## Number Theory

Ikhan Choi

February 29, 2024

## **Contents**

Ι	Quadratic reciprocity	3
1	Congruence           1.1              1.2         Quadratic residue	<b>4</b> 4
2		6
3	Binary quadratic forms  3.1 Reduced forms	<b>7</b> 7 7 7
II	Multiplicative number theory	8
4	Arithmetic functions	9
5	Dirichlet's theorem	10
6	Prime number theorem	11
II	I Quadratic Diophantine equations	12
7	Pell's equation 7.1 Continued fraction	13 13 13
8	<ul><li><i>p</i>-adic numbers</li><li>8.1 Hensel lemma</li></ul>	<b>15</b>
9	Local-global principle 9.1 Hasse-Minkowski theorem	1 <b>7</b> 17
IV	Elliptic curves	18
10	Elliptic curves over $\mathbb C$ 10.1 $\mathbb P^2(\mathbb C)$	19 19

11 Elliptic curves over $\mathbb Q$	21
11.1 Finitely generatedness	21
11.2 Integral solutions	21
12 Elliptic curves over $\mathbb{F}_p$	22

# Part I Quadratic reciprocity

## Congruence

#### 1.1

- 1.1 (Computation with binomial theorem).
- 1.2 (Fermat's little theorem). and Euler theorem

$$a^p \equiv a \pmod{p}$$
.  $a^{\varphi(n)} \equiv 1 \pmod{n}$ .

Wilson's theorem  $(n-1)! \equiv -1 \pmod{n}$ .

### 1.2 Quadratic residue

1.3.

$$x^2 \equiv 0,1 \pmod{3,4}$$
  
 $x^2 \equiv 0,1,4 \pmod{5,8}$   
 $x^2 \equiv 0,1,3,4 \pmod{6}$ 

$$x^2 \equiv 0, 1, 2, 4 \pmod{7}$$

$$x^2 \equiv 0, 1, 4, 7 \pmod{9}$$

$$x^2 \equiv 0, 1, 4, 9 \pmod{12}$$

- **1.4** (Supplental laws). Let p be an odd prime.
  - (a)  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$ .
  - (b)  $\left(\frac{2}{p}\right) = 1$  if and only if  $p \equiv \pm 1 \pmod{8}$ .
  - (c)  $\left(\frac{3}{p}\right) = 1$  if and only if  $p \equiv \pm 1 \pmod{12}$ .
  - (d)  $\left(\frac{5}{p}\right) = 1$  if and only if  $p \equiv \pm 1 \pmod{5}$ .
- 1.5 (Euler's criterion).

$$\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \pmod{p}.$$

**1.6** (Quadratic Gauss sum). Let p be an odd prime. The quadratic Gauss sum is

$$\tau_p := \sum_{n=0}^{p-1} \zeta_p^{n^2},$$

where  $\zeta_p:=e^{2\pi i/p}$  is a primitive pth root of unity in any field. Define  $p^*:=(-1)^{\frac{p-1}{2}}p$ .

(a) We have

$$\tau_p = \sum_{a=0}^{p-1} \left(\frac{a}{p}\right) \zeta_p^a.$$

(b) We have

$$\tau_p^2 = p^*.$$

**1.7** (Quadratic reciprocity). Let p and q be distinct odd primes. Let L be the splitting field of  $x^p-1$  over  $\mathbb{F}_q$ . Let  $\zeta_p \in L$  be a primitive p-th root of unity. Define  $p^* := (-1)^{\frac{p-1}{2}}p$  and write

$$\sqrt{p^*} := \sum_{n=0}^{p-1} \zeta_p^{n^2} \in L.$$

Note that  $\sigma_q:L\to L:x\mapsto x^q$  is a field automorphism.

