

# Lie group representation theory

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## 1 Day 1: April 11

- local properties: curvature, local homogeneous structure,
- global properties: compactness, finiteness of diameter, hausdorff

**Theorem 1.1** (Bonnet-Meyers). *Let  $(X, g)$  be an  $n$ -dimensional complete Riemannian manifold whose Ricci curvature satisfies  $\text{Ric}(X, g) \geq (n-1)k$  for some  $k > 0$ . Then,  $X$  is compact with diameter  $\leq \pi/\sqrt{k}$ .*

**Definition 1.2** (Pseudo-Riemannian manifolds).

**Example 1.3.** The signature of a pseudo-Riemannian structure is locally constant. A pseudo-Riemannian manifold is called a Riemannian manifold if  $q = 0$  and a Lorentzian manifold if  $q = 1$ , where  $q$  is the negative component of the signature.

**Example 1.4.**  $S^2$  does not, but  $S^3$  admits a Lorentzian structure.

On a pseudo-Riemannian manifold, one can define sectional curvature, geodesics, and the Levi-Civita connection.

**Theorem 1.5.** *The group of isometries  $\text{Isom}(X, g)$  is a Lie group for any pseudo-Riemannian manifold  $(X, g)$ .*

**Remark 1.6.** The group of biholomorphic maps of a complex manifold is not necessarily a Lie group.

**Example 1.7.** For example, biholomorphic maps for  $\mathbb{C}^2$  gives rise to an infinite dimensional group.

**Example 1.8** (Examples of Lorentzian manifolds). (1) (Minkowski space)

$$\mathbb{R}^{n,1} = (\mathbb{R}^{n+1}, ds^2 = dx_1^2 + \cdots + dx_n^2 - dx_{n+1}^2).$$

(2) (De Sitter space)

$$dS^{n,1} := \{x \in \mathbb{R}^{n+1} : x_1^2 + \cdots + x_n^2 - x_{n+1}^2 = 1\}$$

has a Lorentzian structure induced from  $\mathbb{R}^{n,1}$ . Its sectional curvature is identically equal to one.

**Definition 1.9.** A (geodesically) complete Lorentzian manifold is called a de Sitter manifold if its sectional curvature is constantly one.

**Example 1.10.** The de Sitter space is an example of a de Sitter manifold. The de Sitter space is a model space in a sense of what we will explain later.

**Theorem 1.11** (Calabi-Marlcus phenomenon). *Any de Sitter manifold is non-compact.*

Two key lemmas to prove Theorem 1.11. For simplicity, we consider the case that the dimension is  $\geq 3$ .

**Lemma 1.12.** *Any de Sitter manifold is obtained as the quotient of the Sitter space by an isometric discontinuous group.*

**Lemma 1.13.** *Any such a discontinuous group is finite.*

The uniformization theorem states that a connected Riemann surface is classified into three classes. classification of group actions: which groups act on which spaces?

## Basic notions for transformation on groups

Let  $L$  be a locally compact group, and  $X$  a locally compact topological space. For  $S \subset X$ , let  $L_S := (S|S) = \{g \in L : gS \cap S \neq \emptyset\}$ .

**Definition 2.1.** The  $L$ -action on  $X$  is called *free* if  $\#L_{\{x\}} = 1$  whenever  $\#S = 1$ , *properly discontinuous* if  $\#L_S < \infty$  whenever  $S$  is compact, and *proper* if  $L_S$  is compact whenever  $S$  is compact.

**Example 2.2.** Let  $M$  be a manifold, and  $X$  the universal covering. Then, the fundamental group  $\Gamma := \pi_1(M)$  acts on  $X$  as the covering transformation also called the Deck transformation, and the action is free and properly discontinuous. The quotient space  $\Gamma \backslash X$  is naturally diffeomorphic to  $X$ .

*Exercise 2.3.* Let  $X$  be a smooth manifold and  $\Gamma$  a discrete group acting on  $X$  freely and properly discontinuously. Show that the quotient space  $\Gamma \backslash X$  is Hausdorff in the quotient topology. Show that  $\Gamma \backslash X$  carries a smooth structure such that the quotient map is a smooth covering map.

**Example 2.4.**  $X = \mathbb{R}$ ,  $\Gamma = \mathbb{Z}$ ,  $\Gamma \backslash X \cong S^1$ .

**Example 2.5.** Let  $M$  be a compact Riemann surface with genus  $\geq 2$ . Then,  $M$  is biholomorphic to  $\Gamma \backslash \mathbb{H}$ , where  $\Gamma$  is a discrete subgroup of  $\text{PSL}(2, \mathbb{R})$  acting on  $\mathbb{H}$  by linear fractional transformations, and is isomorphic to  $\pi_1(M)$ .

