

# Operator Algebra Seminar Note I

Ikhan Choi

April 30, 2023

## Contents

<b>1</b>	<b>April 14</b>	<b>2</b>
1.1	Completely positive maps . . . . .	2
1.2	Enveloping von Neumann algebras . . . . .	5
<b>2</b>	<b>May 12</b>	<b>7</b>
2.1	Nuclear maps . . . . .	7
2.2	Examples of nuclear $C^*$ -algebras . . . . .	8
<b>3</b>	<b>May 22</b>	<b>9</b>

## Acknowledgement

This note has been written based on the first-year graduate seminar presented at the University of Tokyo in the 2023 Spring semester. Each seminar was delivered for 105 minutes. The main reference for this seminar was Brown-Ozawa, and detailed gaps were filled with the aids of other books such as Takesaki, Murphy, and Paulsen whenever required.

# 1 April 14

## 1.1 Completely positive maps

**Definition 1.1.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $C^*$ -algebras. A linear map  $\varphi : \mathcal{A} \rightarrow \mathcal{B}$  is said to be *completely positive* (c.p.) if the inflation  $\varphi_n : M_n(\mathcal{A}) \rightarrow M_n(\mathcal{B}) : [a_{ij}] \mapsto [\varphi(a_{ij})]$  is positive for each  $n \geq 1$ .

*Remark 1.2.* For the positivity in matrix algebras, the following equivalent statements are useful.

- (a)  $[a_{ij}] \in M_n(\mathcal{A})$  is positive.
- (b)  $[a_{ij}] = [b_{ij}]^* [b_{ij}] = [b_{ji}^*] [b_{ij}] = [\sum_k b_{ki}^* b_{kj}]$  for some  $[b_{ij}] \in M_n(\mathcal{A})$ .
- (c)  $\sum_{i,j} \langle \pi(a_{ij}) \xi_j, \xi_i \rangle_H \geq 0$  for  $[\xi_i] \in H^n$ , for a faithful representation  $\pi : \mathcal{A} \rightarrow B(H)$ .
- (d)  $\sum_{i,j} \langle \pi(a_{ij}) \xi_j, \xi_i \rangle_H \geq 0$  for  $[\xi_i] \in H^n$ , for every representation  $\pi : \mathcal{A} \rightarrow B(H)$ .

**Example 1.3.**

- (a) A  $*$ -homomorphism is c.p.
- (b) A state is c.p.
- (c) A conjugation  $B(\hat{H}) \rightarrow B(H) : a \mapsto V^* a V$  is c.p. for every bounded linear  $V : H \rightarrow \hat{H}$ .
- (d) The transpose  $M_2(\mathbb{C}) \rightarrow M_2(\mathbb{C})$  is not c.p.
- (e) The convex combination, composition, restriction of c.p. maps is c.p.

*Proof.* (a) A  $*$ -homomorphism is positive, and its inflations are all  $*$ -homomorphisms.

(b) Let  $\rho : \mathcal{A} \rightarrow \mathbb{C}$  be a state. If  $[a_{ij}] = [\sum_k b_{ki}^* b_{kj}] \in M_n(\mathcal{A})_+$ , then we have for  $[x_i] \in \ell_2^n$  that

$$\sum_{i,j} \langle \rho(a_{ij}) x_j, x_i \rangle_{\mathbb{C}} = \sum_{i,j} \bar{x}_i \rho(a_{ij}) x_j = \rho \left( \sum_{i,j,k} \bar{x}_i b_{ki}^* b_{kj} x_j \right) = \sum_k \rho \left( \left( \sum_i b_{ki} x_i \right)^* \left( \sum_j b_{kj} x_j \right) \right) \geq 0.$$

(c) If  $[a_{ij}] = [\sum_k b_{ki}^* b_{kj}] \in M_n(B(\hat{H}))_+$ , then we have for  $[\xi_i] \in H^n$  that

$$\sum_{i,j} \langle V^* a_{ij} V \xi_j, \xi_i \rangle = \sum_{i,j,k} \langle b_{kj} V \xi_j, b_{ki} V \xi_i \rangle = \sum_k \langle \sum_j b_{kj} V \xi_j, \sum_i b_{ki} V \xi_i \rangle \geq 0.$$

(d) We have a counterexample for  $M_2(M_2(\mathbb{C})) \rightarrow M_2(M_2(\mathbb{C}))$ :

$$\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix} \mapsto \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

The former has an eigenvalues  $\{2, 0\}$ , and the latter has  $\{\pm 1\}$ .

