

Neural Representation Learning in Linguistic Structured Prediction

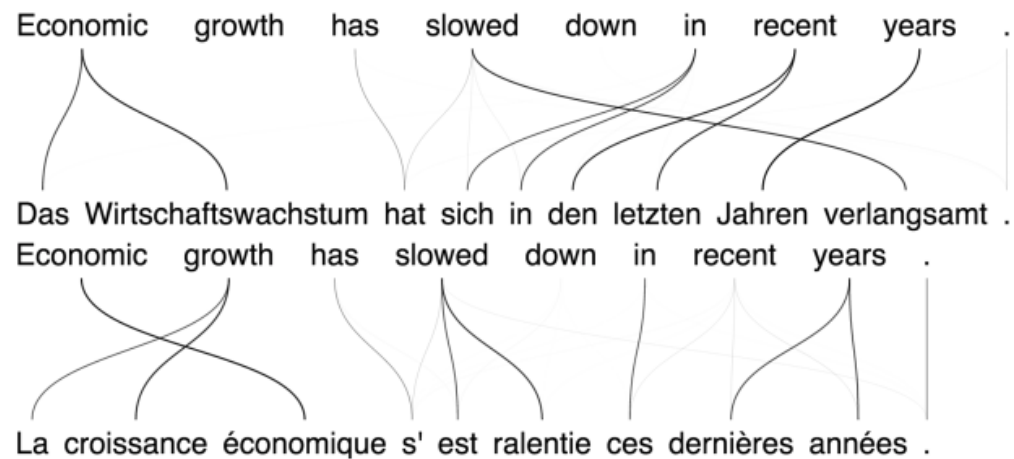
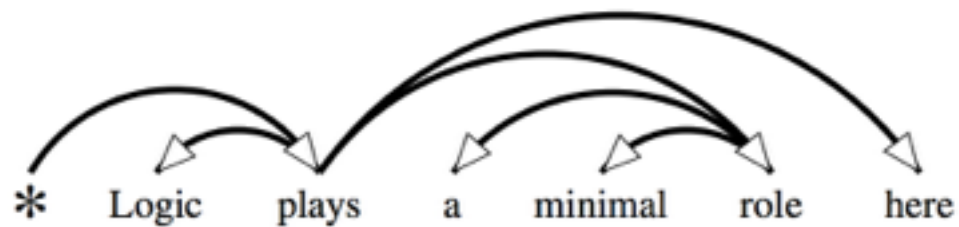
Lingpeng Kong
Carnegie Mellon University

Thesis Defense
9/18/2017

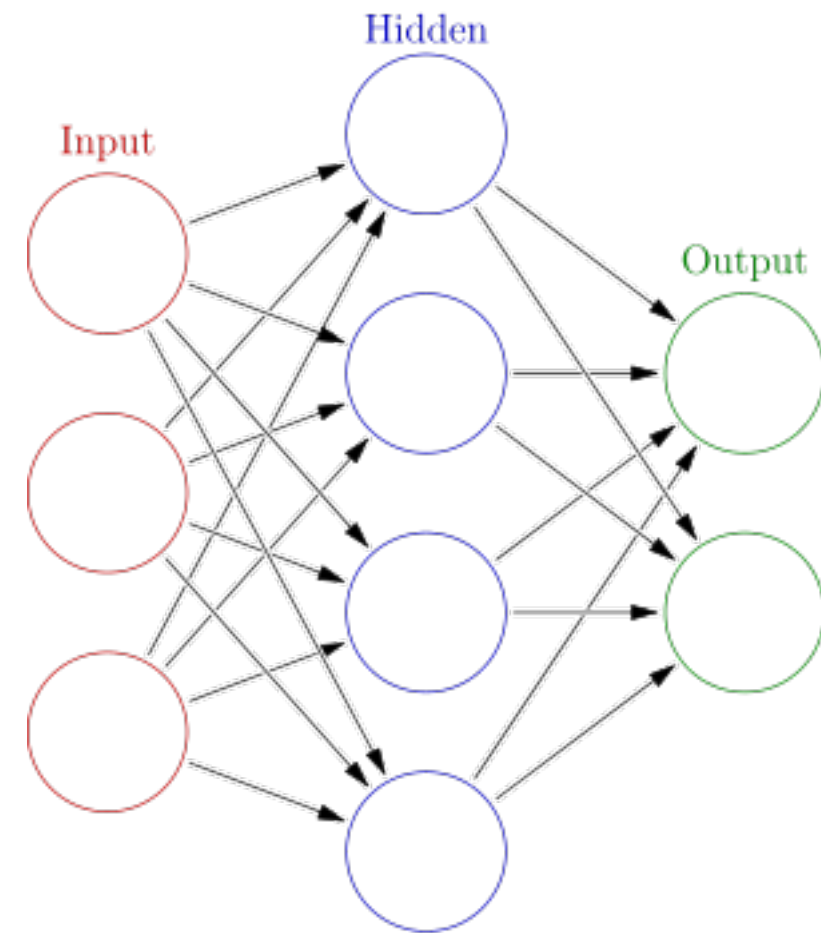


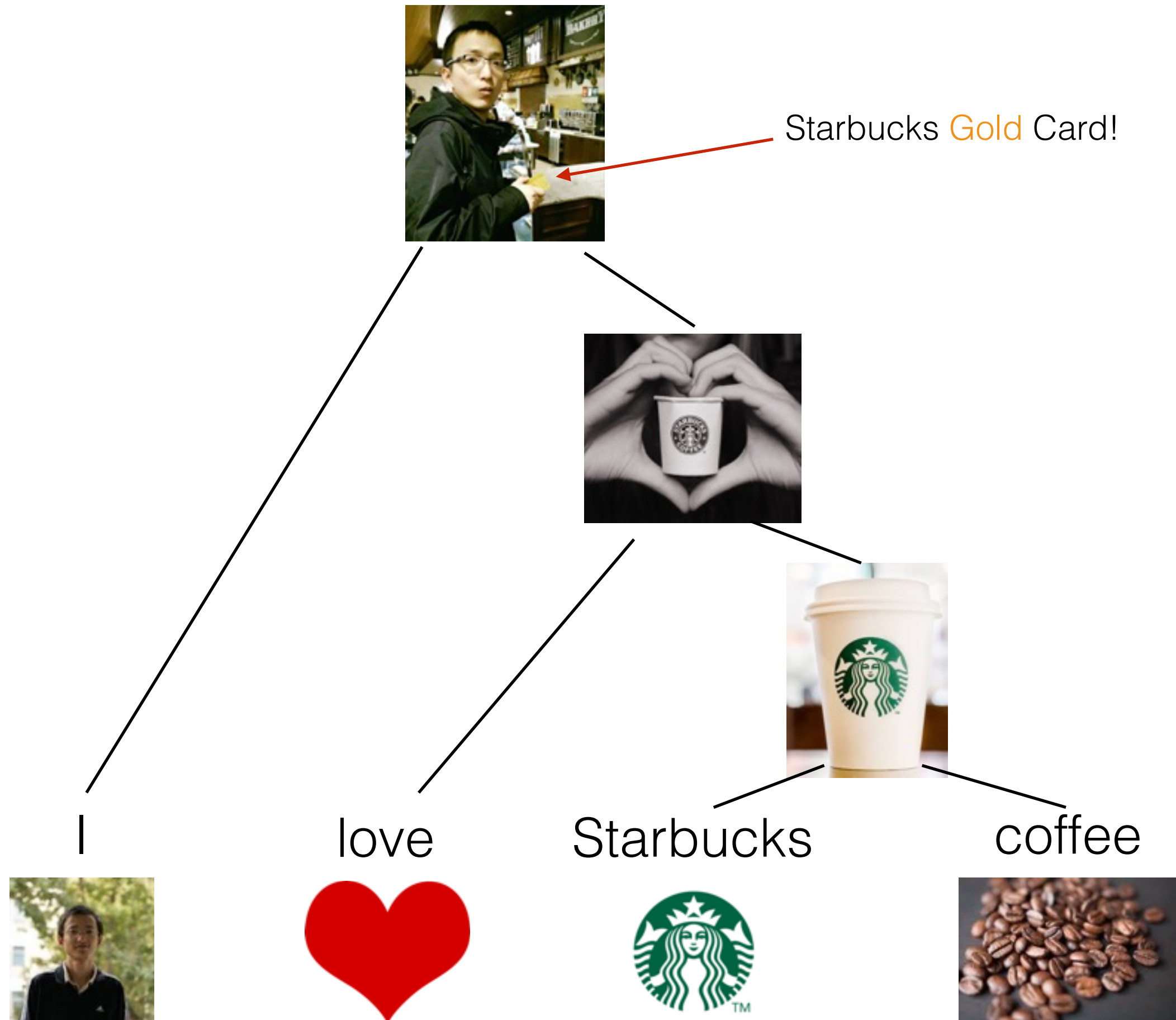
Linguistic Structure

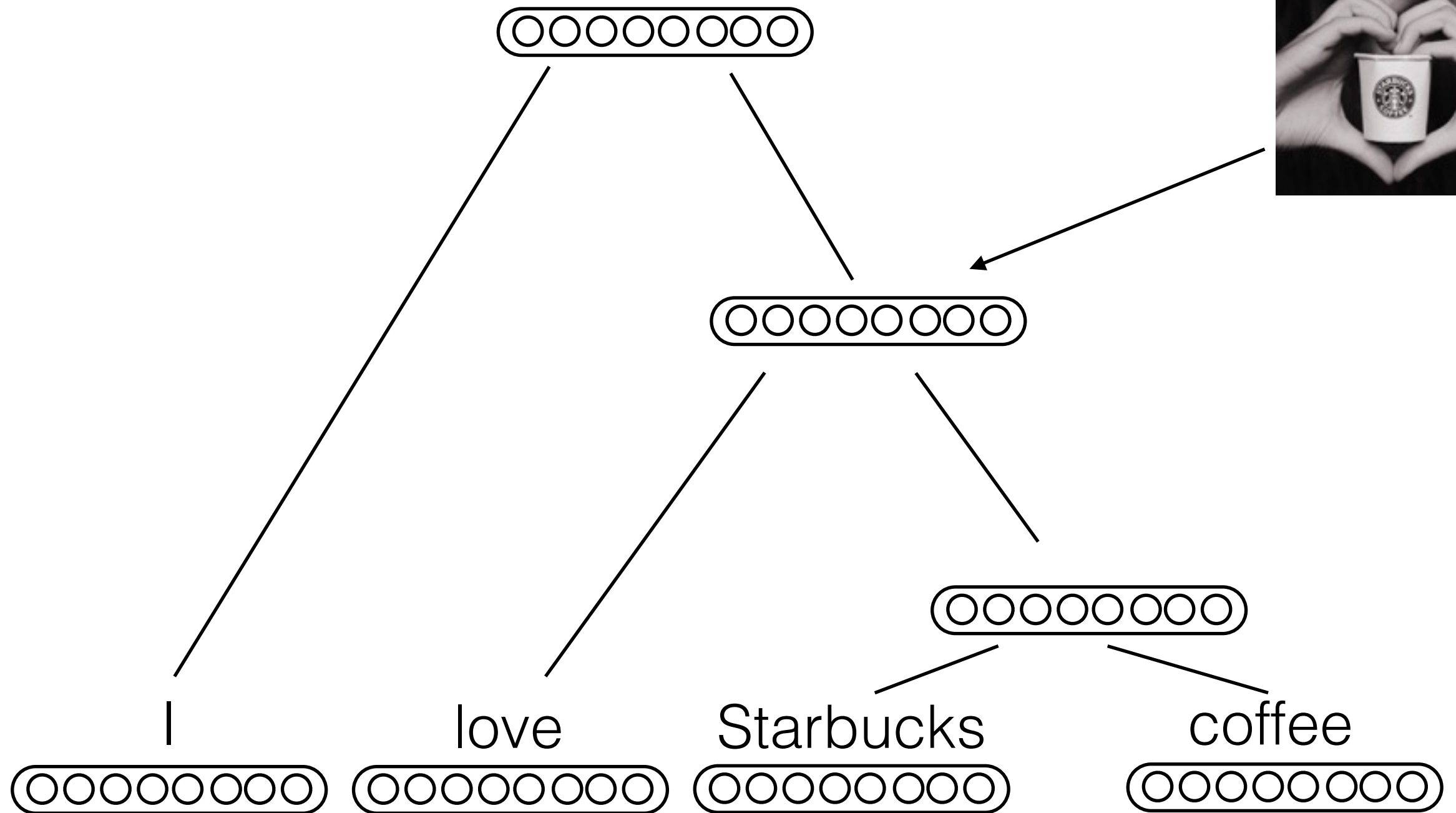
NP PP NP PP
The angle of cats' ears is an important clue to their mood



