Complex Analysis

Ikhan Choi

December 7, 2023

Contents

I	One complex variable	2
1	Holomorphic functions 1.1 Cauchy theory	3 3 5 6 6
2	Analytic continuation 2.1 Riemann surfaces	8
3	Zeros and poles3.1 Isolated singularities3.2 Residue theorem3.3 Argument principle3.4 Nevanlinna theory	9 9 11 12
II	Geometric function theory	15
4	4.1 Conformal mappings	16
5	Univalent functions 5.1 Bierbach conjecture	17 17 17 17 17
6		18
III	Several complex variables	19
7	Complex analytic sheaves 7.1 Analytic spaces	20 20 20

Part I One complex variable

Holomorphic functions

1.1 Cauchy theory

1.1 (Holomorphic functions). A *domain* in \mathbb{C} means a non-empty connected open subset of the complex plane \mathbb{C} . A complex valued function f defined on a domain $\Omega \subset \mathbb{C}$ is called *holomorphic* if it is C^1 and complex differentiable, that is, the following limit exists for every $a \in \Omega$:

$$f'(a) := \lim_{z \to a} \frac{f(z) - f(a)}{z - a}.$$

The set of all holomorphic functions on Ω is denoted by $\operatorname{Hol}(\Omega)$ or $\mathcal{O}(\Omega)$. Cauchy-Riemann equation can be interpreted as several ways: the matrix representation of df corresponds to a complex number via $x + iy \mapsto \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$, the closedness of the 1-form f(z) dz.

Let
$$f \in C^1(\Omega, \mathbb{C})$$
 on a domain $\Omega \subset \mathbb{C}$. Write $z = x + iy$ and $f(z) = u(x, y) + iv(x, y)$.

(a) f is holomorphic if and only if it satisfies the Cauchy-Riemann equation in Ω .

Proof. We may assume $a = 0 \in \Omega$. Since f is C^1 , we have the Taylor expansion

$$f(z) - f(0) = u_x(0)x + u_y(0)y + i(v_x(0)x + v_y(0)y) + o(|z|), \quad z \to 0.$$

 (\Rightarrow) Let y = 0 so that z = x. Then,

$$f(z) - f(0) = u_x(0)x + iv_x(0)x + o(|x|), \qquad x \to 0$$

implies $f'(0) = u_x(0) + iv_x(0)$. If we let x = 0 so that z = iy, then

$$f(z) - f(0) = u_y(0)(y) + iv_y(0)y + o(|y|), \quad y \to 0$$

implies $f'(0) = -iu_y(0) + v_y(0)$.

 (\Leftarrow) If the Cauchy-Riemann equation implies

$$f(z) - f(0) = u_x(0)z + iv_x(0)z + o(|z|), \qquad z \to 0.$$

1.2 (Contour integral). We mean by a *contour* on a domain $\Omega \subset \mathbb{C}$ is a formal sum $\gamma = \sum_{i=1}^n \gamma_i$ with $n \geq 1$ of C^1 paths $\gamma_i : [a_i, b_i] \to \Omega$ such that $\gamma_i(b_i) = \gamma_{i+1}(a_{i+1})$ for all $1 \leq i < n$ and $\gamma_n(b_n) = \gamma_1(a_1)$, which we call the components of γ . In other words, a contour can just be regarded as a piecewise C^1 closed curve. A formal sum of contours on Ω whose components are all defined on the unit interval is called a C^1 singular 1-cycle on Ω .

The contour integral of $f \in \text{Hol}(\Omega)$ along a contour $\gamma = \sum_{i=1}^{n} \gamma_i$ is defined by

$$\int_{\gamma} f(z) dz := \sum_{i=1}^{n} \int_{a_i}^{b_i} \gamma_i^*(f(z) dz) = \sum_{i=1}^{n} \int_{a_i}^{b_i} f(\gamma_i(t)) \gamma_i'(t) dt.$$

- (a) The contour integral does not depend on the choice of Ω containing γ , and on the reparametrization of γ .
- (b) If we denote by |z| = 1 the contour $\gamma(\theta) := e^{i\theta}$ with $\theta \in [0, 2\pi]$, then for $n \in \mathbb{Z}$ we have

$$\int_{|z|=1} z^n dz = \begin{cases} 2\pi i & \text{if } n = -1, \\ 0 & \text{otherwise }. \end{cases}$$

1.3 (Cauchy theorem). We mean by a *triangle* in a domain $\Omega \subset \mathbb{C}$ a map $\sigma : \Delta \to \Omega$ that has a C^1 extension on a neighborhood of Δ , where

$$\Delta := \{(x, y) \in \mathbb{R}^2 : x \ge 0, y \ge 0, x + y \le 1\}.$$

The *boundary* of a triangle σ is a contour defined as $\partial \sigma = \gamma_1 + \gamma_2 + \gamma_3$, where

$$\gamma_1(t) := \sigma(t,0), \quad \gamma_2(t) := \sigma(1-t,t), \quad \gamma_3(t) := \sigma(0,1-t), \qquad t \in [0,1],$$

and a formal sum of the boundary of triangles is called a C^1 singular 1-boundary on Ω .

- (a) A contour on Ω whose components are defined on the unit interval is null-homotopic if and only if it is the sum of the boundary of some triangles in Ω .
- (b) Ω is contractible if and only if Ω is simply connected.
- (c) If Ω is simply connected, then for a contour γ and a holomorphic function f on Ω ,

$$\int_{\gamma} f(z) \, dz = 0.$$

Proof. (a) C^1 approximation...

(c) Since f is holomorphic, the 1-form f(z)dz is closed. The Stokes theorem writes

$$\int_{\partial \sigma} f(z) dz = \int_{\sigma} d(f(z) dz) = 0$$

for arbitrary triangle $\sigma: \Delta \to \Omega$.

