

Lecture Notes from Differential Geometry (Michaelmas 2021)

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§ *Lecture 1*

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Main Books:

- Nicolaescu: *Lectures on the Geometry of Manifolds*
- Lee: Introduction to smooth manifolds

For principal bundles:

- Sontz: *Principal Bundles, The Classical Case*
- Sontz: *Principal Bundles, The Quantum Case*

For physicists:

- Nakahara: *Geometry, Topology, and Physics*.

There will be times in the course where we refer to these books (almost exclusively Lee and Nicolaescu) for proofs which we take as black boxes – analysis-flavored things with which we do not wish to get sidetracked. These are not things that differential geometers don't typically think about. These proofs are non-examinable.

0 What is differential geometry?

Differential geometry is the study of smooth manifolds: spaces that locally look like \mathbb{R}^n in a smooth way. There are two primary ways to think about manifolds.

- **Embedded Manifolds** (Extrinsic Geometry): These are embedded manifolds viewed as subspaces in \mathbb{R}^n for some sufficiently large n . e.g. spheres, tori, genus 2 spaces are smooth subsets of \mathbb{R}^3 . Could also take $\{x^2 = y^2 + 1\} \subset \mathbb{R}^2$, which is a hyperbola. There is some subtlety about which equations we can take; for instance, $x^2 = y^2$ is *not* smooth. Could also take $\mathrm{SO}(n) \subseteq \mathbb{R}^{n^2}$, this is the set of $n \times n$ matrices so that $A^T A = 1$ and $\det(A) = 1$.
- **Abstract Manifolds** (Intrinsic Geometry): Reasonable topological spaces such that about each point p there are local coordinates such that coordinate transformations are smooth (i.e. C^∞).

One might worry that the embedding chosen in the first of the above cases affects the structure of the space. Working in the latter framework avoids this issue, since we don't care about the ambient space. Luckily, thanks to results such as the Whitney embedding theorem, we know that these two definitions are equivalent. We can always embed an abstract manifold in some high dimensional Euclidean space and can write down an embedded manifold as a smooth manifold.

0.1 Important Constructions

Once you have a manifold, there are some basic constructions you care about.

The **tangent space** at a point of a manifold is some linear approximation of the manifold at a point. It is clear how to define this for an embedded manifold – we can write down explicit equations describing a hypersurface tangent to the manifold at a point – but is less clear in the abstract case.

Smooth maps between manifolds and their derivatives are another object one might care about. The derivative of a smooth map ought to be some linear approximation of the map, and will therefore involve the tangent space.

Vector fields on the surface of a manifold objects which assign a vector to each point of a manifold. Closely related to this is the concept of a **flow**, which captures the idea of moving through the vector field in some way.

Submanifolds ought to make sense in some way. Embedded manifolds are submanifolds of \mathbb{R}^n , for instance.

We can even give our manifolds more structure, for instance, we can think of a group structure that is compatible with the smooth structure of the manifold. These are called **Lie groups**. There are surprising things that come out of doing things like this, for example, the tangent space at the identity of a Lie group becomes a **Lie algebra**. There is then a map from a Lie algebra to its associated Lie group called the exponential map:

$$\exp : \mathfrak{g} \rightarrow \mathcal{G}. \quad (1)$$

For $GL_n(\mathbb{R})$, the tangent space at the identity is $Mat_{n \times n}(\mathbb{R})$. It's Lie algebra structure is given by the commutator $[A, B] = AB - BA$, and the exponential map is

$$A \mapsto I + A + \frac{A^2}{2!} + \dots$$

Some questions are more subtle, however. One such question is this: "How does one differentiate a vector field?" One might go away and invent the rest of this course solely by trying to answer this question. For a vector field on \mathbb{R}^n , this is easy. What about a vector field on an embedded manifold $M \subseteq \mathbb{R}^3$?

