

# Algebraic Geometry

PART III

Autumn '21

LECTURE  
NOTES.

## §0: Preliminary Remarks

### 0.1: Goals & Non-Goals

- The course is a **STARTER KIT**
- Mastery of scheme theory is NOT a goal
- Scheme theory represents a **SPECTACULAR** revolution in pure mathematics; I will try to guide you towards an understanding of why.
- Lots of Examples!

## 0.2 The plan:

- spectrum of a ring & basics of sheaves
- definitions of schemes & morphisms
- Properties of schemes & morphisms
- Introduction to sheaf cohomology.

## 0.3 : Prerequisites :

- Basic undergraduate maths [algebra, topology, etc]
- Commutative algebra [co-requisite of willingness to read].

## 0.4 Resources :

- Moodle [videos]; Dhruv's course page [notes],
- Texts: Hartshorne ; Vakil ; Qing Liu; Eisenbud-Harris
- Commutative Algebra: Atiyah-MacDonald & PART III
- Web : MathOverflow & Math Stack Exchange
- YouTube) AGITTOC "pseudo lectures" by Ravi
- Example sheets etc.

## 0.5 why suffer through this?

Let  $f \in \mathbb{Z}[\underline{x}]$  be a homogeneous polynomial.

Two Worlds (Weil 1949)

1.  $X = V(f) \subseteq \mathbb{P}_{\mathbb{C}}^{n+1}$  a projective hypersurface.

assume  $X$  is smooth

no point on  $X$  where  $\frac{\partial f}{\partial x_i}(p) = 0$  for all  $i$

$X$  is a compact topological space in Euclidean top.

Numbers:  $b_0(X), b_1(X), \dots, b_{2n}$  Betti Numbers

2. Fix prime number  $p$  with  $X$  smooth over  $\bar{\mathbb{F}}_p$

Define  $N_m := \# X(\mathbb{F}_{p^m})$

Now package this:

$$\zeta(X, t) = \exp \left( \sum_{m=1}^{\infty} \frac{N_m}{m} t^m \right)$$

the Weil Zeta Function

## UNBELIEVABLE THEOREM ! [Grothendieck]

1.  $\zeta(X, t)$  is a ratio of polynomials:

$$= \frac{P_0(t) P_2(t) \dots P_{2n}(t)}{P_1(t) P_3(t) \dots P_{2n-1}(t)}$$

2. The degree of  $P_i(t)$  is equal to the Betti number  $b_i$ .

The topology of  $X$  over  $\mathbb{C}$  is connected to the number of points on  $X$  over  $\mathbb{F}_q$ .

This is completely absurd and to understand it we need to develop technology.

# §1: Beyond algebraic varieties

## 1.1 Summary of varieties

$k = \text{algebraically closed field}$

$\{ \text{subsets of } A_k^n \text{ of the form } V(\text{polynomials}) \} / \text{isomorphism}$



$\{ \text{fin. generated } k\text{-algebras without nilpotent elements} \}$

### 1.1.1 Basic structures.

$$g \subseteq k[\underline{x}] ; V(g) := V \subseteq A_k^n$$

radical ideal

$$\mathcal{O}_V = k[V] = k[\underline{x}] / g$$

coordinate ring

1.1.2 Topology:  $V = \mathbb{V}(S) \subseteq \mathbb{A}_k^k$

Zariski Topology:

Closed sets =  $\mathbb{V}(S)$  for  $S \subseteq k[V]$   
=  $\mathbb{V}(\text{ideal gen. by } S)$ .

1.1.3 Nullstellensatz:

Given  $p \in V$  we have

$$\text{ev}_p: k[V] \longrightarrow k$$

;  $m_p = \text{kernel}(\text{ev}_p)$

evaluate functions at  $p$ .

and conversely, by Hilbert's Nullstellensatz

$\{\text{points of } V\} = \{\text{maximal ideals of } k[V]\}$

## 1.1.4 Function theory:

Each  $f \in k[V]$  is

$$f: V \longrightarrow \mathbb{A}_k^1 = k$$

$$p \mapsto f(p) = \bar{f} \text{ in } k[V]/(m_p)$$

1.1.4 Morphisms: Given  $V$  and  $W \subseteq \mathbb{A}_k^m$

$$\varphi: V \longrightarrow W \subseteq \mathbb{A}_k^m$$

if

$$(f_1, \dots, f_m); \quad f_i \in k[V] = \mathcal{O}_V$$

whose image lies in  $W$

Equivalently: a pullback map

$$\varphi^*: k[W] \longrightarrow k[V]$$

## 1.2 LIMITATIONS:

Example 1.2.1 (non-algebraically closed fields)

$$I = (x^2 + y^2 + 1) \subseteq \mathbb{R}[x, y]$$

then  $V(I) = \emptyset \subseteq \mathbb{R}^2$

But  $I$  is prime, therefore radical.

Nullstellensatz fails

Question 1.2.2: On what topological space

$x$  is  $\mathbb{R}[x, y]/(x^2 + y^2 + 1)$  NATURALLY

the space of functions?

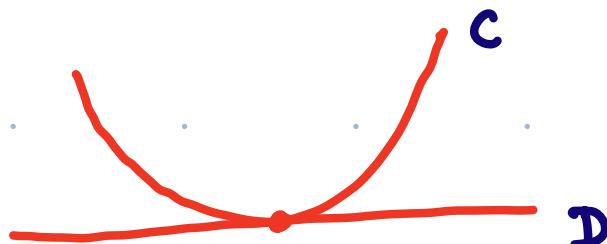
Question 1.2.3 (Similar) On what topological space

is  $\mathbb{R}[x]$  the ring of functions? And the rings  $\mathbb{I}$ ,  $\mathbb{Z}[x]$ ?

## Example 1.2.4 (Why restrict to radical ideals?)

Take  $C = V(y - x^2) \subseteq \mathbb{A}_k^2$

$$D = V(y)$$



$$C \cap D = V(y, y - x^2) = V(x^2, y) = V(x, y)$$

1 POINT

Now if  $D_\delta = V(y + \delta)$   $\delta \in C$

$$C \cap D_\delta = \{x = \pm \sqrt{\delta}\} \quad 2 \text{ POINTS}$$

Intersections of varieties don't want to be varieties.

Remark 1.2.5 (Moduli) If  $x \xrightarrow{\pi} B$  is a morphism of varieties how is geometry of  $\pi^{-1}(a)$  &  $\pi^{-1}(b)$  for  $a, b \in B$  related? How do you parameterize varieties?

## § 1.3 SPECTRUM OF A RING

Let  $A$  be a commutative ring with identity. We will define  $X_A$  a topological space on which  $A$  is the ring of functions.

**ONLY ONE REQUIREMENT:** Given  $p$  in  $X_A$ ,

we should have:

$$ev_p: A \rightarrow K_p \quad K_p \text{ is a field.}$$

ev<sub>p</sub> ring homeomorphic

Equivalent:

$$A \xrightarrow{ev_p} \text{im}(ev_p) \subseteq K_p$$

i.e. study pairs  $(K_p, ev_p)$  [up to equivalence].

Definition 1.3.1 The Zariski spectrum of

$$A \text{ is } \text{Spec } A = \{ \phi \subseteq A \mid \phi \text{ is a prime ideal} \}$$

Better than maxSpec:  $A \rightarrow B \sim \text{Spec } B \rightarrow \text{Spec } A$

Example 1.3.2  $A = \mathbb{Z}$  then  $\text{Spec } \mathbb{Z}$  is  
the set of prime numbers plus 0.

Pick a FUNCTION e.g.  $132 \in \mathbb{Z}$

EVALUATE  $132(p) := 132 \bmod p$

The codomain of the function  
changes from point-to-point

Example 1.3.3  $A = \mathbb{R}[x]$  then

$\text{Spec } \mathbb{R}[x] = \mathbb{C} / \underbrace{\begin{matrix} \text{complex} \\ \text{conjugation} \end{matrix}}_{\text{Galois group!}} \& \{0\}$

$=$  upper half plane in  $\mathbb{R}^2$   $\& \{0\}$

Exercise 1.3.4 Draw  $\text{Spec } A$  for

$A = \mathbb{Z}[x]$

and  $A = k[x]$  for  $k$  arbitrary field.

Why not maximal ideals? Functionality & Experience

## §1.4 TOPOLOGY ON $\text{SPEC}(A)$

Zariski topology = zero sets of functions

Fix  $f \in A$  &  $p \in \text{Spec}(A)$ ; then

$$V(f) = \{p \in \text{Spec}(A) : \bar{f} = 0 \bmod p \text{ i.e. } f \in p\}$$

Points where  $f$  vanishes.

Similarly for  $\mathfrak{a} \subseteq A$  an ideal

$$V(\mathfrak{a}) = \{p \in \text{Spec } A \mid f \in p \text{ for all } f \in \mathfrak{a}\} \text{ i.e. } \mathfrak{a} \subseteq p$$

PROPOSITION 1.4.1: The sets  $V(\mathfrak{a}) \subseteq \text{Spec } A$

for all ideals  $\mathfrak{a} \subseteq A$  form the closed sets  
of a topology — the Zariski topology.

Proof: Easy facts:  $\emptyset$  and  $\text{Spec } A$  are closed.

Since  $V(\bigcap_{\alpha} I_{\alpha}) = \bigcap_{\alpha} V(I_{\alpha})$  arbitrary intersections are closed.

REQUIRES THOUGHT:

$$V(I_1 \cap I_2) = V(I_1) \cup V(I_2)$$

$\supseteq$ : clear

Claim:  $V(I_1 \cap I_2) \subseteq V(I_1) \cup V(I_2)$

$I_1, I_2 \subseteq I_1 \cap I_2 \subseteq \Phi$  with  $\Phi$  prime then

$I_1$  or  $I_2$  is contained in  $\Phi$ .

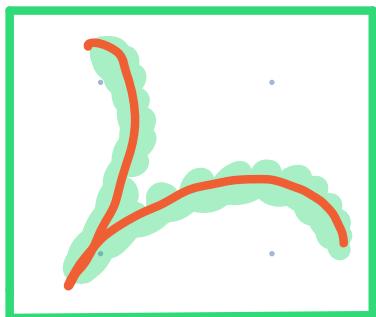
We used primality !

□

Example 1.4.2 (How does this compare with old skool)

Let  $k = \bar{k}$  and consider  $\text{Spec } k[x, y]$  is  $k^2$  plus a point for each irreducible curve and an extra point corresponding to  $(0)$ . What are closures of the weird points ?

BAD  
PICTURE:



$y^2 = x^3$  includes all  
of  $V(y^2 - x^3)$ .  
(o) is dense;  
 $(y^2 - x^3)$  closure  
etc.

POINTS aren't CLOSED !

### § 1.5 FUNCTIONS ON OPENS

Let  $f \in A$ . Then define

$$U_f = (\text{Spec } A) \setminus V(f). \quad \text{Distinguished open.}$$

LEMMA 1.5.1 The distinguished opens form a basis for the topology on  $\text{Spec } A$ .

Proof: Exercise

□

LEMMA 1.5.2 The subspace  $U_f$  is (naturally) homeomorphic to  $\text{Spec } A[\frac{1}{f}]$

Proof: Primes in  $A[\frac{1}{f}]$  are primes in  $A$

that miss  $f$ ; (why?) □

Example 1.5.3 Let  $A = \mathbb{C}[x, y]$  and  $f = xy$ . Then  $U_f = (\mathbb{C}^2 \setminus \text{axes}) + \text{weird points.}$

Fix  $A$  a ring;  $X = \text{Spec } A$ . We have:

Distinguished  
Open Sets → Rings

$U_f$  →  $A_{f,-} := \mathcal{O}_X(U_f)$

Open Set → Functions on that  
open.

If  $U_f \subseteq U_{f_2}$  we get a homomorphism

$A_{f_2} \longrightarrow A_{f,-}$  restriction of functions.

Given an arbitrary open set  $U \subseteq \text{Spec } A$

define:

$\mathcal{O}_X(U) = \left\{ \text{families } (f_v)_{v \subseteq U; v \text{ distinguished}} \text{ of functions st if } v \subseteq w \subseteq U \text{ then } f_w \text{ restricts to } f_v \right\}.$

$$\mathcal{O}_X(U) = \mathcal{O}_X(\bigcup_{\lambda} B_{\lambda}) = \varprojlim \mathcal{O}_X(B_{\lambda})$$

OBSERVE: This is naturally a ring. Every open set in  $\text{Spec } A$  has a ring of functions; if  $U \subseteq U'$  then there is a restriction

$$\mathcal{O}_X(U') \longrightarrow \mathcal{O}_X(U).$$

PUNCHLINE: A scheme is SOMETHING OBTAINED BY GLUEING THE DATA STRUCTURES ABOVE. VECTOR SPACES AND MANIFOLDS.

## §2 SHEAVES:

Sheaves formalize objects that you know and the behaviour we just saw.

### 2.1 PRESHEAVES The basic instance:

If  $X$  is a topological space:

$$\begin{array}{ccc} \text{Open Sets} & \longrightarrow & \text{Ab. Groups.} \\ \parallel & & \\ u & \longmapsto & \{ f: u \rightarrow \mathbb{R} \mid f \text{ continuous} \} \end{array}$$

DEFINITION 2.1.1 A **presheaf** of abelian groups on a topological space  $X$  is an association

$$\begin{array}{ccc} \mathcal{F}: \text{Opens in } X & \longrightarrow & \text{Ab. Groups} \\ u & \longmapsto & \mathcal{F}(u) \end{array}$$

such that if  $U \subseteq V$  there is a

homomorphism  $\text{res}_U^V: \mathcal{F}(V) \rightarrow \mathcal{F}(U)$

with  $\text{res}_U^U = \text{id}$  and  $\text{res}_U^V \circ \text{res}_V^W = \text{res}_U^W$ .

for  $U \subseteq V \subseteq W$  opens.

Similarly presheaf of sets, rings, etc... ==

Remark 2.1.2 (Language) A presheaf is therefore a  
Functor from the CATEGORY  $\text{Open}(X)$   
to abelian groups.