(a) From the Gauss sum, we have

$$\sigma_q(\sqrt{p^*}) = \left(\frac{q}{p}\right)\sqrt{p^*}.$$

(b) From the Euler criterion, we have

$$\sigma_q(\sqrt{p^*}) = \left(\frac{p^*}{q}\right)\sqrt{p^*}.$$

Proof. (a) We have

$$\sigma_q(\sqrt{p^*}) = \sigma_q\left(\sum_{a=0}^{p-1} \left(\frac{a}{p}\right)\zeta_p^a\right) = \sum_{a=0}^{p-1} \left(\frac{a}{p}\right)\zeta_p^{aq} = \sum_{a=0}^{p-1} \left(\frac{q}{p}\right)\left(\frac{aq}{p}\right)\zeta_p^{aq} = \left(\frac{q}{p}\right)\sqrt{p^*}$$

(b) By the Euler criterion, we have

$$\sigma_q(\sqrt{p^*}) = (p^*)^{\frac{q-1}{2}} \sqrt{p^*} = \left(\frac{p^*}{q}\right) \sqrt{p^*}.$$

#### **Exercises**

- **1.8** (Dirichlet theorems by quadratic reciprocity). (a) For  $f(x) \in \mathbb{Z}[x]$ , there exist infinitely many primes p such that  $p \mid f(x)$  for some x.
  - (b) There are infinitely many primes p such that  $p \equiv 1 \pmod{4}$ .
- 1.9.  $y^2 = f(x)$

Higher order sides: At least a prime divisor of f with a congruence (e.g. 4k + 3) Quantratic sides: Every prime divisor of f must satisfy a congruence (e.g. 4k + 1)

**1.10** (Primes of the form  $x^2 - ny^2$ ). (It is a very important problem in listing primes in  $\mathcal{O}_K$ ) (Want to describe the surjective homomorphism  $\operatorname{Spec} \mathbb{Z}[i] \to \operatorname{Spec} \mathbb{Z}$ )

#### **Problems**

- 1. Show that if  $\frac{x^2+y^2+z^2}{xy+yz+zx}$  is an integer, then it is not divided by three.
- 2. There is no non-trivial integral solution of  $x^4 y^4 = z^2$ .

## Binary quadratic forms

- 3.1 Reduced forms
- 3.2 Indefinite forms
- 3.3 Ideal class group
- **3.1** (Heegner number). There are only nine numbers

$$-1, -2, -3, -7, -11, -19, -43, -67, -163.$$

#### **Exercises**

- **3.2** (Mordell equation with no solutions). k = 7, -5, -6, 45, 6, 46, -24, -3, -9, -12.
  - (a)  $y^2 = x^3 + 7$  has no integral solutions.

*Proof.* (a) Taking mod 8, x is odd and y is even. The factorization

$$y^2 + 1 = (x + 2)((x - 1)^2 + 3),$$

implies the existence of a prime factor p = 4k + 3 of  $y^2 + 1$ , which is impossible, so the equation has no solutions.

**3.3** (Mordell equation with solutions). (a)  $y^2 = x^3 - 2$  has only two solutions.

*Proof.* (a) Taking mod 8, x and y are odd. Consider a ring of algebraic integers  $\mathbb{Z}[\sqrt{-2}]$ . Write  $N = N_{\mathbb{Q}(\sqrt{-2})/\mathbb{Q}}$ . The equation is factorized into

$$x^3 = (y - \sqrt{-2})(y + \sqrt{-2}).$$

Let  $\delta$  be a common divisor of  $y \pm \sqrt{-2}$ . Then  $\delta \mid 2\sqrt{-2}$  implies  $N(\delta) \mid N(2\sqrt{-2}) = 8$ , and since  $N(\delta) \mid N(y - \sqrt{-2}) = x^3$  is odd, we have  $N(\delta) = 1$  and  $\delta$  is a unit. It means that  $y \pm \sqrt{-2}$  are relatively prime. Since the units in  $\mathbb{Z}[\sqrt{-2}]$  are  $\pm 1$ , which are all cubes,  $y \pm \sqrt{-2}$  are cubes in  $\mathbb{Z}[\sqrt{-2}]$ .

Let

$$y + \sqrt{-2} = (a + b\sqrt{-2})^3 = a(a^2 - 6b^2) + b(3a^2 - 2b^2)\sqrt{-2}$$

so that  $1 = b(3a^2 - 2b^2)$ . We can conclude  $b = \pm 1$ . The possible solutions are  $(x, y) = (3, \pm 5)$ , which are in fact solutions.

# Part II Multiplicative number theory

## **Arithmetic functions**

# Dirichlet's theorem

## Prime number theorem

# Part III Quadratic Diophantine equations

## Pell's equation

#### 7.1 Continued fraction

Diophantine approximation, Thue theorem

#### 7.2

Ellipse is reduced by finitely many computations.

