

Three-dimensional Topology

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1 Day 1: April 11

Plan:

1. Fundamental groups of manifolds
2. Examples and constructions
3. Prime decomposition
4. Loop and sphere theorems
5. Haken manifolds
6. Seifert manifolds
7. JSJ composition
8. Geometrization
9. Virtually special theorems

References:

1. J. Hempel, 3-manifolds
2. W. Jaco, Lectures on three-manifold topology
3. B. Martelli, An introduction to geometric topology
4. Morimoto, An introduction to three-dimensional manifolds (Japanses)

Grading: Submit a report for any three among the exercises given in the lecture (ITC-LMS Kadai).

Cancellation of class: 5/2, 7/11(maybe)

Convention:

- manifold = connected compact orientable smooth manifold
- surface = connected compact orientable smooth 2-dimensional manifold
- tub nbd, isotopy extension, transversality, triangulation,...

1. Fundamental group

1.1. Fundamental groups of higher dimensional manifolds

Proposition 1.1. *Let π be a finitely presented group. Then, for every $d \geq 4$ there is a d -manifold X such that $\pi_1(X) \cong \pi$.*

Proof. Let $\pi = \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$ be given. If $Y = (S^1 \times S^{d-1})^{\#n}$, then $\pi_1(Y) \cong \langle x_1, \dots, x_n \rangle$ by the van Kampen theorem. Let

$$Z = Y \setminus \left(\bigcup_{i=1}^m \nu(l_i) \right),$$

where $l_i \subset Y$ is embedded loops representing r_i and ν denotes the open tubular neighborhood. Then, $\partial Z = \bigcup_{i=1}^m l_i \times S^{d-2}$. Since $d \geq 4$, any loops and disks can be pushed off $l_1 \cdots, l_n$, we have an isomorphism $\pi_1(Z) \rightarrow \pi_1(Y)$. Then, if we let

$$X = Z \cup_{\partial} \left(\bigcup_{i=1}^m D^2 \times S^{d-2} \right),$$

then $l_i \times * = \partial(D^2 \times *)$, we have $\pi_1(X) \cong \pi_1(Y)/\langle [l_1], \dots, [l_m] \rangle \cong \pi$. □

1.2. Surfaces and their groups

Theorem 1.2 (Radó, Whitehead). *Every topological surface admits a unique smooth and PL structure.*

Theorem 1.3. *Every surface is diffeomorphic to only one of $\Sigma_{g,b}$, where $\Sigma_{g,b} = (T^2)^{\#g} \# (D^2)^{\#b}$.*

Corollary 1.4. *$S = S^2, T^2, D^2$ are prime, that is, $S = S_1 \# S_2$ implies $S_i \approx S^2$ for $i = 1$ or $i = 2$.*

Remark 1.5. If the orientability is reduced out, then \mathbb{RP}^2 is prime. Also note that $T^2 \# \mathbb{RP}^2 \approx (\mathbb{RP}^2)^{\#3}$.

Theorem 1.6 (Uniformization). *For every surface $S \neq D^2$, its interior admits a complete Riemannian metric of constant curvature*

$$\begin{cases} 1, & \chi(S) > 0 \\ 0, & \chi(S) = 0 \\ -1, & \chi(S) < 0 \end{cases}$$

with universal covering $S^2, \mathbb{R}^2, \mathbb{H}^2$, respectively.

The hyperbolic plane is $\mathbb{H}^2 = \{(x, y) \in \mathbb{R}^2 : y > 0\}$ with the Riemannian metric $ds^2 = (dx^2 + dy^2)/y^2$, and $\text{Isom}^+(\mathbb{H}^2) = \text{PSL}_2(\mathbb{R})$.

Proposition 1.7. *If a surface S has $\chi(S) < 0$, then there is a discrete group $\Gamma \leq \text{PSL}_2(\mathbb{R})$ such that $S \approx \mathbb{H}^2/\Gamma$. In particular, $\pi_1(S)$ is isomorphic to Γ .*

We have

$$\pi_1(\Sigma_{g,b}) \cong F_{2g+b-1} \quad \text{and} \quad \pi_1(\Sigma_g) \cong \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1], \dots, [a_g, b_g] \rangle.$$

Proposition 1.8. *$\pi_1(\Sigma_g)$ is torsion free.*

Exercise 1. Prove Proposition 1.7.

