

# $C^*$ -Algebras

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May 16, 2024

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**Part I**

**Constructions**

# Chapter 1

## Completely positive maps

### 1.1 Operator spaces

### 1.2 Operator systems

1.1 (Choi-Effros characterization).

1.2 (Von Neumann inequality).

The set  $M_n(A)^+$  is linearly spanned by elements of the form  $[a_i^* a_j] \in M_n(A)$  for  $[a_i] \in A^n$ . A linear map  $\varphi : A \rightarrow B$  is completely positive if

$$\varphi(a_i^* a_j)$$

1.3 ( $n$ -positive maps). Let  $S$  be an operator space. Let  $A$  and  $B$  be  $C^*$ -algebras.

- (a) (Cauchy-Schwarz inequality) If  $\varphi : A \rightarrow B$  is a 2-positive map, then  $\lim_\alpha \|\varphi(e_\alpha)\| = \|\varphi\|$  for any approximate unit  $(e_\alpha)$  of  $A$ , and

$$\varphi(a)^* \varphi(a) \leq \|\varphi\| \varphi(a^* a), \quad a \in A.$$

- (b) (Multiplicative domain) Let  $\varphi : A \rightarrow B$  be a 4-positive map with  $\|\varphi\| = 1$ . If  $a \in A$  satisfies  $\varphi(a)^* \varphi(a) = \varphi(a^* a)$ , then  $\varphi(b) \varphi(a) = \varphi(ba)$  for all  $b \in A$ . In particular, if  $\varphi : B \rightarrow C$  is an extension of a  $*$ -homomorphism  $\pi : A \rightarrow C$ , then  $\varphi(ab) = \pi(a) \varphi(b)$  and  $\varphi(ba) = \varphi(b) \pi(a)$  for  $a \in A$  and  $b \in B$ .

*Proof.* (a) It suffices to show

$$\varphi(a)^* \varphi(a) \leq \lim_\alpha \|\varphi(e_\alpha)\| \varphi(a^* a), \quad a \in A,$$

since

$$\frac{\|\varphi(a)\|^2}{\|a\|^2} \leq \lim_\alpha \|\varphi(e_\alpha)\| \frac{\|\varphi(a^* a)\|}{\|a^* a\|}$$

implies  $\|\varphi\|^2 \leq \lim_\alpha \|\varphi(e_\alpha)\| \|\varphi\|$ . Suppose  $B$  acts on a Hilbert space  $H$  non-degenerately and faithfully. Since  $\varphi$  is 2-positive, we have

$$\begin{pmatrix} \varphi(e_\alpha^2) & \varphi(e_\alpha a) \\ \varphi(a^* e_\alpha) & \varphi(a^* a) \end{pmatrix} = \varphi^{(2)} \left( \begin{pmatrix} e_\alpha^2 & e_\alpha a \\ a^* e_\alpha & a^* a \end{pmatrix} \right) = \varphi^{(2)} \left( \begin{pmatrix} e_\alpha & a \\ 0 & 0 \end{pmatrix}^* \begin{pmatrix} e_\alpha & a \\ 0 & 0 \end{pmatrix} \right) \geq 0,$$

and it is equivalent to

$$\langle \varphi(e_\alpha^2) \xi, \xi \rangle + 2 \operatorname{Re} \langle \varphi(e_\alpha a) \eta, \xi \rangle + \langle \varphi(a^* a) \eta, \eta \rangle \geq 0, \quad \xi, \eta \in H, \quad a \in A.$$

We put  $\xi := -(\|\varphi(e_\alpha)\| + \varepsilon)^{-1} \varphi(e_\alpha a) \eta$  for  $\varepsilon > 0$  to get

$$\varphi(e_\alpha a)^* \varphi(e_\alpha a) \leq \varphi(e_\alpha a)^* [2 - (\|\varphi(e_\alpha)\| + \varepsilon)^{-1} \varphi(e_\alpha^2)] \varphi(e_\alpha a) \leq (\|\varphi(e_\alpha)\| + \varepsilon) \varphi(a^* a)$$

We have the desired inequality by taking limits for  $\alpha$  and  $\varepsilon$ .

(b) Since the second inflation  $\varphi^{(2)}$  is 2-positive, we may write the Cauchy-Schwarz inequality

$$\varphi^{(2)} \left( \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \right)^* \varphi^{(2)} \left( \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \right) \leq \varphi^{(2)} \left( \begin{pmatrix} a^* a & a^* b \\ b^* a & b^* b \end{pmatrix} \right),$$

so

$$\begin{pmatrix} 0 & \varphi(a^* b) - \varphi(a^*) \varphi(b) \\ \varphi(b^* a) - \varphi(b^*) \varphi(a) & \varphi(b^* b) - \varphi(b^*) \varphi(b) \end{pmatrix} \geq 0,$$

which implies  $\varphi(b^* a) - \varphi(b^*) \varphi(a) = 0$  for any  $b \in A$ .

Note that  $\|\pi\| = 1$  if  $\pi$  is not trivial. Using the above argument for  $a$  and  $a^*$ , we are done.  $\square$

**1.4 (Russo-Dye theorem).** If  $C(X) \rightarrow B$  is positive, then it is c.p.

**1.5 (Completely positive maps for matrix algebras).** Let  $A$  be a  $C^*$ -algebra.

(a) Choi matrix

(b) There is a one-to-one correspondence

$$\text{CP}(M_n(\mathbb{C}), A) \rightarrow M_n(A)_+ : \varphi \mapsto [\varphi(e_{ij})].$$

(c) Let  $A$  be unital. There is a one-to-one correspondence

$$\text{CP}(A, M_n(\mathbb{C})) \rightarrow M_n(A)_+^* : \varphi \mapsto (s_\varphi : [a_{ij}] \mapsto \sum_{i,j} \langle \varphi(a_{ij}) e_j, e_i \rangle).$$

(d) The above correspondences are (maybe?) isometric if we endow the complete norm on CP.

*Proof.* (b)

$\square$

## 1.3 Dilations and Extensions

A linear map  $\varphi : A \rightarrow B(H)$  is completely positive if and only if

$$\sum_{i,j} \langle \varphi(a_i^* a_j) \xi_j, \xi_i \rangle \geq 0, \quad (a_i) \in A^n, (\xi_i) \in H^n.$$

**1.6 (Stinespring dilation).** Let  $A$  be a  $C^*$ -algebra and  $\varphi : A \rightarrow B(H)$  be a c.p. map. A *Stinespring dilation* of  $\varphi$  is a pair  $(\pi, V)$  of a representation  $\pi : A \rightarrow B(K)$  and a bounded linear operator  $V : H \rightarrow K$  such that  $\varphi(a) = V^* \pi(a) V$  for  $a \in A$ .

$$\begin{array}{ccc} & B(K) & \\ \pi \uparrow & \searrow V^* \cdot V & \\ A & \xrightarrow{\varphi} & B(H) \end{array}$$

(a)  $\varphi$  has a Stinespring dilation  $(\pi, V)$  such that  $\overline{\pi(A) V H} = K$ .

(b) For a non-degenerate Stinespring dilation  $(\pi, V)$  of  $\varphi$ , the operator  $V$  is an isometry if and only if  $\sup_\alpha \varphi(e_\alpha) = 1$ .

*Proof.* (a) As we have done in the construction of the GNS representation, define a sesquilinear form on the algebraic tensor product  $A \odot H$  such that

$$\langle a \otimes \xi, b \otimes \eta \rangle := \langle \varphi(b^*a)\xi, \eta \rangle, \quad a \otimes \xi, b \otimes \eta \in A \odot H.$$

It is positive semi-definite since the complete positivity of  $\varphi$  implies

$$\left\langle \sum_j a_j \otimes \xi_j, \sum_i a_i \otimes \xi_i \right\rangle = \sum_{i,j} \langle \varphi(a_i^* a_j) \xi_j, \xi_i \rangle \geq 0, \quad a_i \otimes \xi_i \in A \odot H.$$

Then, we obtain a Hilbert space  $K := \overline{A \odot H / N}$ , where  $N := \{\eta \in A \odot H : \langle \eta, \eta \rangle = 0\}$ . The above construction of a Hilbert space is sometimes called the separation and completion.

Define  $\pi : A \rightarrow B(K)$  such that

$$\pi(a)(b \otimes \eta + N) := ab \otimes \eta + N, \quad a \in A, \quad b \otimes \eta + N \in K,$$

and  $V : H \rightarrow K$  such that

$$\langle V\xi, b \otimes \eta + N \rangle := \langle \varphi(b^*)\xi, \eta \rangle, \quad \xi \in H, \quad b \otimes \eta + N \in K.$$

The operator  $V$  is well-defined by the Cauchy-Schwarz inequality

$$\begin{aligned} |\langle \varphi(b^*)\xi, \eta \rangle|^2 &= |\langle \xi, \varphi(b)\eta \rangle|^2 \leq \|\xi\|^2 \langle \varphi(b^*)\varphi(b)\eta, \eta \rangle \\ &\leq \|\xi\|^2 \|\varphi\| \langle \varphi(b^*b)\eta, \eta \rangle = \|\xi\|^2 \|\varphi\| \|b \otimes \eta + N\|^2. \end{aligned}$$

Then, we can check  $\pi(a)V\xi = a \otimes \xi + N$  for  $a \in A$  and  $\xi \in H$  from

$$\begin{aligned} \langle \pi(a)V\xi, b \otimes \eta + N \rangle &= \langle V\xi, a^*b \otimes \eta + N \rangle = \langle \varphi(b^*a)\xi, \eta \rangle \\ &= \langle a \otimes \xi + N, b \otimes \eta + N \rangle, \quad b \otimes \eta + N \in K, \end{aligned}$$

so it follows that  $V^*\pi(a)V = \varphi(a)$  for  $a \in A$  from

$$\langle V^*\pi(a)V\xi, \eta \rangle = \langle V\xi, a^* \otimes \eta + N \rangle = \langle \varphi(a)\xi, \eta \rangle, \quad \xi, \eta \in H,$$

and the condition  $\overline{\pi(A)VH} = K$ .

□

**1.7 (Voiculescu theorem).** Let  $A$  be a unital  $C^*$ -algebra. Let  $\pi : A \rightarrow B(K)$  be a faithful non-degenerate representation and  $\varphi : A \rightarrow B(H)$  be a u.c.p. map. Suppose further that  $\varphi|_{\pi^{-1}(K(K))} = 0$ .