Especially for hyperbola, here is a strategy to use infinite descent.

- (a) Let midpoint to be origin.
- (b) Find the subgroup of  $SL_2(\mathbb{Z})$  preserving the image of hyperbola(which would be isomorphic to  $\mathbb{Z}$ ).
- (c) Find an impossible region.
- (d) Assume a solution and reduce it to the either impossible region or the ground solution.

Example 7.2.1 (Pell's equation). Consider

$$x^2 - 2y^2 = 1$$
.

A generator of hyperbola generating group is  $g = \begin{pmatrix} 3 & 4 \\ 2 & 3 \end{pmatrix}$ . It has a ground solution (1,0) and impossible region 1 < x < 3. If (a,b) is a solution with a > 0, then we can find n such that  $g^n(a,b)$  is in the region [1,3). The possible case is  $g^n(a,b) = (1,0)$ .

Example 7.2.2 (IMO 1988, the last problem). Consider a family of equations

$$x^2 + y^2 - kxy - k = 0.$$

By the vieta jumping, a generator is  $g:(a,b)\mapsto (b,kb-a)$ . It has an impossible region xy<0:  $x^2+y^2-kxy-k\geq x^2+y^2>0$ . If (a,b) is a solution with a>b, then we can find n such that  $g^n(a,b)$  is in the region  $xy\leq 0$ . Only possible case is  $g^n(a,b)=(\sqrt{k},0)$  or  $g^n(a,b)=(0,-\sqrt{k})$ . In ohter words, the equation has a solution iff k is a perfect square.

In general, the transformation  $(x, y) \mapsto (y, ky - x)$  preserving the image of hyperbola is not easy to find. A strategy to find it in this problem is called the *Vieta jumping* or *root flipping*. It gets the name by the following reason: If (a, b) is a solution with a > b, then a quadratic equation

$$x^2 - kbx + b^2 - k = 0$$

has a root a, and the other root is kb-a so that (b,kb-a) is also a solution. The last problem is from the International Mathematical Olympiad 1988, and the Vieta jumping technique was firstly used to solve it.

## *p*-adic numbers

#### 8.1 Hensel lemma

Let  $p \in \mathbb{Z}$  be a prime. The ring of the p-adic integers  $\mathbb{Z}_p$  is defined by the inverse limit:

$$\mathbb{Z}_p := \lim_{\substack{n \in \mathbb{N} \\ n \in \mathbb{N}}} \mathbb{Z}/p^n \mathbb{Z} \to \cdots \to \mathbb{Z}/p^2 \mathbb{Z} \to \mathbb{Z}/p \mathbb{Z}.$$

We may define the local field  $\mathbb{Q}_p$  as  $\operatorname{Frac} \mathbb{Z}_p$ , or by the completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$ , where  $|\cdot|_p$  is an absolute value on  $\mathbb{Q}$  such that  $|p^ma|_p=\frac{1}{p^m}$ . Then,  $\mathbb{Z}_p:=\{x\in\mathbb{Q}_p:|x|_p\leq 1\}$ .

**Example 8.1.1.** Let p = 5. Observe

$$3^{-1} \equiv 2_5 \pmod{5}$$
  
 $\equiv 32_5 \pmod{5^2}$   
 $\equiv 132_5 \pmod{5^3}$   
 $\vdots$   
 $\equiv 1313132_5 \pmod{5^7}$ .

Therefore, we can write

$$3^{-1} = \overline{132}_5 = 2 + 3p + p^2 + 3p^3 + p^4 + \cdots$$

Since there is no term of negative power of 5, the number  $3^{-1}$  is a 5-adic integer.

**Example 8.1.2.** Let p = 3.

$$7 \equiv 1_3^2 \pmod{3}$$
  

$$\equiv 111_3^2 \pmod{3^3}$$
  

$$\equiv 20111_3^2 \pmod{3^5}$$
  

$$\equiv 120020111_3^2 \pmod{3^9} \cdots$$

Therefore, we can write

$$\sqrt{7} = \cdots 120020111_3 = 1 + p + p^2 + 2p^4 + 2p^7 + p^8 + \cdots$$

Since there is no term of negative power of 2,  $\sqrt{7}$  is a 3-adic integer.

