4. County * NEW YORK
5. State/District/Territory * NY	6. Postal code * 10041
<b>Prevailing Wage Information (corresponding to the place of employment location listed above)</b>	
7. Agency which issued prevailing wage \$ N/A	7a. Prevailing wage tracking number (if applicable) \$ N/A
8. Wage level * <input checked="" type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> N/A	
9. Prevailing wage * \$ <u>65749.00</u>	10. Per: (Choose only one) * <input type="checkbox"/> Hour <input type="checkbox"/> Week <input type="checkbox"/> Bi-Weekly <input type="checkbox"/> Month <input checked="" type="checkbox"/> Year
11. Prevailing wage source (Choose only one) * <input checked="" type="checkbox"/> OES <input type="checkbox"/> CBA <input type="checkbox"/> DBA <input type="checkbox"/> SCA <input type="checkbox"/> Other	
11a. Year source published * 2016	11b. If "OES", and SWA/NPC did not issue prevailing wage OR "Other" in question 11, specify source \$ OFLC ONLINE DATA CENTER

**H. Employer Labor Condition Statements**

**Important Note:** In order for your application to be processed, you MUST read Section H of the Labor Condition Application – General Instructions Form ETA 9035CP under the heading "Employer Labor Condition Statements" and agree to all four (4) labor condition statements summarized below:

- (1) **Wages:** Pay nonimmigrants at least the local prevailing wage or the employer's actual wage, whichever is higher, and pay for non-productive time. Offer nonimmigrants benefits on the same basis as offered to U.S. workers.
- (2) **Working Conditions:** Provide working conditions for nonimmigrants which will not adversely affect the working conditions of workers similarly employed.
- (3) **Strike, Lockout, or Work Stoppage:** There is no strike, lockout, or work stoppage in the named occupation at the place of employment.
- (4) **Notice:** Notice to union or to workers has been or will be provided in the named occupation at the place of employment. A copy of this form will be provided to each nonimmigrant worker employed pursuant to the application.

1. I have read and agree to Labor Condition Statements 1, 2, 3, and 4 above and as fully explained in Section H of the Labor Condition Application – General Instructions – Form ETA 9035CP. *	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--	---



Labor Condition Application for Nonimmigrant Workers  
ETA Form 9035 & 9035E  
U.S. Department of Labor

**I. Additional Employer Labor Condition Statements – H-1B Employers ONLY**

**! Important Note:** In order for your H-1B application to be processed, you **MUST** read Section I – Subsection 1 of the Labor Condition Application – General Instructions Form ETA 9035CP under the heading “Additional Employer Labor Condition Statements” and answer the questions below.

**a. Subsection 1**

1. Is the employer H-1B dependent? §	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2. Is the employer a willful violator? §	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. If “Yes” is marked in questions 1.1 and/or 1.2, you must answer “Yes” or “No” regarding whether the employer will use this application <u>ONLY</u> to support H-1B petitions or extensions of status for exempt H-1B nonimmigrants? §	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A

If you marked “Yes” to questions 1.1 and/or 1.2 and “No” to question 1.3, you **MUST** read Section I – Subsection 2 of the Labor Condition Application – General Instructions Form ETA 9035CP under the heading “Additional Employer Labor Condition Statements” and indicate your agreement to all three (3) additional statements summarized below.

**b. Subsection 2**

- A. **Displacement:** Non-displacement of the U.S. workers in the employer's workforce
- B. **Secondary Displacement:** Non-displacement of U.S. workers in another employer's workforce; and
- C. **Recruitment and Hiring:** Recruitment of U.S. workers and hiring of U.S. workers applicant(s) who are equally or better qualified than the H-1B nonimmigrant(s).

4. I have read and agree to Additional Employer Labor Condition Statements A, B, and C above and as fully explained in Section I – Subsections 1 and 2 of the Labor Condition Application – General Instructions Form ETA 9035CP. §	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
---	---

**J. Public Disclosure Information**

**! Important Note:** You **must** select from the options listed in this Section.

1. Public disclosure information will be kept at: *	<input checked="" type="checkbox"/> Employer's principal place of business <input type="checkbox"/> Place of employment
---	--

**K. Declaration of Employer**

By signing this form, I, on behalf of the employer, attest that the information and labor condition statements provided are true and accurate; that I have read sections H and I of the Labor Condition Application – General Instructions Form ETA 9035CP, and that I agree to comply with the Labor Condition Statements as set forth in the Labor Condition Application – General Instructions Form ETA 9035CP and with the Department of Labor regulations (20 CFR part 655, Subparts H and I). I agree to make this application, supporting documentation, and other records available to officials of the Department of Labor upon request during any investigation under the Immigration and Nationality Act. Making fraudulent representations on this Form can lead to civil or criminal action under 18 U.S.C. 1001, 18 U.S.C. 1546, or other provisions of law.

1. Last (family) name of hiring or designated official *	2. First (given) name of hiring or designated official *	3. Middle initial *
NAPOLITANO	AMY	N/A
4. Hiring or designated official title *		
MANAGING DIRECTOR, HR		
5. Signature *		6. Date signed *
		8-5-17



Labor Condition Application for Nonimmigrant Workers  
ETA Form 9035 & 9035E  
U.S. Department of Labor

**L. LCA Preparer**

**Important Note:** Complete this section if the preparer of this LCA is a person other than the one identified in either Section D (employer point of contact) or E (attorney or agent) of this application.

1. Last (family) name § BRAY	2. First (given) name § JESSICA	3. Middle initial § L
4. Firm/Business name § BRIDGE US		
5. E-Mail address § SUPPORT@BRIDGE.US		

**M. U.S. Government Agency Use (ONLY)**

By virtue of the signature below, the Department of Labor hereby acknowledges the following:

This certification is valid from 09/13/2017 to 09/12/2020

*Certifying Officer* 03/23/2017  
Department of Labor, Office of Foreign Labor Certification Determination Date (date signed)

I-200-17076-401702 CERTIFIED  
Case number Case Status

*The Department of Labor is not the guarantor of the accuracy, truthfulness, or adequacy of a certified LCA.*

**N. Signature Notification and Complaints**

The signatures and dates signed on this form will not be filled out when electronically submitting to the Department of Labor for processing, but **MUST** be complete when submitting non-electronically. If the application is submitted electronically, any resulting certification **MUST** be signed *immediately upon receipt* from the Department of Labor before it can be submitted to USCIS for further processing.

Complaints alleging misrepresentation of material facts in the LCA and/or failure to comply with the terms of the LCA may be filed using the WH-4 Form with any office of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor. A listing of the Wage and Hour Division offices can be obtained at <http://www.dol.gov/esa>. Complaints alleging failure to offer employment to an equally or better qualified U.S. worker, or an employer's misrepresentation regarding such offer(s) of employment, may be filed with the U.S. Department of Justice, Office of the Special Counsel for Immigration-Related Unfair Employment Practices, 950 Pennsylvania Avenue, NW, Washington, DC, 20530. Please note that complaints should be filed with the Office of Special Counsel at the Department of Justice only if the violation is by an employer who is H-1B dependent or a willful violator as defined in 20 CFR 655.710(b) and 655.734(a)(1)(ii).

**O. OMB Paperwork Reduction Act (1205-0310)**

These reporting instructions have been approved under the Paperwork Reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Obligations to reply are mandatory (Immigration and Nationality Act, Section 212(n) and (t) and 214(c)). Public reporting burden for this collection of information, which is to assist with program management and to meet Congressional and statutory requirements is estimated to average 1 hour per response, including the time to review instructions, search existing data sources, gather and maintain the data needed, and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Room C-4312, 200 Constitution Ave. NW, Washington, DC 20210. (Paperwork Reduction Project OMB 1205-0310.) **Do NOT send the completed application to this address.**





**FXCM**  
**LISTED**  
**NYSE**

Forex Capital Markets, LLC  
55 Water Street, 50th Floor  
New York, NY 10041 USA  
Tel: 212 897 7660  
Fax: 212 897 7669  
E-mail: [admin@fxcm.com](mailto:admin@fxcm.com)  
[www.fxcm.com](http://www.fxcm.com)

03/30/2017

U.S. Citizenship and Immigration Services  
Vermont Service Center  
ATTN: I-129 Regular CAP  
4 Lemnah Drive  
St. Albans, VT 05479-0001

**Re: I-129 H-1B Petition for Nonimmigrant Status: Regular Cap Case**

**Petitioner: FXCM Global Services LLC**

**Beneficiary: Iva Jurkovic**

**Occupation: Financial Analyst, Accounting**

Dear Sir or Madam:

Please consider this letter in support of FXCM Global Services LLC's petition on behalf of Ms. Iva Jurkovic. FXCM Global Services LLC would like to employ Ms. Jurkovic as a Financial Analyst, Accounting for a temporary period of three (3) years at our office in New York, New York. Ms. Jurkovic is currently in valid F1 - STUDENT-ACADEMIC status. Please find Ms. Jurkovic's maintenance of status documents enclosed.

#### **The Petitioner**

Forex Capital Markets is part of the FXCM Inc. group of companies, a publicly traded, New York Stock Exchange listed company with over 800 employees throughout the world. Forex Capital Markets was established on July 26, 1999, and currently has over 500 employees and a gross annual income exceeding \$20 million. Forex Capital Markets is located in the downtown Financial District of Manhattan in New York City. Forex Capital Markets is engaged in the business of foreign exchange trading. The foreign exchange market is a cash inter-bank or inter-dealer market established in 1971 when floating exchange rates began to materialize. Foreign exchange trading companies such as FXCM trade one currency against another, including the U.S. dollar and the four major currencies: British Pound, Eurodollar, Swiss Franc and Japanese Yen. But the foreign exchange market is not a "market" in the traditional sense. There is no centralized location for trading activity. Foreign exchange currency trading occurs online 24 hours a day, through computer terminals at hundreds of locations worldwide.



Forex Capital Markets, LLC  
55 Water Street, 50th Floor  
New York, NY 10041 USA  
Tel: 212 897 7660  
Fax: 212 897 7669  
E-mail: admin@fxcm.com  
www.fxcm.com

FXCM Global Services LLC employs approximately 500 full-time employees in the US and generates approximately Over \$20 million in annual revenue. Please find more information about FXCM Global Services LLC enclosed.

### **The Specialty Occupation**

As a Financial Analyst, Accounting, Ms. Jurkovic will be performing the following duties:

- Perform a range of financial analysis in support of internal operations and external activities involving investors and mergers & acquisitions.
- Design and prepare analytics reports for internal management and presentation to Board of Directors, investors and others.
- Due diligence on potential M&A transactions.
- Conduct primary & secondary industry research.
- Build financial models forecasting business profitability.
- Design & build FRX based financial reports.

FXCM Global Services LLC's minimum qualifications for the position of Financial Analyst, Accounting is a bachelors in Finance, Business Administration or another related field. The professional position of Financial Analyst, Accounting corresponds to that of Financial Analysts, which qualifies as a specialty occupation based upon industry standards which require this occupation to have at least a Bachelor's degree or higher to qualify for employment. O\*Net also places Financial Analysts in Job Zone Four with this occupation requiring a four-year Bachelor's degree. Please find the O\*Net summary report and Occupational Outlook Handbook report for this occupation enclosed.

### **The Beneficiary's Education and Experience**

Ms. Jurkovic is well qualified for the position of Financial Analyst, Accounting as evidenced by her education and experience. Ms. Jurkovic was awarded a bachelors in Business Administration - Finance from Illinois Institute of Technology in May 2014.

In addition to her strong academic background, Ms. Jurkovic has over 4 years of relevant work experience. Most recently, she has been working as a Financial Analyst for Forex Capital Markets in United States since October 2015.

Prior to working for Forex Capital Markets, Ms. Jurkovic worked as a Compliance Associate for



**FXCM**  
**LISTED**  
**NYSE**

Forex Capital Markets, LLC  
55 Water Street, 50th Floor  
New York, NY 10041 USA  
Tel: 212 897 7660  
Fax: 212 897 7669  
E-mail: [admin@fxcm.com](mailto:admin@fxcm.com)  
[www.fxcm.com](http://www.fxcm.com)

Forex Capital Markets in United States from March 2015 to October of 2015.

### **Conclusion**

Ms. Jurkovic is qualified to perform the duties of the professional position of Financial Analyst, Accounting because of her education and experience. Therefore, we wish to employ Ms. Jurkovic in this position for a temporary period of three (3) years. While employed by FXCM Global Services LLC, she will receive annual compensation in the amount of \$66000.

We respectfully request favorable adjudication of the enclosed H-1B petition for Ms. Jurkovic. Thank you for your time and consideration.

Respectfully Yours,

A handwritten signature in black ink, appearing to read 'Amy' followed by a stylized surname.

Amy Napolitano  
Managing Director, HR

# Exhibit List

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- **Exhibit A: Proof of beneficiary's qualifications**
  - A1: All college or university diplomas
  - A2: All college or university transcripts
- **Exhibit B: Proof of identity**
  - B1: Non-blank pages of passport
- **Exhibit C: Proof of maintenance of status**
  - C1: Current US visa stamp
  - C2: Most recent I-94 admission record
  - C3: All Form I-20s
  - C4: I-539 approval from A-2 to F-1
- **Exhibit D: Evidence of specialty occupation**
  - D1: ONET summary report evidencing specialty occupation
  - D2: Occupational Outlook Handbook Report evidencing specialty occupation
- **Exhibit E: Proof of company operations**
  - E1: Screenshots of company website homepage and contact page
  - E2: Most Recent 10k

## Exhibit A: Proof of beneficiary's qualifications

---

- A1: All college or university diplomas
- A2: All college or university transcripts

## Exhibit A1: All college or university diplomas

---

# Illinois Institute of Technology

By authority of the Board of Trustees, and upon the recommendation of the faculty of the

Stuart School of Business

Illinois Institute of Technology hereby confers upon

**Iva Turkovic**

the degree of

**Bachelor of Science**

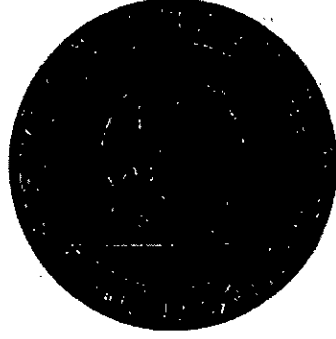
**in Business Administration**

summa cum laude

with all the rights, privileges, and honors therewith appertaining

awarded at Chicago, in the State of Illinois

May 17, 2014



*Charles J. [Signature]*  
Chairman of the Board of Trustees

*Ala. W. Brash*  
Provost

*John T. Anderson*  
President

*Henry Scholten*  
Dean

## Exhibit A2: All college or university transcripts

---



## Unofficial Transcript



## Unofficial Transcript


[Unofficial Transcript](#) > [Unofficial Transcript](#) >

Unofficial Transcript for: Iva Jurkovic

## Division: Graduate

	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
<b>Transfer</b>	0.00	0.00	0.00	0.00	0.00
<b>Local</b>	30.00	30.00	30.00	113.01	3.76
<b>Career</b>	30.00	30.00	30.00	113.01	3.76

## 2016-2017 Academic Year - Late Spring

Course	Title	Grade	Repeat	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
ANLY 515 51	Rsk Model/Asses	WIP	R	0.00	0.00	0.00	0.00	
GRAD 695 91	Res Meth/Wrtg	WIP	-	0.00	0.00	0.00	0.00	
<b>Term Totals:</b>				<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Career Totals:</b>				<b>30.00</b>	<b>30.00</b>	<b>30.00</b>	<b>113.01</b>	<b>3.76</b>

## 2016-2017 Academic Year - Late Fall

Course	Title	Grade	Repeat	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
CISC 525 51	Big Data Archit	A	-	3.00	3.00	3.00	12.00	
ISEM 500 90	IS StrategicPln	A	-	3.00	3.00	3.00	12.00	
<b>Term Totals:</b>				<b>6.00</b>	<b>6.00</b>	<b>6.00</b>	<b>24.00</b>	<b>4.00</b>
<b>Career Totals:</b>				<b>30.00</b>	<b>30.00</b>	<b>30.00</b>	<b>113.01</b>	<b>3.76</b>

## 2015-2016 Academic Year - Late Summer

Course	Title	Grade	Repeat	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
ANLY 506 90	Expl Data Anly	A	-	3.00	3.00	3.00	12.00	
ANLY 512 51	Data Visualizat	A-	-	3.00	3.00	3.00	11.01	
<b>Term Totals:</b>				<b>6.00</b>	<b>6.00</b>	<b>6.00</b>	<b>23.01</b>	<b>3.83</b>
<b>Career Totals:</b>				<b>24.00</b>	<b>24.00</b>	<b>24.00</b>	<b>89.01</b>	<b>3.70</b>

## 2015-2016 Academic Year - Late Spring

Course	Title	Grade	Repeat	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
ANLY 515 90	Rsk Model/Asses	C	-	3.00	3.00	3.00	6.00	
CISC 530 50	Computer Archit	A	-	3.00	3.00	3.00	12.00	
<b>Term Totals:</b>				<b>6.00</b>	<b>6.00</b>	<b>6.00</b>	<b>18.00</b>	<b>3.00</b>
<b>Career Totals:</b>				<b>18.00</b>	<b>18.00</b>	<b>18.00</b>	<b>66.00</b>	<b>3.66</b>

## 2015-2016 Academic Year - Late Fall


Course	Title	Grade	Repeat	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
ANLY 520 50	Sentiment Anly	A	-	3.00	3.00	3.00	12.00	
CISC 520 90	Data Eng Mining	A	-	3.00	3.00	3.00	12.00	
<b>Term Totals:</b>				<b>6.00</b>	<b>6.00</b>	<b>6.00</b>	<b>24.00</b>	<b>4.00</b>
<b>Career Totals:</b>				<b>12.00</b>	<b>12.00</b>	<b>12.00</b>	<b>48.00</b>	<b>4.00</b>

**2014-2015 Academic Year - Late Summer**

Course	Title	Grade	Repeat	Attempted Credits	Earned Credits	GPA Credits	Quality Points	GPA
ANLY 500 90	Anly: Prin & Ap	A	-	3.00	3.00	3.00	12.00	
ANLY 510 01	ANLY II:Prin/Ap	A	-	3.00	3.00	3.00	12.00	
<b>Term Totals:</b>				<b>6.00</b>	<b>6.00</b>	<b>6.00</b>	<b>24.00</b>	<b>4.00</b>
<b>Career Totals:</b>				<b>6.00</b>	<b>6.00</b>	<b>6.00</b>	<b>24.00</b>	<b>4.00</b>

# Official Transcript

Prepared for: Iva Jurkovic on 10/13/2014  
 DID#: TARTY4N  
 Parchment Student ID: 13007605  
 Page 1 of 2

*Courtney C. O'Brien*  
 Courtney C. O'Brien, Registrar  
  
 CITY COLLEGES  
 of CHICAGO  
 Educating the World

Name Jurkovic, Iva Student ID 000916115 Page 1 of 1  
 Date of Birth 07/25/1985 Gender F Date of Entrance JAN, 2010  
 Address 121 W. Chestnut St., #3102  
 Chicago, IL 60610  
 Send to Docufide Transcript Service

Term/Year	Campus	Subject/Course No.	Course Title	Units	Grade	Remarks
Summer 2012	HW	ECON 201	Principles Of Economics I	3.0	A	IAI S3 901
Summer 2012	HW	POL SCI 204	International Relations	3.0	A	
Summer 2011	HW	BIOLOGY 114	General Education Biology	4.0	A	IAI L1 900L
Spring 2011	HW	ECON 202	Principles Of Economics II	3.0	A	IAI S3 902
Spring 2011	HW	LIT 128	Latin American Literature	3.0	A	IAI H3 908N
Spring 2011	HW	SPANISH 102	Second Course Spanish	4.0	A	
Spring 2011	HW	SPEECH 101-1	Fund Of Speech Communicatn	3.0	A	IAI C2 900
Fall 2010	HW	ENGLISH 102	Composition	3.0	A	IAI C1 901R
Fall 2010	HW	MATH 204-1	Calculus For Bus & Soc Sci	5.0	A	IAI M1 900-B
Fall 2010	HW	SPANISH 101	First Course Spanish	4.0	A	
Spring 2010	HW	ENGLISH 101	Composition	3.0	A	IAI C1 900
Spring 2010	HW	ENGLISH 197	Communications Skills	3.0	A	
Spring 2010	HW	HUM 201	General Course I Hum	3.0	A	IAI HF 900
Spring 2010	HW	SOC SCI 102	General Course II Soc Sci	3.0	A	IAI S9 901

## Honors/Awards Detail

Member of Phi Theta Kappa Honors Society  
 Presidential Scholar

For a list of City Colleges of Chicago Subject Descriptions, please reference: <http://apps.ccc.edu/e/objects/>

GRAD-HRS 47.0 GRAD-GPA 4.00 CUM-HRS 47.0 CUM-GPA 4.00 Date 10/13/2014

Transcript issued by: Harold Washington College

Registrar

Sequence 287683-00001

This transcript is official when downloaded directly from the Parchment Exchange website. To verify the validity of the transcript, go to [exchange.parchment.com/d/](http://exchange.parchment.com/d/), select the Tracking link in the header, and search for DID# TARTY4N.