(e) Clear. □

**Theorem 1.4** (Stinespring dilation). *Let  $\mathcal{A}$  be a unital  $C^*$ -algebra and  $\varphi : \mathcal{A} \rightarrow B(H)$  be a c.p. map. Then, there is a representation  $\pi : \mathcal{A} \rightarrow B(\hat{H})$  and a bounded linear operator  $V : H \rightarrow \hat{H}$  such that the following diagram commutes:*

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\varphi} & B(H) \\ \pi \downarrow & \nearrow V^* \cdot V & \\ B(\hat{H}) & & \end{array}$$

*Proof.* Define a sesquilinear form on the algebraic tensor product  $\mathcal{A} \otimes H$  as

$$\left\langle \sum_j a_j \otimes \xi_j, \sum_i b_i \otimes \eta_i \right\rangle := \sum_{i,j} \langle \varphi(b_i^* a_j) \xi_j, \eta_i \rangle.$$

It is positive since

$$\sum_{i,j} \langle a_i^* a_j \xi_j, \xi_i \rangle = \sum_{i,j} \langle a_j \xi_j, a_i \xi_i \rangle = \left\| \sum_i a_i \xi_i \right\|^2 \geq 0$$

implies

$$\left\langle \sum_j a_j \otimes \xi_j, \sum_i a_i \otimes \xi_i \right\rangle = \sum_{i,j} \langle \varphi(a_i^* a_j) \xi_j, \xi_i \rangle \geq 0.$$

Taking quotient by the left kernel  $N$  and completion, we obtain a hilbert space  $\hat{H} := (\mathcal{A} \otimes H / N)^-$ .

Define  $\pi : \mathcal{A} \rightarrow B(\hat{H})$  such that

$$\pi(a)(b \otimes \xi + N) := ab \otimes \xi + N,$$

and define  $V : H \rightarrow \hat{H}$  such that

$$V\xi := 1_{\mathcal{A}} \otimes \xi + N.$$

Then for any  $\xi, \eta \in H$ ,

$$\langle V^* \pi(a) V \xi, \eta \rangle = \langle \pi(a)(1_{\mathcal{A}} \otimes \xi + N), 1_{\mathcal{A}} \otimes \eta + N \rangle = \langle a_{\mathcal{A}} \otimes \xi + N, 1_{\mathcal{A}} \otimes \eta + N \rangle = \langle \varphi(a) \xi, \eta \rangle. \quad \square$$

*Remark 1.5.*

- (a) If  $\varphi$  is unital, then  $V$  is an isometry since  $V^* V = V^* \pi(1) V = \varphi(1) = 1$ .
- (b) If  $\varphi$  is unital and  $H = \mathbb{C}$ , then it is just the GNS-construction with the cyclic vector  $V1_{\mathbb{C}}$ .
- (c) If  $\varphi : \mathcal{A} \rightarrow \mathcal{B}$  is c.p., then by embedding  $\mathcal{B}$  into  $B(H)$  and applying the Stinespring dilation,

$$\|\varphi(a)\| = \|V^* \pi(a) V\| \leq \|V\| \|a\| \|V\| = \|a\| \|V^* V\| = \|a\| \|\varphi(1)\|$$

implies  $\|\varphi\| \leq \|\varphi(1)\|$ , hence  $\|\varphi\| = \|\varphi(1)\|$ .

- (d) It has a physical meaning: a unital completely positive map is called quantum channel or quantum operation in quantum information theory. They are interpreted as an evolution in open quantum system, and taking  $\hat{H}$  means introducing a closed ambient system in which unitary evolution occurs.

