

# Representation Theory

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## **Part I**

# **Finite groups**

# Chapter 1

## Character theory

### 1.1 Irreducible representations

1.1 (Definition of group representations).

1.2 (Intertwining maps).

1.3 (Subrepresentations). We say *invariant* or *stable*

1.4 (Irreducible representations). indecomposable and irreducible

1.5 (Maschke's theorem). Let  $G$  be a finite group and  $k$  be a field. Suppose the characteristic of  $k$  does not divide  $|G|$ . Let  $V$  be a finite-dimensional representation of  $G$  over  $k$ .

- (a) Every invariant subspace  $W$  of  $V$  has a complement  $W'$  in  $V$  that is also invariant.
- (b)  $V$  is isomorphic to the direct sum of irreducible representations of  $G$  over  $k$ .
- (c) If  $k = \mathbb{R}$  or  $\mathbb{C}$ , then  $V$  admits an inner product such that  $W \perp W'$  and  $\rho_V(g)$  is unitary for all  $g \in G$ .

1.6 (Schur's lemma). Let  $G$  be a group and  $k$  be a field. Let  $V$  and  $W$  be irreducible representations of  $G$  over  $k$ . Let  $\psi : V \rightarrow W$  be an intertwining map.

- (a) If  $V \not\cong W$ , then  $\psi = 0$ .
- (b) If  $V \cong W$ , then  $\psi$  is an isomorphism.
- (c) If  $k$  is algebraically closed and  $\dim V < \infty$ , then every intertwining map  $\psi : V \rightarrow V$  is a homothety.

### 1.2 Group algebra

1.7 (Modules and representations). ring  $\leftrightarrow$  group module  $\leftrightarrow$  representation finitely generated  $\leftrightarrow$  finite dimensional

1.8 (Wedderburn's theorem). central idempotents dimension computation

1.9 (Group algebra). regular representation  $k[G]$ -module and  $G$ -representation correspondence

- (a)  $\mathbb{C}[G]$  is the direct sum of all irreducible representations.
- (b)  $|G| = \sum_{[V] \in \hat{G}} (\dim V)^2$ .

1.10. The number of irreducible representations and the number of conjugacy classes double counting on  $Z(\mathbb{C}[G])$ .

## 1.3 Characters

**1.11** (Space of class functions). Ring and inner product structure on the space of class functions.

(a)  $\dim \text{hom}_G(V, W) = \langle \chi_V, \chi_W \rangle.$

(b) Irreducible characters form an orthonormal basis of the space of class functions.

**1.12** (Characters classify representations). Let  $G$  be a finite group and let  $\mathbf{Rep}(G)$  be the category of finite-dimensional representations of  $G$  over  $\mathbb{C}$ .

$$\text{Tr} : \mathbf{Rep}(G) \rightarrow \{\text{finite sum of irreducible characters}\}$$

surjectivity: trivial injectivity: Suppose two characters are equal. Maschke  $\rightarrow$  all characters are sum of irreducible characters Schur  $\rightarrow$  orthogonality, so the coefficients are all equal irreducible-factor-wisely construct an isomorphism.

**1.13** (Character table). computation of matrix elements by character table abelian group, 1dim rep lifting

$S^3$	$e$	$(12)$	$(123)$
1	1	1	1
$\varepsilon$	1	-1	1
$\rho$	2	0	-1

the dual inner product: conjugacy check relation to normal subgroups center of rep  
algebraic integer dim of irrep divides group order burnside pq theorem

## Chapter 2

# Classification of representations

### 2.1 Symmetric groups

young tableaux

### 2.2 Linear groups over finite fields

$GL_2$  and  $SL_2$  over finite fields

### 2.3 Induced representations

induction and restriction of reps (from and to subgroup) frobenius reciprocity, mackey theory  
tensoring, complex, real symmetric, exterior

## **Chapter 3**

# **Brauer theory**



**Part II**

**Lie algebras**

## Chapter 4

# Semisimple Lie algebras

### 4.1

group acts on an algebra  $A$  (e.g.  $\text{End}(V)$ ). then its group algebra acts on  $A$ . Lie algebra acts on  $A$ , and this Lie algebra information is enough to recover the group action. Geometric meaning of Lie algebra action?

Lie algebra can only considered as a quantization of Poisson bracket. How can the Poisson bracket embodies the group action?

Following Humphrey's book, let  $L$  be always finite dimensional Lie algebra unless stated.

