

# $C^*$ -Algebras

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**Part I**

**Constructions**

# Chapter 1

## Operator systems and spaces

### 1.1 Completely positive maps

$|\varphi(a)|^2 \leq \|\varphi\| \varphi(|a|^2) \leq \|\varphi\|^2 \|a\|^2$ . If  $\omega$  is a state, then  $|\omega(a)|^2 \leq \omega(|a|^2) \leq \|a\|^2$ .  
category of operator systems

1.1 (Choi-Effros characterization).

1.2 (Stinespring dilation).

tensor product of c.p. maps (minimal and maximal)

1.3 (Arveson extension). Trick

### 1.2 Completely bounded maps

### 1.3 Subalgebras

1.4 (Hereditary  $C^*$ -subalgebra). state extension, representation extension(not ideal?)

1.5 (Conditional expectation).

1.6 (Ideals).

1.7 (Enveloping  $C^*$ -algebras). Let  $A$  be a  $*$ -algebra. A  $C^*$ -norm is a submultiplicative norm satisfying the  $C^*$ -identity. Does  $A$  have enough  $*$ -representations?

(a) A complete  $C^*$ -norm is unique if it exists.

(b) For every  $C^*$ -norm  $\alpha$  on  $A$ , there is a  $*$ -isometry  $\pi : A \rightarrow B(H)$ .

(c) For maximal  $C^*$ -norm, there is a universal property. The maximal  $C^*$ -norm can be obtained by running through cyclic representations.

### 1.4 Tensor products

1.8 (Maximal tensor products). Let  $A$  and  $B$  be  $C^*$ -algebras.

(a) A commuting pair of  $*$ -homomorphisms  $\pi : A \rightarrow B(H)$  and  $\pi' : B \rightarrow B(H)$  corresponds to a  $*$ -homomorphism  $\Pi : A \otimes B \rightarrow B(H)$  via the relation  $\Pi(a \otimes b) = \pi(a)\pi'(b)$ .

- (b)  $A \otimes B$  admits a  $*$ -representation and every norms induced from these  $*$ -representations are uniformly bounded. So, we can define a maximal tensor norm on  $A \otimes B$ .
- (c)  $a \otimes - : B \rightarrow A \otimes B$  is bounded for each  $a \in A$  with respect to any  $C^*$ -norm on  $A \otimes B$ . [BO, 3.2.5]

1.9 (Minimal tensor product). spatiality

1.10 (Takesaki theorem).

Tensors with  $M_n(\mathbb{C})$ ,  $C_0(X)$ .

1.11 (Haagerup tensor product).

## Exercises

1.12. Let  $B$  be a hereditary  $C^*$ -subalgebra of a  $C^*$ -algebra  $A$ . Let  $a \in A_+$ . If for any  $\varepsilon > 0$  there is  $b \in B_+$  such that  $a - \varepsilon \leq b$ , then  $a \in B_+$ .

*Proof.* To catch the idea, suppose  $A$  is abelian. We want to approximate  $a$  by the elements of  $B$  in norm. To do this, for each  $\varepsilon > 0$ , we want to construct  $b' \in B_+$  such that  $a - \varepsilon \leq b' \leq a + \varepsilon$  using  $b$ . Taking  $b' = \min\{a, b\}$  is impossible in non-abelian case, but we can put  $b' = \frac{a}{b+\varepsilon}b$ . For a simpler proof,  $b' = (\frac{\sqrt{ab}}{\sqrt{b}+\sqrt{\varepsilon}})^2$  is a better choice.

Define

$$b' := \frac{\sqrt{b}}{\sqrt{b} + \sqrt{\varepsilon}} a \frac{\sqrt{b}}{\sqrt{b} + \sqrt{\varepsilon}}.$$

Then,

$$\|\sqrt{a} - \sqrt{a} \frac{\sqrt{b}}{\sqrt{b} + \sqrt{\varepsilon}}\|^2 = \|\frac{\sqrt{\varepsilon}}{\sqrt{b} + \sqrt{\varepsilon}} a \frac{\sqrt{\varepsilon}}{\sqrt{b} + \sqrt{\varepsilon}}\| \leq \varepsilon$$

implies

$$\lim_{\varepsilon \rightarrow 0} b' = \lim_{\varepsilon \rightarrow 0} \frac{\sqrt{b}}{\sqrt{b} + \sqrt{\varepsilon}} \sqrt{a} \cdot \sqrt{a} \frac{\sqrt{b}}{\sqrt{b} + \sqrt{\varepsilon}} = \sqrt{a} \cdot \sqrt{a} = a.$$

