Complex Analysis

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Part I Holomorphic functions

Cauchy theory

1.1 Complex differentiability

1.1 (Holomorphic functions). We call a connected open subset of \mathbb{C} as a *domain*. definition as a multivariable calculus, chain rule

1.2 (Cauchy-Riemann equation). Cauchy-Riemann equation can be interpreted as several ways: the matrix representation of df corresponds to a complex number via $x + iy \mapsto \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$, the closedness of the 1-form f(z) dz,

(a) For $f \in C^1(\Omega, \mathbb{R}^2)$, f is holomorphic if and only if it satisfies the Cauchy-Riemann equation in Ω . (Is the C^1 condition necessary?)

1.3 (Contour integral). Let $f: \Omega \to \mathbb{C}$ be a continuous function on a domain $\Omega \subset \mathbb{C}$ and let $\gamma: [a, b] \to \Omega$ be a C^1 curve. The *contour integral* of f along the curve γ is defined by

$$\int_{\mathcal{X}} f(z) dz := \int_{a}^{b} f(\gamma(t)) \gamma'(t) dt.$$

In the language of differential geometry, it is a special case of integration with the pullback form $\gamma^*(f(z)dz)$. We can extend the definition of contour integral to *piecewise* C^1 *curves* by considering it as a formal sum of C^1 curves, which will be meant by *contours*.

(a) The contour integral is independent of the choice of Ω .

(b)
$$\int_{\gamma} f'(z) dz = f(\gamma(b)) - f(\gamma(a)).$$

(c)
$$\int_{|z|=1} z^n dz = \begin{cases} 2\pi i & \text{if } n = -1, \\ 0 & \text{otherwise} \end{cases}$$

1.4 (Cauchy theorem). The Cauchy integral is independent of the contour up to homotopy.

Proof. The assumption γ_0 and γ_1 are homotopic means that $\gamma_0 - \gamma_1$ is a boundary of a 2-chain. We have to choose the homotopy on $[0,1]^2$ carefully so that it becomes C^1 except on the finite number of vertical and horizontal lines. It can be realized if a continuous(!) homotopy of curves is contained in a simply connected domain, but I don't know how to approximate C^1 homotopy... Then, the claim follows from the Stokes theorem. The triangulation technique is used in the proof of the Stokes theorem.

- **1.5** (Cauchy integral formula). Remind the proof of the mean value property for harmonic functions. The proof essentially have a shrinking process and uses the boundedness of the difference quotient. Higher order version: we can prove before the analyticity by interchange of diff and int.
- **1.6** (Cauchy estimates). (a) If an entire function f satisfies $|f(x)| \lesssim 1 + |x|^n$, then f is a polynomial of degree at most n. In particular, the *Liouville theorem* follows; a bounded entire function is constant.

1.2 Power series

1.7 (Analyticity of holomorphic functions). A real function on $I \subset \mathbb{R}$ is analytic iff it has an analytic extension on an open neighborhood Ω of I in \mathbb{C} .

$$\sup_{x\in K}\left|\frac{f^{(k)}(x)}{k!}\right|^{\frac{1}{k+1}}<\infty.$$

normal form and analogue of harmonic functions

1.8 (Identity theorem).

identity theorem for harmonic: on an open set, but not on the real line, e.g. 0 and y

1.9 (Open mapping theorem).

inverse function if n=1 open mapping if $n\geq 1$ Maximum principle Schwarz lemma and description of automorphisms of the disk

1.10 (Morera and Goursat theorem). The C^1 condition in the definition of holomorphic functions is necessary to apply the Stokes theorem when we prove the Cauchy theorem. However, the C^1 condition can be dropped and the pointwise complex differentiability is sufficient to check a function is holomorphic.

Suppose $f:\Omega\to\mathbb{C}$ be a continuous function on a domain Ω . If for every point $z_0\in\Omega$ there is an open neighborhood of z_0 in Ω in which every triangle T satisfies $\oint_{\partial T} f(z) dz = 0$. (Morera)

Proof. If we define

$$F(z) := \int_0^z f(\zeta) \, d\zeta,$$

then by the triangle condition, we have

$$F(z+h)-F(z)=\int_{z}^{z+h}f(\zeta)\,d\zeta.$$

We can show F'(z) = f(z) by the continuity of f, and therefore f is holomorphic because it also has the power series representation as well as F.

