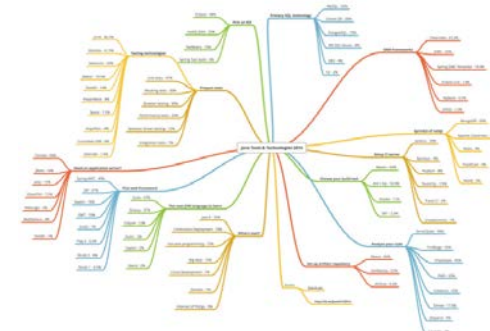
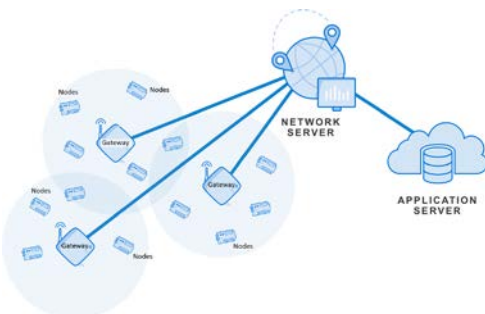
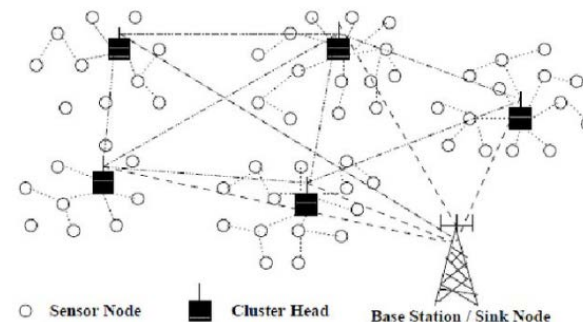
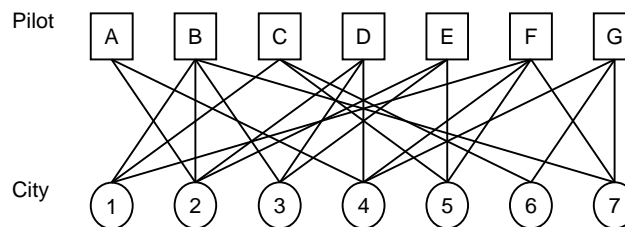
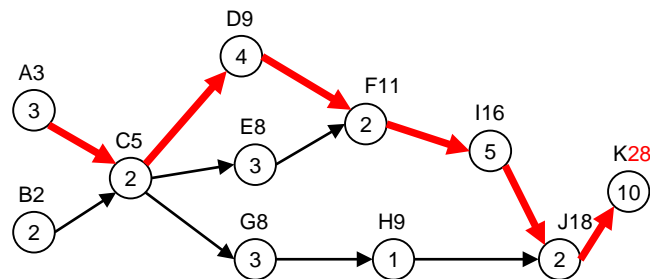


## Graphs

### **Contents:**

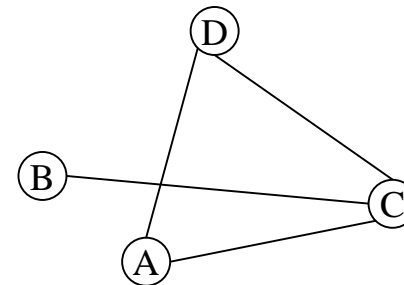
- Graphs and Their Representations
- Path and Circuits
- Shortest Paths and Distance
- Coloring a Graph
- Directed Graphs and Multigraphs

# Examples of Graphs



# Graphs and their representations

- A graph is a **nonempty finite set  $V$**  along with a **set  $E$  of 2-element subsets of  $V$** 
  - $V$ : **vertices (vertex)** (or **vertex**)
  - $E$ : **edges** (undirected) (or **Arcs**: directed)
- A graph can be described either by the use of **sets**, or **diagrams**
- Edge  $e=\{u,v\}$  means
  - $e$  **joins** vertices  $u$  and  $v$
  - $u$  and  $v$  are **adjacent**
  - $e$  is **incident** with  $u$  (and vice versa)
- **Degree** of  $v$ : # edges incident with  $v$



# Subgraph & Complete graph

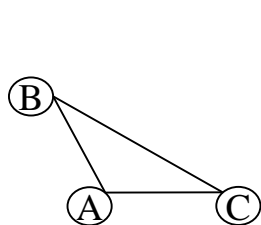
## Thm 4.1

In a graph, the sum of the degrees of the vertices equals twice the # of edges

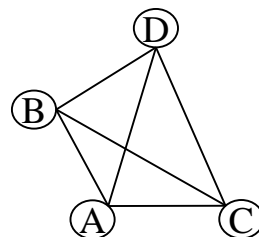
**Labeled graph:**  $G=(V,E)$  with  $n$  vertices labeled  $v_1, v_2, \dots, v_n$

**Subgraph**  $G_1=(V_1, E_1)$  of  $G=(V, E)$ , if  $\emptyset \neq V_1 \subseteq V$ , and  $E_1 \subseteq E$  where each edge in  $E_1$  joins vertices in  $V_1$ .