$\Gamma$  is called a discontinuous group for  $X$  if the  $\Gamma$ -action on  $X$  is free and properly discontinuous.

## 2 Day 2: April 18

### Proper actions

Let  $L$  be a locally compact (Hausdorff) group continuously acting on  $X$  a locally compact Hausdorff space.

**Theorem 2.3.** *Suppose  $L$  properly act on  $X$ .*

- (a)  $L \backslash X$  is Hausdorff.
- (b) Every orbit is closed in  $X$ .
- (c) Every isotropy group is compact.

*Remark 2.4.* (b) and (c) are easily verified for actual cases.

**Theorem 2.5.** *Suppose  $(X, g)$  is a Riemannian manifold and  $G$  be the group of isometries. Let  $\Gamma$  be a subgroup of  $G$ . Then,  $\Gamma$  acts on  $G$  properly discontinuously if and only if  $\Gamma$  is a discrete subgroup. This equivalence may fail if  $X$  is pseudo-Riemannian.*

*Proof.* ( $\Leftarrow$ ) Suppose  $\Gamma$  acts on  $X$  not properly discontinuous. Then, there exist a compact subset  $S$  of  $X$  such that for some infinite sequences  $\gamma_k$  in  $\Gamma$  and  $s_k$  in  $S$  such that  $\gamma_k s_k \in S$ . We shall prove  $\gamma_k$  is not discrete in  $G$ . Let  $d$  denote the distance induced from the Riemannian manifold  $X$ . For  $x \in X$ , we set  $M(x) := M_S(x) := \max_{a \in S} d(x, a) < \infty$ . Then,  $d(x, \gamma_k x) \leq d(x, \gamma_k s_k) + d(\gamma_k s_k, \gamma_k x) \leq 2M(x)$ . This shows that  $\{\gamma_k x\}$  is a bounded set, so it contains a convergent subsequence for each  $x$  in  $X$ .

Take a countable dense subset  $x_j$  of  $X$ . By Cantor's diagonal argument, we may assume that  $\gamma_k x_j$  converges as  $k \rightarrow \infty$  for each  $j$ . For every compact  $C$  of  $X$ , we show  $\gamma_k : X \rightarrow X$  converges uniformly as  $k \rightarrow \infty$  using the idea of the Arzela-Ascoli. (Fix  $\varepsilon > 0$  and take  $N$  such that for any  $x \in X$  there is  $i$  satisfying  $d(x, x_j) < \varepsilon/3$  for  $j \leq N$ . Take  $R > 0$  such that  $d(\gamma_k x_j, \gamma_{k'} x_j) < \varepsilon/3$  for  $k, k' \geq R$ .)

Take an increasing sequence  $C_1 \subset C_2 \subset \dots$  of compact subsets in  $X$  such that  $C_j \uparrow X$ . The above argument gives a family of maps  $\gamma_{C_j} : C_j \rightarrow X$  such that  $\gamma_{C_j}|_{C_i} = \gamma_{C_i}$  for  $i < j$  by the uniqueness of convergence. This defines  $\gamma : X \rightarrow X$  such that  $\gamma_k$  converges to  $\gamma$  compactly. We can check the resulting map  $\gamma$  is an isometry. Moreover, if we do the same thing with  $\gamma_k^{-1}$ , then we can see that  $\gamma$  is surjective. So  $\gamma_k$  is not discrete in  $G$ .  $\square$

### 3 Day 3: April 25

**Problem 4.1.** Find a criterion for the triple  $(L, G, H)$  with locally compact groups  $L \subset G \supset H$  such that the natural action on  $G/H$  by  $L$  is proper.

**Example 4.2.**  $L := \{\text{diag}(a, a^{-1}) : a > 0\}$ ,  $G := \text{SL}(2, \mathbb{R})$ ,  $H := \left\{ \begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix} : z \in \mathbb{R} \right\}$ . Then,  $G/H$  is identified with  $\mathbb{R}^2 \setminus \{0\}$ , via  $gH \mapsto g(1, 0)$ , since  $H$  is the stabilizer group of an action of  $G$  on  $\mathbb{R}^2 \setminus \{0\}$ . The induced action of  $L$  on  $\mathbb{R}^2 \setminus \{0\}$  is not proper.

**Lemma 4.3.** Let  $S$  be a compact subset of  $G$ . Then,  $L_{\bar{S}} = L \cap SHS^{-1}$ .

**Proposition 4.4.** If at least one of  $L$  on  $H$  is compact, then the  $L$ -action on  $G/H$  is proper.

*Proof.*  $L_{\bar{S}} = L \cap SHS^{-1}$  is compact for any compact subset  $S$  in  $G$ . □

**Theorem 4.5** (von Neumann-Cartan). Suppose  $G$  is a Lie group, and  $H$  is a closed subgroup. Then,  $H$  and  $G/H$  carry a unique smooth structure such that the natural maps  $H \hookrightarrow G \rightarrow G/H$  are smooth.