Exercises

- 1.11 (Wirtinger derivatives).
- **1.12** (Branch of logarithm and *n*th root). on simply connected domain
- **1.13** (Log*r* on $\mathbb{C} \setminus \{0\}$). harmonic function without harmonic conjugate?

1.14 (Fundamental theorem of algebra). Let $p \in \mathbb{C}[z]$ be a polynomial of degree n such that

$$p(z) = \sum_{k=0}^{n} a_k z^k, \quad a_n \neq 0.$$

- (a) $|p(z)| \lesssim |z|^n$.
- (b) There is R > 0 such that $|p(z)| \gtrsim |z|^n$ for $|z| \ge R$.

Proof. (b) We want to justify that the leading term $a_n z^n$ is dominant in the series $\sum_{k=0}^n a_k z^k$ when |z| is sufficiently large. Let $\varepsilon > 0$. Since $p(z) - a_n z^n$ is of degree at most n-1, we can take R > 0 such that for $|z| \ge R$ we can control the relative error as

$$\left|\frac{p(z)-a_nz^n}{a_nz^n}\right|<\varepsilon,$$

which implies

$$|p(z)| \ge (1-\varepsilon)|a_n||z^n|.$$

Problems

- 1. If a holomorphic function has positive real parts on the open unit disk then $|f'(0)| \le 2 \operatorname{Re} f(0)$.
- 2. If at least one coefficient in the power series of a holomorphic function at each point is 0 then the function is a polynomial.
- 3. If a holomorphic function on a domain containing the closed unit disk is injective on the unit circle, then so is on the disk.
- 4. For a holomorphic function f and every z_0 in the domain, there are $z_1 \neq z_2$ such that $\frac{f(z_1)-f(z_2)}{z_1-z_2} = f'(z_0)$.
- 5. Let $f: \Omega \to \mathbb{C}$ be a holomorphic function on a domain. Then, $\overline{f(z)} = f(\overline{z})$ if and only if $f(z) \in \mathbb{R}$ for $z \in \Omega \cap \mathbb{R}$.
- 6. For two linearly independent entire functions, one cannot dominate the other.
- 7. The uniform limit of injective holomorphic function is either constant or injective.
- 8. If the set of points in a domain $U \subset \mathbb{C}$ at which a sequence of bounded holomorphic functions converges has a limit point, then it compactly converges.
- 9. Find all entire functions f satisfying $f(z)^2 = f(z^2)$.
- 10. An entire function maps every unbounded sequence to an unbounded sequence is a polynomial.
- 11. If a holomorphic function satisfies Re $f(z) \le 1 + |z|^2$, then f is a polynomial at most degree two.

Singularities

2.1 Classification of singularities

- 2.1 (Isolated singularities).
- 2.2 (Riemann removable singularity theorem).
- 2.3 (Laurent expansion at an isolated singularity).
- 2.4 (Casorati-Weierstrass theorem).
- 2.5 (Picard's theorems).

Riemann sphere and meromorphic functions?

2.2 Residue theorem

- 2.6 (Residue theorem).
- 2.7 (Unit circle substitution).

$$\int_{0}^{2\pi} \frac{dx}{1 + a\cos x} = \frac{2\pi}{\sqrt{1 - a^2}}, \quad -1 < a < 1$$

2.8 (Semicircular contour). We want to justify the following definite integral:

$$\int_0^\infty \frac{\cos x}{x^2 + 1} \, dx = \frac{\pi}{2e}.$$

This can be viewed as a special value of the characteristic function of the *Cauchy distribution* in probability theory. Define $f: \mathbb{C} \setminus \{\pm i\} \to \mathbb{C}$ and the *semicircular contour* for R > 0 as follows:

$$f(z) = \frac{e^{iz}}{z^2 + 1}, \qquad \begin{cases} \gamma_1 : x \mapsto x, & x \in [-R, R], \\ \gamma_2 : \theta \mapsto Re^{i\theta}, & \theta \in [0, \pi]. \end{cases}$$

(a) Let h be a holomorphic function on a domain containing the arcs γ_2 for every large R > 0. If h vanishes at infinity, then

$$\lim_{R\to\infty}\int_{\gamma_2}e^{iz}h(z)\,dz=0.$$

This is called the Jordan lemma.