□

## Chapter 2

# Hilbert modules

### 2.1 Hilbert modules

2.1. A Hilbert  $A$ -module is a complex linear space  $\mathcal{E}$  together with

- (i) a ring homomorphism  $A^{op} \rightarrow \text{End}_{\mathbb{C}}(\mathcal{E})$ ,
- (ii) an  $A$ -valued inner product  $\langle \cdot, \cdot \rangle : \mathcal{E} \times \mathcal{E} \rightarrow A$ , which is  $A$ -linear in second argument,

which is complete with respect to the norm  $\|\xi\| := \|\langle \xi, \xi \rangle\|^{\frac{1}{2}}$ .

constructions: direct sum, tensor product, localization

examples:  $A$  itself

### 2.2 Multiplier algebras

2.2 (Double centralizer characterization). Let  $A$  be a  $C^*$ -algebra. A *double centralizer* of  $A$  is a pair  $(L, R)$  of bounded linear maps on  $A$  such that  $aL(b) = R(a)b$  for all  $a, b \in A$ . The *multiplier algebra*  $M(A)$  of  $A$  is defined to be the set of all double centralizers of  $A$ . There is another characterization  $M(A) := L_A(A)$ , the set of adjointable operators to itself.

2.3 (Cohen factorization theorem).

2.4 (Strict topology). (a)  $\|\pi(a - e_\alpha a)\xi\|^2$

2.5 (Essential ideals). (a) Hilbert  $C^*$ -module description

2.6 (Examples of multiplier algebras). (a)  $M(K(H)) \cong B(H)$ .

(b)  $M(C_0(\Omega)) \cong C_b(\Omega)$ .

*Proof.* (a)

(b) First we claim  $C_0(\Omega)$  is an essential ideal of  $C_b(\Omega)$ . Since  $C_b(\Omega) \cong C(\beta\Omega)$ , and since closed ideals of  $C(\beta\Omega)$  are corresponded to open subsets of  $\beta\Omega$ ,  $C_0(\Omega) \cap J$  is not trivial for every closed ideal  $J$  of  $C_b(\Omega)$ .

Now we have an injective  $*$ -homomorphism  $C_b(\Omega) \rightarrow M(C_0(\Omega))$ , for which we want to show the surjectivity. Let  $g \in M(C_0(\Omega))_+$ . □

Induced representations and Morita equivalence

# Chapter 3

## Examples

### 3.1 Group algebras

type I, subhomogeneous

crystallographic discrete heisenberg free groups projectionless of  $C_r^*(F_2)$

### 3.2 Crossed products

**3.1** ( $C^*$ -dynamical system). A  $C^*$ -dynamical system is a triple  $(A, G, \alpha)$  consisting of a  $C^*$ -algebra  $A$ , a locally compact group  $G$ , and group homomorphism  $\alpha : G \rightarrow \text{Aut}(A)$  that is continuous in the point-norm topology.

- (a) For fixed  $G$ , there is an equivalence between categories of locally compact transformation groups and  $C^*$ -dynamical system on abelian  $C^*$ -algebras.

On  $U(H)$ , the strict topology and the strong operator topology are equal. Therefore, we have three topologies to consider: strong, weak, and  $\sigma$ -weak.

**3.2.** Let  $G$  be a locally compact group. A unitary representation  $u : G \rightarrow B(H)$  induces a  $C^*$ -dynamical system  $(B(H), G, \text{Ad})$  by  $\text{Ad} : G \rightarrow \text{Aut}(B(H))$ .

A covariant representation of a  $C^*$ -dynamical system is an equivariant  $*$ -homomorphism  $(A, G, \alpha) \rightarrow (B(H), G, \text{Ad})$ .

Note that we have a homeomorphism  $\text{Aut}(K(H)) \cong PU(H)$  between the point-norm topology and the strong operator topology.

$\mathbb{Z}$ -action, Homeo-action, left multiplication of subgroup induced representation regular representation  $(C_0(G), G, lt) \rightarrow (B(L^2(G)), G, \lambda)$ .

commutative case

group algebra: completion of  $C_c(G)$  with reps, crossed product: completion of  $C_c(G, A)$  with cov reps,



### 3.3 Groupoid algebras

### 3.4 Graph algebras

### 3.5 Pimsner algebras

**3.3** ( $C^*$ -correspondences). Let  $A$  be a  $C^*$ -algebra. A  $C^*$ -correspondence over  $A$  is a right Hilbert  $A$ -module  $\mathcal{E}$  together with a  $*$ -homomorphism  $\varphi : A \rightarrow B(\mathcal{E})$ , called the *left action*. We say  $\mathcal{E}$  is *faithful* or *non-degenerate* if  $\varphi$  is faithful or non-degenerate, respectively.