- **8.1.** (a) The absolute value  $|\cdot|_p$  is nonarchimedean: it satisfies  $|x+y|_p \le \max\{|x|_p,|y|_p\}$ .
- (b) Every triangle in  $\mathbb{Q}_p$  is isosceles.

- (c)  $\mathbb{Z}_p$  is a discrete valuation ring: it is local PID.
- (d)  $\mathbb{Z}_p$  is open and compact. Hence  $\mathbb{Q}_p$  is locally compact Hausdorff.

*Proof.*  $\mathbb{Z}_p$  is open clearly. Let us show limit point compactness. Let  $A \subset \mathbb{Z}_p$  be infinite. Since  $\mathbb{Z}_p$  is a finite union of cosets  $p\mathbb{Z}_p$ , there is  $\alpha_0$  such that  $A \cap (\alpha_0 + p\mathbb{Z}_p)$  is infinite. Inductively, since

$$\alpha_n + p^{n+1} \mathbb{Z}_p = \bigcup_{1 \le x < p} (\alpha_n + x p^{n+1} + p^{n+2} \mathbb{Z}_p),$$

we can choose  $\alpha_{n+1}$  such that  $\alpha_n \equiv \alpha_{n+1}$  (mod  $p^{n+1}$ ) and  $A \cap (\alpha_{n+1} + p^{n+2}\mathbb{Z}_p)$  is infinite. The sequence  $\{\alpha_n\}$  is Cauchy, and the limit is clearly in  $\mathbb{Z}_p$ .

# Local-global principle

#### 9.1 Hasse-Minkowski theorem

**Theorem 9.1.1** (Sum of two squares). A positive integer m can be written as a sum of two squares if and only if  $v_p(m)$  is even for all primes  $p \equiv 3 \pmod{4}$ .

Let p be a prime with  $p \equiv 1 \pmod{4}$ . Every p-adic integer is a sum of two squares of p-adic integers.

# Part IV Elliptic curves

## Elliptic curves over $\mathbb{C}$

### 10.1 $\mathbb{P}^2(\mathbb{C})$

**10.1** (Weierstrass form). Let K be a field. An *elliptic curve* over K is a smooth algebraic curve E of genus one together with a specified base point E. There is an embedding E is that E is mapped to the infinity E in the E-axis and E is the zero set of E is the zero set of E-axis.

**10.2** (Legendre form).  $E(\mathbb{C}) \to \mathbb{P}^1(\mathbb{C})$  is a double cover ramified over the four points  $0, 1, \lambda, \infty \in \mathbb{P}^1(\mathbb{C})$ .

**10.3** (Invariants of elliptic curves). discriminant, *j*-invariant.

10.4 (Group law). from tangent lines, from Picard group, from quotient of the complex plane,

**10.5** (Isogenies). If a morphism  $E_1 \to E_2$  maps  $O_1$  to  $O_2$ , then it is a group isomorphism. dual isogeny,

**10.6** (Tate modules). Let K be a field of characteristic p and E be an elliptic curve over K. The set E[m] of points of order m is isomorphic to  $(\mathbb{Z}/m\mathbb{Z})^2$ , where m is prime to the characteristic of K. For a prime  $\ell \in \mathbb{Z}$  such that  $p \neq 0$ , the  $\ell$ -adic Tate module is the group  $T_{\ell}(E) := \lim_{\leftarrow n} E[\ell^n]$ . As a  $\mathbb{Z}_{\ell}$ -module, we have  $T_{\ell}(E) \cong \mathbb{Z}_{\ell}^2$  and  $T_p(E) \cong 0$  or  $\mathbb{Z}_p$  if p > 0. Then, we can associated a representation  $G_{\overline{K}/K} \to \operatorname{GL}_2(\mathbb{Z}_{\ell})$  and  $G_{\overline{K}/K} \to \operatorname{GL}_2(\mathbb{Q}_{\ell})$  by tensoring with  $\mathbb{Q}_{\ell}$ .