When do we need the faithfulness of  $\pi$ ? When do we need the unitality of  $\varphi$ ? When do we need the separability of  $A$ ?

- (a)  $\varphi$  is weakly\* approximated by vector states, if  $H$  is one-dimensional. (Glimm)
- (b)  $\varphi$  is approximated by isometry conjugations in  $L(A, B(H))$ , if  $H$  is finite-dimensional. (?)
- (c)  $\varphi$  is approximated by isometry conjugations in  $\varphi + L(A, K(H))$ , if  $H, K$  are separable.

*Proof.* (a) Hahn-Banach separation and Weyl-von Neumann theorem.

(b) correspondence for c.p. maps to matrix algebras.

(c) quasi-central approximate unit and block diagonal c.p. maps.

□

**1.8 (Arveson extension).** Let  $A \subset B$  be  $C^*$ -algebras. Let  $\varphi : A \rightarrow B(H)$  be a c.p. map and consider the following diagram:

$$\begin{array}{ccc} & B & \\ \uparrow & \searrow \tilde{\varphi} & \\ A & \xrightarrow{\varphi} & B(H). \end{array}$$

- (a) The norm preserving c.p. extension  $\tilde{\varphi}$  of  $\varphi$  exists if  $B$  is unital and  $1_B \in A$ .
- (b) The norm preserving c.p. extension  $\tilde{\varphi}$  of  $\varphi$  exists if  $\mathcal{A}$  is unital and  $B = A \oplus \mathbb{C}$ .
- (c) The norm preserving c.p. extension  $\tilde{\varphi}$  of  $\varphi$  exists if  $\mathcal{A}$  is non-unital and  $B = \tilde{\mathcal{A}}$ .
- (d) The norm preserving c.p. extension  $\tilde{\varphi}$  of  $\varphi$  always exists.

**1.9** (Representation extension). Let  $I$  be a left ideal of a  $C^*$ -algebra  $B$ . For a representation  $\pi : I \rightarrow B(H)$ , there is a representation  $\tilde{\pi} : B \rightarrow B(H)$  which extends  $\pi$ . If  $\pi$  is non-degenerate, the extension is unique and  $\pi(e_\alpha b) \rightarrow \tilde{\pi}(b)$  and  $\pi(b e_\alpha) \rightarrow \tilde{\pi}(b)$  strongly for  $b \in B$ , where  $e_i$  is an approximate unit of  $I$ . The same holds for Hilbert module representations.

*Proof.* We may assume  $\pi$  is non-degenerate by replacing  $H$  to  $\overline{\pi(I)H}$ . Define  $\tilde{\pi} : B \rightarrow B(H)$  such that

$$\tilde{\pi}(b)(\pi(a)\xi) := \pi(ba)\xi, \quad a \in I, \xi \in H.$$

The well-definedness is from

$$\|\pi(ba)\xi\|^2 = \langle \pi(a^* b^* ba)\xi, \xi \rangle \leq \|b\|^2 \langle \pi(a^* a)\xi, \xi \rangle = \|b\|^2 \|\pi(a)\xi\|^2.$$

It is clearly a  $*$ -homomorphism and extends  $\pi$ .

For the uniqueness, if  $\pi$  is non-degenerate and  $\tilde{\pi}$  is a  $*$ -homomorphism which extends  $\pi$ , then

$$\tilde{\pi}(b)(\pi(a)\xi) = \tilde{\pi}(b)\tilde{\pi}(a)\xi = \tilde{\pi}(ba)\xi = \pi(ba)\xi,$$

which is unique by the density of  $\pi(I)H$  in  $H$ . □

extension of representations for ideals

unique extension of c.p. maps for hereditary subalgebras.

## 1.4 Tensor products

**1.10** (Maximal tensor products). Let  $A$  and  $B$  be  $C^*$ -algebras.

- (a) (restrictions) A commuting pair of  $*$ -homomorphisms  $\pi : A \rightarrow B(H)$  and  $\pi' : B \rightarrow B(H)$  corresponds to a  $*$ -homomorphism  $\Pi : A \otimes B \rightarrow B(H)$  via the relation  $\Pi(a \otimes b) = \pi(a)\pi'(b)$ .
- (b)  $A \otimes B$  admits a  $*$ -representation and every norms induced from these  $*$ -representations are uniformly bounded. So, we can define a maximal tensor norm on  $A \otimes B$ .
- (c)  $a \otimes - : B \rightarrow A \otimes B$  is a bounded linear map for each  $a \in A$  with respect to any  $C^*$ -norm on  $A \otimes B$ . [BO, 3.2.5]

**1.11** (Minimal tensor product). spatiality

**1.12** (Takesaki theorem).

Tensors with  $M_n(\mathbb{C})$ ,  $C_0(X)$ .

**1.13** (Haagerup tensor product).

Trick



## Exercises

**1.14.** Let  $A$  be a hereditary  $C^*$ -subalgebra of a  $C^*$ -algebra  $B$  and let  $b \in B_+$ . If for any  $\varepsilon > 0$  there is  $a \in A_+$  such that  $b - a \leq \varepsilon$ , then  $b \in A$ .

*Proof.* For  $a \in A_+$  satisfying  $b \leq a + \varepsilon \leq (a^{\frac{1}{2}} + \varepsilon^{\frac{1}{2}})^2$ , define

$$a_\varepsilon := a^{\frac{1}{2}}(a^{\frac{1}{2}} + \varepsilon^{\frac{1}{2}})^{-1}ba^{\frac{1}{2}}(a^{\frac{1}{2}} + \varepsilon^{\frac{1}{2}})^{-1} \in A.$$

Then,

$$\|b^{\frac{1}{2}} - b^{\frac{1}{2}}a^{\frac{1}{2}}(a^{\frac{1}{2}} + \varepsilon^{\frac{1}{2}})^{-1}\|^2 = \varepsilon\|(a^{\frac{1}{2}} + \varepsilon^{\frac{1}{2}})^{-1}b(a^{\frac{1}{2}} + \varepsilon^{\frac{1}{2}})^{-1}\| \leq \varepsilon.$$

Thus  $a_\varepsilon \rightarrow b$  in norm as  $\varepsilon \rightarrow 0$ . □

## Chapter 2

# Hilbert modules

### 2.1 Hilbert modules

**2.1 (Banach modules).** Let  $A$  be a Banach algebra. A *Banach  $A$ -module* is a Banach space  $E$  which is a  $A$ -module such that the action is bounded.

(a) (Cohen factorization theorem) If  $A$  has a left approximate unit, then  $AE$  is closed in  $E$ .

*Proof.* Suppose  $\xi \in \overline{AE}$ . We will construct a sequence  $a_n$  in the unitization  $\tilde{A}$  such that  $a_n^{-1}\xi$  and  $a_n$  are both Cauchy in  $E$  and  $\tilde{A}$  respectively, but the limit of  $a_n$  is in  $A$ . In order for this, we first need to check  $a_n^{-1} \in \tilde{A} \setminus A$  can act on  $E$ , which is easy anyway.

Let  $a_0 = 1 \in \tilde{A}$  and suppose we have defined  $a_n \in \tilde{A}$  such that  $\|1 - a_n\| \leq 1 - 2^{-n}$ . Since  $\xi \in \overline{AE}$ , we have  $b\eta \in AE$  such that  $\|\xi - b\eta\| < 2^{-(3n+1)}$ . Since  $A$  has an approximate unit, we have  $e_n \in A$  such that  $\|e_n\| \leq 1$ ,  $\|1 - e_n\| \leq 1$  (really?), and  $\|(1 - e_n)a_n^{-1}b\|\|\eta\| < 2^{-(2n+1)}$ . Now inductively define

$$a_{n+1} := a_n - 2^{-(n+1)}(1 - e_n) \in \tilde{A}.$$

Since  $\|1 - a_{n+1}\| \leq 1 - 2^{-(n+1)}$ , every term in the sequence  $a_n$  is invertible such that  $\|a_n^{-1}\| \leq 2^n$ .

Then, we can check  $a_n$  converges to an element of  $A$  because

$$a_n = a_0 + \sum_{k=1}^n 2^{-k}(1 - e_{k-1}) \rightarrow \sum_{k=1}^{\infty} 2^{-k}e_{k-1}.$$

We can also check that  $a_n^{-1}\xi$  is Cauchy because the identity

$$a_{n+1}^{-1} - a_n^{-1} = a_{n+1}^{-1}(a_n - a_{n+1})a_n^{-1} = 2^{-(n+1)}a_{n+1}^{-1}(1 - e_n)a_n^{-1}$$

is applied to get

$$\begin{aligned} \|(a_{n+1}^{-1} - a_n^{-1})\xi\| &\leq \|a_{n+1}^{-1} - a_n^{-1}\|\|\xi - b\eta\| + \|(a_{n+1}^{-1} - a_n^{-1})b\|\|\eta\| \\ &\leq 2^{-(n+1)}\|a_{n+1}^{-1}\|\|a_n^{-1}\|\|\xi - b\eta\| + 2^{-(n+1)}\|a_{n+1}^{-1}\|\|(1 - e_n)a_n^{-1}b\|\|\eta\| \\ &\leq 2^{-(n+1)} \cdot 2^{n+1} \cdot 2^n \cdot 2^{-(3n+1)} + 2^{-(n+1)} \cdot 2^{n+1} \cdot 2^{-(2n+1)} \\ &\leq 2^{-(2n+1)} + 2^{-(2n+1)} = 2^{-2n}. \end{aligned}$$

It implies that there is  $\zeta \in E$  such that  $a_n^{-1}\xi \rightarrow \zeta$  and  $\|a_n^{-1}\xi - \zeta\| \leq 2^{-(2n-1)}$ .

