Von Neumann Algebras

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Part I

Weights

Projections

1.1 Countability

- **1.1** (Countable decomposability). Let M be a von Neumann algebra. A projection $p \in M$ is called *countably decomposable* if mutually orthogonal nonzero projections majorized by p are at most countable, and we say M is *countably decomposable* if the identity is. The followings are all equivalent.
 - (a) *M* is countably decomposable.
 - (b) *M* admits a faithful normal state.
 - (c) *M* admits a faithful normal non-degenerate representation with a cyclic and separating vector.
 - (d) The unit ball of M is metrizable in the σ -strong topology.

Proof.

- **1.2** (Faithful normal states). A vector state is separating iff it is faithful. Cyclic and separating vectors If $M \subset B(H)$ admits a separating vector, then every normal state is a vector state. (T:V.1.12, J:7.1.4?)
- **1.3** (Separable predual). Let M be a von Neumann algebra. The followings are all equivalent.
 - (a) *M* has the separable predual.
 - (b) *M* admits a faithful normal non-degenerate representation on a separable Hilbert space.
 - (c) *M* is countably decomposable and countably generated.
 - (d) The unit ball of M is metrizable in the σ -weak topology.

Proof.

1.2 Commutative von Neumann algebras

- **1.4.** Noncommutative L^p spaces for a general weight?
 - (a) For $1 \le p < \infty$, $C_0(X) \to L^p(X, \mu)$ is a bounded linear maps of dense range.
 - (b) $L^{\infty}(X,\mu)$ is a m.a.s.a. of $B(L^2(X,\mu))$.

Proof. We will show bounded linear maps $L^{\infty}(X,\mu)' \to M(X)$ and $L^{\infty}(X,\mu) \to M(X)$ have the same image. Let $y \in L^{\infty}(X,\mu)'$ and define $\mu_{\gamma} \in M(X)$ by

$$\mu_{y}(a) := \langle \pi_{\mu}(a) y \psi_{\mu}, \psi_{\mu} \rangle.$$

We claim that μ_{γ} factors through $L^1(X,\mu)$.

Monotone convergence theorem states that a measure on a countably decomposable(?) enhanced measurable space *X* uniquely defines a 'countably' normal weight on the space of all measurable functions. Note that a 'countably' normal weight is normal on a countably decomposable von Neumann algebra.

1.5 (Maximal commutative subalgebras). A commutative von Neumann algebra M is m.a.s.a. if and only if it admits a cyclic vector. In this case, M is spatially isomorphic to some L^{∞} (if separable?).

Proof. \Box

separable commutative von Neumann algebra is generated by one self-adjoint element.

- **1.6.** The set of projections is a complete orthomodular lattice. If M is commutative, then the set of projections is a complete boolean algebra.
 - commutative ring distributive lattice coherent locale
 - clean ring+ α boolean algebra stone space
 - - complete boolean algebra stonean space
 - commutative von Neumann algebra localizable boolean algebra hyperstonean space

A *frame* is a partially ordered set F that admits a finite meets and arbitrary joins, and for any $a \in F$ the map $F \to F : x \mapsto x \wedge a$ preserves suprema. A *locale* is an object of the opposite category of frames. An element of a locale is called *open*.

A locale is called *coherent* if the set of compact opens is closed under finite meets and every open is the join of compact opens, i.e. generates opens. It is known that a coherent locale is spatial.

- (i) *X* is a coherent space.
- (ii) *X* is a (compact) sober space such that the set of compact open subsets is closed under finite intersections and forms a base.
- (iii) X is homeomorphic to the underlying space of an affine scheme.

A morphism of CohLoc is a compact open preserving local morphism. A morphism of DistLat is just a lattice morphism. We can consider the compact open functor CohLoc \rightarrow DistLat^{op} and the ideal functor DistLat^{op} \rightarrow CohLoc. They form a categorical equivalence between the category of coherent locales and the opposite category of distributive lattices with lattice morphisms (i.e. preserving finite meets and joins).

A locale is called Stone if it is a coherent locale in which every open is the join of all subopens of it.