**4.1.** Every associative algebra is a Lie algebra, where the Lie bracket is given by the commutator. For a Lie algebra, we are

Intuitions of subalgebras, ideals, derivations. Intuitions of solvable, nilpotent, and semisimple Lie algebras. Constructing representations, trace forms,

The *general linear Lie algebra*  $\mathfrak{gl}(V)$  is just  $\text{End}(V)$  with a Lie bracket  $[x, y] := xy - yx$ .

**4.2** (Derivations). Let  $L$  be a Lie algebra. A *derivation* of  $L$  is a linear map  $\delta : L \rightarrow L$  such that

$$\delta([x, y]) = [\delta(x), y] + [x, \delta(y)]$$

for all  $x, y \in L$ . The set of derivations  $\text{Der}(L)$  of  $L$  is a subalgebra of  $\mathfrak{gl}(L)$ , and we have the *adjoint representation*  $L \rightarrow \text{Der}(L) \leq \mathfrak{gl}(L)$  of  $L$ . If  $I$  is an ideal, then we have a faithful representation  $\text{ad} : L \rightarrow \text{ad } L \leq \text{Der}(I) \leq \mathfrak{gl}(I)$ .

**4.3** (Inner derivations and automorphisms). Let  $L$  be a Lie algebra.

The linear map  $\text{ad } x = [x, -] : L \rightarrow L$  for  $x \in L$  is derivation, and derivation of this form is called *inner*, and they form an ideal of  $\text{Der}(L)$ .

Automorphisms of the form  $\exp(\text{ad } x)$  with nilpotent  $\text{ad } x$  generates a normal subgroup of  $\text{Aut}(L)$ , and each generator is called *inner automorphisms*.

**4.4** (Solvable and nilpotent Lie algebras). Let  $L$  be a Lie algebra. If the *derived series*  $L^{(0)} = L$ ,  $L^{(n)} = [L^{(n-1)}, L^{(n-1)}]$  eventually vanishes, then we call  $L$  *solvable*.

If  $L$  is solvable, then its subalgebras and quotient algebras are all solvable. If  $I$  is a solvable ideal of  $L$  such that  $L/I$  is solvable, then  $L$  is solvable. The sum of two solvable ideals is also solvable.

Let  $L$  be a Lie algebra. If the *lower central series*  $L^0 = L$ ,  $L^n = [L, L^{n-1}]$  eventually vanishes, then we call  $L$  *nilpotent*. It is a stronger notion than solvability.

If  $L$  is nilpotent, then its subalgebras and quotient algebras are all nilpotent. If  $L/Z(L) \cong \text{ad}(L) \subset \mathfrak{gl}(L)$  is nilpotent, then  $L$  is nilpotent. If  $L$  is non-zero and nilpotent, then  $Z(L)$  is non-trivial.

#### 4.5 (Engel's theorem).

- (a) A linear Lie algebra  $L \subset \mathfrak{gl}(V)$  consists of nilpotent endomorphisms if and only if  $L \subset \mathfrak{n}(V)$  for a certain basis of  $V$ .
- (b) An abstract Lie algebra  $L$  is nilpotent if and only if  $\text{ad}(L)$  consists of nilpotent endomorphisms.
- (c) If  $L \subset \mathfrak{gl}(V)$  is nilpotent in  $\text{End}(V)$ , then there is a *common eigenvector*  $v \in V$  such that  $[L, v] = 0$ , i.e. there is a flag  $V_i$  such that  $xV_i \subset V_{i-1} \dots$ ?

*Proof.* Let  $L$  be an ad-nilpotent Lie algebra. Then, every element of  $\text{ad } L \subset \mathfrak{gl}(L)$  is a nilpotent endomorphism, so there is  $x \in L$  such that  $[L, x] = 0$ , which implies  $Z(L) \neq 0$ . Since  $L/Z(L)$  is also ad-nilpotent, and by induction on dimension,  $L/Z(L)$  is nilpotent. Therefore,  $L$  is nilpotent.  $\square$

#### 4.6 (Lie's theorem). Let $\mathbb{F}$ have characteristic zero and be algebraically closed.

- (a) A linear Lie algebra  $L \subset \mathfrak{gl}(V)$  is solvable if and only if  $L \subset \mathfrak{t}(V)$  for a certain basis of  $V$ .
- (b) If  $L$  is solvable, then there is a flag  $V_i$  such that  $xV_i \subset V_i$ .
- (c) Let  $L$  be an abstract Lie algebra.  $L$  is solvable if and only if  $[L, L]$  is nilpotent.
- (d) Every finite-dimensional irreducible representation of a solvable Lie algebra is one-dimensional.

*Proof.* Use induction on dimension. Since  $L/[L, L]$  is a non-trivial commutative Lie algebra, in which every subspace is an ideal, we can show the existence of an ideal  $K$  of  $L$  with codimension one by pullback.