Then,

$$\|\xi - a\zeta\| \leq \|a_n\|\|a_n^{-1}\xi - \zeta\| + \|a_n - a\|\|\zeta\| \leq 2^{-(n-1)} + 2^{-n}\|\zeta\|$$

deduces that  $\xi = a\zeta$ . □

**2.2 (Finsler modules).** Let  $A$  be a  $C^*$ -algebra.

**2.3 (Hilbert modules).** Let  $B$  be a  $C^*$ -algebra. A *right Hilbert  $B$ -module* or simply a *Hilbert  $B$ -module* is a right module  $E$  over the complex algebra  $B$  which is not involutive, together with a map  $\langle -, - \rangle : E \times E \rightarrow B$  such that for  $\xi, \eta \in E$  and  $b \in B$  we have

- (i)  $\langle \xi, \xi \rangle \geq 0$  and  $\langle \xi, \xi \rangle = 0$  if and only if  $\xi = 0$ ,
- (ii)  $\langle \eta, \xi b \rangle = \langle \eta, \xi \rangle b$ ,
- (iii)  $\langle \eta, \xi \rangle^* = \langle \xi, \eta \rangle$ ,

and  $E$  is Banach with respect to the norm  $\|\xi\| := \|\langle \xi, \xi \rangle\|^{\frac{1}{2}}$ . The map  $\langle -, - \rangle$  is called the  *$B$ -valued inner product*. It is a non-commutative analogue of Hermitian bundles. Even though the complex scalars act on  $E$  from right in the rigorous sense, we will frequently write the scalar multiplication at left.

- (a) The right action by  $b$  is bounded and the norm coincides with  $B$ . It does not preserve the involutions and is not adjointable in general.
- (b) The right action is always non-degenerate. In particular, it follows that  $\xi 1 = \xi$  for  $\xi \in E$  if  $A$  is unital.
- (c) The right action is faithful if and only if  $E$  is full, i.e. the ideal  $\langle E, E \rangle$  of  $A$  is dense in  $A$ .
- (d) Examples:  $B$  itself,  $B^n$ ,  $\ell^2(\mathbb{N}, B)$ , etc.
- (e) direct sum, tensor product, localization

*Proof.* (c) Consider the approximate unit  $e_i$  of  $\langle E, E \rangle$ . Then, we can show  $\xi e_i \rightarrow \xi$  in  $E$  for each  $\xi \in E$ , so  $EB$  is dense in  $E$ .  $\square$

**2.4 (Adjointable and compact operators).** Let  $E$  and  $F$  be Hilbert  $B$ -modules over a  $C^*$ -algebra  $B$ . An operator  $T : E \rightarrow F$  is called an *adjointable operator* if there is an operator  $T^* : F \rightarrow E$  such that  $\langle T\xi, \eta \rangle = \langle \xi, T^*\eta \rangle$  for all  $\xi \in E$  and  $\eta \in F$ , and called *compact* if it is a norm limit of adjointable operators of the form  $\theta_{\eta, \xi} : E \rightarrow F$  with  $\xi \in E$  and  $\eta \in F$ , where  $\theta_{\eta, \xi} := \eta \langle \xi, - \rangle$ , which has an adjoint  $\theta_{\xi, \eta}$ . The Banach spaces of all adjointable and compact operators  $E \rightarrow F$  are denoted by  $B(E, F)$  and  $K(E, F)$  respectively, and these will not be used in the sense of Banach spaces.

- (a) An adjointable operator is a bounded  $B$ -module map.
- (b)  $K(E)$  is a closed essential ideal of a  $C^*$ -algebra  $B(E)$ .
- (c)

*Proof.* The  $B$ -linearity is clear. The boundedness follows from the uniform boundedness principle.  $\square$

**2.5 (Weak topologies for Hilbert modules).** Let  $E$  and  $F$  be Hilbert  $B$ -modules for a  $C^*$ -algebra  $B$ . The *strict topology* refers to the strong\* operator topology of  $B(E)$ .

On the trivial Hilbert  $B$ -module  $B$ ,  $b_i \rightarrow 0$  strictly iff  $b_i, b_i^* \rightarrow 0$  weakly. If  $B$  is unital, the strict topology on  $B$  and the norm topology coincide. An adjointable operator is weakly continuous.

On Hilbert modules:

- polarization identity? OK,

$$\langle \eta, \xi \rangle = \frac{1}{4} \sum_{k=0}^3 i^k \langle \xi + i^k \eta, \xi + i^k \eta \rangle$$

- unbounded adjointable operators and spectral theory?
- polar decomposition? especially for unbounded adjointable operators?

- bounded sesquilinear form?
- Riesz representation? OK for adjointable operator  $l : E \rightarrow B$ , there is  $\eta := l^*1$  (The classical Riesz representation states that every bounded linear functional is automatically adjointable in the sense of Hilbert  $\mathbb{C}$ -modules)
- alaoglu?
- uniform boundedness principle?
- 

**2.6 (Multiplier algebra).** Four descriptions for a multiplier algebra: double centralizers vs essential ideal vs multipliers in von Neumann algebra vs Hilbert module

1. Let  $B$  be a  $C^*$ -algebra. A *double centralizer* of  $B$  is a pair  $(L, R)$  of bounded linear maps on  $B$  such that  $aL(b) = R(a)b$  for all  $a, b \in B$ . The *multiplier algebra*  $M(B)$  of  $B$  is defined to be the set of all double centralizers of  $B$ . There is another characterization of  $M(B)$  as the set of adjointable operators to itself. Even if the notation  $B(B)$  may cause confusion, we can write  $M(B)$  to avoid this.

2. An ideal  $I$  of  $B$  is called an *essential* if it is a full Hilbert  $B$ -submodule of  $B$ .

Every  $C^*$ -algebra  $A$  is a correspondence over  $M(A)$ .

- (a)  $\|\pi(a - e_\alpha a)\xi\|^2$
- (b) If  $a_\alpha$  are unitary, the convergences in the strict topology and the weak topology(how to define this?) coincide.
- (c) If  $a_\alpha$  are increasing, the convergences in the strict topology and the weak topology(how to define this?) coincide.
- (d)  $M(K(E)) \cong B(E)$ .
- (e)  $M(C_0(\Omega)) \cong C_b(\Omega)$ .

*Proof.* First we claim  $C_0(\Omega)$  is an essential ideal of  $C_b(\Omega)$ . Since  $C_b(\Omega) \cong C(\beta\Omega)$ , and since closed ideals of  $C(\beta\Omega)$  are corresponded to open subsets of  $\beta\Omega$ ,  $C_0(\Omega) \cap J$  is not trivial for every closed ideal  $J$  of  $C_b(\Omega)$ .

Now we have an injective  $*$ -homomorphism  $C_b(\Omega) \rightarrow M(C_0(\Omega))$ , for which we want to show the surjectivity. Let  $g \in M(C_0(\Omega))_+$ .

□

characterization in an inclusion into a von Neumann algebra.

relations between Hilbert  $B(H)$ -modules and representations

**2.7.**  $C^*$ -algebras together with a non-degenerate representation  $C_0(X) \rightarrow Z(M(A))$ .

**2.8** (Dauns-Hoffman theorem).

**2.9.**

- (a)  $LCH_{\text{prop}}$  is equivalent to  $CC^*Alg_{\text{nondeg}}$ .
- (b)  $CH_*$  is equivalent to  $CC^*Alg$ .
- (c)  $LCH$  is equivalent to  $CC^*Alg_{\text{mor}}$ .

? ... ( ) 2: , .. ?

## 2.2 C\*-correspondences

**2.10** (C\*-correspondences). Let  $A$  and  $B$  be C\*-algebras. A C\*-correspondence, C\*-bimodule, or just simply a *correspondence* over  $A$  and  $B$ , or from  $A$  to  $B$ , is a Hilbert  $B$ -module  $E$  together with a \*-homomorphism  $\varphi : A \rightarrow M(B)$ , called the *left action*. We say  $E$  is *faithful* or *non-degenerate* if the left action is faithful or non-degenerate, respectively.

- (a) If  $\varphi : A \rightarrow M(B)$  is a unital completely positive map, then we can construct a natural correspondence  $E$  from  $A$  to  $B$  by mimicking the GNS construction on  $A \odot B$ .
- (b) If  $\varphi : A \rightarrow M(B)$  is a non-degenerate \*-homomorphism,  $\varphi \in \text{Mor}(A, B)$  in other words, then we can associate a canonical  $A$ - $B$ -correspondence  $B$  such that the left action is realized with  $\varphi$ . More precisely,  $\iota : E \rightarrow B : a \otimes b \mapsto \varphi(a)b$  provides a well-defined linear isomorphism (surjectivity follows from the density of  $\varphi(A)B$  in  $B$  and the Cohen factorization theorem) and the two actions on  $E$  is described by  $\iota(a\xi b) = \varphi(a)\iota(\xi)b$ .

**2.11** (Pimsner construction). C\*-correspondences over  $A$  can be interpreted as a generalized automorphism on  $A$ , and the Pimsner construction defines a new C\*-algebra generated by the generalized cyclic action provided by a C\*-correspondence. Let  $E$  be a C\*-correspondence over a C\*-algebra  $A$ . Let  $B$  be a C\*-algebra and see it as a trivial C\*-correspondence over  $B$ . A *Toeplitz representation* of  $E$  on  $B$  is a pair  $(\pi, \tau)$  of a \*-homomorphism  $\pi : A \rightarrow B$  and a linear map  $\tau : E \rightarrow B$  such that

$$\pi(\langle \xi, \eta \rangle) = \tau(\xi)^* \tau(\eta), \quad \tau(\varphi(a)\xi) = \pi(a)\tau(\xi).$$

We define the *Katsura ideal*

$$J(E) := \varphi^{-1}(K(E)) \cap \varphi^{-1}(0)^\perp.$$

We say a Toeplitz representation of  $E$  is *covariant* if

$$\psi(\varphi(a)) = \pi(a), \quad a \in J(E).$$

- (a) Let  $(A, \mathbb{Z}, \alpha)$  be a C\*-dynamical system and consider the canonical C\*-correspondence  $A$  over  $A$  with the left action  $\varphi := \alpha_1 \in \text{Aut}(A) \subset \text{Mor}(A)$ . This correspondence is full, faithful, and non-degenerate. Note that also we have  $J(A) = \varphi^{-1}(A) \cap A = A$ . If  $(\pi, \tau)$  is an any representation of this C\*-correspondence  $A$  on  $B$ , then

How can we describe representations of C\*-correspondence  $A$  with left action  $\varphi \in \text{Aut}(A)$  in terms of covariant representations of the C\*-dynamical system  $(A, \mathbb{Z}, \alpha)$  with  $\alpha_n = \varphi^n$ ?

as a morphism sub and quotient, direct sum, tensor product,  
 Toeplitz-Cuntz Toeplitz-Pimsner Cuntz-Pimsner Cuntz-Krieger  
 Subproduct systems

## 2.3 Morita equivalence

Induced representations?