- (i) *X* is a Stone space.
- (ii) *X* is totally disconnected and compact Hausdorff.
- (iii) X is a compact zero-dimesional sober space.
- (iv) X is a compact zero-dimesional Hausdorff space.
- (v) X is coherent and Hausdorff.

A morphism of StoneLoc is a compact open (clopen) preserving locale morphism. A morphism of BoolLat is just a lattice morphism.

A locale is called *Stonean* if it is a Stone locale in which the (unique) complement of any element is clopen. A morphism of StoneanLoc is an open locale morphism. A morphism of CpltBoolLat is a continuous lattice morphism.

A locale is called *Hyperstonean* if... A boolean lattice is called *localizable* if it is complete, and the identity is approximated by elements admitting a faithful continuous valuation on their compression.

The category LBAlg admits small products, and the products are preserved by the forgetful functor LBAlg \rightarrow BAlg.

*

- **1.7** (Boolean algebra). A *boolean ring* is a ring in which every element is idempotent, which is automatically commutative. A *boolean algebra* is a unital boolean ring. A *boolean lattice* is a complemented distributive lattice.
 - (a) There is a one-to-one correspondence between boolean rings and boolean lattices.
 - (b) The category of boolean algebras with unital homomorphisms and the category of Stone spaces with continuous maps are equivalent.
 - (c) The category of complete boolean algebras with order continuous unital homomorphisms and the category of Stonean spaces with open continuous maps are equivalent. In the Stonean space, the join and meet is realized as the closure of union and the interior of intersection, respectively.
- **1.8** (Measurable algebras). For a boolean algebra, existences of sequential suprema and sequential infima are equivalent. A boolean algebra is called a *measurable algebra* if it is order σ -complete.
 - (a) (Loomis-Sikorski representation) Every measurable algebra \mathcal{L} is realized as $\mathcal{M}/\mathcal{M} \cap \mathcal{N}$ from a enhanced measurable space $(X, \mathcal{M}, \mathcal{N})$.
 - (b) (Dedekind completion) Every boolean algbera ${\cal L}$
- *Proof.* (a) Let X be the Stone space of \mathcal{L} , \mathcal{M} the set of clopen subsets, and \mathcal{N} the set of meager sets. Then, \mathcal{M} is a σ -algebra on X and \mathcal{N} is a σ -ideal of X.
- (b) complete extension of order continuous homomorphisms and universal property. regular open algebra of X.
- **1.9** (Measure algebras). A *measure* on a measurable algebra \mathcal{L} is a completely additive monotone function $\mathcal{L} \to [0, \infty]$. A *measure algebra* is a measurable algebra together with a faithful measure.

Let (X, M, μ) be a measure space, which is not necessarily faithful. There is a canonically associated measure algebra $(\mathcal{M}/\mathcal{M} \cap \mathcal{N}, \mu)$, which is faithful, where $\mathcal{N} := \mu^{-1}(0)$.

1.10 (Localizable measure algebras). For a measure space (X, \mathcal{M}, μ) , the completion always does not change the measure algebra, and the complete locally determined version

$$\widetilde{\mathcal{M}} := \{ E \subset X : E \cap A \in \mathcal{M} \triangle \mathcal{N}, \ \mu(A) < \infty \}, \qquad \widetilde{\mu}(E) := \sup \{ \mu(E \cap A) : \mu(A) < \infty \}$$

does not change the measure algebra when the measure space is localizble.

- (a) Every localizable measure algebra is obtained from a compact decomposable measure space.
- (b) A σ -finite measure space is compact decomposable.
 - HSTop: hyperstonean spaces with open continuous maps,
 - HSLoc: hyperstonean locales with open localic maps,
 - LBAlg: localizable boolean lattices with continuous lattice homomorphisms,
 - CW*Alg: commutative W* algebras with normal *-homomorphisms.