Illinois Institute of Technology  
Office of the Registrar  
Chicago, IL 60616

OFFICE OF THE REGISTRAR  
OFFICIAL TRANSCRIPT

Record of: Iva Jurkovic

Student ID: A20274445

Date of Birth: 25-JUL

SSN: \*\*\*-\*\*-6637

Date Issued: 02-MAR-2015

Level: Undergraduate

Page: 1

Course Level: Undergraduate  
Matriculated: Fall 2011

Current Program

Bachelor of Science

Program : Bachelor of Science  
College : Stuart School of Business  
Major : Business Administration  
Maj/Concentration : Finance

Degree Awarded Bachelor of Science 17-MAY-2014

Primary Degree

Program : Bachelor of Science  
College : Stuart School of Business  
Major : Business Administration  
Maj/Concentration : Finance

Inst. Honors: summa cum laude

SUBJ NO.	COURSE TITLE	CRED GRD	PTS
----------	--------------	----------	-----

TRANSFER CREDIT ACCEPTED BY THE INSTITUTION:

Harold Washington College

BIOL 107	General Biol Lecture	4.00 TR	
COM 101	University Writing	3.00 TR	
ECON 151	The Economics of the Firm	3.00 TR	
ECON 152	National Global Economics	3.00 TR	
ELEC 100	Free Elective	3.00 TR	
HUM 102	Industrial Culture	3.00 TR	
HUM 190	Lower Level Humanities Electiv	3.00 TR	
MATH 121	Business Mathematics II	5.00 TR	
PS 232	Intro to Comparative Politics	3.00 TR	
SOC 190	Social Science Elective	3.00 TR	
TRF 100	Transfer Elective(s)	4.00 TR	
TRF 101	Transfer Elective(s)	4.00 TR	
TRF 102	Transfer Elective(s)	3.00 TR	

Ehrs: 44.00 GPA-Hrs: 0.00 QPts: 0.00 GPA: 0.00

INSTITUTION CREDIT:

Fall 2011

Stuart School of Business  
Business Administration

BUS 100	Introduction to the Profession	3.00 A	12.00
BUS 211	Prncpl Acctg and External Report	3.00 A	12.00
CHEM 122	Principles of Chem I w/out Lab	3.00 A	12.00
CS 105	Intro to Computer Prgmg	2.00 A	8.00
MATH 151	Calculus I	5.00 A	20.00

Ehrs: 16.00 GPA-Hrs: 16.00 QPts: 64.00 GPA: 4.00

Dean's List  
Good Standing

\*\*\*\*\* CONTINUED ON NEXT COLUMN \*\*\*\*\*

SUBJ NO.	COURSE TITLE	CRED GRD	PTS
----------	--------------	----------	-----

Institution Information continued:

Spring 2012

Stuart School of Business  
Business Administration

BUS 212	Managerial Acctg and Control	3.00 A	12.00
BUS 221	Stats for Mgrl Decision Making	3.00 A	12.00
BUS 341	Introduction to Business Law	3.00 A	12.00
BUS 351	Prncpl Mgmt and Decision Making	3.00 A	12.00
LIT 366	20th Century Amer Literature	3.00 A	12.00
PSYC 301	Industrial Psychology	3.00 A	12.00

Ehrs: 18.00 GPA-Hrs: 18.00 QPts: 72.00 GPA: 4.00

Dean's List  
Good Standing

Fall 2012

Stuart School of Business  
Business Administration

BUS 301	Dsgn/Struc Orgnz Strat Dec-Mkg	3.00 A	12.00
BUS 311	Strategic Cost Management	3.00 A	9.00
BUS 321	Quantitative Models	3.00 A	12.00
BUS 423	Management Info Systems	3.00 A	12.00
BUS 455	Corporate Finance	3.00 A	12.00
IPRO 397	IPRO I	3.00 A	12.00

Ehrs: 18.00 GPA-Hrs: 18.00 QPts: 69.00 GPA: 3.83

Dean's List  
Good Standing

Spring 2013

Stuart School of Business  
Business Administration

BUS 402	Leadership Seminar	1.00 A	4.00
BUS 452	International Finance	3.00 A	12.00
BUS 458	Futures Options&Otc Drvts	3.00 A	12.00
BUS 497	Independent Study in Business	3.00 A	12.00
COM 380	Organizational Communication	3.00 A	12.00
INTR 015	Part-Time Internship	4.50 NG	0.00
PS 323	Prblms Multi-Ethnic,Religs Sta	3.00 A	12.00

Ehrs: 16.00 GPA-Hrs: 16.00 QPts: 64.00 GPA: 4.00

Dean's List  
Good Standing

Summer 2013

Stuart School of Business  
Business Administration

INTR 012	Full-Time Internship	9.00 NG	0.00
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Ehrs: 0.00 GPA-Hrs: 0.00 QPts: 0.00 GPA: 0.00

\*\*\*\*\* CONTINUED ON PAGE 2 \*\*\*\*\*

IVA JURKOVIC  
182 WEST LAKE STREET  
APT #504  
CHICAGO, IL 60601-1064



# Illinois Institute of Technology

Office of the Registrar  
Chicago, IL 60616

## OFFICE OF THE REGISTRAR OFFICIAL TRANSCRIPT

Record of: Iva Jurkovic

Student ID: A20274445

Date of Birth: 25-JUL

SSN: \*\*\*-\*\*-6637

Date Issued: 02-MAR-2015

Page: 2

SUBJ NO.	COURSE TITLE	CRED GRD	PTS E
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### Institution Information continued:

#### Fall 2013

##### Stuart School of Business

##### Business Administration

BUS 305	Dsgn of Bus Process and Models	3.00 A	12.00
BUS 371	Strategies for New Markets	3.00 A	12.00
BUS 454	Valuation/Portfolio Management	3.00 A	12.00
EG 225	Eng Graphics for Non-Engineers	3.00 A	12.00
INTR 015	Part-Time Internship	4.50 NG	0.00
IPRO 497	Interprofessional Project	3.00 A	12.00
MSF 503	Financial Modeling	3.00 B	9.00

Ehrs: 18.00 GPA-Hrs: 18.00 QPts: 69.00 GPA: 3.83

Dean's List

Good Standing

#### Spring 2014

##### Stuart School of Business

##### Business Administration

BUS 480	Strategic Mgmt and Design Thinking	3.00 A	12.00
BUS 497	Creating/Fin New Tech Ventures	3.00 A	12.00
EG 325	Adv Engg Graphics Non-Engineer	3.00 A	12.00
INTR 015	Part-Time Internship	4.50 NG	0.00
MSF 501	Math with Financial Apples.	3.00 B	9.00
MSF 502	Statistical Analysis in Fin Mkts	3.00 A	12.00

Ehrs: 15.00 GPA-Hrs: 15.00 QPts: 57.00 GPA: 3.80

Dean's List

Good Standing

### \*\*\*\*\* TRANSCRIPT TOTALS \*\*\*\*\*

	Earned Hrs	GPA Hrs	Points	GPA
TOTAL INSTITUTION	101.00	101.00	395.00	3.91

TOTAL TRANSFER	44.00	0.00	0.00	0.00
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OVERALL	145.00	101.00	395.00	3.91
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### \*\*\*\*\* END OF TRANSCRIPT \*\*\*\*\*

# Harrisburg University of Science and Technology

ID : 156800  
 Name : Iva Jurkovic  
 DOB : 07/25/XXXX  
 Address : 20 Newport Parkway  
 Apartment 1710  
 Jersey City, NJ 07310

326 Market Street  
 Harrisburg, PA 17101-2208

Iva Jurkovic  
 20 Newport Parkway  
 Apartment 1710  
 Jersey City, NJ 07310

## \* OFFICIAL TRANSCRIPT \*

### Graduate Division

Advisors : Randolph Ford Ph.D.

Course Number	Title	CR Type	Gra Rpt	Att	Ernd	HGps	Q.Pts	GPA
---------------	-------	---------	---------	-----	------	------	-------	-----

#### 2014-2015 Academic Year : Late Summer

ANLY-500	Analytics: Prin & Appl	CR	A	3.00	3.00	3.00	12.00	
ANLY-510	Analytics II: Principles & App	CR	A	3.00	3.00	3.00	12.00	
Term Totals :				6.00	6.00	6.00	24.00	4.0000
Career Totals :				6.00	6.00	6.00	24.00	4.0000

#### 2015-2016 Academic Year : Late Fall

ANLY-520	Sentiment Analytics	CR	A	3.00	3.00	3.00	12.00	
CISC-520	Data Engineering and Minin	CR	A	3.00	3.00	3.00	12.00	
Term Totals :				6.00	6.00	6.00	24.00	4.0000
Career Totals :				12.00	12.00	12.00	48.00	4.0000

#### 2015-2016 Academic Year : Late Spring

ANLY-515	Risk Modeling and Assessm	CR	C	3.00	3.00	3.00	6.00	
CISC-530	Computer Architecture for S	CR	A	3.00	3.00	3.00	12.00	
Term Totals :				6.00	6.00	6.00	18.00	3.0000
Career Totals :				18.00	18.00	18.00	66.00	3.6600

#### 2015-2016 Academic Year : Late Summer

ANLY-506	Exploring Data Analytics	CR	A	3.00	3.00	3.00	12.00	
ANLY-512	Data Visualization	CR	A-	3.00	3.00	3.00	11.01	
Term Totals :				6.00	6.00	6.00	23.01	3.8300
Career Totals :				24.00	24.00	24.00	89.01	3.7000

Course Number	Title	CR Type	Gra Rpt	Att	Ernd	HGps	Q.Pts	GPA
---------------	-------	---------	---------	-----	------	------	-------	-----

#### 2016-2017 Academic Year : Late Fall

CISC-525	Big Data Architectures	CR	A	3.00	3.00	3.00	12.00	
ISEM-500	IS Strategic Planning	CR	A	3.00	3.00	3.00	12.00	
Term Totals :				6.00	6.00	6.00	24.00	4.0000
Career Totals :				30.00	30.00	30.00	113.01	3.7600

#### 2016-2017 Academic Year : Late Spring

ANLY-515	Risk Modeling and Assessm	CR	WIP	R	0.00	0.00	0.00	0.00
GRAD-695	Research Methodology & W.	CR	WIP		0.00	0.00	0.00	0.00
Term Totals :				0.00	0.00	0.00	0.00	0.0000
Career Totals :				30.00	30.00	30.00	113.01	3.7600
Division Career Totals :				30.00	30.00	30.00	113.01	3.7600

### Degree Information :

(1) 'Master of Science' Date Conferred :

Major(s)  
 Analytics

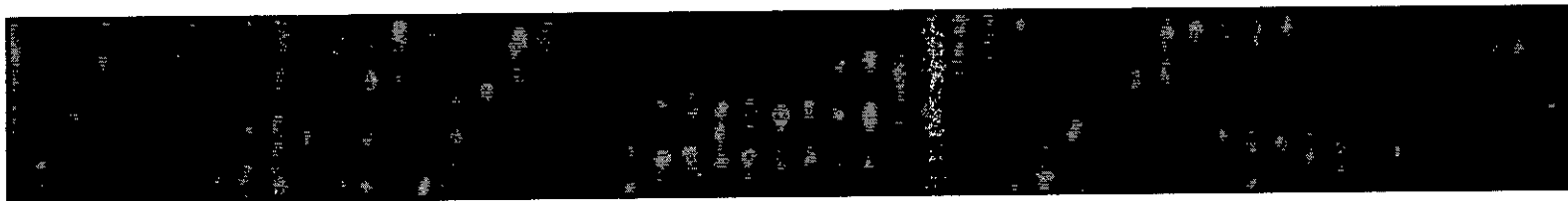
## Exhibit B: Proof of identity

---

- B1: Non-blank pages of passport

## Exhibit B1: Non-blank pages of passport

---





**06789593528**

Vehicle Type/Type	Vehicle description, Country code, Code to page	St. identifier-Producer File/Producer File
P	HRV	101263190

1000

P<HRVJURKOVIC<<IVA<<<<<<<<<<<<<<<<<<<<<<  
1012631907HRV8507251F2206297<<<<<<<<<<<<06









[illegible]

## CONCLUSIONS

1. A passport is proof of identity and certain privileges.
2. Citizens are obliged to carry a valid passport when travelling abroad. The passport must be valid for at least 3 months after the intended departure. The passport must be valid for at least 6 months after the intended departure. The passport must be valid for at least 9 months after the intended departure.
3. A passport is valid for the duration of the validity of the passport. The passport is valid for the duration of the validity of the passport. The passport is valid for the duration of the validity of the passport.
4. The passport is subject to the laws of the country of issue. The passport is subject to the laws of the country of issue. The passport is subject to the laws of the country of issue.
5. The passport is subject to the laws of the country of issue. The passport is subject to the laws of the country of issue. The passport is subject to the laws of the country of issue.

1-800-777-1234

- [illegible]

Prebivalište / address stanovanja / Piece of residence and current address / Domicile et adresse  
ZAGREB, LANIŠTE 16

Iva Jurković

Viasionučni potpis / Holder's signature / Signature du titulaire

PUTOVNICA SADP... BROJEM OZNAČENE STRANICE  
THIS PASSPORT... 32 NUMBERED PAGES  
CE PASSEPORT... 32 PAGES NUMÉROTÉES

## **Exhibit C: Proof of maintenance of status**

- C1: Current US visa stamp
- C2: Most recent I-94 admission record
- C3: All Form I-20s
- C4: I-539 approval from A-2 to F-1

## Exhibit C1: Current US visa stamp

---







Issuing Post Name  
DEPARTMENT  
Surname  
JURKOVIC  
Given Name  
IVA  
Passport Number  
003493942  
Entries  
M

Control Number  
20111924270001

Vias Type /Class  
O - A2  
Nationality  
HRV

Birth Date  
25 JUL 1985

Issue Date  
21 JUL 2011

Expiration Date  
22 JUL 2012

10119

Annotation  
CONSULATE GENERAL OF CROATIA  
CHICAGO, IL  
PA: SEDEJ JURKOVIC, JANJA

E3933143

VNUSAJURKOVIC<<IVA<<<<<<<<<<<<<<<<<<<<<<<<<<<<<<  
0034939428HRV8507251F120722OAZDPT04MNNW80120Z



RECEIPT NUMBER WAC-12-904-22758		CASE TYPE I539 APPLICATION TO EXTEND/CHANGE NONIMMIGRANT STATUS
RECEIPT DATE July 12, 2012	PRIORITY DATE	APPLICANT JURKOVIC, IVA
NOTICE DATE February 13, 2013	PAGE 1 of 1	BENEFICIARY JURKOVIC, IVA
IVA JURKOVIC 3201 S STATE ST BOX 2548 CHICAGO IL 60611		Notice Type: Approval Notice Class: F1 Valid from 01/29/2013 Valid for Duration of Status
<p>The above application for change of nonimmigrant status is approved. The new status is listed above. The length of authorized temporary stay in this status, for the applicant(s) named, is also listed above.</p> <p>Form I-20 ID (student copy) has also been endorsed to show the student's new classification. This is an important document that he or she must submit with any future applications to this service as long as he or she remains in student status.</p> <p>An updated I-94 is included in the lower portion of this notice. The I-94 portion should be given to the U.S. Customs and Border Patrol when he or she leaves the United States.</p> <p>If any person included in this application must depart the U.S., he or she may wish to take this notice with them to facilitate their return to this status. He or she must obtain a new visa in the new classification before returning to the U.S.</p> <p>THIS FORM IS NOT A VISA NOR MAY IT BE USED IN PLACE OF A VISA.</p> <p>NOTICE: Although this application/petition has been approved, USCIS and the U.S. Department of Homeland Security reserve the right to verify the information submitted in this application, petition and/or supporting documentation to ensure conformity with applicable laws, rules, regulations, and other authorities. Methods used for verifying information may include, but are not limited to, the review of public information and records, contact by correspondence, the internet, or telephone, and site inspections of businesses and residences. Information obtained during the course of verification will be used to determine whether revocation, rescission, and/or removal proceedings are appropriate. Applicants, petitioners, and representatives of record will be provided an opportunity to address derogatory information before any formal proceeding is initiated.</p>		

Please see the additional information on the back. You will be notified separately about any other cases you filed.  
U.S. CITIZENSHIP & IMMIGRATION SVC  
CALIFORNIA SERVICE CENTER  
P. O. BOX 30111

LAGUNA NIGUEL CA 92607-0111  
Customer Service Telephone: (800) 375-5283

Form I-797A (Rev. 10/21/05)



## Exhibit C2: Most recent I-94 admission record

---

**059379816 22**

Receipt Number WAC-12-904-22758  
United States Citizenship and Immigration  
Services

**I-94**

**Departure Record**

Petitioner: JURKOVIC, IVA

14. Family Name  
JURKOVIC

15. First (Given) Name  
IVA

17. Country of Citizenship  
CROATIA

16. Date of Birth  
07/25/1985

Form I-797A (Rev. 10/31/05) N

VISE/VISAS/VISAS

## Exhibit C3: All Form I-20s

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SEVIS ID: N0009505822

SURNAME/PRIMARY NAME Jurkovic	GIVEN NAME Iva	<b>Class of Admission</b>  <b>F-1</b>  <b>ACADEMIC AND LANGUAGE</b>
PREFERRED NAME Iva Jurkovic	PASSPORT NAME	
COUNTRY OF BIRTH CROATIA	COUNTRY OF CITIZENSHIP CROATIA	
DATE OF BIRTH 25 JULY 1985	ADMISSION NUMBER	
FORM ISSUE REASON CONTINUED ATTENDANCE	LEGACY NAME Iva Jurkovic	

**SCHOOL INFORMATION**

SCHOOL NAME Harrisburg University of Science & Technology Harrisburg University of Science & Tech	SCHOOL ADDRESS 326 Market Street, Harrisburg, PA 17101
SCHOOL OFFICIAL TO CONTACT UPON ARRIVAL Keith Green Director of Institutional Compliance	SCHOOL CODE AND APPROVAL DATE PHI214F02099000 06 MAY 2008

**PROGRAM OF STUDY**

EDUCATION LEVEL MASTER'S	MAJOR 1 Computational and Applied Mathematics 27.0304	MAJOR 2 None 00.0000
PROGRAM ENGLISH PROFICIENCY Required	ENGLISH PROFICIENCY NOTES Student is proficient	EARLIEST ADMISSION DATE
START OF CLASSES 19 JUNE 2015	PROGRAM START/END DATE 19 JUNE 2015 - 13 OCTOBER 2017	

**FINANCIALS**

ESTIMATED AVERAGE COSTS FOR: 12 MONTHS		STUDENT'S FUNDING FOR: 12 MONTHS	
Tuition and Fees	\$ 15,900	Personal Funds	\$ 0
Living Expenses	\$ 10,000	Funds From This School	\$
Expenses of Dependents (0)	\$	family	\$ 25,900
Other	\$	On-Campus Employment	\$
TOTAL	\$ 25,900	TOTAL	\$ 25,900

**REMARKS**

Additional semester needed. KAG, PDSO

**SCHOOL ATTESTATION**

I certify under penalty of perjury that all information provided above was entered before I signed this form and is true and correct. I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form. The school has determined that the above named student's qualifications meet all standards for admission to the school and the student will be required to pursue a full program of study as defined by 8 CFR 214.2(f)(6). I am a designated school official of the above named school and am authorized to issue this form.

X	DATE ISSUED 04 February 2017	PLACE ISSUED Harrisburg, PA
SIGNATURE OF: Keith Green, Director of Institutional Compliance		

**STUDENT ATTESTATION**

I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full program of study at the school named above. I also authorize the named school to release any information from my records needed by DHS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status. Parent or guardian, and student, must sign if student is under 18.

X	DATE 02/04/2017		
SIGNATURE OF: Iva Jurkovic			
X			
NAME OF PARENT OR GUARDIAN	SIGNATURE	ADDRESS (city/state or province/country)	DATE

SEVIS ID: N0009505822 (F-1)

NAME: Iva Jurkovic

EMPLOYMENT AUTHORIZATIONS

TYPE	FULL/PART-TIME	STATUS	START DATE	END DATE
CPT	FULL TIME	APPROVED	19 JUNE 2016	10 JUNE 2017

EMPLOYER INFORMATION

TYPE	AUTHORIZATION DATES			
CPT	19 JUNE 2016 - 10 JUNE 2017			
EMPLOYER NAME	START DATE	END DATE	CITY & STATE	
Forex Capital Markets, LLC	19 JUNE 2016	10 JUNE 2017	New York, NY	

CHANGE OF STATUS/CAP-GAP EXTENSION

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AUTHORIZED REDUCED COURSE LOAD

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CURRENT SESSION DATES

CURRENT SESSION START DATE	CURRENT SESSION END DATE
29 OCTOBER 2016	25 FEBRUARY 2017

TRAVEL ENDORSEMENT

This page, when properly endorsed, may be used for re-entry of the student to attend the same school after a temporary absence from the United States. Each endorsement is valid for one year.

Designated School Official	TITLE	SIGNATURE	DATE ISSUED	PLACE ISSUED
<i>Kathy Green</i>	<i>PDSo</i>	X <i>[Signature]</i>	<i>2/4/2017</i>	<i>HRG, PA</i>
		X		
		X		
		X		

SEVIS ID: N0009505822

<b>SURNAME/PRIMARY NAME</b> Jurkovic	<b>GIVEN NAME</b> Iva	<b>CLASS</b>  <b>F-1</b>  <b>ACADEMIC AND LANGUAGE</b>
<b>PREFERRED NAME</b> Iva Jurkovic	<b>PASSPORT NAME</b>	
<b>COUNTRY OF BIRTH</b> CROATIA	<b>COUNTRY OF CITIZENSHIP</b> CROATIA	
<b>DATE OF BIRTH</b> 25 JULY 1985	<b>ADMISSION NUMBER</b>	
<b>FORM ISSUE REASON</b> CONTINUED ATTENDANCE	<b>LEGACY NAME</b> Iva Jurkovic	

**SCHOOL INFORMATION**

<b>SCHOOL NAME</b> Harrisburg University of Science & Technology Harrisburg University of Science & Tech	<b>SCHOOL ADDRESS</b> 326 Market Street, Harrisburg, PA 17101
<b>SCHOOL OFFICIAL TO CONTACT UPON ARRIVAL</b> Keith Green Director of Institutional Compliance	<b>SCHOOL CODE AND APPROVAL DATE</b> PHI214F02099000 06 MAY 2008

**PROGRAM OF STUDY**

<b>EDUCATION LEVEL</b> MASTER'S	<b>MAJOR 1</b> Computational and Applied Mathematics 27.0304	<b>MAJOR 2</b> None 00.0000
<b>NORMAL PROGRAM LENGTH</b> 24 Months	<b>PROGRAM ENGLISH PROFICIENCY</b> Required	<b>ENGLISH PROFICIENCY NOTES</b> Student is proficient
<b>PROGRAM START DATE</b> 19 JUNE 2015	<b>PROGRAM END DATE</b> 10 JUNE 2017	

**FINANCIALS**

<b>ESTIMATED AVERAGE COSTS FOR: 12 MONTHS</b>		<b>STUDENT'S FUNDING FOR: 12 MONTHS</b>	
Tuition and Fees	\$ 15,900	Personal Funds	\$ 0
Living Expenses	\$ 10,000	Funds From This School	\$
Expenses of Dependents (0)	\$	family	\$ 25,900
Other	\$	On-Campus Employment	\$
<b>TOTAL</b>	<b>\$ 25,900</b>	<b>TOTAL</b>	<b>\$ 25,900</b>

**REMARKS**

**SCHOOL ATTESTATION**

I certify under penalty of perjury that all information provided above was entered before I signed this form and is true and correct. I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form. The school has determined that the above named student's qualifications meet all standards for admission to the school and the student will be required to pursue a full program of study as defined by 8 CFR 214.2(f)(6). I am a designated school official of the above named school and am authorized to issue this form.

