

# Representation Theory

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December 29, 2022

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## **Part I**

# **Finite group representations**

# Chapter 1

## Character theory

### 1.1 Irreducible representations

1.1 (Definition of group representations).

1.2 (Intertwining maps).

1.3 (Subrepresentations). We say *invariant* or *stable*

1.4 (Irreducible representations). indecomposable and irreducible

1.5 (Maschke's theorem). Let  $G$  be a finite group and  $k$  be a field. Suppose the characteristic of  $k$  does not divide  $|G|$ . Let  $V$  be a finite-dimensional representation of  $G$  over  $k$ .

- (a) Every invariant subspace  $W$  of  $V$  has a complement  $W'$  in  $V$  that is also invariant.
- (b)  $V$  is isomorphic to the direct sum of irreducible representations of  $G$  over  $k$ .
- (c) If  $k = \mathbb{R}$  or  $\mathbb{C}$ , then  $V$  admits an inner product such that  $W \perp W'$  and  $\rho_V(g)$  is unitary for all  $g \in G$ .

1.6 (Schur's lemma). Let  $G$  be a group and  $k$  be a field. Let  $V$  and  $W$  be irreducible representations of  $G$  over  $k$ . Let  $\psi : V \rightarrow W$  be an intertwining map.

- (a) If  $V \not\cong W$ , then  $\psi = 0$ .
- (b) If  $V \cong W$ , then  $\psi$  is an isomorphism.
- (c) If  $k$  is algebraically closed and  $\dim V < \infty$ , then every intertwining map  $\psi : V \rightarrow V$  is a homothety.

### 1.2 Group algebra

1.7 (Modules and representations). ring  $\leftrightarrow$  group module  $\leftrightarrow$  representation finitely generated  $\leftrightarrow$  finite dimensional

1.8 (Wedderburn's theorem). central idempotents dimension computation

1.9 (Group algebra). regular representation  $k[G]$ -module and  $G$ -representation correspondence

- (a)  $\mathbb{C}[G]$  is the direct sum of all irreducible representations.
- (b)  $|G| = \sum_{[V] \in \hat{G}} (\dim V)^2$ .

1.10. The number of irreducible representations and the number of conjugacy classes double counting on  $Z(\mathbb{C}[G])$ .

## 1.3 Characters

**1.11** (Space of class functions). Ring and inner product structure on the space of class functions.

(a)  $\dim \text{hom}_G(V, W) = \langle \chi_V, \chi_W \rangle.$

(b) Irreducible characters form an orthonormal basis of the space of class functions.

**1.12** (Characters classify representations). Let  $G$  be a finite group and let  $\mathbf{Rep}(G)$  be the category of finite-dimensional representations of  $G$  over  $\mathbb{C}$ .

$$\text{Tr} : \mathbf{Rep}(G) \rightarrow \{\text{finite sum of irreducible characters}\}$$

surjectivity: trivial injectivity: Suppose two characters are equal. Maschke  $\rightarrow$  all characters are sum of irreducible characters Schur  $\rightarrow$  orthogonality, so the coefficients are all equal irreducible-factor-wisely construct an isomorphism.

**1.13** (Character table). computation of matrix elements by character table abelian group, 1dim rep lifting

$S^3$	$e$	$(12)$	$(123)$
1	1	1	1
$\varepsilon$	1	-1	1
$\rho$	2	0	-1

the dual inner product: conjugacy check relation to normal subgroups center of rep  
algebraic integer dim of irrep divides group order burnside pq theorem

## Chapter 2

# Classification of representations

### 2.1 Symmetric groups

young tableaux

### 2.2 Linear groups over finite fields

$GL_2$  and  $SL_2$  over finite fields

### 2.3 Induced representations

induction and restriction of reps (from and to subgroup) frobenius reciprocity, mackey theory  
tensoring, complex, real symmetric, exterior

## **Chapter 3**

# **Brauer theory**



**Part II**

**Lie algebras**

## Chapter 4

# Semisimple Lie algebras

### 4.1 Linear Lie algebras

group acts on an algebra  $A$  (e.g.  $\text{End}(V)$ ). then its group algebra acts on  $A$ . Lie algebra acts on  $A$ , and this Lie algebra information is enough to recover the group action. Geometric meaning of Lie algebra action?

Lie algebra can only considered as a quantization of Poisson bracket. How can the Poisson bracket embodies the group action?

Following Humphrey's book, let  $L$  be always finite dimensional Lie algebra unless stated.

**4.1.** Every associative algebra is a Lie algebra, where the Lie bracket is given by the commutator. For a Lie algebra, we are

Intuitions of subalgebras, ideals, derivations. Intuitions of solvable, nilpotent, and semisimple Lie algebras. Constructing representations, trace forms,

The *general linear Lie algebra*  $\mathfrak{gl}(V)$  is just  $\text{End}(V)$  with a Lie bracket  $[x, y] := xy - yx$ .