By the induction assumption, we have a common eigenvector in  $V$  for  $K$  so that we have the “eigenvalue” linear functional  $\kappa : K \rightarrow \mathbb{F}$  such that the “eigenspace” of  $\kappa$  as

$$V_\kappa := \{v \in V : xv = \kappa(x)v \text{ for } x \in K\}$$

is non-trivial.

Let  $L = K + \mathbb{F}z$  with  $z \in \mathfrak{gl}(V)$ . If  $V_\kappa$  is invariant by  $L$ , then  $V_\kappa$  contains an eigenvector of  $z$  by the fact that  $\mathbb{F}$  is algebraically closed, so we can extend  $\kappa$  to obtain  $\lambda : L \rightarrow \mathbb{F}$  such that  $(V_\kappa)_\lambda$  is non-trivial.

We now show that  $V_\kappa$  is invariant by  $L$ . Let  $v \in V_\kappa$  and  $x \in L$ . Since

$$yxv - \lambda(y)xv = yxv - xyv = [y, x]v = \lambda([y, x])v$$

for  $y \in K$ , we have to show  $\lambda([y, x]) = 0$ . Take  $n$  to be largest such that  $v, \dots, x^{n-1}v$  are linearly independent. Since  $[x, y]$  is upper triangular matrix relative to the basis  $v, \dots, x^{n-1}v$  and the diagonal entries are  $\lambda([x, y])$ . Since the trace of  $[x, y]$  must be zero, we have  $\lambda([x, y]) = 0$  because  $\mathbb{F}$  has characteristic zero.  $\square$

There is a linear functional  $\lambda : L \rightarrow \mathbb{F}$  such that  $\lambda|_{[L, L]} = 0$  and  $V_\lambda$  is non-trivial.  $V_\kappa$

For a representation  $\pi : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ , then a weight of  $V$  is a linear functional  $\lambda : \pi(\mathfrak{h}) \rightarrow \mathbb{F}$  such that the weight space  $V_\lambda$  is non-trivial.

#### 4.7 (Jordan-Chevalley decomposition). Let $V$ be a finite-dimensional vector space over a field $K$ . Let $x \in \text{End}(V)$ . Even if $\mathbb{F}$ is not algebraically closed, we have a generalization of Jordan decomposition as follows:

$x = x_s + x_n$  iff  $x$  is the product of separable polynomials.

- (a) There exist unique  $x_s, x_n \in \text{End}(V)$  such that  $x = x_s + x_n$  and  $x_s$  semisimple,  $x_n$  nilpotent.
- (b)  $x_s$  and  $x_n$  are polynomials in  $x$ .
- (c) If  $x$  maps  $B$  to  $A$ , then  $x_s$  and  $x_n$  also map  $B$  to  $A$  for subspaces  $A \leq B \leq V$ .

*Proof.*

□

**4.8** (Cartan criterion). We will show a powerful criterion for solvability. Let  $\mathfrak{g}$  be a finite-dimensional Lie algebra over a field  $\mathbb{F}$ , and consider a finite-dimensional faithful representation  $\mathfrak{g} \subset \mathfrak{gl}(V)$ .

(a) If  $\text{tr}(xy) = 0$  for all  $x \in [\mathfrak{g}, \mathfrak{g}]$  and  $y \in \mathfrak{g}$ , then  $\mathfrak{g}$  is solvable.

*Proof.* Since the nilpotency of  $[\mathfrak{g}, \mathfrak{g}]$  implies the solvability of  $\mathfrak{g}$ , it suffices to show the derived Lie algebra  $[\mathfrak{g}, \mathfrak{g}]$  is nilpotent.

Let  $A \subset B$  be two linear subspaces of  $\mathfrak{gl}(V)$ . Let

$$M := \{x \in \mathfrak{gl}(V) : [x, B] \subset A\}.$$

If  $x \in M$  satisfies  $\text{tr}(xy) = 0$  for all  $y \in M$ , then  $x$  is nilpotent.

□

**4.9** (Levi decomposition). Therefore,  $\mathfrak{g}$  admits a unique maximal solvable ideal, called *radical*. Since the center is a solvable ideal, the center of a semisimple Lie algebra is trivial.

A canonical example of a solvable Lie algebra is the Lie algebra of upper triangular matrices. The radical of  $\mathfrak{gl}(n, K)$  is  $\mathfrak{sl}(n, K)$ . (Characteristic zero?) Upper triangular matrices do not form an ideal of  $\mathfrak{gl}(n, K)$ .