1.4 (Cauchy integral formula). Let f be a holomorphic function on a simply connected domain $\Omega \subset \mathbb{C}$.

$$f(a) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z - a} dz.$$

Remind the proof of the mean value property for harmonic functions. The proof essentially have a shrinking process using the homotopy and uses the boundedness of the difference quotient. Higher order version: we can prove before the analyticity by interchange of diff and int.

1.5 (Cauchy estimates). (a) If an entire function f satisfies $|f(z)| \lesssim 1 + |z|^n$, then f is a polynomial of degree at most n. In particular, the *Liouville theorem* follows; a bounded entire function is constant.

1.2 Power series

1.6 (Analyticity of holomorphic functions).

$$\sup_{z\in K}\left|\frac{f^{(k)}(z)}{k!}\right|^{\frac{1}{k+1}}<\infty.$$

- (a) A real function on $I \subset \mathbb{R}$ is analytic if and only if it has an analytic extension on an open neighborhood Ω of I in \mathbb{C} .
- 1.7 (Identity theorem).

identity theorem for harmonic: on an open set, but not on the real line, e.g. 0 and y

1.8 (Open mapping theorem).

inverse function if n=1 open mapping if $n\geq 1$ Maximum principle Schwarz lemma and description of automorphisms of the disk

- **1.9** (Morera theorem). The C^1 condition in the definition of holomorphic functions is necessary to apply the Stokes theorem when we prove the Cauchy theorem. However, the C^1 condition can be dropped and the pointwise complex differentiability is sufficient to check a function is holomorphic. Let $f \in C(\Omega, \mathbb{C})$ on a domain $\Omega \subset \mathbb{C}$.
 - (a) If for every point $a \in \Omega$ there is an open neighborhood U of a in Ω in which every affine triangle $\sigma : \Delta \to U$ satisfies $\int_{\partial \sigma} f(z) dz = 0$, then f is holomorphic. (Morera)
 - (b) If f is complex differentiable everywhere on Ω , then it is holomorphic. (Goursat)

Proof. (a) Let $U = \{z \in \Omega : |z - a| < \varepsilon\}$ for sufficiently small ε in which every triangle $\sigma : \Delta \to U$ is integrated out by f. If we define

$$F(z) := \int_0^z f(\zeta) \, d\zeta, \qquad z \in U,$$

then by the triangle condition, we have

$$F(z+h)-F(z)=\int_{z}^{z+h}f(\zeta)\,d\zeta.$$

We can show F'(z) = f(z) by the continuity of f, so F is holomorphic on U. Therefore f is holomorphic because it also has the power series representation as well as F.

(b) We prove $\int_{\partial \sigma} f(z) dz = 0$ for all affine triangle $\sigma : \Delta \to \Omega$. Suppose not. Then, there is a triangle $\sigma : \Delta \to \Omega$ such that $\int_{\sigma} f(z) dz \neq 0$. By subdivision, we have $\partial \sigma \simeq \sum_{i=1}^4 \partial \sigma_i$ with diam $\sigma_i \leq \frac{1}{2} \operatorname{diam} \sigma$, so there is i such that

$$|\int_{\partial \sigma_i} f(z) dz| \ge \frac{1}{4} |\int_{\sigma} f(z) dz|.$$

Then, we have a sequence of affine triangles σ_n such that

$$\left|\int_{\partial\sigma_n} f(z) dz\right| \ge \frac{1}{4^n} \left|\int_{\sigma} f(z) dz\right|.$$

Take $a \in \Omega$ the limit point of the subdivision. By the assumption, there is $\delta > 0$ such that

$$|z-a|<\delta \quad \Rightarrow \quad \left|\frac{f(z)-f(a)}{z-a}-f'(a)\right|<\varepsilon,$$

so we see that

$$\left| \int_{\partial \sigma_n} f(z) \, dz \right| = \left| \int_{\partial \sigma_n} (f(z) - f(a) - f'(a)(z - a)) \, dz \right| \le \varepsilon \sup_{z \in \partial \sigma_n} |z - a| \cdot \operatorname{length}(\partial \sigma_n) \lesssim \frac{\varepsilon}{4^n}.$$

The limit $\varepsilon \to 0$ leads to a contradiction.

1.3 Harmonic functions on two dimensions

Harmonic conjugate

1.10 (Mean value property).

$$\frac{1}{2\pi} \int_0^{2\pi} f(re^{i\theta})(re^{i\theta})^{-k} d\theta = \begin{cases} 0 & \text{if } k < 0 \\ \frac{f^{(k)}(0)}{k!} & \text{if } k \ge 0 \end{cases}$$

for r such that f is defined on \overline{B}_r .

1.11 (Schwarz integral formula). Let f be a holomorphic function on the open unit disk \mathbb{D} . If h is another holomorphic function, then

$$f(a) = \frac{1}{2\pi} \int_{|z|=r} f(z) \left(\frac{z}{z-a} + zh(z) \right) \frac{dz}{iz}$$

for 0 < r < 1. Schwarz integral formula

$$f(a) = \frac{1}{2\pi} \int_0^{2\pi} \frac{re^{i\theta} + a}{re^{i\theta} - a} \operatorname{Re} f(re^{i\theta}) d\theta + i \operatorname{Im} f(0).$$

- (a) Find the holomorhpic h_a on an open neighborhood of $\mathbb D$ in terms of a such that |z|=1 implies $\frac{z}{z-a}+zh_a(z)$ is real.
- (b) Poisson kernel.