## Chapter 3

# Constructions

### 3.1 Categorical constructions

inverse limits: direct sum, direct product, restricted direct sum, locally  $C^*$ -algebras.

Infinite direct sums and direct products are ill-behaved in the category of  $C^*$ -algebras. An infinite direct sum must be interpreted as complete Hausdorff spaces, not a pointed compact Hausdorff space. For example, after adding a base point, the spectrum of  $\bigoplus_{i=1}^{\infty} C_0(\mathbb{R})$  corresponds to the Hawaiian earring, and the spectrum of  $\prod_{i=1}^{\infty} C_0(\mathbb{R})$  corresponds to the Stone-Ćech compactification of the infinite wedge of circles. We cannot describe the infinite wedge of circles in terms of  $C^*$ -algebras, so we need locally  $C^*$ -algebras.

direct limits: filtered limits, tensor products, free products, amalgamated free products.

**3.1** (Locally  $C^*$ -algebras). A *locally  $C^*$ -algebra* is a complete topological  $*$ -algebra whose topology is generated by  $C^*$ -semi-norms. We adopt the convention that a *homomorphism* between locally  $C^*$ -algebras means a continuous  $*$ -homomorphism.

- (a) A topological  $*$ -algebra is a locally  $C^*$ -algebra if and only if it is an inverse limit of unital  $C^*$ -algebras.

*Proof.* (a) Let  $A$  be a locally  $C^*$ -algebra. The set of continuous  $C^*$ -seminorms on  $A$  is a directed set. Construct an inverse system... Since every  $C^*$ -algebra is a maximal ideal of a unital  $C^*$ -algebra of codimension one, we may assume that the objects in this inverse system is unital... Also, elements of  $A$  are represented by coherent sequences.  $\square$

### 3.2 Crossed products

**3.2** (Group algebras). Let  $G$  be a locally compact group.

type I, subhomogeneous

crystallographic discrete heisenberg free groups projectionless of  $C_r^*(F_2)$

**3.3** (Enveloping  $C^*$ -algebras). Let  $A$  be a  $*$ -algebra. A  $C^*$ -norm is a submultiplicative norm satisfying the  $C^*$ -identity. Does  $A$  have enough  $*$ -representations?

- (a) A complete  $C^*$ -norm is unique if it exists.
- (b) For every  $C^*$ -norm  $\alpha$  on  $A$ , there is a  $*$ -isometry  $\pi : A \rightarrow B(H)$ .
- (c) For maximal  $C^*$ -norm, there is a universal property. The maximal  $C^*$ -norm can be obtained by running through cyclic representations.

**3.4 ( $C^*$ -dynamical system).** Let  $G$  be a locally compact group. A  $C^*$ -dynamical system or a  $G$ - $C^*$ -algebra is a  $C^*$ -algebra  $A$  together with a group homomorphism  $\alpha : G \rightarrow \text{Aut}(A)$  that is continuous in the point-norm topology. We will often write a triple  $(A, G, \alpha)$  instead of  $A$  to refer to a  $C^*$ -dynamical system.

- (a) There is an equivalence between categories of locally compact transformation groups and  $C^*$ -dynamical system on abelian  $C^*$ -algebras.

On  $U(H)$ , the strict topology and the strong operator topology are equal. Therefore, we have three topologies to consider: strong, weak, and  $\sigma$ -weak.

**3.5 (Covariant representation).** Let  $G$  be a locally compact group.

A *covariant representation* of a  $C^*$ -dynamical system  $(A, G, \alpha)$  is a  $G$ -equivariant  $*$ -homomorphism  $\pi : (A, G, \alpha) \rightarrow (B(H), G, \beta)$  for a  $C^*$ -dynamical system  $(B(H), G, \beta)$ , where  $H$  is a Hilbert space.

- (a) There exists a unitary representation  $u : G \rightarrow B(H)$  such that  $\pi(\alpha_s a) = u_s \pi(a) u_s^*$ .
- (b) (Integrated form) There is a one-to-one correspondence between covariant representations of  $(A, G, \alpha)$  and  $*$ -representations of  $L^1(G, A)$ . (non-degenerate)

Note that we have a homeomorphism  $\text{Aut}(K(H)) \cong PU(H)$  between the point-norm topology and the strong operator topology.

$\mathbb{Z}$ -action, Homeo-action, left multiplication of subgroup induced representation regular representation  $(C_0(G), G, \lambda) \rightarrow (B(L^2(G)), G, \lambda)$ .

commutative case

### 3.3 Graph algebras

### 3.4 Groupoid algebras

# **Part II**

# **Properties**



## Chapter 4

# Approximation properties

### 4.1 Nuclearity and exactness

finite dimensional[BO, 3.3.2], abelian, AF permanence properties

**4.1** (Completely positive approximation property). Let  $A$  be a  $C^*$ -algebra. We say  $A$  has the *completely positive approximation property* if the identity is contained in the point-norm, or equivalently the point-weak closure of  $\mathcal{F}$  in  $L(A)$ .

- (a) If  $A$  has the completely positive approximation property, then  $A$  is nuclear.
- (b) If  $A$  is nuclear, then  $A$  has the completely positive approximation property.

*Proof.* (b)

Let  $E \subset A$  and  $F \subset A^*$  be finite subsets and fix  $\varepsilon > 0$ . We want to find completely positive contractions  $\varphi : A \rightarrow M_n(\mathbb{C})$  and  $\psi : M_n(\mathbb{C}) \rightarrow A$  such that

$$|l(a) - l(\psi \circ \varphi(a))| < \varepsilon, \quad a \in E, l \in F.$$

To implement the approximation, we would like to regard a bounded linear operator on  $A$  as a state of a tensor product of  $C^*$ -algebras, which maps  $\theta \in L(A)$  to the linear functional characterized by  $a \otimes l \mapsto l(\theta(a))$ . However, since  $A^*$  is not a  $C^*$ -algebra, we embed  $A^*$  locally in  $B(H)$  through the Radon-Nikodym type result. Let  $\pi : A \rightarrow B(H)$  be the cyclic representation obtained from a positive linear functional that dominates  $F$  and  $\Omega$  the cyclic vector such that there is a linear map  $\pi' : F \rightarrow \pi(A)'$  satisfying

$$l(a) = \langle \pi(a)\pi'(l)\Omega, \Omega \rangle, \quad a \in E, l \in F.$$

Now the duality of  $A$  and  $F$  is embodied in the tensor product representation

$$\pi \times i : A \otimes_{\max} \pi(A)' \rightarrow B(H)$$

together with a cyclic vector  $\Omega \in H$ . Here the nuclearity is used to write  $A \otimes_{\max} \pi(A)' = A \otimes_{\min} \pi(A)'$ .

If we take any faithful representation  $\rho : A \rightarrow B(K)$ , then we obtain a faithful representation

$$\rho \otimes i : A \otimes_{\min} \pi(A)' \rightarrow B(K \otimes H).$$

By the Hahn-Banach separation, the state  $(\pi \times i)^* \omega_\Omega$  on  $A \otimes_{\min} \pi(A)'$  can be approximated weakly\* by convex combinations of vector states in  $B(K \otimes H)$ . In particular, by the density of  $\pi(A)\Omega$  in  $H$ , we have algebraic tensors  $(t_k)_{k=1}^m \subset K \otimes \pi(A)\Omega$  such that

$$\left| \omega_\Omega((\pi \times i)(a \otimes \pi'(l))) - \sum_{k=1}^m \lambda_k \omega_{t_k}((\rho \otimes i)(a \otimes \pi'(l))) \right| < \varepsilon \quad (\dagger)$$

for all  $a \in E$  and  $l \in F$ , where  $\lambda_k \geq 0$ ,  $\sum_{k=1}^m \lambda_k = 1$ .

If we write each element  $t \in K \otimes \pi(A)\Omega$  as

$$t = \sum_{i=1}^n \eta_i \otimes \pi(b_i)\Omega, \quad \eta_i \in K, \quad b_i \in A,$$

then

$$\begin{aligned} \omega_t((\rho \otimes i)(a \otimes \pi'(l))) &= \left\langle (\rho(a) \otimes \pi'(l)) \left( \sum_{j=1}^n \eta_j \otimes \pi(b_j)\Omega \right), \left( \sum_{i=1}^n \eta_i \otimes \pi(b_i)\Omega \right) \right\rangle \\ &= \sum_{i,j=1}^n \langle \rho(a) \eta_j, \eta_i \rangle \langle \pi'(l) \pi(b_i^* b_j) \Omega, \Omega \rangle \\ &= l \left( \sum_{i,j=1}^n \langle \rho(a) \eta_j, \eta_i \rangle b_i^* b_j \right). \end{aligned}$$

If we define completely positive maps  $\varphi : A \rightarrow M_n(\mathbb{C})$  and  $\psi : M_n(\mathbb{C}) \rightarrow A$  for each  $\tau$  such that

$$\varphi(a) := [\langle \rho(a) \eta_j, \eta_i \rangle], \quad \psi([\delta_{ik} \delta_{jl}]) := b_k^* b_l,$$

then we have  $\omega_t(a \otimes \pi'(l)) = l(\psi \circ \varphi(a))$ . We may assume  $\varphi$  and  $\psi$  are contractive by adjusting their norms.

Since  $\mu(a \otimes \pi'(l)) = l(a)$  and since the completely positive contractions which factor through a matrix algebra form a convex set, we have completely positive contractions  $\varphi : A \rightarrow M_n(\mathbb{C})$  and  $\psi : M_n(\mathbb{C}) \rightarrow A$  such that the inequality  $(\dagger)$  is rewritten as

$$|l(a) - l(\psi \circ \varphi(a))| < \varepsilon,$$

so we are done. □

The set  $\mathcal{F}$  of factorable maps is a convex set of  $L(A)$ . Note that we have an embedding

$$L(A) \hookrightarrow L(A, A^{**}) = \varprojlim_F L(A, F^*).$$

We have a continuous bijection

$$(A \widehat{\otimes}_\pi F)^* \rightarrow L(A, F^*).$$

If we let  $M := \pi(A)'' \subset B(H)$  be the GNS representation for  $F$ , then the Radon-Nikodym theorem on commutant gives rise to a continuous map

$$(A \widehat{\otimes}_\pi M')^* \rightarrow (A \widehat{\otimes}_\pi F)^*.$$

$$\begin{array}{ccccc} B(K \otimes \pi(A)\Omega)^* & & B(\pi(A)\Omega)^* & & \\ \downarrow & & \downarrow & & \\ (A \otimes_{\min} M')^* & \longrightarrow & (A \otimes_{\max} M')^* & \longrightarrow & (A \widehat{\otimes}_\pi M')^* \end{array}$$

The first map is in fact surjective by the nuclearity.

quotients of nuclear local reflexivity

**4.2.** A  $C^*$ -algebra  $C$  is called *injective* every completely positive map  $\varphi : A \rightarrow C$  from a  $C^*$ -subalgebra  $A$  of a  $C^*$ -algebra  $B$  is extended to a completely positive map  $\tilde{\varphi} : B \rightarrow C$ . A von Neumann algebra is called injective if it is injective as a  $C^*$ -algebra. (operator subsystem? unital?)

