# APIT: Concurrency

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# Overview

- Concurrency
- Threading
- Solving threading problems
- Threads in Swing

# What is concurrency?

- Multiple parts of the program running simultaneously
- · Why?
  - Make use of multiple processors
  - Efficient integration with slow devices (e.g. disks)
  - User-friendly-ness (responsive OS)
- Useful (but oldish) paper
- In Java, we can use threads to build concurrent programs

#### Mental models

- Previously you might have had the model in your head of the computer being somewhere in your programme.
  - single point of execution
- With multiple threads, each thread is (potentially) in a different place at the same time!
  - multiple points of execution

# Motivating Example

- Making a GUI programme that will count down in a number of seconds entered into a JTextField when a button is pressed
- BadFrame.java: obvious solution doesn't work
- GoodFrame.java: needs threads.
  - In this case, things are complicated by the use of Swing to make the GUI.
  - We sill start with general Java threads and return to Swing later

To understand why BadFrame.java doesn't work you have to think a bit about how things happen in Swing (something we will come back to later). But, in short, when you call something like setText() on a Component it doesn't happen immediately (although it previously felt like it did). In fact, it won't happen until whatever is currently happening is completed. In this example, none of the updates to the JTextField will happen until the code in actionPerformed has completed. So, the counting finishes and then all of the setText() operations are done. The same applies to detecting button clicks (etc) and updating other GUI components. For example, whilst in the actionPerformed method, no button clicks are processed and no other GUI updates done (this is why the button stays in its blue pressed mode until the counting has finished).

# Threads in Java are objects

- Our previous objects have all been passive
- Thread objects are active:
  - They have attributes and methods
  - But they also run by themselves!

# Creating threads in Java

There are two ways of creating threads in Java:

- You must create a class that either:
  - Implements the Runnable interface
  - Extends the Thread class

## Implementing the Runnable interface

The Runnable interface is very simple:

```
public interface Runnable {
    public void run();
}
```

To use it:

- create a new class implementing this interface
- create a Thread object passing an instance of your class
- call Thread.start() (Note: we never call run())
- our new class *must* have a run() method.

For example, the following class implements Runnable and prints a particular String n times:

To use this class, we must create an instance and then place this instance within an instance of the Thread object:

```
public class RunnableTest {
    public static void main(String[] args) {
        PointlessPrint p = new PointLessPrint("Hello",100);
        Thread t = new Thread(p);
        t.start();
    }
}
```

 $\verb|t.start()| starts the thread by invoking the \verb|run()| method of PointlessPrint|$ 

From now on, we'll use nested classes for things like this, to make the code a bit more concise:

```
public class RunnableTest {
    private static class PointlessPrint implements Runnable {
        private String message;
        private int n;
        public PointlessPrint(String message,int n) {
            this.message = message;
            this.n = n;
        }
        public void run() {
            for(int i=0;i<n;i++) {</pre>
                System.out.println(i + "/" + n + " " + message);
            }
        }
    }
    public static void main(String[] args) {
        PointlessPrint p = new PointlessPrint("Hello",100);
        Thread t = new Thread(p);
        t.start();
    }
}
Question: What would happen if PointlessPrint wasn't static?
public class RunnableTest2 {
    private class PointlessPrint implements Runnable {
        private String message;
        private int n;
        public PointlessPrint(String message,int n) {
            this.message = message;
            this.n = n;
        }
        public void run() {
            for(int i=0;i<n;i++) {</pre>
                System.out.println(i + "/" + n + " " + message);
        }
    public static void main(String[] args) {
        RunnableTest2 r = new RunnableTest2();
        PointlessPrint p = r.new
            PointlessPrint("Hello",100);
        Thread t = new Thread(p);
        t.start();
    }
}
```

The whole point of threads is that we can simultaneously create many of them. This is straightforward via an array of Thread objects:

```
public static void main(String[] args) {
   int nThreads = 2;
```

```
Thread[] threads = new Thread[nThreads];
    for(int i=0;i<nThreads;i++)</pre>
        PointlessPrint p = new PointlessPrint(
            "I am thread " + i,10);
        threads[i] = new Thread(p);
        threads[i].start();
    }
}
Producing the following output:
0/10 I am thread 0
1/10 I am thread 0
0/10 I am thread 1
1/10 I am thread 1
2/10 I am thread 0
2/10 I am thread 1
6/10 I am thread 1
7/10 I am thread 0
7/10 I am thread 1
8/10 I am thread 0
8/10 I am thread 1
9/10 I am thread 0
9/10 I am thread 1
```

We can see from the order of the println statements that both threads are running at the same time.