- (a) If  $\varphi : A \rightarrow M(B)$  is a unital completely positive map, then we can construct a natural  $A$ - $B$ -correspondence  $\mathcal{E}$  by mimicking the GNS construction on  $A \odot B$ .
- (b) If  $\varphi : A \rightarrow M(B)$  is a non-degenerate  $*$ -homomorphism,  $\varphi \in \text{Mor}(A, B)$  in other words, then we can associate a canonical  $A$ - $B$ -correspondence  $\mathcal{E}$  such that the left action is realized with  $\varphi$ . More precisely,  $\iota : \mathcal{E} \rightarrow B : a \otimes b \mapsto \varphi(a)b$  provides a well-defined linear isomorphism (surjectivity follows from the density of  $\varphi(A)B$  in  $B$  and the Cohen factorization theorem) and the two actions on  $\mathcal{E}$  is described by  $\iota(a\xi b) = \varphi(a)\iota(\xi)b$ .

**3.4.** Let  $\mathcal{E}$  be a  $C^*$ -correspondence over a  $C^*$ -algebra  $A$ . Let  $B$  be a  $C^*$ -algebra and see it as a trivial  $C^*$ -correspondence over  $B$ . A *representation* of  $\mathcal{E}$  on  $B$  is a pair  $(\pi, \tau)$  of a  $*$ -homomorphism  $\pi : A \rightarrow B$  and a linear map  $\tau : \mathcal{E} \rightarrow B$  such that

$$\pi(\langle \xi, \eta \rangle) = \tau(\xi)^* \tau(\eta), \quad \tau(\varphi(a)\xi) = \pi(a)\tau(\xi).$$

We define an ideal

$$J(\mathcal{E}) := \varphi^{-1}(K(\mathcal{E})) \cap \varphi^{-1}(0)^\perp.$$

A *covariant representation* is a representation of  $\mathcal{E}$  such that

$$\psi(\varphi(a)) = \pi(a), \quad a \in J(\mathcal{E}).$$

- (a) Let  $(A, \mathbb{Z}, \alpha)$  be a  $C^*$ -dynamical system and consider the canonical  $C^*$ -correspondence  $A$  over  $A$  with the left action  $\varphi := \alpha_1 \in \text{Aut}(A) \subset \text{Mor}(A)$ . This correspondence is full, faithful, and non-degenerate. Note that also we have  $J(A) = \varphi^{-1}(A) \cap A = A$ . If  $(\pi, \tau)$  is an any representation of this  $C^*$ -correspondence  $A$  on  $B$ , then

How can we describe representations of  $C^*$ -correspondence  $A$  with left action  $\varphi \in \text{Aut}(A)$  in terms of covariant representations of the  $C^*$ -dynamical system  $(A, \mathbb{Z}, \alpha)$  with  $\alpha_n = \varphi^n$ ?

as a morphism sub and quotient, direct sum, tensor product,

Toeplitz-Cuntz Toeplitz-Pimsner Cuntz-Pimsner Cuntz-Krieger

Let  $\varphi \in \text{Aut}(A)$ .

Coactions and Fell bundles

KK-theory  $C^*$ -algebraic quantum groups

### 3.6 Free products

# **Part II**

# **Properties**

## Chapter 4

# Approximation properties

### 4.1 Nuclearity and exactness

finite dimensional[BO, 3.3.2], abelian permanence properties completely positive approximation property

$M_n(\mathbb{C})$ ,  $K(H)$ ,  $C_0(X)$ .

a separable  $C^*$ -algebra is nuclear if and only if every factor representation is hyperfinite.

quotients of nuclear local reflexivity

Extension properties weak expectation property relatively weakly injective maximal tensor product inclusion problem

### 4.2 Quasi-diagonality

Voiculescu theorem

**4.1.** An operator  $x \in B(H)$  is called *quasi-diagonal* if there is a net of projections  $p_i \in B(H)$  such that  $[p_i, x]$  and  $p - \text{id}_H$  converge strongly to zero. A  $C^*$ -algebra is called *quasi-diagonal* if it admits a faithful representation whose image is quasi-diagonal.

faithful non-degenerate essential representations of a quasi-diagonal  $C^*$ -algebra are all quasi-diagonal

