

# D-Modules, Unit $F$ -Crystals, and Hodge Theory

Definitions, Theorems, Remarks, and Notable Examples

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# 1 Questions

**Question 1.1.** What is the point of D-modules? Why do people care about them? What sort of questions do they answer? What insights do they provide?

## 2 Some Non-Commutative Algebra

D-modules requires non-commutative algebra. Necessary facts are found here.

### 2.1 Filtered rings and modules

This subsection follows Ginzburg's notes quite closely, see [BIBTEX SETUP, GINZBURG D-MODULES Page 3].

**Definition 2.1** (*Filtered Ring*). Let  $A$  be an associative ring with unit. We call  $A$  a *filtered ring* if an increasing filtration  $\dots \subset A_i \subset A_{i+1} \subset \dots$  by additive subgroups is given such that

$$(i) \ A_i A_j \subset A_{i+j}$$

$$(ii) \ 1 \in A_0,$$

$$(iii) \ \bigcup A_i = A, \text{ i.e. the filtration is } \textit{exhausting}.$$

Typically, either (a)  $\mathbb{N}$  or (b)  $\mathbb{Z}$  is chosen for the index set. In the former case  $A$  is said to be *positively filtered*. Note that (a) can be viewed as a special case of (b) by setting  $A_{-1} = 0$ . In the latter case we will consider the topology induced by the filtration by taking  $\{A_i\}_{i \in \mathbb{Z}}$  to be the base of open sets, and we then impose two additional conditions:

$$(iv) \ \bigcap A_i = \{0\}, \text{ i.e. the topology defined by } \{A_i\} \text{ is } \textit{separating}$$

1.  $A$  is complete with respect to this topology.

Finally, we denote by  $\text{gr}A$  the associated graded ring  $\bigoplus A_i/A_{i-1}$ .

### 3 Differential Operators and D-Modules

**Definition 3.1** (Quasi-coherent #1). Fix  $X$  a scheme over  $k$ ,  $\mathcal{O}_X$  the structure sheaf,  $\mathcal{F}$  a sheaf of  $\mathcal{O}_X$ -modules. We call  $\mathcal{F}$  a *quasi-coherent* sheaf of  $\mathcal{O}_X$ -modules (or simply an  $\mathcal{O}_X$ -modules) if it satisfies the condition

$$\text{If } U \subseteq X \text{ an open affine, } f \in \mathcal{O}_X(U), \text{ and } U_f = \{u \in U \mid f(u) \neq 0\},$$

then  $\mathcal{F}(U_f) = \mathcal{F}(U)_f = \mathcal{O}_X(U_f) \otimes_{\mathcal{O}_X(U)} \mathcal{F}$ .

**Definition 3.2** (Quasi-coherent #2). Let  $(X, \mathcal{O}_X)$  be a scheme. A sheaf of  $\mathcal{O}_X$ -modules  $\mathcal{F}$  is quasi-coherent if  $X$  can be covered by affine opens  $U_i = \text{Spec } A_i$  such that for each  $i$  there exists an  $A_i$  module  $M_i$  with  $\mathcal{F}|_{U_i} \cong \tilde{M}_i$ . We say  $\mathcal{F}_i$  is coherent if each  $M_i$  can be taken to be finitely generated.

**Remark 3.3.** If  $A$  is a ring and  $M$  an  $A$ -module, the sheaf associated to  $M$  is denoted by  $\tilde{M}$  and is formed as follows. For each  $\mathfrak{p} \in \text{Spec } A$ ,  $M_{\mathfrak{p}} = A_{\mathfrak{p}} \otimes_A M$  is the localization with respect to  $\mathfrak{p}$ . Given an open set  $U \subseteq \text{Spec } A$ , define

$$\tilde{M}(U) = \left\{ s : U \rightarrow \bigsqcup_{\mathfrak{p} \in U} M_{\mathfrak{p}} \mid s(\mathfrak{p}) \in M_{\mathfrak{p}}, \text{ and locally } s = \frac{m}{f}, m \in M, f \in A \right\}.$$

More verbosely, this last condition means that for each  $\mathfrak{p} \in U$  there is a neighborhood  $V \subseteq U$  of  $\mathfrak{p}$  such that for each  $\mathfrak{q} \in V$ ,  $f \notin \mathfrak{q}$  and  $s(\mathfrak{q}) = \frac{m}{f} \in M_{\mathfrak{q}}$ .

Alternatively, one may define

$$\tilde{M}(U_f) = M_f,$$

and then

$$\tilde{M}(U) = \varinjlim_{U_f \subseteq U} \tilde{M}(U_f).$$

Note that  $U_f$  is implied to be a distinguished open in one of the  $U_i$ , so really we need to take the limit above over all  $U_f$  in all  $U_i$  which intersect  $U$  nontrivially. This is a non-issue if  $U$  is affine.

**Lemma 3.4.** The following are equivalent conditions for  $\mathcal{F}$  a sheaf of  $\mathcal{O}_X$  modules:

- (a)  $\mathcal{F}$  is the direct limit of its coherent subschemes
- (b) For any Zariski open affine subset  $U \subseteq X$  and any  $f \in \mathcal{O}(U)$  one has  $\Gamma(U_f, \mathcal{F}) = \Gamma(U, \mathcal{F})_f$ .

A *quasi-coherent* sheaf is then one which satisfies these conditions.

**Lemma 3.5** (Noether Normalization Lemma). Let  $k$  be a field,  $A$  a finitely generated  $k$ -algebra. Then there exists algebraically independent elements  $y_1, \dots, y_d$  in  $A$  for some positive  $d$  such that  $A$  is finitely generated as a module over  $k[y_1, \dots, y_n]$ .

**Remark 3.6.** The Noether normalization lemma provides a way to define differential operators using a manifold-esque coordinate approach. I prefer the following coordinate-free approach provided by Gröthendieck, however.

**Definition 3.7** (Differential Operators). Let  $A$  be a commutative ring. For any pair of  $A$ -modules  $M, N$  we define the module  $\mathcal{D}iff_A^k(M, N)$  inductively as follows:

$$(i) \mathcal{D}iff_A^0(M, N) = \text{Hom}_A(M, N)$$

$$(ii) \mathcal{D}iff_A^{k+1}(M, N) = \left\{ \text{additive maps } u : M \rightarrow N \mid \forall a \in A, (au - ua) \in \mathcal{D}iff_A^k(M, N) \right\}$$

It follows from the definition that  $\mathcal{D}iff_A^k(M, N) \subset \mathcal{D}iff_A^{k+1}(M, N)$ . We define

$$\mathcal{D}iff_A(M, N) := \bigcup_k \mathcal{D}iff_A^k(M, N).$$

In the case that  $M = N$ , we write  $\mathcal{D}iff_A(M)$  and note that it is a filtered almost commutative ring.

## 4 D-Modules

**Definition 4.1.** A  $\mathcal{D}$ -module is a sheaf over the sheaf  $\mathcal{D}_X$  of regular differential operators over a variety (scheme, manifold, analytic complex manifold) which is quasi-coherent as an  $\mathcal{O}_X$ -module.

## 5 Bernstein-Sato Polynomial