**Example 4.6.**

**Definition 4.7.** The triple  $(L, G, H)$  is of *compact isotropy property* or *CI property* if the isotropy subgroup  $L_x$  is compact for any  $x \in G/H$ .

**Proposition 4.8.**  $(L, G, H)$  is of CI iff  $(H, G, L)$  is of CI. If the  $L$ -action on  $G/H$  is proper, then  $(L, G, H)$  is of CI.

**Conjecture 4.9.** (Lipsman's conjecture(1995)) Suppose  $G$  is a simply connected nilpotent Lie group, and  $H, L$  are connected closed subgroups. Then,  $L$  acts on  $G/H$  properly if and only if  $(L, G, H)$  is of CI.

**Definition 4.10.** Let  $\mathfrak{g}^{(k+1)} = [\mathfrak{g}, \mathfrak{g}^{(k)}]$ .  $\mathfrak{g}$  is nilpotent iff  $\mathfrak{g}^{(k)} = \{0\}$  for some  $k$ .  $\mathfrak{g}$  is  $k$ -step nilpotent iff  $\mathfrak{g}^{(k)} = \{0\}$ .  $\mathfrak{g}$  is one-step nilpotent iff it is abelian.

**Example 4.11** (Heisenberg Lie algebra). The  $(2n + 1)$ -dimensional Heisenberg Lie algebra  $\mathfrak{h}_{2n+1}$  is two-step nilpotent.

$$\mathfrak{h}_7 \cong \left\{ \begin{bmatrix} 0 & * & * & * & * \\ 0 & 0 & 0 & 0 & * \\ 0 & 0 & 0 & 0 & * \\ 0 & 0 & 0 & 0 & * \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \right\} \cong \text{span}_{\mathbb{R}} \{x_1, x_2, x_3, \partial_1, \partial_2, \partial_3, 1\}.$$

It is contained in the Weyl algebra  $\mathbb{R}[x, \partial]$ .

Affirmative results to Lipsman's conjecture. Nasrin: 2-step, Yoshino: 3-step, Baklout et al: 3-step. But a counterexample was found by Yoshino (07?) for a 4-step nilpotent Lie group  $G$ .

**Definition 4.14.** For two subsets  $L$  and  $H$  in a locally compact group  $G$ , we write  $L \pitchfork H$  if and only if  $L \cap SHS^{-1}$  or equivalently  $L \cap SHS$  is compact for any compact subset  $S$  in  $G$ , and we write  $L \sim H$  if and only if there is a compact  $S \subset G$  such that  $L \subset SHS$  and  $H \subset SLS$ .

**Lemma 4.15.**  $L \pitchfork H$  iff  $H \pitchfork L$ .

**Proposition 4.16.** Assume that  $H$  and  $L$  are closed subgroups of  $G$ . Then, the  $L$ -action on  $G/H$  is proper iff  $L \pitchfork H$ .

**Corollary 4.17.** The  $L$ -action on  $G/H$  is proper iff the  $H$ -action on  $G/L$  is proper.

In 4.2, the  $H$ -action on  $G/L$ , where  $G/L$  is the 2-dimensional de Sitter space  $\text{dS}^2$ , is not proper.

## 4 Day 4: May 2

### Properness criterion - reductive case

Cartan decomposition (polar decomposition). We can view  $\mathbb{R}^n$  as  $(0, \infty) \times S^{n-1}$  with origin.

**Theorem** (Cartan decomposition). *Let  $G := \mathrm{GL}(n, \mathbb{R})$  and  $K := \mathrm{O}(n)$ . Let  $\mathfrak{p}$  be the set of symmetric matrices,  $\mathfrak{a}$  be the set of diagonal matrices, and  $\bar{\mathfrak{a}}_+$  be the diagonal matrices whose terms are non-increasing, in  $M(n, \mathbb{R})$ . Then, one has a diffeomorphism  $K \times \mathfrak{p}G : (k, X) \mapsto ke^X$ . Furthermore, one has a surjective map  $K \times \mathfrak{a} \times K \rightarrow G : (k_1, X, k_2) \mapsto k_1 e^X k_2$ , whose kernel includes the symmetric group  $S_n$ . Thus we have the Cartan projection  $\mu : G \rightarrow \mathfrak{a}/S_n \cong \bar{\mathfrak{a}}_+$ .*

**Theorem.** *For closed subsets  $L, H$  of  $G = \mathrm{GL}(n, \mathbb{R})$ ,  $L \sim H$  iff  $\mu(L) \sim \mu(H)$ , and  $L \pitchfork H$  iff  $\mu(L) \pitchfork \mu(H)$ .*