### 4.3 AF-embeddability

# Chapter 5

## Amenability

### 5.1 Amenable groups

### 5.2 Amenable actions

crossed products  $Z_2$ -grading Connes-Feldman-Weiss Anantharaman-Delaroche Gromov boundaries approximately central structure? dynamical Kirchberg-Phillips  
stably finite dynamical Elliott program  
Ornstein-Weiss-Rokhlin lemma

### 5.3 Exact groups

Exact groups

### 5.4 Other properties

Kazhdan property (T) factorization property Haagerup property  
Kaplansky conjecture

# Chapter 6

## Simplicity

Furstenberg boundary

## **Part III**

# **Invariants**

# Chapter 7

## Operator K-theory

### 7.1 Homotopy of $C^*$ -algebras

**7.1 (Homotopy of  $*$ -homomorphisms).** Let  $A, B$  be  $C^*$ -algebras. Two  $*$ -homomorphisms in  $\text{Mor}(A, B)$  are said to be *homotopic* if they are connected by a path in  $\text{Mor}(A, B)$  that is continuous with the point-norm topology.

- (a) For pointed compact Hausdorff spaces  $(X, x_0), (Y, y_0)$ , two pointed maps  $\varphi_0, \varphi_1 : X \rightarrow Y$  are homotopic if and only if  $\varphi_0^*, \varphi_1^* : C_0(Y \setminus \{y_0\}) \rightarrow C_0(X \setminus \{x_0\})$  are homotopic.

*Proof.* (a) Suppose  $\varphi_0$  and  $\varphi_1$  are connected by a homotopy  $\varphi_t$ . Fixing  $g \in C_0(Y)$  and  $t_0 \in I$ , we want to show

$$\lim_{t \rightarrow t_0} \sup_{x \in X} |g(\varphi_t(x)) - g(\varphi_{t_0}(x))| = 0.$$

Since the function  $g$  is uniformly continuous, with respect to an arbitrarily chosen uniformity on  $Y$ , so that there is an entourage  $E \subset Y \times Y$  such that  $(y, y') \in E \circ E$  implies  $|g(y) - g(y')| < \varepsilon$ . Using compactness we have a finite sequence  $(y_i)_{i=1}^n \subset Y$  such that for every  $y$  there is  $y_i$  satisfying  $(y, y') \in E$ . Then,  $\varphi^{-1}(E[y_i])$  is a finite open cover of  $X \times I$ , so we have  $\delta$  such that  $|t - t_0| < \delta$  implies for any  $x \in X$  the existence of  $i$  satisfying  $(\varphi_t(x), y_i) \in E$  and  $(\varphi_{t_0}(x), y_i) \in E$ , which deduces the desired inequality.

Conversely, suppose  $\varphi_0^*$  and  $\varphi_1^*$  are connected by a homotopy  $\varphi_t^*$ . By taking dual, we can induce  $\varphi_t : X \rightarrow Y$  such that  $g(\varphi_t(x)) = (\varphi_t^* g)(x)$  for each  $g \in C(Y)$  from  $\varphi_t^*$  via the embedding  $X \rightarrow M(X)$  by Dirac measures. Let  $V$  be an open neighborhood of  $\varphi_{t_0}(x_0)$  and take  $g \in C(Y)$  such that  $g(\varphi_{t_0}(x_0)) = 1$  and  $g(y) = 0$  for  $y \notin V$ . Now we have an open neighborhood  $U$  of  $x_0$  such that  $x \in U$  implies  $|(\varphi_{t_0}^* g)(x) - (\varphi_{t_0}^* g)(x_0)| < \frac{1}{2}$ . Also we have  $\delta > 0$  such that  $|t - t_0| < \delta$  implies  $\|\varphi_t^* g - \varphi_{t_0}^* g\| < \frac{1}{2}$ . Therefore,  $(x, t) \in U \times (t_0 - \delta, t_0 + \delta)$  implies  $g(\varphi_t(x)) > 0$ , hence  $\varphi_t(x) \in V$ , which means  $X \times I \rightarrow Y : (x, t) \mapsto \varphi_t(x)$  is continuous.  $\square$

We have  $\tilde{K}^n(X, x_0) = K_n(C_0(X \setminus \{x_0\}))$  for a pointed compact Hausdorff space  $X$ . Now then since the inclusion  $\{x_0\} \rightarrow X$  induces the section so that

$$0 \rightarrow K_0(C_0(X \setminus \{x_0\})) \rightarrow K_0(C(X)) \rightarrow K_0(\{x_0\}) \rightarrow 0$$

splits, we have

$$K^0(X) = \tilde{K}^0(X, x_0) \oplus \mathbb{Z} = K_0(C_0(X \setminus \{x_0\})) \oplus K_0(\{x_0\}) = K_0(C(X))$$

for a compact connected Hausdorff space  $X$ . The additivity of  $K_0$  and  $K^0$  removes the connectedness condition.