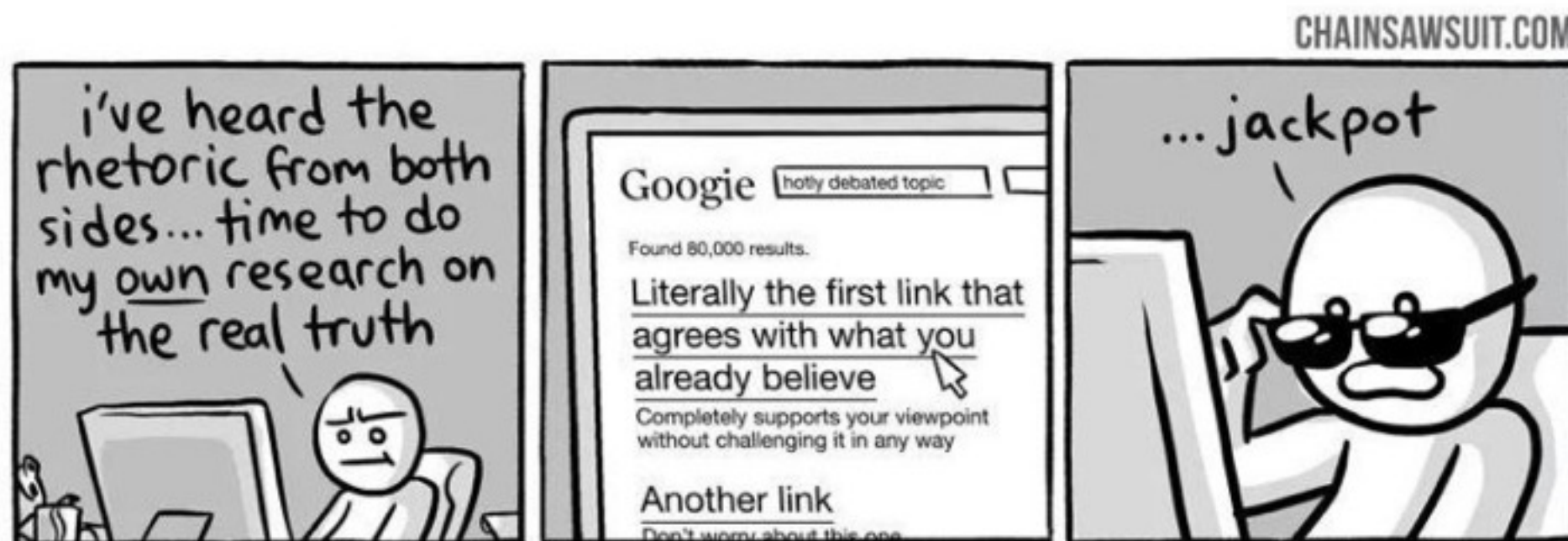
Neural Representation Learning







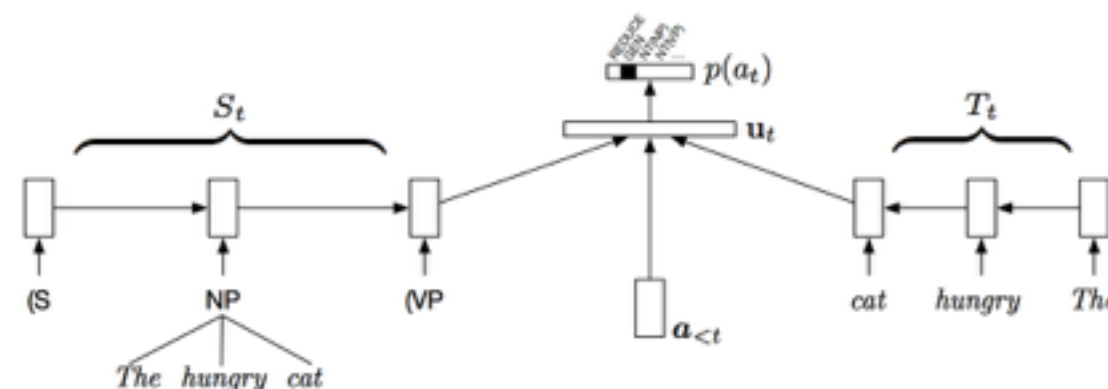
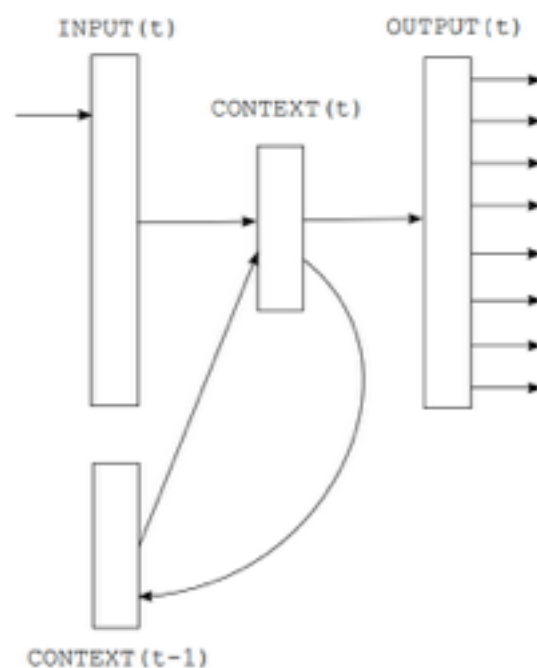
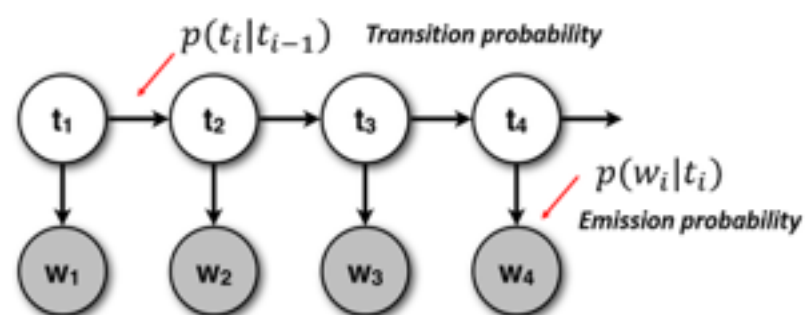
Inductive bias (Mitchell, 1980)



(Dyer et al, 2016)

(Mikolov et al, 2010)

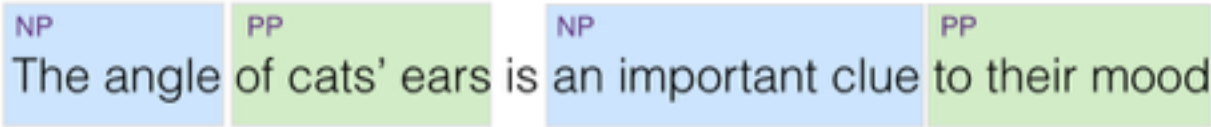
(Chen and Goodman, 1980)



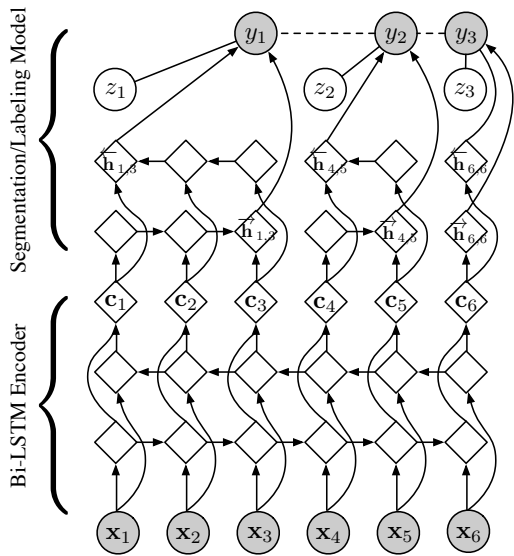
(Ji et al, 2016)



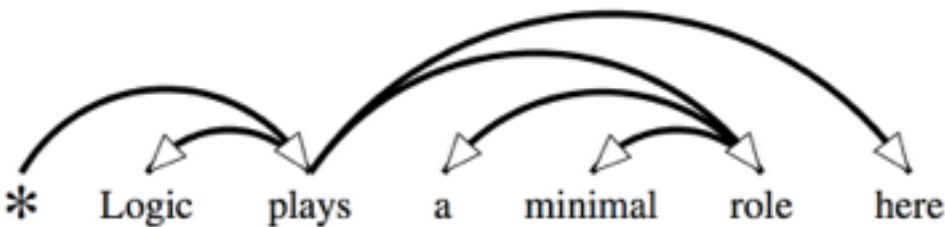
z — linguistic structures



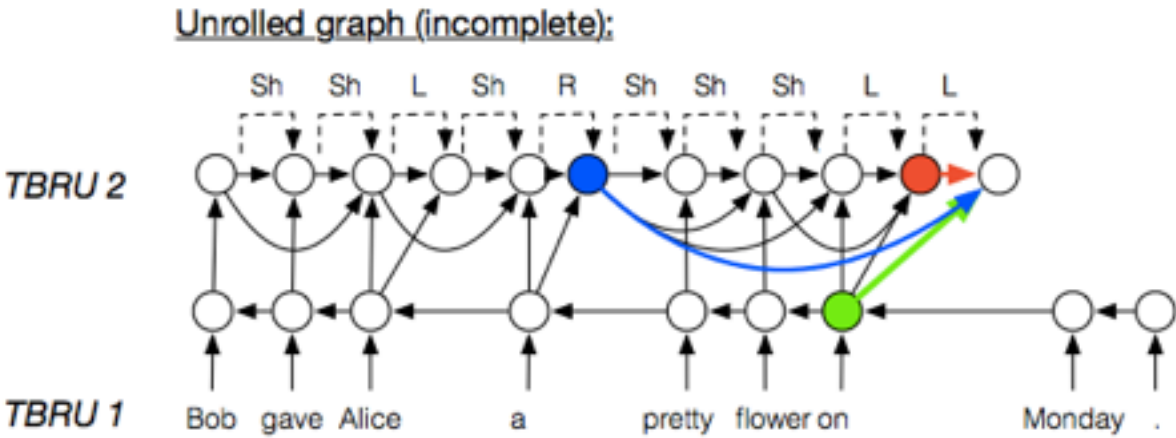
z = segment structures



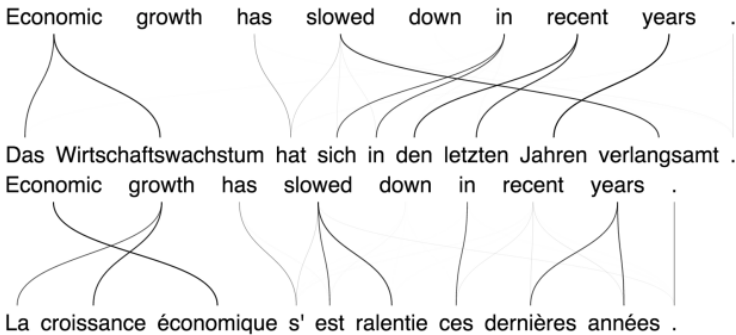
Part I: Segmental RNNs



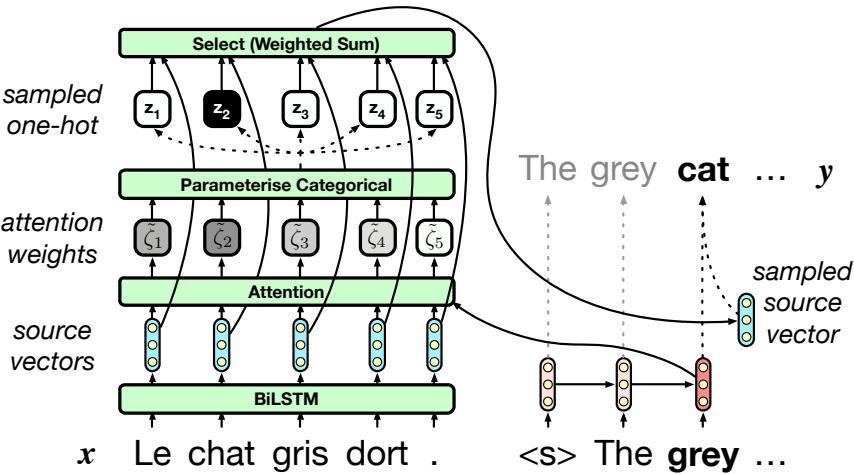
z = parse tree structures



Part II: DRAGNN



z = alignment structures



Part III: Stochastic Attention

Outline

- Introduction
- Part I — Segmental Recurrent Neural Networks
- Part II — A Transition-based Framework for Dynamically Connected Neural Networks
- Part III — Inference and Regularization in Sequence to Sequence Models with Stochastic Attention
- Conclusion and Future Work

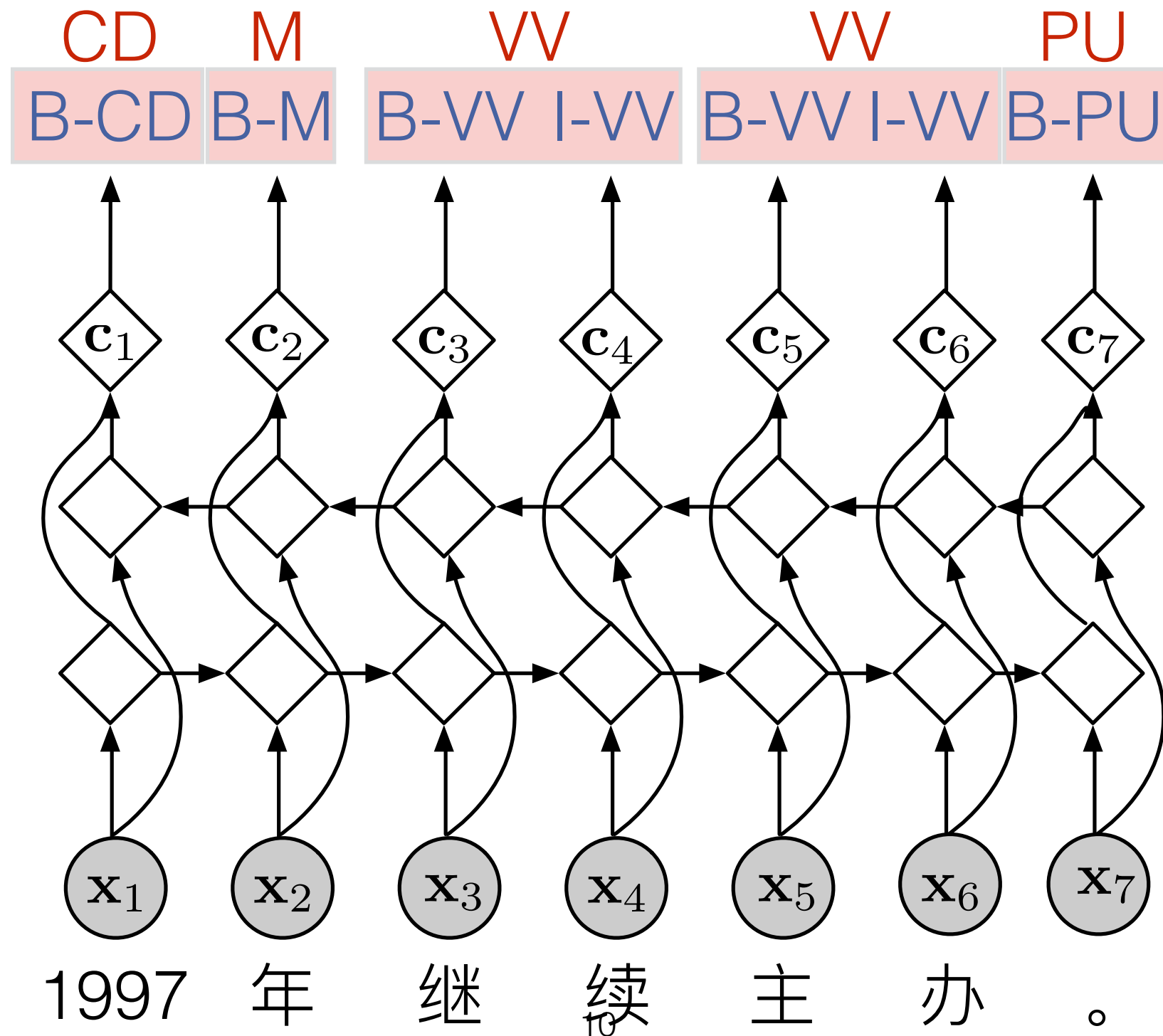
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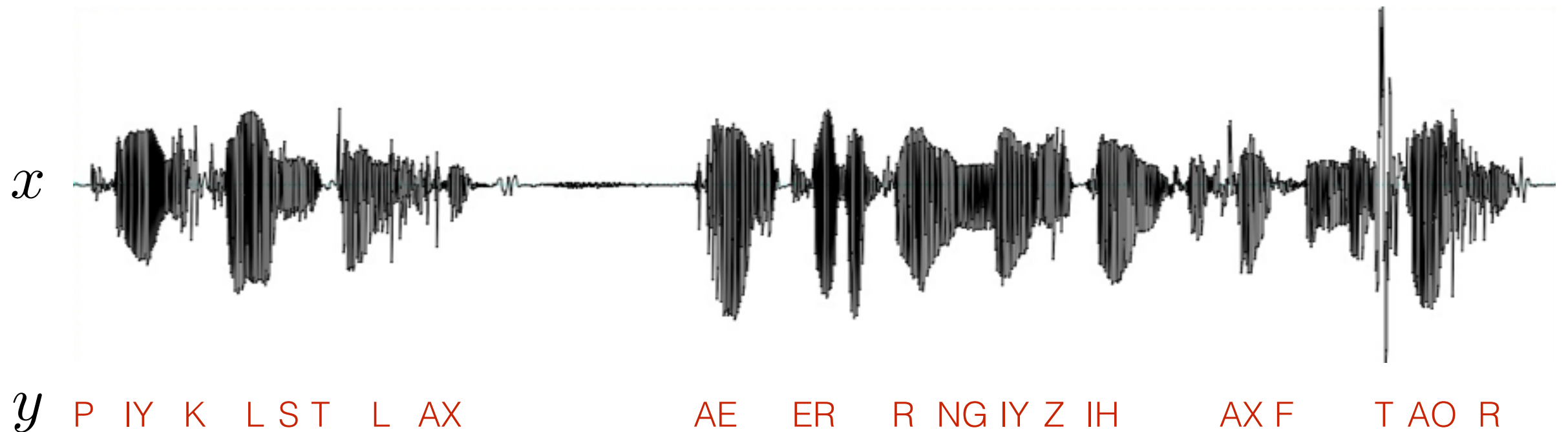
Joint Word Segmentation and POS Tagging