HSTop
$$\xrightarrow{top}_{sp}$$
 HSLoc $\xrightarrow[ideal]{clopen}_{ideal}$ LBAlg^{op} = MLoc $\xrightarrow[proi]{L^{\infty}}$ CW*Alg^{op}

- **1.11.** (a) Construction of projection lattice functor.
 - (b) Construction of L^{∞} functor.
 - (c) Equivalence.

Proof. (b) Let L be a measurable locale. For $\mathbb{F} \in \{\mathbb{R}, \mathbb{C}\}$, define $L^{\infty}(L, \mathbb{F})$ to be the set of all bounded localic maps $x: L \to \mathbb{F}$, which are given by the opposite of lattice homomorphism $x^{-1}: \operatorname{top}(\mathbb{F}) \to L$ which preserves finite meets and arbitrary joins, and factors an open ball of \mathbb{F} . We can define a normed *-algebra structure on $L^{\infty}(L, \mathbb{F})$ such that

$$(x+y)^{-1}(U) := \bigvee_{U_x + U_y \subset U} (x^{-1}(U_x) \wedge y^{-1}(U_y)), \qquad (xy)^{-1}(U) := \bigvee_{U_x U_y \subset U} (x^{-1}(U_x) \wedge y^{-1}(U_y)),$$

$$(x^*)^{-1}(U) := x^{-1}(\{\overline{z} : z \in U\}), \qquad ||x|| = \inf\{\sup_{z \in U} |z| : x^{-1}(U) = 1 \in L\}.$$

Using the axioms of locales, for example that the meet with a single element preserves arbitrary joins, we can manually check that $L^{\infty}(L,\mathbb{F})$ is a commutative normed *-algebra, and in particular the C*-identity when $\mathbb{F}=\mathbb{C}$. Furthermore, since $L^{\infty}(L,\mathbb{C})$ is the complexification of $L^{\infty}(L,\mathbb{R})$, if we prove $L^{\infty}(L,\mathbb{R})$ has a predual, then the completeness with respect to norm follows automatically, so $L^{\infty}(L,\mathbb{C})$ becomes a C*-algebra with a predual, i.e. a von Neumann algebra.

Define $L^1(L,\mathbb{R})$ the real linear span of continuous valuations on L, equipped with the variation norm. Recall that a continuous valuation is a monotone function $v:L\to [0,\infty)$ such that v(0)=0 and $v(p)+v(q)=v(p\vee q)+v(p\wedge q)$, which preserves directed suprema. Note that $L^\infty(L,\mathbb{R})$

 σ -field is a unital σ -ring. σ -ideal is an ideal of a σ -ring which is a σ -ring. σ -ideal is sometimes called the measure class because it corresponds to an equivalence class of measures up to absolute continuity.

- **1.12** (Enhanced measurable spaces). An *enhanced measurable space* is a measurable space (X, M) together with a σ -ideal N of M. A morphism between enhanced measurable spaces is a partial function $f: X_1 \to X_2$ on a conegligible set such that f^* induces a ring homomorphism $M_2/N_2 \to M_1/N_1$.
 - (a) Maharam's theoem: every enhanced measurable space is isomorphic to the disjoint union of $\{0,1\}^I$, where I is an aribitrary cardinality...?
 - (b) A σ -finite enhanced measurable space is isomorphic to a enhanced measurable space induced from a standard probability space...?
 - (c) For σ -finite enhanced measurable spaces, a *-homomorphism $L^{\infty}(X_2) \to L^{\infty}(X_1)$ induces a morphism $X_1 \to X_2...$?

Premaps:

Strict maps: an a.e. equivalence class of premaps. For each strict map with non-empty codomain, there is a everywhere defined representative.

Quotients on morphisms:

$$PreEMS \rightarrow StrictEMS \rightarrow EMS$$
.

Fully faithful functors:

REMS
$$\rightarrow$$
 CDEMS \rightarrow DEMS \rightarrow LEMS, DEMS \rightarrow LDEMS.

The functor LEMS \rightarrow LBAlg : $(X, M, N) \mapsto M/N$ is a well-defined essentially surjective functor, which is fully faithful on the full subcategory CDEMS.