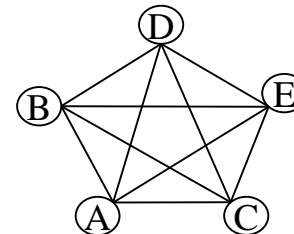
**Complete graph**  $K_n$ : a graph of  $n$  vertices, each vertex is joined to every other vertex, i.e. each vertex in  $K_n$  has degree  $n-1$



$K_3$



$K_4$



$K_5$

# Adjacency Matrix & Adjacency List

**Adjacency matrix of  $G$ :**  $A(G)$ , an  $n \times n$  matrix where

$(i,j)$  entry is 1, if there is an edge from  $v_i$  to  $v_j$

$(i,j)$  entry is 0, if there is NO edge from  $v_i$  to  $v_j$

- easy to represent  $G$ , but need  $n^2$  storage, inefficient for sparse graph

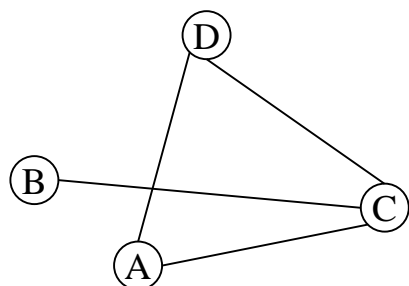
**Thm 4.2**

The sum of the entries in row  $i$  of  $A(G)$  is the degree of the vertex  $v_i$

**Adjacency List of  $G$ :**

- List each vertex followed by the vertices adjacent to it
- Corresponds to the nonzero entries in  $A(G)$   $\rightarrow 2m$  storage

e.g.  $n=|V|=4$ ,  $m=|E|=4$



$$A(G) = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

**Adjacency List:**

A: C,D

B: C

C: A,B,D

D: A,C



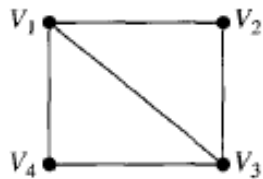
# Exercises 4.1 (Adjacency)

Q.18: draw the graph with  $V=\{1,2,\dots,10\}$ ,  
 $E=\{(x,y): x, y \text{ in } V, x \neq y, x \text{ divides } y \text{ or vice versa}\}$

Q.22: Show that there are an even # of vertices with odd degree in any graph

Q.24: Can there be a graph with  $n=8$ ,  $m=29$ ?

Q28:



Adj M:

Adj L:

Q36: Qualified Adj M?

$$\begin{bmatrix} 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

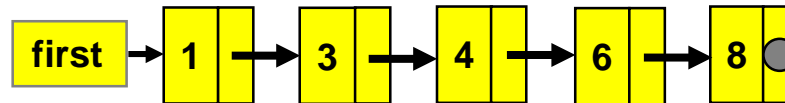
# Efficiency: Array vs. Linked List

7

An **array** of size **10** that contains only **5** items

A[1]	A[2]	A[3]	A[4]	A[5]	A[6]	A[7]	A[8]	A[9]	A[10]
1	0	1	1	0	1	0	1	0	0

A **singly linked list** of **5** items



Major operations on **k** items      **Array (size **n**)**      **Linked List (size **k**)**

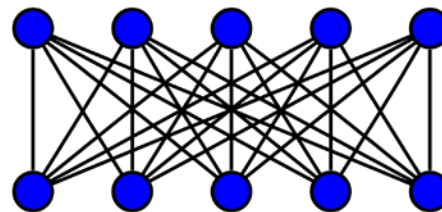
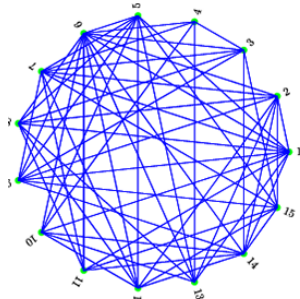
- Query: existence of **1** item
- Query: output the **k<sup>th</sup>** value
- Insertion / Deletion of **1** item
- Modify the value of the **k<sup>th</sup>** item



# Dense vs. Sparse Graphs

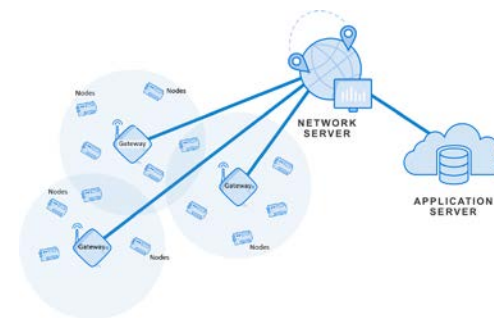
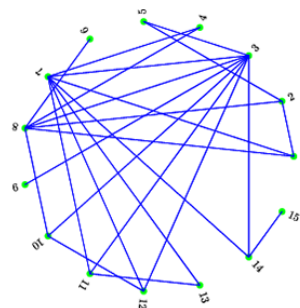
**Dense Matrix:** # nonzeros  $\approx O(n^2)$  e.g.,  $K_n$ , wireless network, IoT

1	2	31	2	9	7	34	22	11	5
11	92	4	3	2	2	3	3	2	1
3	9	13	8	21	17	4	2	1	4
8	32	1	2	34	18	7	78	10	7
9	22	3	9	8	71	12	22	17	3
13	21	21	9	2	47	1	81	21	9
21	12	53	12	91	24	81	8	91	2
61	8	33	82	19	87	16	3	1	55
54	4	78	24	18	11	4	2	99	5
13	22	32	42	9	15	9	22	1	21