x	1997	年	继	续	主	办	。
y	CD	M	VV		VV		PU

Bi-LSTM Tagger



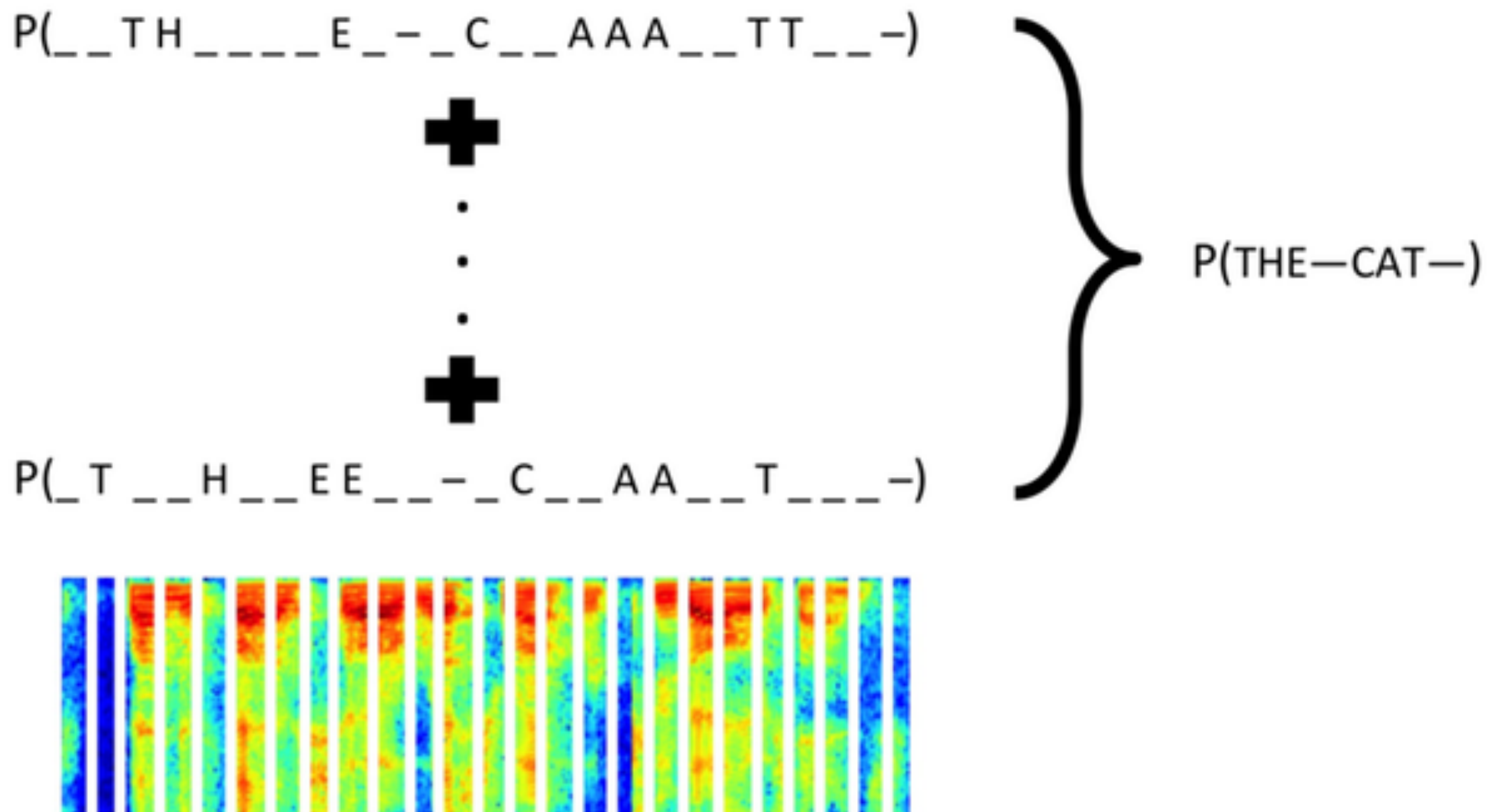
Speech Recognition



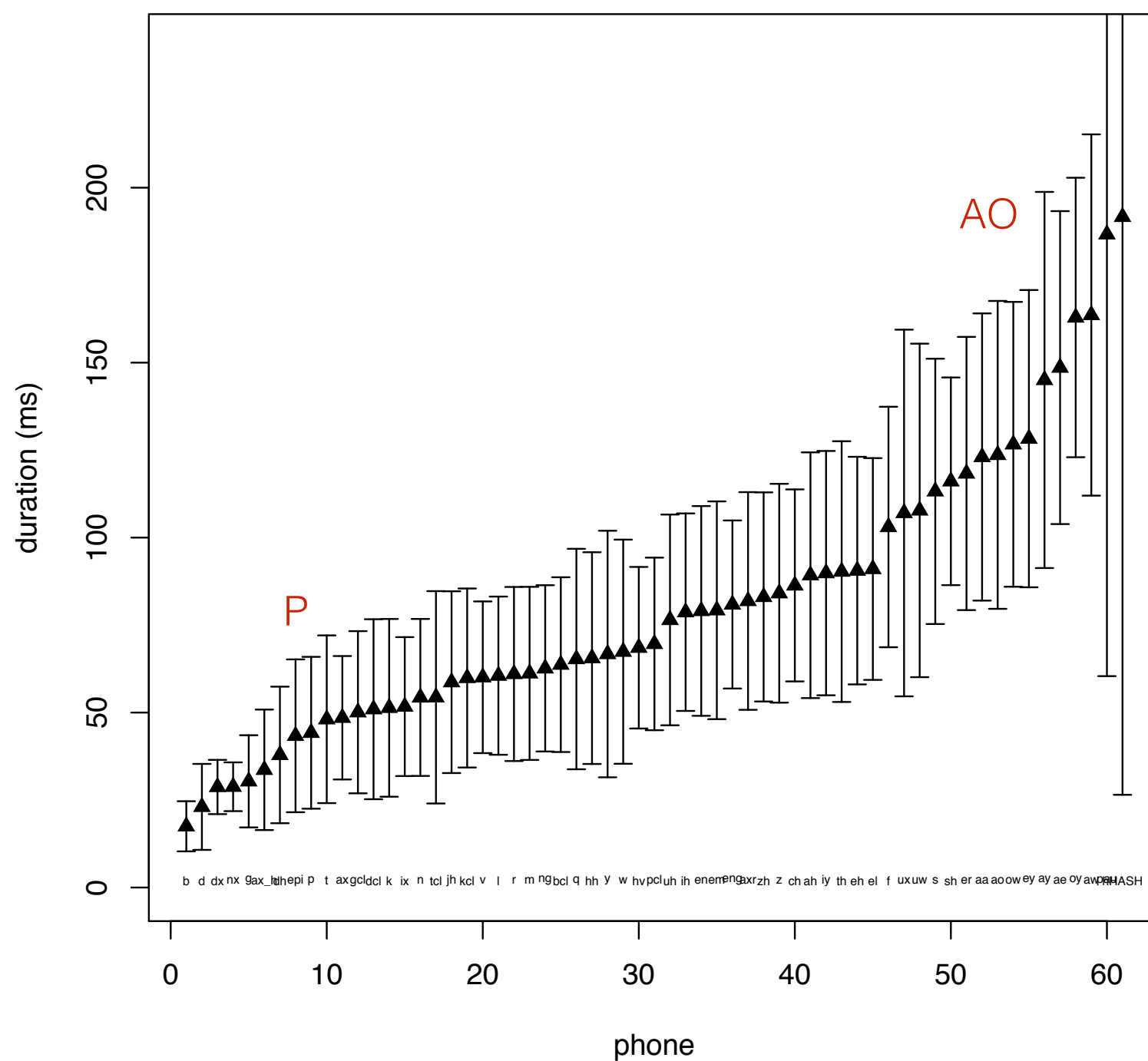
Text: *Please call Stella. Ask her to bring these things with her from the store.*

http://groups.linguistics.northwestern.edu/documentation/images/praat_aligned.jpg

Connectionist Temporal Classification (CTC)



Duration Features



Segmental Recurrent Neural Networks (SRNNs)

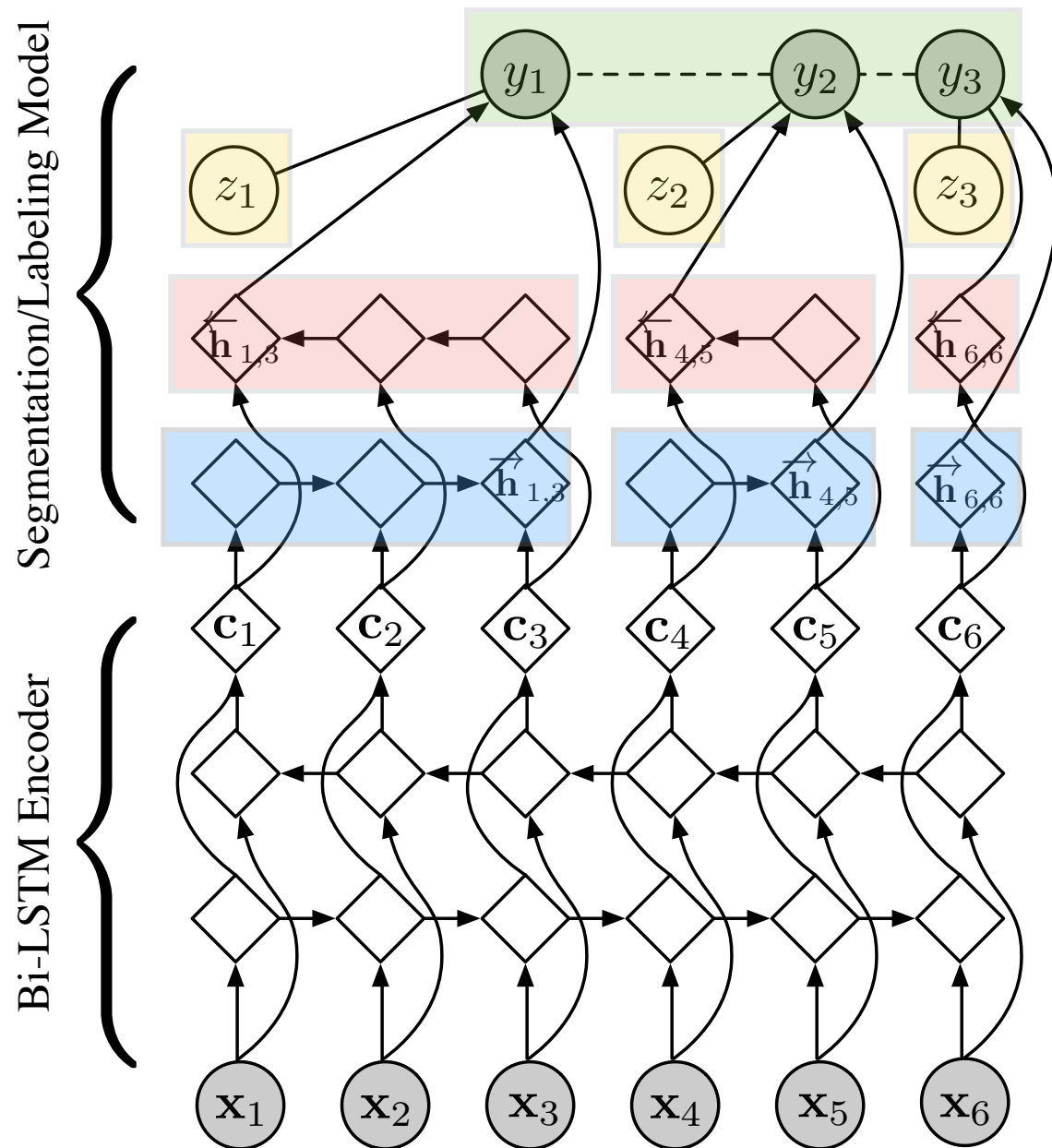
x	1997	年	继	续	主	办	。
z	1	1	2	2	1		
y	CD	M	VV	VV	PU		

SRNNs — $p(y, z|x)$

$$y^* = \arg \max_y \sum_z p(y, z | x)$$

$$\approx \arg \max_y \max_z p(y, z | x)$$

Segmental Recurrent Neural Networks (SRNNs)



Forward Segment Embedding

Backward Segment Embedding

Duration Embedding

Label Embedding

$$p(\mathbf{y}, \mathbf{z} \mid \mathbf{x}) = \frac{1}{Z(\mathbf{x})} \prod_{i=1}^{|\mathbf{y}|} \exp f(y_{i-k:i}, z_i, \mathbf{x})$$

$$f(y_{i-k:i}, z_i, \mathbf{x}_{s_i:s_i+z_i-1}) = \mathbf{w}^\top \phi(\mathbf{V}[\mathbf{g}_y(y_{i-k}); \dots; \mathbf{g}_y(y_i); \mathbf{g}_z(z_i); \overrightarrow{\text{RNN}}(\mathbf{c}_{s_i:s_i+z_i-1}); \overleftarrow{\text{RNN}}(\mathbf{c}_{s_i:s_i+z_i-1})] + \mathbf{a}) + b$$