**4.2** (Derivations). Let  $L$  be a Lie algebra. A *derivation* of  $L$  is a linear map  $\delta : L \rightarrow L$  such that

$$\delta([x, y]) = [\delta(x), y] + [x, \delta(y)]$$

for all  $x, y \in L$ . The set of derivations  $\text{Der}(L)$  of  $L$  is a subalgebra of  $\mathfrak{gl}(L)$ , and we have the *adjoint representation*  $L \rightarrow \text{Der}(L) \leq \mathfrak{gl}(L)$  of  $L$ . If  $I$  is an ideal, then we have a faithful representation  $\text{ad} : L \rightarrow \text{ad } L \leq \text{Der}(I) \leq \mathfrak{gl}(I)$ .

**4.3** (Inner derivations and automorphisms). Let  $L$  be a Lie algebra.

The linear map  $\text{ad } x = [x, -] : L \rightarrow L$  for  $x \in L$  is derivation, and derivation of this form is called *inner*, and they form an ideal of  $\text{Der}(L)$ .

Automorphisms of the form  $\exp(\text{ad } x)$  with nilpotent  $\text{ad } x$  generates a normal subgroup of  $\text{Aut}(L)$ , and each generator is called *inner automorphisms*.

**4.4** (Solvable Lie algebras). Let  $L$  be a Lie algebra. If the *derived series*  $L^{(0)} = L$ ,  $L^{(n)} = [L^{(n-1)}, L^{(n-1)}]$  eventually vanishes, then we call  $L$  *solvable*.

If  $L$  is solvable, then its subalgebras and quotient algebras are all solvable. If  $I$  is a solvable ideal of  $L$  such that  $L/I$  is solvable, then  $L$  is solvable. The sum of two solvable ideals is also solvable.

**4.5** (Nilpotent Lie algebras). Let  $L$  be a Lie algebra. If the *lower central series*  $L^0 = L$ ,  $L^n = [L, L^{n-1}]$  eventually vanishes, then we call  $L$  *nilpotent*. It is a stronger notion than solvability.

If  $L$  is nilpotent, then its subalgebras and quotient algebras are all nilpotent. If  $L/Z(L) \cong \text{ad}(L) \subset \mathfrak{gl}(L)$  is nilpotent, then  $L$  is nilpotent. If  $L$  is non-zero and nilpotent, then  $Z(L)$  is non-trivial.

#### 4.6 (Engel's theorem).

- (a) A linear Lie algebra  $L \subset \mathfrak{gl}(V)$  consists of nilpotent endomorphisms if and only if  $L \subset \mathfrak{n}(V)$  for a certain basis of  $V$ .
- (b) An abstract Lie algebra  $L$  is nilpotent if and only if  $\text{ad}(L)$  consists of nilpotent endomorphisms.
- (c) If  $L \subset \mathfrak{gl}(V)$  is nilpotent in  $\text{End}(V)$ , then there is a *common eigenvector*  $v \in V$  such that  $[L, v] = 0$ , i.e. there is a flag  $V_i$  such that  $xV_i \subset V_{i-1} \dots$ ?

*Proof.* Let  $L$  be an ad-nilpotent Lie algebra. Then, every element of  $\text{ad } L \subset \mathfrak{gl}(L)$  is a nilpotent endomorphism, so there is  $x \in L$  such that  $[L, x] = 0$ , which implies  $Z(L) \neq 0$ . Since  $L/Z(L)$  is also ad-nilpotent, and by induction on dimension,  $L/Z(L)$  is nilpotent. Therefore,  $L$  is nilpotent.  $\square$

#### 4.7 (Lie's theorem). Let $\mathbb{F}$ have characteristic zero and be algebraically closed.

- (a) A linear Lie algebra  $L \subset \mathfrak{gl}(V)$  is solvable if and only if  $L \subset \mathfrak{t}(V)$  for a certain basis of  $V$ .
- (b) If  $L$  is solvable, then there is a flag  $V_i$  such that  $xV_i \subset V_i$ .
- (c) Let  $L$  be an abstract Lie algebra.  $L$  is solvable if and only if  $[L, L]$  is nilpotent.
- (d) Every finite-dimensional irreducible representation of a solvable Lie algebra is one-dimensional.

*Proof.* Use induction on dimension. Since  $L/[L, L]$  is a non-trivial commutative Lie algebra, in which every subspace is an ideal, we can show the existence of an ideal  $K$  of  $L$  with codimension one by pullback.