**Sparse Matrix:** # nonzeros  $\approx O(n)$  e.g., street map, wired network, IoT

1	.	3	.	9	.	3	.	.	.
11	.	4	.	.	.	.	2	1	.
.	.	1	.	.	.	4	.	1	.
8	.	.	.	3	1	.	.	.	.
.	.	.	9	.	.	1	.	17	.
13	21	.	9	2	47	1	81	21	9
.	.	.	.	.	.	.	.	.	.
.	.	.	.	.	19	8	16	.	55
54	4	.	.	.	11	.	.	.	.
.	.	2	.	.	.	.	22	.	21



**Real-world applications:** mostly **sparse** graphs  
e.g., social network, 6-degree separation theory,  
transportation network, logistics network



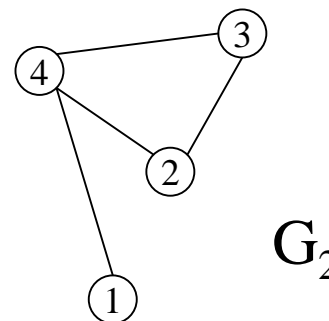
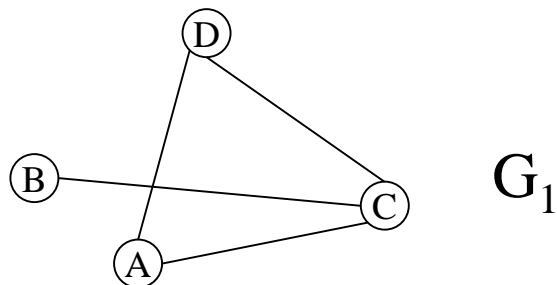


# Isomorphism

- $G_1$  is **isomorphic** to  $G_2$ : there is a **one-to-one correspondence**  $f$  between the vertices of  $G_1$  and  $G_2$  such that vertices  $u$  and  $w$  are *adjacent* in  $G_1$  iff the vertices  $f(u)$  and  $f(w)$  are *adjacent* in  $G_2$
- Another way to say,  $G_1$  and  $G_2$  are isomorphic, denoted  $G_1 \cong G_2$
- Such a function is called an **isomorphism of  $G_1$  with  $G_2$**

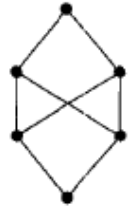
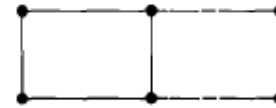
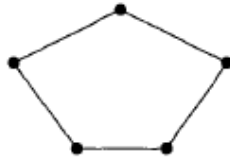
## Thm 4.3

Let  $f$  be an isomorphism of  $G_1$  with  $G_2$ . For any vertex  $v$  in  $G_1$ , the degrees of  $v$  and  $f(v)$  are equal

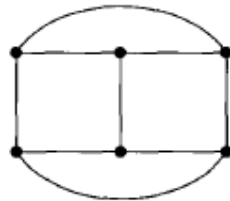
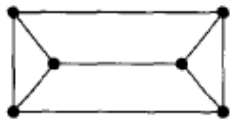


# Exercises 4.1 (Isomorphism)

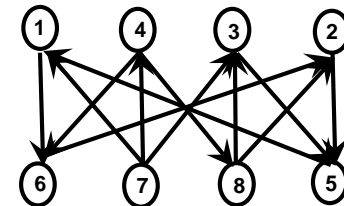
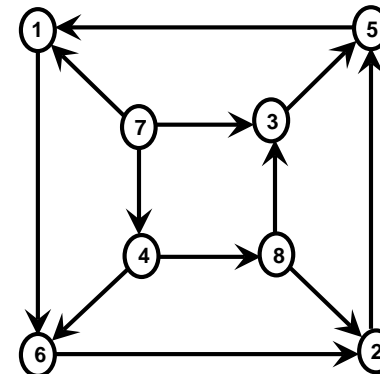
Q42: isomorphic?



Q43 isomorphic?



- Isomorphism is an **equivalence** relation
  - **Symmetric, reflexive, transitive**
- Isomorphism is **one-to-one & onto**

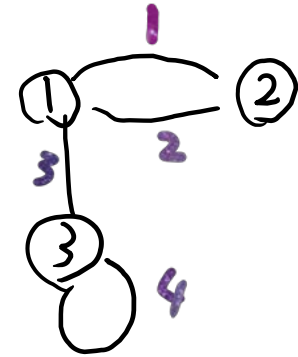


# Multigraph & Path

- A **multigraph** (**pseudograph**) consists of a nonempty finite set of vertices and a set of edges, where we **allow an edge to join a vertex to itself (loop)**, and **several edges joining the same pair of vertices (parallel edges)**.
- **Loop:**  
—
- **Parallel edge:**
- A **simple graph** is a graph that contains **NO** &
- **u-v path** (path from u to v) **with length k**:  
sequence of **k+1 vertices**  $v_1 v_2 \dots v_{k+1}$ , where  $v_1 = u$ ,  $v_{k+1} = v$   
sequence of **k edges**  $e_1 e_2 \dots e_k$ 
  - These k+1 vertices & k edges may **appear more than once**  
(i.e. **a path may pass the same vertex or edge twice**)  
[such definition may be different in other books]
- **u-v simple path:**

# Data Structure for a Multigraph

- Adjacency Matrix ?
  - need the 3<sup>rd</sup> dimension ?