<input checked="" type="checkbox"/> X	<b>DATE ISSUED</b> 07 June 2016	<b>PLACE ISSUED</b> Harrisburg, PA
<b>SIGNATURE OF:</b> Keith Green, Director of Institutional Compliance		

**STUDENT ATTESTATION**

I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full program of study at the school named above. I also authorize the named school to release any information from my records needed by DHS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status. Parent or guardian, and student, must sign if student is under 18.

<input checked="" type="checkbox"/> X	<b>DATE</b> 06/14/2016
<b>SIGNATURE OF:</b> Iva Jurkovic	
<input checked="" type="checkbox"/> X	<b>DATE</b>
<b>NAME OF PARENT OR GUARDIAN</b>	<b>SIGNATURE</b>
<b>NAME OF PARENT OR GUARDIAN</b>	<b>ADDRESS (city/state or province/country)</b>
<b>NAME OF PARENT OR GUARDIAN</b>	<b>DATE</b>



SEVIS ID: N0009505822 (F-1)

NAME: Iva Jurkovic

EMPLOYMENT AUTHORIZATIONS

AUTHORIZATION TYPE	FULL/PART-TIME	STATUS	START DATE	END DATE
CPT	FULL TIME	APPROVED	19 JUNE 2015	18 JUNE 2016
CPT	FULL TIME	APPROVED	19 JUNE 2016	10 JUNE 2017

EMPLOYER INFORMATION

TYPE	AUTHORIZATION DATES		
CPT	19 JUNE 2015 - 18 JUNE 2016		
EMPLOYER NAME	START DATE	END DATE	CITY & STATE
Forex Capital Markets	19 JUNE 2015	18 JUNE 2016	New York, NY

TYPE	AUTHORIZATION DATES		
CPT	19 JUNE 2016 - 10 JUNE 2017		
EMPLOYER NAME	START DATE	END DATE	CITY & STATE
Forex Capital Markets, LLC	19 JUNE 2016	10 JUNE 2017	New York, NY

CHANGE OF STATUS/CAP-GAP EXTENSION



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AUTHORIZED DROP BELOW FULL COURSE OF STUDY

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TRAVEL ENDORSEMENT

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Designated School Official	TITLE	SIGNATURE	DATE ISSUED	PLACE ISSUED
	PROSO	X 	6/7/2016	OTD, MA
		X		
		X		
		X		

U.S. Department of Justice  
Immigration and Naturalization Service

Certificate of Eligibility for Nonimmigrant (F-1) Student  
Status - For Academic and Language Students (OMB NO. 1653-0038) Page 1

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

SEVIS

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth(mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:
2. School (School district) name: <b>Harrisburg University of Science &amp; Technology Harrisburg University of Science &amp; Tech</b>	
School Official to be notified of student's arrival in U.S.(Name and Title): <b>Keith Green Director of Institutional Compliance</b>	
School address (include zip code): <b>326 Market Street Harrisburg, PA 17101-2116</b>	
School code (including 3-digit suffix, if any) and approval date: <b>PHI214F02099000</b> approved on <b>05/06/2008</b>	

For Immigration Official User		Student's Copy N0009505822
Visa issuing post		
Date Visa Issued		
Reinstatement, extension granted to:		

3. This certificate is issued to the student named above for:  
**Continued attendance at this school.**
4. Level of education the student is pursuing or will pursue in the United States:  
**MASTER'S**
5. The student named above has been accepted for a full course of study at this school, majoring in Computational and Applied Mathematics.  
The student is expected to report to the school no later than 06/19/2015  
and complete studies not later than 06/10/2017. The normal length of  
study is 24 months.
6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**
7. This school estimates the student's average costs for an academic term of  
12 (up to 12) months to be:
- |                                |                     |
|--------------------------------|---------------------|
| a. Tuition and fees            | \$ <u>15,900.00</u> |
| b. Living expenses             | \$ <u>10,000.00</u> |
| c. Expenses of dependents (0 ) | \$ <u>0.00</u>      |
| d. Other (specify):            | \$ <u>0.00</u>      |
| Total                          | \$ <u>25,900.00</u> |

8. This school has information showing the following as the student's  
means of support, estimated for an academic term of 12  
months (Use the same number of months given in item 7).
- |                              |                     |
|------------------------------|---------------------|
| a. Student's personal funds  | \$ <u>0.00</u>      |
| b. Funds from this school    | \$ <u>0.00</u>      |
| Specify type:                |                     |
| c. Funds from another source | \$ <u>25,900.00</u> |
| Specify type: <u>family</u>  |                     |
| d. On-campus employment      | \$ <u>0.00</u>      |
| Total                        | \$ <u>25,900.00</u> |

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation. In the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

<b>Keith Green</b>	<b>Director of Institutional Compliance</b>	<b>06/20/2015</b>	<b>Harrisburg, PA</b>
Name of School Official	Signature of Designated School Official	Title	Date Issued Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

<b>Iva Jurkovic</b>	<b>Iva Jurkovic</b>	<b>06/25/2015</b>
Name of Student	Signature of Student	Date

Name of parent or guardian	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
If student under 18				

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

SEVIS

FAMILYNAME: Jurkovic FIRST NAME: IvaPrimary Major: 27.0304 Computational and Applied Mathematics

## Student Employment Authorization:

Employment Status: FULL TIME Type: CPT  
 Duration of Employment - From (Date): 06/19/2015 To (Date): 06/18/2016  
 Employer Name: Forex Capital Markets  
 Employer Location: 55 Water Street  
New York, NY 10041

Comments: Program Related Applied MathematicsStudent's Copy  
N0009505822

Event History  
 Event Name:  
Registration

Event Date:  
06/17/2015

Current Authorizations:

CPT Employment

Start Date: End Date:

06/19/2015 06/18/2016


This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States.  
 Each certification signature is valid for one year.

Name of School:

Keith Green

Director of Institution-  
 al Compliance

06/20/2015 Harrisburg, PA

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
				
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)


Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

SEVIS

1. Family Name (surname): Jurkovic	
First (given) Name: Iva	Middle Name:
Country of birth: CROATIA	Date of birth (mo/day/year): 07/25/1985
Country of citizenship: CROATIA	Admission number:

For Immigration Official User	
Visa Issuing post	Date Visa Issued
Reinstated, extension granted to:	

Student's Copy N0009505822


2. School (School district) name: Illinois Institute of Technology Main Campus	
School Official to be notified of student's arrival in U.S. (Name and Title): Karen Sproutzer International Student Advisor	
School address (include zip code): 3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793	
School code (including 3-digit suffix, if any) and approval date: CHI214P00379000 approved on 01/09/2003	

3. This certificate is issued to the student named above for:  
Continued attendance at this school.

4. Level of education the student is pursuing or will pursue in the United States:  
BACHELOR'S

5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management.  
The student is expected to report to the school no later than 02/01/2013 and complete studies not later than 05/12/2018. The normal length of study is 72 months.

6. English proficiency:  
This school requires English proficiency.  
The student has the required English proficiency.

7. This school estimates the student's average costs for an academic term of 9 (up to 12) months to be:

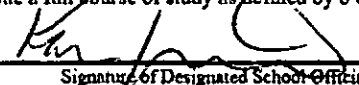
a. Tuition and fees	\$ 38,850.00
b. Living expenses	\$ 12,000.00
c. Expenses of dependents (0 )	\$ 0.00
d. Other (specify):	\$ 0.00
Total	\$ 50,850.00

8. This school has information showing the following as the student's means of support, estimated for an academic term of 9 months (Use the same number of months given in item 7).

a. Student's personal funds	\$ 0.00
b. Funds from this school	\$ 28,500.00
Specify type: <u>International Student Scholar</u>	
c. Funds from another source	\$ 83,830.00
Specify type: <u>Family Funds</u>	
d. On-campus employment	\$ 0.00
Total	\$ 112,330.00

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Karen Sproutzer		International Student Advisor	01/08/2014	Chicago, IL

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

Name of Student	Signature of Student	Date
Iva Jurkovic		01/09/2014

Name of parent or guardian If student under 18	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
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IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

FAMILYNAME: Jurkovic FIRST NAME: Iva

Primary Major: 52.0201 Business Administration and Management

## Student Employment Authorization:

Employment Status: PART TIME Type: CPT  
 Duration of Employment - From (Date): 01/09/2014 To (Date): 05/17/2014  
 Employer Name: Infinium Capital Mgt.  
 Employer Location: 600 W. Chicago  
Chicago, IL 60654

Comments: To enhance coursework with practical application.

Student's Copy  
N0009505822

## Event History

Event Name:  
Registration

Event Date:  
02/18/2013

## Current Authorizations:

CPT Employment

Start Date: End Date:  
01/09/2014 05/17/2014

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Karen Spreitzer

International Student A-  
dvisor

01/08/2014 Chicago, IL

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:

2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Shannon Ingleby International Student Advisor</b>	
School address (include zip code): <b>3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214F00379000 approved on 01/09/2003</b>	

3. This certificate is issued to the student named above for:  
**Continued attendance at this school.**
4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**

5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management. The student is expected to report to the school no later than 02/01/2013 and complete studies not later than 05/17/2014. The normal length of study is 72 months.

6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**

7. This school estimates the student's average costs for an academic term of 9 (up to 12) months to be:
- |                                |                     |
|--------------------------------|---------------------|
| a. Tuition and fees            | \$ <u>38,850.00</u> |
| b. Living expenses             | \$ <u>12,000.00</u> |
| c. Expenses of dependents (0 ) | \$ <u>0.00</u>      |
| d. Other (specify):            | \$ <u>0.00</u>      |
| Total                          | \$ <u>50,850.00</u> |

For Immigration Official User		SEVIS Student's Copy N0009505822
Visa Issuing post	Date Visa Issued	
Reinstated, extension granted to:		

8. This school has information showing the following as the student's means of support, estimated for an academic term of 9 months (Use the same number of months given in item 7).
- |  |                      |
|--|----------------------|
| a. Student's personal funds                        | \$ <u>0.00</u>       |
| b. Funds from this school                          | \$ <u>20,500.00</u>  |
| Specify type: <u>International Student Scholar</u> |                      |
| c. Funds from another source                       | \$ <u>83,830.00</u>  |
| Specify type: <u>Family Funds</u>                  |                      |
| d. On-campus employment                            | \$ <u>0.00</u>       |
| Total  | \$ <u>112,330.00</u> |

9. Remarks: Post completion OPT in Business Administration & Management

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Shannon Ingleby	<i>Shannon Ingleby</i>	International Student Advisor	03/03/2014	Chicago, IL

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

Name of Student	Signature of Student	Date
Iva Jurkovic	<i>Iva Jurkovic</i>	03/05/2014

Name of parent or guardian If student under 18	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
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IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

Student's Copy  
N0009505822



FAMILYNAME: Jurkovic FIRST NAME: Iva

Primary Major: 52.0201 Business Administration and Management,

Student Employment Authorization:

Employment Status: FULL TIME Type: OPT  
Duration of Employment - From (Date): 05/19/2014 To (Date): 05/18/2015  
Employer Name:  
Employer Location:

The Student has met the 1 full academic year requirement.

Comments: Post completion OPT in Business Administration & Management

Employment Status: PART TIME Type: CPT  
Duration of Employment - From (Date): 01/09/2014 To (Date): 05/17/2014  
Employer Name: V3 Markets, LLC  
Employer Location: 600 W. Chicago  
Chicago, IL 60654

Comments: To enhance coursework with practical application  
Company name change effective 2/1/2014. Formerly Infinium Capital Mgt.

## Event History

Event Name:  
Registration

Event Date:  
02/18/2013

## Current Authorizations:

	Start Date:	End Date:
CPT Employment	01/09/2014	05/17/2014
OPT Employment Requested	05/19/2014	05/18/2015

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Shannon Ingleby

International Student A-  
dvisor

03/03/2014 Chicago, IL

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:
2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Amy Benck Director</b>	
School address (include zip code): <b>3201 S. State Street MCC Room 203 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214F00379000</b> approved on <b>01/09/2003</b>	

For Immigration Official User

SEVIS

Student's Copy  
N0009505822

Visa issuing post

Date Visa Issued

Reinstated, extension granted to:

3. This certificate is issued to the student named above for:  
**Continued attendance at this school.**
4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**
5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management.  
The student is expected to report to the school no later than 02/01/2013  
and complete studies not later than 05/12/2018. The normal length of  
study is 72 months.
6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**
7. This school estimates the student's average costs for an academic term of  
9 (up to 12) months to be:
- |                                |                     |
|--------------------------------|---------------------|
| a. Tuition and fees            | \$ <u>38,850.00</u> |
| b. Living expenses             | \$ <u>12,000.00</u> |
| c. Expenses of dependents (0 ) | \$ <u>0.00</u>      |
| d. Other (specify):            | \$ <u>0.00</u>      |
| Total                          | \$ <u>50,850.00</u> |

8. This school has information showing the following as the student's  
means of support, estimated for an academic term of 9  
months (Use the same number of months given in item 7).
- |  |                      |
|--|----------------------|
| a. Student's personal funds                        | \$ <u>0.00</u>       |
| b. Funds from this school                          | \$ <u>28,500.00</u>  |
| Specify type: <u>International Student Scholar</u> |                      |
| c. Funds from another source                       | \$ <u>83,830.00</u>  |
| Specify type: <u>Family Funds</u>                  |                      |
| d. On-campus employment                            | \$ <u>0.00</u>       |
| Total  | \$ <u>112,330.00</u> |

9. Remarks: Update CPT

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation, in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

**Amy Benck**

**Director**

**02/04/2014 Chicago, IL**

Name of School Official

Signature of Designated School Official

Title

Date Issued

Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

**Iva Jurkovic**

**Iva Jurkovic**

**02/04/2014**

Name of parent or guardian  
If student under 18

Signature of parent or guardian

Address (city)

(State or Province) (Country)

(Date)



IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

SEVIS

FAMILYNAME: Jurkovic FIRST NAME: Iva  
 Primary Major: 52.0201 Business Administration and Management  
 Student Employment Authorization:  
 Employment Status: PART TIME Type: CPT  
 Duration of Employment - From (Date): 01/09/2014 To (Date): 05/17/2014  
 Employer Name: V3 Markets, LLC  
 Employer Location: 600 W. Chicago  
Chicago, IL 60654

Student's Copy  
 N0009505822

Comments: To enhance coursework with practical application  
Company name change effective 2/1/2014. Formerly Infinium Capital Mgt.

Event History  
 Event Name:  
Registration


Event Date:  
02/18/2013

Current Authorizations:  
CPT Employment

Start Date: End Date:  
01/09/2014 05/17/2014

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

<u>Amy Benck</u>		<u>Director</u>	<u>02/04/2014</u>	<u>Chicago, IL</u>
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

SEVIS

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:

2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Tanya DePass International Student Advisor/SEVIS Coordinator</b>	
School address (include zip code): <b>3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214F00379000</b> approved on <b>01/09/2003</b>	

3. This certificate is issued to the student named above for:  
**Continued attendance at this school.**

4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**

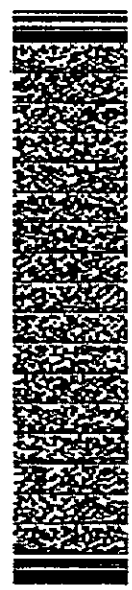
5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management. The student is expected to report to the school no later than 02/01/2013 and complete studies not later than 05/12/2018. The normal length of study is 72 months.

6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**

7. This school estimates the student's average costs for an academic term of 9 (up to 12) months to be:

a. Tuition and fees	\$ <u>38,850.00</u>
b. Living expenses	\$ <u>12,000.00</u>
c. Expenses of dependents (0)	\$ <u>0.00</u>
d. Other (specify):	\$ <u>0.00</u>
Total	\$ <u>50,850.00</u>

For Immigration Official User	
Visa Issuing post	Date Visa Issued
Reinstated/extension granted to:	

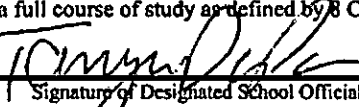
Student's Copy N0009505822


8. This school has information showing the following as the student's means of support, estimated for an academic term of 9 months: (Use the same number of months given in item 7).

a. Student's personal funds	\$ <u>0.00</u>
b. Funds from this school	\$ <u>28,500.00</u>
Specify type: <u>International Student Scholar</u>	
c. Funds from another source	\$ <u>83,830.00</u>
Specify type: <u>Family Funds</u>	
d. On-campus employment	\$ <u>0.00</u>
Total	\$ <u>112,330.00</u>

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

<b>Tanya DePass</b>		<b>International Student A-</b>	<b>02/26/2013</b>	<b>Chicago, IL</b>
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

<b>IVA JURKOVIC</b>		<b>02/26/2013</b>
Name of Student	Signature of Student	Date

Name of parent or guardian If student under 18	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
---	---------------------------------	----------------	-------------------------------	--------

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

FAMILYNAME: Jurkovic FIRST NAME: Iva

Primary Major: 52.0201 Business Administration and Management,

Student Employment Authorization:

Employment Status: **PART TIME** Type: **CPT**  
 Duration of Employment - From (Date): **03/11/2013** To (Date): **05/18/2013**  
 Employer Name: **Infinium Capital Management**  
 Employer Location: **600 W Chicago Avenue**  
**Chicago, IL 60659**

Comments:

Student's Copy  
N0009505822



Event History  
 Event Name:  
 Registration

Event Date:  
 02/18/2013

Current Authorizations:  
 CPT Employment

Start Date: End Date:  
 03/11/2013 05/18/2013

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

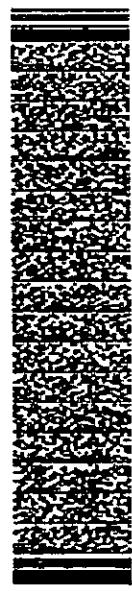
Tanya DePass		International Student A- dvisor/SEVIS Coordinator	02/26/2013	Chicago, IL
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:
2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Tanya DePass International Student Advisor/SEVIS Coordinator</b>	
School address (include zip code): <b>3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214700379000</b> approved on <b>01/09/2003</b>	

For Immigration Official User	
Visa issuing post	Date Visa Issued
Reinstated, extension granted to:	

SEVIS Student's Copy N0009505822


3. This certificate is issued to the student named above for:  
**Continued attendance at this school.**  
**Reprint reason: UPDATED**
4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**
5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management. The student is expected to report to the school no later than 02/01/2013 and complete studies not later than 05/12/2018. The normal length of study is 72 months.
6. English proficiency:  
**This school requires English proficiency.**  
**The student has the required English proficiency.**
7. This school estimates the student's average costs for an academic term of 9 (up to 12) months to be:
- |                                |                     |
|--------------------------------|---------------------|
| a. Tuition and fees            | \$ <u>38,850.00</u> |
| b. Living expenses             | \$ <u>12,000.00</u> |
| c. Expenses of dependents (o ) | \$ <u>0.00</u>      |
| d. Other (specify):            | \$ <u>0.00</u>      |
| Total                          | \$ <u>50,850.00</u> |

8. This school has information showing the following as the student's means of support, estimated for an academic term of 9 months (Use the same number of months given in item 7).
- |  |                      |
|--|----------------------|
| a. Student's personal funds                        | \$ <u>0.00</u>       |
| b. Funds from this school                          | \$ <u>28,500.00</u>  |
| Specify type: <u>International Student Scholar</u> |                      |
| c. Funds from another source                       | \$ <u>83,830.00</u>  |
| Specify type: <u>Family Funds</u>                  |                      |
| d. On-campus employment                            | \$ <u>0.00</u>       |
| Total  | \$ <u>112,330.00</u> |

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

<b>Tanya DePass</b>	<b>International Student A-</b>	<b>02/18/2013</b>	<b>Chicago, IL</b>
Name of School Official	Signature of Designated School Official	Date Issued	Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

<b>IVA JURKOVIC</b>	<b>Iva Jurkovic</b>	<b>02/25/2013</b>
Name of Student	Signature of Student	Date

Name of parent or guardian	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
If student under 18				

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

FAMILYNAME: Jurkovic FIRST NAME: Iva

Primary Major: 52.0201 Business Administration and Management

Student Employment Authorization:

Employment Status:

Type:

Duration of Employment - From (Date):

To (Date):

Employer Name:

Employer Location:

Comments:

Student's Copy  
N0009505822



Event History  
Event Name:  
Registration

Event Date:  
02/18/2013

Current Authorizations:

Start Date: End Date:

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Tanya DePass

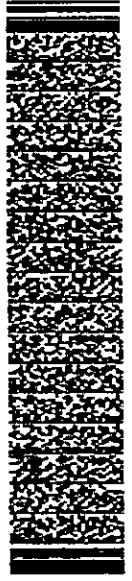
International Student A-  
dvisor/SEVIS Coordinator

02/18/2013 Chicago, IL

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2  
This page must be completed and signed in the U.S. by a designated school official.