We say a enhanced measurable space is *decomposable* or *strictly localizable* if it is isomorphic to the small coproduct of countably decomposable enhanced measurable spaces.

DEMS is a full subcategory of PreEMS, but not of EMS, and we embed it to LDEMS

1.13 (Maharam classification). atomic parts, $\{0,1\}^{\mathbb{N}} \cong \mathbb{R}$, $\{0,1\}^{\mathbb{R}} \cong ?$. simply count the (infinite) number of summands for each possible cardinality the index set.

Every commutative von neumann algebra can be realized as L^{∞} of the disjoint union, or equivalently, the direct product of L^{∞} , of countably decomposable enhanced measurable spaces. Every countably decomposable commutative von Neumann algebra is the tensor product of ℓ^{∞} 's.

atomless ergodic measurable spaces are classified by infinite cardinals.

1.3 Tensor products

 $L^2(X, \mu, H) = L^2(X, \mu) \otimes H$ vector or operator-valued integrals

1.4 Direct integrals

1.14 (Effros Borel structure).

1.15 (Decomposition of states).

1.5 Types

finite, infinite, purely infinite, properly infinite, abelian projections

Type I factors. It possess a minimal projection. It is isomorphic to the whole B(H) for some Hilbert space. Therefore, it is classified by the cardinality of H.

Type II factors. No minimal projection, but there are non-zero finite projections so that every projection can be "halved" by two Murray-von Neumann equivalent projections.

In type II_1 factors, the identity is a finite projection Also, Murray and von Neumann showed there is a unique finite tracial state and the set of traces of projections is [0,1]. Examples of II_1 factors include crossed product, tensor product, free product, ultraproduct. Free probability theory attacks the free groups factors, which are type II_1 .

In type II_{∞} factors. There is a unique semifinite tracial state up to rescaling and the set of traces of projections is $[0, \infty]$.

In type III factors no non-zero finite projections exists. Classified the $\lambda \in [0,1]$ appeared in its Connes spectrum, they are denoted by III_{λ} . Tomita-Takesaki theory. It is represented as the crossed product of a type II_{∞} factor and \mathbb{R} .

- Type III0 $< \lambda < 1$ factor: unique $N \rtimes_{\alpha} \mathbb{Z}$, N II $_{\infty}$ factor,
- Type III_1 factor: unique $N\rtimes_{\alpha}\mathbb{R}, N$ II_{∞} factor,
- Type III₀ factor: one-to-one correpondence with nontransitive ergodic flows.

Amenability, equivalently hyperfiniteness is a very nice condition in von Neumann algebra theory. Group-measure space construction can construct them. There are unique hyperfinite type ${\rm II}_1$ and ${\rm II}_\infty$ factors, and their property is well-known. Fundamental groups of type II factors, discrete group theory, Kazhdan's property (T) are used.

Tensor product factors such as Araki-Woods factors and Powers factors. cyclic group actions implies the classification of injective factors.

- cyclic groups: Connes (II, III< 1), Haagerup (III $_1$),
- finite groups: Jones (II₁)

- discrete amenable groups: Ocneanu (II₁),
- property T:
- one-parameter:
- compact abelian: Takesaki duality?

Type I: Every automorphism of type I factor is inner. Cocycle conjugacy classes of actions of Γ on the injective type I factor $B(\ell^2)$ is corresponded to $H^2(\Gamma, \mathbb{T})$.

approximately inner automorphisms centrally trivial automorphisms pointwise inner automorphisms minimal action

Weights

2.1 Semi-cyclic representations

- 2.1 (Ideals associated to weights). left ideal, definition ideal
- **2.2** (Semi-cyclic representations). Let A be a C^* -algebra. A *semi-cyclic representation* is a representation $\pi: A \to B(H)$ together with a linear map $\psi: \mathfrak{N} \to H$ from a left ideal \mathfrak{N} of A into H with dense range, such that $\pi(x)\psi(y)=\psi(xy)$ for $x\in A$ and $y\in \mathfrak{N}$.