Objects : Opens

Morphisms : Inclusions

Morphisms between presheaves

what should it be? Definition by "DWH"

DEFINITION 2.1.3 A morphism  $F \xrightarrow{\ell} G$  of presheaves  
on  $X$  is, for each  $U$ , a homomorphism

$\ell_U : F(U) \rightarrow G(U)$  commuting with

restrictions:

$$\begin{array}{ccc} F(U) & \xrightarrow{\ell_U} & G(U) \\ \downarrow \text{res}_V^U & & \downarrow \text{res}_V^U \\ F(V) & \xrightarrow{\ell_V} & G(V) \end{array}$$

$V \subseteq U$  opens

## §2.2 SHEAVES: DEFINITIONS & EXAMPLES

what additional properties does the sheaf  
of continuous functions satisfy?

DEFINITION 2.2.1: A sheaf  $\mathcal{F}$  is a presheaf  
such that:

s1: If  $U \subseteq X$  is open and  $\{U_i\}$  is an  
open cover of  $U$  then for  $s \in \mathcal{F}(U)$   
with  $s|_{U_i} = \text{res}_{U_i}^U(s) = 0$  for all  $i$ ,  
then  $s = 0$ .

s2: If  $U \in \{U_i\}$  as above, given  
 $s_i \in \mathcal{F}(U_i)$  with  $s_i|_{U_i \cap U_j} = s_j$  or  $U_i \cap U_j$   
 $s_i$  glue

ANOTHER DEDUCTION: If  $\mathcal{F}$  is a sheaf on  $X$   
then  $\mathcal{F}(\emptyset) = \{e\}$ .

Example 2.2.2 If  $X$  is a topological space  
the sheaf of continuous functions:  
 $F(U) = \{f: U \rightarrow \mathbb{R} ; \text{continuous}\}$   
is a sheaf.

Non-Example 2.2.3 Let  $X = \mathbb{C}$  with euclidean  
topology. Set  
 $F(U) = \{f: U \rightarrow \mathbb{C} : f \text{ bounded \& analytic}\}$   
This is not a sheaf; bounded doesn't GLUE.

Non-Example 2.2.4 Fix a group  $G$  and set  
 $F(U) = G$ .  
If  $U_1 \cap U_2$  are disjoint, then  
 $F(U_1 \cup U_2)$  is forced to be  $G \times G$ .  
But it should be  $G$ .

Example 2.2.5 (the constant sheaf) Fix  $G$

and set  $\mathcal{F}(U) = \{ f: U \rightarrow G \mid f \text{ locally constant} \}$

This is the sheaf that 2.2.4 wants to be.

Example 2.2.6: If  $V$  is an affine/projective/

quasi-projective irreducible variety, set

$\mathcal{O}_V(U) = \{ f \in k(V) \mid f \text{ is regular at } p \text{ for all } p \in U \}$

$k(V) = \text{Frac } k[V]$ ; regular means near  $p$ , can write  $f = r/s$  with  $s(p) \neq 0$ .

This is called the STRUCTURE SHEAF  $\mathcal{O}_X$

Check sheaf axioms [obvious!]

In "VARIETY THEORY"  $k(V)$  gets used a lot.

The sheaf is the same data but with better/more flexible user interface!

## § 2.3 BASIC CONSTRUCTIONS

$F$  a sheaf on  $X$

Terminology: A section of  $F$  over  $U = s$  in  $F(U)$

Construction 2.3.1 (stalks) Fix  $p$  in  $X$ . Then

$\mathcal{F}_p = \text{stalk at } p$

$$= \{(s, U) \mid s \in F(U)\} / \sim$$

with  $(s, U) \sim (s', U')$  if there exists

$W \subseteq U \cap U'$  such that

$$s'|_W = s|_W$$

We call elements of  $\mathcal{F}_p$  a germ at  $p$ .

Exercise 2.3.2: Calculate  $\mathcal{O}_{A', 0}$  - the stalk of the structure sheaf of  $A'$  at  $0$ .

The following shows the power of the sheaf axioms

PROPOSITION 2.3.3: If  $f: F \rightarrow G$  is a morphism  
of sheaves such that for all  $p$

$f_p: F_p \rightarrow G_p$  is an isomorphism.

Then  $f$  is an isomorphism.

Meaning what?

PROOF: We will show that

$f_u: F(u) \rightarrow G(u)$  is an isomorphism

for all  $u$ ; define  $f^{-1}$  via  $f_u^{-1}$ .

Exercise: Show that this defines an inverse  
map of sheaves i.e. compatibility with restriction.

Injectivity: Suppose  $s \in F(u)$  with  $f_u(s) = 0$ .

Then the germ of  $s$  is 0 in every  
stalk  $F_p$  for  $p \in u$ , by injectivity of  $f_p$ .

Unwind definition: there exist opens  $U_p$  around

every  $p$  with  $s_{\text{up}}=0$ . Cover  $U$  by  $U_p$  and glue.

Surjective: Let  $t \in \mathcal{G}(U)$ ; we will build  $s \in \mathcal{F}(U)$ .

Write  $t_p$  in  $S_p$  with  $s_p$  in  $\mathcal{F}_p$  the fp preimage

Now we find a germ  $(v_p, s_p)$  such that

$$t_p(v_p, s_p) \equiv (U, t).$$

We can assume that

$$t|_{v_p} = f_{v_p}(s_p).$$

Now, injectivity shows that these glue.

$$f_{v_{pq}}(s_p|_{v_{pq}} - s_q|_{v_{pq}})$$

$$= t|_{v_{pq}} - t|_{v_{pq}} = 0$$

By sheaf axioms these glue. By the sheaf section maps to t-axioms, the resulting

□

REMARK 2.3.4 Even easier:

(ii)  $F(U) \rightarrow \prod_{p \in U} F_p$  is injective by s1.

(iii) Given  $F \xrightarrow{\varphi} G$  with  $\varphi_p = \psi_p$  for all  $p$   
then  $\varphi = \psi$ .

DEFINITION 2.3.5 (Sheafification) If  $F$  is a presheaf on  $X$  then a morphism

$sh: F \longrightarrow F^{sh}$  is a sheafification

if  $F^{sh}$  is a sheaf and for any map  
 $F \xrightarrow{\varphi} G$  with  $G$  a sheaf

there is a unique diagram

$$\begin{array}{ccc} F & \xrightarrow{sh} & F^{sh} \\ & \searrow \varphi & \downarrow \\ & G & \end{array}$$

Remark 2.3.6: (i) Unique if it exists.

(ii) Presheaf morphisms induce  
morphisms of sheafification

## Proposition / Construction 2.3.7

Sheafification exists. Given a presheaf  $\mathcal{F}$  on  $X$  define:

$\mathcal{F}^{\text{sh}}(U) = \left\{ (f_p)_{p \in U} : f_p \in \mathcal{F}_p \text{ & for every } p \text{ there exists an open } V_p \subseteq U \text{ containing } p \text{ and a section } s \in \mathcal{F}(V_p) \text{ st } s_q = f_q \text{ for all } q \in V_p \right\}.$

PROOF THIS WORKS:

- Restriction maps are clear; clearly a sheaf!
- The map  $\mathcal{F} \rightarrow \mathcal{F}^{\text{sh}}$  is obvious.
- Exercise: Verify the universal property. □

Corollary 2.3.8: The stalks of  $\mathcal{F}$  &  $\mathcal{F}^{\text{sh}}$  coincide.

Exercise 2.3.9: Find a nonzero presheaf whose sheafification is zero. [This is actually rather stupid]

## §2.4 KERNELS, COKERNELS, ETC

Let  $\varphi: \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves.

The presheaf KERNEL/IMAGE/COKERNEL assigns

$$U \longmapsto \ker(\mathcal{F}(U) \rightarrow \mathcal{G}(U)); \text{ etc.}$$

If  $\psi$  is a map of sheaves then

Exercise 2.4.1 The kernel of  $\psi$  is a sheaf.

Beware of the cokernel:

Example 2.4.2:  $X = \mathbb{C}$ ,  $\mathcal{O}_X = \text{(holomorphic functions, +)}$

and  $\mathcal{O}_X^* = \text{(nonzero holomorphic functions, \times)}$ . Now define

$$\exp: \mathcal{O}_X \rightarrow \mathcal{O}_X^*; \quad \mathcal{O}_X(U) \rightarrow \mathcal{O}_X(U)^*$$

$\ker(\exp) = \text{constant sheaf } 2\pi i \mathbb{Z}$ .

Cokernel is not a sheaf! Take

$$U_1 = \mathbb{C} \setminus (0, \infty] ; U_2 = \mathbb{C} \setminus (0, -\infty]$$

$$U = U_1 \cup U_2 = \mathbb{C} \setminus \{0\}.$$

Take  $f = z$  in  $\mathcal{O}_X(U)$ . This lies in the presheaf cokernel of  $\exp$ . But on  $U_i$  the cokernel is 0 because logarithm exists.

► Monday, 18 OCT '21.

TJ.

DEFINITION 2.4.3 For a morphism  $\varphi: F \rightarrow G$  of

sheaves, the sheaf cokernel/image is the sheafification of the presheaf cokernel/image.

A morphism  $\varphi: F \rightarrow G$  is injective/surjective if

$$\ker \varphi = 0 / \operatorname{im} \varphi = G.$$

Remark 2.4.4 (crucial!) the sequence

$$0 \rightarrow 2\pi i \mathbb{Z} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X^* \rightarrow 0$$

exact as sheaves; in fact for  $X$  a  $\mathbb{C}$ -manifold

Remark 2.4.5: Do the kernel & cokernel deserve their name? What properties should they satisfy? The kernel of  $\varrho: A \rightarrow B$  is the data

$\ker \varrho \rightarrow A$  universal for things becoming 0: for any diagram

$$\begin{array}{ccc} \exists ! & K & \xrightarrow{\quad 0 \quad} \\ \downarrow \iota & \downarrow \varrho & \searrow \\ \ker \varrho \rightarrow A & \xrightarrow{\quad \quad} & B \end{array}$$

sending  $K$  to 0, there is a unique filled in diagram.

### Proximate Notions 2.4.6

(i) Subsheaf:  $F \subseteq G$  if there are inclusions  $F(U) \subseteq G(U)$  compatible with restrictions

(ii) Quotient sheaf: the sheafification of  $U \mapsto G(U)/F(U)$ .

Warning 2.4.7 If  $\varrho: F \rightarrow G$  is surjective the maps on any particular open need not be.

FACTS 2.4.7 These follow from the same kind of arguments we've used. I will not provide proofs.

- (i) stalks of kernel and image are kernel and image of the stalk maps.
- (ii) injectivity and surjectivity are stalk local properties. But this does not mean  $f_i$ 's are always surjective Exponential Sequence  $\nabla$

## §2.5 MOVING BETWEEN SPACES

Given  $f: X \rightarrow Y$  with  $\begin{cases} F \text{ on } X \\ G \text{ on } Y \end{cases}$  sheaves

DEFINITION 2.5.1 (pushforward) Define the presheaf  $f_* F$  on  $Y$  by  $U \mapsto \underbrace{F(f^{-1}(U))}_{\text{OPEN}}$

PROPOSITION 2.5.2 The pushforward is a sheaf.

Proof: Trivial. □

DEFINITION 2.5.1 (inverse) The inverse image presheaf  
is defined by

$$f^{-1}G^{\text{pre}}(V) = \{ (s_U, U) : U \text{ open containing } f(V) \in s_U \in G(U) \} / \sim$$

where  $\sim$  identifies pairs that agree on a small open. The inverse image is

$$f^{-1}G = (f^{-1}G^{\text{pre}})^{\text{sh.}}$$

Remark 2.5.2 (Why is the sheafification necessary?)

Take  $f: X \rightarrow Y$  with  $X := Y \amalg Y$ .

Take  $G$  on  $Y$  and  $F := f^{-1}G^{\text{pre}}$ .

Then for  $V \subseteq Y$  open and  $U = f^{-1}(V)$

$$F(U) = G(V)$$

But  $U = V \amalg V \subseteq Y \amalg Y$  so

$$F^{\text{sh}}(U) = G(V) \times G(V) \text{ by sheaf axioms.}$$

Notice similarity with constant sheaf & constant pre-sheaf.

## §3 SCHEMES Spec A has a sheaf; we globalize

### §3.1 AFFINE SCHEMES

Let A be a ring and  $S \subseteq A$  multiplicatively closed. Then

$$S^{-1}A = \{ (a, s) : s \in S, a \in A \} / \sim$$

with  $(a, s) \sim (a', s') \iff s''(as' - a's) = 0$  in A.

Example 3.1.1: (i) Take  $S = \{1, p, p^2, \dots\}$

(ii) Take  $S = S \setminus p$  with p

a prime.

$A_p$  will be the stalk of the **structure sheaf** at p. I now take the route of Vakil – not Hartshorne

Recall: Basis for a topology; sheaf on a basis determines a sheaf:  $F(B_i) \in \text{res}_{B_j}^{B_i}$

Conversely, given a base  $\{B_i\}$  with  $F(B_i)$  assignments  $\in \text{res}_{B_j}^{B_i}$  satisfying

SB1: if  $B = \cup B_i$  with  $B$  in the base and  
 $\text{res}_{B_i}^B(f) = \text{res}_{B_j}^B(g)$  for all  $f \in g$  then  $f = g$ .

SB2: If  $B = \cup B_i$  with  $f_i \in F(B_i)$  and  
 agreeing on overlaps then there exists  $f \in F(B)$   
 with  $f|_{B_i} = f_i$ . Go look at the discussion  
 at the end of §1.

Call this a SHEAF on a BASE  $\mathcal{F} = \{F_{B_i}\}$

PROPOSITION 3.1.2 A sheaf on a base  $F$  with base  $\mathcal{B}$   
 determines a sheaf  $\mathcal{F}$  by  
 $F(B_i) = F(B_i)$  agreeing with  
 restriction maps, where  $B_i \in \mathcal{B}$ . It is unique  
 up to unique isomorphism.

PROOF: (i) Determine the stalks  $F_p$  via the basis.  
 (ii) Use "sheafification trick" and define  
 $F(U) = \{ (f_p \in F_p)_{p \in U} \mid \text{for all } p \in U \text{ there exists } B \text{ a basis open around } p \text{ and } s \in F(B)$   
 $\text{with } s_q = f_q \text{ for all } q \in B\}$ . Sheaf axioms  
 are clear.

(iii) Natural maps  $f(B) \rightarrow f(B)$  are iso

PROPOSITION 3.1.3 [J.] Let  $A$  be a ring. The assignment

$U_f = \{p \in \text{Spec } A \mid f \notin p\} \mapsto A_f$

is a sheaf on the base of distinguished opens in  $\text{Spec } A$ , with the restriction maps given by localization.