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:
2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Tanya DePass International Student Advisor/SEVIS Coordinator</b>	
School address (include zip code): <b>3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214F00379000</b> approved on <b>01/09/2003</b>	

For Immigration Official User		SEVIS Student's Copy N0009505822 
Visa Issuing post	Date Visa Issued	
Reinstated, extension granted to:		

3. This certificate is issued to the student named above for:  
**Continued attendance at this school.**
4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**
5. The student named above has been accepted for a full course of study at this school, majoring in **Business Administration and Management**. The student is expected to report to the school no later than **02/01/2013** and complete studies not later than **05/12/2018**. The normal length of study is **72** months.
6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**
7. This school estimates the student's average costs for an academic term of **9** (up to 12) months to be:
- |                                |                     |
|--------------------------------|---------------------|
| a. Tuition and fees            | \$ <b>38,850.00</b> |
| b. Living expenses             | \$ <b>12,000.00</b> |
| c. Expenses of dependents (0 ) | \$ <b>0.00</b>      |
| d. Other (specify):            | \$ <b>0.00</b>      |
| Total                          | \$ <b>50,850.00</b> |

8. This school has information showing the following as the student's means of support, estimated for an academic term of **9** months (Use the same number of months given in item 7).
- |  |                      |
|--|----------------------|
| a. Student's personal funds                        | \$ <b>0.00</b>       |
| b. Funds from this school                          | \$ <b>28,500.00</b>  |
| Specify type: <b>International Student Scholar</b> |                      |
| c. Funds from another source                       | \$ <b>83,830.00</b>  |
| Specify type: <b>Family Funds</b>                  |                      |
| d. On-campus employment                            | \$ <b>0.00</b>       |
| Total  | \$ <b>112,330.00</b> |
9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

<b>Tanya DePass</b>	<b>International Student A-</b>	<b>08/20/2013</b>	<b>Chicago, IL</b>
Name of School Official	Signature of Designated School Official	Date Issued	Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

<b>Iva Jurkovic</b>	<b>08/20/2013</b>
Name of Student	Date

Name of parent or guardian If student under 18	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
---	---------------------------------	----------------	-------------------------------	--------

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

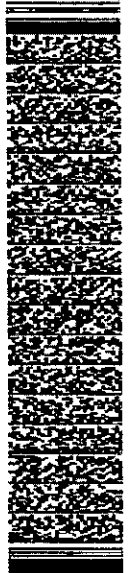
FAMILYNAME: Jurkovic FIRST NAME: Iva

Primary Major: 52.0201 Business Administration and Management,

Student Employment Authorization:

Employment Status: **PART TIME** Type: **CPT**  
 Duration of Employment - From (Date): **08/21/2013** To (Date): **12/21/2013**  
 Employer Name: **Infinium Capital**  
 Employer Location: **600 W Chicago Avenue**  
**Chicago, IL 60654**

Student's Copy  
 N0009505822



Comments:

Event History  
 Event Name:  
**Registration**

Event Date:  
**02/18/2013**

Current Authorizations:  
**CPT Employment**

Start Date: **08/21/2013** End Date: **12/21/2013**

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

**Tanya DePass**

**International Student A-  
 dvisor/SEVIS Coordinator**

**08/20/2013 Chicago, IL**

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

U.S. Department of Justice  
Immigration and Naturalization Service

Certificate of Eligibility for Nonimmigrant (F-1) Student  
Status - For Academic and Language Students (OMB NO. 1653-003)

Page

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

1. Family Name (surname): Jurkovic	
First (given) Name: Iva	Middle Name:
Country of birth: CROATIA	Date of birth (mo/day/year): 07/25/1985
Country of citizenship: CROATIA	Admission number:

2. School (School district) name: Illinois Institute of Technology Main Campus	
School Official to be notified of student's arrival in U.S. (Name and Title): Amy Benok Director	
School address (include zip code): 3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793	
School code (including 3-digit suffix, if any) and approval date: CHI214F00379000 approved on 01/09/2003	

3. This certificate is issued to the student named above for:  
Continued attendance at this school.

4. Level of education the student is pursuing or will pursue in the United States:  
BACHELOR'S

5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management. The student is expected to report to the school no later than 02/01/2013 and complete studies not later than 05/12/2018. The normal length of study is 72 months.

6. English proficiency:  
This school requires English proficiency.  
The student has the required English proficiency.

7. This school estimates the student's average costs for an academic term of 9 (up to 12) months to be:

a. Tuition and fees	\$ <u>38,850.00</u>
b. Living expenses	\$ <u>12,000.00</u>
c. Expenses of dependents (0 )	\$ <u>0.00</u>
d. Other (specify):	\$ <u>0.00</u>
Total	\$ <u>50,850.00</u>

For Immigration Official User	
Visa issuing post	Date Visa issued
Reinstated, extension granted to:	

Student's Copy  
N0009505822



8. This school has information showing the following as the student means of support, estimated for an academic term of 9 months (Use the same number of months given in item 7).

a. Student's personal funds	\$ <u>0.00</u>
b. Funds from this school	\$ <u>28,500.00</u>
Specify type: <u>International Student Scholar</u>	
c. Funds from another source	\$ <u>83,830.00</u>
Specify type: <u>Family Funds</u>	
d. On-campus employment	\$ <u>0.00</u>
Total	\$ <u>112,330.00</u>

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

Amy Benok		Director	05/16/2013	Chicago, IL
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

Iva Jurkovic		05/17/2013
Name of Student	Signature of Student	Date

Name of parent or guardian	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
If student under 18				



IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

FAMILYNAME: Jurkovic FIRST NAME: Iva

Primary Major: 52.0201 Business Administration and Management

Student Employment Authorization:

Employment Status: FULL TIME Type: CPT  
 Duration of Employment - From (Date): 05/20/2013 To (Date): 08/16/2013  
 Employer Name: Infinium Capital Management  
 Employer Location: 600 W Chicago Ave 300N  
Chicago, IL 60654

Comments: To supplement coursework.

Employment Status: PART TIME Type: CPT  
 Duration of Employment - From (Date): 03/11/2013 To (Date): 05/18/2013  
 Employer Name: Infinium Capital Management  
 Employer Location: 600 W Chicago Avenue  
Chicago, IL 60659

Comments:

SEVI

Student's Copy  
 N000950582

#### Event History

Event Name:  
 Registration

Event Date:  
 02/18/2013

#### Current Authorizations:

CPT Employment  
 CPT Employment

Start Date: End Date:  
 03/11/2013 05/18/2013  
 05/20/2013 08/16/2013

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Amy Benck



Director

05/16/2013 Chicago, IL

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

SEVIS

1. Family Name (surname):  
**Jurkovic**

First (given) Name: **Iva** Middle Name:

Country of birth: **CROATIA** Date of birth (mo/day/year): **07/25/1985**

Country of citizenship: **CROATIA** Admission number:

2. School (School district) name:  
**Illinois Institute of Technology  
Main Campus**

School Official to be notified of student's arrival in U.S. (Name and Title):  
**Kara Johnson  
Assistant Director, International Student & Scholar Services**

School address (include zip code):  
**3201 S. State Street  
MTCC Room 203  
Chicago, IL 60616-3793**

School code (including 3-digit suffix, if any) and approval date:  
**CHI214F00379000** approved on **01/09/2003**

3. This certificate is issued to the student named above for:  
**Initial Attendance - Change of Status Requested.**

4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**

5. The student named above has been accepted for a full course of study at this school, majoring in **Business Administration and Management**.  
The student is expected to report to the school no later than **02/01/2013**  
and complete studies not later than **05/12/2018**. The normal length of study is **72** months.

6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**

7. This school estimates the student's average costs for an academic term of **9** (up to 12) months to be:


a. Tuition and fees	\$ <b>38,850.00</b>
b. Living expenses	\$ <b>12,000.00</b>
c. Expenses of dependents (0)	\$ <b>0.00</b>
d. Other (specify):	\$ <b>0.00</b>
Total	\$ <b>50,850.00</b>

For Immigration Official User

Visa Issuing post: Date Visa Issued:

Reinstated; extension granted to:

Student's Copy  
N0009505822



8. This school has information showing the following as the student's means of support, estimated for an academic term of **9** months (Use the same number of months given in item 7).

a. Student's personal funds	\$ <b>0.00</b>
b. Funds from this school	\$ <b>28,500.00</b>
Specify type: <b>International Student Scholar</b>	
c. Funds from another source	\$ <b>83,830.00</b>
Specify type: <b>Family Funds</b>	
d. On-campus employment	\$ <b>0.00</b>
Total	\$ <b>112,330.00</b>

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

<b>Kara Johnson</b>	<b>Assistant Director, International Student &amp; Scholar</b>	<b>01/15/2013</b>	<b>Chicago, IL</b>
Name of School Official	Signature of Designated School Official	Title	Date Issued Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

<b>Iva Jurkovic</b>	<b>Iva Jurkovic</b>	<b>01/15/2013</b>
Name of Student	Signature of Student	Date

Name of parent or guardian	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
If student under 18				

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

SEVIS

FAMILYNAME: Jurkovic FIRST NAME: IvaPrimary Major: 52.0201 Business Administration and Management,

Student Employment Authorization:

Employment Status:

Type:

Duration of Employment - From (Date):

To (Date):

Employer Name:

Employer Location:

Comments:

Student's Copy

N0009505822



Event History

Event Name:

Event Date:

Current Authorizations:

Start Date:

End Date:

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Kara Johnson

Assistant Director, International Student &amp; Schol

01/15/2013

Chicago, IL

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2

This page must be completed and signed in the U.S. by a designated school official.

SEVIS

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:

2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Tanya DePass International Student Advisor/SEVIS Coordinator</b>	
School address (include zip code): <b>3201 S. State Street MTCC Room 203 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214F00379000</b> approved on <b>01/09/2003</b>	


3. This certificate is issued to the student named above for:  
**Initial Attendance - Change of Status Requested.**
4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**

5. The student named above has been accepted for a full course of study at this school, majoring in **Business Administration and Management**. The student is expected to report to the school no later than **11/01/2012** and complete studies not later than **05/12/2018**. The normal length of study is **72** months.

6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**

7. This school estimates the student's average costs for an academic term of **9** (up to 12) months to be:
- |                                |                            |
|--------------------------------|----------------------------|
| a. Tuition and fees            | \$ <u><b>38,850.00</b></u> |
| b. Living expenses             | \$ <u><b>12,000.00</b></u> |
| c. Expenses of dependents (0 ) | \$ <u><b>0.00</b></u>      |
| d. Other (specify):            | \$ <u><b>0.00</b></u>      |
| Total                          | \$ <u><b>50,850.00</b></u> |

For Immigration Official User	
Visa issuing post	Date Visa Issued
Reinstated, extension granted to:	

Student's Copy N0009505822


8. This school has information showing the following as the student's means of support, estimated for an academic term of **9** months (Use the same number of months given in item 7).
- |   |                             |
|---|-----------------------------|
| a. Student's personal funds                               | \$ <u><b>0.00</b></u>       |
| b. Funds from this school                                 | \$ <u><b>28,500.00</b></u>  |
| Specify type: <u><b>International Student Scholar</b></u> |                             |
| c. Funds from another source                              | \$ <u><b>83,830.00</b></u>  |
| Specify type: <u><b>Family Funds</b></u>                  |                             |
| d. On-campus employment                                   | \$ <u><b>0.00</b></u>       |
| Total   | \$ <u><b>112,330.00</b></u> |

9. Remarks: **Change of Status Pending**

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

<b>Tanya DePass</b>	<b>International Student A-</b>	<b>10/11/2012</b>	<b>Chicago, IL</b>
Name of School Official	Signature of Designated School Official	Title	Date Issued Place Issued (city and state)

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

<b>Iva Jurkovic</b>	<b>Iva Jurkovic</b>	<b>10/11/2012</b>
Name of Student	Signature of Student	Date

Name of parent or guardian If student under 18	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
---	---------------------------------	----------------	-------------------------------	--------

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

FAMILYNAME: Jurkovic FIRST NAME: Iva  
 Primary Major: 52.0201 Business Administration and Management,  
 Student Employment Authorization:  
 Employment Status: \_\_\_\_\_ Type: \_\_\_\_\_  
 Duration of Employment - From (Date): \_\_\_\_\_ To (Date): \_\_\_\_\_  
 Employer Name: \_\_\_\_\_  
 Employer Location: \_\_\_\_\_

Comments:

Student's Copy  
 N0009505822



Event History  
 Event Name:

Event Date:

Current Authorizations:

Start Date: End Date:

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Tanya DePass		International Student Advisor/SEVIS Coordinator	10/11/2012	Chicago, IL
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

Please read Instructions on Page 2

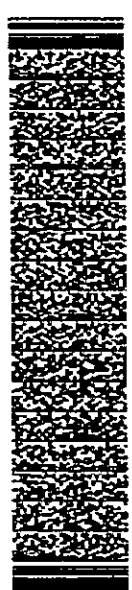
This page must be completed and signed in the U.S. by a designated school official.

1. Family Name (surname): <b>Jurkovic</b>	
First (given) Name: <b>Iva</b>	Middle Name:
Country of birth: <b>CROATIA</b>	Date of birth (mo/day/year): <b>07/25/1985</b>
Country of citizenship: <b>CROATIA</b>	Admission number:

2. School (School district) name: <b>Illinois Institute of Technology Main Campus</b>	
School Official to be notified of student's arrival in U.S. (Name and Title): <b>Tanya DePass International Student Advisor/SEVIS Coordinator</b>	
School address (include zip code): <b>3300 S. Federal Street Main Building Room 405 Chicago, IL 60616-3793</b>	
School code (including 3-digit suffix, if any) and approval date: <b>CHI214F00379000</b> approved on <b>01/09/2003</b>	

3. This certificate is issued to the student named above for:  
**Initial Attendance - Change of Status Requested.**
4. Level of education the student is pursuing or will pursue in the United States:  
**BACHELOR'S**
5. The student named above has been accepted for a full course of study at this school, majoring in Business Administration and Management. The student is expected to report to the school no later than 08/20/2012 and complete studies not later than 05/12/2018. The normal length of study is 72 months.
6. English proficiency:  
**This school requires English proficiency.  
The student has the required English proficiency.**
7. This school estimates the student's average costs for an academic term of 9 (up to 12) months to be:
- |                                |                     |
|--------------------------------|---------------------|
| a. Tuition and fees            | \$ <u>38,850.00</u> |
| b. Living expenses             | \$ <u>12,000.00</u> |
| c. Expenses of dependents (o ) | \$ <u>0.00</u>      |
| d. Other (specify):            | \$ <u>0.00</u>      |
| Total                          | \$ <u>50,850.00</u> |

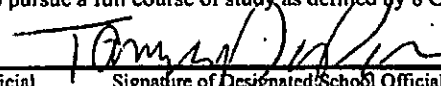
For Immigration Official User	
Visa Issuing post	Date Visa Issued
Reinstated, extension granted to:	

SEVIS Student's Copy N0009505822


8. This school has information showing the following as the student's means of support, estimated for an academic term of 9 months (Use the same number of months given in item 7).
- |  |                      |
|--|----------------------|
| a. Student's personal funds                        | \$ <u>0.00</u>       |
| b. Funds from this school                          | \$ <u>28,500.00</u>  |
| Specify type: <u>International Student Scholar</u> |                      |
| c. Funds from another source                       | \$ <u>83,830.00</u>  |
| Specify type: <u>Family Funds</u>                  |                      |
| d. On-campus employment                            | \$ <u>0.00</u>       |
| Total  | \$ <u>112,330.00</u> |

9. Remarks:

10. School Certification: I certify under penalty of perjury that all information provided above in items 1 through 9 was completed before I signed this form and is true and correct; I executed this form in the United States after review and evaluation in the United States by me or other officials of the school of the student's application, transcripts, or other records of courses taken and proof of financial responsibility, which were received at the school prior to the execution of this form; the school has determined that the above named student's qualifications meet all standards for admission to the school; the student will be required to pursue a full course of study as defined by 8 CFR 214.2(f)(6); I am a designated official of the above named school and am authorized to issue this form.

Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
<b>Tanya DePass</b>		<b>International Student A- dvisor/SEVIS Coordinator</b>	<b>06/29/2012</b>	<b>Chicago, IL</b>

11. Student Certification: I have read and agreed to comply with the terms and conditions of my admission and those of any extension of stay as specified on page 2. I certify that all information provided on this form refers specifically to me and is true and correct to the best of my knowledge. I certify that I seek to enter or remain in the United States temporarily, and solely for the purpose of pursuing a full course of study at the school named on page 1 of this form. I also authorize the named school to release any information from my records which is needed by the INS pursuant to 8 CFR 214.3(g) to determine my nonimmigrant status.

Name of Student	Signature of Student	Date
<b>IVA JURKOVIC</b>		<b>07/03/2012</b>

Name of parent or guardian If student under 18	Signature of parent or guardian	Address (city)	(State or Province) (Country)	(Date)
---	---------------------------------	----------------	-------------------------------	--------

IF YOU NEED MORE INFORMATION CONCERNING YOUR F-1 NONIMMIGRANT STUDENT STATUS AND THE RELATING IMMIGRATION PROCEDURES, PLEASE CONTACT EITHER YOUR FOREIGN STUDENT ADVISOR ON CAMPUS OR A NEARBY IMMIGRATION AND NATURALIZATION SERVICE OFFICE.

## SEVIS

FAMILYNAME: Jurkovic FIRST NAME: Iva  
 Primary Major: 52.0201 Business Administration and Management,

## Student Employment Authorization:

Employment Status: \_\_\_\_\_ Type: \_\_\_\_\_  
 Duration of Employment - From (Date): \_\_\_\_\_ To (Date): \_\_\_\_\_  
 Employer Name: \_\_\_\_\_  
 Employer Location: \_\_\_\_\_

Comments:

Student's Copy  
 N0009505822



Event History  
 Event Name:

Event Date:

Current Authorizations:

Start Date: End Date:

This page when properly endorsed, may be used for reentry of the student to attend the same school after a temporary absence from the United States. Each certification signature is valid for one year.

Name of School:

Tanya DePass		International Student A- dvisor/SEVIS Coordinator	06/29/2012	Chicago, IL
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)
Name of School Official	Signature of Designated School Official	Title	Date Issued	Place Issued (city and state)

## Exhibit C4: I-539 approval from A-2 to F-1

---







RECEIPT NUMBER WAC-12-904-22758		CASE TYPE I539 APPLICATION TO EXTEND/CHANGE NONIMMIGRANT STATUS
RECEIPT DATE July 12, 2012	PRIORITY DATE	APPLICANT JURKOVIC, IVA
NOTICE DATE February 13, 2013	PAGE 1 of 1	BENEFICIARY JURKOVIC, IVA
IVA JURKOVIC 3201 S STATE ST BOX 2548 CHICAGO IL 60611		Notice Type: Approval Notice Class: F1 Valid from 01/29/2013 Valid for Duration of Status

The above application for change of nonimmigrant status is approved. The new status is listed above. The length of authorized temporary stay in this status, for the applicant(s) named, is also listed above.

Form I-20 ID (student copy) has also been endorsed to show the student's new classification. This is an important document that he or she must submit with any future applications to this service as long as he or she remains in student status.

An updated I-94 is included in the lower portion of this notice. The I-94 portion should be given to the U.S. Customs and Border Patrol when he or she leaves the United States.

If any person included in this application must depart the U.S., he or she may wish to take this notice with them to facilitate their return to this status. He or she must obtain a new visa in the new classification before returning to the U.S.

THIS FORM IS NOT A VISA NOR MAY IT BE USED IN PLACE OF A VISA.

NOTICE: Although this application/petition has been approved, USCIS and the U.S. Department of Homeland Security reserve the right to verify the information submitted in this application, petition and/or supporting documentation to ensure conformity with applicable laws, rules, regulations, and other authorities. Methods used for verifying information may include, but are not limited to, the review of public information and records, contact by correspondence, the internet, or telephone, and site inspections of businesses and residences. Information obtained during the course of verification will be used to determine whether revocation, rescission, and/or removal proceedings are appropriate. Applicants, petitioners, and representatives of record will be provided an opportunity to address derogatory information before any formal proceeding is initiated.

Please see the additional information on the back. You will be notified separately about any other cases you filed.  
U.S. CITIZENSHIP & IMMIGRATION SVC

CALIFORNIA SERVICE CENTER

P. O. BOX 30111

LAGUNA NIGUEL CA 92607-0111

Customer Service Telephone: (800) 375-5283

Form I-797A (Rev. 10/2005)



## Exhibit D: Evidence of specialty occupation

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- D1: ONET summary report evidencing specialty occupation
- D2: Occupational Outlook Handbook Report evidencing specialty occupation

## Exhibit D1: ONET summary report evidencing specialty occupation

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**O\*NET OnLine**

Updated 2016



## Summary Report for: 13-2051.00 - Financial Analysts

Conduct quantitative analyses of information affecting investment programs of public or private institutions.

**Sample of reported job titles:** Credit Products Officer, Equity Research Analyst, Financial Analyst, Investment Analyst, Operational Risk Analyst, Planning Analyst, Real Estate Analyst, Research Analyst, Securities Analyst

View report:

**Summary****Details****Custom**

[Tasks](#) | [Tools & Technology](#) | [Knowledge](#) | [Skills](#) | [Abilities](#) | [Work Activities](#) | [Detailed Work Activities](#) | [Work Context](#) | [Job Zone](#) | [Education](#) | [Credentials](#)  
[Interests](#) | [Work Styles](#) | [Work Values](#) | [Related Occupations](#) | [Wages & Employment](#) | [Job Openings](#) | [Additional Information](#)

### Tasks

5 of 18 displayed

- ➊ Draw charts and graphs, using computer spreadsheets, to illustrate technical reports.
- ➋ Inform investment decisions by analyzing financial information to forecast business, industry, or economic conditions.
- ➌ Monitor developments in the fields of industrial technology, business, finance, and economic theory.
- ➍ Interpret data on price, yield, stability, future investment-risk trends, economic influences, and other factors affecting investment programs.
- ➎ Monitor fundamental economic, industrial, and corporate developments by analyzing information from financial publications and services, investment banking firms, government agencies, trade publications, company sources, or personal interviews.