One problem you immediately run into is that there is no way to differentiate in directions out of the surface, it's simply not defined. Even if we restrict to differentiating in directions pointing along the surface, the answer might yield a vector pointing out of the surface, so this is still a problem. For simple example of this, see minute 27:05 in the recording.

The obvious fix might be that you take the derivative of a vector field and then orthogonally project back onto the manifold, but then the choice of embedding matters quite a lot. To summarize,

- (i) Can't differentiate vector field in directions out of M
- (ii) If you differentiate along directions in the surface, you might end up with a vector pointing out of M
- (iii) If you orthogonally project the answer in (ii) back onto M , the choice of embedding will affect the answer.

In order to differentiate a vector field, we'll need to introduce the following machinery:

- Tensor and differential forms
- Connections
- Parallel transport – moving a vector along a path through a vector field such that its derivative along the path is zero.

- Curvature. This captures the path dependence of parallel transport. If I move a vector to a point $p \in M$ along two different paths, I will typically end up with different answers. Curvature captures this difference.

0.2 An abstract example of differentiating something nonobvious

In physics, there is spacetime which is some manifold X . A quantum particle moving along this manifold is described by a wavefunction $\psi \approx X \rightarrow \mathbb{C}$, but it isn't *quite* a function $X \rightarrow \mathbb{C}$. What matters is that you can measure the absolute value $|\psi|$ and the relative phases of two wavefunctions ψ_1 and ψ_2 .

So what exactly *is* ψ ? The differential geometry answer is that it's a section of a complex line bundle. These means we have a copy of \mathbb{C} at every point and we can rotate them relative to each other in order to obtain ψ . To write down the equations of motion for ψ we need to differentiate it, but now our fancy words have led us to completely lose grip on what ψ actually is. So how do we differentiate ψ ? This is rather nonobvious, for example, I might insist the derivative of ψ is zero at a point, whereas you might multiply ψ by some crazy function that rotates its phase in some fancy way and thereby insist its derivative is very much nonzero. To keep track of what the derivative in some sensible way, we introduce these things called **connections**. Connections are what allow us to differentiate vector bundles.

It turns out that the connection is actually the electromagnetic potential, so it has some nice physical meaning. One might call this a $U(1)$ connection, which means it's a $U(1)$ gauge theory. Its curvature is some field strength.

The moral here is that we started with some sensible physics, did some weird maths, and again ended up with some sensible physics stuff.

0.3 Course Outline and Beyond

Course Plan:

- Manifolds and smooth maps
- (Co)Tangent bundles + tensors
- Differential forms and de Rham Cohomology
- Submanifolds and foliations
- Flows and Lie derivatives
- Lie groups and Lie algebras
- Principal bundles, connections, and curvature.

Where can one go after this course? Within the world of pure maths, can study **geometric topology**. This includes low-dimensional topology (dimensions 3 and 4) and knot theory. These are both bare manifolds with no additional structure, so it makes sense that one can also study **geometric manifolds with extra structure**. This includes (pseudo)Riemannian geometry, symplectic geometry and topology, and complex manifolds and Kähler geometry. This even has applications to **complex dynamical systems** and **statistics**.

§ Lecture 2

Recorded: 09-10-2021 Notes: 2022-03-01

1 Manifolds and Smooth Maps

1.1 Manifolds

We add smoothness later, the first definitions are purely topological.

Definition 1.1. A *topological manifold* is a topological space X such that for all $p \in X$ there exists an open neighborhood U of $p \in X$, an open set $V \subseteq \mathbb{R}^n$, and a homeomorphism $\varphi : U \xrightarrow{\sim} V$. We also require that X is Hausdorff (i.e. distinct points can always be separated via disjoint open neighborhoods) and second-countable (i.e. has a countable basis).

Example 1.2. \mathbb{R}^n is a topological n -manifold.

- For any $p \in \mathbb{R}^n$ we can take $U = \mathbb{R}^n$ and then φ to be the identity map on \mathbb{R}^n .
- \mathbb{R}^n is Hausdorff
- It is second-countable because of some nonsense about the rationals, i.e. the set of balls with rational radius centered at points of $\mathbb{Q}^n \subseteq \mathbb{R}^n$ forms a countable basis for the topology on \mathbb{R}^n .