$$\begin{aligned} K_0(\mathbb{C}) &= \mathbb{Z}, & K_0(C_0(\mathbb{R})) &= 0, & K_1(C_0(\mathbb{R})) &= K_0(C_0(\mathbb{R}^2)) = \mathbb{Z} \\ K^0(*) &= \mathbb{Z}, & K^0(S^1) &= \mathbb{Z}, & K^1(S^1) &= K^0(S^2) = \mathbb{Z}[x]/(x-1)^2 \end{aligned}$$

## **7.2 Brown-Douglas-Fillmore theory**

7.2 (Haagerup property).

Baum-Connes conjecture Non-commutative geometry Elliott theorem

## **7.3 Approximately finite algebras**

Elliott conjecture: amenable simple separable  $C^*$ -algebras are classified by K-theory. Bratteli diagram

## **7.4 Fredholm theory of Mishchenko and Fomenko**



**Part IV**

**Classification**

## Chapter 8

# Simple nuclear algebras

### 8.1 Elliott invariant

### 8.2 Classifiability

Jiang-Su stability Universal coefficient theorem

Toms-Winter conjecture strongly self-absorbing nuclear dimension  
successful in Kirchberg algebras

<https://arxiv.org/pdf/2307.06480.pdf>

Elliott classification problem Kirchberg-Phillips theorem

operator K-theory and its pairing with traces

$\mathcal{Z}$ -stability, Rosenberg-Schochet universal coefficient theorem

Connes-Haagerup classification of injective factors

Kirchberg: unital simple separable  $\mathcal{Z}$ -stable algebra is either purely infinite or stably finite. Haagerup,  
Blackadar, Handelman: unital simple stably finite algebra has a trace.

Glimm: uniformly hyperfinite algebras Murray-von Neumann: hyperfinite  $II_1$  factors

# Chapter 9

## Continuous fields

### 9.1 Dixmier-Douady theory

**9.1 (Banach bundles).** A *Banach bundle*, introduced by Fell, is a continuous open surjection  $\pi : E \rightarrow X$  between topological spaces together with Banach space structure on each fiber  $\pi^{-1}(x)$  such that:

- (i) the addition  $\{(e, e') : \pi(e) = \pi(e')\} \subset E \times E \rightarrow E : (e, e') \mapsto e + e'$  is continuous,
- (ii) the scalar multiplication  $\mathbb{C} \times E \rightarrow E : (\lambda, e) \mapsto \lambda e$  is continuous,
- (iii) the norm  $E \rightarrow \mathbb{R}_{\geq 0} : e \mapsto \|e\|$  is continuous,
- (iv) the family of subsets

$$\{e \in B : \pi(e) \in U, \|e\| < r\}_{U \in \mathcal{N}(x), r > 0}$$

forms a neighborhood basis of  $0 \in \pi^{-1}(x)$  in  $E$ .

The fourth condition is equivalent to that if  $\|e_i\| \rightarrow 0$  and  $\pi(e_i) \rightarrow x$  then  $e_i \rightarrow 0_x \in \pi^{-1}(x)$ .

- (a) For a Banach bundle  $E \rightarrow X$ , if  $X$  is locally compact Hausdorff and every fiber  $E_x$  shares a same finite dimension, then the bundle is locally trivial.

**9.2 (Hilbert bundles).** A *Hilbert bundle* is a Banach bundle whose norm function satisfies the parallelogram law.

- (a) On a compact  $X$ , there is an equivalence between the category of Hilbert  $C(X)$ -modules and the category of Hilbert bundles over  $X$ .
- (b) On a compact  $X$ , there is an equivalence between the category of algebraically finitely generated Hilbert  $C(X)$ -modules and the category of classical locally trivial finite-rank complex vector bundle over  $X$ . It is due to that finitely generatedness implies the projectivity and the Serre-Swan theorem.

**9.3 (Continuous fields of Banach spaces).**

Fell's condition

A  $C^*$ -algebra  $A$  is called *continuous trace* if the set of all  $a \in A$  such that  $\hat{A} \rightarrow \mathbb{R}_{\geq 0} : \pi \mapsto \text{tr}(\pi(a^*a))$  is continuous is dense in  $A$ .

Dadarlat-Pennig theory