[back to top](#)

### Tools & Technology

10 of 29 displayed

Tools used in this occupation:

- ➊ Desktop computers
- ➋ Notebook computers
- ➌ Personal computers
- ➍ Personal digital assistant PDAs or organizers — Personal digital assistants PDA
- ➎ Tablet computers


Technology used in this occupation:

- ➊ Analytical or scientific software — SAS software ; StataCorp Stata ; The MathWorks MATLAB ; Ward Systems Group NeuralShell Predictor
- ➋ Business intelligence and data analysis software — IBM Cognos Impromptu ; MicroStrategy software ; Oracle Business Intelligence Enterprise Edition ; Tableau software
- ➌ Data base user interface and query software — FileMaker Pro software ; IBM Lotus Approach; Microsoft Access ; Structured query language SQL
- ➍ Enterprise resource planning ERP software — Microsoft Dynamics GP ; Oracle PeopleSoft software ; SAP Business Objects software ; SAP BusinessObjects Data Integrator
- ➎ Financial analysis software — Delphi software ; Longview Performance Management Platform; Oracle E-Business Suite Financials ; Wolfram Research Mathematica UnRisk Pricing Engine

 **Hot Technology** — a technology requirement frequently included in employer job postings.

[back to top](#)


## Knowledge

 5 of 7 displayed

- ⊕ **Economics and Accounting** — Knowledge of economic and accounting principles and practices, the financial markets, banking and the analysis and reporting of financial data.
- ⊕ **English Language** — Knowledge of the structure and content of the English language including the meaning and spelling of words, rules of composition, and grammar.
- ⊕ **Mathematics** — Knowledge of arithmetic, algebra, geometry, calculus, statistics, and their applications.
- ⊕ **Computers and Electronics** — Knowledge of circuit boards, processors, chips, electronic equipment, and computer hardware and software, including applications and programming.
- ⊕ **Law and Government** — Knowledge of laws, legal codes, court procedures, precedents, government regulations, executive orders, agency rules, and the democratic political process.

[back to top](#)


## Skills

 5 of 15 displayed

- ⊕ **Critical Thinking** — Using logic and reasoning to identify the strengths and weaknesses of alternative solutions, conclusions or approaches to problems.
- ⊕ **Reading Comprehension** — Understanding written sentences and paragraphs in work related documents.
- ⊕ **Writing** — Communicating effectively in writing as appropriate for the needs of the audience.
- ⊕ **Speaking** — Talking to others to convey information effectively.
- ⊕ **Active Listening** — Giving full attention to what other people are saying, taking time to understand the points being made, asking questions as appropriate, and not interrupting at inappropriate times.

[back to top](#)


## Abilities

 5 of 17 displayed

- ⊕ **Written Comprehension** — The ability to read and understand information and ideas presented in writing.
- ⊕ **Oral Comprehension** — The ability to listen to and understand information and ideas presented through spoken words and sentences.
- ⊕ **Oral Expression** — The ability to communicate information and ideas in speaking so others will understand.
- ⊕ **Written Expression** — The ability to communicate information and ideas in writing so others will understand.
- ⊕ **Deductive Reasoning** — The ability to apply general rules to specific problems to produce answers that make sense.

[back to top](#)


## Work Activities

 5 of 28 displayed

- ⊕ **Analyzing Data or Information** — Identifying the underlying principles, reasons, or facts of information by breaking down information or data into separate parts.
- ⊕ **Getting Information** — Observing, receiving, and otherwise obtaining information from all relevant sources.
- ⊕ **Interacting With Computers** — Using computers and computer systems (including hardware and software) to program, write software, set up functions, enter data, or process information.
- ⊕ **Processing Information** — Compiling, coding, categorizing, calculating, tabulating, auditing, or verifying information or data.
- ⊕ **Communicating with Supervisors, Peers, or Subordinates** — Providing information to supervisors, co-workers, and subordinates by telephone, in written form, e-mail, or in person.

[back to top](#)


## Detailed Work Activities

 5 of 16 displayed

- ⊕ Recommend investments to clients.
- ⊕ Develop financial or business plans.
- ⊕ Determine the value of goods or services.
- ⊕ Analyze market conditions or trends.
- ⊕ Purchase products or services.

[back to top](#)

## Work Context

 5 of 20 displayed

- ⊕ **Face-to-Face Discussions** — 94% responded "Every day."
- ⊕ **Structured versus Unstructured Work** — 84% responded "A lot of freedom."
- ⊕ **Electronic Mail**
- ⊕ **Indoors, Environmentally Controlled** — 93% responded "Every day."
- ⊕ **Telephone**

[back to top](#)

## Job Zone

**Title** Job Zone Four: Considerable Preparation Needed

**Education** Most of these occupations require a four-year bachelor's degree, but some do not.

**Related Experience** A considerable amount of work-related skill, knowledge, or experience is needed for these occupations. For example, an accountant must complete four years of college and work for several years in accounting to be considered qualified.

**Job Training** Employees in these occupations usually need several years of work-related experience, on-the-job training, and/or vocational training.

**Job Zone Examples** Many of these occupations involve coordinating, supervising, managing, or training others. Examples include accountants, sales managers, database administrators, teachers, chemists, art directors, and cost estimators.

**SVP Range** (7.0 to < 8.0)


[back to top](#)

## Education

Percentage of Respondents	Education Level Required
84	Bachelor's degree
16	Master's degree


[back to top](#)

## Credentials




[back to top](#)

## Interests


 All 3 displayed

Interest code: CIE

- ❶ **Conventional** — Conventional occupations frequently involve following set procedures and routines. These occupations can include working with data and details more than with ideas. Usually there is a clear line of authority to follow.
- ❷ **Investigative** — Investigative occupations frequently involve working with ideas, and require an extensive amount of thinking. These occupations can involve searching for facts and figuring out problems mentally.
- ❸ **Enterprising** — Enterprising occupations frequently involve starting up and carrying out projects. These occupations can involve leading people and making many decisions. Sometimes they require risk taking and often deal with business.

[back to top](#)


## Work Styles

 5 of 16 displayed

- ❶ **Attention to Detail** — Job requires being careful about detail and thorough in completing work tasks.
- ❷ **Stress Tolerance** — Job requires accepting criticism and dealing calmly and effectively with high stress situations.
- ❸ **Dependability** — Job requires being reliable, responsible, and dependable, and fulfilling obligations.
- ❹ **Integrity** — Job requires being honest and ethical.
- ❺ **Persistence** — Job requires persistence in the face of obstacles.

[back to top](#)


## Work Values

 All 3 displayed

- ❶ **Achievement** — Occupations that satisfy this work value are results oriented and allow employees to use their strongest abilities, giving them a feeling of accomplishment. Corresponding needs are Ability Utilization and Achievement.
- ❷ **Recognition** — Occupations that satisfy this work value offer advancement, potential for leadership, and are often considered prestigious. Corresponding needs are Advancement, Authority, Recognition and Social Status.
- ❸ **Independence** — Occupations that satisfy this work value allow employees to work on their own and make decisions. Corresponding needs are Creativity, Responsibility and Autonomy.

[back to top](#)

## Related Occupations

 5 of 10 displayed

- 13-1161.00 [Market Research Analysts and Marketing Specialists](#) 
- 13-2011.01 [Accountants](#) 
- 13-2011.02 [Auditors](#)  **Bright Outlook**
- 13-2031.00 [Budget Analysts](#)
- 13-2099.02 [Risk Management Specialists](#)   **Green**

[back to top](#)

## Wages & Employment Trends

**Median wages (2015)** \$38.61 hourly, \$80,310 annual

## State wages



Employment (2014) 278,000 employees

Projected growth (2014-2024) ■■■■ Faster than average (9% to 13%)

Projected job openings (2014-2024) 89,400

## State trends



Top industries (2014) Finance and Insurance  
Management of Companies and Enterprises

Source: Bureau of Labor Statistics [2015 wage data](#) and [2014-2024 employment projections](#). "Projected growth" represents the estimated change in total employment over the projections period (2014-2024). "Projected job openings" represent openings due to growth and replacement.

[back to top](#)

## Job Openings on the Web



[back to top](#)

## Sources of Additional Information

All 1 displayed

**Disclaimer:** Sources are listed to provide additional information on related jobs, specialties, and/or industries. Links to non-DOL Internet sites are provided for your convenience and do not constitute an endorsement.

- [Financial analysts](#). Bureau of Labor Statistics, U.S. Department of Labor. *Occupational Outlook Handbook, 2016-17 Edition*.

[back to top](#)



Exhibit D2: Occupational Outlook Handbook  
Report evidencing specialty occupation

---



# OCCUPATIONAL OUTLOOK HANDBOOK

Search Handbook

Go

Business and Financial &gt;

## Financial Analysts

EN ESPAÑOL

PRINTER-FRIENDLY

Summary

What They Do

Work Environment

How to Become One

Pay

Job Outlook

State &amp; Area Data

Similar Occupations

More Info

About this section

### How to Become a Financial Analyst

Financial analysts typically must have a bachelor's degree, but a master's degree is often required for advanced positions.

#### Education

Most positions require a bachelor's degree. A number of fields of study provide appropriate preparation, including accounting, economics, finance, statistics, and mathematics. For advanced positions, employers often require a master's degree in business administration (MBA) or a master's degree in finance. Knowledge of options pricing, bond valuation, and risk management are important.

#### Licenses, Certifications, and Registrations

The Financial Industry Regulatory Authority (FINRA) is the main licensing organization for the securities industry. It requires licenses for many financial analyst positions. Most of the licenses require sponsorship by an employer, so companies do not expect individuals to have these licenses before starting a job.

Certification is often recommended by employers and can improve the chances for advancement. An example is the Chartered Financial Analyst (CFA) certification from the CFA Institute. Financial analysts can become CFA certified if they have a bachelor's degree, 4 years of qualified work experience, and pass three exams. Financial analysts can also become certified in their field of specialty.

#### Advancement

Financial analysts typically start by specializing in a specific investment field. As they gain experience, they can become portfolio managers, who select the mix of investments for a company's portfolio. They can also become fund managers, who manage large investment portfolios for individual investors. A master's degree in finance or business administration can improve an analyst's chances of advancing to one of these positions.

#### Important Qualities

**Analytical skills.** Financial analysts must process a range of information in finding profitable investments.

**Communication skills.** Financial analysts must explain their recommendations to clients in clear language that clients can easily understand.

**Computer skills.** Financial analysts must be adept at using software packages to analyze financial data, see trends, create portfolios, and make forecasts.

**Decisionmaking skills.** Financial analysts must provide a recommendation to buy, hold, or sell a security.

**Detail oriented.** Financial analysts must pay attention to details when reviewing possible investments, as small issues may have large implications for the health of an investment.

**Math skills.** Financial analysts use mathematical skills when estimating the value of financial securities.

To be successful, financial analysts must be motivated to seek out obscure information that may be important to the investment. Many work independently and must have self-confidence in their judgment.

&lt;- Work Environment

Pay -&gt;

#### SUGGESTED CITATION:

Bureau of Labor Statistics, U.S. Department of Labor, *Occupational Outlook Handbook, 2016-17 Edition*, Financial Analysts, on the Internet at <https://www.bls.gov/ooh/business-and-financial/financial-analysts.htm> (visited March 14, 2017).

**Publish Date:** Thursday, December 17, 2015

RECOMMEND THIS PAGE USING:  Facebook  Twitter  LinkedIn

**TOOLS**

Areas at a Glance  
Industries at a Glance  
Economic Releases  
Databases & Tables  
Maps

**CALCULATORS**

Inflation  
Location Quotient  
Injury And Illness

**HELP**

Help & Tutorials  
FAQs  
Glossary  
About BLS  
Contact Us

**INFO**

What's New  
Careers @ BLS  
Find It! DOL  
Join our Mailing Lists  
Linking & Copyright Info

**RESOURCES**

Inspector General (OIG)  
Budget and Performance  
No Fear Act  
USA.gov  
Benefits.gov  
Disability.gov

[Freedom of Information Act](#) | [Privacy & Security Statement](#) | [Disclaimers](#) | [Customer Survey](#) | [Important Web Site Notices](#)

U.S. Bureau of Labor Statistics | Office of Occupational Statistics and Employment Projections, PSB Suite 2135, 2 Massachusetts Avenue, NE Washington, DC 20212-0001  
[www.bls.gov/ooh](http://www.bls.gov/ooh) | Telephone: 1-202-691-5700 | [Contact OOH](#)

## Exhibit E: Proof of company operations

- E1: Screenshots of company website homepage and contact page
- E2: Most Recent 10k

Exhibit E1: Screenshots of company website  
homepage and contact page

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Products and Platforms - FXCM - Windows Internet Explorer
http://www.fxcm.com/products/
Go
Search
Share
Translate
More
Favorites
Powered by ADP Retirement
Login
Web Site Gallery
Products and Platforms - FXCM



PRACTICE ACCOUNT
OPEN AN ACCOUNT
LOG IN
LIVE CHAT
US

HOME
ADVANTAGES
FOREX BASICS
PRODUCTS
SERVICES
RESOURCES
SUPPORT

Overview
Forex
Chinese Yuan
Trading Station
MetaTrader 4
Mirror Trader
NinjaTrader
ZuluTrade



## Products

Trade dozens of currency pairs all on one account from the platform of your choice

### FOREX

**Buy and Sell Currencies**  
With access to more than 50 currency pairs, you can trade 24/5 through FXCM's No Dealing Desk forex execution.

### CHINESE YUAN

**Trade the Chinese Economy**  
FXCM's offshore USD/CNH product allows you to trade your opinion on Asia's largest economy.

#### COMPARE PLATFORMS

What kind of trader are you? With this many options, you can find the best platform for you.

#### TRADING STATION

Automate breakout strategies, trend and range trading, and more with a single click.

#### METATRADER 4

FXCM's MT4 platform integrates seamlessly with our No Dealing Desk forex execution.

#### MIRROR TRADER

Automate breakout strategies, trend and range trading, and more with a single click.

CUSTOMER SERVICE
Chat with a Specialist 24/5
Contact Us

DOWNLOAD
Trading Station Desktop
MetaTrader 4

ABOUT FXCM
FXCM Financials
International Offices / Sites

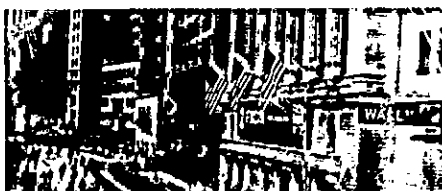
PRACTICE ACCOUNTS
Risk Free Practice Account
Open an Account



PRACTICE ACCOUNT | OPEN AN ACCOUNT | LOGIN | LIVE CHAT | US

HOME | ADVANTAGES | FOREX BASICS | PRODUCTS | SERVICES | RESOURCES | SUPPORT

Overview | Industry Leader | Acclaimed Execution | Spreads & Commissions | Platform Options | Forex Trading Tools



## FXCM Advantages

We're a leading global provider of foreign exchange trading and related services.

### INDUSTRY RECOGNITION

#### FXCM Leads The Way

Our customer service, platforms and education have won numerous awards around the world.

### ACCLAIMED EXECUTION

#### Competitive, Market-Driven Prices

Our Innovative No Dealing Desk model offers competitive spreads and anonymous execution.

### FOREX SPREADS

Low spreads, transparency, fast orders: We've got the execution and pricing to suit your trading needs.

### EDUCATION

Get the education you need: on-demand lessons, webinars, platform walkthroughs and real-time instruction.

### TRADING PLATFORMS

FXCM offers access to many powerful trading platforms through your FXCM username.

### FREE TRADING TOOLS

Open the door to premium trading tools and education through DailyFX PLUS, free for all FXCM clients.

#### CUSTOMER SERVICE

Chat with a Specialist 24/5  
 Contact Us

#### DOWNLOAD

Trading Station Desktop  
 MetaTrader 4

#### ABOUT FXCM

FXCM Financials  
 International Offices / Sites

#### FOREX ACADEMY

Risk Free Practice Account  
 Open an Account

## Exhibit E2: Most Recent 10k

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 10-K**

**ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE**

☒ **ACT OF 1934**

**For the fiscal year ended December 31, 2015**

or

☐ **TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934**

**For the transition period from            to  
Commission file number 001-34986**

**FXCM Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction  
of incorporation or organization)

**27-3268672**

(I.R.S. Employer  
Identification No.)

**55 Water Street, FL 50, New York, NY 10041**

(Address of principal executive offices) (Zip Code)

**(646) 432-2986**

(Registrant's telephone number, including area code)

Securities registered pursuant to Section 12(b) of the Act:

Title of each class

Name of each exchange on which registered

Class A common stock, par value \$0.01 per share

New York Stock Exchange

Securities registered pursuant to Section 12(g) of the Act: **None**

Indicate by check mark if the registrant is a well-known seasoned issuer, as defined in Rule 405 of the Securities Act. Yes ☐ No ☒

Indicate by check mark if the registrant is not required to file reports pursuant to Section 13 or Section 15(d) of the Act. Yes ☐ No ☒

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes ☒ No ☐

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Website, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes ☒ No ☐

Indicate by check mark if disclosure of delinquent filers pursuant to Item 405 of Regulation S-K (§229.405 of this chapter) is not contained herein, and will not be contained, to the best of the registrant's knowledge, in definitive proxy or information statements incorporated by reference in Part III of this Form 10-K or any amendment to this Form 10-K. ☒

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer ☐

Accelerated filer ☒

Non-accelerated filer ☐ (Do not check if a smaller reporting company)

Smaller reporting company ☐

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Act.) Yes ☐ No ☒

As of June 30, 2015, the aggregate market value of the registrant's voting and non-voting common equity held by non-affiliates was \$64,479,166.

As of March 9, 2016, there were 5,602,534 shares outstanding of the registrant's Class A Common Stock, par value \$0.01 per share, and 25 shares outstanding of the registrant's Class B common stock, par value \$0.01 per share.

#### DOCUMENTS INCORPORATED BY REFERENCE

Portions of the registrant's Definitive Proxy Statement relating to its 2016 Annual Meeting of Stockholders to be filed with the Securities and Exchange Commission pursuant to Regulation 14A not later than 120 days after the end of the fiscal year covered by this Form 10-K are incorporated by reference in Part III, Items 10 – 14 of this Form 10-K.

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## Table of Contents

Item Number		Page
<b>PART I</b>		
<u>1</u>	<u>Business</u>	<u>1</u>
<u>1A</u>	<u>Risk Factors</u>	<u>11</u>
<u>1B</u>	<u>Unresolved Staff Comments</u>	<u>37</u>
<u>2</u>	<u>Properties</u>	<u>37</u>
<u>3</u>	<u>Legal Proceedings</u>	<u>37</u>
<u>4</u>	<u>Mine Safety Disclosures</u>	<u>38</u>
<b>PART II</b>		
<u>5</u>	<u>Market for Registrant's Common Equity, Related Stockholder Matters and Issuer Purchases of Equity Securities</u>	<u>39</u>
<u>6</u>	<u>Selected Financial Data</u>	<u>42</u>
<u>7</u>	<u>Management's Discussion and Analysis of Financial Condition and Results of Operations</u>	<u>44</u>
<u>7A</u>	<u>Quantitative and Qualitative Disclosures About Market Risk</u>	<u>77</u>
<u>8</u>	<u>Financial Statements and Supplementary Data</u>	<u>F-1</u>
<u>9</u>	<u>Changes in and Disagreements With Accountants on Accounting and Financial Disclosure</u>	<u>81</u>
<u>9A</u>	<u>Controls and Procedures</u>	<u>81</u>
<u>9B</u>	<u>Other information</u>	<u>83</u>
<b>PART III</b>		
<u>10</u>	<u>Directors, Executive Officers and Corporate Governance</u>	<u>84</u>
<u>11</u>	<u>Executive Compensation</u>	<u>84</u>
<u>12</u>	<u>Security Ownership of Certain Beneficial Owners and Management and Related Stockholder Matters</u>	<u>84</u>
<u>13</u>	<u>Certain Relationships and Related Transactions, and Director Independence</u>	<u>85</u>
<u>14</u>	<u>Principal Accountant Fees and Services</u>	<u>85</u>
<b>PART IV</b>		
<u>15</u>	<u>Exhibits and Financial Statement Schedules</u>	<u>86</u>
	<u>Signatures</u>	<u>87</u>

### Forward-Looking Statements

*This Annual Report on Form 10-K contains forward-looking statements within the meaning of Section 27A of the Securities Act of 1933 and Section 21E of the Securities Exchange Act of 1934, which reflect our current views with respect to, among other things, our operations and financial performance. You can identify these forward-looking statements by the use of words such as "outlook," "believes," "expects," "potential," "continues," "may," "will," "should," "seek," "approximately," "predicts," "intends," "plans," "estimates," "anticipates" or the negative version of these words or other comparable words. Such forward-looking statements are subject to various risks and uncertainties. Accordingly, there are or will be important factors that could cause actual outcomes or results to differ materially from those indicated in these statements. We believe these factors include but are not limited to those described under the section "Risk Factors" in Item 1A of this Report. Additional risk factors may be described from time to time in our future filings with the Securities and Exchange Commission ("SEC"). We undertake no obligation to publicly update or review any forward-looking statement, whether as a result of new information, future developments or otherwise, except as required by law.*

*FXCM Inc. is a holding company that was incorporated as a Delaware corporation on August 10, 2010 and its sole asset is an equity interest in FXCM Holdings, LLC, of which FXCM Inc. is the sole managing member. Unless the context suggests otherwise, specifically, where "Holdings" refers to FXCM Holdings, LLC and the "Corporation" refers to FXCM Inc., references in this report to "FXCM," the "Company," "we," "us" and "our" refer to FXCM Inc. and its consolidated subsidiaries.*

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## PART I

### Item 1. Business

#### Overview

We are an online provider of foreign exchange ("FX") trading and related services to over 175,000 active retail accounts globally. We offer our customers access to over-the-counter ("OTC") FX markets and have developed a proprietary technology platform that we believe provides our customers with an efficient and cost-effective way to trade FX. In an FX trade, a participant buys one currency and simultaneously sells another, a combination known as a "currency pair." Our platform seeks to present our FX customers with the best price quotations on 45 currency pairs from up to 31 global banks, financial institutions and market makers ("FX market makers"). We also offer our non-U.S. customers the ability to trade contracts-for-difference ("CFDs").

