

Cohen-Macaulay Rings

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1 Day 1: October 2

Theorem (0.1). *Let A be a noetherian local ring. If A is regular, then $A_{\mathfrak{p}}$ is regular for $\mathfrak{p} \in \text{Spec } A$.*

Theorem (0.2, Auslander-Buchsbaum-Serre). *Let A be a noetherian local ring. Then, A is regular if and only if it has finite global dimension.*

Proof of (0.2) \Rightarrow (0.1). Let N be a $A_{\mathfrak{p}}$ -module and let $g := \text{gldim } A$ so that there is a projective resolution of N in Mod_A of length at most g . Tensoring with $A_{\mathfrak{p}}$, we have a projective resolution

$$0 \rightarrow P_g \rightarrow \cdots \rightarrow P_0 \rightarrow N \otimes_A A_{\mathfrak{p}} \cong N \rightarrow 0,$$

of N in $\text{Mod}_{A_{\mathfrak{p}}}$, so we have $\text{gldim } A_{\mathfrak{p}} \leq g$, and we are done. \square

Theorem (0.3, Matlis duality). *Let A be a complete noetherian local ring. Then, there is a one-to-one correspondence between noetherian A -modules and artinian A -modules, which maps a noetherian module M to $\text{Hom}_A(M, E)$ and conversely an artinian module N to $\text{Hom}_A(N, E)$, where E is the injective hull of A/\mathfrak{m} .*

Theorem (0.4, Local duality). *Let A be a Cohen-Macaulay complete noetherian local ring. Let ω_A be the canonical A -module, and M be a finitely generated A -module. Then, $\text{Hom}_A(-, E) : H_m^i(M) \leftrightarrow \text{Ext}_A^{d-i}(M, \omega_A)$ is an isomorphism.*

Remark. The canonical module ω_A is finitely generated, and $H_m^i(M)$ is artinian.

Review

Remark. If A is noetherian and M is a finitely generated A -module, then there is a free resolution of M consisting of finitely generated free modules. (kernel is finitely generated by noetherianness)

Recall that $\text{Tor}_i^A(M, N)$ is defined by the homology groups a chain complex obtained by taking $- \otimes_A N$ on a flat resolution of M . Note $\text{Tor}_0^A(M, N) = (F_0 \otimes_A N) / (F_1 \otimes_A N) = M \otimes_A N$ because tensoring preserves cokernels.

Non-trivial facts: (1) does not depend on the choice of flat resolutions (2) symmetry (3) long exact sequence (4) preserves possibly infinite direct sums and filtered colimits in each variable (5) projective resolutions are also possible instead of flat resolutions.

Example. Since the Tor functor preserves direct sums, we can compute the Tor group for every finitely generated abelian groups if we compute for cyclic groups. Let $A := \mathbb{Z}$ and $M := \mathbb{Z}/d\mathbb{Z}$ for $d \in \mathbb{Z}_{>0}$. For $N \in \text{Mod}_A$, by considering $0 \rightarrow \mathbb{Z} \xrightarrow{\times d} \mathbb{Z} \rightarrow \mathbb{Z}/d\mathbb{Z} \rightarrow 0$, we have

$$\text{Tor}_i^{\mathbb{Z}}(M, N) \cong \begin{cases} N/dN & \text{if } i = 0, \\ \{n \in N : dn = 0\} & \text{if } i = 1, \\ 0 & \text{if } i \geq 2. \end{cases}$$

The name of Tor comes from $\{n \in N : dn = 0\}$. This argument is same for integral domains A .

Recall that $\text{Ext}_A^i(M, N)$ is defined by the cohomology groups a cochain complex obtained by either taking $\text{Hom}_A(-, N)$ on a projective resolution of M or taking $\text{Hom}_A(M, -)$ on an injective resolution of N . Note that $\text{Ext}_A^0(M, N) = \text{Hom}_A(M, N)$.

Example. If A is noetherian and M is a finitely generated A -module, then M is flat if and only if M is projective. If A is a Dedekind domain, then an A -module M is torsion-free if and only if M is flat, and every ideal is projective(=flat).

2 Day 1: October 9

flat dimension

Proposition (2.4). *Let A be a ring and M an A -module. TFAE:*

- (i) $\text{fd}_A(M) \leq d$,
- (ii) $\text{Tor}_i^A(M, N) = 0$ for all $i \geq d + 1$ and all A -modules N .
- (iii) $\text{Tor}_{d+1}^A(M, N) = 0$ for all A -modules N .
- (iv) If

$$0 \rightarrow E \rightarrow F_{d-1} \rightarrow \cdots \rightarrow F_0 \rightarrow M \rightarrow 0$$

is exact and F_i flat, then E flat.

Proof. (iv) \Rightarrow (i) \Rightarrow (ii) \Rightarrow (iii) are clear.

(iii) \Rightarrow (iv) Take a flat resolution of E as

$$\cdots \rightarrow F_d \rightarrow E.$$

Then we can construct a flat resolution of M by concatenation

$$\cdots \rightarrow F_d \rightarrow F_{d-1} \rightarrow \cdots \rightarrow F_0 \rightarrow M \rightarrow 0.$$

Then, for any A -module N by tensoring N and taking homology, we can compute

$$0 = \text{Tor}_{d+1}^A(M, N) = \frac{\ker(F_{d+1} \otimes N \rightarrow F_d \otimes N)}{\text{im}(F_{d+2} \otimes N \rightarrow F_{d+1} \otimes N)} = \text{Tor}_1^A(E, N).$$

By (2.5), E is flat. □

Lemma (2.5). *Let A be a ring and M an A -module. TFAE:*

- (i) M is flat.
- (ii) $\text{Tor}_i^A(M, N) = 0$ for all $i \geq 1$ and all A -modules N .
- (iii) $\text{Tor}_i^A(M, A/I) = 0$ for all $i \geq 1$ and all ideals I of A .