$$\lim_{R \to \infty} \int_{\gamma} f(z) dz = \begin{cases} \frac{\pi}{e} & \text{if } \gamma = \gamma_1 + \gamma_2 \\ 2 \int_0^{\infty} \frac{\cos x}{x^2 + 1} dx & \text{if } \gamma = \gamma_1 \\ 0 & \text{if } \gamma = \gamma_2 \end{cases}$$

Proof. (a) Let $M_R = \max_{z \in \gamma_2} |h(z)|$. Since $\sin \theta \ge \frac{2}{\pi} \theta$ for $0 \le \theta \le \frac{\pi}{2}$, we have

$$\begin{split} \left| \int_{C_2} e^{iz} h(z) \, dz \right| &= \left| \int_0^\pi e^{iRe^{i\theta}} h(Re^{i\theta}) \, iRe^{i\theta} \, d\theta \right| \\ &\leq M_R R \int_0^\pi e^{-R\sin\theta} \, d\theta \\ &= 2M_R R \int_0^{\frac{\pi}{2}} e^{-R\sin\theta} \, d\theta \\ &\leq 2M_R R \int_0^{\frac{\pi}{2}} e^{-R\frac{2}{\pi}\theta} \, d\theta \\ &= \pi M_R (1 - e^{-R}). \end{split}$$

So we are done because $\lim_{R\to\infty} M_R = 0$.

(b) For $\gamma = \gamma_1 + \gamma_2$, note that for sufficiently large R, the function f has only one pole at z = i in the interior of C, which is simple; define $g : \operatorname{int} \gamma \to \mathbb{C}$ such that

$$f(z) =: \frac{g(z)}{(z-i)} = \frac{g(i)}{z-i} + \frac{g(z)-g(i)}{z-i}.$$

Then, by the residue theorem, we obtain

$$\int_{\gamma} f(z) dz = \int_{\gamma} \frac{g(z)}{z - i} dz = 2\pi i \cdot g(i) = \frac{\pi}{e}$$

for large R.

For $\gamma = \gamma_1$, we have

$$\lim_{R \to \infty} \int_{\gamma_1} f(z) dz = \lim_{R \to \infty} \int_{-R}^{R} f(x) dx = 2 \int_{0}^{\infty} f(x) dx$$

by the definition of improper integrals.

For $\gamma = \gamma_2$, it clearly follows from the aprt (a).

2.9 (Indented contour). Indented contour is often used to compute the principal value of integrals. Here we want to justify the *Dirichlet integral* as an example:

$$\int_0^\infty \frac{\sin x}{x} \, dx = \frac{\pi}{2}.$$

Define $f: \mathbb{C} \setminus \{0\} \to \mathbb{C}$ and the *indented contour* for r, R > 0 as follows:

$$f(z) = \frac{e^{iz}}{z}, \qquad \begin{cases} \gamma_1 : x \mapsto x, & x \in [r, R], \\ \gamma_2 : \theta \mapsto Re^{i\theta}, & \theta \in [0, \pi], \\ \gamma_3 : x \mapsto x, & x \in [-R, -r], \\ \gamma_4 : \theta \mapsto re^{\pi - \theta}, & \theta \in [0, \pi]. \end{cases}$$

The indented contour is effective when f has a simple pole at zero.

$$\lim_{\substack{R \to \infty \\ r \to 0}} \int_C f(z) dz = \begin{cases} 0 & \text{if } \gamma = \gamma_1 + \gamma_2 + \gamma_3 + \gamma_4 \\ 2i \int_0^\infty \frac{\sin x}{x} dx & \text{if } \gamma = \gamma_1 + \gamma_3 \\ 0 & \text{if } \gamma = \gamma_2 \\ -\pi i & \text{if } \gamma = \gamma_4. \end{cases}$$

Proof. It follows from the Jordan lemma.

For $\gamma = \gamma_4$, since we have a partial fraction decomposition

$$f(z) = \frac{1}{z} + h(z), \qquad h(z) := \frac{e^{iz} - 1}{z},$$

where h has a removable singularity at zero,

$$\int_{\gamma_4} f(z) dz = \int_{\gamma_4} \frac{dz}{z} + \int_{\gamma_4} h(z) dz \rightarrow -\pi i + 0$$

as $r \to \infty$.