Proof.

$$h_a(z) =$$

Maximum principle; Lindelöf principle,

1.4 Polynomial approximatioin

Mittag-Leffler theorem

1.12 (Compact convergence of holomorphic functions). (a) injectivity preservation: Hurwitz theorem

Principal part For a meromorphic function f, we say a polynomial p without constant term is a principal part of f at z_0 if we have a partial fraction decomposition

$$f(z) = p\left(\frac{1}{z - z_0}\right) + h(z),$$

where h(z) is holomorphic at z_0 . It is unique. pre-assigned principal parts

Weierstrass factorization theorem Infinite product

Runge's approximation Mergelyan

Exercises

- 1.13 (Wirtinger derivatives).
- **1.14** (Branch of logarithm and *n*th root). on simply connected domain
- **1.15** (Log r on $\mathbb{C} \setminus \{0\}$). harmonic function without harmonic conjugate?
- **1.16** (Fundamental theorem of algebra). Let $p \in \mathbb{C}[z]$ be a polynomial of degree n such that

$$p(z) = \sum_{k=0}^{n} c_k z^k, \quad n \neq 0.$$

- (a) $|p(z)| \lesssim |z|^n$.
- (b) There is R > 0 such that $|p(z)| \gtrsim |z|^n$ for $|z| \ge R$.

Proof. (b) We want to justify that the leading term $a_n z^n$ is dominant in the series $\sum_{k=0}^n c_k z^k$ when |z| is sufficiently large. Let $\varepsilon > 0$. Since $p(z) - c_n z^n$ is of degree at most n-1, we can take R > 0 such that for $|z| \ge R$ we can control the relative error as

$$\left|\frac{p(z)-c_nz^n}{c_nz^n}\right|<\varepsilon,$$

which implies

$$|p(z)| \ge (1 - \varepsilon)|c_n||z^n|.$$

Problems

- 1. If a holomorphic function has positive real parts on the open unit disk then $|f'(0)| \le 2 \operatorname{Re} f(0)$.
- 2. If at least one coefficient in the power series of a holomorphic function at each point is 0 then the function is a polynomial.
- 3. If a holomorphic function on a domain containing the closed unit disk is injective on the unit circle, then so is on the disk.
- 4. For a holomorphic function f and every z_0 in the domain, there are $z_1 \neq z_2$ such that $\frac{f(z_1) f(z_2)}{z_1 z_2} = f'(z_0)$.
- 5. Let $f: \Omega \to \mathbb{C}$ be a holomorphic function on a domain. Then, $\overline{f(z)} = f(\overline{z})$ if and only if $f(z) \in \mathbb{R}$ for $z \in \Omega \cap \mathbb{R}$.
- 6. For two linearly independent entire functions, one cannot dominate the other.
- 7. The uniform limit of injective holomorphic function is either constant or injective.
- 8. If the set of points in a domain $U \subset \mathbb{C}$ at which a sequence of bounded holomorphic functions converges has a limit point, then it compactly converges.
- 9. Find all entire functions f satisfying $f(z)^2 = f(z^2)$.
- 10. An entire function maps every unbounded sequence to an unbounded sequence is a polynomial.
- 11. If a holomorphic function satisfies Re $f(z) \le 1 + |z|^2$, then f is a polynomial at most degree two.
- 12. If $f(z) = \sum_{k=0}^{\infty} c_k z^k$ is a holomorphic function defined on the open unit disk satisfying $\sum_{k=2}^{\infty} k |c_k| \le |c_1| \ne 0$, then f is injective. (Grunsky coefficients)

Analytic continuation

2.1 Riemann surfaces

Three perspectives: We can see \mathbb{P}^1 as the moduli space of lines, $U_0 \cup U_1$, and $\mathbb{C} \cup \{\infty\}$.

Runge: $\mathbb{C}[z]$ is dense in $\mathcal{O}(\Omega)$ if Ω is simply connected.

Mergelyan: $\mathbb{C}[z]$ is dense in $\mathcal{A}(\overline{\Omega}) := \mathcal{O}(\Omega) \cap C(\overline{\Omega})$.

transformation rule? gluing rule?

2.1 (Riemann sphere).

- · analytic continuation by functional equation
- · analytic continuation by contour integral

2.2 (Analytic continuation by contour integral). For a not necessarily closed contour γ on Ω ,

$$h(a) := \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z - a} dz, \qquad a \in \Omega \setminus \operatorname{im} \gamma$$

is a holomorphic function on $\Omega \setminus \operatorname{im} \gamma$. For this, you can use either the power series or the Morera with Fubini.

If f is holomorphic on the complement of a zero-length set(can we describe it with rectifiability?) in Ω , then it is holomorphic. (Painlevé)

2.3 (Branch cut). We can represent f with any coordinate system(usually polar coordinates).

Define
$$f: \{re^{i\theta}: r > 0, -\pi < \theta < \pi\} \to \mathbb{C}$$
 such that

$$f(re^{i\theta}) := \log r + i\theta.$$

Then, $e^{f(z)} = z$. Define $f: \{x + iy : y \neq 0 \text{ or } -1 < x < 1\} \rightarrow \mathbb{C}$ such that

$$f(z):=\frac{1}{\sqrt{r_+r_-}}e^{i\frac{\theta_++\theta_-}{2}},$$

where $z-1=r_+e^{i\theta_+}$ and $z+1=r_-e^{i\theta_-}$. Then, f(z) is a branch of $1/\sqrt{z^2-1}$.

Monodromy Covering surfaces Algebraic functions Elliptic functions Uniformization

Zeros and poles

3.1 Isolated singularities

- 3.1 (Isolated singularities). removable singularity, pole, essential singularity
- 3.2 (Laurent series expansion).
- 3.3 (Casorati-Weierstrass theorem).
- 3.4 (Picard's theorems).