Note how this depends on  $U_f$  rather than  $f$ .

PROOF: We check SB1 & SB2 on the base &  
set  $B = \text{Spec } A$  in the verification for  
simplicity; general case is similar.

SB1: Basic commutative algebra -  $\text{Spec } A$  is  
“quasi-compact” [terminology!] - proof is simple!

Write  $\text{Spec } A = \bigcup_{i=1}^n U_{f_i}$ ;  $U_{f_i} = \text{Spec } A \setminus V(f_i)$ .

Given  $s \in A$  with  $s|_{U_{f_i}} = 0$  for all  $i$

then  $f_i^m s = 0$  for appropriate  $m$ .

But  $(f_1^m, \dots, f_n^m) = (1) = A$ . Now write

$1 = (\sum r_i f_i^{m_i})$ . Now clear that

$$S \cdot 1 = S = 0.$$

SB2: Say  $\text{Spec } A = \bigcup_{i \in I} U_{f_i}$ . and elements in  $A_{f_i}$  agreeing in  $A_{f_i f_j}$  — do they glue?  $=$

First suppose  $I$  is finite.

On  $U_{f_i}$  we have  $\frac{a_i}{f_i^{e_i}}$ . Write  $g_i = f_i^{e_i}$

noting  $U_{f_i} = U_{g_i}$ . Overlaps:  $U_{g_i g_j}$  (why?)

Overlap Condition:  $(g_i g_j)^{m_{ij}} \cdot (a_i g_j - a_j g_i) = 0$

Assume  $m = m_{ij}$  by taking the largest.

Write  $b_i = a_i g_i^m$ ;  $h_i = g_i^{m+1}$ .

On each  $U_{h_i}$  have  $b_i/h_i$ .

Overlap Condition:

$$h_j b_i = h_i b_j$$

But this covers Spec A so

$$1 = \sum r_i h_i \quad r_i \in A.$$

Now write  $r = \sum r_i b_i$  with  $r_i, b_i$  as above

now verify this restricts correctly.

when I is infinite, pick a finite subcover with  $(f_1, \dots, f_n) = A$  and  $U_{f_i}$  a cover.

Construct  $r$  as above.

Now given  $(f_1, \dots, f_n, f_d)$  we get a "new"  $r'$ . By SB1  $r' = r$

D.

DEFINITION 3.1.4 The structure sheaf on  $\text{Spec } A = X$  is the sheaf associated to the sheaf on the base

$$U_f \mapsto A_f^{\#} \text{ denoted } \mathcal{O}_{\text{Spec } A} = \mathcal{O}_X.$$

Note that the stalks are  $A_f^{\#}$ .

We are now basically there - a scheme is a pair  $(X, \mathcal{O}_X)$  with  $\mathcal{O}_X$  a sheaf of rings locally isomorphic to  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ . Provided we can understand "isomorphisms".

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Terminology 3.1.5: A ringed space  $(X, \mathcal{O}_X)$  is a topological space with a sheaf of rings.

An isomorphism  $\pi: (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a

homeomorphism & an isomorphism

$$\mathcal{O}_Y \xrightarrow{\cong} \pi_* \mathcal{O}_X$$

An affine scheme  $(X, \mathcal{O}_X)$  is a ringed space isomorphic to  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ .

DEFINITION 3.1.6 A scheme is a ringed space  $(X, \mathcal{O}_X)$  locally isomorphic to an affine scheme.

We will now work to understand the analogies of  
• Hausdorff • Compact • Smooth • Dimension • etc  
But first:

## § 3.2 EXAMPLES OF SCHEMES

### EXAMPLES 3.2.1 (INTERESTING RINGS)

- $k[x_1, \dots, x_n]$  • Quotients by ideals

• Monoid rings: A toric monoid  $P$  is the positive integers span of finitely many elements

$\{v_1, \dots, v_k\} \subseteq \mathbb{Z}^n$ . The MONOID RING over  $\mathbb{Z}$

is  $\mathbb{Z}[P] = \left\{ \sum_{u \in P} a_u x^u \mid a_u \in \mathbb{Z}; u \in P \right\}$

Dummy

$$P = \mathbb{N}^2 \subseteq \mathbb{Z}^2 \quad \text{then} \quad \mathbb{Z}[P] \cong \mathbb{Z}[x, y]$$

$$P = \mathbb{Z}^2 \quad \text{then} \quad \mathbb{Z}[P] \cong \mathbb{Z}[x^{\pm 1}, y^{\pm 1}]$$

- Hypersurface rings: Rings of the form  $\mathbb{Z}[X]/(f)$

- Invariant rings:  $R^G$  or  $k[x_1, \dots, x_n]^G$  — Quotients of varieties.

- Artinian rings: for instance  $k[t]/t^2$  or  $k[t_1/t_2]/(t_1^a, t_2^b)$ , etc. “zero dimensional” schemes

Example 3.2.2 (Open subschemes) Let  $U \subseteq X$   
 be an open and take  
 $\mathcal{O}_U = \mathcal{O}_X|_U$  as its structure sheaf.  
PROPOSITION 3.2.3 The space  $(U, \mathcal{O}_X|_U)$  is a scheme.

[Every point has a distinguished open around it]

Example 3.2.4 : Take  $U = A_{\mathbb{K}}^{n^2} \setminus \{\text{determinant}=0\}$   
 $GL_n$  is a scheme & a group.

But these can be non-affine. Take

$$\left\{ \begin{array}{l} A_{\mathbb{K}}^2 := \text{Spec } k[x, y] \\ U = (A_{\mathbb{K}}^2 \setminus \{(0,0)\}) \end{array} \right.$$

Note  $U = \text{Spec } k[x, x^{-1}, y] \cup \text{Spec } k[x, y, y^{-1}]$

Ring inclusions:

$$\begin{array}{ccc} k[x, y] & \xrightarrow{\quad} & k[x, y, y^{-1}] \\ & \curvearrowleft & \curvearrowleft \\ & \xrightarrow{\quad} & k[x^{\pm}, y^{\pm}] \\ & \curvearrowleft & \curvearrowleft \\ & \xrightarrow{\quad} & k[x, x^{-1}, y] \end{array}$$

Now evaluate  $\mathcal{O}_U(U) = k[x, y]$  as the intersection of the two rings. If  $U$  were affine then  $U \cong \mathbb{A}^2_k$ . But  $V(x, y) = \emptyset$  in  $U$  but nonempty in  $\mathbb{A}^2_k$ .

### § 3.3 INTERLUDE ON GLUING SHEAVES

$X$  a topological space with a cover  $\{U_\alpha\}$  and sheaves  $\mathcal{F}_\alpha$  st:

$$\phi_{\alpha\beta}: \mathcal{F}_\alpha|_{U_\alpha \cap U_\beta} \longrightarrow \mathcal{F}_\beta|_{U_\alpha \cap U_\beta}$$

Pullback  
sheaf.

satisfying

$$\phi_{\beta\gamma} \circ \phi_{\alpha\beta} = \phi_{\alpha\gamma}$$

on  $U_{\alpha\beta\gamma}$ .  
COCYCLES

Then this gives to a sheaf  $\mathcal{F}$  on  $X$ .

Construction 3.3.1 Given  $V \subseteq X$  define  $\mathcal{F}(V)$  as

tuples  $(s_\alpha)$  with  $s_\alpha \in \mathcal{F}_\alpha(V \cap U_\alpha)$ , with

$$\phi_{\alpha\beta}(s_\alpha|_{V \cap U_{\alpha\beta}}) = s_\beta|_{V \cap U_{\alpha\beta}}$$

COMPATIBILITY

PROPOSITION 3.3.2 :  $\mathcal{F}$  is a sheaf and  $\mathcal{F}|_{U_\alpha}$  is  $\mathcal{F}_\alpha$ .

PROOF : The fact that this is a sheaf is clear.

The tricky part is  $\mathcal{F}_\alpha = \mathcal{F}|_{U_\alpha}$  on  $U_\alpha$  and here we use cocycle condition.

What is the isomorphism?

Given  $V \subseteq U_\beta$  and  $s \in \mathcal{E}(V)$

take its image in  $\mathcal{F}(V)$  to be

$$\left( \phi_{\delta\alpha} (s|_{V \cap U_\alpha}) \right)_\alpha$$

But to check compatibility :

$$\phi_{\alpha\beta} \circ \phi_{\delta\alpha} (s|_{V \cap U_\alpha \cap U_\beta}) = \phi_{\delta\beta} (s|_{V \cap U_\alpha \cap U_\beta})$$

□

## § 3.4 MORE SCHEMES

Take schemes  $(X, \mathcal{O}_X) \in (\mathcal{Y}, \mathcal{O}_Y)$  with open  $U \subseteq X$  &  $V \subseteq Y$  with an isomorphism

$$(U, \mathcal{O}_X|_U) \xrightarrow{\cong} (V, \mathcal{O}_Y|_V) \text{ meaning what?}$$

Then we can glue!

$X \amalg Y$  /  $U \cap V$  with the glued structure sheaf.

This generalizes clearly - Ex Sh I ; Q 13

Example 3.4.1 : (Bug-eyed line) Let

$X = \text{Spec } k[t]$  &  $Y = \text{Spec } k[u]$  both  $\mathbb{A}^1$ .

$U = \text{Spec } k[t, t^{-1}]$  &  $V = \text{Spec } k[u, u^{-1}]$ . both "  $\mathbb{A}^1 \cdot pt$ "

Glue via  $t \longleftrightarrow u$

Compare from topology:  $\mathbb{R}_x \amalg \mathbb{R}_y / x \sim y \text{ for } x=y \neq 0$ .

This is the canonical example of Hausdorff failing. But schemes are already not Hausdorff. But still...

This scheme is not affine. Calculate that  $\mathcal{O}_X(X) = k[t]$  but there is an extra point!

Example 3.4.2: (Projective line)

$X = \text{Spec } k[t] \quad \& \quad Y = \text{Spec } k[u]$  both  $A'$ .

$U = \text{Spec } k[t, t^{-1}] \quad \& \quad V = \text{Spec } k[u, u^{-1}]$ . both  
“ $A' \cdot \text{pt}$ ”

Glue via  $t \longleftrightarrow u^{-1}$ .

PROPOSITION 3.4.3  $A'_k$  has only constants as the global functions; in particular  $A'$  is not affine.

Proof: The only polynomials in  $t$  that are polynomials in  $1/t$  are constant.

[Why is this a proof? Use sheaf axioms!].

Example 3.4.4 Take  $A_k^2$  with doubled origin.  
Notice intersection of two affines is not.

A HEADS UP: An important condition for us will be separated. It will be the analogue of Hausdorff. It will imply that (affine  $\cap$  affine) is affine.

### §3.5 THE PROJ CONSTRUCTION

- A few words of motivation — it is actually hard to produce schemes that are not “open in proj” — i.e. quasi-projective i.e. PART II AG in
- “separated” will be the “AG Hausdorff” condition
- “proper” will be the “AG compact” condition.  
Proj constructions will always give us proper ( $\Rightarrow$  separated) things.

DEFINITION 3.5.1: A  $\mathbb{Z}$ -grading on a ring  $A$  is a decomposition  $A = \bigoplus_{n \in \mathbb{Z}} A_n$  such that  $A_k \cdot A_j \subseteq A_{j+k}$  “multiplication respects grading”

But what is the geometry behind graded rings?

PROPOSITION 3.5.2 (for motivation) Let  $A$  be a  
(finitely generated nilpotent free)  $k = \overline{k}$ -algebra.

Let  $V = \text{mSpec}(A)$  i.e. the variety of  $A$ .

Then a  $k^*$ -action on  $V$  given by a morphism  
 $k^* \times V \longrightarrow V$  is the same thing as  
a grading of  $A$  by  $\mathbb{Z}$ .

Variety Theory: Define  $P_k^n = \overset{\text{wtl}}{A_k} / D / k^*$ :

Only homogeneous polynomials make sense:

i.e.  $\sum_d a_d x^d \quad d \in \mathbb{N}^{n+1}$  with

degree  $(x^d) = d$ . In other words:

$$k[x_0, \dots, x_n] = \bigoplus_{d \geq 0} S_d ; \quad S_d \text{ is } k\text{-span}$$

of degree  $d$  monomials.

Observe how both "graded" and  $k^*$ -action  
appear naturally.

This works not just for  $P_K^n$  but for projective varieties:

$$\begin{array}{ccc} \text{"irrelevant point"} & & \\ \pi^{-1}(V) = \tilde{V} \rightarrow A_K^n \setminus \underline{0} & \downarrow \pi & \parallel \\ & \text{\scriptsize $K^*$-quotient} & \\ \downarrow & & \\ V \subseteq P_K^n & & \end{array}$$

$\bar{V} = \text{closure of } \tilde{V} \text{ in } A^n$

IV (homogeneous poly's)

Notice that  $\tilde{V}$  is  $K^*$ -invariant as is  $\bar{V}$ !  
why?

Therefore to get a projective variety:

- (i) Take  $\bar{V} \subseteq A_{K^*}^n$  a  $K^*$ -invariant variety
- (ii) Throw out 'junk' i.e.  $\underline{0}$  b/c it is dumb.
- (iii) Take a quotient.

Proj construction does this algebraically:

$\text{Spec } k[x_1, \dots, x_n]$  gives the "new" scheme theory  $A_K^n$ . standard grading

Similarly  $\text{Proj } k[x_0, \dots, x_n]$  will give the "new"  $P_K^n$ .

In what follows  $A$  will be a  $\mathbb{Z}_{\geq 0}$ -graded ring. Keep  $k[x_0, \dots, x_n]$  in mind.

- $A_+ \subseteq A$  is an ideal — the irrelevant ideal of positive degree elements.

DEFINITION 3.5.3 : The set  $\text{Proj } A$  is the set of homogeneous primes of  $A$  not containing  $A_+$ .

generated by homogeneous elements

Want to “cover by  $\text{Spec}$  of rings”.

- Given  $f \in A_+$  homogeneous, if we invert  $f$  you kill all primes meeting  $f$  including the irrelevant one.

The picture here is that after throwing out  $V(f)$ , what is left is affine, ie.  $\text{Spec}$  of a ring. which ring? Degree 0 elements in  $A[1/f]$ .

