

# Number Theory

Ikhan Choi

May 24, 2024

# Contents

<b>I</b>	<b>Elementary number theory</b>	<b>2</b>
<b>1</b>	<b>Quadratic reciprocity</b>	<b>3</b>
1.1	Congruence . . . . .	3
1.2	Quadratic residue . . . . .	3
1.3	Binary quadratic forms . . . . .	4
<b>2</b>	<b>Multiplicative number theory</b>	<b>5</b>
2.1	Arithmetic functions . . . . .	5
2.2	Dirichlet theorem . . . . .	5
2.3	Prime number theorem . . . . .	5
<b>3</b>	<b>Algebraic numbers</b>	<b>6</b>
<b>II</b>	<b>Diophantine equations</b>	<b>7</b>
<b>4</b>	<b>Pell equation</b>	<b>8</b>
4.1	Continued fraction . . . . .	8
4.2	. . . . .	8
<b>5</b>	<b>Local-global principle</b>	<b>10</b>
5.1	$p$ -adic numbers . . . . .	10
5.2	Hasse-Minkowski theorem . . . . .	11
<b>6</b>	<b>Elliptic curves</b>	<b>12</b>
6.1	Elliptic curves over $\mathbb{C}$ . . . . .	12
6.2	Elliptic curves over $\mathbb{Q}$ . . . . .	13
6.3	Elliptic curves over $\mathbb{F}_p$ . . . . .	13

## **Part I**

# **Elementary number theory**

# Chapter 1

## Quadratic reciprocity

### 1.1 Congruence

1.1 (Computation with binomial theorem).

1.2 (Fermat's little theorem). and Euler theorem

$$a^p \equiv a \pmod{p}. \quad a^{\varphi(n)} \equiv 1 \pmod{n}.$$

Wilson's theorem  $(n-1)! \equiv -1 \pmod{n}$ .

### 1.2 Quadratic residue

1.3.

$$x^2 \equiv 0, 1 \pmod{3, 4}$$

$$x^2 \equiv 0, 1, 4 \pmod{5, 8}$$

$$x^2 \equiv 0, 1, 3, 4 \pmod{6}$$

$$x^2 \equiv 0, 1, 2, 4 \pmod{7}$$

$$x^2 \equiv 0, 1, 4, 7 \pmod{9}$$

$$x^2 \equiv 0, 1, 4, 9 \pmod{12}$$

1.4 (Supplemental laws). Let  $p$  be an odd prime.

(a)  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}.$

(b)  $\left(\frac{2}{p}\right) = 1$  if and only if  $p \equiv \pm 1 \pmod{8}.$

(c)  $\left(\frac{3}{p}\right) = 1$  if and only if  $p \equiv \pm 1 \pmod{12}.$

(d)  $\left(\frac{5}{p}\right) = 1$  if and only if  $p \equiv \pm 1 \pmod{5}.$

1.5 (Euler's criterion).

$$\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \pmod{p}.$$

1.6 (Quadratic Gauss sum). Let  $p$  be an odd prime. The *quadratic Gauss sum* is

$$\tau_p := \sum_{n=0}^{p-1} \zeta_p^{n^2},$$

where  $\zeta_p := e^{2\pi i/p}$  is a primitive  $p$ th root of unity in any field. Define  $p^* := (-1)^{\frac{p-1}{2}} p$ .

(a) We have

$$\tau_p = \sum_{a=0}^{p-1} \left(\frac{a}{p}\right) \zeta_p^a.$$

(b) We have

$$\tau_p^2 = p^*.$$

**1.7 (Quadratic reciprocity).** Let  $\ell$  be an odd prime and consider field extensions  $\mathbb{Q}(\zeta_\ell)/\mathbb{Q}(\tau_\ell)$ . Here  $L := \mathbb{Q}(\tau_\ell)$  and  $K := \mathbb{Q}$ .

Let  $p$  be an odd prime with  $p \neq \ell$ . Then,  $L_p$  is an unramified extension of  $K_p$ . (maybe) We are interested in a criterion for  $p$  to split in  $\mathbb{Q}(\tau_\ell)$ . Note that  $p$  splits in  $\mathbb{Q}(\tau_\ell)$  if and only if the Frobenius homomorphism in  $\text{Gal}(L_p/K_p)$  is the identity.

Note that the residue field  $k_p = \mathbb{F}_p$  of the local field  $K_p = \mathbb{Q}_p$  has  $q = p$  elements. Note that  $\sigma_q : x \mapsto x^q$  gives rise to a field automorphism of  $\text{Gal}(\mathbb{Q}(\tau_\ell)/\mathbb{Q})$ , called the *Frobenius automorphism*.