3.2 Residue theorem

- 3.5 (Residue theorem).
- 3.6 (Unit circle substitution).

$$\int_0^{2\pi} \frac{dx}{1 + a\cos x} = \frac{2\pi}{\sqrt{1 - a^2}}, \quad -1 < a < 1$$

3.7 (Semicircular contour). We want to justify the following definite integral:

$$\int_0^\infty \frac{\cos x}{x^2 + 1} \, dx = \frac{\pi}{2e}.$$

This can be viewed as a special value of the characteristic function of the *Cauchy distribution* in probability theory. Define $f: \mathbb{C} \setminus \{\pm i\} \to \mathbb{C}$ and the *semicircular contour* $\gamma = \gamma_1 + \gamma_2$ for R > 0 as follows:

$$f(z) := \frac{e^{iz}}{z^2 + 1}, \qquad \begin{cases} \gamma_1(x) := x & \text{for } x \in [-R, R], \\ \gamma_2(\theta) := Re^{i\theta} & \text{for } \theta \in [0, \pi]. \end{cases}$$

(a) We have

$$\sup_{R>0}\int_{\gamma_2}|e^{iz}|\,|dz|\leq 1.$$

This is called the Jordan lemma.

(b) $\lim_{R\to\infty} \int_{\gamma_i} f(z) dz = \begin{cases} 2\int_0^\infty \frac{\cos x}{x^2+1} dx & \text{if } i=1\\ 0 & \text{if } i=2 \end{cases}$

$$\lim_{R \to \infty} \int_{X} f(z) dz = \frac{\pi}{e}.$$

Proof. (a) Let $M_R = \max_{z \in \gamma_2} |h(z)|$. Since $\sin \theta \ge \frac{2}{\pi} \theta$ for $0 \le \theta \le \frac{\pi}{2}$, we have

$$\begin{split} \left| \int_{\gamma_2} e^{iz} h(z) \, dz \right| &= \left| \int_0^\pi e^{iRe^{i\theta}} h(Re^{i\theta}) \, iRe^{i\theta} \, d\theta \right| \\ &\leq M_R R \int_0^\pi e^{-R\sin\theta} \, d\theta \\ &= 2M_R R \int_0^{\frac{\pi}{2}} e^{-R\sin\theta} \, d\theta \\ &\leq 2M_R R \int_0^{\frac{\pi}{2}} e^{-R\frac{2}{\pi}\theta} \, d\theta \\ &= \pi M_R (1 - e^{-R}). \end{split}$$

So we are done because $\lim_{R\to\infty} M_R = 0$.

(b) For i = 1, we have

$$\lim_{R \to \infty} \int_{\gamma_1} f(z) dz = \lim_{R \to \infty} \int_{-R}^{R} f(x) dx = 2 \int_{0}^{\infty} f(x) dx$$

by the definition of improper integrals. For i = 2, it clearly follows from the part (a).

(c) Note that for sufficiently large R, the function f has only one pole at z = i in the interior of C, which is simple; define $g : \operatorname{int} \gamma \to \mathbb{C}$ such that

$$f(z) =: \frac{g(z)}{(z-i)} = \frac{g(i)}{z-i} + \frac{g(z) - g(i)}{z-i}.$$

Then, by the residue theorem, we obtain

$$\int_{\gamma} f(z) dz = 2\pi i \operatorname{Res}(f, i) = \frac{\pi}{e}$$

for sufficiently large R such that R > 1.

3.8 (Indented contour). Indented contour is often used to compute the principal value of integrals. Here we want to justify the *Dirichlet integral* as an example:

$$\int_0^\infty \frac{\sin x}{x} \, dx = \frac{\pi}{2}.$$

Define $f: \mathbb{C} \setminus \{0\} \to \mathbb{C}$ and the *indented contour* for r, R > 0 as follows:

$$f(z) = \frac{e^{iz}}{z}, \qquad \begin{cases} \gamma_1 : x \mapsto x, & x \in [r, R], \\ \gamma_2 : \theta \mapsto Re^{i\theta}, & \theta \in [0, \pi], \\ \gamma_3 : x \mapsto x, & x \in [-R, -r], \\ \gamma_4 : \theta \mapsto re^{\pi - \theta}, & \theta \in [0, \pi]. \end{cases}$$

The indented contour is effective when f has a simple pole at zero.

(a)
$$\lim_{\substack{R \to \infty \\ r \to 0}} \int_C f(z) dz = \begin{cases} 0 & \text{if } \gamma = \gamma_1 + \gamma_2 + \gamma_3 + \gamma_4 \\ 2i \int_0^\infty \frac{\sin x}{x} dx & \text{if } \gamma = \gamma_1 + \gamma_3 \\ 0 & \text{if } \gamma = \gamma_2 \\ -\pi i & \text{if } \gamma = \gamma_4. \end{cases}$$

Proof. It follows from the Jordan lemma.

For $\gamma = \gamma_4$, since we have a partial fraction decomposition

$$f(z) = \frac{1}{z} + h(z), \qquad h(z) := \frac{e^{iz} - 1}{z},$$

where h has a removable singularity at zero,

$$\int_{\gamma_4} f(z) dz = \int_{\gamma_4} \frac{dz}{z} + \int_{\gamma_4} h(z) dz \rightarrow -\pi i + 0$$

as $r \to \infty$.