By the induction assumption, we have a common eigenvector in  $V$  for  $K$  so that we have the “eigenvalue” linear functional  $\kappa : K \rightarrow \mathbb{F}$  such that the “eigenspace” of  $\kappa$  as

$$V_\kappa := \{v \in V : xv = \kappa(x)v \text{ for } x \in K\}$$

is non-trivial.

Let  $L = I + \mathbb{F}z$  with  $z \in \mathfrak{gl}(V)$ . If  $V_\kappa$  is invariant by  $L$ , then  $V_\kappa$  contains an eigenvector of  $z$  by the fact that  $\mathbb{F}$  is algebraically closed, so we can extend  $\kappa$  to obtain  $\lambda : L \rightarrow \mathbb{F}$  such that  $(V_\kappa)_\lambda$  is non-trivial.

We now show that  $V_\kappa$  is invariant by  $L$ . Let  $v \in V_\kappa$  and  $x \in L$ . Since

$$yxv - \lambda(y)xv = \lambda([x, y])v$$

for  $y \in K$ , we have to show  $\lambda([x, y]) = 0$ .  $\square$

#### 4.8.

There is a linear functional  $\lambda : L \rightarrow \mathbb{F}$  such that  $\lambda|_{[L, L]} = 0$  and  $V_\lambda$  is non-trivial.  $V_\kappa$

For a representation  $V$  of  $\mathfrak{g}$ , then a weight of  $V$  is a linear functional  $\lambda : \mathfrak{h} \rightarrow \mathbb{F}$  such that the weight space  $V_\lambda$  is non-trivial.

## 4.2 Semisimple Lie algebras

**4.9.** Therefore,  $L$  admits a unique maximal solvable ideal, called *radical*. If the radical is trivial, then we say  $L$  is *semisimple*. Since the center is a solvable ideal, the center of a semisimple Lie algebra is trivial.

- (a) A canonical example of a solvable Lie algebra is the Lie algebra of upper triangular matrices.
- (b) The radical of  $\mathfrak{gl}(n, \mathbb{F})$  is  $\mathfrak{sl}(n, \mathbb{F})$ . ( $\mathbb{F}$  characteristic zero?) Upper triangular matrices do not form an ideal of  $\mathfrak{gl}(n, \mathbb{F})$ .

- (c)  $[\mathfrak{t}, \mathfrak{t}] = \mathfrak{n}$ ,  $\mathfrak{t} = \mathfrak{d} \otimes \mathfrak{n}$ .  $\mathfrak{t}$  is a solvable subalgebra of  $\mathfrak{gl}$ , but not a solvable ideal.
- (d)  $\mathfrak{sl}(n, \mathbb{F})$  is simple if  $\text{char } \mathbb{F} = 0$ .

**4.10** (Jordan-Chevalley decomposition). Let  $\text{char } \mathbb{F}$  be arbitrary. We say  $x \in \text{End}(V)$  is called *semisimple* if the roots of its minimal polynomial are all distinct. If  $\mathbb{F}$  is algebraically closed,  $x \in \text{End}(V)$  is semisimple if and only if it is diagonalizable. Let  $x \in \text{End } V$ . Even if  $\mathbb{F}$  is not algebraically closed, we have a generalization of Jordan decomposition as follows:

- (a) There exist unique  $x_s, x_n \in \text{End } V$  such that  $x = x_s + x_n$  and  $x_s$  semisimple,  $x_n$  nilpotent.
- (b)  $x_s$  and  $x_n$  are polynomials in  $x$ .
- (c) If  $x$  maps  $B$  to  $A$ , then  $x_s$  and  $x_n$  also map  $B$  to  $A$  for subspaces  $A \leq B \leq V$ .

**4.11** (Cartan's criterion). We will show a powerful criterion for solvability.

- (a) Let  $A \subset B$  be two subspaces of  $\mathfrak{gl}(V)$ ,  $V$  finite dimensional. Let

$$M := \{x \in \mathfrak{gl}(V) : [x, B] \subset A\}.$$

If  $x \in M$  satisfies  $\text{Tr}(xy) = 0$  for all  $y \in M$ , then  $x$  is nilpotent.

- (b) Let  $L \subset \mathfrak{gl}(V)$ ,  $V$  finite dimensional. If  $\text{Tr}(xy) = 0$  for all  $x \in [L, L]$  and  $y \in L$ , then  $L$  is solvable.

**4.12** (Killing forms). Let  $L$  be a Lie algebra.

$$\kappa(x, y) := \text{Tr}(\text{ad } x \text{ ad } y)$$

is a symmetric bilinear form on  $L$ , which is called the *Killing form* on  $L$ , i.e. it is the trace form for the adjoint representation.