- Adjacency List

In C/C++, define **struct** for node & arc  
Array of **n** nodes & **m** arcs

# Simple Path, Cycle

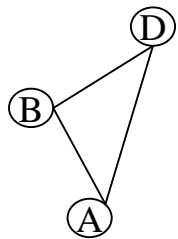
Thm 4.4 Every  $u$ - $v$  path contains a  $u$ - $v$  simple path

- A multigraph is **connected** if there is a path between every 2 vertices
  - In a connected multigraph, each vertex is **reachable** from every other vertex
  - An **disconnected** graph may contain **isolated** vertices which has **zero degree**
- A **circuit** is a path from a vertex to itself **without repeated** intermediate **edges**
- A **cycle** is a circuit **without repeated** intermediate **vertices**.

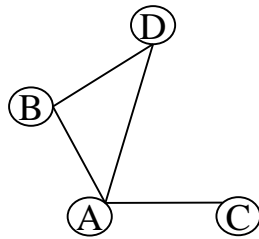
# Euler Circuit & Euler Path

**Euler path:** a path in a multigraph  $G$  that includes **exactly once** all the edges of  $G$  and has **different origin and destination**

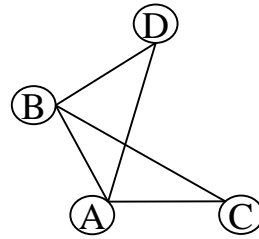
**Euler circuit:** a path in a multigraph  $G$  that includes **exactly once** all the edges of  $G$  and has **the same origin and destination**



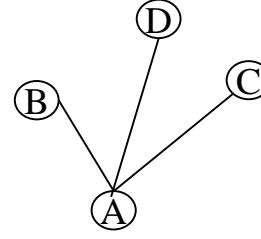
EC: ABDA



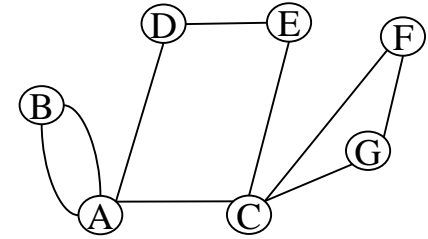
EP: ABDAC



EP: ABDACB



EP: ? EC: ?



EC: ABA DEC FGCA

**Thm 4.5** For a connected multigraph  $G$  (with  $|V| \geq 2$ )

- (a) each vertex in  $G$  has **even degree** iff  $G$  contains an **Euler circuit**  
 each vertex in  $G$  has **even degree except for 2 distinct vertices**  
 iff  $G$  contains an **Euler path**
- (b) Euler path **starts at one** and **ends at the other** of the 2 vertices of **odd degree**
- This theorem gives a  $O(n)$  algorithm to **determine whether a given graph contains Euler circuits, or Euler paths.**

# Proof of Thm 4.5 (1/2)

(a) **deg(i)** is **even** for each  $i$  in  $V \Leftrightarrow$  There exists an **Euler Circuit**

(b): an **Euler Path** starts and end at the only 2 vertices of **odd degree**  
← trivial,



# Proof of Thm 4.5 (2/2)

Details on the Euler Circuit Algorithm →

choose a starting vertex  $u$ , if there is a loop on  $u$ , pass it, then leave  $u$  along an edge  $e_1$  ( $u, u_1$ ) (it must exist since there are more than 2 vertices and the graph is connected), in  $u_1$ , if there is a loop on  $u_1$ , pass it, then leave  $u_1$  along another edge  $e_2$  different from  $e_1$  ( $e_2$  must exist since each vertex has even degree) to vertex  $u_2$ .

Repeat these steps until finally we return  $u$ . (this has to happen since # edge is finite and the graph is connected) Then we get a circuit  $C_1$  from  $u$  to  $u$ .

If  $C_1$  covers all the edges, then we get an Euler circuit, done.

Otherwise, we delete all the edges on  $C_1$  from  $G$ , then delete all the induced isolated vertices from  $G$ , and obtain the remaining subgraph  $G_1$ .

On  $G_1$ , we choose a vertex  $v$  (it exists due to connected graph), and do the same steps as above to form a circuit  $C_2$  back to  $v$ .

Now, combine  $C_1$  &  $C_2$ , we get a larger circuit  $C'$  starts from  $u$  along  $C_1$  to  $v$ , then along  $C_2$  back to  $v$ , and along  $C_1$  back to  $u$ . if  $C'$  covers all the edges, we are done.

Otherwise, we repeat the same procedures until finally all the edges are covered. The algorithm will stop since the graph is finite, at which time, we obtain an Euler circuit by combining all the smaller circuits.

# Euler Circuit Algorithm

Given: connected multigraph  $G$  in which every vertex has even degree

**begin**

initialization: Set  $E = \{\text{all edges of } G\}$

select a vertex  $u$ , let  $C$  be the path consisting of just  $u$   ← Pass loop first

**while**  $E$  is not empty **do**

choose a vertex  $v$  in  $C$  that is incident with some edge in  $E$

set  $P$  to be the path consisting of just  $v$  

set  $w = v$

**while** there is an edge  $e$  in  $E$  that is incident with  $w$

Elementary operation  → remove  $e$  from  $E$

replace  $w$  with the other vertex on  $e$

append edge  $e$  and vertex  $w$  to path  $P$

 Constructing a circuit  $P$

**end while**

replace any one occurrence of  $v$  in  $C$  with path  $P$   ← Merging  $P$  with  $C$

**end while**

output  $C$ : Euler circuit

**end**

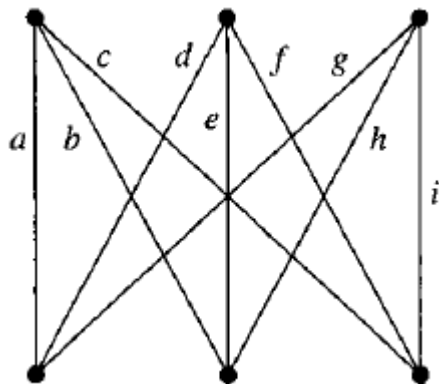
# Exercise on Euler circuits (1/2)

Ex1: when does the complete graph  $K_n$  contains an Euler circuit?

