

# Von Neumann Algebras

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November 6, 2023

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**Part I**

**Fundamentals**

# Chapter 1

## 1.1

**1.1** (Support projections of operators). Let  $x$  be an element of a von Neumann algebra  $M$ . The *left support projection* of  $x$  is the minimal projection  $p \in M$  such that  $x = px$ , denoted by  $s_l(x)$ . The *right support projection* of  $x$  is defined as the left support projection of  $x^*$ . The projections  $s_l(x)$  and  $1 - s_r(x)$  are also called the *range* and *kernel* projections of  $x$ , respectively.

- (a) Support projections of  $x$  uniquely exist.
- (b)  $x^*yx = 0$  if and only if  $s_l(x)ys_l(x) = 0$  for every  $y \in M$ .
- (c) We have  $s_r(x) = s_r(x^*x) = s_r(|x|)$ . In particular,  $s_l(x) = s_r(x)$  if  $x$  is normal.
- (d) If  $x^*x \leq y^*y$ , then there is a unique  $v \in M$  such that  $x = vy$  and  $s_r(v) \leq s_l(y)$ .
- (e) There is unique  $v \in M$  such that the polar decomposition  $x = v|x|$  holds and that  $s_r(x) = v^*v$ . Moreover,  $x^* = v^*|x^*|$  and  $s_l(x) = vv^*$ . In particular,  $s_l(x)$  and  $s_r(x)$  are Murray-von Neumann equivalent.

*Proof.* (a) Let  $x \in M$ . Since  $\text{im } x = \text{im}(xx^*)^{\frac{1}{2}}$ , we may assume  $0 \leq x \leq 1$ . Then,  $x^{2^{-n}}$  is an increasing sequence in  $M$  bounded by one, so it converges strongly to some  $p \in M_+$ . We can check  $p^2 = p$  by... We can check  $p$  is the range projection of  $x$  by...

(e) Since  $x^*x \leq |x|^*|x|$ , there is a unique  $v \in M$  such that  $x = v|x|$  and  $v = vs_l(|x|) = vs_r(x)$ . Then,  $s_r(x) - v^*v = s_r(x)(1 - v^*v)s_r(x) = 0$  from  $|x|(1 - v^*v)|x| = |x|^2 - |x|^2 = 0$ , and  $s_l(x) - vv^* = s_l(x)(1 - vv^*)s_l(x) = 0$  from  $x^*(1 - vv^*)x = |x|^2 - |x|^2 = 0$ . The partial isometry  $v$  is unique since  $s_r(x) = v^*v$  implies  $s_r(v) = s_r(v^*v) = s_r(s_r(x)) = s_r(x)$ . Similarly,  $s_l(v) = s_l(x)$ . The equality  $xv^* = |x^*|$  follows from  $xv^* = v|x|v^* \geq 0$  and  $|xv^*|^2 = vx^*xv^* = v|x|^2v^* = vx^* = |x^*|^2$ .  $\square$

**1.2** (Support projections of states).

**1.3** (Cyclic and separating vectors). A vector state is separating iff it is faithful.

If  $M \subset B(H)$  admits a separating vector, then every normal state is a vector state. (T:V.1.12, J:7.1.4?)

**1.4** (Countable decomposable von Neumann algebras). Let  $M$  be a von Neumann algebra. A projection  $p \in M$  is called *countably decomposable* if mutually orthogonal nonzero projections majorized by  $p$  are at most countable, and we say  $M$  is *countably decomposable* if the identity is. The followings are all equivalent.

- (a)  $M$  is countably decomposable.
- (b)  $M$  admits a faithful normal state.
- (c)  $M$  admits a module with a cyclic and separating vector.
- (d) The unit ball of  $M$  is metrizable in the  $\sigma$ -strong topology.

*Proof.*

□

**1.5** (Separable von Neumann algebras). Let  $M$  be a von Neumann algebra. The followings are all equivalent.

- (a)  $M$  has the separable predual.
- (b)  $M$  admits a faithful separable module.
- (c)  $M$  is countably decomposable and countably generated.
- (d) The unit ball of  $M$  is metrizable in the  $\sigma$ -weak topology.