(a) From the Gauss sum, we have

$$\sigma_p(\tau_\ell) = \left(\frac{p}{\ell}\right) \tau_\ell.$$

(b) From the Euler criterion, we have

$$\sigma_p(\tau_\ell) = \left(\frac{\ell^*}{p}\right) \tau_\ell.$$

*Proof.* (a) We have

$$\sigma_p(\tau_\ell) = \sigma_p\left(\sum_{a=0}^{\ell-1} \left(\frac{a}{\ell}\right) \zeta_\ell^a\right) = \sum_{a=0}^{\ell-1} \left(\frac{a}{\ell}\right) \zeta_\ell^{ap} = \sum_{a=0}^{\ell-1} \left(\frac{p}{\ell}\right) \left(\frac{ap}{\ell}\right) \zeta_\ell^{ap} = \left(\frac{p}{\ell}\right) \tau_\ell$$

(b) By the Euler criterion, we have

$$\sigma_p(\tau_\ell) = \tau_\ell^p = (\ell^*)^{\frac{p-1}{2}} \tau_\ell = \left(\frac{\ell^*}{p}\right) \tau_\ell.$$

□

## 1.3 Binary quadratic forms

Reduced forms Indefinite forms

Ideal class group

**1.8 (Heegner number).** There are only nine numbers

$$-1, -2, -3, -7, -11, -19, -43, -67, -163.$$

## Exercises

**1.9 (Dirichlet theorems by quadratic reciprocity).** (a) For  $f(x) \in \mathbb{Z}[x]$ , there exist infinitely many primes  $p$  such that  $p \mid f(x)$  for some  $x$ .

(b) There are infinitely many primes  $p$  such that  $p \equiv 1 \pmod{4}$ .

**1.10.**  $y^2 = f(x)$

Higher order sides: At least a prime divisor of  $f$  with a congruence (e.g.  $4k+3$ ) Quadratic sides: Every prime divisor of  $f$  must satisfy a congruence (e.g.  $4k+1$ )

**1.11 (Primes of the form  $x^2 - ny^2$ ).** (It is a very important problem in listing primes in  $\mathcal{O}_K$ ) (Want to describe the surjective homomorphism  $\text{Spec } \mathbb{Z}[i] \rightarrow \text{Spec } \mathbb{Z}$ )

## Chapter 2

# Multiplicative number theory

2.1 Arithmetic functions

2.2 Dirichlet theorem

2.3 Prime number theorem

## Chapter 3

# Algebraic numbers

### Exercises

3.1 (Mordell equation with no solutions).  $k = 7, -5, -6, 45, 6, 46, -24, -3, -9, -12$ .

(a)  $y^2 = x^3 + 7$  has no integral solutions.

*Proof.* (a) Taking mod 8,  $x$  is odd and  $y$  is even. The factorization

$$y^2 + 1 = (x + 2)((x - 1)^2 + 3),$$

implies the existence of a prime factor  $p = 4k + 3$  of  $y^2 + 1$ , which is impossible, so the equation has no solutions.  $\square$

3.2 (Mordell equation with solutions). (a)  $y^2 = x^3 - 2$  has only two solutions.

*Proof.* (a) Taking mod 8,  $x$  and  $y$  are odd. Consider a ring of algebraic integers  $\mathbb{Z}[\sqrt{-2}]$ . Write  $N = N_{\mathbb{Q}(\sqrt{-2})/\mathbb{Q}}$ . The equation is factorized into

$$x^3 = (y - \sqrt{-2})(y + \sqrt{-2}).$$

Let  $\delta$  be a common divisor of  $y \pm \sqrt{-2}$ . Then  $\delta \mid 2\sqrt{-2}$  implies  $N(\delta) \mid N(2\sqrt{-2}) = 8$ , and since  $N(\delta) \mid N(y - \sqrt{-2}) = x^3$  is odd, we have  $N(\delta) = 1$  and  $\delta$  is a unit. It means that  $y \pm \sqrt{-2}$  are relatively prime. Since the units in  $\mathbb{Z}[\sqrt{-2}]$  are  $\pm 1$ , which are all cubes,  $y \pm \sqrt{-2}$  are cubes in  $\mathbb{Z}[\sqrt{-2}]$ .

Let

$$y + \sqrt{-2} = (a + b\sqrt{-2})^3 = a(a^2 - 6b^2) + b(3a^2 - 2b^2)\sqrt{-2},$$

so that  $1 = b(3a^2 - 2b^2)$ . We can conclude  $b = \pm 1$ . The possible solutions are  $(x, y) = (3, \pm 5)$ , which are in fact solutions.  $\square$

### Problems

1. Show that if  $(x^2 + y^2 + z^2)/(xy + yz + zx)$  is a well-defined integer for integers  $x, y, z$ , then it is not divided by three.
2. There is no non-trivial integral solution of  $x^4 - y^4 = z^2$ .

