

## Lecture 17

$K$  be a non-archimedean local field

Write  $U_K = \mathcal{O}_K^\times$ ,  $\pi \in \mathcal{O}_K$  unif.

Definition 13.10: For  $s \in \mathbb{Z}_+$  the  $s^{\text{th}}$  unit group  $U_K^{(s)}$  is defined by

$$U_K^{(s)} = (1 + \pi^s \mathcal{O}_K^\times).$$

Set  $U_K^{(0)} = U_K$ . Then we have

$$\dots \subseteq U_K^{(s)} \subseteq U_K^{(s-1)} \subseteq \dots \subseteq U_K^{(0)} = U_K.$$

Proposition 13.11:

$$(i) \quad U_K^{(0)} / U_K^{(1)} \cong (k^\times, \times) \quad (k = \mathcal{O}_K / \pi)$$

$$(ii) \quad U_K^{(s)} / U_K^{(s+1)} \cong (k, +) \quad s \geq 1.$$

Proof: (i) Reduction mod  $\pi$

$$\mathcal{O}_K^\times \rightarrow k^\times \quad \text{surjective.}$$

$$\text{with kernel } 1 + \pi \mathcal{O}_K = U_K^{(1)}.$$

$$(ii) \quad f: U_K^{(s)} \rightarrow k$$

$$1 + \pi^s x \mapsto x \bmod \pi$$

$$(1 + \pi^s x)(1 + \pi^s y) = 1 + \pi^s(x + y + \pi^s xy).$$

$$x + y + \pi^s(xy) \equiv x + y \bmod \pi, \text{ hence}$$

$f$  group hom., surjective with  $\ker(f) = U_K^{(s+1)}$

Corollary 13.12: Let  $[K, \mathbb{Q}_p] < \infty$ . Finite index

subgroup of  $O_K^\times$  isomorphic to  $(O_K, +)$

Proof:  $r > \frac{e}{p-1}$ ,  $(O_K, +) \cong U_K^{(r)}$

$U_K^{(r)} \subseteq U_K$  finite index by Prop 13.11.  $\square$

Remark: Not true for  $K$  equal char. - exp. not defined.

Eg.  $\mathbb{Z}_p$ ,  $p > 2$ ,  $e = 1$ , can take  $r = 1$ .

$$\begin{aligned} \text{then } \mathbb{Z}_p^\times &\cong (\mathbb{Z}/p\mathbb{Z})^\times \times (1+p\mathbb{Z}_p) \cong \mathbb{Z}/(p-1)\mathbb{Z} \\ x &\mapsto (x \bmod p, \frac{x}{x \bmod p}) \times \mathbb{Z}_p. \end{aligned}$$

$p = 2$ , take  $r = 2$ .

$$\begin{aligned} \mathbb{Z}_2^\times &\cong (\mathbb{Z}/4\mathbb{Z})^\times \times (1+4\mathbb{Z}_2) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}_2 \\ x &\mapsto (x \bmod 4, \frac{x}{x \bmod 4}) \end{aligned}$$

Get another proof that  $\varepsilon(x) = \begin{cases} +1 & x \equiv 1 \bmod 4 \\ -1 & x \equiv -1 \bmod 4 \end{cases}$

$$\mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 \cong \begin{cases} \mathbb{Z}/2\mathbb{Z} & p > 2 \\ (\mathbb{Z}/2\mathbb{Z})^2 & p = 2 \end{cases}$$

### § Higher ramification groups.

$L/K$  finite Galois extension of un-arch local f.e.

$\pi_L \in O_L$  unif.

Definition 14.1:  $v_L$  normalized valuation on  $L$ .

For  $s \in \mathbb{R} \geq -1$ , the  $s^{\text{th}}$  ramification group is

$$G_s(L/K) = \{ \sigma \in \text{Gal}(L/K) \mid v_L(\sigma(x) - x) \geq s+1 \quad \forall x \in O_L \}$$

Eg.  $G_{-1}(L/K) = \text{Gal}(L/K)$

$$G_0(L/K) = \{ \sigma \in \text{Gal}(L/K) \mid \sigma(x) \equiv x \bmod \pi_L \}$$

$$\begin{aligned}
 G_0(L/K) &= \{ \sigma \in \text{Gal}(L/K) \mid \sigma(\pi_L) = \pi_L \} \\
 &= \ker(\text{Gal}(L/K) \rightarrow \text{Gal}(K_L/K)) \\
 &= I_{L/K}.
 \end{aligned}$$

Note: For  $s \in \mathbb{Z}_{\geq 0}$

$$G_s(L/K) = \ker(\text{Gal}(L/K) \rightarrow \text{Aut}(\mathcal{O}_L / \pi_L^{s+1} \mathcal{O}_L))$$

hence  $G_s(L/K)$  normal in  $G$ .

We have

$$\dots \subseteq G_s \subseteq G_{s-1} \subseteq \dots \subseteq G_{-1} = \text{Gal}(L/K)$$

• Remark:  $G_s$  only changes at integers.

$G_s, s \in \mathbb{R}_{\geq -1}$  used to define upper ramifying.

Theorem 14.2: (i)

• For  $s \geq 1$ ,  $G_s = \{ \sigma \in G_0 \mid v_L(\sigma(\pi_L) - \pi_L) \geq s+1 \}$

(ii)  $\bigcap_{n=0}^{\infty} G_n = \{1\}$

(iii) Let  $s \in \mathbb{Z}_{\geq 0}$ .  $\exists$  injective group hom.

$$G_s / G_{s+1} \hookrightarrow U_L^{(s)} / U_L^{(s+1)}$$

induced by  $\sigma \mapsto \frac{\sigma(\pi_L)}{\pi_L}$ . This map is independent of the choice of  $\pi_L$ .