3.9 (Sector contour). We want to justify the *Fresnel integral*:

$$\int_0^\infty \cos x^2 \, dx = \sqrt{\frac{\pi}{8}}.$$

Sector contour is also used to compute the Fourier transform of Gaussian function, which also contains a nonlinear polynomial in a exponential term. Define $f: \mathbb{C} \setminus \{0\} \to \mathbb{C}$ and the *circular sector contour* for R > 0 as follows:

$$f(z) = e^{iz^2}, \qquad \begin{cases} \gamma_1 : x \mapsto x, & x \in [0, R], \\ \gamma_2 : \theta \mapsto Re^{i\theta}, & \theta \in [0, \frac{\pi}{4}], \\ \gamma_3 : x \mapsto (R - x)e^{\frac{\pi}{4}i}, & x \in [0, R]. \end{cases}$$

(a)

Proof. (b)

3.10 (Rectangular contour). A rectangular contour is used for the Fourier transform of functions periodic along imaginary direction.

$$\int_{0}^{\infty} \frac{\sin x}{e^{x} - 1} dx, \qquad \int_{0}^{\infty} \frac{\cos x}{\cosh x} dx$$

3.11 (Keyhole contour). the keyhole contour or the Hankel contour

$$\int_{0}^{\infty} \frac{x^{a-1}}{1+x} = \frac{\pi}{\sin \pi a} \quad (0 < a < 1), \qquad \int_{1}^{\infty} \frac{dx}{x\sqrt{x^2 - 1}}$$

log z trick

$$\int_0^\infty \frac{dx}{1+x^3}$$

3.3 Argument principle

- 3.12 (Argument principle).
 - (a) We have a partial fraction decomposition

$$\frac{f'(z)}{f(z)} = \frac{\operatorname{ord}_a(f)}{z - a} + h(z),$$

where h is holomorphic at a.

(b)
$$\frac{1}{2\pi i} \int_{\mathcal{X}} \frac{f'(z)}{f(z)} g(z) dz = \sum_{a} \operatorname{ord}_{a}(f) g(a).$$

(c) Winding number

Proof.

$$\frac{f'(z)}{f(z)} = \frac{\operatorname{ord}_a(f)}{z - a} + \frac{g'(z)}{g(z)},$$

where $g(z) := f(z)/(z-a)^{\operatorname{ord}_a(f)}$ is holomorphic at a

- **3.13** (Rouché theorem). Let f be a meromorphic function on Ω .
 - (a) If $h: [0,1] \times \Omega \to \mathbb{C}$ is continuous, then

$$\int_{\gamma} \frac{f'(z)}{f(z)} dz = \int_{\gamma} \frac{g'(z)}{g(z)} dz.$$

In particular, if |g(z)| < |f(z)| on $z \in \gamma$, then

$$\int_{\gamma} \frac{f'(z)}{f(z)} dz = \int_{\gamma} \frac{f'(z) + g'(z)}{f(z) + g(z)} dz.$$

3.4 Nevanlinna theory

- 3.14 (Poisson-Jensen formula).
- 3.15 (Nevanlinna functions). Let f be a meromorphic function on a neighborhood of the closed disk $\overline{B(0,r)} \subset \mathbb{C}$ and let $a \in \mathbb{CP}^1$. We count the number of poles in the region $|z| \leq r$, counting multiplicity, with the following function

$$n(r,a,f) := \sum_{|z| < r} (\operatorname{ord}_z(f-a))^+, \qquad n(r,f) := n(r,\infty,f).$$

Note that $n(r, a, f) = n(r, (f - a)^{-1})$ and $n(0, f^{-1}) - n(0, f) = \operatorname{ord}_0 f$. The *Nevanlinna proximity function* is

$$m(r,f) := \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta.$$

The Nevanlinna counting function is

$$N(r,f) := \int_0^r (n(t,f) - n(0,f)) \frac{dt}{t} + n(0,f) \log r.$$

The Nevanlinna characteristic function is

$$T(r,f) := m(r,f) + N(r,f).$$

- 3.16 (First fundamental theorem). Jensen formula
- 3.17 (Second fundamental theorem).
- **3.18** (Ahlfors-Shimizu formulation). Let f be a meromorphic function on \mathbb{C} . Consider the following uniform probability measure on the Riemann sphere

$$d\rho(w) := \frac{du \, dv}{\pi (1 + |w|^2)^2}, \qquad w = u + iv.$$

Define

$$A(r,f) := \frac{1}{\pi} \int_{|z| \le r} f^{\#}(z)^2 dx dy = \int_{|z| \le r} f^* d\rho, \qquad f^{\#}(z) := \frac{|f'(z)|}{1 + |f(z)|^2}.$$

The latter function $f^{\#}$ is called the *spherical derivative* of f. The *Ahlfors-Shimizu characteristic function* and *proximity function* are defined by

$$T_0(r,f) := \int_0^r A(t,f) \frac{dt}{t}, \qquad m_0(r,f) := \frac{1}{2\pi} \int_0^{2\pi} \log \sqrt{1 + |f(re^{i\theta})|^2} d\theta.$$

- (a) $\int \log |f w| \, d\rho(w) = \log \sqrt{1 + |f|^2}$
- (b) We have

$$A(r,f) = \int n(r,a,f) d\rho(a) = n(r,f) + r \frac{d}{dr} m_0(r,f).$$

(c) We have $T_0(r, f) = T(r, f) + O(1)$ as $r \to \infty$.