## **Part II**

# **Diophantine equations**



# Chapter 4

## Pell equation

### 4.1 Continued fraction

Diophantine approximation, Thue theorem

### 4.2

Ellipse is reduced by finitely many computations.

Especially for hyperbola, here is a strategy to use infinite descent.

- (a) Let midpoint to be origin.
- (b) Find the subgroup of  $SL_2(\mathbb{Z})$  preserving the image of hyperbola (which would be isomorphic to  $\mathbb{Z}$ ).
- (c) Find an impossible region.
- (d) Assume a solution and reduce it to the either impossible region or the ground solution.

**Example 4.2.1** (Pell's equation). Consider

$$x^2 - 2y^2 = 1.$$

A generator of hyperbola generating group is  $g = \begin{pmatrix} 3 & 4 \\ 2 & 3 \end{pmatrix}$ . It has a ground solution  $(1, 0)$  and impossible region  $1 < x < 3$ . If  $(a, b)$  is a solution with  $a > 0$ , then we can find  $n$  such that  $g^n(a, b)$  is in the region  $[1, 3)$ . The possible case is  $g^n(a, b) = (1, 0)$ .

**Example 4.2.2** (IMO 1988, the last problem). Consider a family of equations

$$x^2 + y^2 - kxy - k = 0.$$

By the Vieta jumping, a generator is  $g : (a, b) \mapsto (b, kb - a)$ . It has an impossible region  $xy < 0$  :  $x^2 + y^2 - kxy - k \geq x^2 + y^2 > 0$ . If  $(a, b)$  is a solution with  $a > b$ , then we can find  $n$  such that  $g^n(a, b)$  is in the region  $xy \leq 0$ . Only possible case is  $g^n(a, b) = (\sqrt{k}, 0)$  or  $g^n(a, b) = (0, -\sqrt{k})$ . In other words, the equation has a solution iff  $k$  is a perfect square.

In general, the transformation  $(x, y) \mapsto (y, ky - x)$  preserving the image of hyperbola is not easy to find. A strategy to find it in this problem is called the *Vieta jumping* or *root flipping*. It gets the name by the following reason: If  $(a, b)$  is a solution with  $a > b$ , then a quadratic equation

$$x^2 - kbx + b^2 - k = 0$$

has a root  $a$ , and the other root is  $kb - a$  so that  $(b, kb - a)$  is also a solution. The last problem is from the International Mathematical Olympiad 1988, and the Vieta jumping technique was firstly used to solve it.

# Chapter 5

## Local-global principle

### 5.1 $p$ -adic numbers

Let  $p \in \mathbb{Z}$  be a prime. The ring of the  $p$ -adic integers  $\mathbb{Z}_p$  is defined by the inverse limit:

$$\mathbb{Z}_p := \varprojlim_{n \in \mathbb{N}} \mathbb{Z}/p^n \mathbb{Z} \rightarrow \cdots \rightarrow \mathbb{Z}/p^2 \mathbb{Z} \rightarrow \mathbb{Z}/p \mathbb{Z}.$$

We may define the local field  $\mathbb{Q}_p$  as  $\text{Frac} \mathbb{Z}_p$ , or by the completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$ , where  $|\cdot|_p$  is an absolute value on  $\mathbb{Q}$  such that  $|p^m a|_p = \frac{1}{p^m}$ . Then,  $\mathbb{Z}_p := \{x \in \mathbb{Q}_p : |x|_p \leq 1\}$ .

**Example 5.1.1.** Let  $p = 5$ . Observe

$$\begin{aligned} 3^{-1} &\equiv 2_5 \pmod{5} \\ &\equiv 32_5 \pmod{5^2} \\ &\equiv 132_5 \pmod{5^3} \\ &\vdots \\ &\equiv 1313132_5 \pmod{5^7}. \end{aligned}$$

Therefore, we can write

$$3^{-1} = \overline{132}_5 = 2 + 3p + p^2 + 3p^3 + p^4 + \cdots.$$

Since there is no term of negative power of 5, the number  $3^{-1}$  is a 5-adic integer.