2.10 (Sector contour). We want to justify the *Fresnel integral*:

$$\int_0^\infty \cos x^2 \, dx = \sqrt{\frac{\pi}{8}}.$$

Sector contour is also used to compute the Fourier transform of Gaussian function, which also contains a nonlinear polynomial in a exponential term. Define $f: \mathbb{C} \setminus \{0\} \to \mathbb{C}$ and the *circular sector contour* for R > 0 as follows:

$$f(z) = e^{iz^2}, \qquad \begin{cases} \gamma_1 : x \mapsto x, & x \in [0, R], \\ \gamma_2 : \theta \mapsto Re^{i\theta}, & \theta \in [0, \frac{\pi}{4}], \\ \gamma_3 : x \mapsto (R - x)e^{\frac{\pi}{4}i}, & x \in [0, R]. \end{cases}$$

(a)

Proof. (b)

2.11 (Rectangular contour). A rectangular contour is used for the Fourier transform of functions periodic along imaginary direction.

$$\int_0^\infty \frac{\sin x}{e^x - 1} \, dx, \qquad \int_0^\infty \frac{\cos x}{\cosh x} \, dx$$

2.12 (Keyhole contour). the keyhole contour or the Hankel contour

$$\int_{0}^{\infty} \frac{x^{a-1}}{1+x} = \frac{\pi}{\sin \pi a} \quad (0 < a < 1), \qquad \int_{1}^{\infty} \frac{dx}{x\sqrt{x^{2}-1}}$$

 $\log z$ trick

$$\int_0^\infty \frac{dx}{1+x^3}$$

2.3 Zeros and poles

- 2.13 (Argument principle).
 - (a) We have a partial fraction decomposition

$$\frac{f'(z)}{f(z)} = \frac{\operatorname{ord}_a(f)}{z - a} + h(z),$$

where h is holomorphic at a. In particular, f has either a zero or a pole at a if and only if f'(z)/f(z) has a simple pole at a.

(b) $\int_{\gamma} \frac{f'(z)}{f(z)} \, dz = 2\pi i (\text{number of zeros} - \text{number of poles}).$

(c) $\int_{\gamma} \frac{f'(z)}{f(z)} g(z) dz = 2\pi i \sum_{a} \operatorname{ord}_{a}(f) g(a).$

(d) Winding number

Proof.

$$\frac{f'(z)}{f(z)} = \frac{\operatorname{ord}_a(f)}{z - a} + \frac{g'(z)}{g(z)},$$

where $g(z) := f(z)/(z-a)^{\operatorname{ord}_a(f)}$ is holomorphic at a.

- **2.14** (Rouché theorem). Let f be a meromorphic function on Ω .
 - (a) If $h: [0,1] \times \Omega \to \mathbb{C}$ is continuous, then

$$\int_{\gamma} \frac{f'(z)}{f(z)} dz = \int_{\gamma} \frac{g'(z)}{g(z)} dz.$$

In particular, if |g(z)| < |f(z)| on $z \in \gamma$, then

$$\int_{\gamma} \frac{f'(z)}{f(z)} dz = \int_{\gamma} \frac{f'(z) + g'(z)}{f(z) + g(z)} dz.$$

Exercises

- 2.15 (The second proof of the fundamental theorem of algebra). by Rouché.
- 2.16 (Laplace transforms).
- 2.17 (Gamma function). Hankel representation
- 2.18 (Abel-Plana formula).

Sokhotski-Plemelj theorem, Kramers-Konig relations, Titchmarsh theorem for Hilbert transform, Phragmén-Lindelöf principle, Carlson's theorem

Problems

- 1. Show that $\int_0^{2\pi} \frac{d\theta}{1 + \cos^2 \theta} = \sqrt{2}\pi.$
- 2. Find the number of roots of $z^6 + z + 1 = 0$ in $\{x + iy \in \mathbb{C} : x > 0, y > 0\}$.