Proof. (b)

Let F be the image of the set $\{z: |z| = r\} \cup \{z: f'(z) = 0\}$ under f. Since F and $f^{-1}(F)$ are of measure zero, so we may assume $f: U \to f(U)$ is locally biholomorphic, where $U:= \{z: |z| \le r\} \setminus f^{-1}(F)$. So we may define the degree of f, which is locally constant and coincides with n(r, a, f). So the first equality follows from

$$\int_{|z| < r} f^* d\rho = \int_U f^* d\rho = \int n(r, a, f) d\rho(a).$$

By the argument principle,

$$n(t,a,f)-n(t,f) = \frac{1}{2\pi i} \int_{|z|=t} \frac{f'(z)}{f(z)-a} dz,$$

and by

$$\frac{1}{2\pi} \int_0^{2\pi} \frac{1}{f(z) - re^{i\theta}} d\theta = \frac{1}{2\pi i} \int_{|w| = r} \frac{1}{f(z)} \left(\frac{1}{f(z) - w} + \frac{1}{w} \right) dw = \begin{cases} 1/f(z) & \text{if } r < |f(z)|, \\ 0 & \text{if } r > |f(z)|. \end{cases}$$

for fixed $f(z) \in \mathbb{C}$ and r > 0, we have

$$\int n(t,a,f) d\rho(a) - n(t,f) = \frac{1}{2\pi i} \int_{|z|=t}^{\infty} \int \frac{f'(z)}{f(z) - a} d\rho(a) dz$$

$$= \frac{1}{2\pi i} \int_{|z|=t}^{\infty} \int_{0}^{\infty} \int_{0}^{2\pi} \frac{f'(z)}{f(z) - re^{i\theta}} \frac{r}{\pi(1 + r^{2})^{2}} d\theta dr dz$$

$$= \frac{1}{2\pi i} \int_{|z|=t}^{\infty} \int_{0}^{|f(z)|} \frac{2\pi f'(z)}{f(z)} \frac{r}{\pi(1 + r^{2})^{2}} dr dz$$

$$= \frac{1}{2\pi i} \int_{|z|=t}^{\infty} \frac{f'(z)\overline{f(z)}}{1 + |f(z)|^{2}} dz.$$

Also,

$$\begin{split} t \frac{d}{dt} m_0(t,f) &= \frac{t}{2\pi} \int_0^{2\pi} \frac{d \log \sqrt{1 + |f(te^{i\theta})|^2}}{dt} \, d\theta \\ &= \frac{t}{2\pi} \int_0^{2\pi} \frac{1}{2} \frac{f'(te^{i\theta}) \overline{f(te^{i\theta})} + f(te^{i\theta}) \overline{f'(te^{i\theta})}}{1 + |f(te^{i\theta})|^2} e^i \theta \, d\theta \\ &= \frac{1}{2\pi i} \int_{|z| = t} \frac{f'(z) \overline{f(z)}}{1 + |f(z)|^2} \, dz. \end{split}$$

(c) Two solutions: one is $T_0(r,f) = N(r,f) + m_0(r,f) - m_0(0,f)$. Another is using $T_0(r,f) = \int N(r,a,f) d\rho(a)$ and the first fundamental theorem.

Applications of second fundamental theorem? Borel directions and deficient values?

Exercises

- 3.19 (The second proof of the fundamental theorem of algebra). by Rouché.
- 3.20 (Laplace transforms).
- 3.21 (Gamma function). Hankel representation
- 3.22 (Abel-Plana formula).

Sokhotski-Plemelj theorem, Kramers-Konig relations, Titchmarsh theorem for Hilbert transform, Phragmén-Lindelöf principle, Carlson's theorem

Problems

- 1. We have $\int_0^{2\pi} \frac{d\theta}{1 + \cos^2 \theta} = \sqrt{2}\pi.$
- 2. Find the number of roots of $z^6 + z + 1 = 0$ in $\{x + iy \in \mathbb{C} : x > 0, y > 0\}$.
- 3. Find the number of roots of $z e^{-z} = 2$ in the right half plane.
- 4. If f is an entire function such that $|f(z)| \le e^{|z|^{\lambda}}$, then $|\{z \in B(0,R) : f(z) = 0\}| \lesssim R^{\lambda}$.
- 5. There is no holomorphic function $f:\mathbb{D}\to\mathbb{C}$ such that $|f(z)|\to\infty$ for all sequences $z_n\in\mathbb{D}$ with $|z_n|\to 1$.
- 6. If f is a bounded holomorphic function defined on $\mathbb{C} \setminus E$, where $E \subset [0,1]$ is the Cantor set, then f is constant.
- 7. Suppose a sequence of nowhere vanishing holomorphic functions f_n on a domain Ω converges to a non-constant function f uniformly on compact sets. Then, f is also nowhere vanishing. (Hurwitz)

Part II Geometric function theory

4.1 Conformal mappings

- **4.1** (Conformality of holomorphic maps). $f' \neq 0$ and f' satisfies the Cauchy-Riemann
- 4.2 (Möbius transform). generators, fixed points
- 4.3 (Blaschke factors).
- 4.4 (Normal family). locally bounded, then compact (Montel)
- 4.5 (Schwarz lemma).
- **4.6** (Riemann mapping theorem). Let $\Omega \subset \mathbb{C}$ be a simply connected domain such that $\Omega \neq \mathbb{C}$.

$$\mathcal{F} = \{ f : \Omega \to \mathbb{D} \mid f \text{ is injective and holomorphic, and } f(z_0) = 0 \}$$

- (a) There exists an injective holomorphic function $f:\Omega\to\mathbb{D}$.
- (b) If $0 \in \Omega_1 \subsetneq \mathbb{D}$, then there is a conformal mapping $h : \Omega_1 \to \Omega_2$ such that h(0) = 0 and |h'(0)| > 1, where $0 \in \Omega_2 \subset \mathbb{D}$.
- (c) The supremum of |f'(0)| is attained in \mathcal{F} .
- (d) There exists a conformal mapping $f: \Omega \to \mathbb{D}$.

Exercises

- 4.7 (Special solution of Laplace' equation).
- 4.8 (Normal family for meromorphic functions).