- The order might change every time we run it
- The program stops once all threads are complete
- It's impossible for us to know when Java switches from one thread to another
- Note: They're not necessarily on different processors / cores, but might be

As an aside, here are two alternative main methods that would do the same thing. They should both make sense to you:

```
public static void main(String[] args) {
    int nThreads = 2;
    Thread[] threads = new Thread[nThreads];
    // Declare p outside the loop if we want future access to it
    PointlessPrint[] p = new PointlessPrint[nThreads];
    for(int i=0;i<nThreads;i++)
    {
        p[i] = new PointlessPrint("I am thread " + i,10);
            threads[i] = new Thread(p[i]);
            threads[i].start();
     }
}
public static void main(String[] args) {
    int nThreads = 2;</pre>
```

```
Thread[] threads = new Thread[nThreads];
for(int i=0;i<nThreads;i++)
{
    // Anonymous PointlessPrint object
    threads[i] = new Thread(new PointlessPrint("I am thread " + i,10));
    threads[i].start();
}</pre>
```

### Extending the Thread class

- The alternative to implementing the Runnable interface
- Create a new class that extends Thread
- The new class has to have a method that overrides run()
- The equivalent to our previous example can be found in SimpleThreadTest

```
public class SimpleThreadTest {
    private static class PointlessPrint extends Thread {
        private String message;
        private int n;
        public PointlessPrint(String message, int n) {
            this.message = message;
            this.n = n;
        }
        public void run() {
            for(int i=0;i<n;i++) {</pre>
                System.out.println(i + "/" + n + " " + message);
        }
    public static void main(String[] args) {
        PointlessPrint[] threads = new PointlessPrint[2];
        for(int i=0;i<2;i++) {</pre>
            threads[i] = new PointlessPrint("I am thread " + i,10);
            threads[i].start();
        }
    }
}
```

# You've been using threads all along

```
Can you predict the output of this?
public class MainThread extends Thread{
   public void run() {
        try {
            Thread.sleep(1000);
        }catch(InterruptedException e) {}
        System.out.println("Thread finished");
   }
```

```
public static void main(String[] args) {
    for(int i=0;i<10;i++) { new MainThread().start(); }
    System.out.println("THE END");
}
What's the implication?</pre>
```

You might think that THE END only appears once all the threads have finished. But actually it happends immediately after all threads have been started. This shows us that main is itself running on a thread and it can finish before the other threads. We will see how to make main wait using the join() method soon.

#### Thread names

- In our examples, we passed a message to a thread to help identify it
- Threads can also be given names through their constructor:

```
Thread t = new Thread(aRunnableThing, "my name");
Thread t = new Thread("my name");
  • which can be accessed via:
thread.getName()
  • See notes for examples
Example when implementing Runnable:
public class ThreadNameTest {
    private static class PointlessThread implements Runnable {
        private int n;
        public PointlessThread(int n) {
            this.n = n;
        public void run() {
            for(int i=0;i<n;i++) {</pre>
                System.out.println(Thread.currentThread().getName() +" "+ i);
        }
    public static void main(String[] args) {
        Thread[] threads = new Thread[2];
        for(int i=0;i<2;i++) {</pre>
            threads[i] = new Thread(new PointlessThread(10), "I am " + i);
            threads[i].start();
        }
    }
}
Example when extending Thread:
public class ThreadNameTest2 {
    private static class PointlessThread extends Thread {
        private int n;
        public PointlessThread(int n,String name) {
            super(name); // Thread constructor
            this.n = n;
```

```
public void run() {
    for(int i=0;i<n;i++) {
        System.out.println(this.getName() +" "+ i);
    }
}

public static void main(String[] args) {
    PointlessThread[] threads = new PointlessThread[2];
    for(int i=0;i<2;i++) {
        threads[i] = new PointlessThread(10,"Thread " + i);
        threads[i].start();
    }
}
</pre>
```