Believable!  $k[\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}]$  is what you get from  $k[x_0, \dots, x_n]$  with  $f = x_0$ .

### Exercise 3.5.4 Exhibit a bijection

$$\{ \text{Primes in } (A[\frac{1}{f}])_0 \} \leftrightarrow \{ \text{Homogeneous primes in } A[\frac{1}{f}] \}$$

Hints: (i) Preimage of homogeneous prime on the right gives a prime. (ii) Observe the toy case: given a prime ideal in  $k[\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}]$  how do you homogenize w.r.t  $x_0$ ?

Homogeneous elements can be evaluated at homogeneous primes

DEFINITION 3.5.4: Given  $S \subseteq A$  homogeneous positive degree,  $V(T)$  is the set of homogeneous primes containing  $T$  but not  $A$ .

This defines the Zariski topology on  $\text{Proj } A$ .

Remark 3.5.5: A basis for the  $\mathbb{Z}$ -topology on  $\text{Proj } A$  is given by opens  $U_f = V(f)^c$ .  $f \in A^*$ .

Notice, we have a natural identification

$$U_f = \text{Spec } (A[\frac{1}{f}])_0 \text{ by above.}$$

State of the union: Proj A. is a set of homogeneous prime ideals. It is covered by  $U_f$  which are Spec of a ring and have structure sheaves. We are but one cocycle condition away from fame & glory.

PROPOSITION 3.5.6: Let  $\{U_f\}_{f \in A^+}$  be the open cover by distinguished opens. Each is equipped with a sheaf. The sheaves satisfy the cocycle condition.

PROOF: Critical Exercise you must do once in your life! □

## §4 MORPHISMS

We have now lots of examples of schemes coming from "variety theory". We want MAPS.

### § 4.1 LOCALLY RINGED SPACES & MORPHISMS

Varieties (and manifolds) have tangent spaces.

$(X, \mathcal{O}_X)$  a variety and  $p \in X$  the stalk

$\mathcal{O}_{X,p}$  is a local ring - everything non-unit is an ideal ; automatic that it is maximal.

- $m_p \subseteq \mathcal{O}_{X,p}$  are functions vanishing at  $p$ .
- $m_p/m_p^2$  are LINEAR PARTS -  $\text{Hom}_k(m_p/m_p^2, k)$
- is  $T_{X,p}$ .
- $\varprojlim \mathcal{O}_{X,p}/m_p^2 := \widehat{\mathcal{O}}_{X,p}$  is Taylor Expansion

DEFINITION 4.1.1 A morphism of ringed spaces

$$f: (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$$

with  $f^{\text{top}}: X \rightarrow Y$  continuous

$$f^\#: \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X \quad \text{on } Y \quad \text{as sheaves}$$

of rings.

NOTATION! By adjunction,  $[\mathcal{O}_Y \rightarrow f_* \mathcal{O}_X] \in$   
 $[f^{-1} \mathcal{O}_X \rightarrow \mathcal{O}_Y]$  are equivalent. denoted  $f^*$ .  
Both are  $*$ .

DEFINITION 4.1.2  $(X, \mathcal{O}_X)$  is locally ringed if stalks

$\mathcal{O}_{X,p}$  are local [automatic for schemes]. A  
morphism of locally ringed spaces:

$$f: (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$$

with  $f^\#(m_p) \subseteq m_{f(p)}$  in stalks.

“Local homeomorphism”

DEFINITION 4.1.3: If  $(X, \mathcal{O}_X)$  &  $(Y, \mathcal{O}_Y)$  are  
schemes, a morphism of schemes is a morphism  
as locally ringed spaces

[What does it buy us?] If  $\varphi: X \rightarrow Y$  morphism  
of schemes, if  $s \in \mathcal{O}_{Y, \text{spec}(\mathfrak{p})}$  is invertible  
then  $\varphi^*(s) \in \mathcal{O}_{X, P}$  is too. You can tell  
where functions vanish by composition.

THEOREM 4.1.9: There is a natural bijection

$$\left\{ \begin{array}{l} \text{Morphisms from} \\ \text{Spec } B \rightarrow \text{Spec } A \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Ring homomorphisms} \\ A \rightarrow B \end{array} \right\}$$

PROOF: 1.  $A \rightarrow B$  induces a scheme map  
2. Every scheme map arises this way

= Given  $\varphi: A \rightarrow B$ ,  $\varphi^\text{top}: \text{Spec } B \rightarrow \text{Spec } A$  sends  
 $\mathfrak{p}$  to  $\varphi^{-1}(\mathfrak{p})$ . TOPLOGICAL LEVEL. Continuity

follows by manipulating symbols to show:

$$(\varphi^\text{top})^{-1}(\mathcal{V}(I)) = \mathcal{V}(\varphi(I)).$$

Now we build:

$$\varphi^*: \mathcal{O}_{\text{Spec } A} \rightarrow \varphi^\text{top}_* \mathcal{O}_{\text{Spec } B}.$$

think at  
stalk level:

$$A_{\mathfrak{q}^{-1}(p)} \xrightarrow{\quad} B_p$$
$$\frac{a}{s} \mapsto \frac{\varphi(a)}{\varphi(s)}$$

If  $s \in \mathfrak{q}^{-1}(p)$  then  $\varphi(s) \in \mathfrak{p}$ .

$J_B$  is automatically local! The maximals are  $\mathfrak{p}^B$   
and  $\varphi^{-1}(\mathfrak{p}) A_{\varphi^{-1}(\mathfrak{p})}$ .

Now think on opens: Given  $U \subseteq \text{Spec } A$  open

define  $\varphi^\# : \mathcal{O}_{\text{Spec } A}(U) \rightarrow \mathcal{O}_{\text{Spec } B}(\varphi^{-1}(U))$

A section  
is a  
reasonable  
assignment  
in  $U$  | in  $A_p$   
of stalk elts  
to points.

$$[p \mapsto s(p)] \mapsto [q \mapsto \varphi_q(s|_{\mathfrak{q}^{-1}(q)})]$$

in  $\mathfrak{q}^{-1}(U)$   
top

Does this glue?: if  $s$  is locally at  $p$  written  $\frac{a}{h}$

then  $\varphi^\#(s)$  is  $\varphi(a)/\varphi(h)$ . This tells us  
that  $\varphi^\#$  glues!

Therefore  $A \rightarrow B$  yields a morphism of schemes  
 $\text{Spec } B \rightarrow \text{Spec } A$ .

Conversely:  $(f, f^\#) : \text{Spec } B \rightarrow \text{Spec } A$ . How to build  $A \rightarrow B$ ?

Take  $g : \mathcal{O}_{\text{Spec } A} \xrightarrow{\quad} \mathcal{O}_{\text{Spec } B}$ .

$$\underbrace{\mathcal{O}_{\text{Spec } A}}_{A} \xrightarrow{g} \underbrace{\mathcal{O}_{\text{Spec } B}}_{B}$$

We show  $g$  gives  $(f, f^\#)$ .

Map on stalks is compatible with global section maps:

Notation:  $F$  on  $X$ :  $T(X, F) = F(X)$

$$\begin{array}{ccc} T(\text{Spec } A, \mathcal{O}_{\text{Spec } A}) & \longrightarrow & T(\text{Spec } B, \mathcal{O}_{\text{Spec } B}) \\ \downarrow & \Downarrow & \downarrow \\ \mathcal{O}_{\text{Spec } A, f(p)} & \longrightarrow & \mathcal{O}_{\text{Spec } B, p}. \end{array}$$

Equivalently:

$$\begin{array}{ccc} A & \xrightarrow{g} & B \\ \downarrow & \Downarrow & \downarrow \\ A_{f(p)} & \xrightarrow{f_p^\#} & B_p. \end{array}$$

|| By locality  
 $(f_p^\#)^{-1} f_p B_p = f(p) A_{f(p)}$ .

By commutativity  $f(p) = g^{-1}(p)$ . Thus, topologically we get the right map. They agree on stalks so we are done! □

## § 4.2 A FEW BASIC NOTIONS

Note about  $f^\#$  notation & adjunction.

### DEFINITIONS 4.2.1

$f: X \rightarrow Y$  is an open immersion if  $f$  induces an isomorphism onto an open subscheme of  $Y$  i.e.  $(U, \mathcal{O}_Y|_U)$ ;  $U \subseteq Y$  open

$g: X \rightarrow Y$  is a closed immersion if  $g^{\text{top}}$  is a homeomorphism onto a closed subset and  $g^\# : \mathcal{O}_Y \rightarrow g_* \mathcal{O}_X$  is surjective.

Example 4.2.2: Take  $k[t] \rightarrow k[t]/t^2$  and take  $\text{Spec}$ . This is closed.

AWKWARD DEFINITION 4.2.3: A closed subscheme is an equivalence class of closed immersions, where  $[X \rightarrow Y] \sim [X' \rightarrow Y]$  if there is a triangle.

$$\begin{array}{ccc} X' & \xrightarrow{\text{iso}} & X \\ & \downarrow & \downarrow \\ & Y & \end{array}$$

Scheme theoretic points: let  $K$  be any field. A  $K$ -valued point of a scheme  $X$  is a morphism  $\underline{\text{Spec } K \rightarrow X}$ . We write the set of all such maps as  $X(K)$ .

Example 4.2.6. Take  $X = \mathbb{P}_{\mathbb{C}}^n$ . Then  $X(\mathbb{C})$  is the  $\mathbb{P}_{\mathbb{C}}^n$  you know and love.

Remark 4.2.5: For any ring  $R$  we could define  $R$ -valued points similarly. In fact, we can do the same for  $S$  any scheme! We will therefore obtain

$$F_X : \text{Rings} \longrightarrow \text{Sets}$$

$$R \longmapsto X(R).$$

This "functor of points" is eventually very useful, but I want to stay close to geometry.

Very concrete! Given  $p \in X$ , there is an affine open  $U$  around  $p$ . Setting  $K(p) = \mathcal{O}_{X,p}/\mathfrak{m}_{X,p}^2$  we get  $\text{Spec } K(p) \rightarrow U \hookrightarrow X$ . Every point is a scheme theoretic point

### § 4.3 FIBRES & FIBRE PRODUCTS

Motivation: Fibre products are a common generalization  
of several operations: (i) The right notion of product.

(ii)  $X_1 \hookrightarrow Y$  &  $X_2 \hookrightarrow Y$  closed subschemes

Intersection " $X_1 \cap X_2$ " is a fibre product

(iii) Given  $x \xrightarrow{f} Y$  a morphism and  $y \in Y$ ,  
the fibre  $f^{-1}(y)$  is a scheme

(iv) The intuitive statement that  
 $\mathbb{P}_{\mathbb{C}}^n$  is obtained from  $\mathbb{P}_Z^n$  and  $Z \hookrightarrow \mathbb{C}$ .

DEFINITION 4.3.1 Let

$$\begin{array}{ccc} X & \downarrow & \\ Y & \longrightarrow & S \end{array}$$

be morphisms of

schemes. The fibre product is a scheme  $X \times_S Y$

with maps

$$\begin{array}{ccc} X \times Y & \xrightarrow{p_X} & X \\ p_Y \downarrow & & \downarrow \\ Y & \longrightarrow & S \end{array}$$

commuting,

such that for any

$$\begin{array}{ccc} Z & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & S \end{array}$$

commuting, there is a

unique map

$$\begin{array}{ccccc} Z & \xrightarrow{\quad} & X \times_S Y & \longrightarrow & X \\ \dashrightarrow & \searrow & \downarrow & \downarrow & \text{with all} \\ & & Y & \longrightarrow & S \end{array}$$

diagrams commuting. If exists, unique up to unique isomorphism.

Makes sense in any category. If  $X, Y, B$  were just sets then  $X \times_B Y \subseteq X \times Y$  as pairs that project to the same point of  $B$ .

THEOREM 4.3.2 Fibre products of schemes exist.

PROOF: [Hartshorne Theorem 3.3 — do look it up!]

1. Affine Case: If  $X, Y, S$  are affine with rings  $A, B, R$  then  $\text{Spec}(A \otimes_R B)$  satisfies the

universal property. To verify, notice that by some ideas in previous lecture, a map

$Z \rightarrow \text{Spec } A \otimes_R B$  is a ring map

$A \otimes B \rightarrow \Gamma(Z, \mathcal{O}_Z)$ .

Globalization: Slowly turn the 3 pieces into affines.

2. If  $X \times_S Y$  exists and  $U \subseteq X$  is open then  $U \times_S Y$  exists: take  $p_X^{-1}(U)$  with open subscheme structure.

3. If  $X$  is covered by  $\{X_i\}$  then if  $X_i \times_S Y$  exists they can be glued to  $X \times_S Y$ .  
Why? The schemes already glue to  $X$ , but the maps to  $Y$  can also be glued - this is easier than you think - no cocycle conditions!

4. For any  $X$  let  $Y \in S$  affine,  $X \times_S Y$  exists. Since  $X \in Y$  are interchangeable,  $X \times_S Y$

exists for affine  $S$ .

5. Cover  $S$  by affines  $\{S_i\}$ . Let  $x_i \in Y_i$  be the  $p_X^{-1} \in p_Y^{-1}$  preimages.  $x_i \times_{S_i} Y_i$  exist.

But in fact,  $x_i \times_{S_i} Y_i = x_i \times_S Y$  [Think about intersections!]

6. Now glue again !

You have flexibility !

Notation:

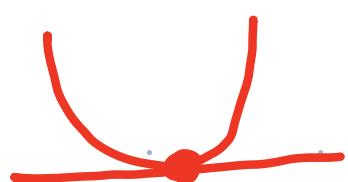
$$\begin{array}{ccc} Z & \xrightarrow{\quad} & X \\ \downarrow & \square & \downarrow \\ Y & \xrightarrow{\quad} & S \end{array}$$

Little box says  $Z$  is the fibre product.  $\square$

Examples 4.3.3: with some honest geometry

(i)  $\mathbb{P}_{\mathbb{C}}^n = \mathbb{P}_{\mathbb{Z}}^n \times_{\text{Spec } \mathbb{Z}} \text{Spec } \mathbb{C}$  [why?]