We have  $[\mathfrak{t}, \mathfrak{t}] = \mathfrak{n}$ ,  $\mathfrak{t} = \mathfrak{d} \oplus \mathfrak{n}$ .  $\mathfrak{t}$  is a solvable subalgebra of  $\mathfrak{gl}$ , but not a solvable ideal.

$\mathfrak{sl}(n, \mathbb{F})$  is simple if  $\text{char } K = 0$ .

(a)  $\mathfrak{g}$  is semi-simple if and only if the radical is trivial.

**4.10** (Killing form). Let  $\mathfrak{g}$  be a finite-dimensional Lie algebra over a field  $\mathbb{F}$ . Since an endomorphism algebra of a finite-dimensional vector space over a field has a canonical symmetric bilinear form defined called the trace form, the adjoint representation  $\text{ad} : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$  induces a symmetric bilinear form  $\kappa : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{F}$  called the *Killing form* such that  $\kappa(x, y) := \text{tr}(\text{ad } x \text{ ad } y)$  for  $x, y \in \mathfrak{g}$ .

(a) The kernel of  $\kappa$  is contained in the radical of  $L$ , and triviality is equivalent;  $L$  is semisimple if and only if  $L$  is non-degenerate. (Here we use Cartan's criterion)

(b) If  $L$  is semisimple, then it is the direct sum of simple ideals.

(c) If  $L$  is semisimple, then every derivation is inner.

(d) If  $L$  is semisimple, then  $L = [L, L]$  and every subalgebras and quotients are semisimple.

*Proof.* Suppose  $\text{rad } \mathfrak{g} = 0$ . The restriction of the Killing form of  $\mathfrak{g}$  to an ideal  $\mathfrak{i} \subset \mathfrak{g}$  is the Killing form of  $\mathfrak{i}$ .

□

**4.11** (Weyl's theorem on complete reducibility). Finite dimensional representation of a semisimple Lie algebra is completely reducible. Preservation of Jordan decomposition.

**4.12** (Toral subalgebras). Cartan subalgebra uniqueness (conjugacy theorem)

## Chapter 5

# Root systems

root space decomposition Killing form on Cartan subalgebra integrality and rationality Weyl group  
Classification: Coxeter graph Dynkin diagram Real forms

## Chapter 6

# Representations of Lie algebras

### 6.1 Representations of $\mathfrak{sl}(2, \mathbb{C})$

6.1 (Pauli matrices). Pauli matrices are

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

- (a)  $\{\sigma_1, \sigma_2, \sigma_3\}$  is a basis of complex Lie algebra  $\mathfrak{sl}(2, \mathbb{C})$ , and  $\{i\sigma_1, i\sigma_2, i\sigma_3\}$  is a basis of real Lie algebra  $\mathfrak{so}(3)$ .
- (b) For a unit vector  $n = (n_1, n_2, n_3) \in \mathbb{R}^3$ ,  $n_1\sigma_1 + n_2\sigma_2 + n_3\sigma_3$  has eigenvalues  $\pm 1$ .

### 6.2 Highest weight theory

Isomorphism and conjugacy theorem?

Existence: Universal enveloping algebra and the PBW theorem Verma module definition and quotient finiteness proof

### 6.3 Character theory

### 6.4 Multiplicity formulas

### Exercises

6.2 (Triplets and quadruplets). Let  $(\pi_2, V_2)$  be the irreducible representation of  $\mathfrak{sl}(2, \mathbb{C})$  of degree two. Consider  $V_2 \otimes V_2$ . Cartan element  $S_z$ .  $V_2^{\otimes 3}$ .

6.3 (Casimir element). Casimir element decomposes a representation into irreducible representations. For a faithful representation  $\varphi : L \rightarrow \mathfrak{gl}(V)$ , we can associate a non-degenerate trace form since  $L$  is semisimple. Then, the *Casimir element* of the representation  $\varphi$  is  $C_\varphi := \sum_i \varphi(x_i)\varphi(y_i) \in \text{End}(V)$  where  $i$  runs over dual bases relative to the trace form.

## **Part III**

# **Algebraic groups**

## Chapter 7

# Group schemes

Usually we define a variety as an integral separated scheme of finite type over a field. However, here we define a *variety* as a reduced separated scheme of finite type over a field to allow its reducibility. If a group scheme is a variety over a field, then we call it an *algebraic group*.