Proof. By (2.2), (i) is equivalent to $\text{fd}_A(M) = 0$, which is equivalent to (ii) and it implies (iii). For (iii) \Rightarrow (i), since

$$0 = \text{Tor}_1^A(A/I, M) \rightarrow I \otimes M \rightarrow A \otimes M \rightarrow A/I \otimes M \rightarrow 0$$

is exact, M is flat. □

Proposition (2.6). *Let A be a ring. Then,*

$$\sup_{M \in \text{Mod}_A} \text{fd}_A(M) = \sup_{I \in \text{Mod}_A} \text{fd}_A(A/I) = \sup_{M, N \in \text{Mod}_A} \{d \in \mathbb{Z} : \text{Tor}_d^A(M, N) \neq 0\}.$$

Proof. (2) \leq (1) is clear, and (1) $=$ (3) by (2.4). For (1) \leq (2), take $M \in \text{Mod}_A$ and tensor on the exact sequence

$$0 \rightarrow E \rightarrow F_{d-1} \rightarrow \cdots \rightarrow F_0 \rightarrow M \rightarrow 0,$$

we have $0 = \text{Tor}_{d+1}^A(A/I, M) = \text{Tor}_1^A(A/I, E)$ so that E is flat. □

Definition (2.7). Let A be a ring and M be an A -module. Then, the *projective dimension* $\text{pd}_A(M)$ is the smallest $n \in \mathbb{Z}_{\geq 0}$ such that there is a projective resolution

$$0 \rightarrow P_n \rightarrow \cdots \rightarrow P_0 \rightarrow M \rightarrow 0.$$

If no such a projective resolution of M , then we write $\text{pd}_A(M) = \infty$.

Proposition (2.8). *Let A be a ring. Then,*

$$\sup_{M \in \text{Mod}_A} \text{pd}_A(M) = \sup_{I \in \text{Mod}_A} \text{pd}_A(A/I) = \sup_{M, N \in \text{Mod}_A} \{d \in \mathbb{Z} : \text{Ext}_A^d(M, N) \neq 0\}.$$

Proposition (2.9). *Let A be a noetherian ring and M be a finitely generated A -module.*

(a) *M is flat if and only if M is projective.*

(b) $\text{fd}_A(M) = \text{pd}_A(M)$.

Proof. Sketch. (a) We may assume A is local, which is the well-known Kaplansky theorem on projective modules.

(b) We basically have $\text{fd}_A(M) \leq \text{pd}_A(M)$. By (a), we are done..? \square

Theorem (2.10). *If A is a noetherian ring, then $\sup_M \text{fd}_A(M) = \sup_M \text{pd}_A(M)$.*

Proof. Since we may assume M is a quotient of A , which is finitely generated. By (2.9), we are done. \square

Example (2.11). For a field K , $\text{gldim } K = \sup_I \text{fd}_K(K/I) = 0$, since $I = 0$ or $I = K$.

Example (2.12). For an ideal I of \mathbb{Z} , $\text{fd}_{\mathbb{Z}}(\mathbb{Z}/I) = 0$ if $I \in \{0, \mathbb{Z}\}$ and $\text{fd}_{\mathbb{Z}}(\mathbb{Z}/I) = 1$ otherwise. Thus $\text{gldim } \mathbb{Z} = 1$.

Theorem (2.13, The first change of rings). *Let A be a ring, $x \in A$, and N a A/xA -module. Assume x is a non-zero divisor of A , $N \neq 0$, and $\text{pd}_{A/xA}(N) < \infty$. Then, $\text{pd}_A(N) = 1 + \text{pd}_{A/xA}(N)$.*

Proof. Let F be a free A/xA -module with a short exact sequence of A/xA -modules

$$0 \rightarrow N' \rightarrow F \rightarrow N \rightarrow 0.$$

For any A/xA -module L , if we let $p := \text{pd}_{A/xA}(N)$, then taking hom functor and considering long exact sequence in $\text{Mod}_{A/xA}$ we have

$$0 = \text{Ext}_{A/xA}^i(F, L) \rightarrow \text{Ext}_{A/xA}^i(N', L) \rightarrow \text{Ext}_{A/xA}^{i+1}(N, L) = 0, \quad i \geq p.$$

We can see $\text{pd}_{A/xA}(N') = p - 1$. By induction hypothesis, $\text{pd}_A(N') = 1 + \text{pd}_{A/xA}(N') = p$, so it is enough to show $\text{pd}_A(N) = 1 + \text{pd}_A(N')$.

For any A -module K , we have $\text{Ext}_A^i(F, K) = 0$ for $i \geq 2$ by considering the exact sequence $0 \rightarrow A \rightarrow A \rightarrow A/xA \rightarrow 0$. Since $\text{pd}_A(N') = p$, we have $\text{Ext}_A^i(F, K) = 0$ for $i \geq p + 2$. So, for $p \geq 2$ we obtain the proof. Assume $p \leq 1$ and $\text{pd}_A(N) \leq 2$. If $\text{pd}_A(N) = 2$, then $\text{Ext}_A^1(N', K) \rightarrow \text{Ext}_A^2(N, K) \rightarrow 0$ with $\text{Ext}_A^2(N, K) \neq 0$, we have $\text{pd}_A(N') = 1$. If $\text{pd}_A(N) = 1$, then on $0 \rightarrow P_1 \rightarrow P_0 \rightarrow N \rightarrow 0$, tensoring A/xA , we have

$$0 \rightarrow N = \{n \in N : xn = 0\} = \text{Tor}_1^A(N, A/xA) \rightarrow \bar{P}_1 \rightarrow \bar{P}_0 \rightarrow N \otimes_A A/xA = N \rightarrow 0.$$

The projectivity is preserved by tensor, and by (2.4) we finally have N is projective. \square

Example (2.14). Let $A = \mathbb{C}[x_1, \dots, x_n]$ and $\mathfrak{m} = (x_1, \dots, x_n)$. Then, $\text{pd}_A(A/\mathfrak{m}) = n$ by induction.

Exercise (2.15). Let $A = \mathbb{C}[x_1, \dots, x_n]$ and $\mathfrak{m} = (x_1, \dots, x_n)$. Then, $\text{pd}_A(\mathfrak{m}) = ?$

Remark (2.16). Let (A, \mathfrak{m}) be a regular local ring. Then, it is known that $\dim A = \text{pd}_A(A/\mathfrak{m}) = \text{gldim } A$.