### Blocking methods

- Blocking methods are methods that rely on something else within the system for termination
  - Waiting for a timer to elapse
  - Waiting for another thread to end
- Because these methods rely on something external, they might be waiting forever.
- To ensure smooth running, they should be cancelable

### **Interrupted Exception**

- Threads can be interrupted by other threads
- When a thread is interrupted, one of two things happen:
  - If it is running an interruptable method (e.g. Thread.sleep()), the method unblocks and throws the InterruptedException
  - Otherwise, its (boolean) interrupted status is set
- Interrupted status can be read with Thread.isInterrupted()
- Interrupted status can be read and reset (0) with Thread.interrupted()

The following code will start a thread, wait a random amount of time and then interrupt it. When it is interrupted, it just finishes.

```
import java.util.Random;
public class InterruptTest {
   public static class InterruptableThread implements Runnable {
      public void run() {
        int i=0;
        while(Thread.currentThread().isInterrupted()==false) {
            System.out.println(i++);
        }
    }
   }
   public static void main(String[] args) {
      Thread t = new Thread(new InterruptableThread());
}
```

# Sleeping threads

• Thread.sleep(long time) is a blocking method which can be stopped by interrupting. If this happens, it throws InterruptedException so we must catch it somewhere:

```
public void run() {
    try {
        Thread.sleep(1000);
    }catch (InterruptedException e) {
        System.out.println("You woke me up");
    }
}
```

#### Join

- In many applications it will be useful to know if a Thread has finished.
- someThread.join() pauses the current thread until someThread has finished.
- join() throws an InterruptedException
- Syntax: aThread.join() pauses the thread that calls the method until aThread has finished.
  - This can be a bit confusing!

The following code starts 5 threads and then waits for each in turn to stop:

```
MyThread[] m = new MyThread[5];
for(int i=0;i<5;i++) {
    m[i] = new MyThread();
    m[i].start();
}
for(int i=0;i<5;i++) {
    m[i].join();
}
main doesn't finish until after the last thread</pre>
```

**Note**: the following is not good:

```
MyThread[] m = new MyThread[5];
for(int i=0;i<5;i++) {
    m[i] = new MyThread();
    m[i].start();
    m[i].join();
}</pre>
```

### Why?

After starting the first thread, the thread running main then waits for it to finish before starting the second one! This is a very common mistake.

# The benefits of parallel processing

- Many machines have multiple cores / processors
- Threads can be placed on different cores / processors
  - Java does this for us we have no control
- Running things in parallel should give us speed improvements
  - Although it increases system book-keeping
- Class example: merge sort

### Merge sort

- We'd like to sort the values in a large array.
- Can be made parallel:
  - Split the array into N smaller arrays
  - Sort the smaller arrays
  - Merge the results together
- How much speed-up will this give?

#### Shared variables

- A benefit of threads is the shared address space
  - Multiple threads can access the same shared resources
- For example, suppose I would like to make a system where several threads can all increment the same counter
- See CounterExample
- Why can't we just pass an Integer around instead of a MyCounter object? (see Immutable objects and Immutable objects 2)

```
public class CounterExample {
    public static class MyCounter {
        // We need this method because ints are immutable
        private int count = 0;
        public int getCount() {
            return count;
        }
        public void setCount(int count) {
                this.count = count;
        }
    }
    public static class Counter extends Thread {
        private MyCounter count;
        private int n;
    }
}
```

```
public Counter(MyCounter count,int n) {
        this.count = count;
        this.n = n;
    }
    public void run() {
        for(int i=0;i<n;i++) {</pre>
            int temp = count.getCount();
            temp++;
            count.setCount(temp);
        }
    }
}
public static void main(String[] args) {
    MyCounter count = new MyCounter();
    Counter c = new Counter(count, 100);
    c.start();
    try {
        c.join();
    }catch(InterruptedException e) {
        //Do nothing
    System.out.println(count.getCount());
}
```

This code outputs 100 at the end, as you might expect.

}

Question: try removing the join code (i.e. everything inside the try catch block) - what happens?