Theorem 1.9 (Newman). *$\pi_1(\Sigma_{g,b})$ is linear over \mathbb{Z} , that is, is isomorphic to a subgroup of $\text{GL}_n(\mathbb{R})$. For example, we can check $F_n \hookrightarrow F_2 \hookrightarrow \text{SL}_2(\mathbb{Z})$ according to the pingpong lemma.*

Over \mathbb{R} , we may embed $\pi_1(S) \hookrightarrow \text{PSL}_2(\mathbb{Z}) \cong \text{SO}_{1,2}^+(\mathbb{R}) < \text{GL}_3(\mathbb{R})$ if $\chi(S) < 0$,

Definition. A group π is called residually finite (RF) if for every $1 \neq \gamma \in \pi$ there is a group homomorphism $\varphi : \pi \rightarrow G$ to a finite group G such that $\varphi(\gamma) \neq 1$. A subgroup $\pi' < \pi$ is called separable if there is a group homomorphism $\varphi : \pi \rightarrow G$ to a finite group G such that $\varphi(\gamma) \notin \varphi(\pi')$. In particular, π is residually finite if the trivial subgroup is separable in π . A group π is called locally extended residually finite (LERF) if every finitely generated subgroup of π is separable.

Theorem 1.10 (Mal'cev). *Every finitely generated linear group over a field is residually finite.*

Over \mathbb{Z} , if $1 \neq (a_{ij}) \in \pi < \text{GL}_n(\mathbb{Z})$ given, then for $m > \max_{i,j} |a_{ij}|$ if we let $\varphi_m : \pi \hookrightarrow \text{GL}_n(\mathbb{Z}) \rightarrow \text{GL}_n(\mathbb{Z}/m\mathbb{Z})$, then $\varphi_m((a_{ij})) \neq 1$.

Theorem 1.11 (Scott). *$\pi_1(\Sigma_{g,b})$ is LERF*

2 Day 2: April 18

2. Examples and constructions of 3-manifolds

Theorem 2.1 (Moise). *Every topological 3-manifold (not necessarily compact, connected, orientable) admits a unique smooth and PL structure.*

2.1 Spherical manifolds

Recall

$$\begin{aligned} S^3 &:= \{x \in \mathbb{R}^4 : |x| = 1\} \\ &= \{(z, w) \in \mathbb{C}^2 : |z|^2 + |w|^2 = 1\} \\ &= \{a + bi + cj + dk \in \mathbb{H} : a^2 + b^2 + c^2 + d^2 = 1\}. \end{aligned}$$

Lens spaces

Let $p, q \in \mathbb{Z}$, $p > 0$, $(p, q) = 1$. Then, $\mathbb{Z}/p\mathbb{Z} = \langle \zeta = \exp(2\pi\sqrt{-1}/p) \rangle$ acts on S^3 such that $\zeta \cdot (z, w) = (\zeta z, \zeta^q w)$. Then, the Lens spaces are defined as

$$L(p, q) := S^3 / (\mathbb{Z}/p\mathbb{Z}) \quad \text{with} \quad \pi_1(L(p, q)) = \mathbb{Z}/p\mathbb{Z}.$$

For example, $L(1, 1) = S^3$ and $L(2, 1) = \mathbb{RP}^3$.

Theorem 2.2 (Reidemeister).

- (a) $L(p, q) \simeq L(p, q')$ (homotopy equiv) if and only if there is $a \in \mathbb{Z}$ such that $qq' \equiv \pm a^2 \pmod{p}$.
- (b) $L(p, q) \approx L(p, q')$ (diffeo) if and only if $q' \equiv \pm q^{\pm 1} \pmod{p}$.

For example, $L(7, 1) \simeq L(7, 2)$ since $1 \cdot 2 \equiv 3^2 \pmod{7}$, but $L(7, 1) \not\approx L(7, 2)$ since $2 \not\equiv \pm 1 \pmod{7}$.

Sketch of (\Leftarrow). Direct construction. (a) With the linking form $H_1(L) \times H_1(L) \rightarrow \mathbb{Q}/\mathbb{Z}$. (b) Reidemeister torsion. \square

General quotients

A spherical manifold is the orbit space S^3/Γ , where Γ is a finite subgroup of $\text{SO}(4)$ and $\Gamma \curvearrowright S^3$ freely.

Example. With an action $\langle -1, i, j, k \rangle \curvearrowright S^3$, we obtain the prism manifold.

Example. With an action of the binary icosahedral group $\Gamma = \mathbb{Z}/2\mathbb{Z} \rtimes A_5$ on S^3 , we obtain the Poincaré sphere. We have $H_*(S^3/\Gamma) \cong H_*(S^3)$. If we take $3/10$ turn instead of $1/10$, we have the Seifert-Weber space.