3 Day 3: October 16

Auslander-Buchsbaum-Serre theorem

Theorem (Auslander-Buchsbaum-Serre). *Let A be a noetherian local ring. Then, A is regular if and only if it has finite globale dimension.*

(3.1) Let A be a noetherian local ring. Let \mathfrak{m} be the unique maximal ideal and $k := A/\mathfrak{m}$. Let M be an arbitrary finitely generated A -module. Suppose x_1, \dots, x_{r_0} form a minimal local generating system in the sense that $M = Ax_1 + \dots + Ax_{r_0}$ and $\dim_k(M/\mathfrak{m}M) = r_0$. Then,

$$F_{r_0} := A^{\oplus r_0} \rightarrow M \rightarrow 0$$

is exact. For $K := \ker(F_0 \rightarrow M) = Ay_1 + \dots + Ay_{r_1}$ minimally generated by y_i , we have an exact sequence

$$F_{r_1} := A^{\oplus r_1} \rightarrow F_{r_0} \rightarrow M \rightarrow 0.$$

By repeating this, we can easily check that the following is a *minimal free resolution*:

$$\dots \rightarrow F_2 \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0.$$

Definition (3.2). Let A be a noetherian local ring with the maximal ideal \mathfrak{m} and the residue field $k := A/\mathfrak{m}$. Let M be a finitely generated A -module. An exact sequence

$$\dots \rightarrow F_2 \xrightarrow{d_2} F_1 \xrightarrow{d_1} F_0 \xrightarrow{\varepsilon} M \rightarrow 0$$

is called a *minimal free resolution* if

- (i) F_i is a finitely generated free A -module,
- (ii) $\bar{d}_i : \bar{F}_i \rightarrow \bar{F}_{i-1}$ is zero,
- (iii) $\bar{\varepsilon} : \bar{F}_0 \rightarrow \bar{M}$ is an isomorphism,

where $\bar{F}_i := F_i \otimes_A k$ and $\bar{M} := M \otimes_A k$.

Remark (3.3). Minimal free resolution is unique.

Example (3.4). Let $A := \mathbb{Z}_{(2)}$ and $M := \mathbb{Z}/2\mathbb{Z}$. Then, $0 \rightarrow A \xrightarrow{\times 2} A \rightarrow M \rightarrow 0$ is the minimal free resolution of M .

Let $A := \mathbb{Z}/4\mathbb{Z}$, $\mathfrak{m} = 2\mathbb{Z}/4\mathbb{Z}$, and $M := \mathbb{Z}/2\mathbb{Z}$. Then, $\dots \rightarrow A \xrightarrow{\times 2} A \xrightarrow{\times 2} A \rightarrow M \rightarrow 0$ is the minimal free resolution.

Theorem (3.5). *Let A be a noetherian local ring with the maximal ideal \mathfrak{m} and the residue field $k := A/\mathfrak{m}$. Let M be a finitely generated A -module, and F_i is the minimal free resolution.*

- (a) $\dim_k \operatorname{Tor}_i^A(M, k) = \operatorname{rk} F_i$.
- (b) $\operatorname{pd}_A(M) = \sup\{i : \operatorname{Tor}_i^A(M, k) \neq 0\} \leq \operatorname{pd}_A(k)$. In particular, $\operatorname{gldim} A = \operatorname{pd}_A(k)$.

Proof. (a) By tensoring k on the minimal free resolution we can compute $\operatorname{Tor}_i^A(M, k) \cong \bar{F}_i \cong k^{\oplus \operatorname{rk} F_i}$.

(b) Recall $\operatorname{pd}_A(M) = \sup_N(\sup\{i : \operatorname{Tor}_i^A(M, N) \neq 0\})$, where N runs through all finitely generated A -modules. We want to show

$$\operatorname{pd}_A(M) \geq \sup\{i : \operatorname{Tor}_i^A(M, k) \neq 0\} \leq \operatorname{pd}_A(k).$$

(\leq) follows from that $\operatorname{pd}_A(M)$ is the infimum of the length of projective resolutions which is $\leq \sup\{i : \operatorname{Tor}_i^A(M, k) \neq 0\}$. (\geq) follows from (\leq). \square

Example (3.6). $\text{gldim}(\mathbb{Z}/4\mathbb{Z}) = \text{pd}_{\mathbb{Z}/4\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z})$ by (3.5), $\text{pd}_{\mathbb{Z}/4\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z}) = \infty$ by (3.4).

Theorem (3.7). *Let A be a noetherian local ring. If it is regular, then its global dimension is equal to the Krull dimension.*

Proof. Let $d := \dim A$. We have $\mathfrak{m} = Ax_1 + \cdots + Ax_d$. By (3.5),

$$\text{gldim} A = \text{pd}_A(k) = \text{pd}_{A/x_1A}(k) + 1 = \text{gldim} A/x_1A + 1 = (d-1) + 1 = d. \quad \square$$

Theorem (3.8). *Let A be a noetherian local ring. If it has finite global dimension, then it is regular.*

Proof. Let $g := \text{gldim} A < \infty$. Define the *embedding dimension* $e := \dim_k \mathfrak{m}/\mathfrak{m}^2 < \infty$. As a remark, $0 \leq \dim A \leq e$, and $\dim A = e$ is equivalent to the regularity of A . If $e = 0$, then the claim trivially follows. Assume $e \geq 1$. Then, $g \geq 1$ because $g = 0$ implies the flatness(freeness) of A/\mathfrak{m} , telling us $\mathfrak{m} = 0$, which implies A is a field and $e = 0$.

We claim

- (i) there is $x \in \mathfrak{m} \setminus \mathfrak{m}^2$ a non-zero divisor of A ,
- (ii) $\mathfrak{m}/x\mathfrak{m} \twoheadrightarrow \mathfrak{m}/xA$ splits as A -modules.

Assume these claims for now. For $B := A/xA$, we consider an inductive hypothesis that B is a noetherian local ring satisfying $\dim_k \mathfrak{m}_B/\mathfrak{m}_B^2 < \dim_k \mathfrak{m}/\mathfrak{m}^2$ by $\mathfrak{m}/\mathfrak{m}^2 \rightarrow \mathfrak{m}_B/\mathfrak{m}_B^2 : x \mapsto 0$. Then, it is enough to show $\text{pd}_B(k) < \infty$, because it implies $\text{gldim} B < \infty$ and the regularity of B by inductive hypothesis, which proves the regularity of A with $\dim A = \dim B + 1$ by the generator lift of \mathfrak{m}_B and x . The proof of $\text{pd}_B(k) < \infty$ is along the following steps:

- (a) $\text{pd}_B(\mathfrak{m}/x\mathfrak{m}) < \infty$,
- (b) $\text{pd}_B(\mathfrak{m}_B) < \infty$,
- (c) $\text{pd}_B(k) < \infty$.