Let's now update main so that it creates several threads, all accessing the same MyCounter object (CounterExample2):

```
public static void main(String[] args) {
    MyCounter count = new MyCounter();
    int nCounters = 100;
    Counter[] c = new Counter[nCounters];
    for(int i=0;i<nCounters;i++) {
        c[i] = new Counter(count,1000);
        c[i].start();
    }
    try {
        for(int i=0;i<nCounters;i++) {
            c[i].join();
        }
    }catch(InterruptedException e) {
        //Do nothing
    }
    System.out.println(count.getCount());
}</pre>
```

If all works correctly, we should see 100 times 1000 (=100000). But we don't. Each time we run, we see something different (try it), always below 100000. What's happening?

<sup>•</sup> If we have many threads accessing the same shared object we don't always see what we might expect.

<sup>•</sup> In this example, if we have 100 threads all incrementing the same counter 1000 times then we should

see 100000 at the end.

• But we don't...any ideas why not?

```
public void run() {
    for(int i=0;i<n;i++) {
        int temp = count.getCount();
        temp++;
        count.setCount(temp);
    }
}</pre>
```

The problem is found in the run() method:

- Remember that we have no idea when Java will move from one Thread to another.
- If it moves between the getCount() and setCount() methods...

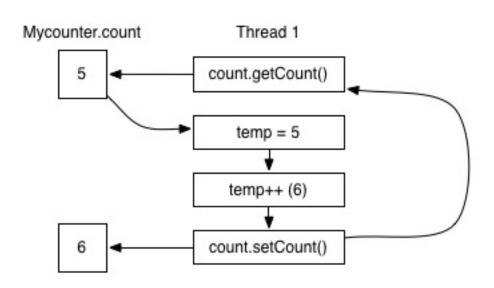


Figure 1: Single thread operation

- If control is passed between get and set the new thread sees an out of date value.
  - Remember: temp is local to each thread.
- In reality, thread 1 might be sitting dormant with temp=5 for a long time
- When it finally updates it, it will effectively delete lots of updates performed by other threads
- It is known as a race condition
- This is a big problem in multi-threaded programs
- We'll now look at ways of overcoming it

### Synchronized

• To overcome race conditions, we must *lock* objects.

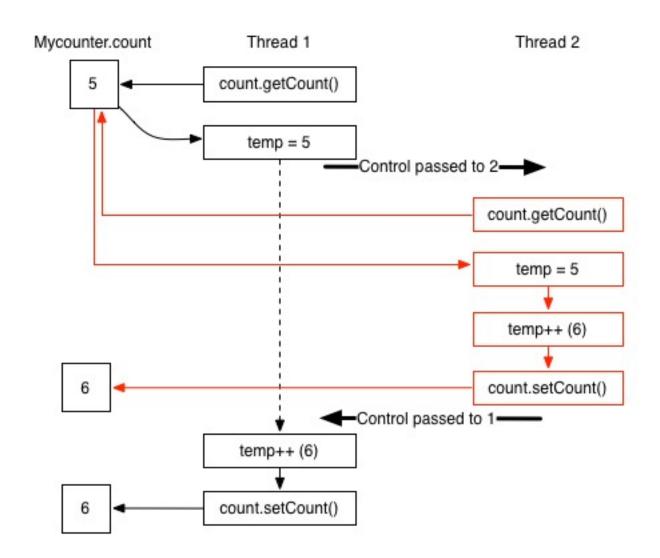


Figure 2: Multi-thread operation

- All objects have an associated monitor that can be locked or unlocked (we don't see the monitor, but it is there in the background)
- A thread can lock a monitor, ensuring no other threads can modify it
- Other threads trying are blocked until the lock is released
- The easiest way is with synchonized blocks and methods.
- CounterExample3 gives a new version of our Counter program
- Note that the incrementation is now done inside the class
- It still has the same problem, although perhaps not as extreme (try it and see. Why? Think about how count++ is done and the chances of being interrupted at a bad point)
- We can solve our race condition by making the increment() a synchronised method
  - when any thread is invoking a synchronized method, all other threads trying to are paused until it has finished
- See CounterExample4 ... problem solved
- Alternatively, we can just synchronize a block of code.
- E.g. instead of declaring increment() as synchronized we can:

```
public void run() {
    for(int i=0;i<n;i++) {
        synchronized(count) {
            count.increment()
        }
    }
}</pre>
```