2.2 Fibered manifolds

Twisted bundles

$$N_{g,b} = (\mathbb{RP}^2)^{\#g} \# (D^2)^{\#b}.$$

Let D be a polygon with oriented sides $a_1, a'_1, a_2, a'_2, \dots, a_g, a'_g$.

$$N_g \widetilde{\times} [0, 1] := D \times [0, 1] / \sim,$$

where $(x, t) \sim (x', 1 - t)$ for $x \in a_i$, $x' \in a'_i$, $t \in [0, 1]$ with $[x] = [x'] \in N_g$, and it is orientable.

$$N_g \widetilde{\times} S^1 := N_g \widetilde{\times} [0, 1] / (x, 0) \sim (x, 1), \quad x \in N_g$$

$$N_{g,b} \widetilde{\times} S^1 := N_g \widetilde{\times} S^1 \setminus \nu(b \text{ fibers}).$$

Exercise 2. Show the following:

- (a) $\mathbb{RP}^2 \widetilde{\times} [0, 1] \approx \mathbb{RP}^3 \setminus (\text{open ball})$.
- (b) $\mathbb{RP}^2 \widetilde{\times} S^1 \approx \mathbb{RP}^3 \# \mathbb{RP}^3$.
- (c) $N_{1,1} \widetilde{\times} S^1 \approx N_2 \widetilde{\times} [0, 1]$.

Mapping tori

The mapping class group is

$$\mathcal{M}_{g,b} := \text{Diff}^+(\Sigma_{g,b}, \partial \Sigma_{g,b}) / \text{isotopy relative to } \partial.$$

Theorem 2.3 (Dehn, Lickorish). $\mathcal{M}_{g,b}$ is finitely generated by Dehn twists.

For examples, $\mathcal{M}_0 = \mathcal{M}_{0,1} = 1$ by the Alexander trick, and $\mathcal{M}_{0,2} \cong \mathbb{Z}$, $\mathcal{M}_1 = \mathcal{M}_{1,1} \cong \text{SL}_2(\mathbb{Z})$.

Let $\varphi \in \mathcal{M}_{g,b}$. Then, a mapping torus is defined by

$$M_\varphi := \Sigma_{g,b} \times [0, 1] / (\varphi(x), 0) \sim (x, 1).$$

2.3 Heegaard decomposition

A manifold with a boundary

$$H_g := D^3 \cup (D^2 \times [0, 1])^{\sqcup g}$$

is called the handle body with genus g . Then, $\pi_1(H_g) \cong F_g$ and $\partial H_g \approx \Sigma_g$. Let $\varphi : \partial H_g \rightarrow \partial H_g$ be an orientation-preserving diffeomorphism, i.e. an element of the mapping class group \mathcal{M}_g . If a 3-manifold M satisfies

$$M \approx H_g \approx H_g,$$

then the right-hand side is called the Heegaard decomposition (splitting) of M .

Proposition 2.4. Every closed 3-manifold admitting a Heegaard decomposition of genus 0 is diffeomorphic to S^3 .

Proof. $\mathcal{M}_0 = 1$. □

Proposition 2.5. Every closed 3-manifold admitting a Heegaard decomposition of genus 1 is diffeomorphic to S^3 , $S^2 \times S^1$, or $L(p, q)$.

Exercise 3. Prove the above proposition.

Theorem 2.6. Every closed 3-manifold M admits a Heegaard decomposition along some Σ_g .

Proof. Pick a triangulation T of M . Then, $H := \overline{\nu(T^{(1)})}$ is a handlebody. Then, $H' := M \setminus \text{Int} H$ is also a handlebody. Since M is orientable, so are H and H' , thus we are done. □

There is another proof using Morse theory.

Corollary 2.7. The fundamental group of every closed 3-manifold admits a finite presentation of deficiency 0, i.e. the number of generators is equal to the number of relations.

Proof. Apply the van Kampen theorem to

$$M = H_g \cup H_g = H_g \cup (D^2 \times [-\varepsilon, \varepsilon])^{\sqcup g} \cup D^3. \quad \square$$

2.4 Dehn surgery

Let L be a link. The link exterior is the set $E_L = S^3 \setminus \nu(L)$.

Proposition 2.8. Let M be a 3-manifold, and $T \subset \partial M$ a torus component. Let $h : \partial(D^2 \times S^1) \rightarrow T$ be a diffeomorphism. Then, $M \cup_h (D^2 \times S^1)$ is determined only by $\pm[h(\partial D^2 \times *)] \in H_1(T)$.

Proof. Write

$$M \cup_h (D^2 \times S^1) = M \cup_h (D^2 \times (-\varepsilon, \varepsilon)) \cup D^3. \quad \square$$

For a knot K , there are two generators μ and λ , called the meridian and the longitude, of $H_1(\partial E_K)$ such that $\ker(H_1(\partial E_K) \rightarrow H_1(E_K) = \mathbb{Z}\mu)$ is generated by λ .

Exercise 4. Show that $L(p, q)$ with $p \neq 0$ and $S^2 \times S^1$ are obtained by the p/q -Dehn surgery along the unknot.

Theorem 2.9 (Lickorish-Wallace). *Every closed 3-manifold can be obtained by an (integral)-Dehn surgery along some link in S^3 .*

Sketch. Heegaard decomposition and $\mathcal{M}_g = \langle \text{Dehn twists} \rangle$. Each Dehn twist realizes the Dehn surgery steps. □