For (a), by the second change of rings,

$$\text{pd}_B(\mathfrak{m}/x\mathfrak{m}) = \text{pd}_{A/xA}(\mathfrak{m}/x\mathfrak{m}) = \text{pd}_A(\mathfrak{m}) \leq \text{gldim} A < \infty.$$

For (b), by the splitting claim,

$$\text{pd}_B(\mathfrak{m}_B) = \text{pd}_B(\mathfrak{m}/xA) \leq \text{pd}_A(\mathfrak{m}/x\mathfrak{m}) < \infty.$$

For (c), since we have an exact sequence $0 \rightarrow \mathfrak{m}_B \rightarrow B \rightarrow k \rightarrow 0$, $\text{Tor}_{0 \leq i}^B(\mathfrak{m}, \cdot) = 0$, $\text{Tor}_{0 < i}^B(B, \cdot) = 0$, so $\text{Tor}_{0 \leq i}^B(k, \cdot) = 0$ and $\text{pd}_B(k) < \infty$?

Now we prove the claim.

(i)' We prove that there is a non-zero-divisor $y \in \mathfrak{m}$ of A . Suppose every element of \mathfrak{m} is a zero-divisor of A . Then, $\mathfrak{m} \subset \mathfrak{p}$ for some associated prime ideal with $\mathfrak{p} = \text{Ann}(a)$ for some $a \in A$. Then, $a \neq 0$ and $a\mathfrak{m} = 0$. Consider the minimal free resolution

$$0 \rightarrow F_g (\neq 0) \rightarrow \cdots \rightarrow F_0 \rightarrow k \rightarrow 0.$$

By tensoring, we have $F_g \subset \mathfrak{m}F_{g-1}$. Then, $0 \neq aF_g \subset a\mathfrak{m}F_{g-1} = 0$ is a contradiction, so we have a non-zero-divisor $y \in \mathfrak{m}$ of A .

(i) $\mathfrak{m} \neq 0$ implies $\mathfrak{m} \neq \mathfrak{m}^2$ by the Nakayama lemma. By (i)', (prime avoidance?) $\mathfrak{m} \not\subset \mathfrak{m}^2 \cup (\bigcup_{\mathfrak{p} \in \text{Ass} A} \mathfrak{p})$.

(ii) We can take minimal generators $x = x_1, \dots, x_e$ of \mathfrak{m} . It means that $\bar{x}_1, \dots, \bar{x}_e$ is a basis of $\mathfrak{m}/\mathfrak{m}^2$. Let $I := Ax_2 + \cdots + Ax_e$. For $\zeta \in I \cap xA$ with $\zeta = xy = x_2z_2 + \cdots + x_etz_e$ for some $y, z_2, \dots, z_e \in A$, by taking modulo \mathfrak{m}^2 , we have $\bar{x}\bar{y} = \bar{x}_2\bar{z}_2 + \cdots + \bar{x}_e\bar{z}_e$ in $\mathfrak{m}/\mathfrak{m}^2$, where $\bar{x}, \bar{x}_i \in \mathfrak{m}/\mathfrak{m}^2$ and $\bar{y}, \bar{z} \in A/\mathfrak{m}$, and $\bar{x} = \bar{x}_1, \bar{x}_2, \dots, \bar{x}_e$ is a A/\mathfrak{m} -linear basis of $\mathfrak{m}/\mathfrak{m}^2$ so that $\bar{y} = 0$. Thus we have $I \cap xA \subset x\mathfrak{m}$, so the splitting from the identity

$$\mathfrak{m}/xA = (xA + I)/xA \cong I/(xA \cap I) \twoheadrightarrow (I + \mathfrak{m})/x\mathfrak{m} \hookrightarrow \mathfrak{m}/x\mathfrak{m} \twoheadrightarrow \mathfrak{m}/xA. \quad \square$$

Theorem (3.9, second change of rings). *Let A be a noetherian local ring. Let M be a finitely generated A -module. If $x \in \mathfrak{m}$ is a non-zero-divisor of A and M , then $\mathrm{pd}_A(M) = \mathrm{pd}_{A/xA}(M/xM)$.*

Proof. Tensoring A/xA on the minimal free resolution of M , we have

$$\cdots \xrightarrow{\bar{d}_2} \bar{F}_1 \xrightarrow{\bar{d}_1} \bar{F}_0 \xrightarrow{\bar{\varepsilon}} M/xM \rightarrow 0.$$

To prove it is a minimal free resolution, the only non-trivial part is the exactness, which is by (3.10). \square

Lemma (3.10). *Let A be a ring. Let $x \in A$ be a non-zero-divisor of A .*

- (a) *If $\cdots \rightarrow L_2 \rightarrow L_1 \rightarrow 0$ is exact and x is a non-zero-divisor of L_i , then $\cdots \rightarrow \bar{L}_2 \rightarrow \bar{L}_1 \rightarrow 0$ is exact.*
- (b) *If $0 \rightarrow N_1 \rightarrow N_2 \rightarrow N_3 \rightarrow 0$ is exact and x is a non-zero-divisor of N_3 , then $0 \rightarrow N_1/xN_1 \rightarrow N_2/xN_2 \rightarrow N_3/xN_3 \rightarrow 0$ is exact.*

Proof. (b) \Rightarrow (a) Relatively clear by thinking $0 \rightarrow \ker \rightarrow L_3 \rightarrow \mathrm{im} \rightarrow 0$ with $L^4 \twoheadrightarrow \ker$ and $\mathrm{im} \hookrightarrow L_2$.

(b)

$$\mathrm{Tor}_1^A(N_3, A/xA) \rightarrow N_1 \otimes A/xA \rightarrow N_2 \otimes A/xA \rightarrow N_3 \otimes A/xA \rightarrow 0,$$

where

$$\mathrm{Tor}_1^A(N_3, A/xA) = \{y \in N_3 : xy = 0\} = \ker(\times x : N_3 \rightarrow N_3) = 0. \quad \square$$

4 Day 4: October 23

Depth

Definition (4.1). Let A be a noetherian local ring. Let M be a finitely generated A -module. We say $x \in A$ is M -regular if x is not a zero-divisor of M such that $M/xM \neq 0$, i.e. $M \xrightarrow{x} M$ is a proper embedding. For $M \neq 0$, by the Nakayama lemma, x is M -regular if and only if $x \in \mathfrak{m} \dots$?