The  $C^*$ -algebra  $B(H)$  is injective, and its image of completely positive idempotent is injective. A von Neumann algebra on  $M$  on  $H$  is injective if and only if there is a conditional expectation  $B(H) \rightarrow M$ .

$A^{**}$  semi-discrete  $\rightarrow A$  nuclear is done by four step approximation

The reverse implication follows from  $A$  is nuclear  $\rightarrow A'$  is injective  $\rightarrow A''$  is injective  $\rightarrow A''$  is semi-discrete.

Let  $A$  be nuclear. Note  $A^{**} = I^{**} \oplus (A/I)^{**}$ . Since  $A^{**}$  is semi-discrete,  $(A/I)^{**}$  is semi-discrete. Therefore,  $A/I$  is nuclear.

a separable  $C^*$ -algebra is nuclear if and only if every factor representation is hyperfinite.

Extension properties weak expectation property relatively weakly injective maximal tensor product inclusion problem

excision: Akemann-Anderson-Pedersen

## 4.2 Quasi-diagonality

4.3 (Weyl-von Neumann theorem). A self-adjoint bounded operator is quasi-diagonal.

4.4 (Glimm lemma). If a state  $\omega$  of  $B(H)$  vanishes on  $K(H)$ , then it is a weak\* limit of vector states.

4.5 (Voiculescu theorem).

4.6 (Quasi-diagonal algebras). An operator  $a \in B(H)$  is called *quasi-diagonal* if there is a net of projections  $p_i \in B(H)$  such that  $[p_i, a] \rightarrow 0$  in norm and  $p_i \uparrow \text{id}_H$  strongly. A  $C^*$ -algebra is called *quasi-diagonal* if it admits a faithful representation whose image is quasi-diagonal.

faithful non-degenerate essential representations of a quasi-diagonal  $C^*$ -algebra are all quasi-diagonal  
locally quasi-diagonal

## 4.3 AF-embeddability

# Chapter 5

## Amenability

### 5.1 Amenable groups

### 5.2 Amenable actions

crossed products  $Z_2$ -grading Connes-Feldman-Weiss Anantharaman-Delaroche Gromov boundaries approximately central structure? dynamical Kirchberg-Phillips  
stably finite dynamical Elliott program  
Ornstein-Weiss-Rokhlin lemma

### 5.3 Exact groups

Exact groups

### 5.4 Other properties

Kazhdan property (T) factorization property Haagerup property

Kaplansky conjecture

A state  $\tau$  on  $A$  is called an *amenable trace* if there is a state  $\omega$  of  $B(H)$  such that  $\omega$  extends  $\tau$  and  $\omega(uxu^*) = \omega(x)$  for  $x \in B(H)$  and  $u \in U(A)$ . It is automatically tracial. The amenability of a trace does not depend on the choice of faithful representation of  $A$ , using the Arveson extension and the multiplicative domain.

For a discrete group  $\Gamma$ ,  $C_r^*(\Gamma)$  is amenable if and only if  $\Gamma$  has an amenable tracial state. Note that a mean is a state of  $\ell^\infty(\Gamma)$ , which may not be normal.

# Chapter 6

## Simplicity

Furstenberg boundary

## **Part III**

# **Invariants**

## Chapter 7

# Operator K-theory

### 7.1 Zeroth K-groups

Three pictures: projections of  $M_n(A)$  (standard), projections of  $A \otimes K(H)$  (recall that  $K(H)$  is AF and hence nuclear), algebraically finitely generated projective Hilbert modules over  $A$ .

**7.1** (Equivalences of projections). Let  $A$  be a unital  $C^*$ -algebra. Let  $p$  and  $q$  be projections in  $A$ . Recall that they are called *Murray-von Neumann equivalent* or just *equivalent*, denoted  $p \sim q$ , if  $p = v^*v$  and  $q = vv^*$  for some  $v \in A$ , *unitarily equivalent*, denoted by  $p \sim_u q$ , if  $p = u^*qu$  for some  $u \in U(A)$ , and *homotopic*, denoted by  $p \sim_h q$ , if there is a continuous path in  $P(A)$  connecting them.

- (a) If  $p \sim_h q$ , then  $p \sim_u q$ , and if  $p \sim_u q$ , then  $p \sim q$ .
- (b) If  $p \sim q$ , then  $p \oplus 0 \sim_u q \oplus 0$  in  $M_2(A)$ .
- (c) If  $p \sim_u q$ , then  $p \oplus 0 \sim_h q \oplus 0$  in  $M_2(A)$ .

Almost projection: if  $\|a^2 - a\| < \varepsilon$ , then  $\|p - a\| < 2\varepsilon$  for some  $p \in A$ .

If  $p \in A = \text{colim}_i A_i$ , then  $\|p_i - p\| < \varepsilon$  for some  $p_i \in A_i$ .

**7.2** (Properties of  $K(H)$ ). Let  $H$  be a separable Hilbert space.

**7.3** (Definition of zeroth K-group). Let  $A$  be a unital  $C^*$ -algebra. Define  $V(A) := \bigcup_{n=1}^{\infty} P(M_n(A)) / \sim$ . It gives a functor from the category of unital  $C^*$ -algebras to the category of ordered abelian monoid with cancellation property. If  $A$  is unital, we define  $K_0(A) := G(V(A))$ , the Grothendieck group of the monoid  $V(A)$ . Its elements can be described by  $[p] - [p_n]$ .

- (a)  $V(M_n(\mathbb{C})) \cong \mathbb{Z}_{\geq 0}$  because two projections are equivalent iff they have same range dimensions, so  $K_0(M_n(\mathbb{C})) \cong \mathbb{Z}$ .
- (b)  $V(K(H)) \cong \mathbb{Z}_{\geq 0} = \text{Card}_{<\omega}$ ,  $V(B(H)) \cong \text{Card}_{\leq \dim H}$ ,  $V(Q(H)) \cong \{0\} \cup (\text{Card}_{\geq \omega} \cap \text{Card}_{\leq \dim H})$ , so  $K_0(B(H)) \cong K_0(Q(H)) \cong 0$ . (Weyl-von Neumann theorem: self-adjoint elements of  $Q(H)$  with same spectrum are unitarily equivalent)
- (c)  $K_0(C(S^2)) \cong \mathbb{Z}^2$ .
- (d) For a  $\text{II}_1$  factor  $M$ ,  $K_0(M) \cong \mathbb{R}$ .
- (e)  $K_0(\mathcal{O}_n) \cong \mathbb{Z}/(n-1)\mathbb{Z}$ .

**7.4** (Relative K-theory). We want to discuss the exactness of K-theory. For this, we have to consider pairs of  $C^*$ -algebras. We define a *pair* of  $C^*$ -algebras as a surjective  $*$ -homomorphism between unital  $C^*$ -algebras. Let  $\pi : A \rightarrow B$  is a pair of  $C^*$ -algebras. Then,  $K_0(A, B)$  can be concretely described or defined by the set of equivalence classes of  $(p, q, v)$ , where  $p$  and  $q$  are projections in  $M_{\infty}(A)$  and  $v \in M_{\infty}(A)$

satisfies  $\pi(p) = \pi(v^*v)$  and  $\pi(q) = \pi(vv^*)$ . In fact, we can show  $K_0(A, B)$  only depends on the kernel  $I := \ker \pi$ . It is called the excision theorem. For a general non-unital  $C^*$ -algebra  $I$ , it is well-defined that

$$K_0(I) := K_0(A, A/I),$$

where  $A$  is any unitization of  $I$ . We can show that if  $I$  is unital, then it is naturally isomorphic to the original without-base-point definition of  $K$ -theory. (for example,  $K_0(A) \cong K_0(A \oplus \mathbb{C}, \mathbb{C})$  for unital  $A$ ) In particular, since  $K_1(\mathbb{C}) = 0$ , the six-term exact sequence implies that  $K_0(I) \cong \ker(K_0(\tilde{I}) \rightarrow K_0(\mathbb{C}))$ , and since  $0 \rightarrow I \rightarrow \tilde{I} \rightarrow \mathbb{C} \rightarrow 0$  splits, we have  $K_0(I) \oplus \mathbb{Z} \cong K_0(\tilde{I})$ . A generally non-unital  $C^*$ -algebra is the non-commutative analogue of the pointed quotient of compact pairs.

Even if  $A$  and  $B$  are non-unital, one can check the followings are exact:

$$K_0(I) \rightarrow K_0(A) \rightarrow K_0(B)$$

$$[p, q, v] \mapsto [p] - [q] \mapsto \dots$$

When we consider exact sequences, we may think every algebra  $A$  in  $K$ -theory as a pair  $(B, C)$  such that  $B/C \cong A$ ! If the algebra  $A$  is unital, then it is also possible to think it as a space without base point, as in the definition of  $K_0(A)$ . The basic way to think is to consider non-unital  $C^*$ -algebras  $A$  and  $K_0(A)$  as the paired or pointed version. But we do not need the tilde.

$$\{\text{pair of spaces}\} \twoheadrightarrow \{\text{pointed spaces}\} \hookleftarrow \{\text{spaces}\}$$

$$\{\text{pair of } C^*\text{-algebras}\} \twoheadrightarrow \{C^*\text{-algebras}\} \hookleftarrow \{\text{unital } C^*\text{-algebras}\}$$

The first map is quotient. The second map is adjoining a new point for space, the inclusion for algebras. The first two categories are indistinguishable in generalized cohomology theories or homotopy theories. We do not have to introduce the notation  $\tilde{K}$ , because we basically consider the unital algebra  $C(X)$  not as a pointed space  $(X, x_0)$  (like in topology), but as  $(X \cup *, *)$ , i.e.  $K(C_0(X)) = \tilde{K}(X \cup *, *)$  for compact or non-compact  $X$ .