Parameter Learning

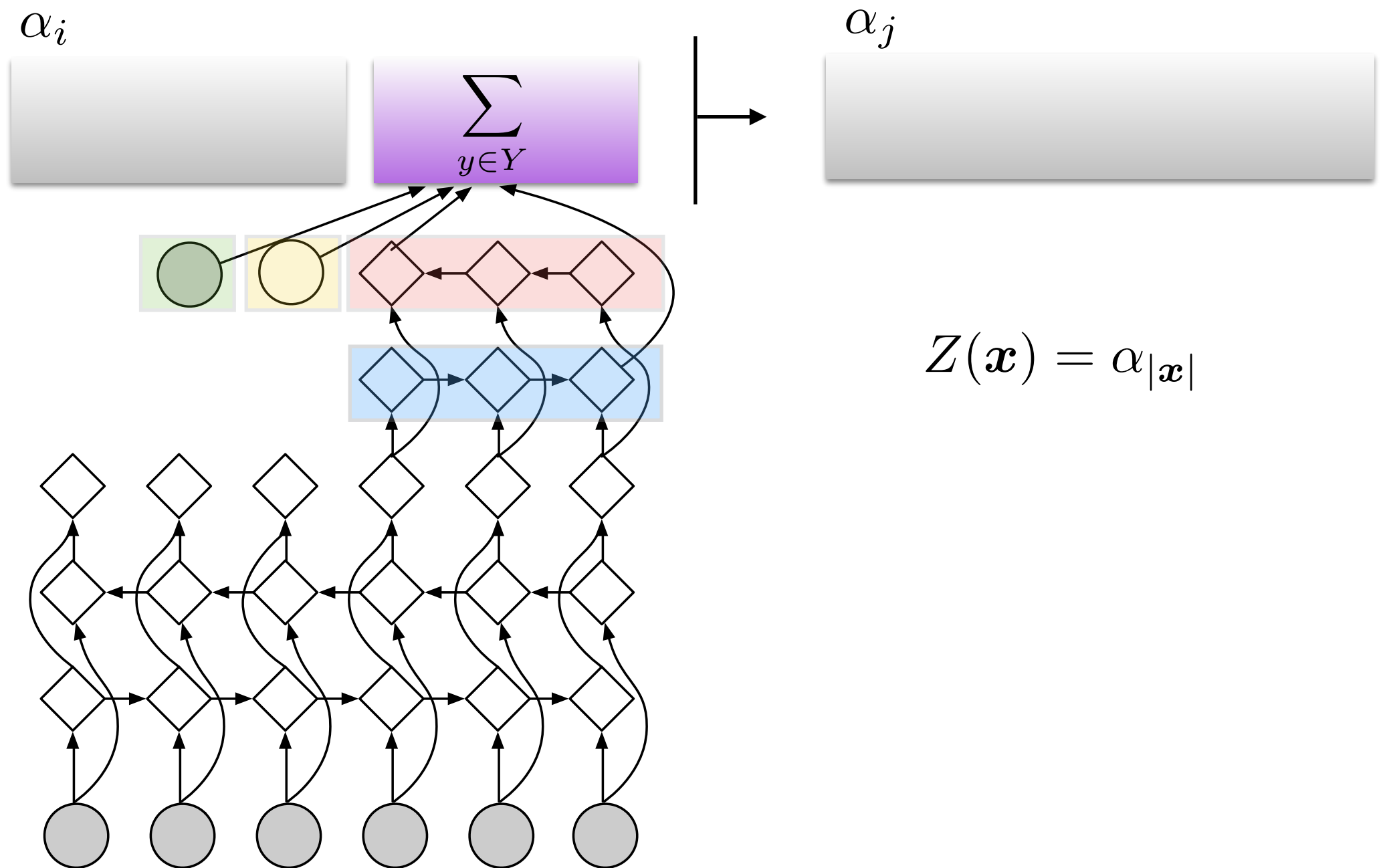
Fully Supervised

$$\begin{aligned}\mathcal{L} &= \sum_{(\mathbf{x}, \mathbf{y}, \mathbf{z}) \in \mathcal{D}} -\log p(\mathbf{y}, \mathbf{z} \mid \mathbf{x}) \\ &= \sum_{(\mathbf{x}, \mathbf{y}, \mathbf{z}) \in \mathcal{D}} \log Z(\mathbf{x}) - \log Z(\mathbf{x}, \mathbf{y}, \mathbf{z})\end{aligned}$$

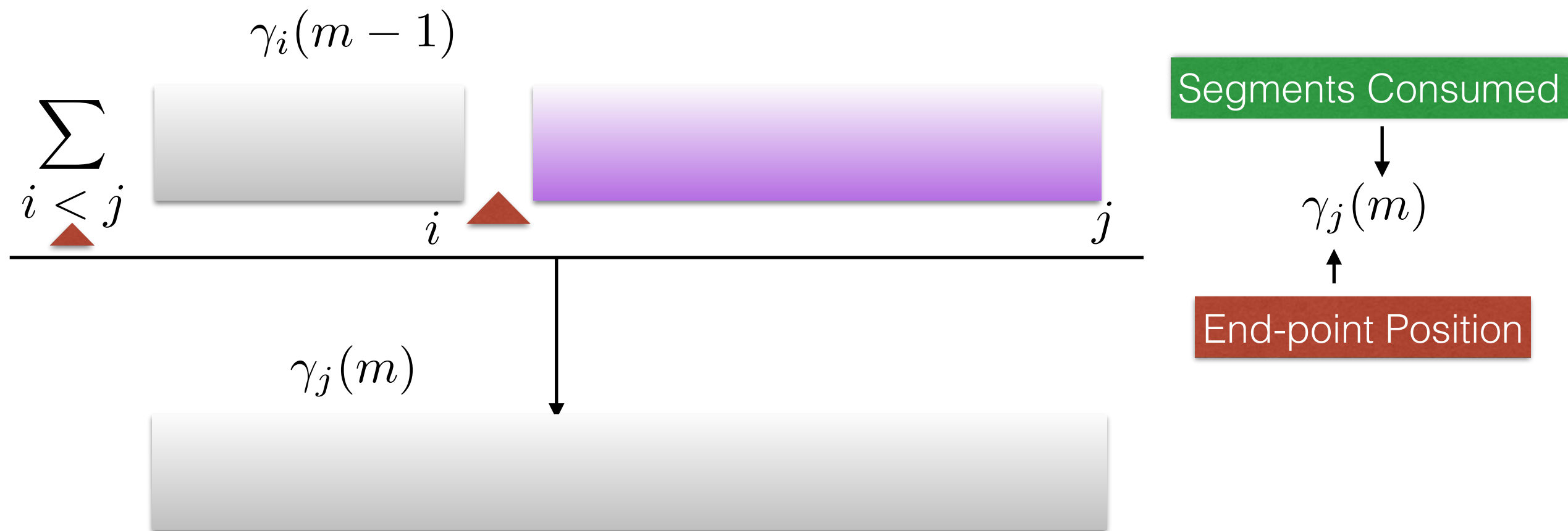
Partially Supervised

$$\begin{aligned}\mathcal{L} &= \sum_{(\mathbf{x}, \mathbf{y}) \in \mathcal{D}} -\log p(\mathbf{y} \mid \mathbf{x}) \\ &= \sum_{(\mathbf{x}, \mathbf{y}) \in \mathcal{D}} \sum_{\mathbf{z} \in \mathcal{Z}(\mathbf{x}, \mathbf{y})} -\log p(\mathbf{y}, \mathbf{z} \mid \mathbf{x}) \\ &= \sum_{(\mathbf{x}, \mathbf{y}) \in \mathcal{D}} \log Z(\mathbf{x}) - \log Z(\mathbf{x}, \mathbf{y})\end{aligned}$$

Dynamic Programming



Dynamic Programming



$$Z(x, y) = \gamma_{|x|}(|y|)$$

Experiments

Online Hand Writing Recognition 

	P (seg)	R (seg)	F (seg)	Error
CTC	-	-	-	13.8%
SRNNs(Full)	98.9%	98.6%	98.6%	5.4%
SRNNs (Partial)	99.2%	99.1%	99.2%	2.7%

(Kassel, 1995)

(Taskar et al. 2004)

Experiments

Joint Chinese word segmentation and POS tagging

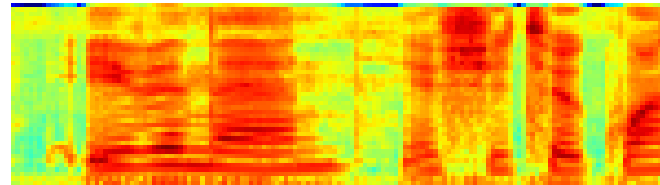
1997 年 继续 主办。
CD M VV VV PU

	P (seg)	R (seg)	F (seg)
BiRNNs	94.7%	95.2%	95.0%
SRNNs	95.3%	95.8%	95.5%

	P (tag)	R (tag)	F (tag)
BiRNNs	88.1%	88.5%	88.3%
SRNNs	89.8%	90.3%	90.3%

Experiments

Speech Recognition



Multi-task Learning with CTC and Segmental CRF for Speech Recognition [\[INTERSPEECH 2016\]](#)

Segmental Recurrent Neural Networks for End-to-end Speech Recognition [\[INTERSPEECH 2017\]](#)

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Dynamic **R**ecurrent **A**cyclic **G**raphical **N**eural Networks (DRAGNN)