Exercise (4.2). Let A be a noetherian local ring. Let M be a finitely generated A -module. If $x_1, \dots, x_n \in A$ is an M -regular sequence, then every permutation is also an M -regular sequence.

Proposition (4.3). Let A be a noetherian local ring. Let $L \rightarrow M \rightarrow N$ be an exact sequence of A -modules. Assume N is finitely generated and x_i is a N -regular sequence. Then,?

Example (4.4). Let A be a regular local ring. Let x_i be an RSP, i.e. $d = \dim A$ and $\mathfrak{m} = (x_1, \dots, x_d)$. Then, x_i is a A -regular sequence.

Example (4.5). Let $A := \mathbb{C}x, y/(xy, y^2)$. Consider the minimal primary decomposition $(xy, y^2) = (y) \cap (x, y)^2$. Let $\mathfrak{m} := (x, y)^2$. Then, $\text{Ass} A = \{yA, \mathfrak{m}\}$, so the set of zero-divisors of A is $yA \cup \mathfrak{m} = \mathfrak{m}$. It means that there is no A -regular element.

Remark (4.6). Let A be a noetherian ring and M be a finitely generated A -module.

$$\text{Ass } M := \{\mathfrak{p} \in \text{Spec } A : \exists m \in M, \mathfrak{p} = \text{Ann}(m)\}$$

is always finite, and

$$\text{Ass } M \subset \text{supp } M := \{\mathfrak{p} \in \text{Spec } A : M_{\mathfrak{p}} \neq 0\}.$$

The condition $\exists m \in M, \mathfrak{p} = \text{Ann}(m)$ is equivalent to the existence of embedding $A/\mathfrak{p} \rightarrow M$. It is known that the set of zero-divisors of M is the union of all associated prime ideals of M . If every element of an ideal I is a zero-divisor of M , then there is an associated prime ideal \mathfrak{p} such that $I \subset \mathfrak{p}$ by prime avoidance.

Lemma (4.7). Let A be a noetherian ring and M, N be finitely generated A -modules. Then, TFAE:

- (a) there is $a \in \text{Ann } N$ which is not a zero-divisor of M ,
- (b) $\text{Hom}_A(N, M) = 0$.

Proof. (a) \Rightarrow (b) Let $a \in A$ such that $N \xrightarrow{a} N$ is zero and $M \xrightarrow{a} M$ is injective. Then, for $\varphi \in \text{Hom}_A(N, M)$ we have $\varphi(n) = 0$ by diagram chasing.

(b) \Rightarrow (a) Suppose every element of $\text{Ann } N$ is a zero-divisor of M . Find an associated prime \mathfrak{p} of M containing $\text{Ann } N$. We will construct $A_{\mathfrak{p}}$ -linear maps $N_{\mathfrak{p}} \twoheadrightarrow k(\mathfrak{p})$ and $k(\mathfrak{p}) \hookrightarrow M_{\mathfrak{p}}$. Then we are done since $k(\mathfrak{p}) \neq 0$. By the Nakayama lemma, $N_{\mathfrak{p}}/\mathfrak{p}N_{\mathfrak{p}}$ is a non-zero finite dimensional linear space over $k(\mathfrak{p})$, so we have surjective $N_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}/\mathfrak{p}N_{\mathfrak{p}} \rightarrow k(\mathfrak{p})$ which is $A_{\mathfrak{p}}$ -linear. Find $z \in M$ such that $\mathfrak{p} = \text{Ann}(z)$. Then, $A/\mathfrak{p} \hookrightarrow M$, and the localization functor $-\otimes_A A_{\mathfrak{p}}$ is exact so that we have $k(\mathfrak{p}) \hookrightarrow M_{\mathfrak{p}}$. \square

Proposition (4.8). Let A be a noetherian ring and M, N be finitely generated A -modules. Assume $(x_i)_{i=1}^n$ is an M -regular sequence in $\text{Ann } N$.

- (a) $\text{Ext}_A^n(N, M) \cong \text{Hom}_A(N, M/(x_1, \dots, x_n)M)$.
- (b) $\text{Ext}_A^i(N, M) = 0$ for $i < n$.

Proof. (a) Induction on n .

$$0 \rightarrow \text{Ext}^{n-1}(N, M/x_1M) \rightarrow \text{Ext}^n(N, M) \xrightarrow{\varphi} \text{Ext}^n(N, M)$$

The map φ is induced from $x_1 : M \rightarrow M$ but also from $x_1 : N \rightarrow N$. Since $x_1 \in \text{Ann } N$, $\varphi = 0$. Therefore, the inductive hypothesis implies

$$\text{Ext}_A^n(N, M) \cong \text{Ext}_A^{n-1}(N, M/x_1M) \cong \text{Hom}_A(N, (M/x_1M)/(x_2, \dots, x_n)(M/x_1M)) \cong \text{Hom}_A(N, M/(x_1, \dots, x_n)M).$$

(b) By (a), $\text{Ext}_A^i(N, M) \cong \text{Hom}_A(N, M/(x_1, \dots, x_i)M) = 0$ by (4.7) (take $a = x_{i+1}$).

□

Theorem (4.9). *Let A be a noetherian local ring. Let M be a non-zero finitely generated A -module. Then,*

$$\max\{n : \exists M\text{-regular sequence } x_1, \dots, x_n\} = \min\{i : \text{Ext}_A^i(k, M) \neq 0\}.$$

Remark. $\text{depth}_A 0 := -\infty \dots? +\infty \dots?$

Proof. Take x_1, \dots, x_n a maximal M -regular sequence by (4.10). They are contained in $\mathfrak{m} = \text{Ann}(k)$. By (4.8)(b), $\text{Ext}_A^i(k, M) = 0$ for $i < n$. Enough to show $\text{Ext}_A^n(k, M) \neq 0$. Note $\text{Ext}_A^n(k, M) \cong \text{Hom}_A(k, M/(x_1, \dots, x_n)M)$. Every element of \mathfrak{m} is a zero-divisor of $M/(x_1, \dots, x_n)M$ by maximality, by (4.7), we are done. □

Theorem (4.10). *Let A be a noetherian local ring, and M be a finitely generated A -module. Let x_1, \dots, x_n be an M -regular sequence. Then,*

- (a) $\dim(M/(x_1, \dots, x_n)M) = \dim M - n$.
- (b) $\text{depth}(M/(x_1, \dots, x_n)M) = \text{depth}(M) - n$.
- (c) $\dim M \geq \text{depth } M$.