As  $K_0 : C^*\text{Alg} \rightarrow \text{grAb}$ ,  $K_0$  satisfies the axioms for cohomology theories

- functoriality
- homotopy invariance
- FINITE product-preserving\*
- half-exactness
- long exactness

with additional properties

- lax symmetric monoidal functor
- filtered colimit-preserving
- $\mathbb{K}$ -stable
- partial order
- ring axioms for  $K_0$  only on commutatives

\*Here we only consider finite product-preserving because the infinite direct product does not mean the infinite wedge sum in the category of  $C^*$ -algebras. We need to consider locally  $C^*$ -algebras.

**7.5 (Homotopy of  $*$ -homomorphisms).** Let  $A, B$  be  $C^*$ -algebras. Two  $*$ -homomorphisms in  $\text{Mor}(A, B)$  are said to be *homotopic* if they are connected by a path in  $\text{Mor}(A, B)$  that is continuous with the point-norm topology.



- (a) For pointed compact Hausdorff spaces  $(X, x_0), (Y, y_0)$ , two pointed maps  $\varphi_0, \varphi_1 : X \rightarrow Y$  are homotopic if and only if  $\varphi_0^*, \varphi_1^* : C_0(Y \setminus \{y_0\}) \rightarrow C_0(X \setminus \{x_0\})$  are homotopic.

*Proof.* (a) Suppose  $\varphi_0$  and  $\varphi_1$  are connected by a homotopy  $\varphi_t$ . Fixing  $g \in C_0(Y)$  and  $t_0 \in I$ , we want to show

$$\lim_{t \rightarrow t_0} \sup_{x \in X} |g(\varphi_t(x)) - g(\varphi_{t_0}(x))| = 0.$$

Since the function  $g$  is uniformly continuous, with respect to an arbitrarily chosen uniformity on  $Y$ , so that there is an entourage  $E \subset Y \times Y$  such that  $(y, y') \in E \circ E$  implies  $|g(y) - g(y')| < \varepsilon$ . Using compactness we have a finite sequence  $(y_i)_{i=1}^n \subset Y$  such that for every  $y$  there is  $y_i$  satisfying  $(y, y') \in E$ . Then,  $\varphi^{-1}(E[y_i])$  is a finite open cover of  $X \times I$ , so we have  $\delta$  such that  $|t - t_0| < \delta$  implies for any  $x \in X$  the existence of  $i$  satisfying  $(\varphi_t(x), y_i) \in E$  and  $(\varphi_{t_0}(x), y_i) \in E$ , which deduces the desired inequality.

Conversely, suppose  $\varphi_0^*$  and  $\varphi_1^*$  are connected by a homotopy  $\varphi_t^*$ . By taking dual, we can induce  $\varphi_t : X \rightarrow Y$  such that  $g(\varphi_t(x)) = (\varphi_t^* g)(x)$  for each  $g \in C(Y)$  from  $\varphi_t^*$  via the embedding  $X \rightarrow M(X)$  by Dirac measures. Let  $V$  be an open neighborhood of  $\varphi_{t_0}(x_0)$  and take  $g \in C(Y)$  such that  $g(\varphi_{t_0}(x_0)) = 1$  and  $g(y) = 0$  for  $y \notin V$ . Now we have an open neighborhood  $U$  of  $x_0$  such that  $x \in U$  implies  $|(\varphi_{t_0}^* g)(x) - (\varphi_{t_0}^* g)(x_0)| < \frac{1}{2}$ . Also we have  $\delta > 0$  such that  $|t - t_0| < \delta$  implies  $\|\varphi_t^* g - \varphi_{t_0}^* g\| < \frac{1}{2}$ . Therefore,  $(x, t) \in U \times (t_0 - \delta, t_0 + \delta)$  implies  $g(\varphi_t(x)) > 0$ , hence  $\varphi_t(x) \in V$ , which means  $X \times I \rightarrow Y : (x, t) \mapsto \varphi_t(x)$  is continuous.  $\square$

$$\begin{aligned} K_0(\mathbb{C}) &= \mathbb{Z}, & K_0(C_0(\mathbb{R})) &= 0, & K_1(C_0(\mathbb{R})) &= K_0(C_0(\mathbb{R}^2)) = \mathbb{Z} \\ K^0(*) &= \mathbb{Z}, & K^0(S^1) &= \mathbb{Z}, & K^1(S^1) &= K^0(S^2) = \mathbb{Z}[x]/(x-1)^2 \end{aligned}$$

Let  $X$  be a locally compact Hausdorff space, and  $(X_+, *) = (X \sqcup \{*\}, *)$  be the associated pointed compact Hausdorff space. Then, the K-theory with compact supports has

$$K_0(X) = K_0(X_+, *) = \tilde{K}_0(X_+) = K^0(C_0(X)).$$

## 7.2 First K-groups

$K_1$  satisfies long exactness (triangulated structure), Bott periodicity, ring structure?

$$K(\mathbb{C}) \cong \mathbb{Z}[\beta^{\pm 1}].$$

$$CB := \{f \in B \otimes C([0, 1]) : f(0) = 0\}, \quad C_\varphi := \{(a, f) \in A \oplus CB : f(1) = \varphi(a)\}.$$

The mapping cone can be defined by an exact sequence

$$0 \rightarrow C_\varphi \rightarrow M_\varphi \rightarrow B \rightarrow 0,$$

or alternatively by the pullback

$$\begin{array}{ccc} C_\varphi & \longrightarrow & CB \\ \downarrow & \lrcorner & \downarrow f \mapsto f(1) \\ A & \longrightarrow & B. \end{array}$$

The suspension can be defined by an exact sequence

$$0 \rightarrow \Sigma B \rightarrow CB \rightarrow B \rightarrow 0,$$

or alternatively by the pullback

$$\begin{array}{ccc} \Sigma B & \longrightarrow & CB \\ \downarrow & \lrcorner & \downarrow f \mapsto f(1) \\ CB & \longrightarrow & B. \end{array}$$

We can see that  $CB$  is contractible, and  $\Sigma B$  is homotopic to the pullback  $C_\varphi \oplus_A CA$ .  
distinguished triangle

$$\Sigma B \rightarrow C_\varphi \rightarrow A \xrightarrow{\varphi} B$$

Do not forget to describe the induced maps for K-groups!

$K_{-1}(A) := K_0(\Sigma A)$ .

local Banach algebras

**7.6** (Pimsner-Voiculescu exact sequence).

Connes-Thom isomorphism

## 7.3 Cuntz semigroup

nuclear dimension

# Chapter 8

## KK-theory

### 8.1 Kasparov picture

- Kasparov stabilization theorem
- Kasparov-Stinespring theorem
- Kasparov-Voiculescu theorem
- Kasparov-Weyl-von Neumann theorem
- Kasparov technical theorem

**8.1 (Group equivariant correspondences).** Let  $G$  be a locally compact group. Let  $(A, \alpha)$  and  $(B, \beta)$  be  $G$ - $C^*$ -algebras. An *equivariant correspondence* from  $(A, \alpha)$  to  $(B, \beta)$  is a correspondence  $E$  from  $A$  to  $B$  together with a strongly continuous map  $u : G \rightarrow L(E)$  satisfying

$$u_s(a\xi b) = \alpha_s(a)u_s(\xi)\beta_s(b), \quad \beta_s(\langle \eta, \xi \rangle) = \langle u_s\eta, u_s\xi \rangle,$$

for  $a \in A$ ,  $b \in B$ ,  $s \in G$ , and  $\xi, \eta \in E$ . It generalizes covariant representations of  $A$  and equivariant Hilbert modules over  $B$ . The map  $u$  is called a *group action* on  $E$  of  $G$ , and it is not in general  $B$ -linear unless the action  $\beta$  on  $B$  is trivial. For an equivariant correspondence  $(E, u)$  from  $(A, \alpha)$  to  $(B, \beta)$ , the adjoint action  $\text{Ad } u$  acts continuously on  $K(E)$  and strictly continuously on  $B(E)$ .

(a) If  $E$  is a super-correspondence from  $A$  to  $B$ , then  $(L^2(G) \otimes E, \lambda \otimes 1)$  is naturally an equivariant super-correspondence from  $(A, \alpha)$  to  $(B, \beta)$ . If  $E$  is faithful, non-degenerate, and full, then so is  $L^2(G) \otimes E$ , respectively.

(b) interior tensor product and coalgebra structure from the group...

*Proof.* (a) Define the super-correspondence  $L^2(G) \otimes E$  from  $A$  to  $B$  with the natural grading, such that the left action of  $A$ , the right action of  $B$ , and the  $B$ -valued inner product is defined by

$$(a\xi b)(t) := \alpha_t^{-1}(a)\xi(t)\beta_t^{-1}(b), \quad \langle \eta, \xi \rangle := \int_G \beta_t(\langle \eta(t), \xi(t) \rangle) dt,$$

for  $a \in A$ ,  $b \in B$ ,  $t \in G$ , and  $\xi, \eta \in C_c(G, E)$ . The group action on  $L^2(G) \otimes E$  by  $G$  is given by  $\lambda \otimes 1$ .

We can check the above three structures preserve the grading and are all equivariant.

(Faithfulness) Suppose  $a\xi = 0$  for all  $\xi \in L^2(G) \otimes E$ . Then, for  $f \otimes \xi_0 \in C_c(G) \otimes E$ ,

$$0 = (a(f \otimes \xi_0))(t) = f(t) \otimes (\alpha_t^{-1}(a)\xi_0)$$

implies  $f(e) \otimes (a\xi_0) = 0$  by putting  $t = e$ , so  $a\xi_0 = 0$  and  $a = 0$ .

(Fullness) Because the a Hilbert module is full iff the right action is faithful, we can prove it in a similar way to faithfulness of the left action.