Proof: Let  $K_0 \subseteq L$  be max. unramified extension of  $K$  in  $L$ . Upon replacing  $K$  by  $K_0$ , wma  $L/K$  totally ramified

(1) Theorem 15.82)  $v_L = v_K(\pi_L)$ .

Suppose  $v_L(\sigma(\pi_L) - \pi_L) \geq s+1$ .

Let  $x \in \mathcal{O}_L$ , then  $x = f(\pi_L)$ ,  $f(x) = \mathcal{O}_K[x]$

$$\sigma(x) - x = \sigma(f(\pi_L)) - f(\pi_L)$$

$$\begin{aligned} &= f(\sigma(\pi_L)) - f(\pi_L) \\ &= (X - Y)(X^{n-1} + \dots + Y^{n-1}) = (\sigma(\pi_L) - \pi_L)g(\pi_L), \quad g(x) \in \mathcal{O}_K[x] \end{aligned}$$

$$\text{Thus } v_L(\sigma(x) - x) = v_L(\sigma(\pi_L) - \pi_L) + \underbrace{v_L(g(\pi_L))}_{\geq 0}$$

$$\geq s+1.$$

6

(ii) Suppose  $\sigma \in \text{Gal}(L/K)$ ,  $\sigma \neq 1$ .

Then  $\sigma(\pi_L) \neq \pi_L$  because  $L = K(\pi_L)$ ,

and hence  $v_L(\sigma(\pi_L) - \pi_L) < \infty$ .

Thus  $\sigma \notin G_s$  for  $s \gg 0$ .

(iii) Note: For  $\sigma \in G_s$ ,  $s \in \mathbb{Z}_{\geq 0}$ ,

$$\sigma(\pi_L) \in \pi_L + \pi_L^{s+1} \mathcal{O}_L$$

$$\Rightarrow \frac{\sigma(\pi_L)}{\pi_L} \in 1 + \pi_L^s \mathcal{O}_L$$

We claim  $\psi: G_s \rightarrow U_L^{(s)} / U_L^{(s+1)}$

$$\sigma \mapsto \frac{\sigma(\pi_L)}{\pi_L}$$

a group hom. with kernel  $G_{s+1}$ .

For  $\sigma, \tau \in G_s$ , let  $\tau(\pi_L) = u\pi_L$ ,  $u \in \mathcal{O}_L^\times$

$$\text{Then } \underline{\sigma\tau(\pi_L)} = \underline{\sigma(\tau(\pi_L))} \cdot \underline{\tau(\pi_L)}$$

$$\overline{\pi_L} = \overline{\tau(\pi_L)} = \frac{\sigma(u)}{u} \cdot \frac{\sigma(\pi_L)}{\pi_L} \cdot \frac{\tau(\pi_L)}{\pi_L}$$

But  $\sigma(u) \in u + \pi_L^{s+1} \mathcal{O}_L$  since  $\sigma \in G_s$ ,  
 thus  $\frac{\sigma(u)}{u} \in U_L^{(s+1)}$  and hence

$$\frac{\sigma \circ \tau(\pi_L)}{\pi_L} \equiv \frac{\sigma(\pi_L)}{\pi_L} \cdot \frac{\tau(\pi_L)}{\pi_L} \pmod{U_L^{(s+1)}}$$

$\Rightarrow \psi$  is a group hom.

$$\text{Moreover } \ker(\psi) = \{ \sigma \in G_s \mid \sigma(\pi_L) \equiv \pi_L \pmod{\pi_L^{s+2}} \} \\ = G_{s+1}.$$

If  $\pi_L' = a \pi_L$  is another uniformiser,  $a \in U_L$ .

$$\text{Then } \frac{\sigma(\pi_L')}{\pi_L'} = \frac{\sigma(a)}{a} \frac{\sigma(\pi_L)}{\pi_L} \equiv \frac{\sigma(\pi_L)}{\pi_L} \pmod{U_L^{(s+1)}}.$$

□

Corollary 14.3:  $\text{Gal}(L/K)$  is solvable.

Proof: By Proposition 13.10 + Theorem 14.2 +  
 Theorem 13.4, for  $s \in \mathbb{Z}_{\geq -1}$

$$G_s/G_{s+1} \cong \text{a subgroup of } \begin{cases} \text{Gal}(K_L/K) & \text{if } s = -1. \\ (K_L^\times, \times) & \text{if } s = 0 \\ (K_L, +) & \text{if } s \geq 1. \end{cases}$$

Thus  $G_s/G_{s+1}$  is solvable for  $s \geq -1$ .

Conclude using Theorem 14.2(ii). □

- Let char  $k = p$ . Then  $|G_0/K|$  is composite

1. Let  $G_1$  be a Sylow  $p$ -subgroup of  $G_0$ . Then  $|G_1|$  is a power

of  $p$  and  $|G_1| = p^n$  for some  $n \geq 0$ . Thus

$G_1$  is the unique (since normal) Sylow- $p$  subgroup of  $G_0 = I_{L/K}$ .

Definition 14.4: The group  $G_1$  is called the wild inertia group and  $G_0/G_1$  is the tame quotient.