**Theorem 1.6** (Completely positive maps for matrix algebras). *Let  $\mathcal{A}$  be a  $C^*$ -algebra. Let  $e_i \in \ell_2^n$  be standard orthonormal basis and let  $e_{ij} = e_i \otimes e_j = |e_i\rangle\langle e_j| \in M_n(\mathbb{C})$  be unit matrix elements.*

- (a) *There is a 1-1 correspondence*

$$\text{CP}(M_n(\mathbb{C}), \mathcal{A}) \rightarrow M_n(\mathcal{A})_+ : \psi \mapsto [\psi(e_{ij})].$$

- (b) *Let  $\mathcal{A}$  be unital. There is a 1-1 correspondence*

$$\text{CP}(\mathcal{A}, M_n(\mathbb{C})) \rightarrow M_n(\mathcal{A})_+^* : \varphi \mapsto (\hat{\varphi} : [a_{ij}] \mapsto \sum_{i,j} \langle \varphi(a_{ij}) e_j, e_i \rangle).$$

*Proof.* (a) Fix  $\mathcal{A} \rightarrow B(H)$  a faithful representation and just write  $\mathcal{A} \subset B(H)$ .

Suppose  $\psi : M_n(\mathbb{C}) \rightarrow \mathcal{A}$  is a c.p. map. Identify  $M_n(\mathbb{C}) = B(\ell_2^n)$ . Since  $[e_{ij}] \in M_n(B(\ell_2^n))_+$  is positive because

$$\sum_{i,j} \langle e_{ij} \xi_j, \xi_i \rangle = \sum_{i,j} \langle e_j, \xi_j \rangle \langle \xi_i, e_i \rangle = \left| \sum_i \langle e_i, \xi_i \rangle \right|^2 \geq 0, \quad \forall [\xi_i] \in (\ell_2^n)^n,$$

it follows that  $[\psi(e_{ij})] \in M_n(\mathcal{A})_+$  by the complete positivity of  $\psi$ .

Conversely, let  $[\psi(e_{ij})] = [\sum_k b_{ki}^* b_{kj}] \in M_n(B(H))_+$ . For  $T = [t_{ij}] \in M_n(\mathbb{C})$  and  $\xi, \eta \in H$ , write

$$\begin{aligned} \langle \psi(T)\xi, \eta \rangle &= t_{ij} \langle \psi(e_{ij})\xi, \eta \rangle \\ &= t_{ij} \langle b_{kj}\xi, b_{ki}\eta \rangle \\ &= t_{ij} \delta_{kl} \langle b_{lj}\xi, b_{ki}\eta \rangle \\ &= \langle Te_j, e_i \rangle \langle e_l, e_k \rangle \langle b_{lj}\xi, b_{ki}\eta \rangle \\ &= \langle (T \otimes 1 \otimes 1)(e_j \otimes e_l \otimes (b_{lj}\xi)), (e_i \otimes e_k \otimes (b_{ki}\eta)) \rangle. \end{aligned}$$

The summation symbols are omitted in each row. Then, if we define

$$V : H \rightarrow \ell_2^n \otimes \ell_2^n \otimes H : \xi \mapsto \sum_{i,k} e_i \otimes e_k \otimes (b_{ki}\xi),$$

we have an expression

$$\langle \psi(T)\xi, \eta \rangle = \langle V^*(T \otimes 1 \otimes 1)V\xi, \eta \rangle,$$

which implies that  $\psi$  is c.p. because  $T \mapsto T \otimes 1_{\ell_2^n} \otimes 1_H$  is a  $*$ -homomorphism.

(b) Suppose  $\varphi : \mathcal{A} \rightarrow M_n(\mathbb{C})$  is a c.p. map. Then,  $\hat{\varphi}$  is positive since  $[a_{ij}] \in M_n(\mathcal{A})_+$  implies

$$\hat{\varphi}([a_{ij}]) = \sum_{i,j} \langle \varphi(a_{ij})e_j, e_i \rangle \geq 0.$$

Conversely, let  $\hat{\varphi} \in M_n(\mathcal{A})_+^*$ . By the GNS-construction, we have a cyclic representation  $\pi : M_n(\mathcal{A}) \rightarrow B(H)$  with a cyclic vector  $\psi \in H$  such that