(Non-degeneracy) If  $e_i \in A$  is a quasi-central approximate unit such that  $\alpha_t(e_i) - e_i \rightarrow 0$  in  $A$  compactly on  $G$  (it can be shown without the condition that  $A$  is  $\sigma$ -unital, Lemma 2.12 of Ozawa), then

$$\begin{aligned} (e_i \xi - \xi)(t) &= (\alpha_t^{-1}(e_i) - 1)\xi(t) = (\alpha_t^{-1}(e_i) - e_i)\xi(t) + (e_i - 1)\xi(t) \\ |\xi - e_i \xi|^2 &= \int_G \beta_t(|((1 - e_i)\xi)(t)|^2) dt \\ &= \int_G \beta_t(|(1 - \alpha_t^{-1}(e_i))\xi(t)|^2) dt \\ &\leq 2 \int_G \beta_t(|(1 - e_i)\xi(t)|^2 + |(e_i - \alpha_t^{-1}(e_i))\xi(t)|^2) dt \rightarrow 0 \end{aligned}$$

taking compact set outside which we have  $\|\xi\| < \varepsilon$ .

□

**8.2** (Correspondences over commutative  $C^*$ -algebras). Let  $X$  be a locally compact Hausdorff space. Let  $A$  and  $B$  be  $C_0(X)$ - $C^*$ -algebras.

For equivariant versions, we do not require the compatibility of  $G$  and  $C_0(X)$  on  $E$ , which is satisfied automatically.

- (a)
- (b) For a  $C_0(X)$ - $C^*$ -algebra  $A$ , there exists a faithful non-degenerate correspondence  $E$  from  $A$  to some  $C_0(X)$ - $W^*$ -algebra  $B$ .
- (c) tensor products of  $G$ - $C^*$ -algebras

*Proof.* (b) We will choose  $B = C_0(X)^{**}$ . ( $C_0(X)^{**}$  is not a  $C_0(X)$ -algebra...) Fix a state  $\omega$  on  $A$ . Since  $C_0(X)^{**} \subset Z(A^{**})$ , there is a conditional expectation  $\varphi : A^{**} \rightarrow C_0(X)^{**}$ , which factors through  $\omega^{**} = \omega^{**}\varphi$  because  $C_0(X)^{**} \subset Z(A^{**})$  is unital. Since  $\varphi$  is completely positive, the Stinespring construction on  $A \otimes C_0(X)$  gives rise to a  $C^*$ -correspondence  $E_\omega$  from  $A$  to  $C_0(X)^{**}$ . Define  $E := \bigoplus_{\omega \in S(A)} E_\omega$ . If  $a \in A$  acts trivially on  $E$ , which means  $\varphi(a^*a) = 0$  and  $\omega(a^*a) = 0$ . Thus  $A$  acts faithfully on  $E$ .

□

**8.3** (Kasparov stabilization theorem). Let  $G$  be a locally compact group. Let  $(B, \beta)$  be a  $G$ - $C^*$ -algebra. Let  $(E, u)$  be an equivariant Hilbert module over  $(B, \beta)$ . Let  $H_B := \ell^2 \otimes L^2(G) \otimes B$ . If  $E$  is countably generated, then there is a equivariant  $B$ -linear isometric isomorphism  $E \rightarrow H_B \oplus E$ .

- (a) non-equivariant version.
- (b) equivariant version.

*Proof.* (a) The Hilbert  $B$ -module  $E$  is countably generated if and only if there is a dense range adjointable operator

$$\ell^2 \otimes B \rightarrow E.$$

- (b) Let  $H_E := \ell^2 \otimes L^2(G) \otimes E$ .

We have

$$\begin{aligned}
H_B &= \ell^2 \otimes L^2(G) \otimes B \\
&= \ell^2 \otimes L^2(G) \otimes \ell^2 \otimes B \\
&= \ell^2 \otimes L^2(G) \otimes (E_0 \oplus (\ell^2 \otimes B)) \\
&= (\ell^2 \otimes L^2(G) \otimes E_0) \oplus (\ell^2 \otimes L^2(G) \otimes \ell^2 \otimes B) \\
&= (\ell^2 \otimes L^2(G) \otimes E) \oplus H_B \\
&= H_E \oplus H_B,
\end{aligned}$$

where all the identities mean equivariant isometric  $B$ -linear isomorphisms.

Since  $G$  is compact, we have an equivariant linear isometry  $\mathbb{C} \rightarrow L^2(G)$ . It gives rise to direct sums  $L^2(G) = \mathbb{C} \oplus \mathbb{C}^\perp$ , and we get  $L^2(G) \otimes E = E \oplus E^\perp$  by tensoring, that is,  $E$  is complemented Hilbert  $B$ -submodule of  $L^2(G)$ . We have

$$\begin{aligned}
E \oplus H_E &= E \oplus (\ell^2 \otimes L^2(G) \otimes E) \\
&= E \oplus (\ell^2 \otimes (E \oplus E^\perp)) \\
&= E \oplus (\ell^2 \otimes E) \oplus (\ell^2 \otimes E^\perp) \\
&= ((\mathbb{C} \oplus \ell^2) \otimes E) \oplus (\ell^2 \otimes E^\perp) \\
&= (\ell^2 \otimes E) \oplus (\ell^2 \otimes E^\perp) \\
&= \ell^2 \otimes (E \oplus E^\perp) \\
&= \ell^2 \otimes L^2(G) \otimes E \\
&= H_E.
\end{aligned}$$

Therefore,

$$H_B = H_E \oplus H_B = E \oplus H_E \oplus H_B = E \oplus H_B.$$

□

**8.4 (Quasi-central approximate units).** Let  $A$  be a  $\sigma$ -unital  $C^*$ -algebra. Let  $Y$  be a locally compact  $\sigma$ -compact Hausdorff subset contained in a faithful representation  $B(H)$  of  $A$ . Then, there is an increasing sequential approximate unit  $e_i$  for  $A$  such that  $[y, e_i] \rightarrow 0$  in  $A$  compactly on  $Y$ .

*Proof.* Let  $e_i$  be an approximate unit of  $A$ . Take any compact  $K \subset Y$ . Let  $\Lambda$  be the algebraic convex closure of  $e_i$ . Define a bounded linear operator

$$L : A \rightarrow C(K, A) : a \mapsto (y \mapsto [y, a]).$$

Our goal is to show the closure  $L\Lambda$  in  $C(K, A)$  contains zero. Suppose not so that there is  $l \in C(K, A)^*$  such that

$$0 < \inf_{v \in \Lambda} \operatorname{Re} l(Lv).$$

We claim that  $Le_i \rightarrow 0$  weakly in  $C(K, A)$ . We can show that it converges in

$$\sigma(A \otimes C(K), A^* \odot \operatorname{span} \operatorname{PS}(C(K))).$$

To enhance the convergence, we need to introduce vector measures and require for an approximate unit to be a sequence for applying the bounded convergence theorem!!!! I think we can show this using the measure topology (maybe). □

**8.5 (Kasparov technical theorem).** Let  $G$  be a locally compact  $\sigma$ -compact group. Let  $J$  and  $A_1$  be  $\sigma$ -unital  $G$ - $C^*$ -algebras such that  $A_1 \subset M(J)$ . Suppose

- (i)  $\Delta$  is a norm separable subset of  $M(J)$  such that  $[\Delta, A_1] \subset A_1$ ,
- (ii)  $G$ , a locally compact  $\sigma$ -compact group, acts on  $A_1$  so that  $GA_1 \subset A_1$ ,
- (iii)  $A_2$  is a  $\sigma$ -unital graded  $C^*$ -subalgebra of  $M(J)$  such that  $A_1 A_2 \subset J$ ,
- (iv)  $\varphi$  is a bounded function  $G \rightarrow M(J)$  such that  $\varphi(G)A_1, A_1\varphi(G) \subset J$  and  $g \mapsto \varphi(g)a, a\varphi(g)$  are norm continuous for every  $a \in A_1 + J$ .

Then, there is  $M_2 \in M(J)$  with  $0 \leq M_2 \leq 1$  such that  $(1 - M_2)A_1 \subset J$  and

- (i)  $[\Delta, M_2] \subset J$ ,
- (ii)  $GM_2 - M_2 \subset J$ ,
- (iii)  $M_2 A_2 \subset J$ ,
- (iv)  $\varphi(G)M_2, M_2\varphi(G) \subset J$  and  $g \mapsto \varphi(g)M_2, M_2\varphi(g)$  are norm continuous.

*Proof.*

□

If  $G$  acts on a  $C^*$ -algebra  $A$ , then  $\alpha_s^{**} : A^{**} \rightarrow A^{**}$  satisfies  $\alpha_s^{**} L^\infty(\omega) = L^\infty(\alpha_s^* \omega)$ . We can construct a unitary  $u_s : L^2(\omega) \rightarrow L^2(\alpha_s^* \omega)$ ? Radon-Nikodym derivatives are mapped by this unitary?

**8.6 (Kasparov cycles).** Let  $G$  be a locally compact  $\sigma$ -compact group and  $X$  a locally compact  $\sigma$ -compact Hausdorff space. Let  $(A, \alpha)$  and  $(B, \alpha)$  be  $\sigma$ -unital  $G$ - $C_0(X)$ - $C^*$ -algebras. A *Kasparov cycle* or *Kasparov module* from  $(A, \alpha)$  to  $(B, \beta)$  is a countably generated super-correspondence  $(E, u)$  from  $(A, \alpha)$  to  $(B, \beta)$  which is  $G$ -equivariant over  $C_0(X)$ , together with an odd adjointable operator  $F \in B(E)$  such that

- (i)  $[F, A] \subset K(E)$  and  $FA \subset B(E)$  is  $G$ -continuous,
- (ii)  $(F - F^*)A \cup (F^2 - 1)A \subset K(E)$  and  $GF - F \subset K(E)$ .

The sets of unitary equivalence classes of Kasparov cycles and the unitary equivalence classes of degenerate Kasparov cycles are denoted by  $E^G(A, B)$  and  $D^G(A, B)$ , where the  $G$ - $C_0(X)$ -structures are usually omitted in notation.