*Proof.*

□

## Chapter 2

# Modular theory

### 2.1 Weights

2.1 (Ideals associated to weights). left ideal, definition ideal

2.2 (Semi-cyclic representations). Let  $A$  be a  $C^*$ -algebra. A *semi-cyclic representation* is a representation  $\pi : A \rightarrow B(H)$  together with a linear map  $\psi : \mathfrak{n} \rightarrow H$  from a left ideal  $\mathfrak{n}$  of  $A$  into  $H$  with dense range, such that  $\pi(x)\psi(y) = \psi(xy)$  for  $x \in A$  and  $y \in \mathfrak{n}$ .

For a semi-cyclic representation, if we denote  $\mathfrak{m} := \mathfrak{n}^* \mathfrak{n}$ , then we have a bilinear form

$$\Theta : \mathfrak{m} \times \pi(A)' \rightarrow \mathbb{C} : (y^*x, z) \mapsto \langle z\psi(x), \psi(y) \rangle.$$

With this, we can construct a linear map  $\theta : \mathfrak{m} \rightarrow (\pi(A)')_*$  and its transpose  $\theta^* : \pi(A)' \rightarrow \mathfrak{m}^\#$ .

Consider a weight  $\varphi$ .

- (a) A (it might require some condition here if  $A$  is not  $W^*$ ) weight on  $A$  defines a semi-cyclic representation and vice versa?
- (b) If  $A = M$  is a von Neumann algebra, then we can let  $\theta_* : \pi(M)' \rightarrow M_*$  to have  $\theta^{**} = \theta$ .
- (c)  $\theta^*$  is bijective onto the space of linear functionals on  $\mathfrak{m}$  absolutely continuous with respect to  $\varphi$ . (bounded Radon-Nikodym)

2.3 (Normal weights). Let  $M$  be a von Neumann algebra. Let  $\omega$  be a weight of  $M$ .

- (a)  $\omega$  is normal.
- (b)  $\omega$  is  $\sigma$ -weakly lower semi-continuous.
- (c)  $\omega$  is the supremum of a set of normal positive linear functionals.

*Proof.* (c) $\Rightarrow$ (b) $\Rightarrow$ (a) are clear.

(a) $\Rightarrow$ (b)

Suppose first  $M$  is countably decomposable so that  $B$  is metrizable.

□

### 2.2 Hilbert algebras

2.4. A *left Hilbert algebra* is a  $*$ -algebra  $A$  together with an inner product such that the left multiplication defines a nondegenerate  $*$ -homomorphism  $\lambda : A \rightarrow B(H)$ , where  $H := \overline{A}$ , and the involution is a closable antilinear operator whose domain contains  $A$ .

If an involution is an isometry, then it is also a right Hilbert algebra, which is the unimodular case.

## 2.3 Traces

**2.5** (Semi-finite and tracial von Neumann algebras). Let  $M$  be a von Neumann algebra. We say  $M$  is *semi-finite* if it admits a faithful normal semi-finite trace, and *tracial* if it admits a faithful normal tracial state.

- (a) regular representation and antilinear isometric involution  $J$ .  $L(G) = \rho(G)'$
- (b)  $M$  is semi-finite if and only if type III does not occur in the direct sum.
- (c) A factor  $M$  has at most one tracial state, which is normal and faithful.
- (d) A factor is tracial if and only if it is type  $\text{II}_1$ .

**2.6** (Semi-finite traces). Let  $M$  be a von Neumann algebra and  $\tau$  is a trace. For a trace  $\tau$

- (a)  $\tau$  is semi-finite if and only if  $x \in M^+$  has a net  $x_\alpha \in L^1(M, \tau)^+$  such that  $x_\alpha \uparrow x$  strongly.
- (b) Let  $\tau$  be normal and faithful. Then,  $\tau$  is semi-finite if and only if

$$\tau(x) = \sup\{\tau(y) : y \leq x, y \in L^1(M, \tau)^+\} \quad \text{for } x \in M^+.$$

**2.7** (Uniformly hyperfinite algebras). Let  $A$  be a uniformly hyperfinite algebra.

- (a) Every matrix algebra admits a unique tracial state.
- (b) Every UHF algebra admits a unique tracial state.
- (c) Every hyperfinite

measurable operators, unbounded operators affiliated with  $M$ , noncommutative  $L^p$  spaces for semi-finite von Neumann algebras, noncommutative  $L^p$  space for general von Neumann algebras: by Haagerup (crossed product), and by Kosaki-Terp (complex interpolation).

On semi-finite von Neumann algebras, measurable operators are affiliated. On a finite von Neumann algebras, affiliated operators are measurable.