Reductive group schemes  $\subset$  Affine group schemes  $\supset$  Linear group schemes

Reductive group varieties  $\subset$  Affine algebraic groups  $=$  Linear algebraic groups

Projective algebraic groups  $=$  Abelian varieties



## Chapter 8

# Linear algebraic groups

### 8.1 Affine group schemes

### 8.2 Reductive groups

## Chapter 9

# Abelian varieties

### 9.1 Projective

**Part IV**

**Hopf algebras**

# Chapter 10

## 10.1

The category of affine group schemes is the opposite of the category of commutative Hopf algebras.

**10.1 (Hopf algebras).** Over the complex field, recall that the category of vector spaces is a symmetric monoidal category with the swap map  $\sigma_A : A \otimes A \rightarrow A \otimes A$  for each vector space  $A$ . A unital algebra can be internally defined as a vector space  $A$  together with linear maps  $\mu : A \otimes A \rightarrow A$  and  $\eta : \mathbb{C} \rightarrow A$  such that we have the following commutative diagrams:

$$\begin{array}{ccc} A \otimes A \otimes A & \xrightarrow{\mu \otimes \text{id}} & A \otimes A \\ \text{id} \otimes \mu \downarrow & & \downarrow \mu \\ A \otimes A & \xrightarrow{\mu} & A \end{array} \quad \begin{array}{ccc} A & \xrightarrow{\eta \otimes \text{id}} & A \otimes A \\ \text{id} \otimes \eta \downarrow & \searrow \text{id} & \downarrow \mu \\ A \otimes A & \xrightarrow{\mu} & A \end{array}$$

A *counital coalgebra* is a vector space  $A$  together with linear maps  $\delta : A \rightarrow A \otimes A$  and  $\varepsilon : A \rightarrow \mathbb{C}$  such that we have following commutative diagrams:

$$\begin{array}{ccc} A & \xrightarrow{\delta} & A \otimes A \\ \delta \downarrow & & \downarrow \delta \otimes \text{id} \\ A \otimes A & \xrightarrow{\text{id} \otimes \delta} & A \otimes A \otimes A \end{array} \quad \begin{array}{ccc} A & \xrightarrow{\delta} & A \otimes A \\ \delta \downarrow & \searrow \text{id} & \downarrow \varepsilon \otimes \text{id} \\ A \otimes A & \xrightarrow{\text{id} \otimes \varepsilon} & A \end{array}$$

The linear maps  $\mu$ ,  $\eta$ ,  $\delta$ , and  $\varepsilon$  are called the multiplication, unit, comultiplication, and counit.

A *biunital bialgebra*, or just simply a *bialgebra*, is a vector space  $A$  which is simultaneously a unital algebra and a counital algebra, satisfying the compatibility condition as the following four commutative diagrams:

$$\begin{array}{ccc} A \otimes A & \xrightarrow{\mu} & A \xrightarrow{\delta} A \otimes A \\ \delta \otimes \delta \downarrow & & \uparrow \mu \otimes \mu \\ A \otimes A \otimes A \otimes A & \xrightarrow{1 \otimes \sigma_A \otimes 1} & A \otimes A \otimes A \otimes A \end{array} \quad \begin{array}{ccc} A \otimes A & \xrightarrow{\varepsilon \otimes \varepsilon} & \mathbb{C} \\ \mu \searrow & & \nearrow \varepsilon \\ & A & \end{array} \quad \begin{array}{ccc} \mathbb{C} & \xrightarrow{\eta \otimes \eta} & A \otimes A \\ \delta \searrow & & \nearrow \eta \\ & A & \end{array} \quad \begin{array}{ccc} \mathbb{C} & \xrightarrow{\text{id}} & \mathbb{C} \\ \eta \searrow & & \nearrow \varepsilon \\ & A & \end{array}$$

This compatibility condition is equivalent to that the comultiplication and the counit are algebra homomorphisms, or that the multiplication and the unit are coalgebra homomorphisms. A *Hopf algebra* is a bialgebra  $A$  equipped with an invertible linear map  $\kappa : A \rightarrow A$ , called the *antipode*, satisfying the following hexagonal commutative diagram:

$$\begin{array}{ccccc} & A \otimes A & \xrightarrow{\kappa \otimes \text{id}} & A \otimes A & \\ \mu \nearrow & & & & \searrow \delta \\ A & \xrightarrow{\varepsilon} & \mathbb{C} & \xrightarrow{\eta} & A \\ \mu \searrow & & & & \nearrow \delta \\ & A \otimes A & \xrightarrow{\text{id} \otimes \kappa} & A \otimes A & \end{array}$$

A morphism between Hopf algebras is a linear map preserving the five structure maps  $\mu$ ,  $\eta$ ,  $\delta$ ,  $\varepsilon$ ,  $\kappa$ .

## **Chapter 11**

# **Quantum groups**