Why impose the second-countable and Hausdorff conditions? One answer is that any reasonable space ought to satisfy these, so why *not* include them? Another is that they play a role in the proofs of several technical results later on (c.f. Sard's theorem). The best reason is perhaps that you need Hausdorff and second-countable if you want abstract manifolds and embedded manifolds to be equivalent categories; every embedded manifold will inherit second-countability and Hausdorffness from \mathbb{R}^n , so we need these conditions in order for abstract manifolds to be embeddable in \mathbb{R}^n .

Remark 1.3.

- (i) The requirements “Hausdorff” and “second-countable” are important and not restrictive in practice. For a space locally homeomorphic to \mathbb{R}^n it's equivalent to require that “ X is metrizable and has countably many connected components”.
- (ii) “Hausdorff” and “second-countable” are inherited by topological subspaces.

Example 1.4. If X is a topological n -manifold then so is any open set $W \subseteq X$. To see this, given $p \in W$ pick

$$\varphi : U \xrightarrow{\sim} V$$

with $U \subseteq X$ and $V \subseteq \mathbb{R}^n$, and then take

$$\varphi|_{U \cap W} : U \cap W \xrightarrow{\sim} \varphi(U \cap W)$$

as the coordinate map on W .

We now include a terminology dump.

Terminology

- (i) φ is a *chart* about p
- (ii) U is a *coordinate patch*
- (iii) If x_1, \dots, x_n are the standard coordinates on \mathbb{R}^n , i.e. $x_i(a_1, \dots, a_n) = a_i$, then $x_1 \circ \varphi, \dots, x_n \circ \varphi$ are the local coordinates on U . We typically suppress φ in the notation and simply refer to x_1, \dots, x_n as the local coordinates on U .
- (iv) The inverse of a chart is a *parameterization*. It's easy to remember which way a parameterization goes, it parameterizes your manifolds with real numbers so it's a map $V \rightarrow X$ where $V \subseteq \mathbb{R}^n$. If you can't remember which way a chart goes, remember which way a parameterization goes.
- (v) If $\varphi_1 : U_1 \xrightarrow{\sim} V_1$ and $\varphi_2 : U_2 \xrightarrow{\sim} V_2$ are two charts, the corresponding local coordinates x_1, \dots, x_n and y_1, \dots, y_n are related by the transition function $\varphi_2 \circ \varphi_1^{-1} : V_1 \cap \varphi_2(U_1) \xrightarrow{\sim} V_2 \cap \varphi_1(U_2)$.

Definition 1.5. A map from an open subset of \mathbb{R}^a to \mathbb{R}^b is *smooth* if it has all partial derivatives of all orders.

This is a notion of smoothness on \mathbb{R}^n , but we want a notion of smoothness for maps between manifolds. Here's a half definition:

Preliminary-Definition. Given a manifold X , a map $f : X \rightarrow \mathbb{R}$ is smooth if $f \circ \varphi^{-1}$ is smooth for all charts φ , i.e. $f(x_1, \dots, x_n)$ is smooth as a function of local coordinates.

Notice that this depends on the local coordinates, which might relate to each other in weird ways and cause problems in the future.

Definition 1.6. Let X be a topological n -manifold.

- An *atlas* for X is a collection

$$\{\varphi_\alpha : U_\alpha \rightarrow V_\alpha\}_{\alpha \in \mathcal{A}}$$

of charts that cover X (i.e. $\bigcup_{\alpha \in \mathcal{A}} U_\alpha = X$).

- An atlas is *smooth* if all transition functions $\varphi_\beta \circ \varphi_\alpha^{-1}$ are smooth (as in Definition (1.5))
- Given a smooth atlas \mathbb{A} , a function $f : X \rightarrow \mathbb{R}$ is *smooth with respect to \mathbb{A}* if $f \circ \varphi_\alpha^{-1}$ is smooth for all φ_α in \mathbb{A} .