- (a) On an ideal  $I \subset L$ , the Killing form is given by restriction.
- (b) The kernel of  $\kappa$  is contained in the radical of  $L$ , and triviality is equivalent;  $L$  is semisimple if and only if  $L$  is non-degenerate. (Here we use Cartan's criterion)
- (c) If  $L$  is semisimple, then it is the direct sum of simple ideals.
- (d) If  $L$  is semisimple, then every derivation is inner.
- (e) If  $L$  is semisimple, then  $L = [L, L]$  and every subalgebras and quotients are semisimple.

Levi decomposition

**4.13** (Casimir element). For a faithful representation  $\varphi : L \rightarrow \mathfrak{gl}(V)$ , we can associate non-degenerate trace form. Then, the *Casimir element* of the representation  $\varphi$  is  $C_\varphi := \sum_i \varphi(x_i)\varphi(y_i) \in \text{End}(V)$  where  $i$  runs over dual bases relative to the trace form.

**4.14** (Weyl's theorem). Finite dimensional representation of a semisimple Lie algebra is completely reducible. Preservation of Jordan decomposition.

**4.15** (Toral subalgebras). Cartan subalgebra uniqueness? (conjugacy theorem)

## Chapter 5

# Root systems

root space decomposition integrality Weyl group

Coxeter graph Dynkin diagram Real forms

Isomorphism theorem

Existence theorem Universal enveloping algebra PBW theorem Verma module

## Chapter 6

# Representations of Lie algebras

### 6.1 Representations of $\mathfrak{sl}(2, \mathbb{C})$

6.1 (Pauli matrices). Pauli matrices are

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

- (a)  $\{\sigma_1, \sigma_2, \sigma_3\}$  is a basis of complex Lie algebra  $\mathfrak{sl}(2, \mathbb{C})$ , and  $\{i\sigma_1, i\sigma_2, i\sigma_3\}$  is a basis of real Lie algebra  $\mathfrak{so}(3)$ .
- (b) For a unit vector  $n = (n_1, n_2, n_3) \in \mathbb{R}^3$ ,  $n_1\sigma_1 + n_2\sigma_2 + n_3\sigma_3$  has eigenvalues  $\pm 1$ .

### 6.2 Highest weight theorem

### 6.3 Multiplicity formulas

#### Exercises

6.2 (Triplets and quadruplets). Let  $(\pi_2, V_2)$  be the irreducible representation of  $\mathfrak{sl}(2, \mathbb{C})$  of degree two. Consider  $V_2 \otimes V_2$ . Cartan element  $S_z$ .  $V_2^{\otimes 3}$ .

6.3 (Casimir element). Casimir element decomposes a representation into irreducible representations.

## **Part III**

# **Lie groups**

# Chapter 7

## Lie correspondence

### 7.1 Exponential map

7.1 (Exponential map).

7.2 (Surjectivity of exponential map).

7.3 (Lie functor).

### 7.2 Lie's second theorem

7.4 (Derivative of the exponential map). Let  $G$  be a Lie group.

(a)

$$\frac{d}{ds} \exp(sX) = \exp(sX)X$$

for  $s \in \mathbb{R}$  and  $X \in \mathfrak{g}$ .

(b)

$$\frac{\partial}{\partial s}$$

7.5 (Baker-Campbell-Hausdorff formula). Let  $G$  be a Lie group. Let  $X, Y \in \mathfrak{g}$  such that  $\exp(X)\exp(Y)$  Define

$$Z(t) := \log(\exp(X)\exp(tY))$$

7.6. (a) The Lie functor

$$\text{Lie} : \text{LieGrp}_{\text{simple}} \rightarrow \text{LieAlg}_{\mathbb{R}}$$

is fully faithful.

### 7.3 Lie's third theorem

7.7 (Ado's theorem).

7.8 (Lie's third theorem). Also called the Cartan-Lie theorem.

(a) The Lie functor

$$\text{Lie} : \text{LieGrp}_{\text{simple}} \rightarrow \text{LieAlg}_{\mathbb{R}}$$

is essentially surjective.



## 7.4 Fundamental groups of Lie groups

## Chapter 8

# Compact Lie groups

### 8.1 Special orthogonal groups

### 8.2 Special unitary groups

### 8.3 Symplectic groups

#### Exercises

8.1 (Lorentz group).  $\mathrm{SL}(2, \mathbb{C}) \rightarrow \mathrm{SO}^+(1, 3)$

- (a)  $O(1, 3)$  has four components and  $\mathrm{SO}^+(1, 3)$  is the identity component. Orthochronous  $O^+(1, 3)$ , proper  $\mathrm{SO}(1, 3)$ .

## Chapter 9

# Representations of Lie groups

### 9.1 Peter-Weyl theorem

### 9.2 Spin representations

Clifford algebra

**Part IV**

**Hopf algebras**

## Chapter 10

## **Chapter 11**

# **Quantum groups**