Problems

1. Find a conformal mapping that maps the open unit disk onto $A := \{z \in \mathbb{C} : \max\{|z|, |z-1|\} < 1\}$.

Univalent functions

5.1 Bierbach conjecture

5.2 Riemann-Hilbert problem

Hilbert transform almost everywhere convergence, Hardy-Littlewood maximal function

5.3 Quasi-conformal mappings

Beltrami equations and Teichmüler theory?

5.4 Exercises

5.1 (Carathéodory class). Let f be a holomorphic function on the open unit disk \mathbb{D} such that Re f(z) > 0 for $z \in \mathbb{D}$ and f(0) = 1. Show that $|f'(0)| \ge 2$.

Part III Several complex variables

Complex analytic sheaves

7.1 Analytic spaces

7.1 (Complex model spaces). Let (X, \mathcal{O}_X) be a ringed space and A is a subset of X that is the support of a coherent sheaf on X. Then, we can show (really? I hope so.) that there exists a natural sheaf \mathcal{O}_A of rings on X such that A is the support of \mathcal{O}_A and there is locally an exact sequence of sheaves of rings on X

$$\mathcal{O}_U^q \to \mathcal{O}_U \to \mathcal{O}_A|_U \to 0.$$

The kernel of $\mathcal{O}_X \to \mathcal{O}_A$ is called the *ideal sheaf* or the *relation sheaf* of A and denoted by $\mathcal{I}_{(X,A)}$.

Note that we have a canonical ringed space $(\mathbb{C}^n, \mathcal{O}_{\mathbb{C}^n})$ of holomorphic functions. A *complex model* space is a subset A of some \mathbb{C}^n that is the support of a coherent sheaf on \mathbb{C}^n .

Proof.
$$\Box$$

7.2 (Complex analytic spaces). Let (X, \mathcal{O}_X) be a ringed space. An analytic atlas on X is the family $\{\varphi_i\}$ of maps $\varphi_i: U_i \to \mathbb{C}^{n_i}$ which map open $U_i \subset X$ homeomorphically onto open $\varphi(U_i) \subset \mathbb{C}^{n_i}$, such that φ_i and $\tau_{ij} = \varphi_j \varphi_i^{-1}$ induce the sheaf isomorphisms $\mathcal{O}_{U_i} \to \mathcal{O}_{\varphi(U_i)}$ and $\mathcal{O}_{\varphi_i(U_i \cap U_j)} \to \mathcal{O}_{\varphi_j(U_i \cap U_j)}$. A complex analytic space or briefly a complex space is a Hausdorff ringed space (X, \mathcal{O}_X) together with an analytic atlas $\{\varphi_i\}$. We do not have to assume the second countability of X because the partition of unity does not play a role in complex analysis. An analytic set in a complex space X is a subset X that is the support of a coherent sheaf on X.

7.2 Oka coherence theorems

 $\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1) = 0 \ \mathcal{M}_{\mathbb{P}^1}(\mathbb{P}^1) = \mathbb{C}(z) \ \mathbb{C}[z] = \mathcal{O}_{\mathbb{P}^1}(\mathbb{C}) \cap \mathcal{M}_{\mathbb{P}^1}(\mathbb{P}^1) \ \mathrm{Aut}(\mathbb{P}^1) \cong \mathrm{PSL}(2,\mathbb{C}) \ \mathrm{Hom}(\mathbb{P}^1,\mathbb{P}^1) = \mathbb{C}(z) \cup \{\infty\}.$

Four coherence theorems:

- 1.
- 2.
- 3.
- 4.
- **7.3.** smooth->normal(integrally closed)->irreducible(integral domain)->reduced(no nilpotents)
- **7.4** (Reduced points). Rücker nullstellensatz, every section is realized as a family of functions, sheaf map f_* is uniquely lifted

7.5 (Weierstrass preparation theorem). Consider $\mathcal{O}_0' \subset \mathcal{O}_0'[z_n] \subset \mathcal{O}_0$. Consider B_ρ , where $\rho = (\rho', \rho_n) \in \mathbb{R}^n_{>0}$. Note $\mathcal{O}_0 = \bigcup_{\rho} B_\rho$.

A Weierstrass polynomial is a monic polynomial in $\mathcal{O}'_0[z_n]$ such that $\frac{d^k w}{dz_n^k}(0,0) = 0$ for all k. We use the convention that the degree and order are with respect to z_n by letting z' = 0.

- (a) If $f, g \in \mathcal{O}_0$, then there are unique $q \in \mathcal{O}_0$ and $r \in \mathcal{O}_0'[z_n]$ such that $\deg r < \operatorname{ord} g$ and f = qg + r.
- (b) If $g \in \mathcal{O}_0$, then there is a unique Weierstrass polynomial $w \in \mathcal{O}_0'[z_n]$ and $u \in \mathcal{O}_0^{\times}$ such that $\deg w = \operatorname{ord} g$ and g = uw.

7.6 (Weierstrass isomorphism theorem).

7.7 (First Oka coherence theorem). Let $\mathcal{O} := \mathcal{O}_{\mathbb{C}^n}$ and assume $\mathcal{O}' := \mathcal{O}_{\mathbb{C}^{n-1}}$ is coherent. We prove that \mathcal{O} is coherent at the origin.

- (a) Let $0 \neq f_0 \in \mathcal{O}_0$. Then, there is an open neighborhood $U \subset \mathbb{C}^n$ of the origin such that $f \in \mathcal{O}(U)$ and $\mathcal{O}_U \to \mathcal{O}_U/f\mathcal{O}_U$ is a split epi over \mathcal{O}_U .
- (b) Let $0 \neq f_0 \in \mathcal{O}_0$. Then, there is an open neighborhood $U \subset \mathbb{C}^n$ of the origin such that $f \in \mathcal{O}(U)$ and $\mathcal{O}_U/f\mathcal{O}_U$ is coherent over \mathcal{O}_U .