For a semi-cyclic representation, if we denote $\mathfrak{M} := \mathfrak{N}^*\mathfrak{N}$, then we have a bilinear form

$$\Theta: \mathfrak{M} \times \pi(A)' \to \mathbb{C}: (y^*x, z) \mapsto \langle z\psi(x), \psi(y) \rangle.$$

With this, we can construct a linear map $\theta: \mathfrak{M} \to (\pi(A)')_*$ and its transpose $\theta^*: \pi(A)' \to \mathfrak{M}^\#$. Consider a weight φ .

- (a) A (it might require some condition here if *A* is not W*) weight on *A* defines a semi-cyclic representation and vice versa?
- (b) If A = M is a von Neumann algebra, then we can let $\theta_* : \pi(M)' \to M_*$ to have $\theta^{**} = \theta$.
- (c) θ^* is bijective onto the space of linear functionals on $\mathfrak M$ absolutely continuous with respect to φ . (bounded Radon-Nikodym)
- **2.3** (Normal weights). Let M be a von Neumann algebra. Let ω be a weight of M.
 - (a) ω is normal.
 - (b) ω is σ -weakly lower semi-continuous.
 - (c) ω is the supremum of a set of normal positive linear functionals.

Proof. (c) \Rightarrow (b) \Rightarrow (a) are clear.

 $(a) \Rightarrow (b)$

Suppose first M is countably decomposable so that B is metrizable.

2.2 Hilbert algebras

Traces

3.1

- **3.1** (Semi-finite and tracial von Neumann algebras). Let *M* be a von Neumann algebra. We say *M* is *semi-finite* if it admits a faithful semi-finite normal trace, and *tracial* if it admits a faithful normal tracial state.
 - (a) regular representation and antilinear isometric involution *J*. $L(G) = \rho(G)'$
 - (b) M is semi-finite if and only if type III does not occur in the direct sum.
 - (c) A factor M has at most one tracial state, which is normal and faithful.
 - (d) A factor is tracial if and only if it is type II₁.
- **3.2** (Semi-finite traces). Let M be a von Neumann algebra and au is a trace. For a trace au
 - (a) τ is semi-finite if and only if $x \in M^+$ has a net $x_\alpha \in L^1(M, \tau)^+$ such that $x_\alpha \uparrow x$ strongly.
 - (b) Let τ be normal and faithful. Then, τ is semi-finite if and only if

$$\tau(x) = \sup\{ \tau(y) : y \le x, y \in L^1(M, \tau)^+ \} \text{ for } x \in M^+.$$

- **3.3** (Uniformly hyperfinite algebras). Let A be a uniformly hyperfinite algebra.
 - (a) Every matrix algebra admits a unique tracial state.
 - (b) Every UHF algebra admits a unique tracial state.
 - (c) Every hyperfinite

measurable operators, unbounded operators affilated with M, noncommutative L^p spaces for semi-finite con Neumann algebras, noncommutative L^p space for general von Neumann algebras: by Haagerup (crossed product), and by Kosaki-Terp (complex interpolation).

On semi-finite von Neumann algebras, measurable operators are affiliated. On a finite von Neumann algebras, affiliated operators are measurable.

- density of C(X) in $L^p(X, \mu)$
- Hölder inequality
- · Radon-Nikodym
- · Riesz representation
- Fubini
- maximality of L^{∞} in $B(L^2)$

3.2 Non	ommutative	integ	ration
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Part II

Actions

cyclic, discrete, abelian, flow
kahzdahn property T, compact
Rokhlin property
(kazhdan T, some properties like pointwise inner, etc.)

Modular theory

5.1 Modular automorphisms

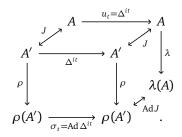
Remark. Let H be a self-adjoint operator of bounded from below. Consider the modular operator as an analytic generator $\Delta = e^{-\beta H}$ of the modular automorphism $\sigma_t := \Delta^{-i\frac{t}{\hbar\beta}} \cdot \Delta^{i\frac{t}{\hbar\beta}} = \operatorname{Ad} u_t$. Then, Δ is an invertible trace-class operator. The unitary operator

$$u_t^* = \Delta^{i\frac{t}{h\beta}} = e^{-i\frac{t}{h}H}$$

is called the propagator.