David Weiss



Chris Alberti



Daniel Andor



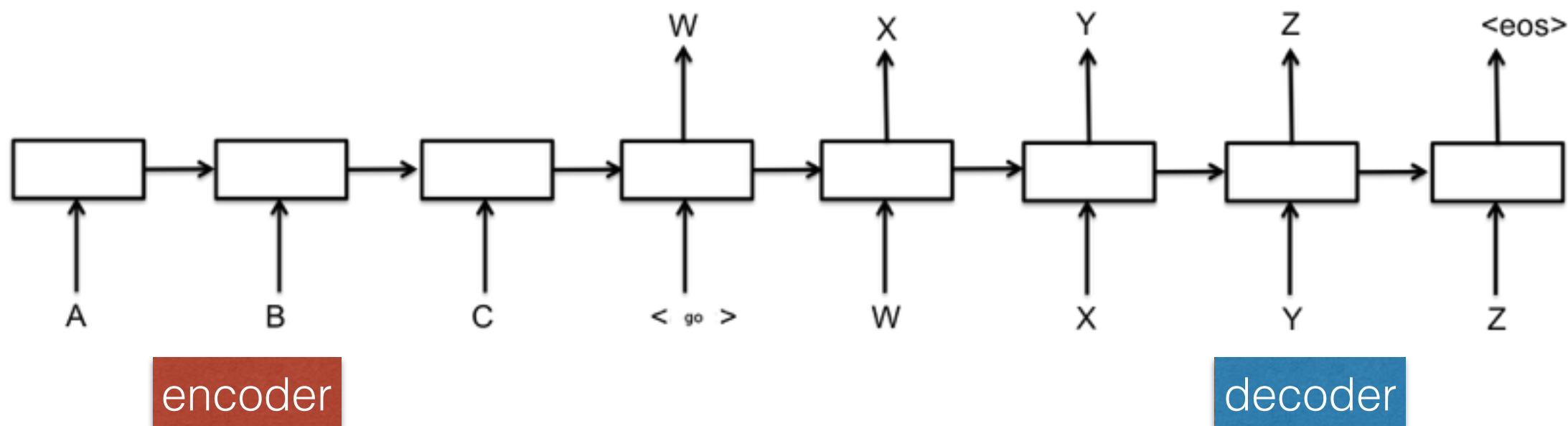
Ivan Bogatyy

<https://github.com/tensorflow/models/tree/master/syntaxnet/dragnn>

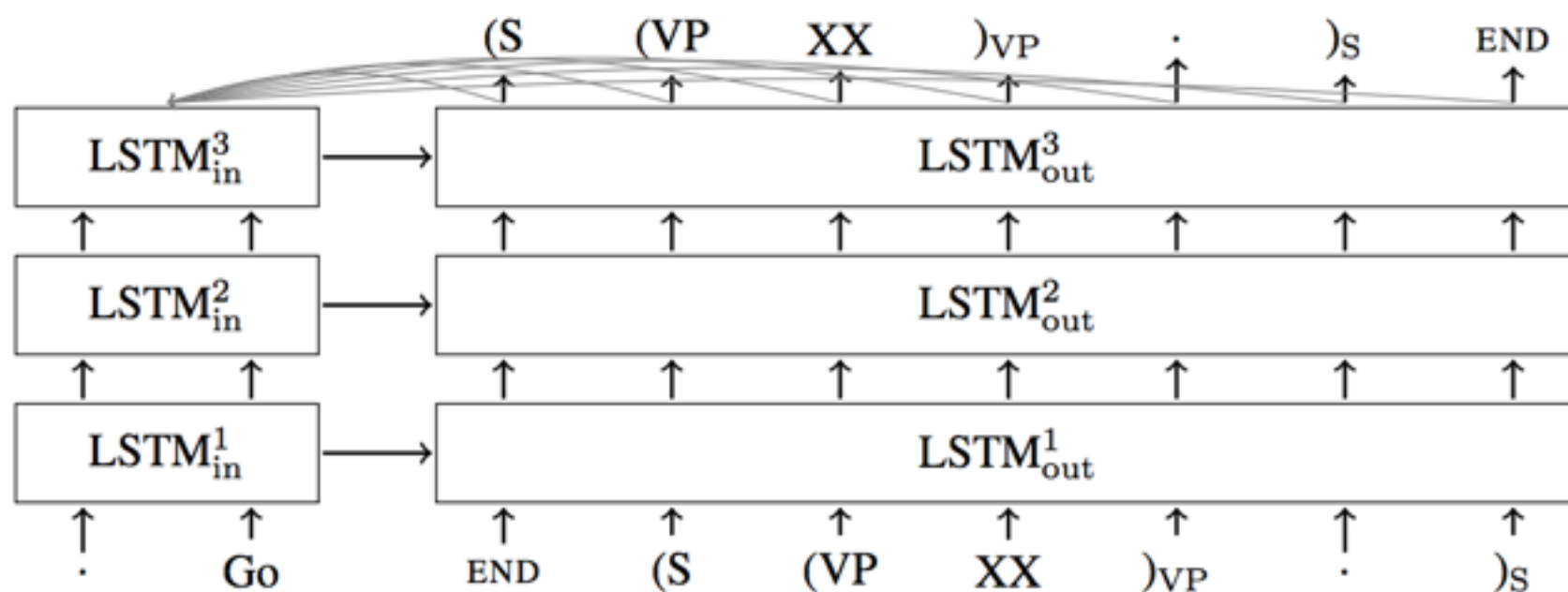


Research at Google

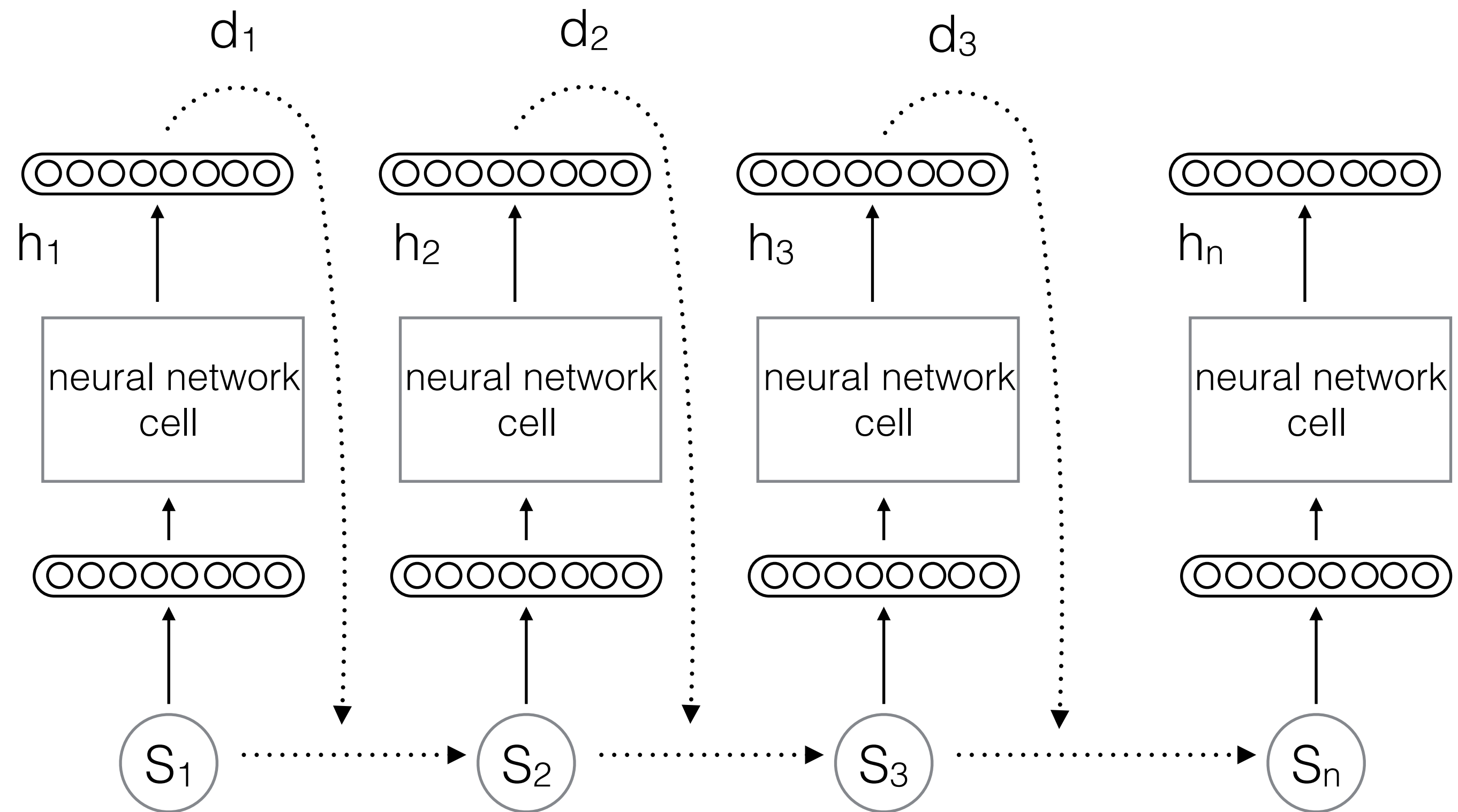
Sequence-to-sequence model

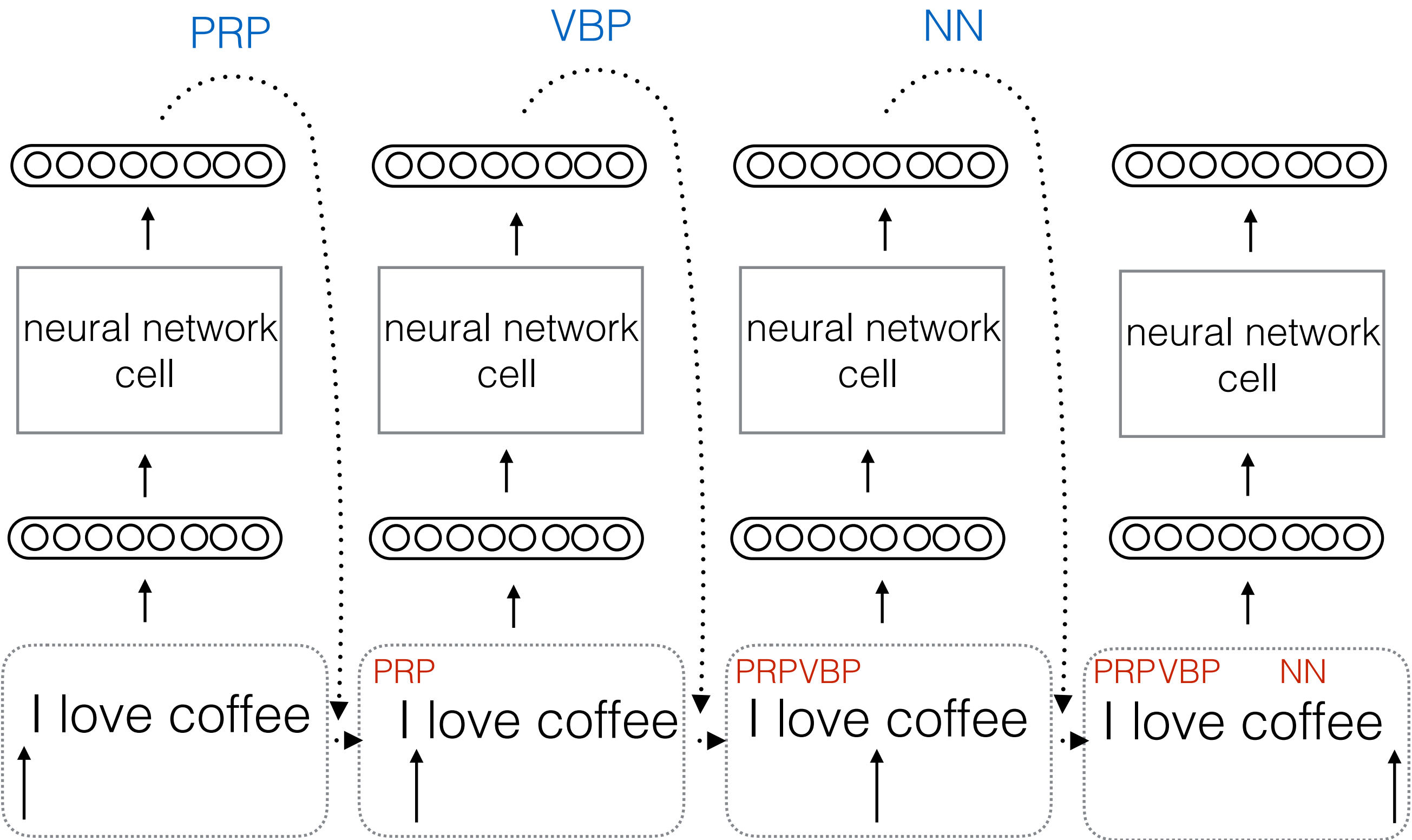


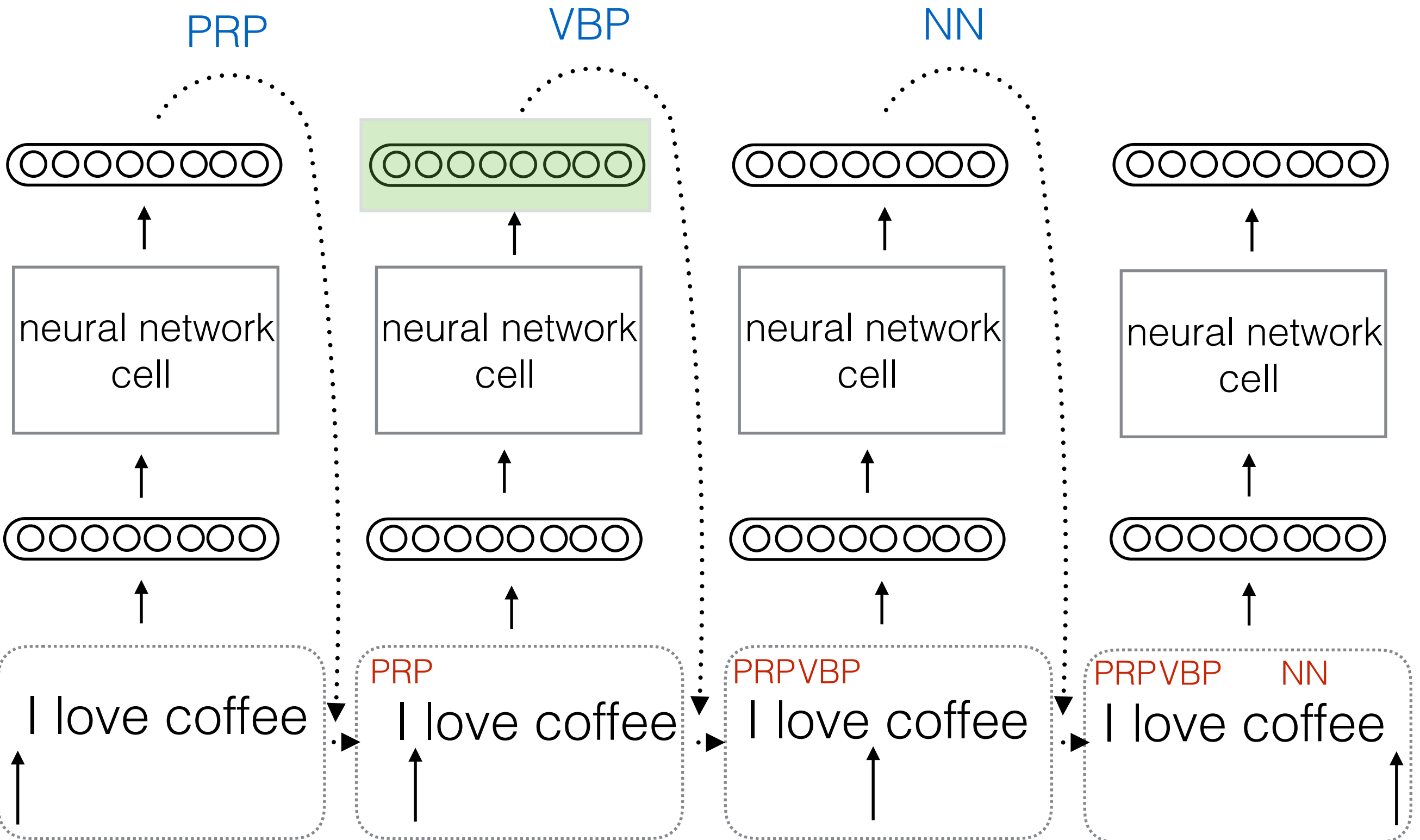
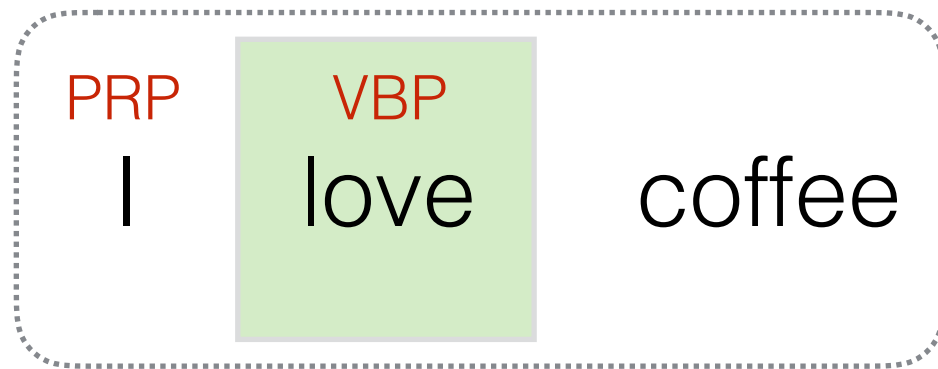
(Cho et al, 2014)

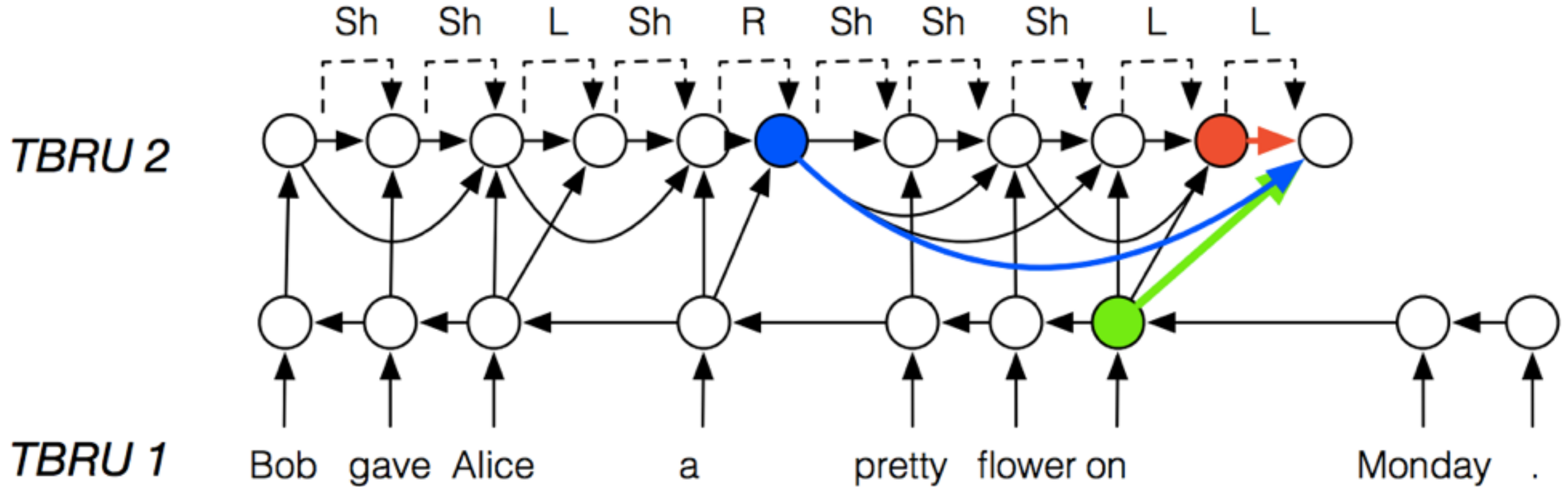


(Vinyals et al, 2015)