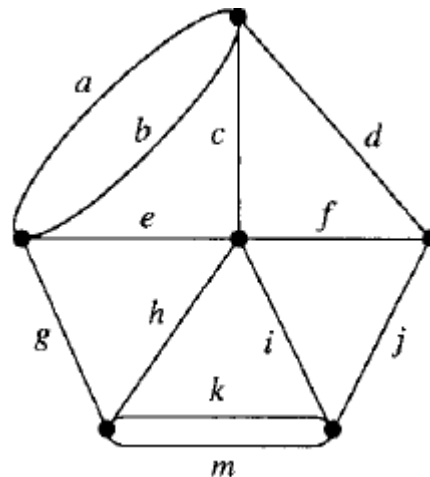
Ex2: give an algorithm to determine whether a given connected graph  $G$  contains

Exercise 4.2- determine whether or not there is an E.C. or E.P., draw them if yes.

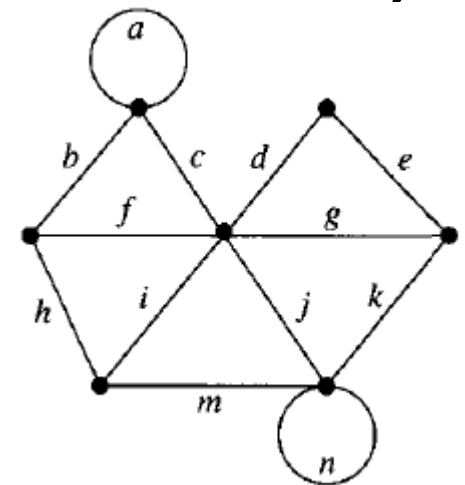
Q19,



Q21,

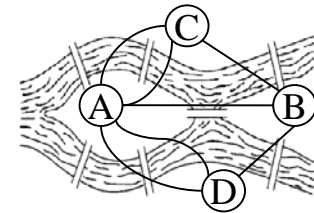


Q23



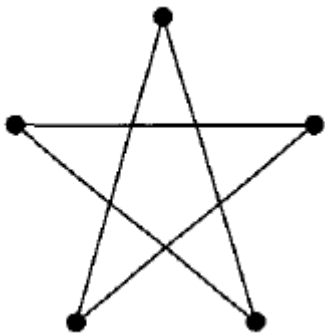
# Exercise on Euler circuits (2/2)

- Q 31. Could the citizens of Königsberg find an acceptable route by building a new bridge? If so, how?
32. Could the citizens of Königsberg find an acceptable route by building two new bridges? If so, how?
33. Could the citizens of Königsberg find an acceptable route by tearing down a bridge? If so, how?
34. Could the citizens of Königsberg find an acceptable route by tearing down two bridges? If so, how?

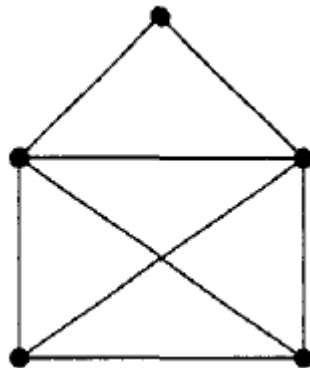


*An old childhood game asks children to trace a figure with a pencil without either lifting the pencil from the figure or tracing a line more than once. Determine if this can be done for the figures in Exercises 35–38, assuming that you must begin and end at the same point.*

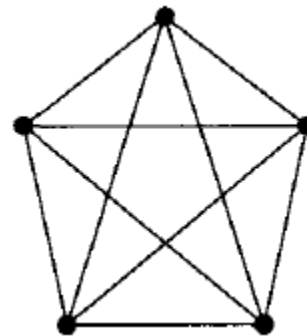
35.



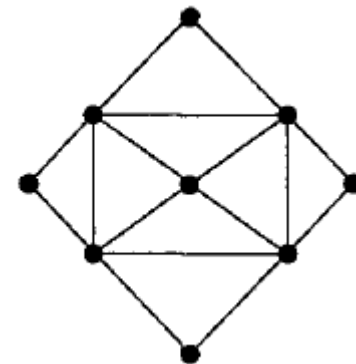
36.



37.

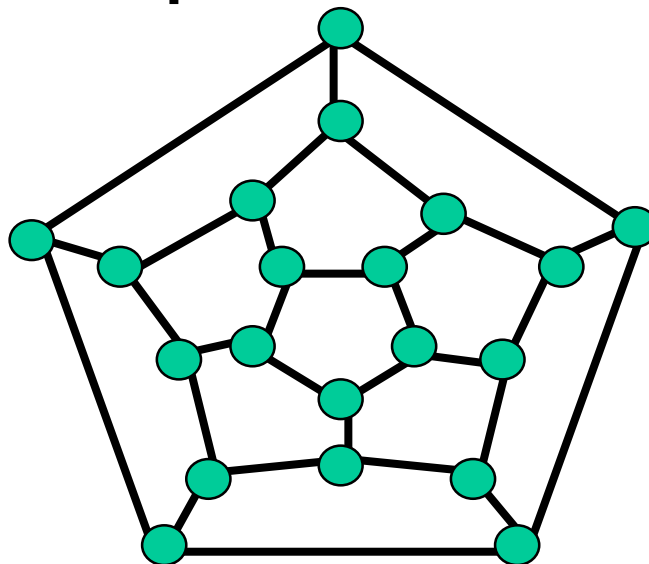


38.



