

# Problems from Hartshorne Chapter 2.2

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EXERCISE 2.7. Let  $X$  be a scheme. For any  $x \in X$ , let  $\mathcal{O}_x$  be the local ring at  $x$ , and  $\mathfrak{m}_x$  its maximal ideal. We define the *residue field* of  $x$  on  $X$  to be the field  $k(x) = \mathcal{O}_x/\mathfrak{m}_x$ . Now let  $K$  be any field. Show that to give a morphism of  $\text{Spec } K$  to  $X$  it is equivalent to give a point  $x \in X$  and an inclusion map  $k(x) \rightarrow K$ .

*Proof:* Suppose first that we have a map  $f : \text{Spec } K \rightarrow X$ . Topologically, this is determined solely by choosing an image  $x \in f(P)$  for the sole point  $P \in \text{Spec } K$ . Sheaf theoretically, this consists of a map  $f^\sharp : \mathcal{O}_X \rightarrow f_*\mathcal{O}_K$  (by  $\mathcal{O}_K$  we mean  $\mathcal{O}_{\text{Spec } K}$ ). This induces a local ring map on the stalk at  $P$ :  $f_P^\sharp : \mathcal{O}_{X,x} \rightarrow (f_*\mathcal{O}_K)_P = K$ , meaning that the maximal ideal  $\mathfrak{m}_x$  in  $\mathcal{O}_{X,x}$  is sent to the maximal ideal  $(0) \subseteq K$ , meaning that  $\mathfrak{m}_x = \ker f_P^\sharp$ . This in turn implies that  $f_P^\sharp$  factors through the quotient  $\pi : \mathcal{O}_{X,x} \mapsto k(x) = \mathcal{O}_{X,x}/\mathfrak{m}_x$  and hence induces a map  $k(x) \rightarrow K$ . This map is necessarily an inclusion since every ring homomorphism of fields is injective.

Now suppose we have an injection  $p : k(x) \hookrightarrow K$ . We can then define a map  $f_x^\sharp : \mathcal{O}_{X,x} \rightarrow K$  by  $f_x^\sharp = p \circ \pi$ , where  $\pi : \mathcal{O}_{X,x} \rightarrow k(x)$  is the quotient map. This is precisely a map on between the stalks  $\mathcal{O}_{X,x}$  and  $\mathcal{O}_{K,P}$ . If we define  $f : \text{Spec } K \rightarrow X$  by  $P \mapsto x$  and  $f^\sharp(U) : \mathcal{O}_X(U) \rightarrow f_*\mathcal{O}_K(U) = K$  by  $f^\sharp(U) = f_x^\sharp \circ \iota$  where  $\iota : \mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,x}$  is the natural localization map, then  $(f, f^\sharp)$  is a map of schemes. Note that for any open set  $U \subseteq X$  not containing  $x$  the map  $f^\sharp : \mathcal{O}_X(U) \rightarrow f_*\mathcal{O}_K(U)$  is necessarily the zero map, since  $f_*\mathcal{O}_K(U) = \mathcal{O}_K(f^{-1}(U)) = \mathcal{O}_K(\emptyset) = 0$ .  $\square$

EXERCISE 2.11. Let  $k = \mathbb{F}_p$  be the finite field with  $p$  elements. Describe  $\text{Spec } k[x]$ . What are the residue fields of its points? How many points are there with a given residue field?

*Proof:* The ring  $k[x]$  is a PID since  $k$  is a field, so the prime ideals are all principally generated by irreducible polynomials  $f \in k[x]$ .  $\square$

EXERCISE 2.18.

- (a) Let  $A$  be a ring,  $X = \text{Spec } A$   $f \in A$ . Show that  $f$  is nilpotent if and only if  $D(f)$  is empty.
- (b) Let  $\varphi : A \rightarrow B$  be a ring homomorphism and let  $f : \text{Spec } B \rightarrow \text{Spec } A$  be the induced morphism of affine schemes. Show that  $\varphi$  is injective if and only if the map of sheaves  $f^\sharp : \mathcal{O}_X \rightarrow f_*\mathcal{O}_X$  is injective. Show furthermore in that case  $f$  is *dominant*, i.e.  $f(\text{Spec } B)$  is dense in  $X$ .

*Proof:* (a) Recall that the nilradical of any ring is equal to the intersection of all its prime ideals. Therefore

$$f \text{ is nilpotent} \iff f \in \bigcap_{\mathfrak{p} \in \text{Spec } A} \mathfrak{p} \iff V(f) = \text{Spec } A \iff D(f) = \emptyset.$$

- (b) Note first that if  $f^\sharp : \mathcal{O}_{\text{Spec } A} \rightarrow f_*\mathcal{O}_{\text{Spec } B}$  is injective then it is injective on global sections and hence  $\varphi = f^\sharp(\text{Spec } A) : A \rightarrow B$  is injective. Suppose instead that  $f^\sharp$  is not injective, so that there is some  $U \subseteq \text{Spec } A$  such that  $f^\sharp(U) : \mathcal{O}_{\text{Spec } A}(U) \rightarrow \mathcal{O}_{\text{Spec } B}(f^{-1}(U))$  which is not an injective ring homomorphism. By taking  $f \in A$  such that  $D(f) \subseteq U$  (which exists since the sets  $D(f)$  are basic

opens) we can assume that  $U = D(f)$ . In this case, the map  $f^\sharp(D(f))$  is the map  $\varphi_f : A_f \rightarrow B_{\varphi(f)}$ . If this is not injective, then there is some  $n \in \mathbb{N}$  such that  $\varphi(f^n)\varphi(a) = 0 \implies \varphi(f^n \cdot a) = 0$  such that  $f^n a \neq 0$ , and hence  $\varphi$  is not injective.

Suppose now that  $\varphi : A \rightarrow B$  is injective. The map  $f$  is dominant if and only if  $f(\text{Spec } B)$  has nontrivial intersection with every (nonempty) basic open  $D(f)$ . Fix then a nonempty  $D(f)$ , which by part (a) means  $f$  is not nilpotent. Localizing at  $f$  yields a map  $\varphi_f : A_f \rightarrow B_{\varphi(f)}$ . Pulling back a maximal ideal  $\mathfrak{m} \in \text{Spec } B_{\varphi(f)}$  by  $\varphi_f$  yields a prime ideal in  $\mathfrak{p}$  in  $A_f$ , and then by the correspondence between  $\text{Spec } A_f$  and primes in  $\text{Spec } A$  which do not contain  $f$ , we get that  $f(\mathfrak{m}) = \varphi^{-1}(\mathfrak{m}) = \mathfrak{p} \in D(f)$ . Hence the image of  $f$  is dense in  $\text{Spec } A$ .

□