- This causes the thread to lock the count object
- No other threads can modify count when the thread is in this block.
- This will also fix the problen try it
- There are other blocks that could be synchronized try some

#### Locks

- An alternative approach involves creating Lock objects
- For example, ReentrantLock() (CounterExample5):

```
public static class MyCounter {
    private int count = 0;
    private ReentrantLock counterLock =
        new ReentrantLock();
    public void increment() {
        counterLock.lock();
        count ++;
        counterLock.unlock();
    }
    ...
}
```

• When counterLock is locked, no other thread can lock it until it has been unlocked (Phonebooth analogy in BigJava)

- There's a problem: if the code between lock and unlock throws an exception the unlock never happens Phonebooth user collapsing??
- Always do the following to ensure the lock is released:

```
someLock.lock();
try {
    // Some code
}
finally {
    someLock.unlock();
}
```

#### **Deadlocks**

- What if two threads are both waiting for one another to release a lock?
- The program will hang indefinitely
- This is a deadlock
- For example, suppose adding another object to our CounterExample that decrements MyCounter
  - If we set the system up so that in total the same amount is incremented and decremented then count will sometimes become negative (depending on ordering of events)
  - See CounterDecounter
- We would like to ensure this number never goes negative
- One way of doing this would be to put some kind of wait condition in the decrement method (CounterDecounter2):

```
counterLock.lock();
try {
    while(count<amount) {
        Thread.sleep(1);
}catch (InterruptedException e) {
        // fall through
}finally {
        counterLock.unlock();
}</pre>
```

- This causes the program to hang whenever it tries to decrement by an amount that is greater than count.
  - Because the thread has locked counterLock no other thread can increase amount
  - This is a deadlock

#### **Conditions**

- Conditions allow threads to temporarily unlock locks whilst they await some condition to be fulfilled
- In this case, we'd like to temporarily unlock within a thread that is waiting to decrement
- Conditions are created from locks
- We can add a condition to MyCounter as follows:

```
private ReentrantLock counterLock = new ReentrantLock();
private Condition bigEnough = counterLock.newCondition();
```

- Threads can await the condition through the Condition.await() method
- We add this to our decrement method:

```
public void decrement(int amount) {
    counterLock.lock();
    try {
        while(count < amount) {
            bigEnough.await();
        }
        count -= amount;
        System.out.println("Subtracting " + amount + ", result " + count);
    }catch (InterruptedException e) {
        // Fall through
    }finally {
        counterLock.unlock();
    }
}</pre>
```

- A thread calling decrement when count<amount will wait until another thread invokes the Condition.signalAll() method.
- We put this method into the increment method:

```
public void increment(int amount) {
    counterLock.lock();
    try {
        count +=amount;
        System.out.println("Adding " + amount + ", result " + count);
        bigEnough.signalAll();
    }finally {
        counterLock.unlock();
    }
}
```

- Whenever an increment is made, all threads waiting on this condition are restarted.
- Note that the signalAll() method doesn't mean that amount is big enough
  - The syntax in decrement() means that signalAll() will cause the thread to check again.
  - It might just end up invoking await() again.
- Run CounterDecounter3 and verify that count never becomes negative

# Threads in Swing

- In general Swing is not thread safe
  - You can't use normal threads
  - Ignore everything up until now!
- But, Swing does give you threading capabilities
- First, why do we need threads in Swing?

```
- SwingThread
import javax.swing.JFrame;
import javax.swing.JButton;
import java.awt.event.ActionListener;
import java.awt.event.ActionEvent;
import javax.swing.JTextField;
import java.awt.GridBagLayout;
public class SwingThread extends JFrame implements ActionListener {
    private final JButton startButton,stopButton;
   private final JTextField countField, outField;
   public SwingThread() {
        super("Swing Thread");
        setDefaultCloseOperation(JFrame.EXIT_ON_CLOSE);
        getContentPane().setLayout(new GridBagLayout());
        startButton = makeButton("Start");
        stopButton = makeButton("Stop");
        countField = makeText();
        outField = makeText();
       pack();
        setVisible(true);
   private JTextField makeText() {
        JTextField b = new JTextField(30);
        getContentPane().add(b);
        return b;
   private JButton makeButton(String caption) {
        JButton b = new JButton(caption);
        b.setActionCommand(caption);
        b.addActionListener(this);
        getContentPane().add(b);
        return b;
   }
   public void actionPerformed(ActionEvent e) {
        if(e.getActionCommand() == "Start") {
            outField.setText("You pressed start");
            startCounting();
        }else if(e.getActionCommand() == "Stop") {
            outField.setText("You pressed stop");
        }
   private void startCounting() {
        try {
            for(int i=0;i<100;i++) {</pre>
                countField.setText(String.format("%d",i));
                Thread.sleep(100);
        }catch(InterruptedException e) {
```

```
}
public static void main(String[] args) {
    new SwingThread();
}
```