# Hamilton's Around the World Game

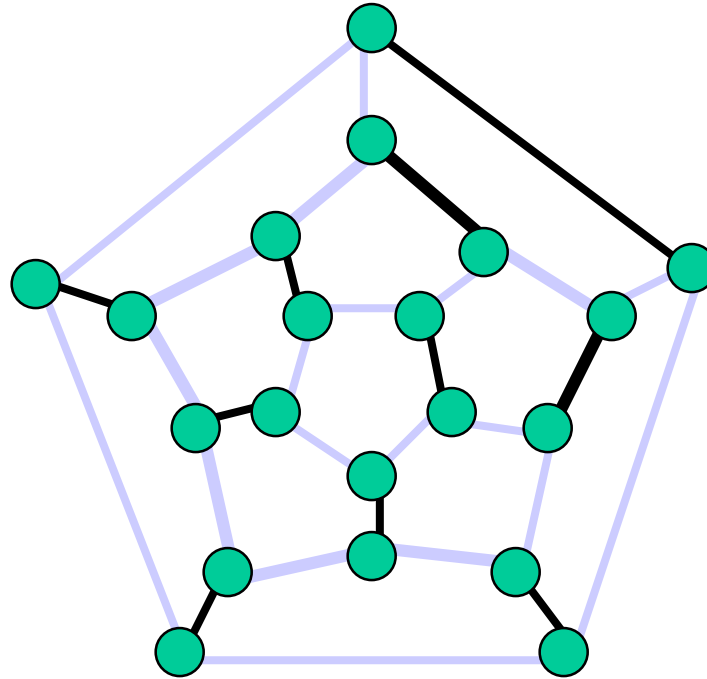
In 1857, the Irish mathematician, Sir William Rowan Hamilton invented a puzzle that he hoped would be very popular.



The objective was to make a hamiltonian cycle.

The game was not a commercial success, especially the 3D version. But the mathematics of hamiltonian cycles is very popular today.

# Hamilton's Around the World Game <sup>21</sup>



This problem can be generalized to be the *traveling salesman problem*.

# Hamiltonian Cycles & Paths

**Hamiltonian path:** a path in a multigraph  $G$  that includes exactly once all the vertices of  $G$  and has different origin and destination

**Hamiltonian cycle:** a cycle in a multigraph  $G$  that includes exactly once all the vertices of  $G$

- It is a major unsolved problem to determine necessary & sufficient conditions for a graph to have either Hamiltonian cycle or path.
  - A special case of the Traveling Salesman Problem (TSP)
  - A complete graph must be Hamiltonian (i.e. contains Hamiltonian cycle)

**Thm 4.6 (Ore's Thm)** suppose  $G$  is a simple graph with  $n \geq 3$  vertices if for each pair of nonadjacent vertices  $u$  and  $v$  we have  
 $\deg(u) + \deg(v) \geq n$ ,  
then  $G$  has a Hamiltonian cycle



# Show Ore's Theorem

Try to show by contradiction, Suppose such  $G$  has NO H.C. to show  $\deg(x) + \deg(y) < n$   
 $G$  is a subgraph of  $K_n$  (but  $G$  is not  $K_n$  [why?]).

We can recursively add edges between 2 nonadj. vertices of  $G$  until it becomes another graph  $H$ , where adding one more edge joining 2 nonadj. vertices in  $H$  will create a H.C.

Let  $x, y$  be 2 nonadj. vertices in  $H \rightarrow x, y$  are nonadj in  $G$  [why?]

If we add a new edge  $(x, y)$  on  $H \rightarrow$  we will create a H.C. (by assumption)

i.e., there exists a H.P. from  $x$  to  $y$  in  $H$ , say,  $x = v_1 - v_2 - v_3 - \dots - v_{i-1} - v_i - v_{i+1} - \dots - v_{n-1} - v_n = y$

Suppose  $\deg(x) = r$

Claim: if there exists an edge  $(x, v_i)$ , then there exists NO edge  $(v_{i-1}, y)$

Pf: if there exists edge  $(v_{i-1}, y)$ , then  $H$  has a H.C. **Contradiction.**

The claim is true for  $i = 2, 3, \dots, n-1$

Since  $\deg(x) = r$ , thus there exists  $r$  vertices in  $\{v_1, v_2, \dots, v_{n-2}\}$  NOT adjacent to  $y$ .

Thus  $\deg(y) \leq (n-2) - r$  which means  $\deg(x) + \deg(y) \leq n-2 < n$  in  $H$  (and **in  $G$ , too**)

Thus, if  $\deg(x) + \deg(y) \geq n$  in  $G \rightarrow$  such  $G$  has H.C.

# Exercise on Hamiltonian Cycles (1/2)

## Dirac's Thm

suppose  $G$  is a simple graph with  $n \geq 3$  vertices, and every vertex has degree at least  $n/2$  then  $G$  contains a Hamiltonian cycle

Some criteria to check whether  $G$  has a H.C. or H.P.