Let  $\mu_{\ell^n}$  be the group of  $\ell^n$ -th roots of unity in  $\overline{K}^{\times}$ . Then, we can also define a Tate module  $T_{\ell}(\mu)$  as the projective limit, and it is a multiplicative subgroup of  $\overline{K}^{\times}$  such that  $T_{\ell}(\mu) \cong \mathbb{Z}_{\ell}$ . Thus the one-dimensional Galois representation  $G_{\overline{K}/K} \to \operatorname{Aut}(\mathbb{Z}_{\ell}) = \mathbb{Z}_{\ell}^{\times}$ , called the *cyclotomic representation*.

The group of torsion points are homology groups which admit Galois actions. (E[m] and  $T_{\ell}(E)$  can be identified with  $H_1(E, \mathbb{Z}/m\mathbb{Z})$  and  $H_1(E, \mathbb{Z}_{\ell})$ .)

10.7 (Weil pairing).

**10.8** (Endomorphism rings). central simple algebras over K is classified by the Brauer group  $Br(K) = H^2(G_{\overline{K}/K}, \overline{K}^{\times})$ .

**10.9** (Automorphism groups). The order of Aut(E) divides 24. Aut(E) is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ ,  $\mathbb{Z}/4\mathbb{Z}$ , or  $\mathbb{Z}/6\mathbb{Z}$  over  $\overline{K}$  of characteristic not 2 or 3.

**Step 1.** The Riemann-Roch theorem proves that every curve of genus 1 with a specified base point can be described by the first kind of Weierstrass equation. Explicitly, the first form of Weierstrass equation is

$$y^2 + a_1 x y + a_3 y = x^3 + a_2 x^2 + a_4 x + a_6.$$
  
 $b_2 := a_1^2 + 4a_2, \quad b_4 = a_1 a_3 + 2a_4, \quad b_6 = a_3^2 + 4a_6.$ 

$$\begin{aligned} y &\mapsto y - \frac{1}{2}(a_1x + a_3). \\ y^2 &= x^3 + \frac{1}{4}b_2x^2 + \frac{1}{2}b_4x + \frac{1}{4}b_6. \\ c_4 &:= b_2^2 - 24b_4, \quad c_6 := -b_2^3 + 36b_2b_4 - 216b_6. \\ x &\mapsto x - \frac{1}{12}b_2. \\ y^2 &= x^3 - \frac{1}{48}c_4x - \frac{1}{864}c_6. \\ b_8 &:= a_1^2a_6 - a_1a_3a_4 + 4a_2a_6 + a_2a_3^2 - a_4^2 = \frac{b_2b_6 - b_4^2}{4}. \\ \Delta &:= -b_2^2b_8 - 8b_4^3 - 27b_6^2 + 9b_2b_4b_6 = \frac{c_4^3 - c_6^2}{1728}, \quad j := c_4^3/\Delta. \end{aligned}$$

#### Theorem 10.1.1. Let

$$E: y^2 = x^3 - Ax - B.$$

TFAE:

- (a) A point (x, y) is a singular point of E.
- (b) y = 0 and x is a double root of  $x^3 Ax B$ .
- (c)  $\Delta = 0$ .

*Proof.* (1) $\Rightarrow$ (2)  $\partial_y f = 0$  implies y = 0.  $f = \partial_x f = 0$  implies x is a double root of  $x^3 - Ax - B$ . A determines whether x is either cusp of node.

#### **10.2** ℂ/Λ

**10.10** (Invariant differential). The invariant differential  $\omega$  is a one-form that is invariant under the translation, which is unique up to scalar. If we consider a projective embedding  $E \to \mathbb{P}^2$  such that  $E(\mathbb{C})$  is given by the equation  $y^2 = f(x)$  for a cubic  $f \in \mathbb{C}[x]$ , then we can set  $\omega = dx/y$ . This implies that the second coordinate is equal to the first coordinate, the Weierstrass  $\wp$ -function, in the embedding. (Since  $\phi : \mathbb{C}/\Lambda \to E(\mathbb{C})$  is a group homomorphism and dz is the invariant differential on  $\mathbb{C}/\Lambda$ , we have  $dz = \phi^*(dx/y)$ , so  $(\wp(x) : \wp'(z) : 1)$ .)

# Elliptic curves over $\mathbb Q$

## 11.1 Finitely generatedness

Mordell-Weil, Mazur torsion

### 11.2 Integral solutions

Nagell-Lutz, Siegel, Baker's bound

Elliptic curves over  $\mathbb{F}_p$