Lemma 1.7. A function $f : X \rightarrow \mathbb{R}$ is smooth if and only if $\forall p \in X, \exists$ a chart φ_α about p such that $f \circ \varphi_\alpha^{-1}$ is smooth.

Proof: The forward direction follows straight from the definition of smoothness above. For the converse, take a chart $\varphi_\beta : U_\beta \rightarrow V_\beta$. We want that $f \circ \varphi_\beta^{-1}$ is smooth. We know that for all $p \in U_\beta$, there is some φ_α such that $f \circ \varphi_\alpha^{-1}$ is smooth. But then near $\varphi_\beta(p)$ we have that

$$f \circ \varphi_\beta^{-1} = (f \circ \varphi_\alpha^{-1}) \circ (\varphi_\alpha \circ \varphi_\beta^{-1}).$$

| Both of the things on the right are smooth, hence the left hand side is smooth as well. \square

This definition works, but it again depends on a choice, this time on a choice of atlas. The atlas isn't particularly something we want to carry around all the time.

Definition 1.8. Let X again be a topological n -manifold.

- Two smooth atlases \mathbb{A} and \mathbb{B} are said to be *smoothly equivalent* if $\mathbb{A} \cup \mathbb{B}$ is smooth. Smooth equivalence is an equivalence relation.
- A *smooth structure on X* is an equivalence class of smooth atlases.

This definition is what will allow us to forget about the atlas and instead consider smooth structures, and the following lemma tells us how:

Lemma 1.9. If \mathbb{A} and \mathbb{B} are smoothly equivalent atlases for a topological manifold X , then $f : X \rightarrow \mathbb{R}$ is smooth with respect to \mathbb{A} if and only if it is smooth with respect to \mathbb{B} .

We're finally ready to define a smooth n -manifold.

Definition 1.10 (Smooth Manifold). A *smooth n -manifold* is a topological n -manifold equipped with a smooth structure. We abbreviate this to “ n -manifold” or “manifold”.

Example 1.11.

- \mathbb{R}^n is a smooth n -manifold, with smooth structure defined by the atlas $\{\text{id} : \mathbb{R}^n \rightarrow \mathbb{R}^n\}$.
- Open subsets of \mathbb{R}^n are smooth n -manifolds, as before
- If X and Y are smooth m -manifolds and n -manifolds respectively, then $X \times Y$ is a smooth $(m+n)$ -manifold defined by product charts.

Remark 1.12.

- Being a topological n -manifold is a *property of a space*, a topological space is either homeomorphic to a subset of \mathbb{R}^n or not.
- Being a smooth manifold is a property of a topological space **in addition to** a choice, namely a smooth structure.

Thus, the question “is X a topological manifold” makes sense, whereas “is X a smooth manifold” does not. However,

- (i) For $n \leq 3$, every topological n -manifold admits a unique smooth structure (up to diffeomorphism).
- (ii) For $n \geq 4$, a topological n -manifold may admit no smooth structure (e.g. the E_8 4-manifold) or it may admit multiple (infinitely many, even) smooth structures (e.g. the exotic S^7 sphere, homeomorphic but not diffeomorphic to S^7). These results are quite hard.

§ Lecture 3

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Definition 1.13. For a smooth n -manifold X , the integer n is called the *dimension* of X (unsurprisingly). We write $\dim X$ for this.

We'll prove that dimension is invariant under diffeomorphism. You might think that you don't need smooth structure to prove invariance of dimension, and this is true – but proving that dimension is invariant under homeomorphism is substantially harder and falls in the domain of algebraic topology.

You're free to add charts (or equivalently local coordinates) to your atlas as long as they preserve the smoothness of the manifold. Here is an example to give an idea of what we mean.

Example 1.14.