$$\hat{\varphi}([a_{ij}]) = \langle \pi([a_{ij}])\psi, \psi \rangle.$$

For  $\xi = \sum_j \xi_j e_j, \eta = \sum_i \eta_i e_i \in \ell_2^n$ , write

$$\begin{aligned} \langle \varphi(a)\xi, \eta \rangle &= \sum_{i,j} \langle \varphi(a)\xi_j e_j, \eta_i e_i \rangle = \sum_{i,j} \langle \varphi(\overline{\eta_i} a \xi_j) e_j, e_i \rangle \\ &= \hat{\varphi}([\overline{\eta_i} a \xi_j]) = \langle \pi([\overline{\eta_i} a \xi_j])\psi, \psi \rangle = \langle \pi([\delta_{ij} \eta_i 1_{\mathcal{A}}]^* [a] [\delta_{ij} \xi_j 1_{\mathcal{A}}])\psi, \psi \rangle \\ &= \langle \pi([a])\pi([\delta_{ij} \xi_j 1_{\mathcal{A}}])\psi, \pi([\delta_{ij} \eta_i 1_{\mathcal{A}}])\psi \rangle. \end{aligned}$$

If we define

$$V : \ell_2^n \rightarrow H : \xi \mapsto \pi([\delta_{ij} \xi_j 1_{\mathcal{A}}])\psi,$$

then

$$\langle \varphi(a)\xi, \eta \rangle = \langle V^* \pi([a])V\xi, \eta \rangle,$$

so  $\varphi$  is c.p. since  $\mathcal{A} \rightarrow M_n(\mathcal{A}) : a \mapsto [a]$  is a  $*$ -homomorphism.  $\square$

**Theorem 1.7** (Arveson extension). *Let  $\mathcal{B} \subset \mathcal{A}$  be  $C^*$ -algebras such that  $1_{\mathcal{A}} \in \mathcal{B}$ . Then, every c.p. map  $\varphi : \mathcal{B} \rightarrow B(H)$  has a norm-preserving c.p. extension  $\tilde{\varphi} : \mathcal{A} \rightarrow B(H)$ , i.e.  $\|\tilde{\varphi}\| = \|\varphi\|$ .*

*Proof.* Let  $p_\alpha$  be the net of projections of finite rank  $n_\alpha$  in  $B(H)$  with the image  $V_\alpha$ , which strongly converges to  $\text{id}_H$ . Fix  $\alpha$  temporarily and let  $\varphi_\alpha := p_\alpha \varphi|_{V_\alpha} : \mathcal{B} \rightarrow B(V_\alpha)$ . Choosing an any orthonormal basis of each  $V_\alpha$ , we can rewrite as  $\varphi_\alpha : \mathcal{B} \rightarrow M_{n_\alpha}(\mathbb{C})$ . By the above theorem, we have the associated linear functional  $\hat{\varphi}_\alpha \in M_{n_\alpha}(\mathcal{B})$ . Then, the Hahn-Banach extension provides an extension  $(\hat{\varphi}_\alpha)^\sim \in M_{n_\alpha}(\mathcal{A})$ , and we can define  $\tilde{\varphi}_\alpha : \mathcal{A} \rightarrow M_{n_\alpha}(\mathbb{C})$  as the associated completely positive map. Via the identification  $B(V_\alpha) = M_{n_\alpha}(\mathbb{C})$  we used to write  $\varphi_\alpha : \mathcal{B} \rightarrow M_{n_\alpha}(\mathbb{C})$ , we have  $\tilde{\varphi}_\alpha : \mathcal{A} \rightarrow B(V_\alpha)$ . We can check  $\tilde{\varphi}_\alpha$  actually extends  $\varphi_\alpha$ , i.e.  $\tilde{\varphi}_\alpha(b) = \varphi_\alpha(b)$  for  $b \in \mathcal{B}$ , by putting  $[b\delta_{ik}\delta_{jl}]_{i,j} \in M_{n_\alpha}(\mathcal{B})$  and comparing matrix components for each  $k, l$ .

Since  $\|\tilde{\varphi}_\alpha\| = \|\tilde{\varphi}_\alpha(1)\| = \|\varphi_\alpha(1)\| = \|\varphi_\alpha\| \leq \|\varphi\|$ , the net  $\tilde{\varphi}_\alpha$  is bounded in  $B(\mathcal{A}, B(H))$ . The norm-closed unit ball is compact in the point- $\sigma$ -weak topology  $\sigma(B(\mathcal{A}, B(H)), \mathcal{A} \odot L^1(H))$  because it is coarser than the weak\* topology  $\sigma(B(\mathcal{A}, B(H)), \mathcal{A} \hat{\otimes}_\pi L^1(H))$ . By taking a convergent subnet, we have a limit point  $\tilde{\varphi} : \mathcal{A} \rightarrow B(H)$ . It is easily seen to be completely positive and extend  $\varphi$ , and satisfies  $\|\varphi\| = \|\varphi(1)\| = \|\tilde{\varphi}(1)\| = \|\tilde{\varphi}\|$ .  $\square$