**Example 5.1.2.** Let  $p = 3$ .

$$\begin{aligned} 7 &\equiv 1_3^2 \pmod{3} \\ &\equiv 111_3^2 \pmod{3^3} \\ &\equiv 20111_3^2 \pmod{3^5} \\ &\equiv 120020111_3^2 \pmod{3^9} \cdots \end{aligned}$$

Therefore, we can write

$$\sqrt{7} = \cdots 120020111_3 = 1 + p + p^2 + 2p^4 + 2p^7 + p^8 + \cdots.$$

Since there is no term of negative power of 3,  $\sqrt{7}$  is a 3-adic integer.

**5.1.** (a) The absolute value  $|\cdot|_p$  is nonarchimedean: it satisfies  $|x + y|_p \leq \max\{|x|_p, |y|_p\}$ .

(b) Every triangle in  $\mathbb{Q}_p$  is isosceles.

- (c)  $\mathbb{Z}_p$  is a discrete valuation ring: it is local PID.
- (d)  $\mathbb{Z}_p$  is open and compact. Hence  $\mathbb{Q}_p$  is locally compact Hausdorff.

*Proof.*  $\mathbb{Z}_p$  is open clearly. Let us show limit point compactness. Let  $A \subset \mathbb{Z}_p$  be infinite. Since  $\mathbb{Z}_p$  is a finite union of cosets  $p\mathbb{Z}_p$ , there is  $\alpha_0$  such that  $A \cap (\alpha_0 + p\mathbb{Z}_p)$  is infinite. Inductively, since

$$\alpha_n + p^{n+1}\mathbb{Z}_p = \bigcup_{1 \leq x < p} (\alpha_n + xp^{n+1} + p^{n+2}\mathbb{Z}_p),$$

we can choose  $\alpha_{n+1}$  such that  $\alpha_n \equiv \alpha_{n+1} \pmod{p^{n+1}}$  and  $A \cap (\alpha_{n+1} + p^{n+2}\mathbb{Z}_p)$  is infinite. The sequence  $\{\alpha_n\}$  is Cauchy, and the limit is clearly in  $\mathbb{Z}_p$ .  $\square$

## 5.2 Hasse-Minkowski theorem

**Theorem 5.2.1** (Sum of two squares). *A positive integer  $m$  can be written as a sum of two squares if and only if  $v_p(m)$  is even for all primes  $p \equiv 3 \pmod{4}$ .*

*Let  $p$  be a prime with  $p \equiv 1 \pmod{4}$ . Every  $p$ -adic integer is a sum of two squares of  $p$ -adic integers.*

# Chapter 6

## Elliptic curves

### 6.1 Elliptic curves over $\mathbb{C}$

$\mathbb{P}^2(\mathbb{C})$

**6.1** (Weierstrass form). Let  $K$  be a field. An *elliptic curve* over  $K$  is a smooth algebraic curve  $E$  of genus one together with a specified base point  $O$ . There is an embedding  $w : E \rightarrow \mathbb{P}^2$  such that  $O$  is mapped to the infinity  $(0 : 1 : 0)$  on the  $y$ -axis and  $w(E)$  is the zero set of  $y^2z - x^3 + 27c_4xz^2 + 54c_6z^3$ .

**6.2** (Legendre form).  $E(\mathbb{C}) \rightarrow \mathbb{P}^1(\mathbb{C})$  is a double cover ramified over the four points  $0, 1, \lambda, \infty \in \mathbb{P}^1(\mathbb{C})$ .

**6.3** (Invariants of elliptic curves). discriminant,  $j$ -invariant.

**6.4** (Group law). from tangent lines, from Picard group, from quotient of the complex plane,

**6.5** (Isogenies). If a morphism  $E_1 \rightarrow E_2$  maps  $O_1$  to  $O_2$ , then it is a group isomorphism. dual isogeny,

**6.6** (Tate modules). Let  $K$  be a field of characteristic  $p$  and  $E$  be an elliptic curve over  $K$ . The set  $E[m]$  of points of order  $m$  is isomorphic to  $(\mathbb{Z}/m\mathbb{Z})^2$ , where  $m$  is prime to the characteristic of  $K$ . For a prime  $\ell \in \mathbb{Z}$  such that  $p \neq \ell$ , the  $\ell$ -adic Tate module is the group  $T_\ell(E) := \varprojlim_n E[\ell^n]$ . As a  $\mathbb{Z}_\ell$ -module, we have  $T_\ell(E) \cong \mathbb{Z}_\ell^2$  and  $T_p(E) \cong 0$  or  $\mathbb{Z}_p$  if  $p > 0$ . Then, we can associated a representation  $G_{\bar{K}/K} \rightarrow \mathrm{GL}_2(\mathbb{Z}_\ell)$  and  $G_{\bar{K}/K} \rightarrow \mathrm{GL}_2(\mathbb{Q}_\ell)$  by tensoring with  $\mathbb{Q}_\ell$ .

