

1 Identification

1.1 Personal details

First name*	Miguel
Last name*	Garcia-Duch
Address (no P.O. box allowed)*	Calle Corregidor Alonso de Tobar n19 7ºA
City*	Madrid
Postal code*	28030
Country*	Spain
Email*	miguel.garcia.duch@ucm.es
Mobile phone*	+34699508455
Lead researcher in the project:	

1.2 Professional affiliation

Job title*	Associate researcher
Name of the institution*	ICEI (Complutense Institute for International Studies)
Department	European structures and dynamics
Address*	Finca Más Ferré, Edificio A Campus de Somosaguas
City*	Pozuelo de Alarcón (MADRID)
Postal code*	28223
Country*	Spain
Website*	https://www.ucm.es/iceienglish

2 Research plans

2.1 Description of the planned research project

Please describe the subject of the proposed research, the purpose of the research, the possible methodology, and why the data are relevant and needed for the research subject. As guidance, 100 to 200 words are expected.

2.1.1 Research project title:* Multiproperty and non-wage income in EU countries

If you are not the lead researcher in a joint project, you can skip to section 2.2 below.

2.1.2 Research project description:*

Planned research seeks to analyze the Evolution and Importance of Private Non-Labour Income Across EU Member States .Our methodology encompasses estimating both direct and synthetic population statistics for sampled countries, such as the percentage of the population deriving at least a predetermined proportion of its total income from private sources. Subsequent to this, the research endeavors to utilize the procured statistics to estimate a panel mixed-model. This will enable a thorough analysis of contributing factors and facilitate the decomposition of these into within- and between-country effects. By comprehending the evolution and variance in private

2.2 Joint project – co-authors

If this is a joint project with other researchers, please indicate the names and professional affiliation of the co-authors who will require access to the micro-data. **All these co-authors will need to fill in this form, as indicated in the instructions.** The lead researcher in the project should be listed first.

Lorenzo Vidal-Folch, National Distance Education University (UNED)

5 Security of data storage

Please note that any information to which you are granted access is confidential. How do you protect confidential information from unauthorised access?*

Tick all boxes that apply; at least one of the security measures in each of the three groups below is required. Your reply may affect the success of the application. Provided you are granted an access to any relevant data, the below security safeguards are deemed to be binding upon you.

5.1 Logical file security*

Confidential information is stored on internal drives protected by password access

☒ Confidential information is stored on network drives protected by personal access privileges

Researchers access confidential information on intranet networks which are protected by access privileges

5.2 Physical security*

☒ Confidential information printed on paper is stored in locked drawers when unattended

Confidential information stored on external storage devices, such as CD-ROMs or external drives, is kept under lock and key inside the research department/unit

5.3 Premises security*

☒ Access to the premises where the research takes place is restricted to authorised personnel only

Research department/unit premises are locked when unattended

5.4 Other security measures

☒ Other, please provide details below:

All the project data analysis is planned to be developed using a private github repository where only the two already mentioned main authors will be authorized access through github built-in access tokens.

8 Correctness of the information, good faith and authorisation

I hereby certify that the information contained in this application is complete, accurate and correct and that I will report any future change immediately to the ECB. I acknowledge that the ECB is entitled to check at any moment the accuracy of the information given in this form and should my authorisation for it be required, I hereby grant it to the ECB. I acknowledge that the ECB may request additional information from me and that the filing of this request does not automatically entitle me to receive access to the research dataset.

If applicable, if you have filled paragraph 1.2 of this application, tick the following:

☒ I hereby certify that I am a staff member of, or am affiliated with (i.e. conducting scientific work under the auspices of) the organisation/institute specified under paragraph 1.2 of this application.

Place Madrid
.....

Date 06 November 2023
.....

Signature:

DocuSigned by:

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8.1 Privacy Statement for the access to the research dataset of the Eurosystem Household Finance and Consumption Survey

1. Applicable Data Protection Framework

The European Central Bank (ECB) processes your personal data in line with EU Data Protection law¹.

