## Optimally Computing Compressed Indexing Arrays Based on the Compact Directed Acyclic Word Graph

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A longer version of this paper can be found in arXiv repository site.

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- Increasing amount and types of repetitive texts
  - Markup texts (Wikipedia), Genome sequences
- Development of compressed index structures for repetitive texts attracts much attention. E.g.,
  - RL-BWT, irreducible PLCP arrays, Lex-parse size r
  - LZ-parse (LZ76) size z
  - CDAWG (Compact Directed Word Graphs) size e

These indices can compress highly-repetitive texts beyond the entropy bounds up to r, z, and e

Natural questions: What is the relationships among their sizes?; what is the complexities of conversion?



### Backgrounds: Brief History

Suffix tree Size n

We focus on the relationship between three

Irr.

PLCP

compressed indices.

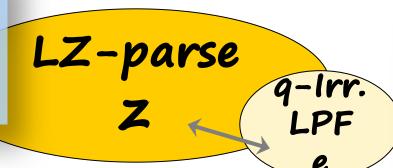
- BWT is the array of the preceding letters at the starting positions in SA
- r is the number of equal-letter runs

RL-BWT

 An automata-based index, obtained from the Suffix Tree of T by merging isomorphic subtrees

> CDAWG e

- LZ-parse is a macro scheme based on the previous factors.
- · z is the number of equal-letter runs

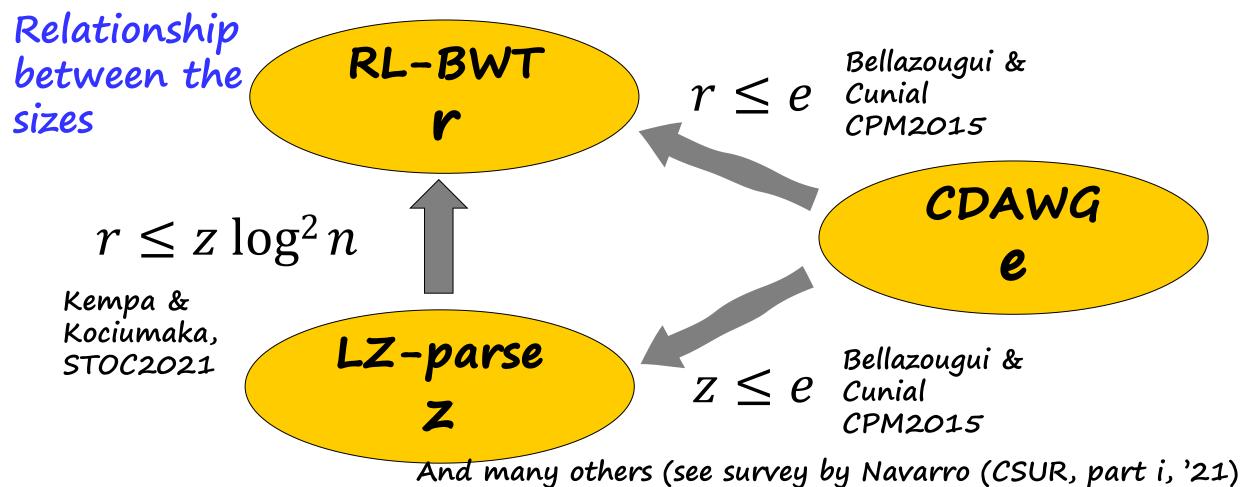


- mu: the number of nodes = #maximal extensions
- e is the number of treeand suffix-edges



### Backgrounds: Brief History

We focus on the relationship between the indices of the sizes r, z, and e.