Polynomial approximation

3.1 Mittag-Leffler theorem

- **3.1** (Compact convergence of holomorphic functions). (a) injectivity preservation: Hurwitz theorem
- **3.2** (Principal part). For a meromorphic function f, we say a polynomial p without constant term is a *principal part* of f at z_0 if we have a partial fraction decomposition

$$f(z) = p\left(\frac{1}{z - z_0}\right) + h(z),$$

where h(z) is holomorphic at z_0 . It is unique. pre-assigned principal parts

3.2 Weierstrass factorization theorem

Infinite product

3.3 Runge's approximation

Mergelyan

Part II Geometric function theory

Conformal mappings

4.1 Riemann sphere and open unit disk

- **4.1** (Conformality of holomorphic maps). $f' \neq 0$ and f' satisfies the Cauchy-Riemann
- 4.2 (Möbius transform). generators, fixed points
- 4.3 (Blaschke factors).

4.2 Riemann mapping theorem

- 4.4 (Normal family). locally bounded, then compact (Montel)
- 4.5 (Schwarz lemma).
- **4.6** (Riemann mapping theorem). Let $\Omega \subset \mathbb{C}$ be a simply connected domain such that $\Omega \neq \mathbb{C}$.

$$\mathcal{F} = \{ f : \Omega \to \mathbb{D} \mid f \text{ is injective and holomorphic, and } f(z_0) = 0 \}$$

- (a) There exists an injective holomorphic function $f:\Omega\to\mathbb{D}$.
- (b) If $0 \in \Omega_1 \subsetneq \mathbb{D}$, then there is a conformal mapping $h : \Omega_1 \to \Omega_2$ such that h(0) = 0 and |h'(0)| > 1, where $0 \in \Omega_2 \subset \mathbb{D}$.
- (c) The supremum of |f'(0)| is attained in \mathcal{F} .
- (d) There exists a conformal mapping $f: \Omega \to \mathbb{D}$.

Exercises

- 4.7 (Special solution of Laplace' equation).
- **4.8** (Normal family for meromorphic functions).

Problems

1. Find a conformal mapping that maps the open unit disk onto $A := \{z \in \mathbb{C} : \max\{|z|, |z-1|\} < 1\}$.

Univalent functions

5.1 Bierbach conjecture

5.2 Harmonic functions

harmonic conjugates conformal change

5.1 (Mean value property).

$$\frac{1}{2\pi} \int_0^{2\pi} f(re^{i\theta}) (re^{i\theta})^{-k} d\theta = \begin{cases} 0 & \text{if } k < 0 \\ \frac{f^{(k)}(0)}{k!} & \text{if } k \ge 0 \end{cases}$$

for r such that f is defined on \overline{B}_r .

5.2 (Poisson kernel). Let f be a holomorphic function on the open unit disk \mathbb{D} . If h is another holomorphic function, then

$$f(a) = \frac{1}{2\pi} \int_{|z|=r} f(z) \left(\frac{z}{z-a} + zh(z) \right) \frac{dz}{iz}$$

for 0 < r < 1.

(a) Find the holomorhpic h on an open neighborhood of $\mathbb D$ in terms of a such that |z|=1 implies $\frac{z}{z-a}+zh(z)$ is real.

5.3 Exercises

5.3 (Carathéodory class). Let f be a holomorphic function on the open unit disk \mathbb{D} such that $\operatorname{Re} f(z) > 0$ for $z \in \mathbb{D}$ and f(0) = 1. Show that $|f'(0)| \ge 2$.

Maximum principle; Lindelöf principle, Nevanlinna theory?

6.1 Riemann-Hilbert problem

Hilbert transform almost everywhere convergence, Hardy-Littlewood maximal function

6.2 Quasi-conformal mappings

Beltrami equations and Teichmüler theory?

Part III Riemann surfaces

Analytic continuation

7.1

Three perspectives: We can see \mathbb{CP}^1 with $\mathbb{C}^2\setminus\{(0,0)\}/\sim$ and $U_0\cup U_1$ and $\mathbb{C}\cup\{\infty\}$. holomorphic functions and meromorphic functions $\mathcal{O}_{\mathbb{P}^1}=0,\ \mathcal{M}_{\mathbb{P}^1}=\mathbb{C}(z),\ \mathrm{Aut}(\mathbb{P}^1)=\mathrm{PSL}(2,\mathbb{C}),\ \mathrm{Hom}(\mathbb{P}^1)=\mathbb{C}(z)\cup\{\infty\}$

transformation rule? gluing rule?

7.1 (Riemann sphere).