(ii) Take  $C = \text{Spec } \mathbb{C}[x, y]/(y - x^2)$



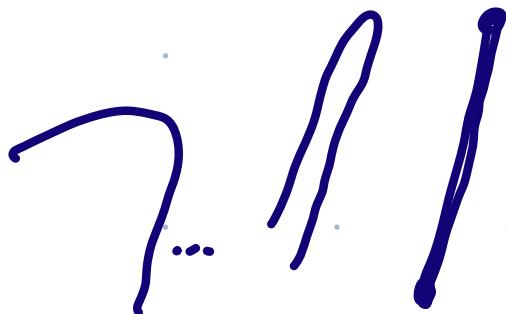
$L = \text{Spec } \mathbb{C}[x, y]/(y)$

Then  $C \times_{\mathbb{A}^2} L = \text{Spec } \mathbb{C}[x]/x^2 \rightsquigarrow$  "FAT POINT"

(iii)  $\text{Spec } \frac{\mathbb{C}[x,y,t]}{(y^2 + t \cdot x)}$   $\longrightarrow \text{Spec } \mathbb{C}[t]$  Family of mostly conics.

Total space of family

$\text{Spec } \frac{\mathbb{C}[x,y]}{y^2}$   $\longrightarrow \text{Spec } \mathbb{C}[t]/(t)$  closed point 0.  
 "DOUBLED" x-axis



More generally:  $\dots + \dots + \bullet$

(iv) Recall that given  $p \in S$  we defined  $k(p) = \text{FF}(A/p)$  with  $\text{Spec } A \hookrightarrow S$  an open neighborhood. Given  $X \rightarrow S$  the scheme theoretic fiber of  $X \rightarrow S$  at  $p$  is

$$\begin{array}{ccc} x_p & \longrightarrow & x \\ \downarrow & & \downarrow \\ \text{Spec } k(p) & \longrightarrow & S \end{array}$$

SCHEME OVER  $k(p)$

If  $S$  is arithmetic  
 e.g.  $\text{Spec } \mathbb{Z}$ , fibres live in different FIELDS !!

(v) In Ex (iii) take  $\text{Spec } \mathbb{C}(t) \hookrightarrow \text{Spec } \mathbb{C}[t]$

The generic fibre of  $\pi$

$$\text{Spec } \frac{\mathbb{C}(t)[x, y]}{(y^2 + tx)}$$

$$\rightarrow \text{Spec } \frac{\mathbb{C}[x, y, t]}{(y^2 + tx)} \\ \downarrow \pi$$

$$\text{Spec } \mathbb{C}(t) \longrightarrow \text{Spec } \mathbb{C}[t] = S$$

Consolidates information that is constant on an open set in the base  $S$

Language 4.3.4 In scheme theory, we often fix a base scheme  $S$  and study the collection of schemes  $X \rightarrow S$ . If no such choice is made, we take  $S = \text{Spec } \mathbb{Z}$  implicitly. Terminal object.

In variety theory,  $S = \text{Spec } k$  ( $k = \bar{k}$ ). The product of varieties  $X \times Y$  is

$$X \times_{\text{Spec } k} Y.$$

In Sch/S, given  $X/S$  &  $Y/S$  the  
schemes over  $S$   
the product in this category is  $\underset{S}{X \times Y}$ .  
The "usual" PRODUCT never comes up [until  
you start using  $\mathbb{C}$  + Euclidean topology].

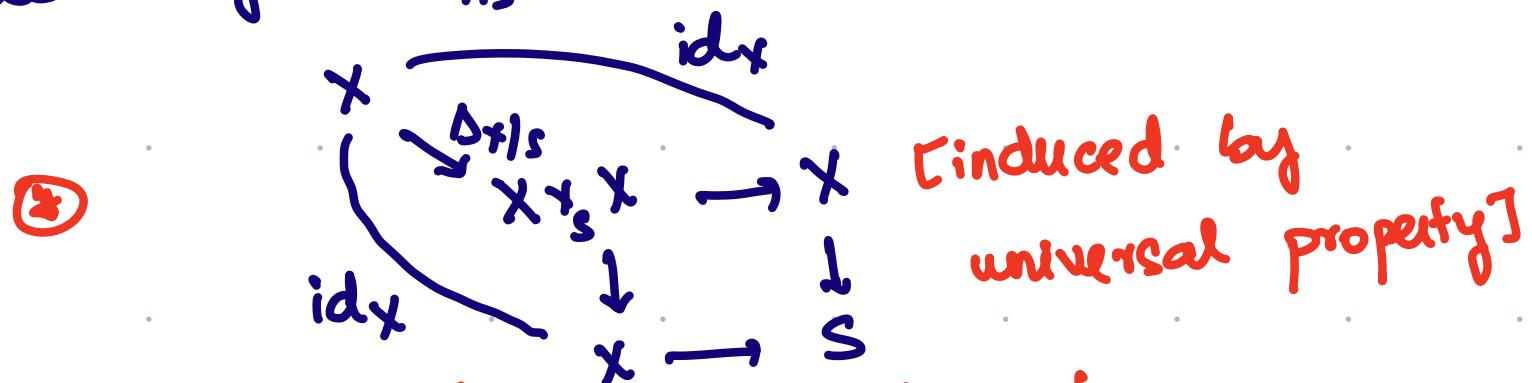
§ 4.3½: Example sheet II contains many basic  
notions — reduced, irreducible, integral, noetherian,  
finite type. — You should read the  
sheet at a minimum. (i) We will not need it  
for now (ii) I will supply a number of examples  
later

## § 4.4 SEPARATED MORPHISMS

Given  $X$  a scheme  $X_{\text{top}}$  is essentially never Hausdorff. But big-eyed-line is worse than  $\mathbb{P}^1$  or  $\mathbb{P}^1$  — why?

Hausdorff is about separating pairs of points and so can be phrased in topology as  $X$  is Hausdorff  $\iff \Delta_X \subseteq X \times X$  is closed.  
 [product topology]

DEFINITION 4.4.1: Given  $X \rightarrow S$  a scheme map the diagonal  $\Delta_{X/S}$  is the morphism below:



write  $\Delta$  instead of  $\Delta_{X/S}$  when clear.

PROPOSITION 4.4.2: Let  $X \rightarrow S$  be a scheme map.

The diagonal is a locally closed immersion,

$$\text{i.e. } X \xrightarrow{\text{CLOSED}} U \xrightarrow{\text{OPEN}} X \times_S X$$

PROOF: We find open in  $X \times_S X$  in which  $X$  is closed.

Say  $S$  is covered by  $\{V_i\}$  affine opens  
and  $X$  is covered by  $\{U_{ij}\}$  with  
 $U_{ij} \rightarrow V_j$  the maps induced by  
restriction (i.e. fiber product)

Now  $U_{ij} \times_{V_i} U_{ij}$  is affine & covers the diagonal

Now  $\Delta^{-1}(U_{ij} \times_{V_i} U_{ij}) = U_{ij}$  [Use diagram  $\oplus !$ ]

For  $U_{ij}$  affine

$U_{ij} \hookrightarrow U_{ij} \times_{V_i} U_{ij}$  is clearly  
a closed immersion.

]

PROPOSITION 4.4.3 If  $X \rightarrow S$  is a map of  
affine schemes then  $\Delta_{X/S}$  is a closed  
immersion

[Same claim -  $A \otimes_B A \rightarrow A$  always surjective]

DEFINITION 4.4.4  $\leftarrow$  *spooky!* A morphism  $X \rightarrow S$  is separated if the diagonal is a closed immersion.

Easy fact: If  $X \rightarrow Y$  is a locally closed immersion whose image is a closed topological subset, then it is closed [definition chasing]

Examples 4.4.5: (i) For any ring  $R$  the morphism  $\mathbb{A}_R^n \rightarrow \text{Spec } R$  is separated.

(ii) The bug-eyed line  $\mathbb{A}_k^1 \cup_{\mathbb{A}_{k,0}^1} \mathbb{A}_{k,0}^1$  is NOT separated

(iii) For a ring  $R$   $\mathbb{P}_R^n \rightarrow \text{Spec } R$  is separated.

Proof for (iii): Easy but worth doing. Ravi 10.1.5

$\mathbb{P}_R^n \times_{\text{Spec } R} \mathbb{P}_R^n$  is built from a natural open cover by products of affine spaces  $\{U_i\}$ .  
Closedness of  $X \rightarrow Y$  is local on  $Y$ , so  $\Delta \cap U_i \cap U_j \rightarrow U_i \times_R U_j$

(iv) Open & closed embeddings are separated.  
Composition of separated maps are too. Proofs are ok but...

... it would be better to have a criterion analogous to SEQUENTIAL Hausdorffness.

A discrete valuation ring is a local PID.

Examples 4.4.6:  $\mathbb{C}[[t]]$ ,  $\mathcal{O}_{A',0}$ ,  $\mathbb{Z}_{(p)}$ ,  $\mathbb{Z}_p$  integers.  
 If  $A$  is a DVR  $\text{Spec } A$  is a connected doubleton  
 @  $\xrightarrow{\text{num.}}$  |  $\text{GENUS OF CURVES}$   
 OPEN CLOSED

THEOREM 4.4.7 (VALUATIVE CRITERION): Let  $X \xrightarrow{f} Y$  be a scheme map;  $X$  is NOETHERIAN; then  $f$  is separated iff for any DVR  $A$  with  $\text{FF}(A) = K$

given

$$\begin{array}{ccc} \text{Spec } K & \longrightarrow & X \\ \downarrow & \nearrow & \downarrow f \\ \text{Spec } A & \longrightarrow & Y \end{array}$$

there is at most 1 lift (diagonal arrow)

making everything commute - limits are unique!

PROOF: Sketched in Ex Sh III.

FORESHADOWING: Properness will be defined later and will require existence & uniqueness of the diagonal.

## Standing Noetherian hypothesis.

COROLLARY 4.4.8 : Open & closed immersions are separated ; if  $x \rightarrow y$  separated and  $z \rightarrow y$  separated,  $x \times_y z \rightarrow z$  is separated ; arbitrary,  $x \times_y z \rightarrow z$  is separated ; separatedness is local on the target

$x \xrightarrow{f} y$  separated  
iff  
 $y$  has a cover by  $\{\gamma_i\}$  st  
 $f^{-1}(\gamma_i) \rightarrow \gamma_i$  is separated.

PROOF : Formal exercise; see Hartshorne.  $\square$

EXAMPLE 4.4.9 : Let  $X$  be  $A'_C \cup A'^c$  be the bug-eyed line. It fails valuative criterion why?

Take  $A = \mathcal{O}_{A',0}$  for the two origins.

Remark 4.4.10 (Moduli theory) : Moduli spaces are interesting functors  $M : \text{Sch}/k \rightarrow \text{Sets}$

Eg:  $r$ -dimensional subspaces of  $k^n$ ; subschemes of  $\mathbb{P}_k^n$ .  $S \mapsto \{ \text{families of geom. objects over } S \}$

Separateness means limits of such objects exist.

## § 4.5 PROPERNESS

Model behaviour: preimage of compact sets are compact.

DEFINITION 4.5.1: A scheme map  $X \xrightarrow{f} Y$  is closed if  $f(\text{CLOSED SETS})$  are closed. It is universally closed if  $f'$  is also closed for any diagram

$$\begin{array}{ccc} X \times_Y Z & \xrightarrow{\quad} & X \\ f' \downarrow & \square & \downarrow f \\ Z & \xrightarrow{\quad} & Y \end{array}$$

Why? Think about  
closed vs. compact.

A scheme map  $f: X \rightarrow Y$  is proper if it is separated, finite type, and universally closed.

THEOREM 4.5.1 (VALUATIVE CRITERION FOR PROPERNESS)

$X \xrightarrow{f} Y$  scheme map;  $X$  is NOETHERIAN; then  $f$  is separated iff for any DVR  $A$  with  $\mathrm{FF}(A) =$

given

$$\begin{array}{ccc} \mathrm{Spec} K & \longrightarrow & X \\ \downarrow & \nearrow & \downarrow \\ \mathrm{Spec} A & \longrightarrow & Y \end{array}$$

there exists a unique lift (making everything commutes).

### COROLLARY 4.5.2:

- (i)  $\mathbb{P}_A^n \rightarrow \text{Spec } A$  is proper
- (ii)  $A_A^n \rightarrow \text{Spec } A$  for  $n \geq 1$  is NOT proper
- (iii) Closed subschemes of  $\mathbb{P}_A^n$  are proper over  $\text{Spec } A$ .

Remark 4.5.3 (On DVR's) A DVR has a uniformizer i.e. an element  $\pi \in R$  st.  $(\pi) = \mathfrak{m}_R$ . Moreover all ideals are  $(\pi^k)$  for  $k \in \mathbb{N}$ . Moreover, every  $r \in R$  is  $\pi^k \cdot r_0$  for  $r_0 \in R^\times = R \setminus \mathfrak{m}_R$ . Every  $f \in K$  is  $\pi^k \cdot r_0$  for  $k \in \mathbb{Z}$ ,  $r_0 \in R^\times$ .

► Think about  $\mathbb{C}[[t]]$ !

Easy Fact 4.5.3 Properness is stable under base change; if  $X \rightarrow Y$  proper and  $Z \rightarrow Y$  arbitrary then  $X \times_Z Y \rightarrow Z$  proper.

Remarks on Corollary 4.5.2 & proof

(i)  $\mathbb{P}_{\mathbb{C}}^n$  is proper over  $\text{Spec } \mathbb{C}$ . Pick  $R$  a DVR with uniformizer  $\pi$  and fraction field  $K$ .  $\hookrightarrow$  Extension of

We want  $\mathbb{P}_{\mathbb{C}}^n(K) \longleftrightarrow \mathbb{P}_{\mathbb{C}}^n(R)$   $\mathbb{C}$ .  
bijective.

Given a  $K$ -point of  $\mathbb{P}_{\mathbb{C}}^n$ , it is the data of a tuple  $[z_0 : \dots : z_n]$   $z_i \in K$  (not all zero, up to scalar).

Multiply by  $\pi^k$  such that at least one  $z_i$  is a unit in  $K$ !

unit and all elements lie in  $R$

$[z'_0 : \dots : z'_n] \sim [z_0 : \dots : z_n]$ . But this is an

$R$  point. Now just rewriting the proof, get properness of  $\mathbb{P}_R^n$ ; therefore  $\mathbb{P}_S^n$  for any scheme  $S$  by base change.