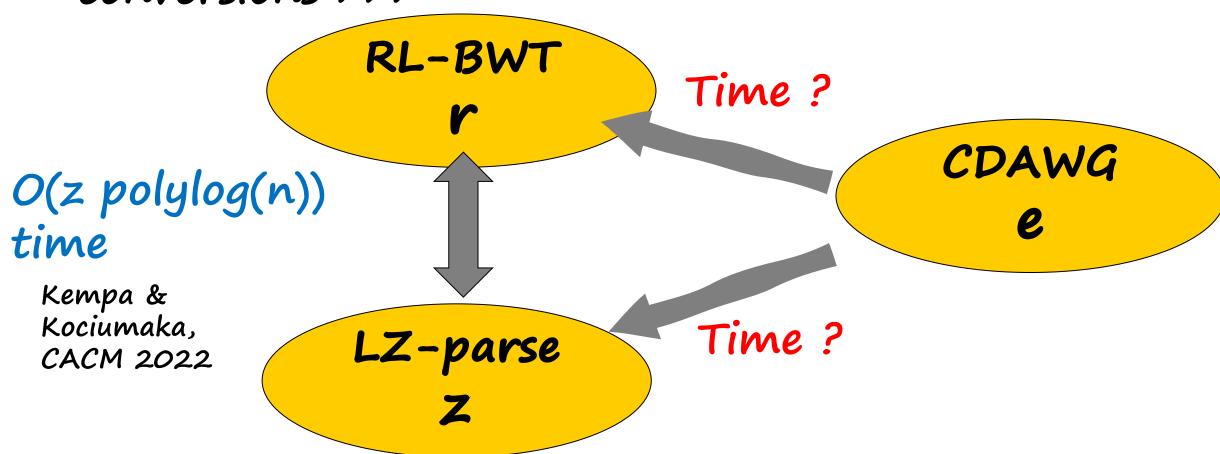




#### Brief History



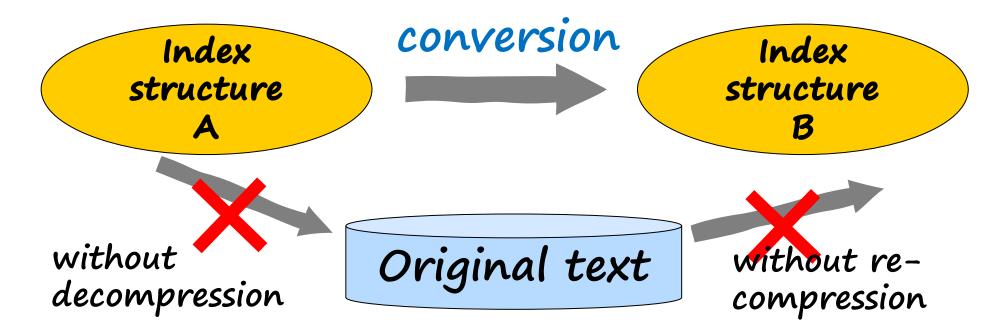
On the other hand, there are not many results on the sub-linear time and space complexities of conversions...





# Our Problem: Conversion problem Arimura+, 26 Oct. 2023, SPIRE2023

- Convert a given compressed index A into another compressed index B without decompression
  - We consider the case that A is the CDAWG of a text T
- Our goal: linear time and space in the combined input and output sizes |A| + |B|



#### Sublinear time and space conversion between two indices

- Kempa [SODA'19]
  - Converting an RL-BWT-based index into the irreducible PLCP, CSA, and LZ-parse for a text T of length n in  $O(n / \log_{\sigma} n + r \text{ polylog } n)$  time and O(r) space.
- Kempa & Kociumaka [STOC'21, CACM'22]
  - Converting the LZ77-parse of a text T into the RL-BWT for T in O(z polylog n) time and space.
  - This work solved a long-standing open problem
- Bannai et al. [CPM'13]
  - Converting an SLP of size g into LZ78-parse of size z<sub>78</sub> in  $O(g + z_{78} \log z_{78})$  time and space.
  - Combined with Belazzougui & Cunial [CPM'15], we obtain the conversion from the CDAWG for T into LZ78-parse in  $O(e + z_{78} \log z_{78})$  time and space.