We primarily offer our customers what is referred to as an agency model to execute their trades. Our agency model is fundamental to our core business philosophy because we believe that it aligns our interests with those of our customers and reduces our risks. In the agency model, when our customer executes a trade on the best price quotation offered by our FX market makers, we act as a credit intermediary, or riskless principal, simultaneously entering into offsetting trades with both the customer and the FX market maker. This agency model has the effect of automatically hedging our positions and eliminating market risk exposure. Beginning in 2015, we began to offer a dealing desk, or principal, execution model to smaller retail clients. Under the dealing desk model, we maintain our trading position and do not offset the trade with another party on a one for one basis. CFDs are primarily a dealing desk offering. By combining smaller positions and trading them out on an aggregate basis, we are able to optimize revenues from accounts that are less actively traded. Generally, under both models, we earn trading fees through commissions or by adding a markup to the price provided by the FX market makers. In certain geographic locations, we provide our customers with the price provided by the FX market makers and display trading fees and commissions separately. Revenues earned under the dealing desk model also include our realized and unrealized foreign currency trading gains or losses on our positions with customers.

We also earn other forms of revenue such as fees earned from: white label arrangements with other financial institutions to provide platform, back office and trade execution services, FX market prices and other various ancillary FX related services and joint ventures.

We operate our business in a single segment, retail trading. In addition, we own a 50.1% controlling interest in each of Lucid Markets Trading Limited ("Lucid"), an electronic market-maker and trader in the institutional foreign exchange spot and futures market, and V3 Markets ("V3"), an electronic market-maker and trader of a diverse set of products. Both Lucid and V3 are reflected as held for sale in our Consolidated Financial Statements.

Our operating subsidiaries are regulated in a number of jurisdictions, including the United States ("U.S."), the United Kingdom ("U.K."), where regulatory passport rights have been exercised to operate in a number of European Economic Area jurisdictions, and Australia. We maintain offices in these jurisdictions, among others. We offer our trading software in 17 languages, produce FX research and content in 8 languages and provide customer support in 19 languages. For the year ended December 31, 2015, approximately 80% of our retail customer trading volume was derived from customers residing outside the U.S. We believe our global footprint provides us with access to emerging markets, diversifies our risk from regional economic conditions and allows us to draw our employees from a broad pool of talent.

#### Events of January 15, 2015 and Subsequent Leucadia Financing

On January 15, 2015, our customers suffered significant losses and generated negative equity balances ("debit balances") owed to us of approximately \$275.1 million. This was due to the unprecedented volatility in the EUR/CHF currency pair after the Swiss National Bank ("SNB") discontinued its currency floor of 1.2 CHF per EUR. These debit balances resulted in a temporary breach of certain regulatory capital requirements.

On January 16, 2015, we entered into a credit agreement (the "Credit Agreement") with Leucadia National Corporation ("Leucadia"), pursuant to which Leucadia provided us with a \$300.0 million term loan, which permitted us to maintain compliance with regulatory capital requirements and to continue normal operations. The loan from Leucadia has an initial interest rate of 10% per annum, increasing by 1.5% per annum each quarter for so long as it is outstanding, but in no event exceeding 20.5% per annum (before giving effect to any applicable default rate). The credit agreement requires monthly payments of the term loan from proceeds received during the immediately preceding calendar month from accounts receivable related to customer debit balances. The obligations under the loan are guaranteed by certain domestic subsidiaries of Holdings

and secured by substantially all of the assets of Holdings and certain of its subsidiaries. The credit agreement also requires the payment of a deferred financing fee in an amount equal to \$10.0 million, with an additional fee of up to \$30.0 million payable in the event the aggregate principal amount of the term loan outstanding on April 16, 2015 is greater than \$250.0 million or the deferred financing fee of \$10.0 million (plus interest) has not been paid on or before such date. We reduced the aggregate principal below \$250.0 million and also paid the \$10.0 million deferred financing fee prior to April 16, 2015. As a result, we were not obligated to pay the additional \$30.0 million fee.

The terms and conditions of the financing include provisions whereby we will pay in cash to Leucadia a percentage of the net proceeds received in connection with certain transactions, including dividends and distributions, according to the schedule below:

- Amounts due under Leucadia term loan, including fees (100% Leucadia, 0% FXCM)
- Next \$350 million (50% Leucadia, 50% FXCM)
- Next \$500 million\* (90% Leucadia, 10% FXCM)
- All aggregate amounts thereafter (60% Leucadia, 40% FXCM)

\* Pursuant to the Amended and Restated Letter Agreement by and among FXCM Inc., FXCM Holdings, LLC, FXCM Newco, LLC, and Leucadia entered into as of January 24, 2015 (the "Letter Agreement") this amount was initially set at a range of \$500 million to \$680 million. As a result of the prepayments made by the Company through April 16, 2015, this amount is \$500 million.

In connection with the transaction, we formed FXCM Newco, LLC ("Newco") and contributed all of our equity interests in our subsidiaries to Newco. In addition, FXCM, Holdings and Newco have agreed that beginning on January 16, 2018 and thereafter, upon the request of Leucadia or its assignees, they will cause the sale of Holdings, Newco and/or their respective subsidiaries' assets or equity interests for cash at the highest reasonably available price. Upon the occurrence of such event, Newco will pay Leucadia and its assignees in accordance with the methodology described above.

On January 28, 2015, we issued a press release announcing a decision to forgive approximately 90% of the clients who incurred debit balances in certain jurisdictions as a result of the SNB announcement on January 15, 2015. We notified certain clients (such as institutional, high net worth and experienced traders who generally maintain higher account balances) that sustained debit balances as a result of the market events on January 15, 2015, that they will be required to pay their debit balances, pursuant to the terms of the Company's master trading agreements. This group represents approximately 10% of clients who incurred debit balances, but comprises over 60% of the total debit balances owed. We made the decision in the second quarter of 2015 to forgive the debit balances of additional retail clients, increasing the total debit balance forgiveness to approximately 97% of clients, and to return certain recoveries totaling approximately \$0.1 million. Approximately 3% of clients remain who were previously notified that they will be required to pay their debit balances, which comprises approximately 10% of the total debit balances owed as a result of the events on January 15, 2015. In light of the numerous uncertainties associated with collection options, we cannot provide any assurance that we will be successful in recovering any portion of the clients' debit balances. Through the year ended December 31, 2015, we have recovered \$9.8 million.

## Disposition of Non-Core Assets

Subsequent to the events of January 15, 2015, we undertook a strategic initiative to sell non-core assets. Throughout 2015, the Company completed or entered into agreements for the disposition of non-core assets including the sales of the equity trading business of FXCM Securities Limited, FXCM Asia Limited, FXCM Japan Securities, Co., Ltd., and the operations of Faros. FXCM continues to explore opportunities for the sale of additional non-core assets, including Lucid, and our equity interest in FastMatch, Inc., which are reflected as held for sale in our Consolidated Financial Statements.

## 2016 Strategy

Our business strategy is centered on two core objectives: reducing debt incurred from the Leucadia financing and accelerating the growth of our core business.

### *Reducing Debt Incurred from Leucadia Financing*

We intend to significantly reduce the debt incurred from the Leucadia financing described above through the following means:

- cash generated through operations; and

- sales of non-core assets

### ***Accelerate Growth of Core Business***

We intend to accelerate the growth of our core business by:

- increasing focus on retail FX and CFD growth with expanded promotion, distribution and new product introduction;
- offering CFDs on an agency model basis in certain jurisdictions; and
- offering single share CFDs in certain jurisdictions

### **Our Products and Services**

We offer three different account types allowing customers to have the best user experience for their specific trading needs. A majority of our clients open an individual mini account, trading on our proprietary Trading Station platform.

#### **Standard**

With an FXCM Standard account, a client has access to 24/7 support, No Dealing Desk execution, and free access to DailyFX plus. The Standard account offers Electronic Communication Network ("ECN")-style low commission pricing similar to stocks. Standard accounts have a \$2,000 minimum.

#### **Mini**

With an FXCM Mini account, a client can open an account with a minimum of \$50. Mini accounts trade on the dealing desk execution model and are designed for new traders with trading costs included within the spread.

#### **Active Trader**

With an FXCM Active Trader account, a client receives exclusive research, preferential solutions, and trading support. This type of account receives access to the highest level of resources and services we offer. The minimum deposit for Active Trader is \$25,000. Active Trader is also offered discounted commissions with higher levels of deposits and/or higher trading volumes.

We also offer trading in a growing number of other financial instruments. While some customers may choose a retail FX broker based on the breadth of its products, we limit the products we offer to those that meet our risk, regulatory and technology criteria.

#### ***Spot FX Trading***

We offer spot FX trading in 45 currency pairs. Of these pairs, our most popular seven currency pairs represent 80.5% of all trading volume, with the EUR/USD currency pair being the most popular, representing 37.0% of our trading volume in 2015. We add new currency pairs provided they meet our risk and regulatory standards. We do not allow trading in currencies from nations that have prohibitions on the trading of their own currency, except in limited circumstances where it is offered to residents via a white label or to customers with increased margin requirements.

Following the events of January 15, 2015, we have taken several remedial measures designed to strengthen and enhance our controls, including removing certain currency pairs from our platform that we believe carry significant risk due to over active manipulation by their respective governments either by a floor, ceiling, peg, or band. The currency pairs we removed from our platforms are not material to our volume or our revenue. Some of the currency pairs we removed include: USD/DKK (Danish Krone), EUR/DKK, CHF/NOK (Norwegian Krone), CHF/SEK (Swedish Krona), GBP/SEK, NOK/JPY (Japanese Yen), SEK/JPY, USD/SGD (Singapore Dollar), SGD/JPY, HKD (Hong Kong Dollar)/JPY, EUR/PLN (Polish Zloty), USD/PLN, EUR/CZK (Czech Koruna), USD/CZK and USD/HKD. We have also significantly increased margin requirements on less liquid pairs and for accounts with higher equity levels.

#### ***Contracts-for-Difference***

We offer our non-U.S. customers the ability to trade CFDs, which are agreements to exchange the difference in value of a particular asset, such as a stock index or oil or gold contract, between the time at which a contract is opened and the time at which it is closed. Our CFD offerings currently include contracts for metals, fixed income, energy and stock indices, and for the





year ended December 31, 2015, CFD trading constituted approximately 30.0% of total retail trading volume from continuing operations. We will continue to introduce new products as permitted by applicable laws and regulations. Due to U.S. regulatory requirements, we do not offer CFDs in the U.S. CFD trading is offered through our Trading Station II and Meta Trader 4 ("MT4") products similar to our currency pairs. As most of our FX market makers cannot process agency model trades for CFDs, except for certain metals, these products are not currently offered on an agency basis. We stream the best bid and offer to customers, but we do not offset each trade automatically. However, we use futures contracts to hedge CFD positions on a net basis.

### ***Spread Betting***

We offer spread betting trading to our U.K. customers, which is where customers take a position against the value of an underlying financial instrument moving either upwards or downwards in the market. Customers can make spread betting trades on FX pairs, stock indices, gold, silver and oil. For the year ended December 31, 2015, spread betting constituted approximately 3.1% of total retail trading volume from continuing operations.

### **Our Trading Systems**

We offer a number of trading systems, all of which are supported by our sophisticated, proprietary technology infrastructure. Our technology tracks the balances, positions, profits and losses and margin levels for all account holders in real time. The back office system's real time margin-watcher feature automatically closes out open positions if a customer's account is at risk of going into a negative balance as a result of a trading position losing value and reaching the minimum margin threshold.

Trading Station is our proprietary flagship technology platform. Trading Station is designed to serve the needs of our retail FX customers, but also offers advanced functionalities often used by professional money managers and our institutional customers. Trading Station is a Windows-based platform with a wide variety of customization options for users to choose from, including a choice of 17 languages. The platform provides an advanced chart offering called Marketscope which offers a wide array of customization features, technical analysis indicators, signal and alert functionality, as well as the ability to place trades directly from the chart. We grant many of our white labels a limited, non-exclusive, nontransferable, cost-free license to use Trading Station to facilitate trading volume and increase trading fees and commissions.

Meta Trader 4 is a third-party platform built and maintained by MetaQuotes Software Corp, and we have licensed the rights to offer it to our customer base. MT4 caters towards customers with automated trading systems that they have either developed themselves or have purchased from other developers. Our MT4 platform utilizes all the features of our back office system and order execution logic that are provided to users of our proprietary technology platforms. We have integrated MT4 into the same pricing engine as Trading Station, enabling its users to get the same pricing and execution.

FXCM Pro is our institutional department. During 2015, FXCM Pro changed its strategy to focus on brokers who trade with us on an omnibus basis, catering to retail FX and CFD brokers, small hedge funds and emerging market banks. During 2015, we also introduced our Prime of Prime business, FXCM Prime, where we provide small and medium sized high frequency trading customers access to prime broker services under our name. FXCM Pro provides retail brokers with tailored pricing and execution, cross collateralization of FX and CFDs in one account, and custom settlement solutions. FXCM Prime provides users centralized clearing across multiple venues, including direct access to single banks, along with pre-trade and post-trade risk monitoring. FXCM Pro adds value by connecting institutional customers to our FX market makers to gain access to preferred pricing. Prior to July 1, 2015, customers using FXCM Pro could both take and make prices on the platform, whereas after July 1, 2015 FXCM Pro only offers participants the ability to take prices through the platform. We earn revenue through markups on those prices and/or commissions charged to the customer. ECN Pro is an institutional platform we currently use to supplement our FXCM Pro services.

### ***Other Platforms***

Trading Station Web is similar to Trading Station but is web-based. The browser based platform allows customers to access their account from any computer without installing any additional programs. Trading Station Web is also easy to use and has most of the customization options of Trading Station.

Mirror Trader Platform is a third party platform that we license, which allows customers to scan and review pre-programmed and pre-filtered trading systems and automated strategies. Customers can then select the systems that match their trading and risk preferences and apply them to their trading account. Mirror Trader Platform is designed for customers that

follow general market trends but may not prefer to execute trades themselves. We offer the Mirror Trader Platform to FXCM's entire client base.

*Ninja Trader Platform* ("Ninja Trader") is a trading software provider known for its high performance analytic and trade execution tools that maximize a trader's efficiency in fast-moving markets. Ninja Trader also offers free, daily trading webinars, an interactive product training video library, and an active online forum. Ninja Trader also offers multiple simulation options.

We also offer mobile platforms for multiple mobile devices, including Android® and the iPhone®/iPad®. These platforms include a majority of the functionality found on the Trading Station and allow customers to log in and trade anywhere in the world.

### **White Label and Referring Broker Opportunities**

We offer financial institutions the ability to offer retail FX trading services to their customers using our technology, our sales and support staff and/or our access to liquidity under their own brand name through white label partnerships, in exchange for a revenue sharing arrangement with us. We also have a wide network of referring brokers, which are third parties that advertise and sell our services in exchange for performance-based compensation. These partnerships allow us to expand into new markets around the world.

We believe we have a well-established presence in Asia and in Europe through our white label partnerships. We have a preferred arrangement with select white labels in strategic regions to whom we have licensed the use of our name as well as our technology.

Through our white label partners and referring brokers, we generated 39.2% of our retail trading volume from continuing operations for the year ended December 31, 2015. We intend to continue to build upon the success of our existing white label partnerships and referring broker networks and create new partnership opportunities around the world.

### **Sales and Marketing**

Our sales and marketing strategy focuses on diverse customer acquisition channels to expand our customer base.

#### ***Direct Marketing Channel***

Our direct marketing channel, through which we seek to attract new customers, is our most important marketing channel. In executing our direct marketing strategy, we use a mix of online banner advertising, search engine marketing, email marketing, event marketing, including educational seminars, expos and strategic public and media relations, all of which are aimed at driving prospective customers to our web properties, DailyFX.com and FXCM.com. In those jurisdictions in which we are not regulated by governmental bodies and/or self-regulatory organizations, however, we are generally restricted from utilizing our direct marketing channel. See "Business - Regulation."

While our platform is available in 17 languages (English, French, Spanish, German, Russian, Korean, Turkish, Italian, Hebrew, Greek, Portuguese, Polish, Hungarian, Chinese (Traditional), Chinese (Simplified), Japanese, Arabic) and we have websites available in 16 languages (English, French, Spanish, German, Russian, Italian, Hebrew, Greek, Chinese (Traditional), Chinese (Simplified), Indonesian, Japanese, Tagalog, Malay, Vietnamese, Arabic), the majority of our direct marketing efforts have historically been focused on North America, our home market, and Asia, due to its high rate of growth. In the last several years, we have focused on expanding our global footprint by opening international offices in Europe as well as the Middle East and supporting this expansion with marketing campaigns. An international office provides us many benefits, including the ability to hold in-person seminars, a location for customers to visit, the ability to accept deposits at a regional bank and provide sales and support by native speakers. Currently, we maintain offices in the U.S., the U.K., France, Germany, Italy, Greece and Australia. We also have affiliate offices located in Chile, Canada and Israel.

The primary objective of our marketing is to encourage prospective customers to register for free practice trading accounts or tradable accounts. Free registered practice trading accounts or "demo" accounts are our principal lead generation tool. We believe the demo account serves as an educational tool, providing prospective customers with the opportunity to try trading in a risk-free environment, without committing any capital. Additionally, it allows prospective customers to evaluate our technology platforms, pricing, tools and services. The demo account is identical to the platform used by our live trading customers, including the availability of live real-time streaming quotes. However, trades are not actually executed with our market makers.

During the trial period for the demo account, we provide customers with information about our firm's advantages, educational resources and trading tools. To complement these efforts, a team of highly trained and locally licensed sales representatives contact prospective customers by telephone to provide individualized assistance.

### ***Indirect Marketing Channels***

Our indirect channels utilize a network of referring brokers and white label partners.

Referring brokers are third parties that advertise and sell our services in exchange for performance-based compensation. Many referring brokers offer services that are complementary to our brokerage offering, such as trading education and automated trading software. While referring brokers are not permitted to use our name in their advertising, accounts originating from referring brokers are legally opened with a FXCM-owned entity. In most cases, the sales function is performed by the referring broker and customer service is provided by our staff.

Our white label channel enables financial institutions to offer retail trading services to their customers using one or more of the following services: (1) our technology; (2) our sales and support staff or (3) our access to liquidity. White labels can add value to our core offering through increased positive name recognition on a regional or global scale and access to a large existing customer base.

### ***Marketing expertise***

We believe that our in-house marketing organization provides us with a competitive advantage. We seldom rely on outside marketing agencies to provide services because our marketing team acts as an in-house agency. Our marketing team handles functions such as creative, media buying, price-per-click advertising, website development, email and database marketing, and corporate communications. Many of these staff members have been with us for multiple years and have developed an internal knowledge base at FXCM that would probably not otherwise be available. This expertise has enabled us to assemble a tightly integrated digital marketing platform which encompasses our customer relationship management system (salesforce.com), Trading Back Office, Ad Serving, and Website Analytics. As a result, we can calculate the value of any media purchase with a high level of precision on a cost per lead and cost per account basis. We believe this analysis enables us to make intelligent media buying decisions allowing us to maximize our lead and account conversion.

### **Customer Service**

We provide customer service 24 hours a day, seven days a week in English, handling customer inquiries via telephone, email and online chat. To provide efficient service to our growing customer base, we have segmented our customer demographic into three main categories.

**New to FX:** We cater to new customers seeking to open accounts by providing low barrier account minimums and in-depth educational resources on the FX market. We believe that education is an important factor for new customers, and we have a team dedicated to educate our customers about the fundamentals of FX trading, application of technical analysis to FX and the use of risk management. We offer online videos for educating new customers on the FX market as well as free technical indicators, trading signals and free live webinars throughout the trading week.

**Experienced Customers:** We offer our experienced customers more sophisticated value-added resources and trading functionality. Through our proprietary charting package and integrated high-end third party charts, we offer a comprehensive library of technical indicators, free market data available for back testing strategies as well as platforms and resources to support and assist traders who would like to build and implement automated trading strategies.

**High Volume/Algorithmic Trading:** Our Active Trader sales group caters to active customers. Active Trader customers can receive price incentives for trading higher volumes. High volume, automated trading has increased in popularity in the FX market. We have a dedicated programming services team that can code automated trading strategies on behalf of customers. Additionally, we offer multiple automated programming interfaces that allow customers with automated trading systems to connect to our execution system.

Our retail sales and customer service teams are not compensated on a commission basis. All customers receive the same commitment to service, regardless of the FXCM representative. We believe this is a key differentiator for us compared to other retail FX firms that employ commission based sales forces who may not be motivated to provide support to smaller customers.

## Technology and Infrastructure

### *Proprietary technology platform*

Our FX technology platform has been designed using proprietary technologies to deliver high standards in performance, flexibility and reliability. Our platform can be divided into three main groups: (1) front-end technology platforms and trading decision support tools, (2) agency model technology platform and (3) back office applications for account management, operations, reporting and reconciliation processes.

We believe that our technology and infrastructure platform provides us with a competitive advantage and enables us to provide innovative solutions to our customers and partners. As examples, we introduced the concept of real-time rebate calculation for referring brokers and automation of basic operations and account management routines to reduce processing time.

### *Reliability and Availability*

Our trading infrastructure is primarily hosted at collocation facilities run by Equinix and Xand. The two trading venues are located in New Jersey and Tokyo, with a disaster recovery location in Pennsylvania. The New Jersey and Pennsylvania datacenters are over 90 miles apart, on separate power grids and separate fiber connectivity. Each facility has uninterruptible power supply systems, generator systems, public utility power feeds, cooling systems, internet providers and private network providers. Locations on the eastern coast of the U.S. were chosen to achieve both optimal networking latency to price providers and required geographic distance separation.

Applications, servers, network, storage devices, power and temperature are monitored 24 hours a day, seven days a week by support personnel through a combination of industry standard monitoring and alerting tools, including Nagios, Cacti, SmokePing and NfSen. Custom written applets and scripts are used to report key resource usage in near real-time.

Personnel are distributed across five major office locations with key operations, such as dealing, customer support and technology support, staffed at multiple locations. Each office location utilizes redundant network connections to access datacenter resources.

### *Security*

Data security is of critical importance to us. We use industry standard products and practices throughout our facilities. We have strict policies and procedures with a minimal set of employees retaining access to customer data. Physical security at our datacenters is handled by security staff present 24 hours a day, seven days a week. In addition, we use biometric and card access systems, video surveillance, and "man traps" which refers to a small space having two sets of interlocking doors such that the first set of doors must close before the second set opens and also requires identification for each door. Physical access at our corporate headquarters is also handled by a security staff that is present 24 hours a day, seven days a week, as well as turnstiles and card access systems.