(ii)  $\mathbb{A}_{\mathbb{C}}^n$  is not proper: take  $R = \mathbb{C}[[t]]$  and  $K = \mathbb{C}(t)$

$\text{Spec } K \rightarrow \mathbb{A}_{\mathbb{C}}^n$  given by  $(\frac{1}{t}, 1, \dots, 1)$

Cannot extend to  $R$ -point b/c we'd then be able to set " $t=0$ " works for any  $\mathbb{A}_S^n$ .

(iii) Closed subschemes: notice if  $X \hookrightarrow \mathbb{P}_A^n$   
 is closed then  $X(R) \hookrightarrow \mathbb{P}^n(R)$   
 $\xrightarrow{\text{Bijective}} X(K) \hookrightarrow \mathbb{P}^n(K)$   $\xrightarrow{\text{Bijective}}$

Alternate to (ii):  $\mathbb{A}_C^1$  is not proper as the base  
 change by  $\mathbb{A}_C^1 \rightarrow \text{Spec } C$  gives  $\mathbb{A}_C^2 \rightarrow \mathbb{A}_C^1$   
 which is not closed [Hyperbola]

□

Remark 4.5.4: It is hard to construct  
 a proper but non-projective scheme. First examples  
 due to Hirzebruch/Nagata. Navid will give you one in toric  
 geometry by a beautiful combinatorial construction.

DEFINITION 4.5.5: A variety is a separated finite  
 type scheme over a field  $k = \bar{k}$  that is reduced  
 and sometimes irreducible → Religions  
 decision

## § 4.6 - a brief interlude on other types of morphisms

$X \xrightarrow{f} Y$  a scheme map.

(i) FINITE: cover  $Y$  by affines  $\text{Spec } B_i = U_i$  st  
 $V_i = f^{-1}(U_i)$  is open affine  $\text{Spec } A_i$  and  
 $A_i$  is a fg  $B_i$  MODULE.

Examples: Non-constant maps of smooth curves  
 Closed immersions

(ii) FLAT: At every  $p \in X$  the map

$f^\# : \mathcal{O}_{Y, f(p)} \rightarrow \mathcal{O}_{X, p}$  makes  $\mathcal{O}_{X, p}$

a flat  $\mathcal{O}_{Y, f(p)}$  module. [injectivity of  $\mathcal{O}_{Y, f(p)}$   
 modules is preserved] "Everything is flat over a field"

UTILITY: Given  $\mathbb{Z}_n \hookrightarrow \mathbb{P}^n_{\mathbb{C}[[t]]} \hookrightarrow \mathbb{P}^n_{\mathbb{C}[t]}$   
 $\downarrow \qquad \qquad \downarrow$   
 $\text{Spec } (\mathbb{C}[[t]]) \rightarrow \text{Spec } \mathbb{C}[t]$

There exists a unique  $Z \hookrightarrow \mathbb{P}^n_{\mathbb{C}[t]}$  that is  
 FLAT OVER  $\mathbb{C}[t]$

(iii) More sophisticated ring theory gives notions of  
étale map [covering spaces], unramified maps  
[immersions in topology], smooth map [submersions].  
I have equipped you with enough background to  
make sure the work to understand such notions  
is in the affine / ring case.

## §5 MODULES OVER $\mathcal{O}_X$

### §5.1 Motivation:

An  $\mathcal{O}_X$ -module is a sheaf of groups with  $\mathcal{O}_X$ -multiplication. Before we do it formally, I give examples

Example 5.1.1: On  $\mathbb{P}^n$  the variety take the

sheaf  $\mathcal{O}_{\mathbb{P}^n}(d)(U) = \left\{ \frac{P(\underline{x})}{Q(\underline{x})} \mid \begin{array}{l} \text{Rational} \\ \text{homogeneous function} \end{array} \right.$   
st degree is  $d$  and regular  
on all points of  $U\}$

Notice  $\mathcal{O}_{\mathbb{P}^n}(d)(\mathbb{P}^n) = \text{Degree } d \text{ homogeneous polynomials}$

Recall  $\mathcal{O}_{\mathbb{P}^n}(U)$  are rational, ie. ratios of poly's same degree so we have a multiplication map!

Note: If  $d < 0$ , no global sections but still pretty interesting!

Example 5.1.2 : Given a module  $M$  over  $A$ ,

define the sheaf  $F_M(U_f) = M_f$  by localization.  
Gluing is identical to what we know. Notation:  
sometimes  $\tilde{M}$ .

## § 5.2 DEFINITIONS OF $\mathcal{O}_X$ -MODULES

Fix  $(X, \mathcal{O}_X)$  a ringed space.

DEFINITION 5.2.1 : A sheaf of  $\mathcal{O}_X$ -modules is  
a sheaf  $F$  of groups st for  $U \subseteq X$  open there  
is a multiplication  $\mathcal{O}_X(U) \times F(U) \rightarrow F(U)$   
compatible w/ restriction.

A sheaf of  $\mathcal{O}_X$ -algebras is defined similarly

standard Notions : Kernel, image, cokernel, direct sum,  
direct product, submodule, ideal sheaf

Also : Tensor product & Hom — ↗ Require  
sheafification !

## Moving between spaces

$X \xrightarrow{f} Y$  a ringed space

morphism. Given  $\mathcal{F}$  a sheaf of  $\mathcal{O}_X$ -modules  
the push-forward  $f_* \mathcal{F}$  is a  $f_* \mathcal{O}_X$ -module.

But we have  $f^\# : \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  giving  
an  $\mathcal{O}_Y$ -module structure

Conversely for  $\mathcal{G}$  a sheaf of  $\mathcal{O}_Y$ -modules,  
define  $f^* \mathcal{G} = f^{-1} \mathcal{G} \otimes_{\mathcal{O}_X} \mathcal{O}_Y$  via  
the adjoint  $f^\#$ .

Basic Fact 5.2.2  $f^*$  and  $f_*$  are adjoint  
functors for modules over ringed spaces.

## §5.3 $\mathcal{O}_X$ -MODULES ON SCHEMES $X$ A SCHEME

DEFINITION 5.3.1 A quasi-coherent sheaf  $F$  of

$\mathcal{O}_X$ -modules is one such that there is an open cover  $\{U_i\}$  by affines with  $F|_{U_i}$  the sheaf associated to a module  $M_i$  over  $\Gamma(U_i, \mathcal{O}_X|_{U_i})$ . It is coherent if  $M_i$  are fg modules.

Will only use when  $X$  noetherian

Basic Examples:  $\mathcal{O}_X$  on any scheme, similarly

$\mathcal{O}_X^{\oplus n}$ . For  $Y \hookrightarrow X$ ,  $\mathcal{O}_Y$  is coherent.

Affine case: this is the sheaf associated to  $A/I$ .

REFERENCE: Hartshorne II §5.

PROPOSITION 5.3.2 An  $\mathcal{O}_X$ -module  $F$  is q-coh if and only if on any  $U = \text{Spec } A$  affine,  $F|_U$  is the sheaf assoc. to an  $A$ -module. If  $X$  noetherian, then  $F$  is coherent if and only if  $M$ 's are finitely generated.

KSY COROLLARY 5.3.3:  $q$ -coherent  $\mathcal{O}_X$ -modules on  $X$  affine are equiv. to modules over  $\mathcal{O}_X(X)$ .

Proof strategy: Condition on random open set  
Condition on distinguished random open set Condition  
on any affine of your choosing.

KSY LEMMA 5.3.3:  $X: \text{Spec } A$ ,  $t \in A$ , and  $F$   
 $q$ -coh. Let  $s \in \Gamma(X, F)$ . Then

- (i) If  $s$  restricts to 0 on  $U_t$  then  $f^n s = 0$  for some  $n$ .
- (ii) If  $t \in F(U_f)$  then  $f^m t$  is the restriction of a global section for some  $m$ .

Proof: There exists an open cover where  $F$  restricts to the sheaf assoc. to a module on each one.

Since  $V(g)^c$  form a basis, we can find a cover by such  $\{U_{g_i}\}$  st  $F|_{U_{g_i}}$  is a module over  $A_{g_i}$ . Finite number suffices.  
Now use properties of the localization.

□

PROOF OF 5.3.2: Given  $U = \text{Spec } A \subseteq X$   
 and  $F$  on  $X$ , observe that  $F|_U$  is still quasi-coh.  
 [Why?] Reduce to  $X = \text{Spec } A$ .

Now, take  $M = F(X)$  and let  $M^{\text{sh}}$  be  
 the sheaf on  $X = \text{Spec } A$  associated to it.  
 we have a map  $M^{\text{sh}} \rightarrow \mathcal{F}$ . By the lemma,  
 on a distinguished open  $V(g)^c$ , the map is  
 locally an isomorphism, therefore an isomorphism.

Lesson: Quasi-coherence is local.

There is a "Proj" version. Take  $A_0 \in \mathbb{N}$   
 graded ring and  $M$  a graded  $A_0$ -module.

Example Sheet III

WORDS: A sheaf of  $\mathcal{O}_X$ -modules  $F$  is a vector  
 bundle if it is Zariski locally **FREE**. An line  
 bundle is locally free of rank 1. An ideal sheaf  
 is locally an ideal in  $\mathcal{O}_X$ .

## §5.4 PROTECTIVE SCHEME THEORY.

On  $\mathbb{P}_C^n$  the old skool variety we defined  $\mathcal{O}_{\mathbb{P}^n}(d)(U)$

$$\mathcal{O}_{\mathbb{P}^n}(d)(U) = \{ f/g \mid \begin{array}{l} \text{homog rational function} \\ \text{degree } d, \text{ no poles on } U \end{array}\}$$

SCHEME THEORETICALLY: Instead of degree 0 elements in localizations, take degree  $d$  elements. See Ex Sh III.

generated in degree 1  
FORGOT IN LECTURE!

DEFINITION 5.4.1: Fix  $A_0$  graded,  $M_0$  a graded  $M_0$ -module. Define  $M_0(d)$  the module whose degree  $k$  piece is  $M_{k+d}$ . The sheaf  $(A_0(d))^{sh}$  on  $\text{Proj } A_0$  is  $\mathcal{O}_X(d)$ .

PROPOSITION 5.4.2:  $\mathcal{O}_X(d)$  is a line bundle.

Proof really is trivial  $\checkmark$

Remark 5.4.3 If  $A_0$  is generated over  $A_0$  in degree 1, then  $\text{Proj } A_0 \hookrightarrow \mathbb{P}^n$  and those

are restrictions of homogeneous rational functions.

### Construction 5.4.3 : (source of line bundles)

Given \*  $X \xrightarrow{f} \mathbb{P}^n$  over any base we get a  
line bundle  $f^*\mathcal{O}_{\mathbb{P}^n}(1)$  on  $X$ . Moreover, we get  
write the homogeneous coordinates of  $\mathbb{P}^n$  by  
 $x_0, \dots, x_n$  we get sections. \*: very concrete!

$$s_1, \dots, s_n \in \Gamma(X, f^*\mathcal{O}(1))$$

Definitions by cheating: let  $L$  be a line bundle  
on  $X$ . Then  $L$  is basepoint free if there  
exists  $X \xrightarrow{f} \tilde{\mathbb{P}}$  st  $f^*\mathcal{O}(1) = L$ . It is very ample  
if in addition  $X \hookrightarrow \tilde{\mathbb{P}}$  is a locally closed embedding  
if in addition  $X \hookrightarrow \mathbb{P}^n$  is a locally closed embedding  
 $L$  is ample if  $L^{\otimes n}$  is very ample for  $n > 0$ .

Example 5.4.4 Take  $A_{\infty, \infty} = \mathbb{C}[x, y, z, w]$  and  
 $\mathcal{O}(1, 1)$  the shifted  $A_{\infty, \infty}(1, 1)$ -sheaf. Then  
 $\text{BiProj } A_{\infty, \infty} \hookrightarrow \mathbb{P}^3$  with  $\mathcal{O}(1, 1) = i^*\mathcal{O}_{\mathbb{P}^3}(1)$ .  
SEGRE EMBEDDING.

Example 5.4.5: Take  $A = \mathbb{C}[x_0, \dots, x_n]$  and take  $L = \mathcal{O}_{\mathbb{P}^n}(d)$  as Proj  $A$ . Then if  $m = \binom{n+d}{d} - 1$ , we get

$\nu_d : \mathbb{P}^m \longrightarrow \mathbb{P}^m$  given by degree  $d$

monomials.

VERONESE  
EMBEDDING.

On Ex Sh III we do global versions of Spec and Proj. Given  $\lambda$  a sheaf of  $\mathcal{O}_X$ -algebras that is quasi-coherent we can take

Spec  $\lambda \rightarrow X$  & if  $\lambda$  is graded

Proj  $\lambda \rightarrow X$ .

Gives three beautiful geometric constructions.

(ii) Given  $\mathcal{E}$  a locally free sheaf of rank  $r$   
 consider  $\text{Sym}^r \mathcal{E}^\vee$  a sheaf of algebras  
 over  $\mathcal{O}_X$ . Recall: if  $E$  is a vector space of  
 dimension  $n$ ,  $\text{Sym}^r E$  is a polynomial ring!

Then  $\underline{\text{Spec Sym}^r \mathcal{E}^\vee = \text{Tot}(\mathcal{E})}$  is  
 $\pi \downarrow$   
 $X$

a scheme st  $\pi^{-1}(p) \cong \mathbb{A}_{k(p)}^n$  residue field.

Given  $s \in \mathcal{F}$ , there is a tautological morphism  
 $x \xrightarrow[\substack{\pi \\ s}]{} \text{Tot}(\mathcal{E})$  i.e. a SECTION.

(iii) Similarly, take  $\underline{\text{Proj Sym}^r \mathcal{E}^\vee}$  to get a  
 $\mathbb{P}^{n-1}$ -bundle

(iv) Let  $\mathfrak{I} \subseteq \mathcal{O}_X$  be an ideal sheaf. Then  
 $\bigoplus_{d \geq 0} \mathfrak{I}^d = \mathfrak{I}$  is a graded  $\mathcal{O}_X$ -algebra.

Define  $\text{Bl}_g X := \underline{\text{Proj}} \mathcal{I}_g \rightarrow X$  to be  
the blowup.

Example: Take  $\mathcal{I} = (x, y)$  &  $X = \mathbb{A}_{\mathbb{C}}^2$ . Then  
 $\text{Bl}_{(x,y)} \mathbb{A}^2 \xrightarrow{\pi} \mathbb{A}^2$  is the blowup you know.  
Wonderful calculation! and  $\pi^*\mathcal{I} \cdot \mathcal{O}_X$  is a line  
bundle!