- The RL-BWT (run-length BWT) of size r
- The irreducible PLCP (permuted LCP) array of size r
- The quasi-irreducible LPF (longest previous factor) array of size e (def. Sec. 2 of this paper)
- The Lex-parse of size 2r = O(r)
- The LZ-parse of size z

#### G is given in either

- the CDAWG of size e with the read only text of length n,
- the self-index version of CDAWG of size O(e) without a text





# Algorithms



#### Backgrounds: Brief History

# Coming back to the relationship between the sizes ...

Observation: The proof by Bellazougui & Canial (2015) is done by relating "r" and "z" to O(e) secondary incoming/outgoing edges of CDAWG(T)

Pellazougui &
Cunial
CPM2015

CDAWG

e

 $Z \leq e$  Bellazougui & CPM2015



#### Our approach



- We use two orders of paths
- □ One for traversal of CDAWG
- Order for determining



#### Forward DFS

**RL-BWT** 

Order for 2ndary edges

Ordered DFS from the source in the lexicographic order

2ndary edge same-letter run  $GLPF_{\leq lex}$  = PLCP

Lexparse

## Reverse

DFS

GLPF<sub>s</sub>

GLPF | length = LPF

LZparse

Bellazougui & Cunial CPM2015

Ordered DFS from the sink in the text order

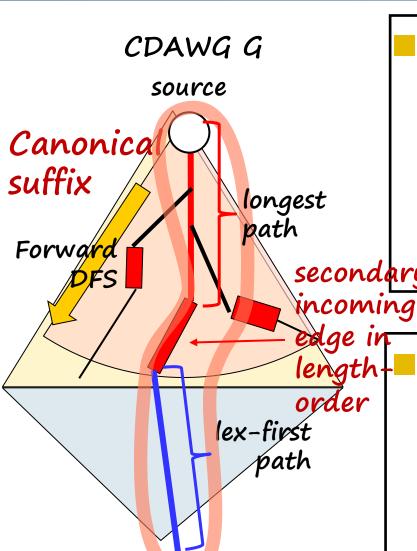
Generalized Longest Previous Factor Array [This work]

Navarro, Ochoa, & Prezza (Trans. Inf. Theory, '20).

length of the longest upper path =~ irreducible GLPF-value



# Sec4. Computing RL-BWT in O(e) time&space



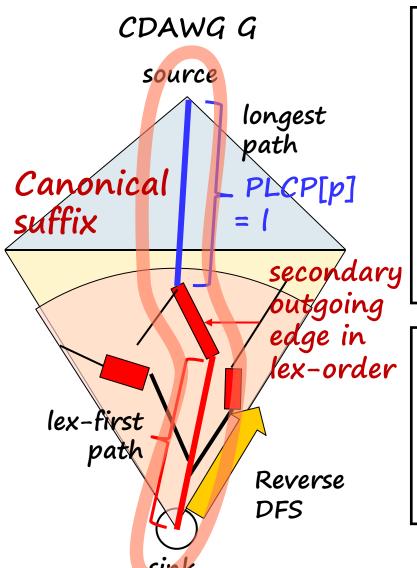
sink

Observation A1: O(e) secondary incoming edges of CDAWG(T) correspond to subintervals of the same-letter runs of the BWT under the length-order. (this is because such a search path defines secondary a non-left-maximal factor in T)

Observation A2: O(e) incoming edges of CDAWG(T) can be enumerated in the lexicographic order of its "canonical suffix" by the forward DFS from the source.







- Observation A1: O(e) secondary outgoing edge of CDAWG(T) determines the value PLCP[p] = I by the length I of the longest path from the source to the corresponding branching node under the length-order
- Observation A2: O(e) secondary outgoing edges can be enumerated in the text order of its "canonical suffix" by the reverse DFS from the sink.

We can extend the above result from PLCP to PLPF by employing the definition of 2ndary outgoing edges in length-order

SPIREZUZS

- Conversion problem from the CDAWG into other compressed indices for highly-repetitive texts
- O(e) time and space conversion from either the CDAWG of a text T or its self-index into the following structures:
  - RL-BWT, (quasi-) irreducible PLCP and LPF arrays, Lex-parse, and LZ-parse for T.
  - Effective version of the result by Belazzougui & Cunial (CPM'15) that  $r \le and z \le b$  to actual conversion.
- Techniques:
  - Characterization of the "irreducible values" by secondary edges.
  - Forward/reverse DFS under the lexicographic/text order
- Future Work:
  - Sub-linear time and space conversion from RL-BWT and LZparse into CDAWG.





# Thank you!