- (i) Given a point $p \in X$ and an open neighborhood W of p , we can always take/add a chart about p contained in W
- (ii) Can choose/add local coordinates about p such that p corresponds to the origin in these coordinates: take any chart φ about p and consider $\varphi - \varphi(p)$ to be the new local coordinates. That is, for $p \in U \subseteq X$ and $V \subseteq \mathbb{R}^n$,

$$\varphi : U \xrightarrow{\sim} V, \quad \varphi - \varphi(p) \text{ means do the subtraction in } \mathbb{R}^n$$

Example 1.15. The n -sphere, S^n , is the n -manifold whose underlying topological space is

$$\left\{ y \in \mathbb{R}^{n+1} \mid \|y\|^2 = 1 \subseteq \mathbb{R}^{n+1} \right\}$$

with the subspace topology. Smooth structure is defined by the following atlas. There are two charts

$$\varphi_{\pm} : U_{\pm} \xrightarrow{\sim} \mathbb{R}^n,$$

where $U_{\pm} = S^n \setminus \{(0, \dots, 0, \pm 1)\}$ and φ_{\pm} is stereographic projection. That is, U_+ is the sphere with the north pole removed and U_- is the sphere with the south pole removed.

As a reminder, stereographic projection involves taking the unique hyperplane through the origin of \mathbb{R}^{n+1} which is normal to $(0, \dots, 0, \pm 1)$. This is a copy of \mathbb{R}^n . For a point $p \in U_+$, the stereographic projection $\varphi_+(p)$ of p is the unique point on this hyperplane which intersects the line through $N = (0, \dots, 0, 1)$ and p . Likewise, for $p \in U_-$, $\varphi_-(p)$ is the unique point on the relevant hyperplane which lies on the line between $S = (0, \dots, 0, -1)$ and p . The symmetry of the sphere means that for $p \in U_+ \cap U_- = S^n \setminus \{N, S\}$, $\varphi_+(p) = \varphi_-(p)$. Explicitly,

$$\varphi_{\pm}(y_1, \dots, y_{n+1}) = \frac{(y_1, \dots, y_{n+1})}{1 \mp y_{n+1}}.$$

Local coordinates x^{\pm} satisfy

$$x_i^{\pm} = \frac{y_i}{1 \mp y_{n+1}}.$$

The *height function* y_{n+1} (which is just the projection of a point $p \in S^n$ to its $(n+1)$ th coordinate, i.e. the height of p above or below the plane through the equator) is smooth since it's given by

$$y_{n+1} = \pm \frac{\|x^{\pm}\|^2 - 1}{\|x^{\pm}\|^2 + 1} \text{ on } U_{\pm}.$$

1.2 Manifolds from Sets

Observation: If X is a manifold, the charts “know the topology” – a set $W \subseteq X$ is open if and only if $\varphi_\alpha(W)$ is open in \mathbb{R}^n for all charts φ_α . This is useful in practice, since it means we can simply take a set with an obvious set of charts to \mathbb{R}^n and then define the topology on our set by taking the inverse images of opens in \mathbb{R}^n under our chosen atlas.

More concretely, suppose we’re given

- a set X
- a collection $\{U_\alpha\}_{\alpha \in \mathcal{A}}$ of sets covering X
- for each α , an open set $V_\alpha \subseteq \mathbb{R}^n$ and a bijection $\varphi_\alpha : U_\alpha \rightarrow V_\alpha$.

Suppose that for all $\alpha, \beta \in \mathcal{A}$ the set $\varphi_\alpha(U_\alpha \cap U_\beta)$ is an open in V_α (or equivalently in \mathbb{R}^n) and the map

$$\varphi_\beta \circ \varphi_\alpha^{-1} : \varphi_\alpha(U_\alpha \cap U_\beta) \rightarrow \varphi_\beta(U_\alpha \cap U_\beta)$$

is smooth.

Definition 1.16 (Non-standard). Call such data a *smooth pseudo-atlas* on X , and call the φ_α *pseudo-charts*.