$\text{SUBTREE}(s, S_0)$ $\text{SUBTREE}(s, S_1)$

= 

= 

gave

flower

Bob


Alice

a

pretty

Stack

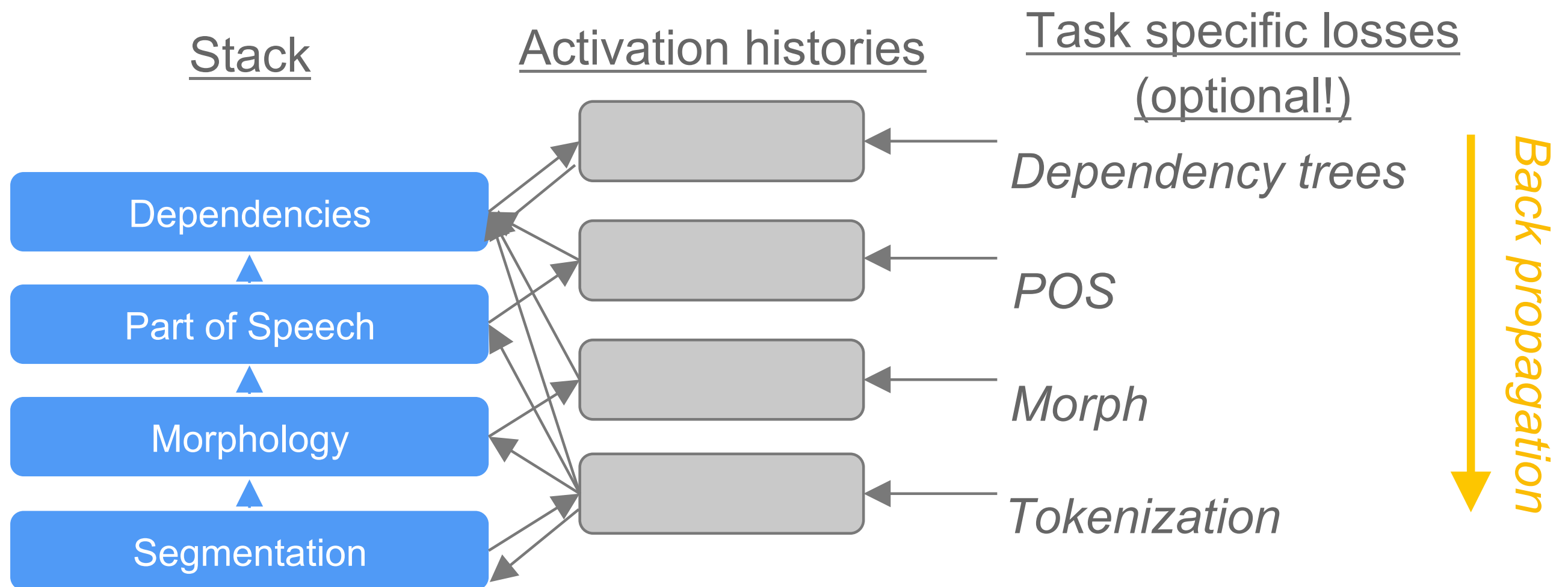
$\text{INPUT}(s)$

= 

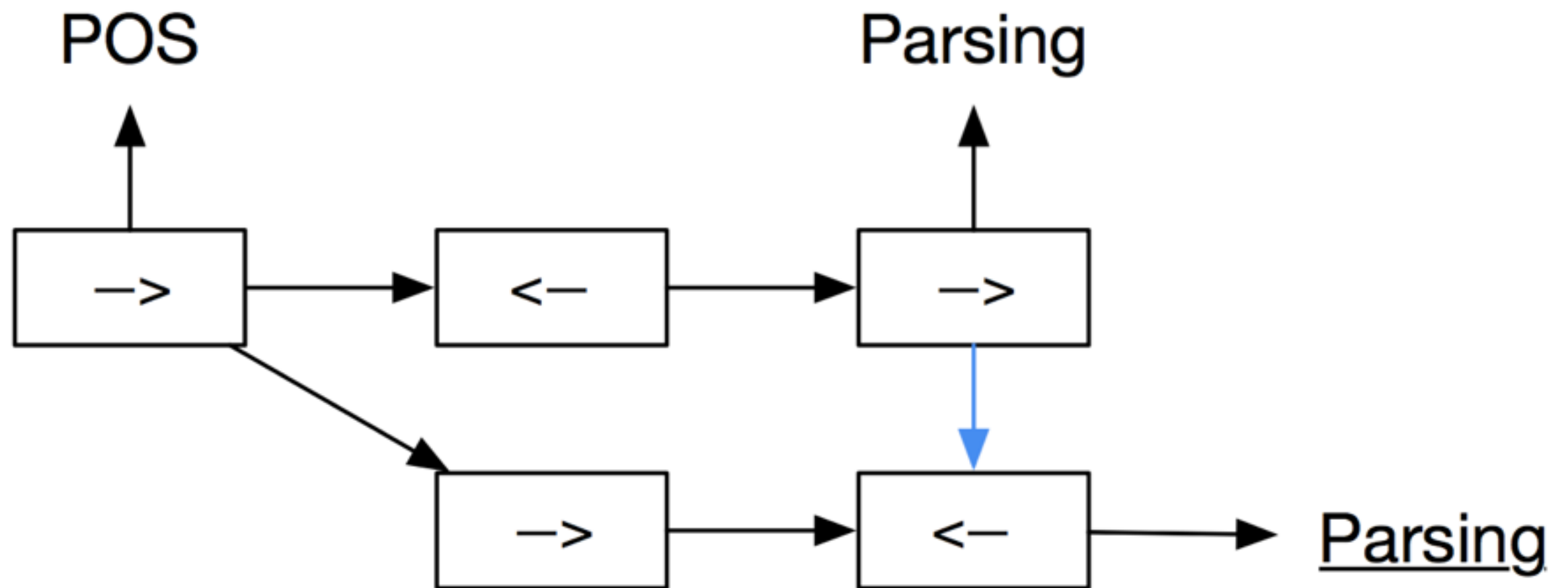
on Monday

Buffer

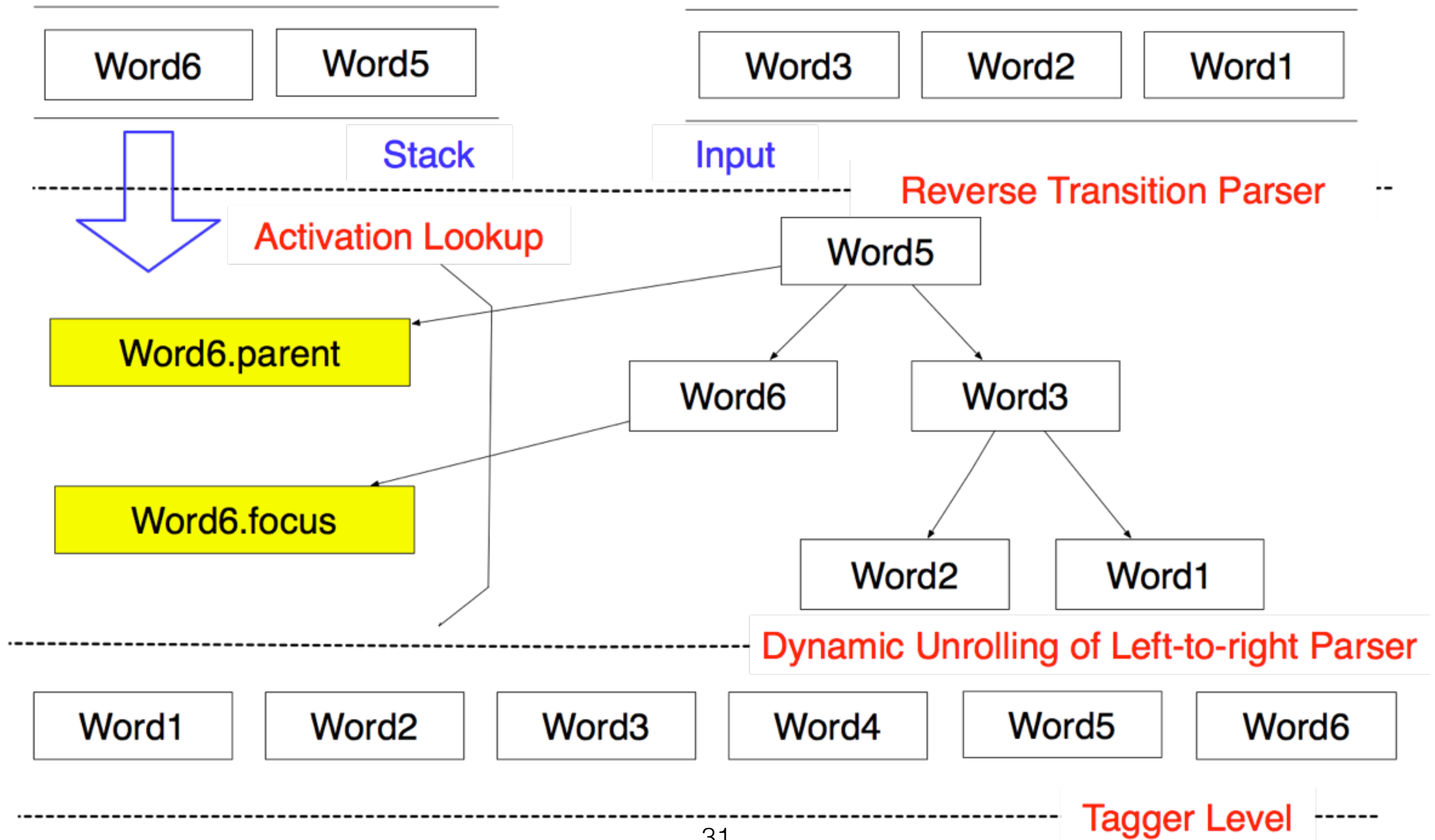
Deep multi-task learning with DRAGNN



Bi-directional Parsing



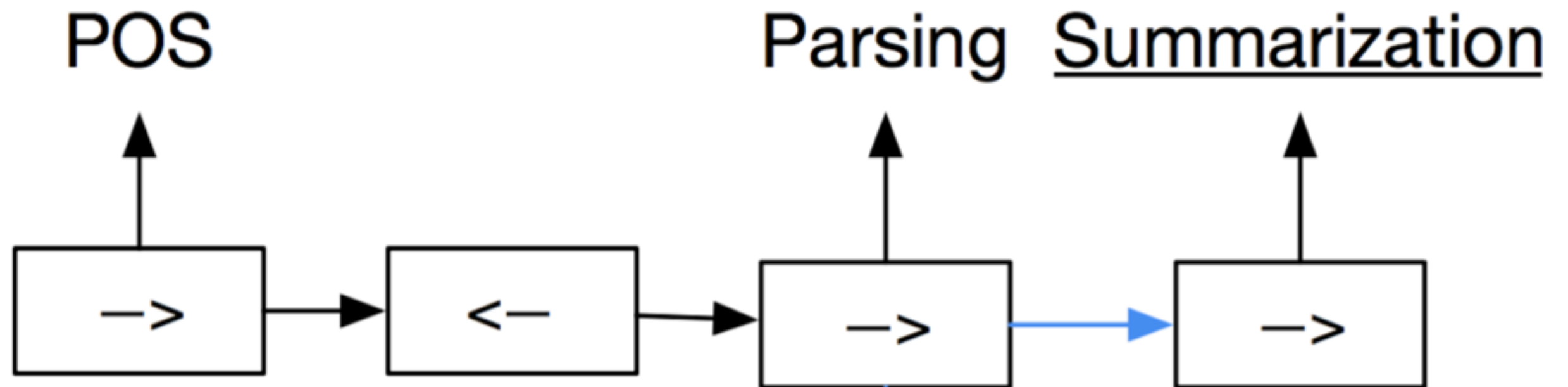
Bi-directional Parsing



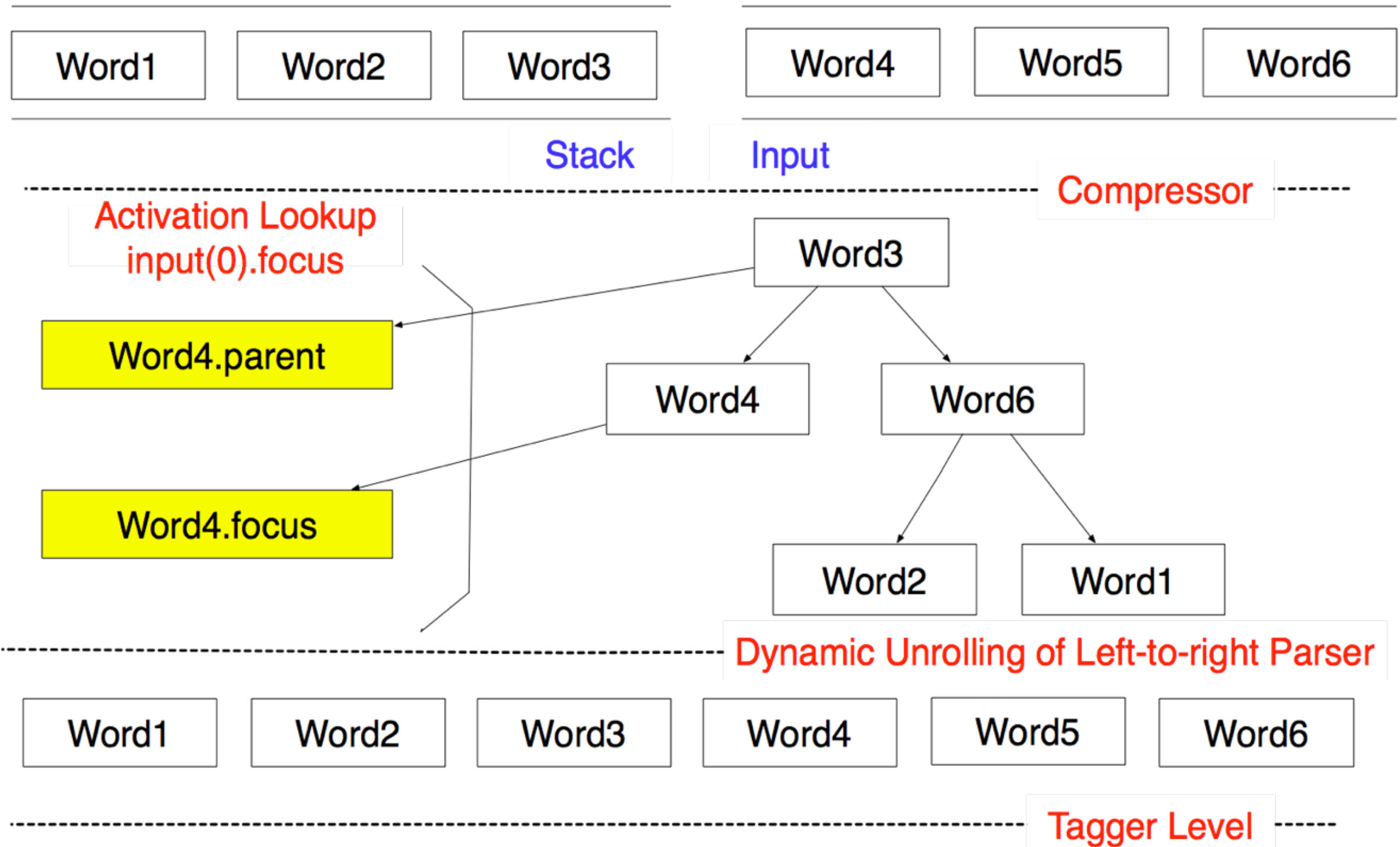
Bi-directional Parsing

	Union-News			Union-Web			Union-QTB		
Model	UAS	LAS	POS	UAS	LAS	POS	UAS	LAS	POS
Andor et al. (2016)	94.44	92.93	97.77	90.17	87.54	94.80	95.40	93.64	96.86
Left-to-right Parsing	94.60	93.17	97.88	90.09	87.50	94.75	95.62	94.06	96.76
Bi-directional Parsing	94.66	93.23	98.09	90.22	87.67	95.06	96.05	94.51	97.25

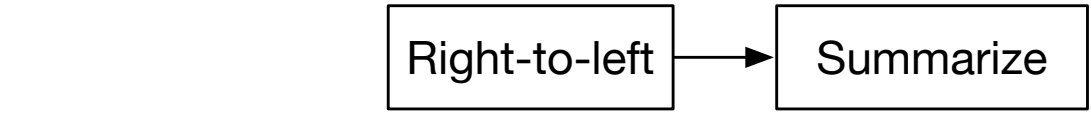
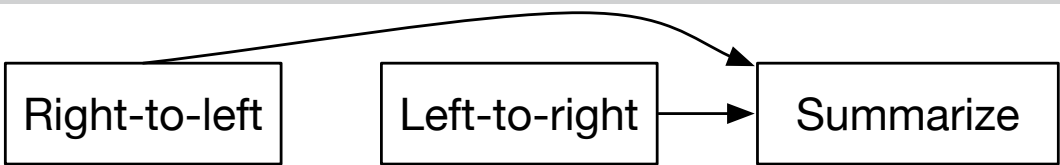
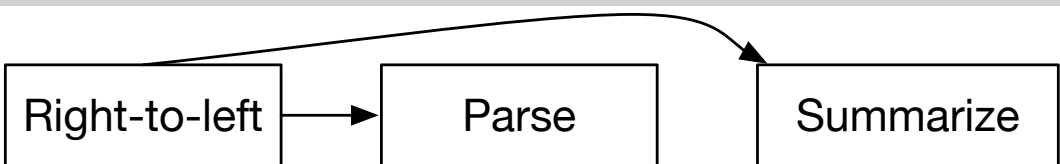
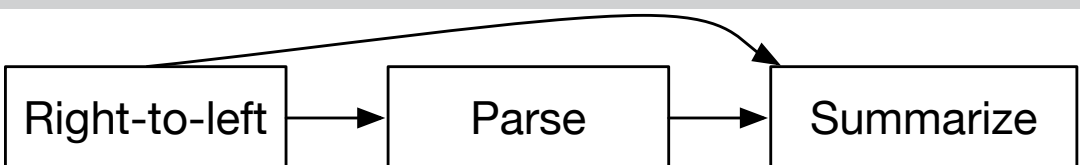
Compressor Pipeline