7.2 Branch cuts

We can represent f with any coordinate system(usually polar coordinates). Define $f: \{re^{i\theta}: r>0, -\pi<\theta<\pi\} \to \mathbb{C}$ such that

$$f(re^{i\theta}) := \log r + i\theta.$$

Then, $e^{f(z)} = z$. Define $f: \{x + iy : y \neq 0 \text{ or } -1 < x < 1\} \rightarrow \mathbb{C}$ such that

$$f(z) := \frac{1}{\sqrt{r_+ r_-}} e^{i\frac{\theta_+ + \theta_-}{2}},$$

where $z-1=r_+e^{i\theta_+}$ and $z+1=r_-e^{i\theta_-}$. Then, f(z) is a branch of $1/\sqrt{z^2-1}$.

- 7.3 Monodromy
- 7.4 Covering surfaces
- 7.5 Algebraic functions
- 7.6 Elliptic curves

Differential forms

Uniformization theorem

Part IV Several complex variables

10.1

Cousin problems:

- 1.
- 2.
- 3.

Four coherence theorems:

- 1.
- 2.
- 3.
- 4.

10.2

10.1 (Sheaves and étale spaces). A sheaf is a presheaf(contravariant functor) satisfying locality and gluing axiom. However, there is an étale space characterization by Serre. (stalks are Noetherian, regular local, and a UFD? See the Weierstrass preparation theorem later.)

10.2 (Ringed spaces). Let (X, \mathcal{O}_X) be a ringed space, a topological space X together with a sheaf \mathcal{O}_X of rings. We will also denote by \mathcal{O}_X the étale space of the sheaf \mathcal{O}_X . A sheaf \mathcal{F}_X of \mathcal{O}_X -modules is called *locally finite* or *finite type* if there is an open cover $\{U_i\}$ of X together with surjective ring homomorphisms $\mathcal{O}_X(U_i) \twoheadrightarrow \mathcal{F}_X(U_i)$ for all i. The section space $\Gamma(U, \mathcal{O}_X)$ will be also denoted by $\mathcal{O}_X(U)$.

10.3 (Analytic sets and local model spaces). For a domain $X \subset \mathbb{C}^d$, we have a canonical ringed space (X, \mathcal{O}_X) of holomorphic functions. For an analytic set $A \subset X$, we can consider an exact sequence of sheaves of rings

$$0 \to \mathcal{I}_{(X,A)} \to \mathcal{O}_X \to \mathcal{O}_A \to 0,$$

which can be admitted as the definition of \mathcal{O}_A . We can distinguish this exact sequence from the two ring homomorphisms given by the sheaf theoretic restriction

$$\mathcal{O}_X(U) \leftarrow \mathcal{O}_X(X) \rightarrow \mathcal{O}_X(A)$$
,

where $\mathcal{O}_X(A)$ is defined as the colimit by open neighborhoods of A. By taking $\mathcal{I}_{(X,A)}$ as an arbitrary ideal sheaf of finite type, we may define a complex model space as the quotient sheaf $\mathcal{O}_X/\mathcal{I}_{(X,A)}$, in which open subsets of a complex model space, also called local model spaces, coincide what we call analytic subsets of a domain, i.e. we can recover the subset A from $\mathcal{I}_{(X,A)}$.

10.4 (Coherent sheaves). Consider a quasi-coherent sheaf with an exact sequence of \mathcal{O}_X -modules

$$\mathcal{O}_X^m \to \mathcal{O}_X^n \to \mathcal{F}_X \to 0.$$

The *generating system* is the basis of \mathcal{O}_X^n , and the *relation sheaf* is the kernel of $\mathcal{O}_X^n \to \mathcal{F}_X$. We say \mathcal{F}_X is *coherent* if \mathcal{F}_X is of finite type and the relation sheaf is also of finite type.

- Prop 1: For an subsheaf of an coherent sheaf is coherent if and only if it is of finite type.
- Prop 2: Finite direct sum of a coherent sheaf is coherent.

Theorem (2.2.12). Let X be a domain and $A \subset X$ is closed. If the ideal sheaf $\mathcal{I}_{(X,A)}$ is of finite type if and only if A is analytic.

Theorem (2.2.17). *If* S *is smooth analytic in a domain* X, *then the ideal sheaf* $\mathcal{I}_{X,S}$ *is coherent. (2nd Oka coherence for smooth sets)*