In October 2015 we reported that we were the victim of a criminal cybersecurity incident involving unauthorized access to customer information. We received an email from a hacker claiming to have unlawful access to customer information. We immediately notified federal law enforcement of this threat and cooperated with federal law enforcement and launched and completed a full investigation, working with a leading cybersecurity firm.

Our systems and policies are tested annually for Payment Card Industry ("PCI") compliance. Additionally, we engage a public accounting firm to perform an annual examination of our internal controls and issue a SSAE (Statements on Standards for Attestation Engagements) 16 *Report on Controls at a Service Organization*.

## Risk Management

We primarily utilize what is commonly referred to as an agency model, which we have been offering to customers since July 2007. In our agency model, when a customer executes a trade with us, we act as a credit intermediary, or riskless principal, simultaneously entering into trades with the customer and the FX market maker. We have continued to invest in our agency platform, adding additional FX market makers, improving execution and adding features to enhance the trading experience of our customers, and believe that our commitment to the agency model reflects our core business philosophy to reduce risks.

During 2015 we expanded our dealing desk execution model offering. We manage our dealing desk exposure with strict position and loss limits, active monitoring and automation available for quick and seamless transitions of flow to the no dealing desk model should we decide to limit our risk exposure. We also restrict our dealing desk offering to smaller and less active clients as well as to select currency pairs.

Our FX trading operations require a commitment of our capital and involve risk of loss due to the potential failure of our customers to perform their obligations under these transactions. In order to minimize the incidence of a customer's losses exceeding the amount of cash in their account, which we refer to as negative equity, we require that each trade be collateralized in accordance with our collateral risk management policies. Each customer is required to have minimum funds in their account for opening positions, referred to as the initial margin, and for maintaining positions, referred to as maintenance margin, depending on the currency pair being traded. Margin requirements are expressed as a percentage of the customer's total position in that currency, and the customer's total margin requirement is based on the aggregated margin requirement across all of the positions that a customer holds at any time. Each net position in a particular currency pair is margined separately. Our systems automatically monitor each customer's margin requirements in real-time and we confirm that each of our customers has sufficient cash collateral in their account before we execute their trades. If at any point in time a customer's trading position does not comply with the applicable margin requirement because our predetermined liquidation thresholds have been exceeded, the position will be automatically liquidated in accordance with our margin policies and procedures documented in our customer agreement.

For the year ended December 31, 2015, the incidence of customer negative equity was insignificant. However, the unprecedented decision by the SNB to abandon its "peg" of the Swiss franc against the euro resulted in the most dramatic one day shift in a currency since the end of the Bretton Woods era. On January 15, 2015, customer negative equity reached approximately \$275.1 million. As a result of the events of January 15, 2015 we have taken several remedial measures designed to strengthen and enhance our controls, including removing certain currency pairs from our platform that we believe carry significant risk due to over active manipulation by their respective governments either by a floor, ceiling, peg or band. We also raised margin requirements in certain currencies as well as for accounts with larger balances and we continually monitor margin requirements as part of our risk management. Some of these changes will be permanent while others may change as geopolitical risks change.

We are also exposed to potential credit risk arising from our exposure to counterparties with which we hedge and financial institutions with whom we deposit cash. By transacting with several of the largest global financial institutions, we have limited our exposure to any one institution. In the event that our access to one or more financial institutions becomes limited, our ability to hedge may be impaired. We actively monitor credit ratings and financial performance of our counterparties and ensure that we are not overly exposed to any individual counterparty or ensure lower exposure to smaller or at risk counterparties.

### **Relationships with Wholesale FX Market Makers and Prime Brokers**

Our global network of FX market makers includes global banks, financial institutions and market makers and these relationships have been established through prime broker relationships and direct relationships with FX market makers.

We have entered into prime brokerage agreements with Citibank ("Citi"), Morgan Stanley and Barclays Bank for our retail trading, which we believe allow us to maximize our credit relationships and activities while improving efficiency. As our prime brokers, these firms operate as central hubs through which we transact with our FX market makers. Our prime brokers allow us to source liquidity from a variety of executing dealers, even though we maintain a credit relationship, place collateral, and settle with a single entity, the prime broker. We depend on the services of these prime brokers to assist in providing us access to liquidity through our wholesale FX market makers. In return for paying a modest prime brokerage fee, we are able to aggregate our trading exposures, thereby reducing our transaction costs and increasing the efficiency of the capital we are required to post as collateral. Our prime brokerage agreements may be terminated at any time by either us or the prime broker upon complying with certain notice requirements. We are also obligated to indemnify our prime brokers and certain CFD market makers for certain losses they may incur.

We typically also enter into Master Trading Agreements (such as International Swaps and Derivatives Association or "ISDA" agreements, Futures Master Agreements, or Prime Broker Agreements) with each financial institution that we have a liquidity relationship with. These standardized agreements are widely used in the interbank market for establishing credit relationships and are typically customized to meet the unique needs of each liquidity relationship. These Master Trading Agreements outline the products supported as well as margin requirements for each product. We have had a number of key liquidity relationships in place for over five years and as such we believe we have developed a strong track record of meeting

and exceeding the requirements associated with each relationship. However, our FX market makers have no obligation to provide liquidity to us and may terminate our standing arrangements with them at any time, and we currently have a number of effective ISDA agreements and other applicable agreements with other institutions should the need arise.

### Intellectual Property

We rely on a combination of trademark and copyright laws in the U.S. and other jurisdictions to protect our intellectual property rights and our brand. We also enter into confidentiality and invention assignment agreements with our employees and consultants and confidentiality agreements with other third parties and rigorously control access to proprietary technology. Currently, we do not have any pending or issued patents.

We use the following service marks that have been registered or for which we have applied for registration with the U.S. Patent and Trademark Office: Forex Capital Markets (registered service mark), FXCM PRO (registered service mark), FXCM (registered service mark) and Trading Station (registered service mark).

### Competition

The retail FX trading market is fragmented and highly competitive. Our competitors in the retail market can be grouped into several broad categories based on size, business model, product offerings, target customers and geographic scope of operations. Competition in the institutional market can be grouped by type, technology and provider.

*U.S. based retail FX brokers:* In the U.S. market, our primary competitors are Gain Capital Holdings Inc. and OANDA Corporation. They are well capitalized, have their own technology platforms and are recognizable brands. These firms operate using the principal model.

*International multi-product trading firms:* Outside the U.S. we compete with firms such as Saxo Bank, CMC Group and IG Group Holdings plc. Other than Saxo Bank, the international firms tend to focus on CFDs and spread betting.

*Other online trading firms:* To a lesser degree, we compete with traditional online equity brokers, such as OptionsXpress Holdings, Inc., TD Ameritrade, TradeStation and Interactive Brokers. These firms generally tend to focus on listed products and may already, or will in the future, provide retail FX principally as a complementary offering.

*International banks and other financial institutions with significant FX operations:* We also compete with international banks that have FX operations. Financial institutions generally choose to enter into a joint venture with an independent retail currency firm in lieu of building a retail operation.

We attribute our competitive success to the quality of the service we offer our customers and their confidence in our agency business model and strong financial condition. We believe that our expertise in product innovation, trading technology and international scale will allow us to continue to compete globally as we expand our presence in existing markets and enter new ones.

## Regulation

### *Overview*

Our business and industry are highly regulated. Our operating subsidiaries are regulated in a number of jurisdictions, including the U.S., the U.K. and Australia.

In the U.S., we are primarily regulated by the Commodities Futures Trading Commission ("CFTC") and the National Futures Association ("NFA"). The CFTC and the NFA regulate the FX and futures markets and protect the interests of customers participating in those markets.

Outside the U.S., we are regulated by, among others; the FCA in the U.K. and the Australian Securities and Investment Commission in Australia ("ASIC"). In addition, certain of our branch offices in Europe, while subject to local regulators (such as Commissione Nazionale per le Società e la Borsa (Consob); Autorité des Marchés Financiers ("AMF"), and Hellenic Capital Markets Commission ("CMC")), are regulated by the FCA with respect to, among other things, FX, CFDs and net capital requirements. In any foreign jurisdiction in which we operate, there is a possibility that a regulatory authority could assert jurisdiction over our activities and seek to subject us to the laws, rules and regulations of that jurisdiction. The laws, rules and regulations of each foreign jurisdiction differ. In the jurisdictions where we have the most foreign customers, we may be either licensed or registered or believe we are exempt from licensing or registration due to our limited conduct, lack of solicitation in those jurisdictions, and/or other factors. In any jurisdiction where we are relying on an exemption from registration, there remains the risk that we could be required to register, and therefore, be subject to regulation and enforcement action or, in the alternative, to reduce or terminate our activities in these jurisdictions.

### *Patriot Act/EU Money Laundering Directive*

As required by the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2001, or the Patriot Act, and the EU Money Laundering Directive, we have established comprehensive anti-money laundering and customer identification procedures, designated an anti-money laundering compliance officer, trained our employees and retained an independent audit of our program. There are significant criminal and civil penalties that can be imposed for violations of the Patriot Act and the EU Money Laundering Directive.

### *Net Capital Requirements*

Certain of our subsidiaries are subject to jurisdictional specific minimum net capital requirements, designed to maintain the general financial integrity and liquidity of a regulated entity. In general, net capital requirements require that at least a minimum specified amount of a regulated entity's assets be kept in relatively liquid form, usually cash or cash equivalents. Net capital is generally defined as net worth, assets minus liabilities, plus qualifying subordinated borrowings and discretionary liabilities, and less mandatory deductions that result from excluding assets that are not readily convertible into cash and from valuing conservatively other assets.

If a firm fails to maintain the minimum required net capital, its regulator and the self-regulatory organization may suspend or revoke its registration and ultimately could require its liquidation. The net capital requirements may prohibit payment of dividends, redemption of stock, prepayment of subordinated indebtedness and issuance of any unsecured advance or loan to a stockholder, employee or affiliate, if the payment would reduce the firm's net capital below minimum required levels.

Global regulatory bodies continue to evaluate and modify regulatory capital requirements in response to market events in an effort to improve the stability of the international financial system. As of December 31, 2015, on a separate company basis, we were required to maintain approximately \$ 60.6 million of minimum capital in the aggregate across all jurisdictions and approximately \$ 28.3 million of minimum capital in the aggregate for our U.S. entity. As of December 31, 2015, we had approximately \$ 82.2 million of excess adjusted net capital over this required regulated capital in all jurisdictions including \$15.3 million of excess capital in our U.S. entity.

For further information regarding the risks associated with the regulation of our business and industry, please see "Item 1A. Risk Factors" included in this Annual Report on Form 10-K.

## Employees

As of December 31, 2015, we had a total of 804 full-time employees and 57 full-time contractors, 493 of which were based in the U.S. None of our domestic employees are covered by collective bargaining agreements. We believe that our relations with our employees are good.

## Corporate Information

Our principal executive offices are located 55 Water Street, FL 50, New York, NY 10041 and our telephone number is 646-432-2986. We were originally incorporated in the State of Delaware on August 10, 2010.

## Available Information

Our website address is <http://www.fxcm.com>. The content on our website is available for information purposes only. It should not be relied upon for investment purposes, nor is it incorporated by reference into this Annual Report, unless expressly noted. We file reports with the SEC, which we make available on the Investor Relations section of our website free of charge. These reports include our Annual Report on Form 10-K, Quarterly Reports on Form 10-Q and Current Reports on Form 8-K, each of which is provided on our website as soon as reasonably practicable after we electronically file such materials with or furnish them to the SEC. We also make, or will make, available through our website other reports filed with or furnished to the SEC under the Securities Exchange Act of 1934, including our Proxy Statements and reports filed by officers and directors under Section 16(a) of that Act.

## Item 1A. Risk Factors

An investment in our securities involves risks and uncertainties. The risks and uncertainties set forth below are those that we currently believe may materially and adversely affect us, our future business or results of operations, or investments in our securities. Additional risks and uncertainties that we are unaware of or that we currently deem immaterial may also materially and adversely affect us, our future business or results of operations, or investments in our securities.

### Risks Related to Our Business

***Our revenue and profitability are influenced by trading volume and currency volatility, which are directly impacted by domestic and international market and economic conditions that are beyond our control.***

Our revenue is influenced by the general level of trading activity in the FX market. It is difficult to predict volatility and its effects in the FX markets. Our revenue and operating results may vary significantly from period to period due primarily to movements and trends in the world's currency markets and to fluctuations in trading levels. We have generally experienced greater trading volume and higher revenue in periods of volatile currency markets. Significant swings in the market volatility can also result in increased customer trading losses, higher turnover and reduced trading volume. In the event we experience lower levels of currency volatility, our revenue and profitability may be negatively affected.

Like other financial services firms, our business and profitability are directly affected by factors that are beyond our control, such as economic and political conditions, government actions like the unexpected actions of the SNB on January 15, 2015, broad trends in business and finance, changes in the volume of foreign currency transactions, changes in supply and demand for currencies, movements in currency exchange rates, changes in the financial strength of market participants, legislative and regulatory changes, changes in the markets in which such transactions occur, changes in how such transactions are processed and disruptions due to terrorism, war or extreme weather events. Any one or more of these factors, or other factors, may adversely affect our business and results of operations and cash flows. A weakness in equity markets could result in reduced trading activity in the FX market and therefore could have a material adverse effect on our business, financial condition and results of operations and cash flows. As a result, period to period comparisons of our operating results may not be meaningful and our future operating results may be subject to significant fluctuations or declines.

***Our risk management policies and procedures may not be effective and may leave us exposed to unidentified or unexpected risks.***

We are dependent on our risk management policies and the adherence to such policies by our trading staff. Our policies, procedures and practices are used to identify, monitor and control a variety of risks, including risks related to market exposure, human error, customer defaults, market movements, fraud and money-laundering. Some of our methods for managing risk are discretionary by nature and are based on internally developed controls and observed historical market behavior, and



also involve reliance on standard industry practices. These methods may not adequately prevent losses, particularly as they relate to extreme market movements, which may be significantly greater than historical changes in market prices. Our risk management methods also may not adequately prevent losses due to technical errors if our testing and quality control practices are not effective in preventing software or hardware failures. In addition, we may elect to adjust our risk management policies to allow for an increase in risk tolerance, which could expose us to the risk of greater losses. Our risk management methods rely on a combination of technical and human controls and supervision that are subject to error and failure. These methods may not protect us against all risks or may protect us less than anticipated, in which case our business, financial condition and results of operations and cash flows may be materially adversely affected.

***We depend on our proprietary technology. Any disruption or corruption of our proprietary technology or our inability to maintain technological superiority in our industry could have a material adverse effect on our business, financial condition and results of operations and cash flows. We may experience failures while developing our proprietary technology.***

We rely on our proprietary technology to receive and properly process internal and external data. Any disruption for any reason in the proper functioning, or any corruption, of our software or erroneous or corrupted data may cause us to make erroneous trades, accept customers from jurisdictions where we do not possess the proper licenses, authorizations or permits, or require us to suspend our services and could have a material adverse effect on our business, financial condition and results of operations and cash flows. For example, our technology platform includes a real time margin-watcher feature to ensure that open positions are automatically closed out if a customer becomes at risk of going into a negative balance on his or her account. If we experience extreme market dysfunction, like the EUR/CHF flash crash following the SNB's January 15, 2015 announcement that it would allow the value of the Swiss Franc to fluctuate against the Euro, we may not be able to close out a customer's position to avoid a negative equity balance. Any disruption or corruption of this feature would subject us to the risk that amounts owed to us by such customer exceed the collateral in such customer's account.

In order to remain competitive, we need to continuously develop and redesign our proprietary technology. In doing so, there is an ongoing risk that failures may occur and result in service interruptions or other negative consequences, such as slower quote aggregation, slower trade execution, erroneous trades, or mistaken risk management information.

Our success in the past has largely been attributable to our proprietary technology that has taken us many years to develop. We believe our proprietary technology has provided us with a competitive advantage relative to many FX market participants. If our competitors develop more advanced technologies, we may be required to devote substantial resources to the development of more advanced technology to remain competitive. The FX market is characterized by rapidly changing technology, evolving industry standards and changing trading systems, practices and techniques. We may not be able to keep up with these rapid changes in the future, develop new technology, realize a return on amounts invested in developing new technologies, and as such, may not remain competitive in the future.

***System failures could cause interruptions in our services or decreases in the responsiveness of our services, which could harm our business.***

If our systems fail to perform, we could experience disruptions in operations, slower response times or decreased customer service and customer satisfaction. Our ability to facilitate transactions successfully and provide high quality customer service depends on the efficient and uninterrupted operation of our computer and communications hardware and software systems. Our systems also are vulnerable to damage or interruption from human error, natural disasters, power loss, telecommunication failures, break-ins, sabotage, computer viruses, intentional acts of vandalism and similar events. We do not have fully redundant capabilities. While we currently maintain a disaster recovery plan ("DRP"), which is intended to minimize service interruptions and secure data integrity, our DRP may not work effectively during an emergency. Any system failure that causes an interruption in our services, decreases the responsiveness of our services or affects access to our services could impair our reputation, damage our brand name and materially adversely affect our business, financial condition and results of operations and cash flows.

***We may not be able to protect our intellectual property rights or may be prevented from using intellectual property necessary for our business.***

We rely on a combination of trademark, copyright, trade secret and fair business practice laws in the U.S. and other jurisdictions to protect our proprietary technology, intellectual property rights and our brand. We also enter into confidentiality and invention assignment agreements with our employees and consultants, and confidentiality agreements with other third parties. We also rigorously control access to our proprietary technology. It is possible that third parties may copy or otherwise

obtain and use our proprietary technology without authorization or otherwise infringe on our rights. We may also face claims of infringement that could interfere with our ability to use technology that is material to our business operations.

In the future, we may have to rely on litigation to enforce our intellectual property rights, protect our trade secrets, determine the validity and scope of the proprietary rights of others or defend against claims of infringement or invalidity. Any such litigation, whether successful or unsuccessful, could result in substantial costs and the diversion of resources and the attention of management, any of which could negatively affect our business.

***Our cost structure is largely fixed. If our revenues decline and we are unable to reduce our costs, our profitability will be adversely affected.***

Our cost structure is largely fixed. We base our cost structure on historical and expected levels of demand for our products and services, as well as our fixed operating infrastructure, such as computer hardware and software, hosting facilities and security and staffing levels. If demand for our products and services declines and, as a result, our revenues decline, we may not be able to adjust our cost structure on a timely basis and our profitability may be materially adversely affected.

***We have recently adopted a new pricing model and now require greater account minimums to trade with us. As a result, we may suffer declines in our revenue.***

We recently introduced a new retail FX pricing model in certain geographic markets intended to reduce client trading costs, provide more competitive pricing and increase transparency of commissions. In these markets, our platform will deliver to clients the direct price quote offered by our FX market makers, with a separate commission generally below what we previously charged as a mark-up to the price quote. While we believe the new retail FX pricing model will benefit FXCM in the long term, in these markets, we may suffer declines in our revenue. As a result, these initiatives may have a material adverse effect on our business, financial condition and results of operations and cash flows.

***Attrition of customer accounts and failure to attract new accounts could have a material adverse effect on our business, financial condition and results of operations and cash flows. Even if we do attract new customers, we may fail to attract the customers in a cost-effective manner, which could materially adversely affect our profitability and growth.***

Our customer base is primarily comprised of individual retail customers. Although we offer products and tailored services designed to educate, support and retain our customers, our efforts to attract new customers or reduce the attrition rate of our existing customers may not be successful. If we are unable to maintain or increase our customer retention rates or generate a substantial number of new customers in a cost-effective manner, our business, financial condition, results of operations and comprehensive income and cash flows would likely be adversely affected. For the year ended December 31, 2015, we incurred advertising and marketing expenses of \$14.9 million from continuing operations. Although we have spent significant financial resources on advertising and marketing expenses, these efforts may not be a cost-effective way to attract new customers. We may be disadvantaged relative to our larger competitors in our ability to expand or maintain our advertising and marketing commitments, which may raise our customer acquisition costs. Additionally, our advertising and marketing methods are subject to regulation. The rules and regulations of various regulators impose specific limitations on our sales methods, advertising and marketing. If we do not achieve our advertising objectives, our profitability and growth may be materially adversely affected.

***We face risks related to the events of January 15, 2015.***

On January 15, 2015, our customers suffered significant losses and generated debit balances owed to us of approximately \$275.1 million. This was due to the unprecedented and unexpected actions of the SNB, which caused extreme volatility in the EUR/CHF currency pair. As a result of customer debit balances following the historic movement of the Swiss Franc on January 15, 2015, certain of our regulators required those affected subsidiaries to supplement their respective net capital on an expedited basis. In order to achieve compliance with all regulatory capital requirements in all jurisdictions in which we operate, on January 16, 2015, we entered into a credit agreement with Leucadia that provided for a \$300.0 million, two year term loan. Please see Note 20 "Leucadia Transaction" in the Notes to Consolidated Financial Statements in "Item 8. Financial Statements and Supplementary Data" for further detailed information regarding the transaction.

As a result of the events that took place on January 15, 2015, we may be subject to litigation by customers, stockholders, regulators or government agencies. While we are unable to predict the outcome of any existing or future litigation or future regulatory or governmental investigation, an unfavorable outcome in one or more of these matters could have a material adverse effect on our financial condition or ongoing operations.

Additionally, if our existing or potential future customers do not believe that we have satisfactorily addressed the issues related to the events of January 15, 2015, or if they have concerns about future issues, this could cause our existing or future customers to lose confidence in us which could adversely affect our reputation and ability to attract or maintain customers. In the event that we are not able to restore the confidence of our customers, we may experience reduced business activity and trading which could adversely impact the results of our operations.

***We operate in a heavily regulated environment that imposes significant compliance requirements and costs on us. Failure to comply with the rapidly evolving laws and regulations governing our FX and other businesses may result in regulatory agencies taking action against us and significant legal expenses in defending ourselves, which could adversely affect our revenues and the way we conduct our business.***

We are regulated by governmental bodies and/or self-regulatory organizations in a number of jurisdictions, including the U.S., the U.K. and Australia. We are also exposed to substantial risks of liability under federal and state securities laws, federal commodity futures laws, other federal and state laws and court decisions, as well as rules and regulations promulgated by the SEC, the Federal Reserve and state securities regulators.