Compressor Pipeline



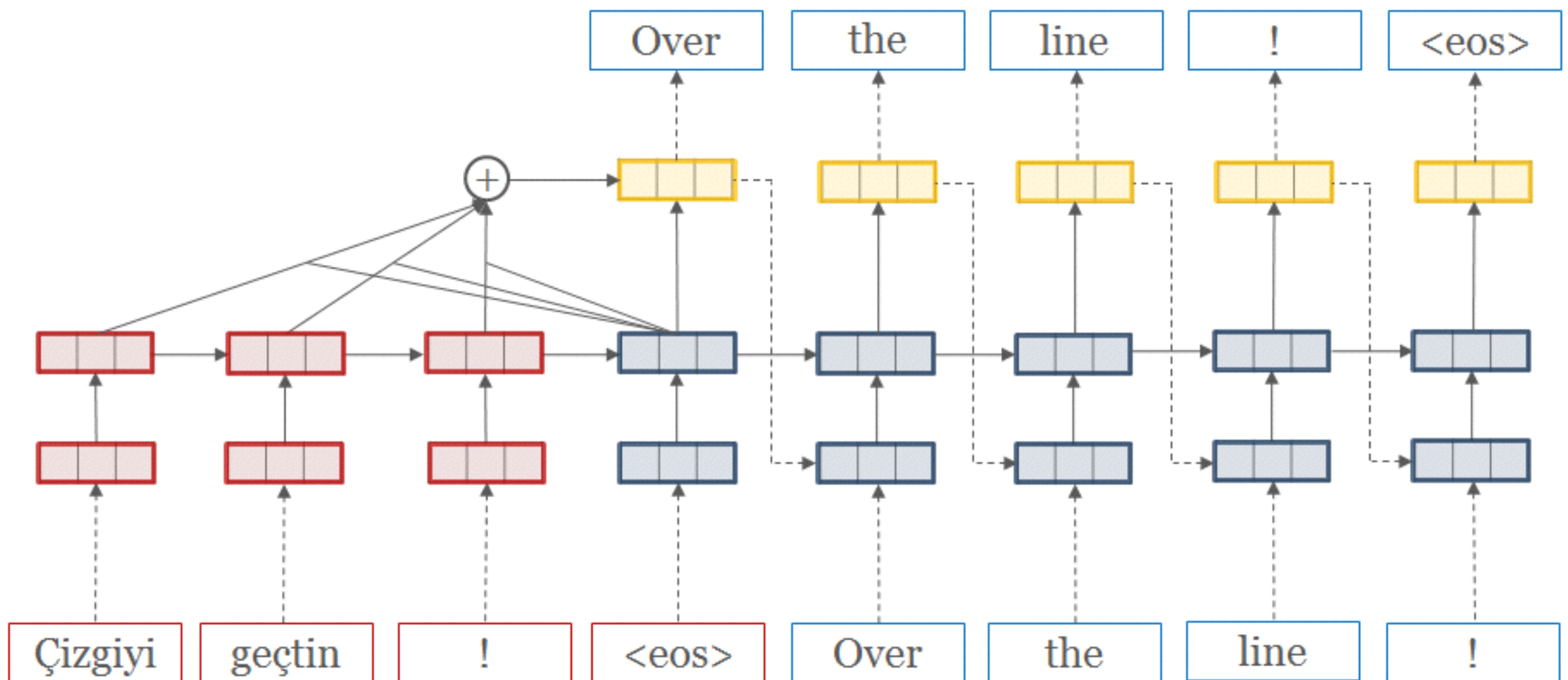
Compressor Pipeline

	Model	A(%)	F1(%)	LAS(%)
Single LSTM		28.93	79.75	–
Bi-LSTM		29.51	80.03	–
Multi-task LSTM (Luong et al., 2015)		30.07	80.31	89.42
Parse sub-trees		30.56	80.74	89.13

Outline

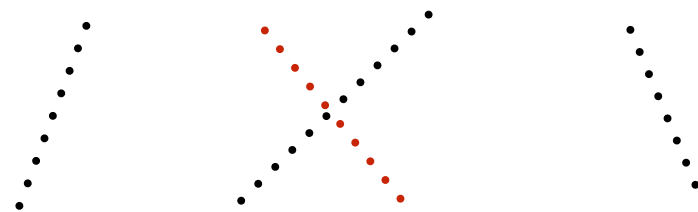
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Neural Machine Translation

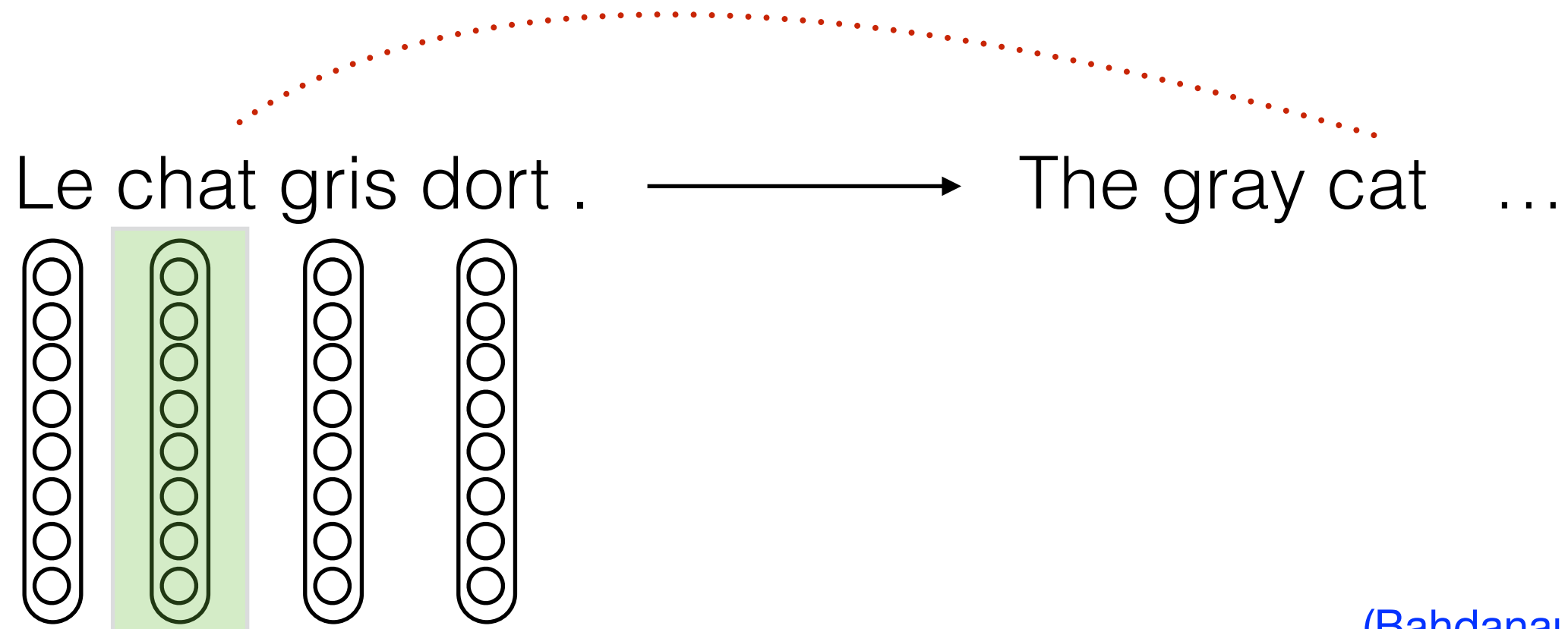


Attention Mechanism

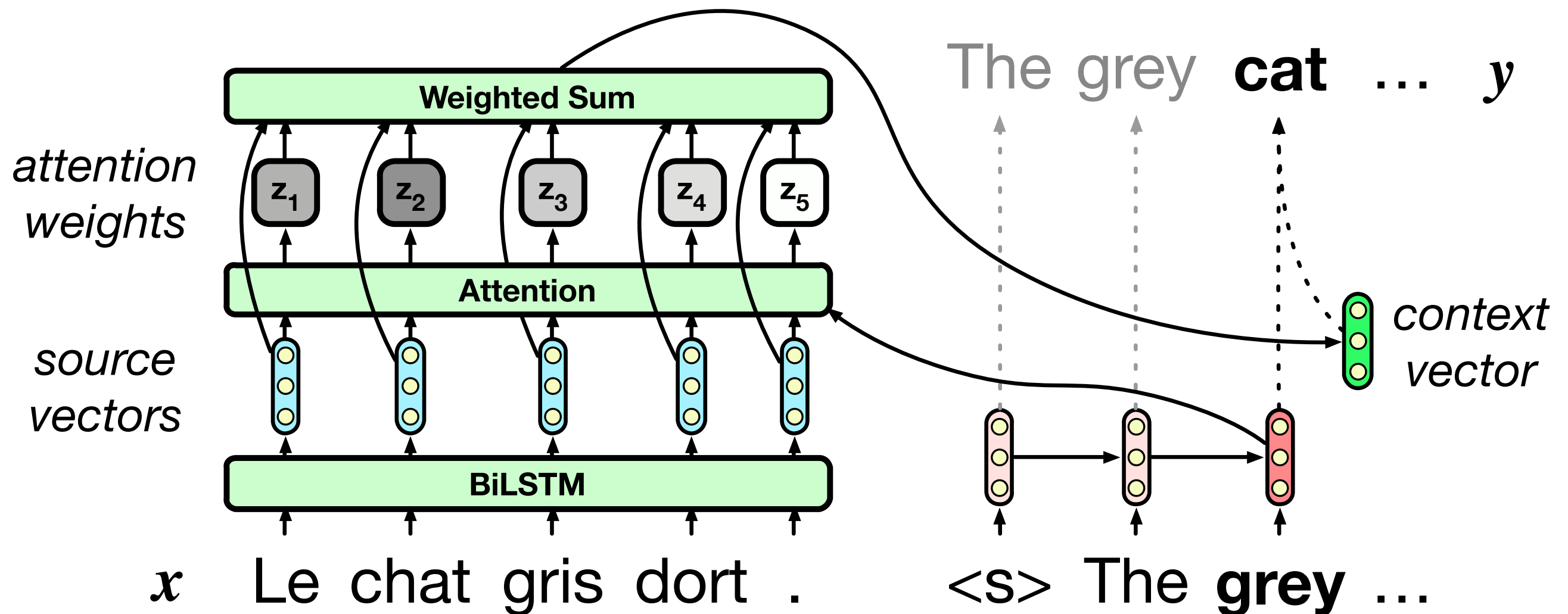
Le chat gris dort .



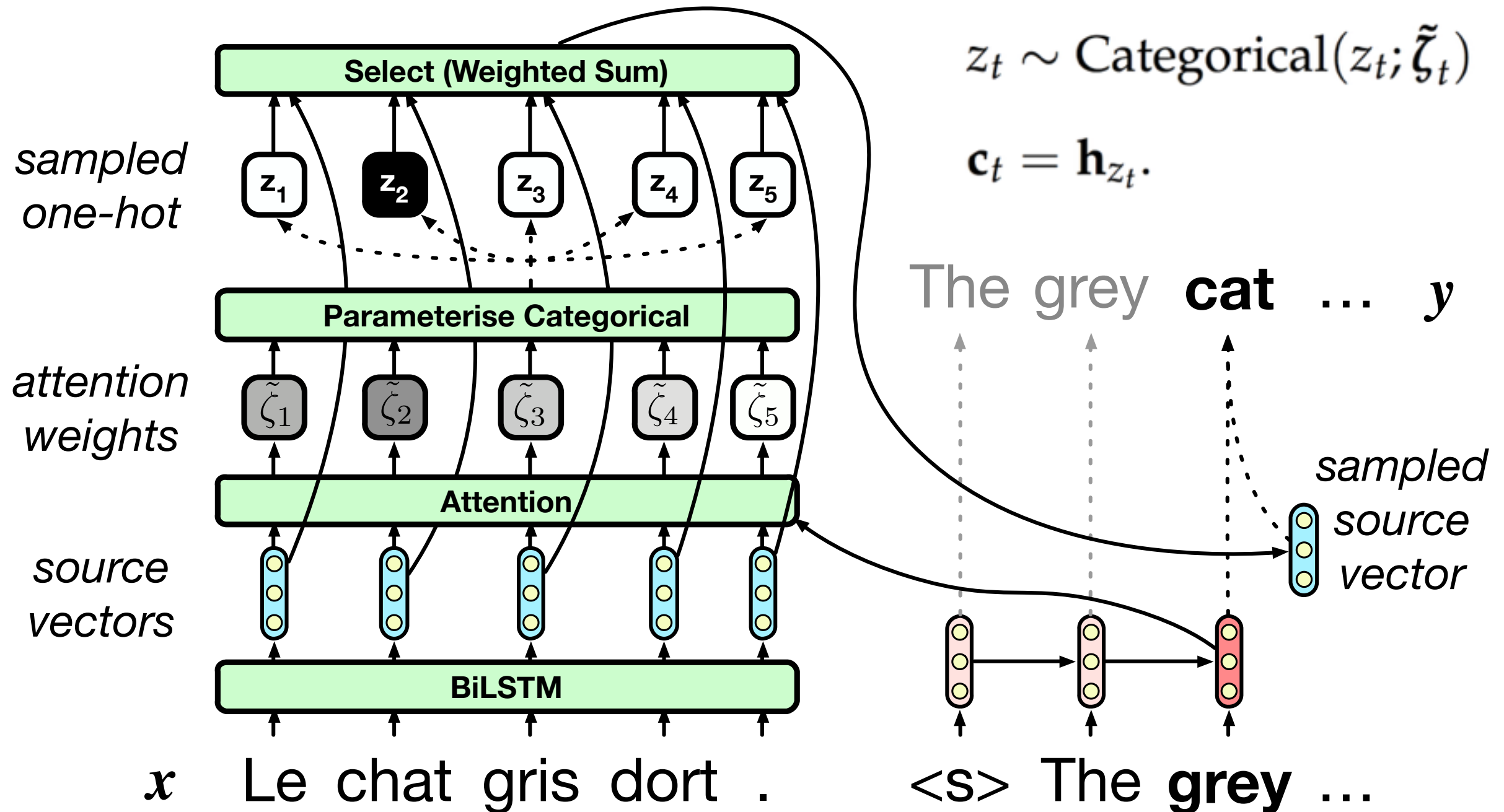
The gray cat sleeps .