$\nabla$  If  $\mathcal{I} \subseteq \mathcal{O}_X$  is an ideal sheaf there is a  
difference in general between  $f^*g$  and  
the ideal generated by  $f^{-1}\mathcal{I}$  inside  $\mathcal{O}_Y$ .

\* Degree 1 in A. and Global Spec.

## § 6 DIVISORS ON SCHEMES "sheaves & subschemes"

why? In rings, height 1 primes — in good cases —  
= are principal and are the easiest to probe.

We now discuss WEIL DIVISORS and in such discussion assume  $X$  is Noetherian, integral, separated & regular in codimension 1, i.e. all  $\mathcal{O}_{X,x}$  of dim 1 are DVR's.

### § 6.1 TOPOLOGICAL PRELIMINARIES:

(i) Dimension of  $X$  is length  $n$  of longest chain of nonempty closed irreduc. subsets

$$z_0 \subsetneq \dots \subsetneq z_n \text{ in } X$$

Follows from normality.

Dimension of  $A_K^n$  is  $n$ .

(ii) Codimension of  $z \hookrightarrow X$  is length similarly of

$$z = z_0 \subsetneq \dots \subsetneq z_n \text{ in } X$$

If  $A$  is a fg  $k$ -algebra & integral then

Krull Dim  $A = \text{height } \mathfrak{p} + \text{krull dim } A/\mathfrak{p}$   
most intuition from here fails in general.

(iii) If  $X$  is a noetherian topological space, then every closed  $Z \subseteq X$  has a finite irreducible decomposition.

## §6.2 WEIL DIVISORS

DEFINITION 6.2.1 A prime divisor is a closed integral subscheme of codimension 1. A Weil divisor is an element of the free abelian group on prime divisors  $\underline{\text{Div } X}$ . Effective means positive coeffs.

If  $X$  is integral, then there is a point  $\eta$ , the ideal  $(0)$  in any affine open. Define  $k(X) = \mathcal{O}_{X,\eta}$ .

CONSTRUCTION 6.2.2: Let  $f \in k(X)$ . [What is this practically?]. Then take

$$\text{div}(f) = \sum_{\substack{\gamma \subseteq X \\ \text{prime}}} n_\gamma(f) [\gamma] \quad \text{where}$$

$n_\gamma(f)$  is the valuation of  $f$  in  $\mathcal{O}_{X,\gamma}$ .

↑  
Generic Point  
of  $\gamma$ .

PROPOSITION 6.2.3 : The element  $\text{div}(f)$  is a divisor, i.e. the sum is FINITE.

PROOF : Take  $U \subseteq X$  affine ;  $U = \text{Spec } A$  st  $f$  is regular i.e.  $f \in A \hookrightarrow k(X)$ . Then  $X \setminus U = Z$  is closed of codim  $\geq 1$ . Thus only finitely many  $y_i$ 's are in  $U^c$ . On the rest, any  $y_i$  for which  $\nu_{y_i}(f) > 0$  is contained in  $V(f)$ . Those are contained in  $V(f)$  so we're done. □

↙ we used something here: Given a closed subset  $Z \subseteq X$  there is a unique reduced scheme structure on it. [Hartshorne Ex. 8.2.6]

DEFINITION 6.2.4 : A divisor of the form  $\text{div}(f)$  is principal. [They form a group]. The quotient  $\text{Div } X / \text{Prin } X := \text{Cl}(X)$ .

The class group is (i) interesting (ii) hard to calculate — simplest of the Chow groups.

### Basic Calculations 6.2.5

(i) If  $X = \text{Spec } A$  w/  $A$  a UFD then  $\text{Cl}(X) = 0$

[if  $X$  is normal then  $\text{Cl}(X) = 0 \Leftrightarrow \text{UFD}]$

(ii)  $\text{Cl}(\mathbb{P}^n) \cong \mathbb{Z}$  generated by  $H$ . [why?]

(iii) If  $Z \hookrightarrow X$  closed with  $U = Z^c$  open,

then  $\text{Cl}(X) \rightarrow \text{Cl}(U)$  given by

intersection with  $U$ . If  $\text{codim}(Z) \geq 2$  this is an isomorphism. If  $Z$  is codim 1 & irreduc.

then  $\mathbb{Z} \rightarrow \text{Cl}(X) \rightarrow \text{Cl}(U) \rightarrow 0$  is exact.

### Excision Sequence.

COROLLARY 6.2.6 If  $X = \mathbb{P}^n, \mathbb{H}^d$  [a degree

d hypersurface] then  $\text{Cl}(X) \cong \mathbb{Z}/d\mathbb{Z}$ .

\*: About  $\text{Cl}(\mathbb{P}_K^n)$ : Given  $D = \sum n_i Y_i$

take  $\deg(D) = \sum n_i \cdot \deg(Y_i)$ . Then

$D \sim dH$  where  $d = \deg(D)$  &  $H = \text{hyperplane}$ .

• If  $f \in k(X)^*$  then  $\deg(\text{div}(f)) = 0$

• Degree map  $\text{Cl}(\mathbb{P}^n) \rightarrow \mathbb{Z}$  is an isom.

[Hartshorne Prop. 6.4]

[Why?] Write  $g = g_1^{n_1} \cdots g_r^{n_r}$ .  $g_i$  is a degree  $n_i$  hypersurface. Divisor of  $g$  is  $\sum n_i Y_i$ . Then  
degree of  $\text{div}(g) = \sum n_i = d$

Now take  $f = g/h$  rational function.

Then  $\text{div}(f) = \text{div}(g) - \text{div}(h)$  has degree 0.

For  $D \sim dH$ : write  $D = D_1 - D_2$  both effective

of same degree. Now take equations &

divide by  $x_0^{\deg(D_i)}$

why possible? Height 1 primes are principal.

## § 6.3 CARTIER DIVISORS

Weil divisors are too hard to actually study for general  $X$ . A good replacement are Cartier divisors.

Notice that if  $D$  is principal, then  $D = \text{div}(f)$   $f \in K(X)^*$  well defined up to  $\underline{\mathcal{O}_X^*(X)}$ .

Global Invertible

Drop a section  $T(X, K^*/\mathcal{O}_X^*)$

DEFINITION 6.3.1 A Cartier divisor is a section of

the sheaf  $K^*/\mathcal{O}^*$

But care is required

here  $\nabla$



For  $X$  a scheme, take the presheaf

||  $U = \text{Spec } A \rightarrow S^{-1}A$ ,  $S = \text{all nongeoD}$  divisors

and sheafify to get  $\mathcal{K}_X \subset \mathcal{K}_X^*$ . Similarly take

||  $U = \text{Spec } A \rightarrow A'$  to be

$\mathcal{K}_Y^*$  is the sheaf of total rings of fractions.

and sheafify to get  $\mathcal{O}_X^*$ .

Remark 6.3.2 : what does this mean practically ?

Given a cover  $\{U_i\}$  with rational functions on each such that on overlaps the ratios are in  $\mathcal{O}_X^*$ .

Construction 6.3.3 : If  $X$  is regular in codim 1 and [integral, noetherian, separated] then given  $\mathfrak{d}$  a Cartier divisor we get a Weil divisor by the rule, for  $y \in X$  codim 1 & integral,  $\eta_y$  is contained in an affine on which  $\mathfrak{d}$  is a section of  $K(X)^*/\mathcal{O}_X^*$

Take valuation to get a coefficient  $n_y(\mathfrak{d})$  and therefore a Weil divisor [by the principal divisor construction !]

Proposition 6.3.4 If  $X$  is noetherian integral sep. with all local rings UFD's ( $\Rightarrow$  regular in codim 1) then the association

$$\{\text{Cartier Divisors}\} \xrightarrow{6.3.3} \{\text{Weil divisors}\}$$

respecting principal divisors. Example :  $H$  on  $P^n$

PROOF: Follow nose & look at Hartshorne. Key:

if  $A$  is UFD  $\Leftrightarrow$  height 1 primes are principal. Look at every point, extract local equations from each UFD, observe that they patch.  $\square$

PROPOSITION 6.3.5: If  $X$  is normal, integral, sep, noetherian then Cartier divisors are Weil divisors that are locally principal.

Construction 6.3.6 Given  $\mathcal{Q}$  Cartier we can consider  $L(\mathcal{Q}) \subseteq \kappa_X$  the subsheaf by taking representatives  $\{(U_i, f_i)\}$  [Remark 6.3.2] and defining  $L(\mathcal{Q})$  to be the subsheaf generated by  $\frac{1}{f_i}$ 's on the  $U_i$ 's.

Example: Take  $\mathcal{Q} = H$  on  $\mathbb{P}_{\mathbb{C}}^n$ . Then  $L(H)$  is homogeneous linear polynomials.

A locally free sheaf of rank 1 — a line bundle  
L has an "inverse"  $\text{Hom}_{\mathcal{O}_X}(L, \mathcal{O}_X) =: L^{-1}$ .

The Picard group is  $\text{Pic}(X) = \{ \text{Line bundles up to} \underset{\text{isomorphism}}{\sim} \}$  group by  $\otimes$

Under very mild assumptions [eg projective over  $k$ ;  
integral]

The map  $\boxed{\text{Cohom}(X) \rightarrow \text{Pic}(X)}$  is surjective  
with kernel exactly the principal divisors.

Calculating these groups is hard, but they are critical  
to understanding schemes. For example, if  $X$  is a  
surface then  $\text{Pic}(X)$  is a group with a pairing

$$\text{Pic}(X) \times \text{Pic}(X) \rightarrow \mathbb{Z}$$

key to classifying algebraic surfaces.

## §7 SHEAF COHOMOLOGY: Hartshorne Ch. III.

Geometric question: given a surjective map of sheaves, global sections need not be surjective, i.e.  
 given  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$

$0 \rightarrow \Gamma(\mathcal{F}') \rightarrow \Gamma(\mathcal{F}) \rightarrow \Gamma(\mathcal{F}'')$  is exact but  
 RHS not surjective.

Cohomology provides a LSS [How? For a large class  
 of  $\mathcal{F}$ , the maps are surj, now extend]

$$\left\{ \begin{array}{l} 0 \rightarrow H^0(X, \mathcal{F}') \rightarrow H^0(X, \mathcal{F}) \rightarrow H^0(X, \mathcal{F}'') \rightarrow H^1(X, \mathcal{F}') \\ \quad \rightarrow \dots \end{array} \right.$$

Contravariant in  $X$ .

### §7.1 RESOLUTIONS

DEFINITION 7.1.1: An abelian group  $I$  is injective if

given

$$\begin{array}{ccc} I & & \\ \uparrow & \swarrow & \text{exists lifting. } (\star) \\ 0 \rightarrow A \rightarrow B & & \end{array}$$

Remark 7.1.2: For abelian groups, injective means divisible so  $\mathbb{Q}, \mathbb{Q}/\mathbb{Z}$ , etc.

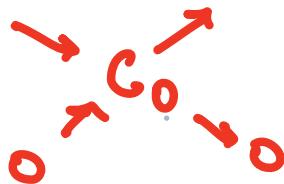
DEFINITION 7.1.3 An injective resolution of  $A$  is  
an exact sequence  $A \rightarrow I_0 \rightarrow I_1 \rightarrow \dots$   
possibly infinite

PROPOSITION 7.1.4: Injective resolutions of abelian groups exist.

Proof: Every ab group injects into a divisible group.

Now iterate:

$$0 \rightarrow A \rightarrow I_0 \rightarrow I_1 \rightarrow \dots$$



□

COROLLARY 7.1.5: A sheaf of ab. groups has an injective resolution.

↳ Meaning? See (\*)

PROOF:  $0 \rightarrow \mathcal{F}_x \rightarrow I_x$  for each stalk. Now take  $\iota_x: \{x\} \hookrightarrow X$  and consider  $(\iota_x)_* I_x$

and  $\boxed{\mathcal{F} \hookrightarrow \prod_{x \in X} (\iota_x)_* I_x}$ . Now iterate.

□

## § 7.2 COHOMOLOGY :

Given  $\mathcal{F}$ , replace by a complex of injectives  
 $0 \rightarrow \mathcal{F} \rightarrow I_0 \rightarrow I_1 \rightarrow \dots$  and define

$H^i(X, \mathcal{F}) := \frac{\text{kernel of } \Gamma(I_i) \text{ at } i^{\text{th}} \text{ step.}}{\text{image}}$

$$\left\{ \right. = \frac{\text{ker } \Gamma(I_{i+1}) \rightarrow \Gamma(I_i)}{\text{im } (\Gamma(I_{i-1}) \rightarrow \Gamma(I_i))}.$$

Attack Plan: Replace a sheaf  $\mathcal{F}$  on which you want to apply  $\Gamma(X, -)$  with an injective resolution. Now apply  $\Gamma(X, -)$ .  
 why?

### PROPERTIES 7.2.1

(i)  $H^i(X, -)$  is independent of resolution

(ii) Given  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  we get

connecting homomorphisms  $H^i(\mathcal{F}'') \rightarrow H^{i+1}(\mathcal{F}')$  giving the promised LES.

(iii) Given a commutative diagram:

$$\begin{array}{ccccccc}
 0 & \rightarrow & \mathcal{F}' & \rightarrow & \mathcal{F} & \rightarrow & \mathcal{F}'' \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & \mathcal{G}' & \rightarrow & \mathcal{G} & \rightarrow & \mathcal{G}'' \rightarrow 0
 \end{array}
 \quad \boxed{\text{commutative square.}}$$

$H^i(\mathcal{F}'') \xrightarrow{\delta} H^{i+1}(\mathcal{F}')$   
 $\downarrow$   
 $\cdots \cdots \cdots$

(iv) If  $F$  is "acyclic for  $T$ " i.e. all restrictions are surjective, we can resolve by acyclic rather than injective.  $\|$  injective  $\Rightarrow$  Flasque := restriction maps are surjective.

(v)  $H^0$  is global sections.

Regular  $H^0$  is an instance.

Remark 7.2.2: Given  $(X, \mathcal{O}_X)$  ringed space we can define things similarly (but actually no need for this.)

Theorem 7.2.3 (Grothendieck vanishing) If  $X$  is noetherian of dimension  $n$  and  $F$  a sheaf of ab. groups on  $X$ ,  $H^i(X, F) = 0$  if  $i > n$ .