Lemma 1.17. Declare a set $W \subseteq X$ to be open if and only if for all α the set $\varphi_\alpha(W \cap U_\alpha)$ is open in \mathbb{R}^n . This defines a topology on X .

Proposition 1.18. As long as X is second-countable and Hausdorff under the topology given by the pseudo atlas, the space resulting from a pseudo atlas is a topological n -manifold and the pseudo atlas is a smooth atlas (hence it defines a smooth structure).

Proof: We need to check that the φ_α are homeomorphisms and that the U_α are open, but we already have that the transition functions $\varphi_\beta \circ \varphi_\alpha^{-1}$ are smooth by virtue of the pseudo atlas definition. To check that φ_α is a homeomorphism, need to check that for all $W \subseteq X$,

$$W \text{ open in } X \iff \varphi_\alpha(W) \text{ open in } V_\alpha.$$

The forward implication is obvious from the definition of a open in X , they’re defined in terms of their images under φ_α for arbitrary α . For the reverse implication, suppose that $\varphi_\alpha(W)$ is open. We want to show that, for any other β , the set $\varphi_\beta(W \cap U_\beta)$ is open. We have

$$\begin{aligned} \varphi_\beta(W \cap U_\beta) &= (\varphi_\beta \circ \varphi_\alpha^{-1}) \circ \varphi_\alpha(W \cap U_\beta) \\ &= (\varphi_\alpha \circ \varphi_\beta^{-1}) (\varphi_\alpha(W) \cap \varphi_\alpha(U_\alpha \cap U_\beta)). \end{aligned}$$

Since $\varphi_\alpha \circ \varphi_\beta^{-1}$ is continuous, $\varphi_\alpha(W)$ is open, and $\varphi_\alpha(U_\alpha \cap U_\beta)$ is open, we are done. □

We say that *two smooth pseudo atlases are equivalent if their union is a smooth pseudo atlas*.

Lemma 1.19. Equivalent smooth pseudo atlases define the same manifold structure. □

This discussion allows us to give a construction of our first interesting example of a manifold.

Example 1.20. The n -dimensional *real projective space* \mathbb{RP}^n is the space of lines (1-dimensional linear subspaces) in \mathbb{R}^{n+1} .

- Any non-zero $x \in \mathbb{R}^{n+1}$ defines a point $\langle x \rangle \in \mathbb{RP}^n$
- All lines arise in this way.
- Two points define the same line if and only if they differ by rescaling

We therefore label points of \mathbb{RP}^n by the ratios $[x_0 : \dots : x_n]$ which we call *homogeneous coordinates*. Explicitly, $x = [x_0 : \dots : x_n] = [y_0 : \dots : y_n] = y$ if there is some $\lambda \in \mathbb{R}$ such that $y = \lambda x$.

Define the following pseudo-charts for $i = 0, \dots, n$. Let $U_i = \{[x_0 : \dots : x_n] \mid x_i \neq 0\}$ and define a bijection

$$\varphi_i : U_i \longrightarrow \mathbb{R}^n \quad \text{by} \quad [x_0 : \dots : x_n] = \frac{(x_0, \dots, \hat{x}_i, \dots, x_n)}{x_i}.$$

This is a smooth pseudo-atlas and makes \mathbb{RP}^n into a smooth n -manifold.

Example 1.21. Take $X = \mathbb{R} \times \{1, 2\} / \sim$ where $(x, 1) \sim (x, 2)$ if $x < 0$, i.e. we take X to be two copies of the real line glued together at the negative points. We have a pseudo atlas given by

$$\mathbb{R} \times \{i\} \xrightarrow{\sim} \mathbb{R},$$

and while X is second-countable, X is not Hausdorff. We have two copies of the origin, but we cannot separate them with open sets. Therefore X is a set with a pseudo-atlas which fails to be a topological n -manifold.

Remark 1.22. We need not always start with a collection $\{V_\alpha\}$ of opens in \mathbb{R}^n and then specify a way to glue them together.