($\dim M := \dim \text{supp } M$.)

Proof. (a) dimension theory.

(b) If we choose y_1, \dots, y_m an $M/(x_1, \dots, x_n)M$ -regular sequence, then x_i, y_j is a maximal M -regular sequence. By (4.9),

$$\text{depth } M = n + m = n + \text{depth}(M/(x_1, \dots, x_n)M).$$

(c)

$$\dim M = n + \dim(M/(x_1, \dots, x_n)M) \geq n = \text{depth } M.$$

□

Corollary (4.11). *Let A be a noetherian local ring. If A is regular, then A is Cohen-Macaulay.*

Proof. RSP $x_1, \dots, x_{\dim A}$. Then, $\text{depth } A = \dim A$. □

Theorem (4.12, Auslander-Buchsbaum formula). *Let A be a noetherian local ring and M a finitely generated A -module of finite projective dimension. Then, $\text{pd}_A(M) + \text{depth } M = \text{depth } A$.*

Example (4.13).

5 Day 5: November 6

Cohen-Macaulay rings

Definition (5.1). Let A be a noetherian local ring. Then, A is called Cohen-Macaulay if $\text{depth} A = \dim A$. Recall we always have $\text{depth} A \leq \dim A$.

Proposition (5.2). Let A be a noetherian local ring. If A is regular, then A is CM.

Proof. We have $\mathfrak{m} = Ax_1 + \cdots + Ax_d$, where $d = \dim A$. Enough to show x_1, \dots, x_d is a A -sequence, i.e. $d \leq \text{depth} A$. Since $x_1 \neq 0$ and A is an integral domain(?), x_1 is A -regular. A/x_1A is regular. $\bar{x}_2, \dots, \bar{x}_d$ is an A -sequence, by induction on dimension of A , so x_1, \dots, x_d is an A -sequence. \square

Proposition (5.3). Let A be a noetherian local ring.

- (i) If $\dim A = 0$, then A is CM.
- (ii) If $\dim A = 1$ and A is an integral domain, then A is CM.

Proof. (i) $0 \leq \text{depth} A \leq \dim A = 0$.

(ii) Take non-zero $0 \in \mathfrak{m}$. Then, A is regular, so $1 \leq \text{depth} A \leq \dim A = 1$. \square

Example (5.4). $\mathbb{Z}/4\mathbb{Z}$ and $\mathbb{C}[x, y]_{(x, y)}/(y^2 - x^3)$ are non-regular CM rings.

Proposition (5.5). Let A be a noetherian local ring. Then, A is CM iff $A/(x_1, \dots, x_n)A$ is CM, where $x_i \in \mathfrak{m}$ is an A -sequence.

Example (5.6). $\mathbb{C}[x_1, \dots, x_n]_{(x_1, \dots, x_n)}/(f)$ is CM for any non-zero $f \in (x_1, \dots, x_n)\mathbb{C}[x_1, \dots, x_n]_{(x_1, \dots, x_n)}$.

Theorem (5.7). Let A be a noetherian local ring. For associated prime \mathfrak{p} of A , $\text{depth} A \leq \dim A/\mathfrak{p}$.

Proof. Induction on $\text{depth} A$. Assume $\text{depth} A > 0$ and fix $\mathfrak{p} \in \text{Ass} A$. Take $x \in \mathfrak{m}$ which is not a zero-divisor such that $x \notin \mathfrak{p}$ since \mathfrak{p} only contains zero-divisors. Let $B := A/xA$. Then, $\text{depth} B = \text{depth} A - 1$ by definition of depth , and $\dim B/\mathfrak{p}B = \dim A/\mathfrak{p} - 1$ by the Krull principal ideal theorem. By induction, $\text{depth} B \leq \dim B/\mathfrak{q}$ for all associated primes $\mathfrak{q} \in \text{Ass} B$. Now it is enough to show the existence of $\mathfrak{q} \in \text{Ass} B$ such that $\mathfrak{p}B \subset \mathfrak{q}$, since it follows that

$$\dim A/\mathfrak{p} - \text{depth} A = \dim B/\mathfrak{p}B - \text{depth} B \geq \dim B/\mathfrak{q} - \text{depth} B \geq 0.$$

Since \mathfrak{p} is an associated prime of A , there is $y \in A$ such that $\mathfrak{p} = \text{Ann}(y) = \ker(A \xrightarrow{y} A)$. Tensoring an exact sequence $0 \rightarrow \mathfrak{p} \rightarrow A \xrightarrow{y} A \rightarrow 0$ with B , we obtain a complex $\mathfrak{p} \otimes_A B \rightarrow B \xrightarrow{\bar{y}} B$. We can show $B \xrightarrow{\bar{y}} B$ is non-zero as follows. If we assume $y \in xA$ so that $y = xy'$ then $\text{Ann}(y) = \text{Ann}(y')$ since x is not a zero-divisor, and it gives rise to an increasing chain of principal ideals with same annihilator, which stops by the noetherian condition. At the stopping stage we have $y'A = yA$, so that $y'A = yA = xy'A \subset \mathfrak{m}(y'A)$, implying $y = 0$ by the Nakayama lemma. Then, $y = x^k \tilde{y}$ with $\tilde{y} \notin xA$ proves that $\bar{y} : B \rightarrow B$ is non-zero.

Since $\mathfrak{p}B$ is the image of $\mathfrak{p} \otimes_A B \rightarrow B$, if we define \mathfrak{q} as a maximal element of $\{\text{Ann}(\bar{z}) : \bar{z} \in B\}$, then it is prime and $\mathfrak{p}B \subset \text{Ann}(\bar{y}) \subset \mathfrak{q}$. \square

Corollary (5.8). Let A be a CM local ring. Then, there is no embedded prime, and $\dim A = \dim A/\mathfrak{p}$ for all minimal primes \mathfrak{p} .

Proof. Let $\mathfrak{p} \in \text{Ass} A$. From the CM we have $\dim A = \text{depth} A$, from (5.7) we have $\text{depth} A \leq \dim A/\mathfrak{p}$, and clearly $\dim A/\mathfrak{p} \leq \dim A$. \square

Example (5.9). $\mathbb{C}[x, y, z]_{(x, y, z)}/(xz, yz)$ is not CM by (5.8) because there are two minimal primes having different dimensions of quotients.

