

Lecture 6

§ Extension of complete valued fields

Theorem 6.1: Let $(K, |\cdot|)$ be a complete non-arch. discretely valued field and L/K a finite extension of degree n

(i) $|\cdot|$ extends uniquely to an abs. value

$|\cdot|_L$ on L defined by

$$|y|_L = |N_{L/K}(y)|^{1/n} \quad \forall y \in L.$$

(ii) L is complete w.r.t. $|\cdot|_L$.

Recall: If L/K finite, $N_{L/K}: L \rightarrow K$ is defined by $N_{L/K}(y) = \text{Det}_K(\text{mult}(y))$, where $\text{mult}(y): L \rightarrow L$ is the K -linear map induced by multiplication by y .

Fact: $\bullet N_{L/K}(xy) = N_{L/K}(x) N_{L/K}(y)$.

\bullet Let $X^n + a_{n-1}X^{n-1} + \dots + a_0 \in K[X]$ be minimal polynomial of $y \in L$. Then $N_{L/K}(y) = \pm a_0^m$, $m \geq 1$.

$N_{L/K}(x) = 0 \iff x = 0$.

Definition 6.2: Let $(K, |\cdot|)$ be a non-arch. valued field, V a vector space over K . A

² norm on V is a function $\|\cdot\|: V \rightarrow \mathbb{R}_+$.

satisfying:

$$(i) \|x\| = 0 \iff x = 0$$

$$(ii) \|\lambda x\| = |\lambda| \|x\| \quad \forall \lambda \in K, x \in V.$$

$$(iii) \|x + y\| \leq \max(\|x\|, \|y\|) \quad \forall x, y \in V.$$

Example: If V finite dimensional and e_1, \dots, e_n is a basis of V . The sup norm $\|\cdot\|$ on V is defined by

$$\|x\| = \max_{i=1, \dots, n} |x_i|$$

$$\text{where } x = \sum_{i=1}^n x_i e_i$$

Exercise: $\|\cdot\|_{\text{sup}}$ is a norm.

Definition 6.3: Two norms $\|\cdot\|_1, \|\cdot\|_2$ on V are equivalent if $\exists C, D > 0$ s.t.

$$C\|x\|_1 \leq \|x\|_2 \leq D\|x\|_1 \quad \forall x \in V.$$

Fact: A norm defines a topology on V , and equivalent norms induce the same topology.

Proposition 6.4: Let $(K, \|\cdot\|)$ be complete non-arch., V a finite dim. vector space over K . Then V is complete w.r.t. $\|\cdot\|_{\text{sup}}$.

Proof: Let $(v_i)_{i=1}^{\infty}$ a Cauchy sequence in V , e_1, \dots, e_n a basis for V .

Write $v_i = \sum_{j=1}^n x_j^i e_j$; then $(x_j^i)_{i=1}^\infty$ is a Cauchy sequence in K . Let $x_j^i \rightarrow x_j \in K$, then $v_i \rightarrow v = \sum_{j=1}^n x_j e_j$. \square

Theorem 6.5: Let $(K, |\cdot|)$ be complete non-arch. and V a finite dim. v.s. over K .

Then any two norms on V are equivalent.

In particular V is complete w.r.t. any norm.

Proof: Since equivalence defines an equiv. relation on set of norms, suffices to show any norm $\|\cdot\|$ is equivalent to $\|\cdot\|_{\text{sup}}$.

Let e_1, \dots, e_n basis for V ,

* Set $D := \max_i \|e_i\|$

Then for $x = \sum_{i=1}^n x_i e_i$, we have

$$\begin{aligned} \|x\| &\leq \max_i \|x_i e_i\| = \max_i |x_i| \|e_i\| \\ &\leq D \max_i |x_i| \\ &= D \|x\|_{\text{sup}} \end{aligned}$$

To find C s.t. $C\|x\|_{\text{sup}} \leq \|x\|$, we induct on $n = \dim V$.

$$n=1: \|x\| = \|x, e_1\| = |x_1| \overset{\|x\|_{\text{sup}}}{=} \|e_1\|$$

$$C = \text{take } C = \|e_1\|$$

$$\text{so } \|e_i\| = 1$$

$n > 1$: Set $V_i = \text{Span}\langle e_1, \dots, e_{i-1}, e_{i+1}, \dots, e_n \rangle$

By induction, V_i is complete w.r.t. $\|\cdot\|$, hence closed.