Many of the regulations we are governed by are intended to protect the public, our customers and the integrity of the markets, and not necessarily our shareholders. Substantially all of our operations involving the execution and clearing of transactions in foreign currencies, CFDs, gold and silver are conducted through subsidiaries that are regulated by governmental bodies or self-regulatory organizations. In the U.S., we are principally regulated by the CFTC and the NFA. We are also regulated in all regions by applicable regulatory authorities and the various exchanges of which we are members. For example, we are regulated by the FCA and ASIC. In addition, certain of our branch offices in Europe, while subject to local regulators, are regulated by the FCA with respect to, among other things, FX, CFDs and net capital requirements. These regulators and self-regulatory organizations regulate the conduct of our business in many ways and conduct regular examinations of our business to monitor our compliance with these regulations. Among other things, we are subject to regulation with regard to:

- our sales practices, including our interaction with and solicitation of customers and our marketing activities;
- the custody, control and safeguarding of our customers' assets;
- account statements, record-keeping and retention;
- maintaining specified minimum amounts of capital and limiting withdrawals of funds from our regulated operating subsidiaries;
- making regular financial and other reports to regulators;
- anti-money laundering practices;
- licensing for our operating subsidiaries and our employees;
- the conduct of our directors, officers, employees and affiliates; and
- supervision of our business.

Compliance with these regulations is complicated, time consuming and expensive. Even minor, inadvertent irregularities can potentially give rise to claims that applicable laws and regulations have been violated. Failure to comply with all applicable laws and regulations could lead to fines and other penalties which could adversely affect our revenues and our ability to conduct our business as planned. In addition, we could incur significant legal expenses in defending ourselves against and resolving actions or investigations by such regulatory agencies.

***We accept customers from many jurisdictions in a manner which we believe does not require local registration, licensing or authorization. As a result, our growth may be limited by future restrictions in these jurisdictions, and we remain at risk that we may be exposed to civil or criminal penalties or be required to cease operations if we are found to be operating in jurisdictions without the proper license or authorization or if we become subject to regulation by local government bodies.***

Trading volume for 2015 with customers resident in jurisdictions in which we or our agents are not licensed or authorized by governmental bodies and/or self-regulatory organizations was, in the aggregate, approximately 51.6% of our total customer trading volume from continuing operations. We seek to deal with customers resident in foreign jurisdictions in a manner which does not breach any local laws or regulations where they are resident or require local registration, licensing or authorization from local governmental or regulatory bodies or self-regulatory organizations. We determine the nature and extent of services we can provide and the manner in which we conduct our business with customers resident in foreign jurisdictions based on a variety of factors.

In jurisdictions where we are not licensed or authorized, we are generally restricted from direct marketing to retail investors, including the operation of a website specifically targeted to investors in a particular foreign jurisdiction. This restriction may limit our ability to grow our business in such jurisdictions or may result in increased overhead costs or lower service quality to customers in such jurisdictions. Accordingly, we currently have only a limited presence in a number of significant markets and may not be able to gain a significant presence there unless and until legal and regulatory barriers to international firms in certain of those markets are modified. Existing and future legal and regulatory requirements and restrictions may adversely impact our international expansion on an ongoing basis and we may not be able to successfully develop our business in a number of markets, including emerging markets, as we currently plan.

We generally consult with local counsel in jurisdictions in which we are regulated and where, after conducting an internal risk assessment, we determine it may be necessary to receive advice from local counsel in order to appropriately comply with the local laws and regulations, new or otherwise, in these jurisdictions. We consult with local counsel in these jurisdictions for advice regarding whether we are operating in compliance with local laws and regulations (including whether we are required to be licensed or authorized) or, in some cases where licensing or authorization requirements could be read to be applicable to foreign dealers without a local presence, whether such requirements are generally not enforced. In those jurisdictions in which we do not receive the advice of local counsel, we are accordingly exposed to the risk that we may be found to be operating in jurisdictions without required licenses or authorizations or without being in compliance with local legal or regulatory requirements. Furthermore, where we have taken legal advice, we are exposed to the risk that a local regulatory agency or other authority determines that our conduct is not in compliance with local laws or regulations (including local licensing or authorization requirements) and to the risk that the regulatory environment in a jurisdiction may change, including a circumstance where laws or regulations or licensing or authorization requirements that previously were not enforced become subject to enforcement.

In any of these circumstances, we may be subject to sanctions, fines and restrictions on our business or other civil or criminal penalties, and our contracts with customers may be void or unenforceable, which could lead to losses relating to restitution of client funds or principal risk on open positions. Any such action in one jurisdiction could also trigger similar actions in other jurisdictions. We may also be required to cease the conduct of our business with customers in any such jurisdiction and/or we may determine that compliance with the laws or licensing, authorization or other regulatory requirements for continuance of the business are too onerous to justify making the necessary changes to continue that business. In addition, any such event could impact our relationship with the regulators or self-regulatory organizations in the jurisdictions where we are subject to regulation, including our regulatory compliance or authorizations. If sanctions, fines, restrictions on our business or other penalties are imposed on us for failure to comply with applicable legal requirements, guidelines or regulations, our financial condition and results of operations, and our reputation and ability to engage in business, may be materially adversely affected.

We periodically evaluate our activities in relation to jurisdictions in which we are not currently regulated by governmental bodies and/or self-regulatory organizations on an ongoing basis. This evaluation may involve speaking with regulators, local counsel and referring brokers or white labels operating in any such jurisdiction and reviewing published regulatory guidance and examining the licenses that any competing firms may have. As a result of these evaluations we may determine to alter our business practices in order to comply with legal or regulatory developments in such jurisdictions and, at any given time, we are generally in various stages of updating our business practices in relation to various jurisdictions.

Potential future changes in our business practices in certain jurisdictions could result in customers deciding to transact their business with a different FX broker, which may adversely affect our revenue and profitability. We may also be subject to enforcement actions and penalties by the regulatory authorities of those jurisdictions or be subject to customer claims.

***Servicing customers via the internet may require us to comply with the laws and regulations of each country in which we are deemed to conduct business. Failure to comply with such laws may negatively impact our financial results.***

Since our services are available over the internet in foreign countries and we have customers residing in foreign countries, foreign jurisdictions may require us to qualify to do business in their country. We believe that the number of our customers residing outside of the U.S. will increase over time. We are required to comply with the laws and regulations of each country in which we conduct business, including laws and regulations currently in place or which may be enacted related to internet services available to their citizens from service providers located elsewhere. Any failure to develop effective compliance and reporting systems could result in regulatory penalties in the applicable jurisdiction, which could have a material adverse effect on our business, financial condition and results of operations and cash flows.

***Our failure to comply with regulatory requirements could subject us to sanctions and could have a material adverse effect on our business, financial condition and results of operations and cash flows.***

Many of the laws and regulations by which we are governed grant regulators broad powers to investigate and enforce compliance with their rules and regulations and to impose penalties and other sanctions for non-compliance. Our ability to comply with all applicable laws and regulations is dependent in large part on our internal compliance function as well as our ability to attract and retain qualified compliance personnel, which we may not be able to do. If a regulator finds that we have failed to comply with applicable rules and regulations, we may be subject to censure, fines, cease-and-desist orders, suspension of our business, removal of personnel, civil litigation or other sanctions, including, in some cases, increased reporting requirements or other undertakings, revocation of our operating licenses or criminal conviction. Any disciplinary action taken against us could result in negative publicity, potential litigation, remediation costs and loss of customers which could have a material adverse effect on our business, financial condition and results of operations and cash flows.

***The regulatory environment in which we operate is subject to continual change. Changes in the regulatory environment could have a material adverse effect on our business, financial condition and results of operations and cash flows.***

The legislative and regulatory environment in which we operate has undergone significant changes in the recent past and there may be future regulatory changes in our industry. The financial services industry in general has been subject to increasing regulatory oversight in recent years. The governmental bodies and self-regulatory organizations that regulate our business have proposed and may consider additional legislative and regulatory initiatives and may adopt new or revised laws and regulations. As a result, in the future, we may become subject to new regulations that may affect the way in which we conduct our business and may make our business less profitable. For example, a regulatory body may reduce the levels of leverage we are allowed to offer to our customers, which may adversely impact our business, financial condition and results of operations and cash flows. Changes in the interpretation or enforcement of existing laws and regulations by those entities may also adversely affect our business.

In August 2010, the CFTC released final rules relating to retail FX regarding, among other things, registration, disclosure, recordkeeping, financial reporting, minimum capital and other operational standards. Most significantly the regulations:

- impose an initial minimum security deposit amount of 2% of the notional value for major currency pairs as designated by NFA from time to time and 5% of the notional value for all other retail FX transactions.
- provide that referring brokers must either meet the minimum net capital requirements applicable to futures and commodity options or enter into a guarantee agreement with a CFTC-regulated FX dealer member, along with a requirement that such referring broker may be a party to only one guarantee agreement at a time;
- prohibit the making of guarantees against loss to retail FX customers by futures commission merchants ("FCMs"), retail foreign exchange dealers ("RFEDs") and referring brokers and require that FCMs, RFEDs and referring brokers provide retail FX customers with enhanced written disclosure statements that, among other things, inform customers of the risk of loss.
- require that the risk disclosure statement provided to every retail FX customer include disclosure of the number of non-discretionary accounts maintained by the FCM, or RFED, that were profitable and those that were not during the four most recent calendar quarters;
- require us to ensure that our customers resident in the U.S. have accounts with our NFA-registered operating entity;
- require that FCMs and RFEDs are obligated when re-quoting prices to do so in a symmetrical fashion so that the re-quoted prices do not represent an increase in the spread from the initially quoted prices, regardless of the direction the market moves.

In addition, the Dodd-Frank Wall Street Reform and Consumer Protection Act, ("Dodd-Frank Act"), enacted in July 2010, has had broad effects on the derivatives markets generally. In response to the rulemakings by the CFTC and SEC under the Dodd-Frank Act that defined the term "swap" FXCM conducted an in-depth review of the products offered by the firm. FXCM applied for registration as a "Swap Dealer" on December 31, 2012 and has been granted provisional status. FXCM continues to adapt to the new regulations. For example, FXCM must report swap transactions to a swap data repository. However, swap data repositories are not able to report FXCM's Rolling Spot FX product because the product does not have a maturity date, a required field for reporting. As a result, FXCM met with the CFTC to develop a solution to satisfy its reporting obligations. FXCM will continue to monitor the applicability of the rules to the firm's existing business practices. The Dodd-Frank Act and related regulatory requirements may affect the ability of FX market makers to do business or affect the prices

and terms on which such market makers will do business with us. The Dodd-Frank Act may also affect the structure, size, depth and liquidity of the FX markets generally. These effects may adversely impact our ability to provide FX transactions to our customers and could have a material adverse effect on our business and profitability.

The European Markets Infrastructure Regulation ("EMIR") is the new European regulations on OTC derivatives, central counterparties and trade repositories. The EMIR has completed the European legislative process and is being implemented across the EU member states. The EMIR imposes three new requirements on our European operations: (a) report derivatives to a trade repository (b) clear OTC derivatives that have been declared subject to the clearing obligation through a central counterparty and (c) put in place certain risk management procedures for OTC derivative transactions that are not cleared. Reporting requirements came into effect in February 2014. In addition to the EMIR, we expect the FCA will be enforcing the Markets in Financial Instruments Directive II ("MiFID II") in 2018. Principle areas of impact related to this directive will involve organized trade facilities for trading non-equity products, investor protection, a requirement to supply clients with more information, and pre- and post-trade transparency around non-equity products.

ASIC is continuing the focus on retail over-the-counter ("OTC") derivative providers, including margin foreign exchanges, and conducting increasing surveillance of this industry. The Australian government has also started the consultation process to tighten the client money protection regime, as part of a wider response to financial system inquiry paper. Recently, the Australian government has enacted new restrictions aimed at increasing protections for retail OTC clients. Among other things, the new law prohibits the use of client money in hedging transactions or as collateral with counterparties in conjunction with OTC products which are not listed on a regulated exchange. Additionally, the new law empowers ASIC to adopt additional rules regarding the reporting and reconciliation of client money. In New Zealand, the Financial Markets Authority ("FMA") has taken additional steps addressing the misuse of Financial Services Providers Register following the New Zealand Financial Markets Conduct ("FMC") Act 2013 that became effective in December 2014. FXCM Australia Limited is incorporated in New Zealand and is in the process of exploring options to diminish the regulatory risk and exposure in New Zealand.

The Foreign Account Tax Compliance Act ("FATCA"), enacted in 2010 as part of the Hiring Incentives to Restore Employment Act, imposes a new system of information reporting and a new 30% withholding tax on "withholdable" payments made by U.S. persons and others to foreign financial institutions ("FFI"s) and certain non-financial foreign entities ("NFFE"s) that do not meet the information reporting requirements of FATCA. In certain circumstances, certain of our non-U.S. entities through which payments are made may be required to withhold U.S. tax at a rate of 30% on all, or a portion of, payments made after June 30, 2014. Under FATCA, non-U.S. financial institutions generally will be required to enter into agreements with the U.S. Internal Revenue Service to identify financial accounts held by U.S. persons or entities with substantial U.S. ownership, as well as accounts of other financial institutions that are not themselves participating in, or otherwise exempt from, the FATCA reporting regime. Compliance with FATCA could have a material adverse effect on our business, financial condition and cash flow.

These and other future regulatory changes could have a material adverse effect on our business and profitability and the FX industry as a whole.

In addition, the regulatory enforcement environment has created uncertainty with respect to certain practices or types of transactions that, in the past, were considered permissible and appropriate among financial services firms, but that later have been called into question or with respect to which additional regulatory requirements have been imposed. Legal or regulatory uncertainty and additional regulatory requirements could adversely affect our business.

***We are required to maintain high levels of regulatory capital, which could constrain our growth and subject us to regulatory sanctions.***

The CFTC, NFA and other U.S. and non-U.S. regulators have stringent rules requiring that we maintain specific minimum levels of regulatory capital in our operating subsidiaries that conduct our spot foreign exchange, CFDs, including contracts for gold, silver, oil and stock indices. As of December 31, 2015, on a separate company basis, we were required to maintain approximately \$60.6 million of minimum net capital in the aggregate across all jurisdictions. Regulators continue to evaluate and modify minimum capital requirements from time to time in response to market events and to improve the stability of the international financial system.

More recently, the EU has announced that it is in the process of implementing amendments to its Capital Requirements Directive ("CRD IV"), which seeks to strengthen its capital requirements and liquidity rules as well as expand certain reporting obligations. CRD IV legislation was entered into on January 1, 2014 and will gradually be implemented over a period until January 2019. The CRD legislation introduced the concept of a new EU liquidity regime for credit institutions and investment

firms. In a recent policy statement the FCA stated that as a result of CRD IV they planned to bring their current liquidity regime to an end and to have it replaced by the new EU liquidity regime. More recently, the FCA have announced that this new regime will not apply to investment firms, like FXCM, without consequential changes having been made to CRD IV. With these changes still not having been made, the FCA are continuing to use their current liquidity regime. The implementation of the new liquidity regime is therefore awaited and is expected during 2016.

Even if regulators do not change existing regulations or adopt new ones, our minimum capital requirements will generally increase in proportion to the size of our business conducted by our regulated subsidiaries. As a result, we will need to increase our regulatory capital in order to expand our operations and increase our revenue, and our inability to increase our capital on a cost-efficient basis could constrain our growth. In addition, in many cases, we are not permitted to withdraw regulatory capital maintained by our subsidiaries without prior regulatory approval or notice, which could constrain our ability to allocate our capital resources most efficiently throughout our global operations. In particular, these restrictions could limit our ability to pay dividends or make other distributions on our shares and, in some cases, could adversely affect our ability to withdraw funds needed to satisfy our ongoing operating expenses, debt service and other cash needs.

Regulators monitor our levels of capital closely. We are required to report the amount of regulatory capital we maintain to our regulators on a periodic basis, and to report any deficiencies or material declines promptly. While we expect that our current amount of regulatory capital will be sufficient to meet anticipated short-term increases in requirements, any failure to maintain the required levels of regulatory capital, or to report any capital deficiencies or material declines in capital could result in severe sanctions, including fines, censure, restrictions on our ability to conduct business and revocation of our registrations. The imposition of one or more of these sanctions could ultimately lead to our liquidation, or the liquidation of one or more of our subsidiaries.

***Procedures and requirements of the Patriot Act and similar laws may expose us to significant costs or penalties.***

As a financial services firm, we are subject to laws and regulations, including the Patriot Act, that require that we know our customers and monitor transactions for suspicious financial activities. The cost of complying with the Patriot Act and related laws and regulations is significant. We face the risk that our policies, procedures, technology and personnel directed toward complying with the Patriot Act and similar laws and regulations are insufficient and that we could be subject to significant criminal and civil penalties or reputational damage due to noncompliance. Such penalties and subsequent remediation costs could have a material adverse effect on our business, financial condition and results of operations and cash flows.

***We are subject to litigation risk which could adversely affect our reputation, business, financial condition and results of operations and cash flows.***

Many aspects of our business involve risks that expose us to liability under U.S. federal and state laws, as well as the rules and enforcement efforts of our regulators and self-regulatory organizations worldwide. These risks include, among others, disputes over trade terms with customers and other market participants, customer losses resulting from system delay or failure and customer claims that we or our employees executed unauthorized transactions, made materially false or misleading statements or lost or diverted customer assets in our custody. We may also be subject to regulatory investigation and enforcement actions seeking to impose significant fines or other sanctions, which in turn could trigger civil litigation for our previous operations that may be deemed to have violated applicable rules and regulations in various jurisdictions.

The volume of claims and the amount of damages and fines claimed in litigation and regulatory proceedings against financial services firms have been increasing, particularly in the current environment of heightened scrutiny of financial institutions. The amounts involved in the trades we execute, together with rapid price movements in our currency pairs, can result in potentially large damage claims in any litigation resulting from such trades. Dissatisfied customers may make claims against us regarding the quality of trade execution, improperly settled trades, mismanagement or even fraud, and these claims may increase as our business expands.

Litigation may also arise from disputes over the exercise of our rights with respect to customer accounts. Although our customer agreements generally provide that we may exercise such rights with respect to customer accounts as we deem reasonably necessary for our protection, our exercise of these rights may lead to claims by customers that we did so improperly.

Even if we prevail in any litigation or enforcement proceedings against us, we could incur significant legal expenses defending against the claims, even those without merit. Moreover, because even claims without merit can damage our reputation or raise concerns among our customers, we may feel compelled to settle claims at significant cost. The initiation of

any claim, proceeding or investigation against us, or an adverse resolution of any such matter could have a material adverse effect on our reputation, business, financial condition and results of operations and cash flows.

Please see "Item 3. Legal Proceedings" included in this Annual Report on Form 10-K for a description of pending material legal proceedings we are currently involved in.

***We may be subject to customer litigation, financial losses, regulatory sanctions and harm to our reputation as a result of employee misconduct or errors that are difficult to detect and deter.***

There have been a number of highly publicized cases involving fraud or other misconduct by employees of financial services firms in recent years. Our employees could execute unauthorized transactions for our customers, use customer assets improperly or without authorization, carry out improper activities on behalf of customers or use confidential customer or company information for personal or other improper purposes, as well as misrecord or otherwise try to hide improper activities from us.

In addition, employee errors, including mistakes in executing, recording or reporting transactions for customers, may cause us to enter into transactions that customers disavow and refuse to settle. Employee errors expose us to the risk of material losses until the errors are detected and the transactions are reversed. The risk of employee error or miscommunication may be greater for products that are new or have non-standardized terms. Further, such errors may be more likely to occur in the aftermath of any acquisitions during the integration of or migration from technological systems.

Misconduct by our employees or former employees could subject us to financial losses or regulatory sanctions and seriously harm our reputation. It may not be possible to deter or detect employee misconduct and the precautions we take to prevent and detect this activity may not be effective in all cases. Our employees may also commit good faith errors that could subject us to financial claims for negligence or otherwise, as well as regulatory actions.

Misconduct by employees of our customers can also expose us to claims for financial losses or regulatory proceedings when it is alleged we or our employees knew or should have known that an employee of our customer was not authorized to undertake certain transactions. Dissatisfied customers can make claims against us, including claims for negligence, fraud, unauthorized trading, failure to supervise, breach of fiduciary duty, employee errors, intentional misconduct, unauthorized transactions by associated persons and failures in the processing of transactions.

***The NFA has prohibited the availability of credit cards as a payment option for our customers which could adversely affect our business, financial condition and results of operations and cash flows.***

On January 31, 2015, the NFA rule to prohibit the use of credit cards to fund customer trading accounts went into effect. Previously, we allowed our customers to use credit cards to fund their accounts with us. Deposits from credit cards, globally, represented 13.1% of total cash deposits in 2015 whereas deposits from credit cards of U.S. customers represented 0.3% of total cash deposits in 2015. The elimination in the availability of credit cards as a means to fund customer accounts, particularly for our customers residing outside the U.S., could have a material adverse effect on our business, financial condition and results of operations and cash flows.

***Our customer accounts may be vulnerable to identity theft and credit card fraud.***

Credit card issuers have adopted credit card security guidelines as part of their ongoing efforts to prevent identity theft and credit card fraud. We continue to work with credit card issuers to ensure that our services, including customer account maintenance, comply with these rules. There can be no assurances, however, that our services are fully protected from unauthorized access or hacking. If there is unauthorized access to credit card data that results in financial loss, we may experience reputational damage and parties could seek damages from us.

***A breach in the security of our systems could disrupt our business, result in the disclosure of confidential information, damage our reputation and create significant financial and legal exposure for us.***

Although we devote significant resources to maintain and regularly update our systems and processes that are designed to protect the security of our computer systems, software, networks and other technology assets and the confidentiality, integrity and availability of information belonging to us and our customers and clients, there is no assurance that all of our security measures will provide absolute security. FXCM and other companies have reported significant breaches in the security of their websites or other systems, some of which have involved sophisticated and targeted attacks intended to obtain unauthorized