Example (5.10). $\mathbb{C}[x, y]_{(x, y)}/(xy, y^2)$ is not CM by (5.8) because it has an embedded prime.

Proposition (5.11). Let A be a CM local ring. Then, the localization A_p is CM for any prime p .

Proof. Fix $p \in \text{Spec } A$. Induction on $\text{depth } A_p$. Suppose $\text{depth } A_p = 0$. Since depth zero is equivalent to $\bigcup_{q \in \text{Ass } A_p} q = ZD(A_p) = pA_p$, so $pA_p \in \text{Ass}(A_p)$. Note that there is bijection between $\text{Ass}(A_q)$ and $\{q \in \text{Ass } A : q \subset p\}$. It means that p is minimal because A is CM and by (5.8), $\dim A_p = 0$.

Suppose $\text{depth } A_p > 0$. Then, every associated prime $q \in \text{Ass}(A_p)$ has $p \not\subset q$ since if not, then q is minimal from that A is CM we have $p = fq$ so that $\text{depth } A_p \leq \dim A_p = 0$. The prime avoidance we have $p \not\subset \bigcup_{q \in \text{Ass } A} q = ZD(A)$. Take a non-zero-divisor $x \in p$. Then, A/xA is CM, A_p/xA_p is CM, so A_p is CM. \square

Proposition (5.12). Let A be a noetherian local ring and M be a finitely generated A -module. Then, $\text{depth}_A M = \text{depth}_{\hat{A}} \hat{M}$, where the hat notation denotes the \mathfrak{m} -adic completion.

Proof. Since \hat{A} is flat over A , by (5.13), the statement clearly follows from

$$\text{Ext}_A^i(k, M) \otimes_A \hat{A} \cong \text{Ext}_{\hat{A}}^i(k, \hat{M}). \quad \square$$

Exercise (5.13). Let $\varphi : A \rightarrow B$ be a flat ring homomorphism of noetherian rings. Let M and N be finitely generated A -modules. For $i \in \mathbb{Z}_{\geq 0}$,

$$\text{Ext}_A^i(M, N) \otimes_A B \cong \text{Ext}_B^i(M \otimes_A B, N \otimes_A B).$$

Corollary (5.14). Let A be a noetherian local ring. If A is CM, then \hat{A} is CM.

Proof. We have $\dim A = \dim \hat{A}$. We have $\text{depth } A = \text{depth } \hat{A}$ by (5.13). \square

Serre criterion for normality

Theorem (5.15). Let A be a noetherian integral domain. Then, A is integrally closed iff A is R_1 and S_2 , where

- (i) R_1 : A_p is regular(DVR) for all prime p of height one.
- (ii) S_2 : $\min\{2, \dim A_p\} \leq \text{depth } A_p$ for all prime p .

Remark (5.16).

- (a) If A is CM, then S_2 automatically holds.
- (b) If A is regular, then R_1 automatically holds.

Sketch. Our strategy is to show A is integrally closed iff $A = \bigcap_{\text{height } p=1} A_p$ iff A satisfies R_1 and S_2 .

We show if a CM ring A has $\dim A = 2$ and R_1 , then $A = \bigcap_{\text{height } p=1} A_p$.

If we use local cohomology, then clearly follows from $H_m^i(A) = 0$ for $i < \dim A$ and

$$0 \rightarrow H_m^0(A) \rightarrow A \rightarrow \bigcap_{\text{height } p=1} A_p \rightarrow H_m^1(A) \dots \quad \square$$

Example (5.17). For non-zero $f \in \mathbb{C}[x, y, z]$, let $A := \mathbb{C}[x, y, z]/(f)$ be an integral domain. Then, since S_2 is OK, so A is integrally closed iff A has R_1 . By the Jacobian criterion, it is equivalent to $\#\{(a, b, c) \in \mathbb{C}^3 : f = f_x = f_y = f_z = 0\} < \infty$.

Remark (5.18). We can construct a non-CM integral domain A as follows: gluing $(1, 0)$ and $(-1, 0)$ on the plane $\text{Spec } \mathbb{C}[x, y]$. Since A has R_1 , A is integrally closed iff A is CM. More precisely,

$$\begin{array}{ccc} A & \longrightarrow & \mathbb{C} \\ \downarrow & & \downarrow \\ \mathbb{C}[x, y] & \xrightarrow{\pi} & \frac{\mathbb{C}[x, y]}{(x-1, y)} \times \frac{\mathbb{C}[x, y]}{(x+1, y)} \end{array}$$

6 Day 6: November 20

injective modules, injective dimension

Proposition (6.4). *Let M be an abelian group. Then, M is injective iff M is divisible, i.e. for every $x \in M$ and $n \in \mathbb{Z} \setminus \{0\}$, there is $y \in M$ such that $x = ny$.*

Proof. If M is divisible, then $M \leftarrow n\mathbb{Z} \hookrightarrow \mathbb{Z}$ gives $\mathbb{Z} \rightarrow M$.

If M is injective, then $M \leftarrow \mathbb{Z} \hookrightarrow \frac{1}{n}\mathbb{Z}$ gives $\frac{1}{n}\mathbb{Z} \rightarrow M$. □

Example (6.5). \mathbb{Q} and \mathbb{Q}/\mathbb{Z} are injective \mathbb{Z} -modules.