2. Controller

The ECB is the controller and the Monetary and Economics Statistics Division within the Directorate General Statistics is responsible for processing your personal data.

3. Categories of data processed

The following data are collected and further processed by the ECB:

- Your personal details and professional affiliation;
- A photocopy of your government-issued photo identification document;
- Your curriculum vitae;
- Information regarding your research plans;
- Identification of your research funding sources;
- The names and professional affiliation of your co-authors (if you participate in joint project with other researchers); and
- Any other personal data you provided in this request form.

4. Purpose of processing your personal data

Your personal data are collected and further processed for the purposes of granting access to the research dataset of the Household Finance and Consumption Survey (HFCS) as well as any further monitoring of such access.

5. Legal basis of processing your personal data

Your personal data are collected and further processed on the basis of Articles 5.1 (a) and (b) of Regulation (EU) 2018/1725 in conjunction with Article 5 Statute of the European System of Central Banks and of the European Central Bank, as well as the relevant provisions of the Manual of Procedures for the Conduct of the Household Finance and Consumption Survey as adopted by the Governing Council of the ECB.

6. Recipients

¹ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002, OJ L 295, 21.11.2018, p. 39–98.

Your personal data are disclosed to the following recipients:

- Limited number of dedicated ECB staff of the Monetary and Economics Statistics Division within the Directorate General Statistics;
- Other dedicated ECB staff members providing opinions and advice, such as for example Legal Services;
- External experts and contractors working on behalf of the ECB in the context of HFCS such as for example external legal counsels;

The list of accepted requests (names, institutions, and title of research project) will be shared with the members of the Household Finance and Consumption Network.

- With regard to data requests from researchers working in commercial institutions and where additional approval of the relevant National Central Banks and/or National Statistical Institutions is required, your personal data may also be shared with them.

7. Data Retention

Your personal data are stored for a maximum period of two years following the closure of your research project (including any extensions) and deleted thereafter.

8. Your Rights

You have the right to access your personal data and correct any data that is inaccurate or incomplete. You have also (with some limitations) the rights to delete your personal data, to restrict or object to the processing of your personal data in line with Regulation (EU) 2018/1725.

You can exercise your rights by contacting the Monetary and Economics Statistics Division at the Directorate General Statistics at hfcs.access@ecb.europa.eu.

For all queries relating to personal data, please contact the ECB's Data Protection Officer at dpo@ecb.europa.eu.

9. Recourse

If you consider that your rights under Regulation (EU) 2018/1725 have been infringed as a result of the processing of your personal data, you have the right to lodge a complaint with the European Data Protection Supervisor at any time.

10. Changes to this Privacy Statement

This Privacy Statement may be changed to take into account new legal developments.

☒ I confirm that I have read and understood the entire Privacy Statement.

Name of the researcher Miguel Garcia-Duch

Signature of the researcher

DocuSigned by:

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9 Confidentiality commitment

Mr / Ms Miguel Garcia-Duch (the 'Researcher')

herewith declares in relation to a request for access to the Eurosystem Household Finance and Consumption Survey (HFCS) 'research dataset' (hereinafter the 'data') and any subsequent use of the information contained in the data supplied by the European Central Bank (ECB) that he/she undertakes to abide by the following obligations:

1. The Researcher requesting access to the data acknowledges that the data, including any copy or parts thereof containing information which is based on data collected from households and/or individual-level information derived from the data are confidential and proprietary to the ECB (and/or to the entities which provide data to the ECB). Notwithstanding the fact that, due to its sensitive nature, the data has been anonymised, they may not be used by any unauthorised persons (whereby an unauthorised person means any third party other than those to whom the ECB has explicitly authorised the Researcher to grant access to the anonymised data). The Researcher agrees to treat the data with the confidentiality safeguards, details of which are included in section 5 of the application and not to divulge the data to any unauthorised persons.
The Researcher agrees not to use the data for any purpose other than for the scientific purposes mentioned in the research proposal submitted in the access request attached to this confidentiality commitment (the 'application') nor in support of measures or decisions concerning any particular individual, household, economic entity or other undertaking.
The Researcher agrees not to disclose or otherwise employ the data for commercial purposes.
The Researcher agrees not to duplicate, transfer, disclose, publish or otherwise reveal the data to any third party in the absence of express authorization by the ECB.
The Researcher shall refrain from any attempt to de-anonymise the data and shall not combine the data with other databases containing information on individual households or persons with that aim in mind.
2. The Researcher shall mention the source of the data in any publication including, in particular, tables and charts compiled on the basis of the data. The data source shall be cited as: 'Eurosystem Household Finance and Consumption Survey'. In addition, an acknowledgement must be included in the following form: 'This paper uses data from the Eurosystem Household Finance and Consumption Survey. The results published and the related observations and analysis may not correspond to results or analysis of the data producers.'
The Researcher shall send a copy of their research work based on the data to the ECB by e-mail to: hfcs.access@ecb.europa.eu.
3. The Researcher shall implement appropriate technical, organisational and administrative measures (details of which are included in the application) to prevent:
 - (a) any accidental disclosure of the data to any third party, any accidental access to the data by any third party, and any accidental loss or alteration of the data, and

- (b) any unlawful disclosure of the data to any third party, any unlawful access to the data by any third party, and all other unlawful forms of processing.
4. The Researcher understands that any contravention of the abovementioned obligations:
- (a) may be reported by the ECB to the competent authorities,
 - (b) may be subject to prosecution under the applicable law and result in civil and/or criminal liability, and
 - (c) will result in an obligation to destroy the data.
5. The Researcher assumes full and exclusive responsibility for the use of the data.
6. The Researcher shall dispose of the data in accordance with the methods set out in paragraph 7 as soon as one of the following conditions is satisfied, whichever is the earliest, (i) the research purpose defined in the application has been achieved, (ii) the maximum time limit of five years has expired, except where the Researcher has re-applied for access to the data and the ECB has granted such, or (iii) upon the occurrence of an accidental or unlawful disclosure of the data as set out in paragraph 3. In the case of a successful re-application to use the data, the obligation to dispose of such on satisfaction of any of the conditions (i) to (iii) above shall apply accordingly.
7. The Researcher shall dispose of any paper form of the data only in a manner appropriate for confidential waste (disposal in specific confidential waste containers of the organisation to which the Researcher is affiliated as referred to in the application, or by means of confidential waste shredders), any electronic form of the data by permanent, non-retrievable deletion and any other form of the data (such as on CD-ROM) by returning them to the ECB.
8. This confidentiality commitment shall terminate when the data in their entirety have been disposed of by the researcher in accordance with the methods set out in paragraph 7 above. A change in circumstances such as a change of research department or unit or termination of the affiliation relationship referred to in the application shall not constitute a valid cause for termination of this commitment. Notwithstanding any termination of this commitment, the obligation to keep confidential all information obtained in the course of using the data shall remain valid also after that date.
- If the Researcher discloses the data or has not taken appropriate measures to restrict access to the data, for each breach for which the Researcher is responsible, the ECB may impose a penalty on the Researcher to an amount not exceeding EUR 10 000. Within that limit, the ECB shall determine the amount payable using its equitable discretion taking into account the seriousness of the breach and the damage caused to the ECB. Imposition of this penalty does not prevent the ECB from claiming further damages or taking other legal measures. The Researcher hereby agrees to discharge any penalty within three weeks of the date on which it was imposed.
9. Should the ECB and the Researcher be unable to reach agreement on any dispute arising out of this declaration of confidentiality, the matter in dispute shall be referred to the jurisdiction of the competent court in Frankfurt am Main.
10. This declaration shall be governed by and interpreted according to German law.

Agreed

Place and Date

Madrid, 02-11-2023

06 November 2023

Signature

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Kristina Maria Sorensen

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Timestamps

Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	06-Nov-2023 09:12
Certified Delivered	Security Checked	07-Nov-2023 09:18
Signing Complete	Security Checked	06-Nov-2023 18:12
Completed	Security Checked	07-Nov-2023 09:18
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