- (a)  $E^G(\mathbb{C}, \mathbb{C})$
- (b)  $E^G(\mathbb{C}, B)$ ,
- (c)  $E^G(A, \mathbb{C})$ , Fredholm module

**8.7 (KK-groups).** Let  $(E, F)$  be a Kasparov cycle from  $(A, \alpha)$  to  $(B \otimes C([0, 1]), \beta \otimes \text{id})$ . Then, for each  $t \in [0, 1]$ , we can restrict it to a Kasparov cycle  $(E_t, F_t) := (E \otimes_{B \otimes C([0, 1])} B, F \otimes 1)$  from  $(A, \alpha)$  to  $(B, \beta)$  as follows. First, we check that  $E_t$  is a countably generated super-correspondence over  $G$  and  $C_0(X)$ . If we introduce the evaluation maps

$$\begin{aligned} \text{ev}_t &:= \text{id} \otimes \delta_t : B \otimes C([0, 1]) \rightarrow B, \\ \text{ev}_t : E &\rightarrow E_t : \xi(b \otimes 1) \mapsto \xi b, \quad \text{ev}_t : B(E) \rightarrow B(E_t) : T \mapsto T \otimes 1, \end{aligned}$$

which all commute the structures given by  $G$  and  $C_0(X)$ , then the first defines the left action used in the interior tensor product  $E_t = E \otimes_{B \otimes C([0, 1])} B$ , the second is well-defined because the right action is non-degenerate and

$$\|\xi \otimes b\|^2 \leq \dots \leq \|\xi(b \otimes 1)\|^2,$$

and the third maps compact operators to compact operators. Then, the two-sided actions and inner product is given by

$$a(\xi(t))b = (a\xi b)(t), \quad \langle \eta(t), \xi(t) \rangle = \langle \eta, \xi \rangle(t), \quad T(t)\xi(t) = (T\xi)(t),$$

hence  $E_t$  is a super-correspondence from  $A$  to  $B$ . Second, we check that  $F_t$  is a Fredholm operator.

$$[F_t, a], \quad (F_t - F_t^*)a, \quad (F_t^2 - 1)a$$

and group action continuity.

Then,  $(E, F)$  is called a *homotopy* between  $(E_0, F_0)$  and  $(E_1, F_1)$ .

compact perturbation,

The set of homotopy classes of Kasparov cycles is denoted by  $KK^G(A, B)$ , where the actions  $\alpha$  and  $\beta$  are usually omitted in notation. The set theoretic issue does not occur because we only consider countably generated correspondences.

(a)  $KK^G(A, B)$  is an abelian group given by direct sum.

(b)  $KK^G$  is a homotopy invariant bivariant functor.

(c)  $KK^G$  preserves finite products. (infinite direct sum for the first argument)

*Proof.* (a) well-definedness

associativity: clear

identity: clear

inverse: two homotopies; rotation from the sum with opposite to degenerate, trivial homotopy from degenerate to zero.

$$C([0, 1], B) \subset B \otimes C([0, 1])$$

$$C([0, 1], (E \oplus -E) \otimes_B B) \subset (E \oplus -E) \otimes_B (B \otimes C([0, 1]))$$

$$C([0, 1], B((E \oplus -E) \otimes_B B)) \subset B((E \oplus -E) \otimes_B (B \otimes C([0, 1])))$$

We need to check the rotational matrix satisfies the Fredholm conditions

commutativity: clear

(b)

(c)

Suppose  $\varphi_0, \varphi_1 : A \rightrightarrows A'$  are homotopic. We claim  $\varphi_0^*, \varphi_1^* : KK^G(A', B) \rightrightarrows KK^G(A, B)$  are equal.

Suppose  $\psi_0, \psi_1 : B \rightrightarrows B'$  are homotopic. We will show  $\psi_{0*}, \psi_{1*} : KK^G(A, B) \rightrightarrows KK^G(A, B')$ .

□

**8.8 (Kasparov product).** Let  $E_1$  be a Hilbert module over  $B$ , and  $E_2$  be a super-correspondence from  $B$  to  $C$ . Let  $E_{12} := E_1 \otimes_B E_2$ . For  $F_2 \in B(E_2)$ , we say  $F_{12} \in B(E_{12})$  is a  $F_2$ -connection for  $E_1$  if

$$F_{12}T_{\xi_1} - T_{\xi_1}F_{12} \in K(E_2 \oplus E_{12}), \quad T_{\xi_1}^*F_{12} - F_{12}T_{\xi_1}^* \in K(E_{12} \oplus E_2), \quad \xi_1 \in E_1.$$

(How about  $G$ - $C_0(X)$ -equivariant version?)

Let  $(A, \alpha)$ ,  $(B, \beta)$ , and  $(C, \gamma)$  be  $G$ - $C^*$ -algebras. Let  $(E_1, F_1)$  and  $(E_2, F_2)$  be Kasparov cycles from  $(A, \alpha)$  to  $(B, \beta)$  and from  $(B, \beta)$  to  $(C, \gamma)$ , and let  $E_{12} := E_1 \otimes_B E_2$ . We say a Kasparov cycle  $(E_{12}, F_{12})$  is a *Kasparov product* if  $F_{12}$  is a  $F_2$ -connection for  $E_1$  and  $a^*[1 \otimes F_2, F_{12}]a \geq 0$  in  $Q(E_{12})$  for all  $a \in A$ .

(a)

(half and long exactness?) (extension of  $k$  theory and  $k$  homology?) (direct sum, pullback, interior tensor product, pushout, exterior tensor product?)

cap product ring structure,  $R(G)$ -module structures

inverses equivariant imprimitivity bimodules

**8.9 (Examples of Kasparov cycles).** For a complete Riemannian manifold  $M$ ,  $(L^2(\Lambda T^*M), m, D(1 + D^*D)^{-\frac{1}{2}})$ , where  $D := d + d^*$  is the Hodge-Dirac operator and  $D^*D$  is the Laplace-de Rham operator, is a Kasparov module from  $C_0(M)$  to  $C$ .

## 8.2 Extension theory

K-homology: dual algebras, extension theory.

**8.10** (Weyl-von Neumann theorem). Let  $A$  be a  $C^*$ -algebra. We say  $a, b \in A$  are called *approximately unitarily equivalent*, denoted  $a \sim_a b$ , if  $\text{Ad } U(A)(a)$  and  $\text{Ad } U(A)(b)$  have same closures.

$\pi(U(H)) \subset U(Q(H))$  is proper.

essentially unitarily equivalent: same orbit in  $Q(H)$  by  $\pi(U(H))$ .

If same spectrum in  $Q(H)$ , then they are essentially unitarily equivalent. We can prove this by the Weyl-von Neumann theorem.

Weyl-von Neumann: every bounded self-adjoint operator on a separable Hilbert space is an arbitrarily small compact perturbation of a diagonal operator ( $\sigma = \sigma_p$ ).

## 8.3 Cuntz-Thomsen picture

stable uniqueness theorem (Lin or Dadarlat-Eilers)



## Chapter 9

**Part IV**

**Classification**

## Chapter 10

# Simple nuclear algebras

### 10.1 AF-algebras

Glimm's classification of UHF algebras Bratteli diagram Elliott's intertwining argument  
Separable AF-algebras are classified by pointed ordered  $K_0$ .

### 10.2 Kirchberg-Phillips theorem

### 10.3 Classifiability

Jiang-Su stability Universal coefficient theorem

Toms-Winter conjecture strongly self-absorbing nuclear dimension  
successful in Kirchberg algebras

<https://arxiv.org/pdf/2307.06480.pdf>

Elliott classification problem Kirchberg-Phillips theorem

operator K-theory and its pairing with traces

$\mathcal{Z}$ -stability, Rosenberg-Schochet universal coefficient theorem

Connes-Haagerup classification of injective factors

Kirchberg: unital simple separable  $\mathcal{Z}$ -stable algebra is either purely infinite or stably finite. Haagerup,

Blackadar, Handelman: unital simple stably finite algebra has a trace.

Glimm: uniformly hyperfinite algebras Murray-von Neumann: hyperfinite  $II_1$  factors

### 10.4 Inclusions

# Chapter 11

## Continuous fields

### 11.1 Fell bundles

**11.1 (Banach bundles).** A *Banach bundle*, introduced by Fell, which is possibly not locally trivial, is a continuous open surjection  $\pi : E \rightarrow X$  between topological spaces together with Banach space structure on each fiber  $\pi^{-1}(x)$  such that:

- (i) the addition  $\{(e, e') : \pi(e) = \pi(e')\} \subset E \times E \rightarrow E : (e, e') \mapsto e + e'$  is continuous,
- (ii) the scalar multiplication  $\mathbb{C} \times E \rightarrow E : (\lambda, e) \mapsto \lambda e$  is continuous,
- (iii) the norm  $E \rightarrow \mathbb{R}_{\geq 0} : e \mapsto \|e\|$  is continuous,
- (iv) the family of subsets

$$\{e \in B : \pi(e) \in U, \|e\| < r\}_{U \in \mathcal{N}(X), r > 0}$$

forms a neighborhood basis of  $0 \in \pi^{-1}(x)$  in  $E$ .

The forth condition is equivalent to that if  $\|e_i\| \rightarrow 0$  and  $\pi(e_i) \rightarrow x$  then  $e_i \rightarrow 0_x \in \pi^{-1}(x)$ .

- (a) For a Banach bundle  $E \rightarrow X$ , if  $X$  is locally compact Hausdorff and every fiber  $E_x$  shares a same finite dimension, then the bundle is locally trivial.

### 11.2 (Continuous fields of Banach spaces).

span of  $a[D, b]$  completion of the span of the gradient of test functions, dual of Borel time-dependent vector field,

For discussion of tangent vectors: sufficiently many absolutely continuous curves?

compact metric space

**11.3 (Hilbert bundles).** A *Hilbert bundle* is a Banach bundle whose norm function satisfies the parallelogram law.

- (a) On a compact  $X$ , there is an equivalence between the category of Hilbert  $C(X)$ -modules and the category of Hilbert bundles over  $X$ .
- (b) On a compact  $X$ , there is an equivalence between the category of algebraically finitely generated Hilbert  $C(X)$ -modules and the category of classical locally trivial finite-rank complex vector bundle over  $X$ . It is due to that finitely generatedness implies the projectivity and the Serre-Swan theorem.

## 11.2 Dixmier-Douady theory

Fell's condition

A  $C^*$ -algebra  $A$  is called *continuous trace* if the set of all  $a \in A$  such that  $\hat{A} \rightarrow \mathbb{R}_{\geq 0} : \pi \mapsto \text{tr}(\pi(a^*a))$  is continuous is dense in  $A$ .

Dadarlat-Pennig theory

Coactions and Fell bundles

## 11.3 $C^*$ -dynamics

Izumi-Matui Rokhlin property Evans-Kishimoto intertwining argument dynamical Kirchberg-Phillips

Tikuisis-White-Winter