Let  $\mu_{\ell^n}$  be the group of  $\ell^n$ -th roots of unity in  $\bar{K}^\times$ . Then, we can also define a Tate module  $T_\ell(\mu)$  as the projective limit, and it is a multiplicative subgroup of  $\bar{K}^\times$  such that  $T_\ell(\mu) \cong \mathbb{Z}_\ell$ . Thus the one-dimensional Galois representation  $G_{\bar{K}/K} \rightarrow \mathrm{Aut}(\mathbb{Z}_\ell) = \mathbb{Z}_\ell^\times$ , called the *cyclotomic representation*.

The group of torsion points are homology groups which admit Galois actions. ( $E[m]$  and  $T_\ell(E)$  can be identified with  $H_1(E, \mathbb{Z}/m\mathbb{Z})$  and  $H_1(E, \mathbb{Z}_\ell)$ .)

**6.7** (Weil pairing).

**6.8** (Endomorphism rings). central simple algebras over  $K$  is classified by the Brauer group  $\mathrm{Br}(K) = H^2(G_{\bar{K}/K}, \bar{K}^\times)$ .

**6.9** (Automorphism groups). The order of  $\mathrm{Aut}(E)$  divides 24.  $\mathrm{Aut}(E)$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ ,  $\mathbb{Z}/4\mathbb{Z}$ , or  $\mathbb{Z}/6\mathbb{Z}$  over  $\bar{K}$  of characteristic not 2 or 3.

**Step 1.** The Riemann-Roch theorem proves that every curve of genus 1 with a specified base point can be described by the first kind of Weierstrass equation. Explicitly, the first form of Weierstrass equation is

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6.$$

$$b_2 := a_1^2 + 4a_2, \quad b_4 = a_1a_3 + 2a_4, \quad b_6 = a_3^2 + 4a_6.$$

$$y \mapsto y - \frac{1}{2}(a_1x + a_3).$$

$$y^2 = x^3 + \frac{1}{4}b_2x^2 + \frac{1}{2}b_4x + \frac{1}{4}b_6.$$

$$c_4 := b_2^2 - 24b_4, \quad c_6 := -b_2^3 + 36b_2b_4 - 216b_6.$$

$$x \mapsto x - \frac{1}{12}b_2.$$

$$y^2 = x^3 - \frac{1}{48}c_4x - \frac{1}{864}c_6.$$

$$b_8 := a_1^2a_6 - a_1a_3a_4 + 4a_2a_6 + a_2a_3^2 - a_4^2 = \frac{b_2b_6 - b_4^2}{4}.$$

$$\Delta := -b_2^2b_8 - 8b_4^3 - 27b_6^2 + 9b_2b_4b_6 = \frac{c_4^3 - c_6^2}{1728}, \quad j := c_4^3/\Delta.$$

**Theorem 6.1.1.** *Let*

$$E : y^2 = x^3 - Ax - B.$$

*TFAE:*

- (a) *A point  $(x, y)$  is a singular point of  $E$ .*
- (b)  *$y = 0$  and  $x$  is a double root of  $x^3 - Ax - B$ .*
- (c)  *$\Delta = 0$ .*

*Proof.* (1) $\Rightarrow$ (2)  $\partial_y f = 0$  implies  $y = 0$ .  $f = \partial_x f = 0$  implies  $x$  is a double root of  $x^3 - Ax - B$ .  $A$  determines whether  $x$  is either cusp of node.  $\square$

$$\mathbb{C}/\Lambda$$

**6.10** (Invariant differential). The invariant differential  $\omega$  is a one-form that is invariant under the translation, which is unique up to scalar. If we consider a projective embedding  $E \rightarrow \mathbb{P}^2$  such that  $E(\mathbb{C})$  is given by the equation  $y^2 = f(x)$  for a cubic  $f \in \mathbb{C}[x]$ , then we can set  $\omega = dx/y$ . This implies that the second coordinate is equal to the first coordinate, the Weierstrass  $\wp$ -function, in the embedding. (Since  $\phi : \mathbb{C}/\Lambda \rightarrow E(\mathbb{C})$  is a group homomorphism and  $dz$  is the invariant differential on  $\mathbb{C}/\Lambda$ , we have  $dz = \phi^*(dx/y)$ , so  $(\wp(x) : \wp'(x) : 1)$ .)

## 6.2 Elliptic curves over $\mathbb{Q}$

Finitely generatedness: Mordell-Weil, Mazur torsion Integral solutions: Nagell-Lutz, Siegel, Baker's bound

## 6.3 Elliptic curves over $\mathbb{F}_p$