Typical Use: • Given  $X \xrightarrow{\pi} Y$  and  $F$  on  $X$ , if  $F$  is locally free, is  $\pi_* F$  also locally free?  
• Calculating dimensions of natural spaces. For example, the scheme  $\text{Mor}_k(X, Y)$  or  $\text{Hilb}(X)$  - or components thereof.

• Proving that a scheme is smooth etc.

• If  $X$  is proj. curve then genus  $g(X) = h^1(X, \mathcal{O}_X)$

### § 7.3 Čech Cohomology

$X$ : topological space &  $\mathcal{F}$  a sheaf on  $X$ .

$\mathcal{U} = \{U_i\}_{i \in I}$  an open cover of  $X$ . Well-order  $I$

write  $U_{i_0 \dots i_p} = U_{i_0} \cap \dots \cap U_{i_p}$ .

The group of Čech  $p$ -cochains is

$$\{C^p(\mathcal{U}, \mathcal{F}) = \prod_{i_0 < \dots < i_p} \mathcal{F}(U_{i_0 \dots i_p})$$

There is a differential

$$C^p \xrightarrow{d} C^{p+1}; \text{ given } d \in C^p$$

$$\text{then } (d\alpha)_{i_0 \dots i_{p+1}} = \sum_{k=0}^{p+1} (-1)^k \alpha_{i_0 \dots \hat{i}_k \dots i_{p+1}} \Big|_{U_{i_0 \dots i_{p+1}}}.$$

Exercise:  $d^2 = 0$

DEFINITION 7.3.1: The Čech cohomology groups are  $H^p(\mathcal{U}, \mathcal{F})$  the cohomology groups of the above cochain complex.

If  $u$  sucks then  $\check{H}^*$  will also suck. For example if  $U = \{x\}$  then you only detect  $H^0$ .

Example 7.3.2:  $X = S^1$  with  $F = \mathbb{Z}$  the constant sheaf. Take  $U = \{u, v\}$  to be



Then  $C^0 = \mathbb{Z}^2$  and  $C^1 = \mathbb{Z}^2$  with

*Cech cochain complex*

$$\left\{ \begin{array}{l} d: C^0 \rightarrow C^1 \\ (a, b) \mapsto (b-a, b-a) \end{array} \right.$$

$$\check{H}^0 = \check{H}^1 = \mathbb{Z} \quad [\text{kernel \& cokernel of } d]$$

This is super explicit!

Example 7.3.3: we know if  $F = \mathcal{O}_{\mathbb{P}^1}(-2)$

then  $H^0(\mathcal{O}_{\mathbb{P}^1}(-2)) = 0$ . But  $H^1(\mathcal{O}_{\mathbb{P}^1}(-2)) = k$

by calculation

Cover by standard opens. Evaluate sheaf; easy!  
Restrict and calculate  $\oplus$

FACT 7.3.4: If  $X = \text{Spec } A$  then global sections functor is EXACT on sheaves on  $\mathcal{O}_X$ -modules.

THEOREM 7.3.5 Let  $X$  be noetherian with  $\mathcal{U} = \{U_i\}$  such that  $U_{i_0, \dots, i_p}$  are affine. If  $\mathcal{F}$  is q-coherent then  $\check{H}^p(X, \mathcal{F}) = \underbrace{H^p(X, \mathcal{F})}$  via injective resolutions.

The  $P'$ -calculation:

$$\mathcal{U} = \{U_0, U_1\}$$

$$\begin{aligned} \textcircled{*} \quad & C^0(\mathcal{U}, \mathcal{O}(-2)) = k\left[\frac{x_1}{x_0}\right] \times k\left[\frac{x_0}{x_1}\right] \\ & \left\{ \begin{array}{l} C^1(\mathcal{U}, \mathcal{O}(-2)) = k\left[\frac{x_1}{x_0}\right]_{\frac{x_1}{x_0}} = k\left[\left(\frac{x_1}{x_0}\right)^\pm\right] \\ d(f, g) = g - f \cdot \frac{x_1^2}{x_0^2} \end{array} \right\} \text{ Differential} \end{aligned}$$

Calculate kernel and cokernel.

Why?  
How do hom.  
rational functions  
transform?

## §7.4 SHEAF COHOMOLOGY FOR $\mathbb{P}^n$ & CURVES

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SAMPLE APPLICATION 7.4.1 Let  $C_1$  and  $C_2$  be smooth projective  $\mathbb{C}$ -curves and let  $S = C_1 \times C_2$ .  
 $\text{Spec } \mathbb{C}$

Let  $S'$  be any codim 1 subscheme of  $\mathbb{P}_{\mathbb{C}}^3$ . Then

$$S \not\cong S'$$

Proof: Basic point:  $\dim_{\mathbb{C}} H^1(S, \mathcal{O}_S) \neq 0$  because  $\dim_{\mathbb{C}} H^1(C_i, \mathcal{O}_{C_i}) \neq 0$  and there is a **SHEAF KÜNNETH FORMULA**. But if  $S' = V(f)$ ,  $f$  a section of  $\mathcal{O}_{\mathbb{P}^3}(d)$ , we have an exact sequence

$$\left\{ \begin{array}{ccccccc} 0 & \rightarrow & \mathcal{O}_{\mathbb{P}^3}(-d) & \rightarrow & \mathcal{O}_{\mathbb{P}^3} & \xrightarrow{\quad \star \quad} & \mathcal{O}_{S'} \rightarrow 0 \\ & & & & & \curvearrowright & \\ & & & & & & i: S' \hookrightarrow \mathbb{P}^3 \end{array} \right.$$

Proof uses:  $H^*(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(d))$ ;  $H^*(C, \mathcal{O}_C)$ ;  
 and sheaf Künneth

THEOREM 7.4.2 Let  $S = k[x_0, \dots, x_r]$  and  $X = \text{Proj } S$ .

(I) There is an isomorphism

$$S \cong \bigoplus_{n \in \mathbb{Z}} H^0(X, \mathcal{O}_X(n)).$$

(II)  $H^i(X, \mathcal{O}_X(n)) = 0$  for  $0 < i < r$

(III)  $H^r(X, \mathcal{O}_X(-r-1)) \cong k$  | Some duality.

(IV) Perfect pairing

$$H^0(X, \mathcal{O}_X(n)) \times H^r(X, \mathcal{O}_X(-n-r-1)) \rightarrow H^r(X, \mathcal{O}_X(-r-1)) \cong k$$

PROOF LAYOUT:

• Čech cohomology for the standard open cover

$$\mathcal{U} = \{U_i = V(x_i)^c\}_i$$

applied to the sheaf  $\bigoplus_{n \in \mathbb{Z}} \mathcal{O}_{\mathbb{P}^r}(n)$ .

• Transition maps from  $U_i$  to  $U_j$  for sections of

$\mathcal{O}_{\mathbb{P}^r}(1)$  are  $x_i/x_j$  | What does this mean.

$\mathcal{O}_{\mathbb{P}^r}(m)$  are  $(x_i/x_j)^m$

• Sections on intersections are given explicitly as vector spaces of Laurent polynomials. Degree  $m$

Lots of Bookkeeping gives (II) & (III). (IV) is given by multiplying sections.

$$\frac{1}{x_0 \cdots x_r}$$

Vanishing in (II):  $H^i(X, \mathcal{O}_X(m)) = 0 \quad \text{for } i < r.$

Prove by induction on  $r$ . The case  $r=1$  is empty.

We have an exact sequence:

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^r}(-1) \xrightarrow{\cdot x_r} \mathcal{O}_{\mathbb{P}^r} \rightarrow l_* \mathcal{O}_H \rightarrow 0$$

where  $H = \mathbb{V}(x_r)$  and  $l: H \hookrightarrow \mathbb{P}^r$  is inclusion

Tensor sequence by  $\mathcal{O}_{\mathbb{P}^r}(n)$ , take cohomology and induct.

□

DUALITY THEORY: Given  $Z \hookrightarrow X$  a closed subscheme then  $I := \ker(l^*: \mathcal{O}_X \rightarrow \mathcal{O}_Z)$  this is also coherent!

DEFINITION 7.4.3 : The conormal sheaf is given by  $l^*(I/I^2)$ , where  $I^2$  is the sheafification of the presheaf

$u \mapsto I(u)^2 \subseteq \mathcal{O}_X(u)$ . Notation:  $N_{Z/X}^v$ .

If  $X \& Z$  have all regular local rings then  $N_{Z/X}^v$  is a locally free sheaf of rank  $\text{codim}(Z, X)$ .

The normal bundle is  $N_{Z/X}^v = \text{Hom}_{\mathcal{O}_Z}(N_{Z/X}^v, \mathcal{O}_Z)$ .

DEFINITION 7.4.4: If  $X$  is separated, define the  
 $\downarrow$   
 $Y$

then we define

$$\left\{ \Omega_{X/Y} := N_{X/Y}^v : \right.$$

Motivation from topology: Normal bundle of  $X$  in  $X \times X$  is naturally  $T_X$ .

If  $X$  is non-singular then this is a bundle [locally free]  
The "determinant" bundle is  $\underbrace{\wedge^{\dim X} \Omega_X}_{\text{SHEAF ASSOC. TO THE PRESHEAF}} = \omega_X$

THEOREM 7.4.5 (Serre Duality) If  $X$  is nonsingular projective over  $k$  of dimension  $n$ . If  $F$  is locally free of finite rank [ $\mathcal{O}_X$ -module] then:

$$H^i(X, \mathcal{F}) \xrightarrow{\cong} H^{n-i}(X, \underline{\mathcal{F}^\vee} \otimes \omega_X).$$

$$\mathcal{F}^\vee = \text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{O}_X).$$

Proof: Homological algebra +  $\mathbb{P}^n$  calculation.

Curves: Let  $C$  be a smooth projective curve with  $\mathcal{O}_{C,y} = K_C$ . Then define

$$\Omega_{K_C/K} = \{ df : f \in K_C \} + \text{rules of calculus.}$$

=  $K$ -vector space on  $\delta x, x \in K$

$$\langle \delta(x+ty) - \delta(x) - \delta(y), \delta(xy) - x\delta y - y\delta x \\ \delta a \mid a \in K \rangle$$

Turn into a sheaf 

$$\left\{ \begin{array}{l} \Omega_C(U) = \{ \sum f_i dg_i : f_i, g_i \text{ regular} \\ \text{on } U \} \\ || \\ \omega_C(U) \end{array} \right.$$

Corollary of Serre Duality:

$$h^0(C, \omega_C) = h^1(C, \mathcal{O}_C) = \text{genus!}$$

CONSTRUCTION 7.4.6 we are really importing another commutative algebra fact

If  $A \rightarrow B$  is a ring map and we have

$$\{ \quad x = \text{Spec } B \rightarrow \text{Spec } A = Y$$

then  $\Omega_{X/Y}$  is the sheaf assoc. to the module

$\Omega_{B/A} := \frac{\text{free } B\text{-module on } dx, x \in B}{\text{standard rules of calculus with scalars from } A}$

$$\{ \quad \begin{aligned} da &= 0, \quad d(x+y) = dx+dy, \\ dx \cdot y &= x \cdot dy + y \cdot dx \end{aligned}$$

PRACTICALLY CALCULABLE :  $\mathbb{P}^n$ , hypersurfaces in  $\mathbb{P}^n$ , products, curves.

## CONCLUDING REMARKS

Next steps: Calculations - toric varieties, algebraic surfaces.

- Theory - definitive treatment of sheaf cohomology, Grothendieck topologies
- Main Missing Notions: Smooth, flat, étale maps

FUNCTORS: Let  $X$  be a scheme over  $S$ . If  $Y \rightarrow S$  is another, a morphism is a triangle

$$\begin{array}{ccc} X & \longrightarrow & Y \\ & \searrow & \downarrow \\ & & S \end{array}$$

Fixing  $X$ , we have a functor

$$\begin{aligned} F_X: S\text{-Sch} &\longrightarrow \text{Sets} \\ X &\longmapsto \text{Morphisms}(Y, X). \end{aligned}$$

Yoneda Lemma: The functor  $F_X$  uniquely recovers  $X$ .

Moduli theory: The functor may come first!

Fix  $S = \mathbb{Z}$  for now

Grassmannian: For any  $k, n$  define the functor

$$\left\{ \begin{array}{l} \text{Sch} \longrightarrow \text{Sets} \\ S \longmapsto \left\{ \alpha: \mathcal{O}_S^{\oplus n} \rightarrow \mathcal{V} \right\} /_{\sim} \end{array} \right.$$

Locally free  
of rank  $k$ .

$$\left\{ \begin{array}{l} \sim \text{ is given by isomorphisms} \\ \mathcal{V}' \xrightarrow{\sim} \mathcal{V}' \\ \downarrow \quad \downarrow \end{array} \right.$$

We say  $F: \text{Sch} \longrightarrow \text{Sets}$  is representable if

there exists a scheme  $X$  s.t  $F_X = F$ .

Theory:  $\text{Gr}(k, n)$  is representable by a finite type scheme over  $\mathbb{Z}$ .

$$\text{Gr}(n-1, n) = \mathbb{P}_{\mathbb{Z}}^n$$

## Moduli functors:

Fix  $X \in \mathcal{Y}$  over  $S$ . Define

$\text{Hom}_S(X, Y) : \text{Sch}/S \longrightarrow \text{Sets}$

$T \mapsto \text{Morphisms}$

$$X \times_S T \xrightarrow{\quad} Y \times_S T$$

$\downarrow \quad \downarrow$

THEOREM: If  $X$  and  $Y$  are projective  
and  $X \rightarrow S$  is flat, then  $\text{Hom}_S(X, Y)$  is  
representable.

Consider the functor:

$\text{Hilb}(\mathbb{P}_Z^n) : \text{Sch} \longrightarrow \text{Sets}$

$T \mapsto \{ \text{closed subschemes}$

$$Z \hookrightarrow \mathbb{P}_T^n \text{ with}$$

$\downarrow \quad \downarrow$

$Z \rightarrow T$  flat, proper.

THEOREM:  $\text{Hilb}(\mathbb{P}^n)$  is representable as a scheme  
over  $\mathbb{Z}$ . || I told you in §4.6 that this  
functor passes valuative criterion!

A large part of algebraic geometry is about these moduli functors, and about classifying varieties.

Even starting with a variety like  $\mathbb{P}^n$ , the scheme  $\text{Hilb}(\mathbb{P}^n)$  includes points that are schemes