(!Note! If the following 4 criteria all fail, it's **still possible** to have H.C. or H.P.)

if  $\deg(x) + \deg(y) \geq n-1$  for each distinct  $x, y \in V$ , then  $G$  has a **Hamiltonian path**

if  $\deg(x) + \deg(y) \geq n$  for each distinct  $x, y \in V$ , then  $G$  has a **Hamiltonian cycle**

if  $\deg(x) \geq (n-1)/2$  for each  $x \in V$ , then  $G$  has a **Hamiltonian path**

if  $\deg(x) \geq n/2$  for each  $x \in V$ , then  $G$  has a **Hamiltonian cycle**

Ex1: give examples of simple graphs that (a) have E.C. & H.C. (b) have E.C. but NO H.C. (c) has H.C. but no E.C.

Ex2: For a  $K_n$ , how many distinct (a) H.P. (b) H.C. ?

# Exercise on Hamiltonian Cycles (2/2) 25

Q.52 A **bipartite graph** is a graph in which the vertices can be divided into two disjoint nonempty sets  $A$  and  $B$  such that no two vertices in  $A$  are adjacent and no two vertices in  $B$  are adjacent. The **complete bipartite graph**  $\mathcal{K}_{m,n}$  is a bipartite graph in which the sets  $A$  and  $B$  contain  $m$  and  $n$  vertices, respectively, and every vertex in  $A$  is adjacent to every vertex in  $B$ . The graph  $\mathcal{K}_{2,3}$  is given below. How many edges does  $\mathcal{K}_{m,n}$  have?

Q.53 For which  $m$  and  $n$  does  $\mathcal{K}_{m,n}$  have an Euler circuit?

Q.54 For which  $m$  and  $n$  does  $\mathcal{K}_{m,n}$  have a Hamiltonian cycle?

Q: How to use Ore's or Dirac's Thm to detect H.C./H.P.? Complexity of your methods?

# Shortest Paths and Distance

**Shortest path:** a path of **minimal length** between vertices  $s$  and  $t$

**Distance** from  $s$  to  $t$ : smallest possible **# of edges** in a path from  $s$  to  $t$

!Note! This definition only applies for **unweighted** graph

**Weighted graph:** a graph where each edge has a “weight” as its length.

**Weight of a path:** sum of weights of the edges in the path

- To trace a path, we can use **predecessor** (pred) or **successor** (succ)

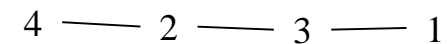
If a path passes vertex  $i$  then consecutively to vertex  $j$ , we say

$$\text{pred}(j)=i, \text{succ}(i)=j$$

If we record the  $\text{pred}(i)$  (or  $\text{succ}(i)$ ) for each vertex  $i$  in any path-related algorithm, we then will be able to trace the entire path

- For the first vertex in the path, say,  $s$ , we define  $\text{pred}(s)=\text{NULL}$  (or “-”)
- For the last vertex in the path, say,  $t$ , we define  $\text{succ}(t)=\text{NULL}$  (or “-”)

e.g.  $\text{pred}(1)=3, \text{pred}(2)=4, \text{pred}(3)=2, \text{pred}(4)=\text{NULL}$



e.g.  $\text{succ}(4)=2, \text{succ}(2)=3, \text{succ}(3)=1, \text{succ}(1)=\text{NULL}$

## 1-1 SP on a unit graph: a s-t path with the minimum number of edges

## Search algorithm:

OUTPUT: the set of reachable vertices from s:  $\{j : \text{there is a path from } s \text{ to } j \text{ in } G\}$

For vertex  $i$ :