When this code is run, the system waits patiently until start is pressed. When this happens, control is passed to the actionPerformed() method. This method sets the text in outField and then starts the counter. During counting, the code updates countField and sleeps a lot.

You might guess that on pressing start you would see the text in outField immediately and then see the text in countField gradually increasing. Unfortunately, this is not what happens. When we call JTextField.setText() we are changing the text that is stored. For the screen to update, Java must do various other processes (hidden from us). These can't be done if the system is busy. In this case, the system is busy counting and so nothing changes on screen until the counting has finished, when we see the final value and 'you pressed start'.

To overcome this, we need to use the thread objects that swing provides.

- System becomes unresponsive whilst counting
- Nothing updates until counting has finished
  - until we exit the actionPerformed method
- We need threads

# The event dispatch thread

- Event handling code in Swing runs on the event dispatch thread
  - e.g. actionListeners
- Things on this thread should be short tasks
  - otherwise system becomes unresponsive
- Note: some swing component methods can be invoked from any thread (marked as thread safe in API)
  - Why isn't all of swing thread safe? read this

In our previous code, we unwittingly put the counter on the event dispatch thread and the system became unresponsive. Only very fast things should go on this thread (e.g. starting other threads that can run in the backgroun).

### Longer jobs - the SwingWorker class

- Long tasks should not be run on the event dispatch thread
- Instead we use worker threads
- Created by extending SwingWorker
- The new class must extend:
  - doInBackground()
- And can also use:
  - publish() and process() to display interim results
  - done() to invoke a method on the event dispatch thread when the task is complete.
- Counter.java

- Note that SwingWorker takes two types:
  - SwingWorker<A,B>
  - A: the return value
  - B: the object passed by publish
- Note also that process(List<B> b) takes a list
  - There may be many calls to publish before process is called
- The various Swing layout things should be things you've seen before?

SwingWorker objects allow us to run time consuming processes in the background without the system becoming unresponsive. When you extend the SwingWorker class, you have to implement doInBackground() which is the method called when we invoke the execute() method of the object. doInBackground() requires to object types to be specified: the first is its return value, the second is the type of object used by publish(). Other methods can also be implemented:

- publish(): this allows us to display preliminary results as our background task is running. Objects passed to publish can be retrieved when the system invokes process() ...
- process(): If we use publish() in doInBackground(), the system will periodically invoke process(). Process is passed a List of the objects passed to publish(). Often we'll only be interested in the last one. If you wanted to say update a JTextArea with current status, you should do so in process().
- done(): This method is invoked on the event dispatch thread when doInBackground() has finished useful for changing the enabled status of buttons etc, or displaying a message. Remember though, it's on the event dispatch thread so shouldn't be used to long tasks.

### Other swing thread operations

- Initial threads (in SwingUtilities):
  - invokeLater(Runnable go) runs go on the event dispatch thread.
  - invokeAndWait(Runnable go) runs go on the event dispatch thread and then waits for it to finish.
  - Typically, these are used for starting the GUI (i.e. creating a JFrame object):

```
public static void main(String[] args) {
    SwingUtilities.invokeLater(new Runnable() {
        new SwingThread();
    });
}
```

Initial threads are typically just used for initially creating GUI objects. They put runnable objects onto the event dispatch thread. It is good practise to always create your initial objects in this manner.

# Swing example 2 - Game Of Life

- Class exercise: building a Game of Life simulator
- Details: Conway's Game of life
- We need a responsive application that animates a 'world' and allows users to start, stop, toggle cells, change speed, clear the world and randomise the world