- density of  $C(X)$  in  $L^p(X, \mu)$
- Hölder inequality
- Radon-Nikodym
- Riesz representation
- Fubini
- maximality of  $L^\infty$  in  $B(L^2)$

## 2.4 Modular automorphisms

**2.8** (Unitary group). (a)  $U(H)$  is strongly\* complete.

- (b)  $U(H)$  is not strongly complete.
- (c)  $U(H)$  is weakly relatively compact.

Let  $A$  be a  $C^*$ -algebra. Then,  $\overline{U(A) \cap B(1, r)}^{s*} = U(A'') \cap B(1, r)$ . In particular,  $U(A)$  is strongly\* dense in  $U(A'')$ . (Kaplansky?)

## Exercises

**2.9** (Lower semi-continuous weights). Let  $\varphi$  be a weight on a  $C^*$ -algebra  $A$ . The semi-cyclic representation of  $\varphi$  is non-degenerate if either  $A$  is unital or  $\varphi$  is lower semi-continuous. On a von Neumann algebra, there exists a weight that is not lower semi-continuous.

**2.10** (Completely additive weights). Let  $\varphi$  be a *completely additive* weight on a von Neumann algebra in the sense that for every orthogonal family  $\{p_\alpha\}$  of projections we have  $\varphi(\sum_\alpha p_\alpha) = \sum_\alpha \varphi(p_\alpha)$ .

- (a) A completely additive state on a von Neumann algebra is normal.
- (b) A completely additive and lower semi-continuous weight on a commutative von Neumann algebra is normal.



## Chapter 3

# Direct integral

### 3.1 Commutative von Neumann algebras

$\sigma$ -field is a unital  $\sigma$ -ring.  $\sigma$ -ideal is an ideal of a  $\sigma$ -ring which is a  $\sigma$ -ring.  $\sigma$ -ideal is sometimes called the measure class because it corresponds to an equivalence class of measures up to absolute continuity.

**3.1** (Enhanced measurable spaces). An *enhanced measurable space* is a measurable space  $(X, M)$  together with a  $\sigma$ -ideal  $N$  of  $M$ . A morphism between enhanced measurable spaces is a partial function  $f : X_1 \rightarrow X_2$  on a conegligible set such that  $f^*$  induces a ring homomorphism  $M_2/N_2 \rightarrow M_1/N_1$ .

- (a) Maharam's theorem: every enhanced measurable space is isomorphic to the disjoint union of  $\{0, 1\}^I$ , where  $I$  is an arbitrary cardinality...?
- (b) A  $\sigma$ -finite enhanced measurable space is isomorphic to a enhanced measurable space induced from a standard probability space...?
- (c) For  $\sigma$ -finite enhanced measurable spaces, a  $*$ -homomorphism  $L^\infty(X_2) \rightarrow L^\infty(X_1)$  induces a morphism  $X_1 \rightarrow X_2$ ...?

**3.2** (Maharam classification).

**3.3.** Noncommutative  $L^p$  spaces for a general weight?

- (a) For  $1 \leq p < \infty$ ,  $C_0(X) \rightarrow L^p(X, \mu)$  is a bounded linear maps of dense range.
- (b)  $L^\infty(X, \mu)$  is a m.a.s.a. of  $B(L^2(X, \mu))$ .

*Proof.* We will show bounded linear maps  $L^\infty(X, \mu)' \rightarrow M(X)$  and  $L^\infty(X, \mu) \rightarrow M(X)$  have the same image. Let  $y \in L^\infty(X, \mu)'$  and define  $\mu_y \in M(X)$  by

$$\mu_y(a) := \langle \pi_\mu(a)y\psi_\mu, \psi_\mu \rangle.$$

We claim that  $\mu_y$  factors through  $L^1(X, \mu)$ . □

Monotone convergence theorem states that a measure on a countably decomposable(?) enhanced measurable space  $X$  uniquely defines a 'countably' normal weight on the space of all measurable functions. Note that a 'countably' normal weight is normal on a countably decomposable von Neumann algebra.

**3.4** (Maximal commutative subalgebras). A commutative von Neumann algebra  $M$  is m.a.s.a. if and only if it admits a cyclic vector. In this case,  $M$  is spatially isomorphic to some  $L^\infty$  (if separable?).

*Proof.* □

separable commutative von Neumann algebra is generated by one self-adjoint element.  
hyperstonean sapces

## 3.2 Tensor products

$L^2(X, \mu, H) = L^2(X, \mu) \otimes H$  vector or operator-valued integrals

## 3.3 Measurable fields

3.5 (Effros Borel structure).