Then $e_i + V_i$ is closed $\forall i$, and hence

$S := \bigcup_{i=1}^n e_i + V_i$ is a closed subset not

containing 0.

Thus $\exists C > 0$ s.t.

$$B(0, C) \cap S = \emptyset$$

where $B(0, C) = \{x \in V \mid \|x\| < C\}$

Let $x = \sum_{i=1}^n x_i e_i$ and suppose $|x_j| = \max_i |x_i|$

Then $\|x\|_{\text{sup}} = |x_j|$, and $\frac{1}{x_j} x \in S$

Thus $\|\frac{1}{x_j} x\| \geq C$

$$\Rightarrow \|x\| \geq C |x_j| = C \|x\|_{\text{sup}}.$$

The completeness of V follows since V is complete w.r.t. $\|\cdot\|_{\text{sup}}$. \square

Proof of Theorem 6.1: We show $|\cdot|_L = |N_{L/K}(\cdot)|^{\frac{1}{n}}$ satisfies the three axioms in definition of abs. values.

$$(i) |y|_L = 0 \Leftrightarrow |N_{L/K}(y)|^{\frac{1}{n}} = 0$$

$$\Leftrightarrow N_{L/K}(y) = 0$$

$$\hookrightarrow \text{Tr}_{L/K}(y) = 0$$

$$\Leftrightarrow y = 0 \quad (\text{Property of } N_{L/K})$$

$$\begin{aligned} \text{(ii)} \quad |y_1 y_2|_L &= |N_{L/K}(y_1 y_2)|^{\frac{1}{n}} \\ &= |N_{L/K}(y_1) N_{L/K}(y_2)|^{\frac{1}{n}} \\ &= |N_{L/K}(y_1)|^{\frac{1}{n}} |N_{L/K}(y_2)|^{\frac{1}{n}} \\ &= |y_1|_L |y_2|_L. \end{aligned}$$

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For (iii) need preparation.

Definition 6.6: Let $R \subseteq S$ be rings. We say $s \in S$ is integral over R if there exists a monic polynomial $f(X) \in R[X]$ s.t. $f(s) = 0$.

The integral closure $R^{\text{int}(S)}$ of R inside S is defined to be

$$R^{\text{int}(S)} = \{s \in S \mid s \text{ integral over } R\}$$

We say R is integrally closed in S if $R^{\text{int}(S)} = R$.

Proposition 6.7: $R^{\text{int}(S)}$ is a subring of S .

Moreover $R^{\text{int}(S)}$ is integrally closed in S .

Proof: Example sheet 2.

Lemma 6.8: Let $(K, |\cdot|)$ non-arch. valued field. Then \mathcal{O}_K is integrally closed in K .

Proof: Let $x \in K$ be integral over \mathcal{O}_K ,

wlog. $x \neq 0$. Let $f(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0 \in \mathcal{O}_K^L$
 s.t. $f(x) = 0$. Then

$$x = -a_{n-1} \frac{1}{x} - \dots - a_0 \frac{1}{x^{n-1}}.$$

If $|x| > 1$, we have $|-a_{n-1} \frac{1}{x} - \dots - a_0 \frac{1}{x^{n-1}}| < 1$;

Thus $|x| \leq 1 \Rightarrow x \in \mathcal{O}_K$ \square

(iii) Set $\mathcal{O}_L = \{y \in L \mid |y|_L \leq 1\}$

Claim: \mathcal{O}_L is the integral closure of \mathcal{O}_K inside L .

Assuming this we prove (iii)

, let $x, y \in L$.

w.l.o.g. assume $|x|_L \leq |y|_L$,

then $|\frac{x}{y}|_L \leq 1 \Rightarrow \frac{x}{y} \in \mathcal{O}_L$.

Since $1 \in \mathcal{O}_L$ and $\mathcal{O}_K^{\text{int}(L)}$, we have

$1 + \frac{x}{y} \in \mathcal{O}_L$ and hence $|1 + \frac{x}{y}|_L \leq 1$.

$\Rightarrow |x + y|_L \leq |y|_L = \max(|y|_L, |x|_L)$

Thus (iii) is satisfied.