## 1.2 Enveloping von Neumann algebras

**Theorem 1.8** (Sherman-Takeda). *Let  $\mathcal{A}$  be a  $C^*$ -algebra and  $\pi : \mathcal{A} \rightarrow B(H)$  a faithful representation. Here we can obtain an linear map  $\tilde{\pi} : \mathcal{A}^{**} \rightarrow \pi(\mathcal{A})''$  by taking bitranspose for  $\pi : \mathcal{A} \rightarrow (\pi(\mathcal{A})'', \sigma w)$ .*

- (a)  $\tilde{\pi}$  is an isometric isomorphism (w.r.t. norms), and is an homeomorphism (w.r.t. weak\*-topologies)
- (b)  $\mathcal{A}^{**}$  enjoys a universal property in the sense that for every  $*$ -homomorphism  $\varphi : \mathcal{A} \rightarrow \mathcal{M}$  to a von Neumann algebra  $\mathcal{M}$ , there exists a unique  $\sigma$ -weakly continuous extension  $\tilde{\varphi} : \mathcal{A}^{**} \rightarrow \mathcal{M}$  of  $\varphi$ .

We will always see the bidual  $\mathcal{A}^{**}$  as a von Neumann algebra.

*Proof.* (a) Consider

$$\pi : \mathcal{A} \rightarrow (\pi(\mathcal{A})'', \sigma w), \quad \pi^* : \pi(\mathcal{A})''_* \rightarrow \mathcal{A}^*, \quad \tilde{\pi} := \pi^{**} : \mathcal{A}^{**} \rightarrow \pi(\mathcal{A})'',$$

where  $\pi(\mathcal{A})''_*$  denotes the set of  $\sigma$ -weakly continuous(=normal) linear functionals on  $\pi(\mathcal{A})''$ . Note that  $\pi$  is isometric and has dense range. It implies that  $\pi^*$  is surjective and injective. In fact,  $\pi^*$  is isometric because for  $l \in \pi(\mathcal{A})''_*$  we have by the density that

$$\|\pi^*(l)\| = \sup_{\substack{\|a\|=1 \\ a \in \mathcal{A}}} |l(\pi(a))| = \sup_{\substack{\|b\|=1 \\ b \in \pi(\mathcal{A})''}} |l(b)| = \|l\|.$$

Then, the claim for  $\pi^{**}$  is now clear.

(b) We can define  $\tilde{\varphi}$  as the bitranspose of  $\varphi : \mathcal{A} \rightarrow (\mathcal{M}, \sigma w)$  as in the part (a), and it is a unique extension because  $\mathcal{A}$  is  $\sigma$ -weakly dense in  $\mathcal{A}^{**}$ .  $\square$

**Theorem 1.9** (Tomiya). *Let  $\mathcal{B} \subset \mathcal{A}$  be  $C^*$ -algebras. Let  $\varphi : \mathcal{A} \rightarrow \mathcal{B}$  be a conditional expectation, i.e. a contractive idempotent linear map.*

- (a)  $\varphi$  is  $\mathcal{B}$ -bimodule map.
- (b)  $\varphi$  is completely positive.

*Proof.* Since each conclusion of (a) and (b) still holds for restriction, we may assume  $\mathcal{A}$  and  $\mathcal{B}$  are von Neumann algebras by thinking of the bitranspose  $\varphi^{**} : \mathcal{A}^{**} \rightarrow \mathcal{B}^{**}$ .

(a) Since the linear span of projections is  $\sigma$ -weakly dense in a von Neumann algebra, we are enough to show  $p\varphi(a) = \varphi(pa)$  and  $\varphi(ap) = \varphi(a)p$  for any projection  $p \in \mathcal{B}$ .