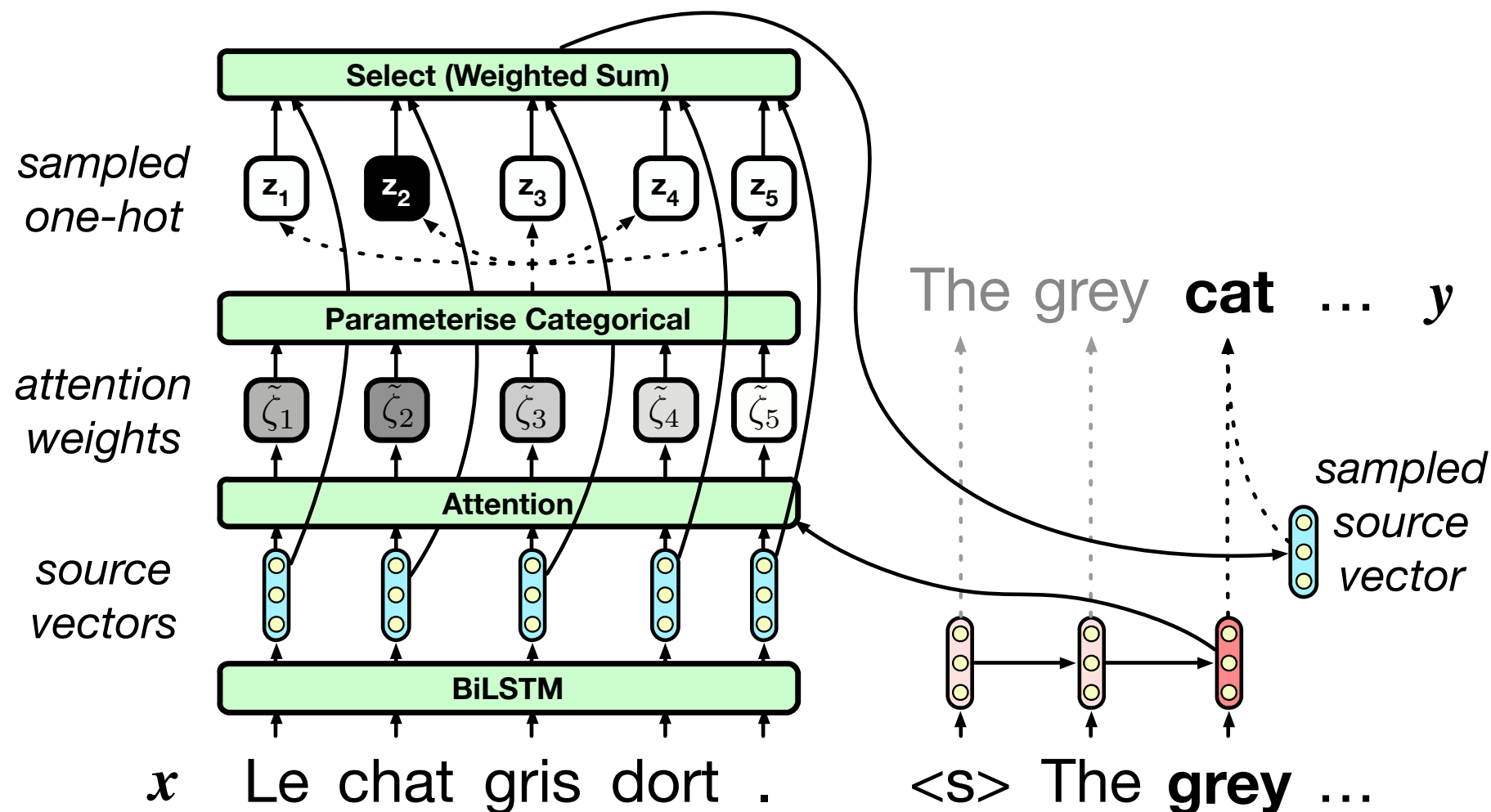
Deterministic Attention



Stochastic Attention



Marginal Likelihood and Training Objective



$$p(y | x) = \sum_z p(y, z | x)$$

$$= \prod_{t=1}^M \sum_{z_t=1}^N p(z_t | x, y_{<t}) p(y_t | z_t, x, y_{<t})$$

Approximating the Marginal Likelihood

Variational lower bound:

$$\begin{aligned}\log p(\mathbf{y} \mid \mathbf{x}) &= \log \sum_{\mathbf{z}} p(\mathbf{y}, \mathbf{z} \mid \mathbf{x}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N p(z_t \mid \mathbf{x}, \mathbf{y}_{<t}) p(y_t \mid z_t, \mathbf{x}, \mathbf{y}_{<t}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t}) \\&\geq \sum_{t=1}^M \mathbb{E}_q \log \frac{p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t})}{q(z_t)} \\&= \sum_{t=1}^M \mathbb{E}_q \log p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t}) + H(q)\end{aligned}$$

Approximating the Marginal Likelihood

REINFORCE:

$$\begin{aligned} p(\mathbf{y} \mid \mathbf{x}) &= \prod_{t=1}^M \sum_{z_t=1}^N p(z_t \mid \mathbf{x}, \mathbf{y}_{<t}) p(y_t \mid z_t, \mathbf{x}, \mathbf{y}_{<t}) \\ &= \prod_{t=1}^M p(\tilde{z}_t \mid \mathbf{x}, \mathbf{y}_{<t}) p(y_t \mid \tilde{z}_t, \mathbf{x}, \mathbf{y}_{<t}) \end{aligned}$$

One-sample approximation

Experiments: Deterministic vs. Stochastic Attention

Model	Inference	BLEU	PPL
Deterministic	-	31.87	5.25
Stochastic	exact	31.91	4.65
Stochastic	variational	30.10	5.40
Stochastic	REINFORCE	29.85	5.31

- **Let's not give up yet!**
 - Neural nets can fit noisy data ([Zhang, Bengio, Hardt, Recht, Vinyals, ICLR 2017](#)).
 - (Stochastic) attention should be sensible, not just a random fit
 - Let's **regularize** the posterior distributions so they look more like what we expect posteriors to be ([Ganchev, Graça, Gillenwater, Taskar, JMLR 2010](#))
- **Strategy:**
 - Apply KL penalty (true PR penalty)
 - Use variants of IS (biased estimator) using the expected posterior as the instrumental distribution

IBM Models

Economic growth has slowed down in recent years .



Das Wirtschaftswachstum hat sich in den letzten Jahren verlangsamt .

Economic growth has slowed down in recent years .



La croissance économique s' est ralentie ces dernières années .

Great q distribution!

Posterior Regularization

Exact:

$$\mathcal{L} = -\log \sum_z p(\mathbf{y}, z \mid \mathbf{x}) + \gamma \times D_{KL}(p(z \mid \mathbf{x}, \mathbf{y}) \parallel \tilde{q}(z))$$

$$p(z \mid \mathbf{x}, \mathbf{y}) = \frac{p(\mathbf{y}, z \mid \mathbf{x})}{\sum_z p(\mathbf{y}, z \mid \mathbf{x})}$$

Posterior Regularization

Importance Sampling:

$$\begin{aligned}\log p(\mathbf{y} \mid \mathbf{x}) &= \log \sum_{\mathbf{z}} p(\mathbf{y}, \mathbf{z} \mid \mathbf{x}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N p(z_t \mid \mathbf{x}, \mathbf{y}_{<t}) p(y_t \mid z_t, \mathbf{x}, \mathbf{y}_{<t}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N \tilde{q}(z_t) w(z_t, \mathbf{x}, \mathbf{y}) \\&= \sum_{t=1}^M \mathbb{E}_{\tilde{q}} w(z_t, \mathbf{x}, \mathbf{y}),\end{aligned}$$

$$w(z_t, \mathbf{x}, \mathbf{y}) = \frac{p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t})}{\tilde{q}(z_t)}$$

Monte Carlo approximation

Posterior Regularization

Jensen IS:

$$\begin{aligned}\log p(\mathbf{y} \mid \mathbf{x}) &= \log \sum_{\mathbf{z}} p(\mathbf{y}, \mathbf{z} \mid \mathbf{x}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N p(z_t \mid \mathbf{x}, \mathbf{y}_{<t}) p(y_t \mid z_t, \mathbf{x}, \mathbf{y}_{<t}) \\&= \sum_{t=1}^M \log \sum_{z_t=1}^N p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t}) \\&\geq \sum_{t=1}^M \mathbb{E}_{\tilde{q}} \log \frac{p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t})}{\tilde{q}(z_t)} \\&= \sum_{t=1}^M \mathbb{E}_{\tilde{q}} \log p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t}) - H(\tilde{q})\end{aligned}$$

Importance Sampling

$$w(z_t, \mathbf{x}, \mathbf{y}) = \frac{p(y_t, z_t \mid \mathbf{x}, \mathbf{y}_{<t})}{\tilde{q}(z_t)}$$

sample from a fixed distribution

Experiments: Posterior regularization

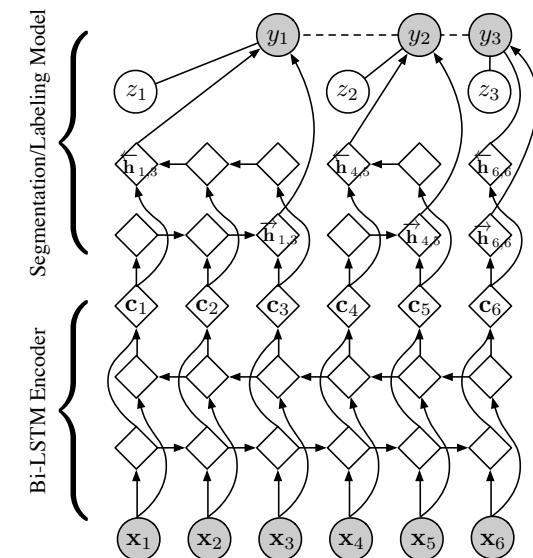
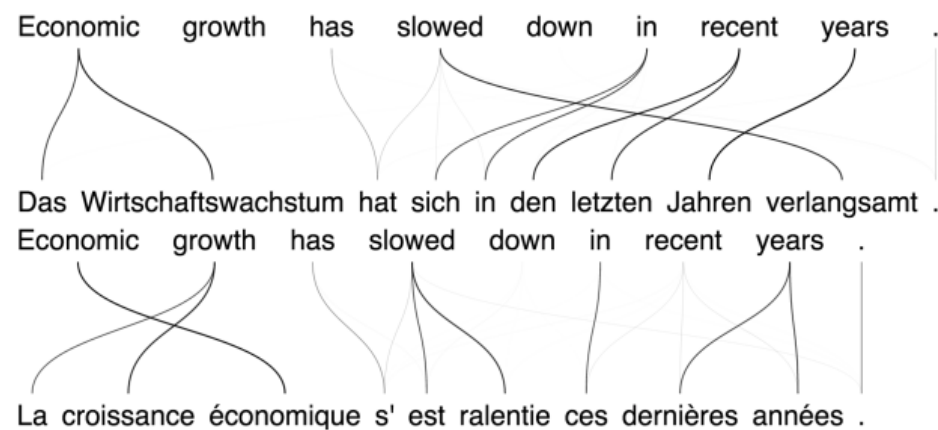
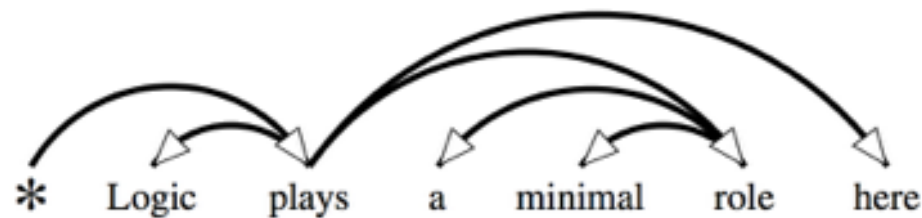
Model	Inference	PR	BLEU	PPL
Deterministic	exact	none	31.87	5.25
Stochastic	exact	none	31.91	4.65
Deterministic Chen et al. (2016)	exact	full	32.48	5.20
Stochastic	exact	full	35.17	4.03
Stochastic	IS with q	approximate	34.68	4.04
Stochastic	Jensen bound IS with q	approximate	35.40	3.94

Outline

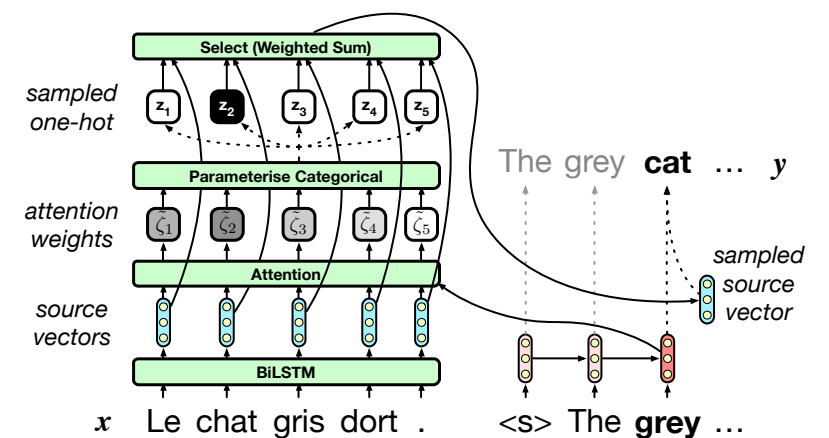
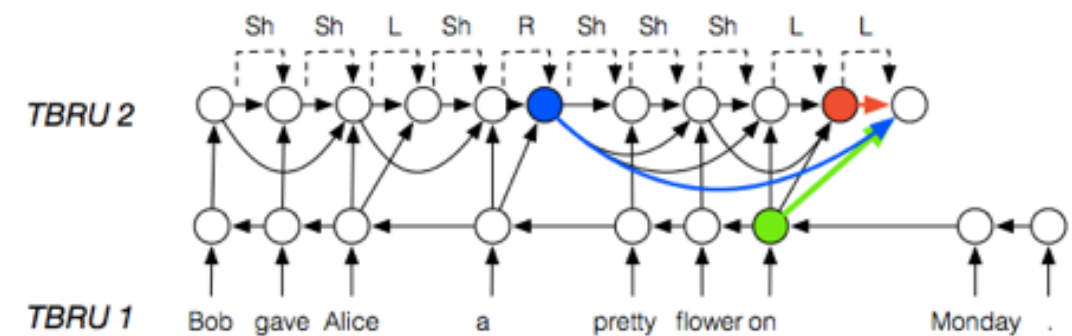
- Introduction
- Part I — Segmental Recurrent Neural Networks
- Part II — A Transition-based Framework for Dynamically Connected Neural Networks
- Part III — Inference and Regularization in Sequence to Sequence Models with Stochastic Attention
- Conclusion and Future Work

Conclusion and Future Work

NP PP NP PP
The angle of cats' ears is an important clue to their mood



Unrolled graph (incomplete):



Conclusion and Future Work

- Segment Structures in Neural Machine Translation
- Automatic Linguistic Structure Discovery
- Hard Constraints in Attention Mechanism

Thank you!