Lemma (6.6). *Let A be a noetherian ring, and M be an A -module. Fix $i \geq 0$. If $\text{Ext}_A^i(A/\mathfrak{p}, M) = 0$ for all prime \mathfrak{p} of A , then $\text{Ext}_A^i(N, M) = 0$ for all finitely generated N .*

Proof. We can consider a chain $\{0\} = N_s \subset \cdots \subset N_0 := N$ such that $N_k/N_{k+1} \cong A/\mathfrak{p}_k$ for $\mathfrak{p}_k \in \text{Spec} A$. Consider the exact sequence $0 \rightarrow N_{k+1} \rightarrow N_k \rightarrow A/\mathfrak{p}_k$. □

Proposition (6.7, a key lemma). *Let A be a noetherian local ring. Let M be a finitely generated A -module. Let $\mathfrak{p} \subsetneq \mathfrak{m}$ be a prime. Fix $i \in \mathbb{Z}_{\geq 0}$. Then, $\text{Ext}_A^{i+1}(A/\mathfrak{q}, M) = 0$ for all prime \mathfrak{q} such that $\mathfrak{p} \subsetneq \mathfrak{q}$, then $\text{Ext}_A^i(A/\mathfrak{p}, M) = 0$.*

Proof. Fix $x \in \mathfrak{m}/\mathfrak{p}$. Then, x is a non-zero-divisor of A/\mathfrak{p} so that $0 \rightarrow A/\mathfrak{p} \rightarrow A/\mathfrak{p} \rightarrow A/(\mathfrak{p} + Ax) \rightarrow 0$ is exact. Take $\text{Hom}_A(-, M)$ to get

$$\text{Ext}_A^i(A/\mathfrak{p}, M) \rightarrow \text{Ext}_A^i(A/\mathfrak{p}, M) \rightarrow \text{Ext}_A^{i+1}(A/(\mathfrak{p} + Ax), M) = 0,$$

so $\text{Ext}_A^i(A/\mathfrak{p}, M) = x \text{Ext}_A^i(A/\mathfrak{p}, M) \subset \mathfrak{m} \text{Ext}_A^i(A/\mathfrak{p}, M)$. By the Nakayama lemma, $\text{Ext}_A^i(A/\mathfrak{p}, M) = 0$. □

Theorem (6.8). *Let A be a noetherian local ring. Let M be a finitely generated A -module. Then, $\text{id}_A(M) = \sup\{i : \text{Ext}_A^i(k, M) \neq 0\}$.*

Proof. Let s be the right hand-side. It is easy to see $\text{id}_A(M) \geq s$.

Suppose $\text{Ext}_A^{\geq s+1}(A/\mathfrak{m}, M) = 0$. Then, $\text{Ext}_A^{\geq s}(A/\mathfrak{p}, M) = 0$ for \mathfrak{p} with $\text{ht } \mathfrak{p} = \text{ht } \mathfrak{m} - 1$ by (6.7), and $\text{Ext}_A^{\geq s+1}(A/\cdot, M) = 0$ for all $\text{ht } \cdot \geq \text{ht } \mathfrak{m} - 1$, and by repetition $\text{Ext}_A^{\geq s+1}(A/\mathfrak{p}, M) = 0$ for all primes \mathfrak{p} . By (6.9), $\text{id}_A(M) \leq s$. □

Lemma (6.9). *Let A be a noetherian ring, M a finitely generated A -module. Fix $n \in \mathbb{Z}_{\geq 0}$. TFAE*

- (a) $\text{id}_A(M) \leq n$,
- (b) $\text{Ext}_A^{n+1}(A/\mathfrak{p}, M) = 0$ for all $\mathfrak{p} \in \text{Spec} A$,
- (c) $\text{Ext}_A^r(N, M) = 0$ for all finitely generated N and $r \geq n + 1$.

Proof. Similar to projective and flat dimensions. □

Gorestein rings

Theorem (6.10). *Let A be a noetherian local ring. TFAE*

- (a) $\text{id}_A(A) < \infty$.
- (b) $\text{id}_A(A) = \dim A = \text{depth } A$.
- (c) $\text{Ext}_A^i(k, A) = 0$ iff $i \neq \dim A$.

The noetherian local ring A is called Gorenstein if one of the above holds.

Proof. Since (b) \Rightarrow (a) and (c) \Rightarrow (a), we assume (a). Recall that $\text{id}_A(A) = \sup\{i : \text{Ext}_A^i(k, A) \neq 0\}$ by (6.8) and $\text{depth}(A) = \min\{i : \text{Ext}_A^i(k, A) \neq 0\}$. Also we have $\text{depth}A \leq \dim A \leq \text{id}_A(A)$. $\text{Ext}_A^i(k, A) = 0$ if $i > \text{id}_A(A)$ or $i < \text{depth}(A)$.

It follows from (6.12). \square

Exercise (6.11). Find a zero-dimensional non-Gorenstein noetherian local ring.

Theorem (6.12). Let A be a noetherian local ring.

(a) $\dim A \leq \text{id}_A(A)$.

(b) If $\text{id}_A(A) < \infty$, then $\text{depth}(A) = \text{id}_A(A)$.

Proof. (a) Enough to show $\text{Ext}_A^{\dim A}(k, A) \neq 0$ using induction on $\dim A$. If $\dim A = 0$, then $\text{Hom}_A(k, A) \neq 0$. Assume $d := \dim A > 0$. Fix $\mathfrak{p} \subsetneq \mathfrak{m}$ such that $\text{ht } \mathfrak{p} = \text{ht } \mathfrak{m} - 1 = d - 1$. $\text{Ext}_{A_{\mathfrak{p}}}^{d-1}(k(\mathfrak{p}), A_{\mathfrak{p}}) \neq 0$ by induction hypothesis, which is same with $\text{Ext}_A^{d-1}(A/\mathfrak{p}, A)_{\mathfrak{p}} \neq 0$, and $\text{Ext}_A^d(A/\mathfrak{m}, A) \neq 0$ by (6.7).

(b) We claim $\text{id}_A(A) \leq \text{depth}A$. Let $B := A/(x_1, \dots, x_{\text{depth}A})$, where $x_1, \dots, x_{\text{depth}A}$ is a A -regular sequence. Since $\text{id}(B) = \text{id}(A) - \text{depth}A < \infty$ and $\text{depth}B = 0$ by (6.13), enough to show $\text{id}(B) = 0$. Taking $\text{Hom}_B(-B)$ on an exact sequence $0 \rightarrow k \rightarrow B \rightarrow C \rightarrow 0$, we have an exact sequence $\text{Ext}_B^i(B, B) \rightarrow \text{Ext}_B^i(k, B) \rightarrow \text{Ext}_B^{i+1}(C, B) = 0$, where $i := \text{id}(B)$, by (6.7). Thus, $\text{Ext}_B^i(B, B) \neq 0$, so $i = 0$. \square

Proposition (6.13). Let A be a noetherian local ring, M a finitely generated A -module. Let $x \in \mathfrak{m}$ be a non-zero-divisor of A and M . Then, $\text{id}_A(M) = \text{id}_{A/xA}(M/xM) + 1$.

Proof. Omitted. \square