Let  $p \in \mathcal{B}$  be a projection and let  $a \in \mathcal{A}$ . Note that we have

$$p\varphi(a) = pp\varphi(a) = p\varphi(p\varphi(a))$$

and

$$(a - pa)^*(p\varphi(a - pa)) = (p\varphi(a - pa))^*(a - pa) = 0.$$

Then,

$$\begin{aligned} (1+t)^2 \|p\varphi(a - pa)\|^2 &= \|p\varphi(a - pa) + tp\varphi(a - pa)\|^2 \\ &= \|p\varphi((a - pa) + tp\varphi(a - pa))\|^2 \\ &\leq \|(a - pa) + tp\varphi(a - pa)\|^2 \\ &= \|a - pa\|^2 + t^2 \|p\varphi(a - pa)\|^2 \end{aligned}$$

implies  $p\varphi(a - pa) = 0$  by letting  $t \rightarrow \infty$ . Putting  $1_B - p$  and  $1_B$  instead of  $p$ , we obtain  $(1_B - p)\varphi(a - 1_B a + pa) = 0$  and  $\varphi(a - 1_B a) = 0$ , so

$$p\varphi(a) = p\varphi(pa) = \varphi(pa).$$

Similarly, we can show  $\varphi(a - ap)p = 0$  and  $\varphi(ap)(1 - p) = 0$ , we are done.

(b) Let  $[a_{ij}] \in M_n(\mathcal{A})_+$ . Let  $\pi : \mathcal{B} \rightarrow B(H)$  be a cyclic representation with a cyclic vector  $\psi$ . Then,  $[\xi_i] \in H^n$  can be replaced to  $[\pi(b_i)\psi]$ , so we can check the positivity of inflations  $\varphi_n$  as

$$\sum_{i,j} \langle \pi(\varphi(a_{ij}))\pi(b_j)\psi, \pi(b_i)\psi \rangle = \langle \pi(\varphi(\sum_{i,j} b_i^* a_{ij} b_j))\psi, \psi \rangle \geq 0,$$

because it follows  $\sum_{i,j} b_i^* a_{ij} b_j \geq 0$  by the positivity of  $a_{ij}$  from

$$\langle \pi_{\mathcal{A}}(\sum_{i,j} b_i^* a_{ij} b_j)\xi, \xi \rangle = \sum_{i,j} \langle \pi_{\mathcal{A}}(a_{ij})\pi_{\mathcal{A}}(b_j)\xi, \pi_{\mathcal{A}}(b_i)\xi \rangle \geq 0,$$

where  $\pi_{\mathcal{A}}$  is any representation of  $\mathcal{A}$ . □

**Theorem 1.10** (Sakai). *Suppose  $\mathcal{A}$  is a  $C^*$ -algebra which admits a predual  $F$ .*

- (a) *There is an injective  $*$ -homomorphism  $\pi : \mathcal{A} \rightarrow \mathcal{A}^{**}$  with weakly\* closed image.*
- (b)  *$\pi$  is a topological embedding w.r.t.  $\sigma(\mathcal{A}, F)$  and  $\sigma(\mathcal{A}^{**}, \mathcal{A}^*)$ .*
- (c) *The predual  $F$  is unique in  $\mathcal{A}^*$ .*

*In particular, there is a faithful representation  $\mathcal{A} \rightarrow B(H)$  whose image is  $(\sigma)$ -weakly closed.*

*Proof.* (a) By taking the adjoint for the inclusion  $i : F \hookrightarrow \mathcal{A}^*$ , we have a conditional expectation  $\varepsilon : \mathcal{A}^{**} \rightarrow \mathcal{A}$ . Its kernel is a  $\mathcal{A}$ -bimodule, and by the  $\sigma$ -weak density of  $\mathcal{A}$  in  $\mathcal{A}^{**}$  and the continuity of  $\varepsilon$  between weak\* topologies, so it is in fact a  $\mathcal{A}^{**}$ -bimodule, which means it is a  $\sigma$ -weakly closed ideal of  $\mathcal{A}^{**}$ . Thus we have a central projection  $z \in \mathcal{A}^{**}$  such that  $\ker \varepsilon = (1 - z)\mathcal{A}^{**}$ .

Define  $\pi : \mathcal{A} \rightarrow \mathcal{A}^{**}$  such that  $\pi(a) := za$ . It is clearly a  $*$ -homomorphism. The injectivity follows from  $a = \varepsilon(a) = \varepsilon(za)$  for  $a \in \mathcal{A}$ . The image is weakly\* closed because  $\varepsilon(x - \varepsilon(x)) = 0$  implies  $z(x - \varepsilon(x)) = 0$  for  $x \in \mathcal{A}^{**}$  so that  $z\mathcal{A}^{**} = z\mathcal{A}$ .