3.6 (Decomposition of states).

## 3.4 Types

finite, infinite, purely infinite, properly infinite, abelian projections

Type I factors. It possess a minimal projection. It is isomorphic to the whole  $B(H)$  for some Hilbert space. Therefore, it is classified by the cardinality of  $H$ .

Type II factors. No minimal projection, but there are non-zero finite projections so that every projection can be “halved” by two Murray-von Neumann equivalent projections.

In type  $II_1$  factors, the identity is a finite projection. Also, Murray and von Neumann showed there is a unique finite tracial state and the set of traces of projections is  $[0, 1]$ . Examples of  $II_1$  factors include crossed product, tensor product, free product, ultraproduct. Free probability theory attacks the free groups factors, which are type  $II_1$ .

In type  $II_\infty$  factors. There is a unique semifinite tracial state up to rescaling and the set of traces of projections is  $[0, \infty]$ .

In type III factors no non-zero finite projections exists. Classified the  $\lambda \in [0, 1]$  appeared in its Connes spectrum, they are denoted by  $III_\lambda$ . Tomita-Takesaki theory. It is represented as the crossed product of a type  $II_\infty$  factor and  $\mathbb{R}$ .

Amenability, equivalently hyperfiniteness is a very nice condition in von Neumann algebra theory. Group-measure space construction can construct them. There are unique hyperfinite type  $II_1$  and  $II_\infty$  factors, and their property is well-known. Fundamental groups of type II factors, discrete group theory, Kazhdan’s property (T) are used.

Tensor product factors such as Araki-Woods factors and Powers factors.

# **Part II**

# **Factors**

## Chapter 4

# Type II factors

**4.1.** Let  $M$  be a von Neumann algebra. Since every  $\sigma$ -weakly closed ideal of  $M$  admits a unit  $z$  so that we have  $zM, Mz \subset I \subset zIz \subset zMz$ , and it implies  $z$  is a central projection of  $M$ . A von Neumann algebra  $M$  on  $H$  is called a *factor* if  $M \cap M' = \mathbb{C} \text{id}_H$ , which is equivalent to that there are only two  $\sigma$ -weakly closed ideals of  $M$ . In a factor, every ideal of  $M$  is  $\sigma$ -weakly dense in  $M$

### 4.1

**4.2** (Crossed products). A p.m.p. action  $\Gamma \curvearrowright (X, \mu)$  gives

$$\alpha : \Gamma \rightarrow \text{Aut}(L^\infty(X)),$$

which has the Koopman representation

$$\sigma : \Gamma \rightarrow B(L^2(X)).$$

Then, we have a injective  $*$ -homomorphism

$$C_c(\Gamma, L^\infty(X)) \rightarrow B(L^2(X) \otimes \ell^2(\Gamma)) = B(\ell^2(\Gamma, L^2(X))),$$

whose element  $s \mapsto x_s$  is written in

$$\sum_{s \in \Gamma, fin} (x_s \otimes 1)(\sigma_s \otimes \lambda_s).$$

- (a)  $L(\Gamma)$  is a  $\text{II}_1$  factor if and only if  $\Gamma$  is a i.c.c. group.
- (b)  $L^\infty(X)$  is a m.a.s.a. of  $L^\infty(X) \rtimes \Gamma$  if and only if the p.m.p. action  $\Gamma \curvearrowright X$  is free.
- (c)  $L^\infty(X) \rtimes \Gamma$  is a  $\text{II}_1$  factor if and only if the p.m.p. action  $\Gamma \curvearrowright X$  is ergodic.

### 4.2 Ergodic theory

### 4.3 Rigidity theory

### 4.4 Free probability

### 4.5

Existentially closed  $\text{II}_1$  factors

## **Chapter 5**

### **Type III factors**

# **Part III**

## **Subfactors**

## Chapter 6

# Standard invariant

The way how quantum systems are decomposed. And has Galois analogy.

**6.1** (Jones index theorem). A *subfactor* of a factor  $M$  is a factor  $N$  containing  $1_M$ .

Tensor categories and topological invariants of 3-folds. Ergodic flows.

Ocneanu's paragroups Popa's  $\lambda$ -lattices Jones' planar algebras Quantum entropy

## **Part IV**

# **Noncommutative probability**