Proof. (a) Note that \mathcal{O} is locally irreducible and has Hausdorff étale.

(b) We may assume f(0) = 0, i.e. there is no constant term in the power series f_0 , because otherwise it is clear from $\mathcal{O}_U = f \mathcal{O}_U$ for some U. We may assume $f_0(0, z_n) \neq 0$, i.e. there is a monomial of z_n in the power series f_0 by coordinate transform. So, by the above assumptions, we have $\operatorname{ord} f_0 > 0$. By the Weierstrass preparation theorem, there is a Weierstrass polynomial $w_0 \in \mathcal{O}_0'[z_n]$ such that $\deg w = \operatorname{ord} f$ and $f_0 \mathcal{O}_0 = w_0 \mathcal{O}_0$.

Choose open $U' \subset \mathbb{C}^{n-1}$ such that w_0 has a representative $w \in \mathcal{O}'(U')[z_n]$. Then, we use the Weierstrass isomorphism theorem and the extension principle.

7.8 (Local rings on complex analytic spaces).

- (a) $\mathcal{O}_{X,x}$ is isomorphic to a quotient of $\mathcal{O}_{\mathbb{C}^n,0}$.
- (b) $\mathcal{O}_{X,x}$ is local, noetherian, and henselian.
- (c) $\mathcal{O}_{\mathbb{C}^n,0}$ is factorial.

7.3 Levi problem

7.9 (Domains of holomorphy). A domain $\Omega \subset \mathbb{C}^n$ is called a *domain of holomorphy* if there is no domain $\widetilde{\Omega} \subset \mathbb{C}^n$ such that Ω is a proper subset of $\widetilde{\Omega}$ and $\mathcal{O}(\widetilde{\Omega}) \to \mathcal{O}(\Omega)$ is surjective.

- (a) For a compact $K \subset \Omega$ such that $\Omega \setminus K$ is connected, $\mathcal{O}(\Omega) \to \mathcal{O}(\Omega \setminus K)$ is surjective. (Hartog extension theorem)
- (b) The union of increasing sequence of domains of holomorphy is a domain of holomorphy (Behnke-Stein theorem)

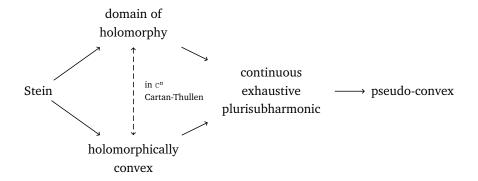
7.10 (Holomorphically convex domains). Let $\Omega \subset \mathbb{C}^n$ be a domain. For compact $K \subset \Omega$, the *holomorphically convex hull* in Ω is the set

$$\widehat{K}_{\Omega} := \{ z \in \Omega : |f(z)| \le ||f||_{C(K)} \text{ for } f \in \mathcal{O}(\Omega) \}.$$

We say the domain Ω is *holomorphically convex* if for every compact $K \subset \Omega$ the holomorphically convex hull \widehat{K}_{Ω} is compact.

- (a) A polydisc, a convex domain is holomorphically convex.
- (b) Ω is holomorphically convex if and only if it is a domain of holomorphy if and only if $d(K, \partial \Omega) = d(\widehat{K}_{\Omega}, \partial \Omega)$ for every compact $K \subset \Omega$ (Cartan-Thullen theorem)
- **7.11** (Plurisubharmonic functions). Let X be a complex analytic space. An upper semi-continuous function $f: X \to \mathbb{R} \cup \{-\infty\}$ is said to be *plurisubharmonic* if for every holomorphic $\varphi: \mathbb{D} \subset \mathbb{C} \to X$ the composition $f \circ \varphi$ is subharmonic.
 - (a) If Ω is a domain of holomorphy, then $-\log d$ is plurisubharmonic.
- 7.12 (Pseudo-convex domains).
- 7.13 (Levi problem).

Oka lemma?



7.4 Cartan theorem

Cartan's theorem B: if \mathcal{F} is a coherent sheaf on a Stein manifold X, then $H^p(X, \mathcal{F}) = 0$ for $p \ge 1$. Cousin problems in terms of sheaf cohomologies:

1. Characterize the image of $H^0(X, \mathcal{M}) \to H^0(X, \mathcal{M}/\mathcal{O})$. It is a generalization of the Mittag-Leffler theorem for prescribed poles. Consider an exact sequence

$$H^0(X, \mathcal{M}) \to H^0(X, \mathcal{M}/\mathcal{O}) \to H^1(X, \mathcal{O}) = 0.$$

Then, the first Cousin problem is solved when *X* is a Stein manifold.

2. Characterize the image of $H^0(X, \mathcal{M}^\times) \to H^0(X, \mathcal{M}^\times/\mathcal{O}^\times)$. It is a generalization of the Weierstrass theorem for prescribed zeros. Consider an exact sequence

$$H^0(X, \mathcal{M}^{\times}) \to H^0(X, \mathcal{M}^{\times}/\mathcal{O}^{\times}) \to H^1(X, \mathcal{O}^{\times}).$$

The sheaf $\mathcal{M}^{\times}/\mathcal{O}^{\times}$ is the sheaf of Cariter divisors, and line bundles are classified by $H^1(X,\mathcal{O}^{\times})$. Considering the exponential exact sequence, we also have an exact sequence

$$0 = H^1(X, \mathcal{O}) \to H^1(X, \mathcal{O}^{\times}) \to H^2(X, \mathbb{Z}) \to H^2(X, \mathcal{O}) = 0.$$

Then, the second Cousin problem is solved when X is a Stein manifold such that $H^2(X,\mathbb{Z}) = 0$. We can compute this by the first Chern class.