(b) Since  $\langle a, f \rangle = \langle \varepsilon(za), f \rangle = \langle za, f \rangle$  for  $a \in \mathcal{A}$  and  $f \in F$ , in which the second equality holds by the definition of  $\varepsilon$ , it is enough to show  $\sigma(z\mathcal{A}, \mathcal{A}^*) = \sigma(z\mathcal{A}, F)$ .

For  $l \in \mathcal{A}^*$ , we claim there exists  $f$  such that  $\langle za, l \rangle = \langle za, f \rangle$ . Define  $\tilde{l} \in \mathcal{A}^*$  such that  $\langle x, \tilde{l} \rangle := \langle zx, l \rangle$  for  $x \in \mathcal{A}^{**}$ . Then,  $\langle zx, l \rangle = \langle z^2x, l \rangle = \langle zx, \tilde{l} \rangle$  for  $x \in \mathcal{A}^{**}$ . Suppose  $\tilde{l} \notin F$ . Because  $F$  is closed in  $\mathcal{A}^*$ , there is  $x \in \mathcal{A}^{**}$  such that  $\langle x, \tilde{l} \rangle \neq 0$  and  $\langle x, f \rangle = 0$  for all  $f \in F$  by the Hahn-Banach extension. Then,  $0 = \langle x, f \rangle = \langle x, i(f) \rangle = \langle \varepsilon(x), f \rangle$  implies  $\varepsilon(x) = 0$  so that  $zx = 0$ , which leads a contradiction  $\langle x, \tilde{l} \rangle = \langle zx, l \rangle = 0$ , so we have  $\tilde{l} \in F$ .

(c) If closed subspaces  $F_1$  and  $F_2$  of  $\mathcal{A}^*$  are preduals of  $\mathcal{A}$ , then  $\sigma(\mathcal{A}, F_1) = \sigma(\mathcal{A}, F_2)$  by the part (b). If  $l \in F_1$ , which is obviously continuous on  $\sigma(\mathcal{A}, F_1)$ , and the continuity in  $\sigma(\mathcal{A}, F_2)$  implies that  $l$  is contained in a linear span of some finitely many elements of  $F_2$ , hence  $F_1 \subset F_2$ . □

## 2 May 12

### 2.1 Nuclear maps

**Definition 2.1.** A linear map  $\theta : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras is called *nuclear* if it is a limit of finite-rank contractive c.p.(c.c.p.) maps in the point-norm topology. Equivalently, by the following lemma, there is a net of pairs of c.c.p. maps  $\varphi_\alpha : \mathcal{A} \rightarrow M_{n_\alpha}(\mathbb{C})$  and  $\psi_\alpha : M_{n_\alpha}(\mathbb{C}) \rightarrow \mathcal{B}$  such that  $\|\theta(a) - \psi_\alpha \circ \varphi_\alpha(a)\| \rightarrow 0$  for each  $a \in \mathcal{A}$ .

If  $\mathcal{B}$  is a von Neumann algebra,  $\theta$  is called *weakly nuclear* if it is a limit of finite-rank c.c.p. maps in the point- $\sigma$ -weak topology.

**Lemma 2.2.** A c.c.p. map  $\theta : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras is of finite-rank iff there are c.c.p. maps  $\varphi : \mathcal{A} \rightarrow M_n(\mathbb{C})$  and  $\psi : M_n(\mathbb{C}) \rightarrow \mathcal{B}$  for some  $n$  such that  $\theta = \psi \circ \varphi$ . In Brown-Ozawa, a finite-rank c.c.p. map is called a *factorable map*.