The one-parameter automorphism σ_t has the infinitesimal generator $i\frac{1}{\hbar}\operatorname{ad}_H=i\frac{1}{\hbar}[H,-]$.

$$\sigma_t := \mathrm{Ad}_{u_t} = \Delta^{-i\frac{t}{\hbar\beta}} \cdot \Delta^{i\frac{t}{\hbar\beta}} = e^{i\frac{t}{\hbar}H} \cdot e^{-i\frac{t}{\hbar}H} = e^{i\frac{t}{\hbar}\operatorname{ad}_H}$$



- **5.1** (Unitary group). (a) U(H) is strongly* complete.
 - (b) U(H) is not strongly complete.
 - (c) U(H) is weakly relatively compact.

Let *A* be a C*-algebra. Then, $\overline{U(A) \cap B(1,r)}^{s*} = U(A'') \cap B(1,r)$. In particular, U(A) is strongly* dense in U(A''). (Kaplansky?)

Exercises

- **5.2** (Lower semi-continuous weights). Let φ be a weight on a C*-algebra A. The semi-cyclic representation of φ is non-degenerate if either A is unital or φ is lower semi-continuous. On a von Neumann algebra, there exists a weight that is not lower semi-continuous.
- **5.3** (Completely additive weights). Let φ be a *completely additive* weight on a von Neumann algebra in the sense that for every orthogonal family $\{p_{\alpha}\}$ of projections we have $\varphi(\sum_{\alpha} p_{\alpha}) = \sum_{\alpha} \varphi(p_{\alpha})$.

- (a) A completely additive state on a von Neumann algebra is normal.
- (b) A completely additive and lower semi-continuous weight on a commutative von Neumann algebra is normal.

Classifications

Part III

Factors

Type III factors

Amenable factors

Type II factors

9.1. Let M be a von Neumann algebra. Since every σ -weakly closed ideal of M admits a unit z so that we have $zM, Mz \subset I \subset zIz \subset zMz$, and it implies z is a central projection of M. A von Neumann algebra M on H is called a *factor* if $M \cap M' = \mathbb{C}\operatorname{id}_H$, which is equivalent to that there are only two σ -weakly closed ideals of M. In a factor, every ideal of M is σ -weakly dense in M

9.1

9.2 (Crossed products). A p.m.p. action $\Gamma \cap (X, \mu)$ gives

$$\alpha:\Gamma\to \operatorname{Aut}(L^\infty(X)),$$

which has the Koopman representation

$$\sigma:\Gamma\to B(L^2(X)).$$

Then, we have a injective *-homomorphism

$$C_c(\Gamma, L^{\infty}(X)) \to B(L^2(X) \otimes \ell^2(\Gamma)) = B(\ell^2(\Gamma, L^2(X))),$$

whose element $s \mapsto x_s$ is written in

$$\sum_{s\in\Gamma,\ fin}(x_s\otimes 1)(\sigma_s\otimes\lambda_s).$$

- (a) $L(\Gamma)$ is a II_1 factor if and only if Γ is a i.c.c. group.
- (b) $L^{\infty}(X)$ is a m.a.s.a. of $L^{\infty}(X) \rtimes \Gamma$ if and only if the p.m.p. action $\Gamma \cap X$ is free.
- (c) $L^{\infty}(X) \rtimes \Gamma$ is a II_1 factor if and only if the p.m.p. action $\Gamma \cap X$ is ergodic.
- 9.2 Ergodic theory
- 9.3 Rigidity theory
- 9.4 Free probability

9.5

Existentially closed II₁ factors

Part IV Subfactors

Standard invariant

The way how quantum systems are decomposed. And has Galois analogy.

10.1 (Jones index theorem). A *subfactor* of a factor M is a factor N containing 1_M .

Tensor categories and topological invariants of 3-folds. Ergodic flows. Ocneanu's paragroups Popa's λ -lattices Jones' planar algebras Quantum entropy