*Proof.* ( $\Leftarrow$ ) Clear. ( $\Rightarrow$ ) By the structure theorem of finite-dimensional  $C^*$ -algebras, we have  $\text{im } \theta \cong \bigoplus_{i=1}^m M_{n_i}(\mathbb{C})$ , so for  $n = \sum_{i=1}^m n_i$  there is a unital embedding  $\text{im } \theta \hookrightarrow M_n(\mathbb{C})$  and conditional expectation  $M_n(\mathbb{C}) \rightarrow \text{im } \theta : T \mapsto \sum_{i=1}^m P_i T P_i$ , where  $P_i$  denotes the projection on the image of  $M_{n_i}(\mathbb{C})$ . Now we are done. (In fact, such a conditional expectation also exists for unital subalgebras between von Neumann algebras.)  $\square$

**Proposition 2.3** (Local property). Let  $\theta : \mathcal{A} \rightarrow \mathcal{B}$  be a linear map between  $C^*$ -algebras. If the restriction of  $\theta$  on any finite-dimensional subspace of  $\mathcal{A}$  is nuclear, then  $\theta$  is nuclear.

*Proof.*  $\square$

**Proposition 2.4** (Weak approximations). Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $C^*$ -algebras, and  $\mathcal{M} \subset B(H)$  a von Neumann algebra.

(a)  $\theta : \mathcal{A} \rightarrow \mathcal{B}$  is nuclear if there is a net  $\mathcal{A} \xrightarrow{\varphi_\alpha} M_{n_\alpha}(\mathbb{C}) \xrightarrow{\psi_\alpha} \mathcal{B}$  such that

$$\lim_\alpha \langle \theta(a) - \psi_\alpha \circ \varphi_\alpha(a), l \rangle = 0 \quad a \in \mathcal{A}, l \in \mathcal{B}^*.$$

(b)  $\theta : \mathcal{A} \rightarrow \mathcal{M}$  is weakly nuclear if there is a net  $\mathcal{A} \xrightarrow{\varphi_\alpha} M_{n_\alpha}(\mathbb{C}) \xrightarrow{\psi_\alpha} \mathcal{M}$  such that

$$\lim_\alpha \langle (\theta(a) - \psi_\alpha \circ \varphi_\alpha(a))\xi, \xi \rangle = 0 \quad a \in \mathcal{A}, \xi \in H.$$

*Proof.* (a) By applying the Hahn-Banach extension for each  $a \in \mathcal{A}$ , we can show the closures of a convex set is same with respect to the point-norm topology and the point- $\sigma(\mathcal{B}, \mathcal{B}^*)$ -topology. Thus it suffices to show that the set of finite-rank c.c.p. maps is convex.

Let  $\mathcal{A} \xrightarrow{\psi_i} M_{n_i}(\mathbb{C}) \xrightarrow{\varphi_i} \mathcal{B}$  be c.c.p. maps for  $i \in \{0, 1\}$ . Then, we have a diagram

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{(1-t)\psi_0 \circ \varphi_0 + t\psi_1 \circ \varphi_1} & \mathcal{B} \\ \downarrow & & \uparrow \\ \mathcal{A} \oplus \mathcal{A} & \xrightarrow[\varphi_0 \oplus \varphi_1]{\psi_0 \oplus \psi_1} M_{n_0}(\mathbb{C}) \oplus M_{n_1}(\mathbb{C}) \xrightarrow[(1-t)\psi_0 \oplus t\psi_1]{(1-t)\varphi_0 \oplus t\varphi_1} & \mathcal{B} \oplus \mathcal{B} \end{array}$$

which is commutative, so we are done.

(b) Fix  $a \in \mathcal{A}$ . Note that the net is bounded. Since the unit ball is compact in  $\sigma$ -weak topology and hence in the weak operator topology, we are enough to verify the convergence of  $\psi_\alpha \circ \varphi_\alpha$  in the weak operator topology. Using the polarization identity, the claim holds.  $\square$

nonunital technicalities

## 2.2 Examples of nuclear $C^*$ -algebras

$C^*$ -subalgebra of a nuclear  $C^*$ -algebra may not be nuclear.  $C^*$ -subalgebra of a exact  $C^*$ -algebra is exact. injective limit of nuclear  $C^*$ -algebras is nuclear.  $M_n(\mathcal{A})$  is nuclear if  $\mathcal{A}$  is nuclear.

**Theorem 2.5** (Effros-Lance). *If  $\mathcal{A}^{**}$  is semidiscrete, then  $\mathcal{A}$  is nuclear. (The converse also holds)*

*Proof.* Since the set of finite-rank c.c.p. maps is convex, and since the closures of a convex set are same in the norm and weak topologies on a Banach space, □

**Theorem 2.6.** *An abelian  $C^*$ -algebra is nuclear.*



**3 May 22**