–distance label:  $d[i]$  is the distance (# edges) between s & i

–Predecessor: *pred[i]* is the predecessor of i on the path from s to i

–A vertex is either *marked (visited)* or *unmarked (unvisited)*

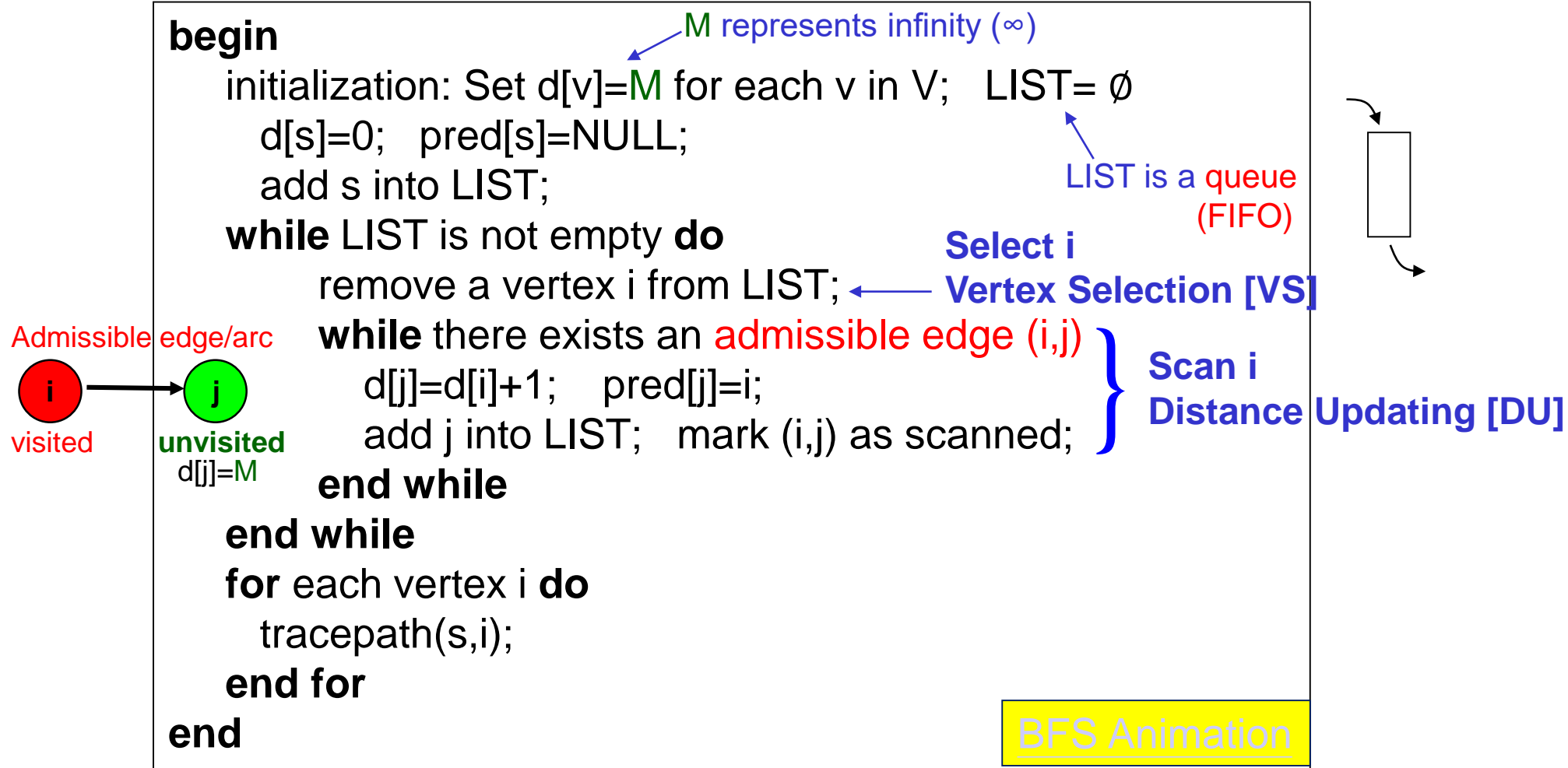
Initially only **s** is marked.

If a vertex is marked  $\rightarrow$  it is reachable from s

–An edge  $(i,j)$  in  $A$  is *admissible* if vertex  $i$  is marked but  $j$  is unmarked  
visited unvisited

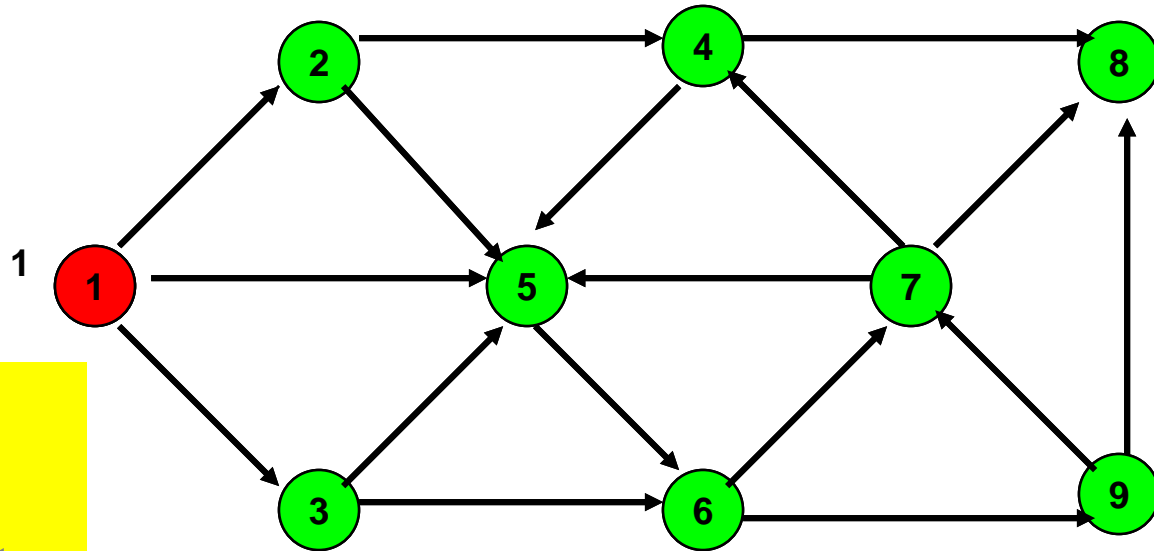
# Breadth-First Search

To find out all the vertices that are reachable from a specific vertex (source), say,  $s$



# Initialize

Breadth first search  
animation



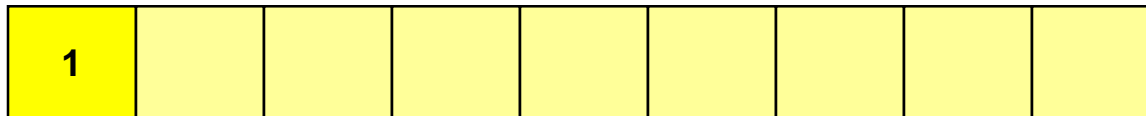
$\text{pred}(1) = 0$

$\text{next} := 1$

$\text{order}(1) = \text{next}$

$\text{LIST} := \{1\}$

LIST



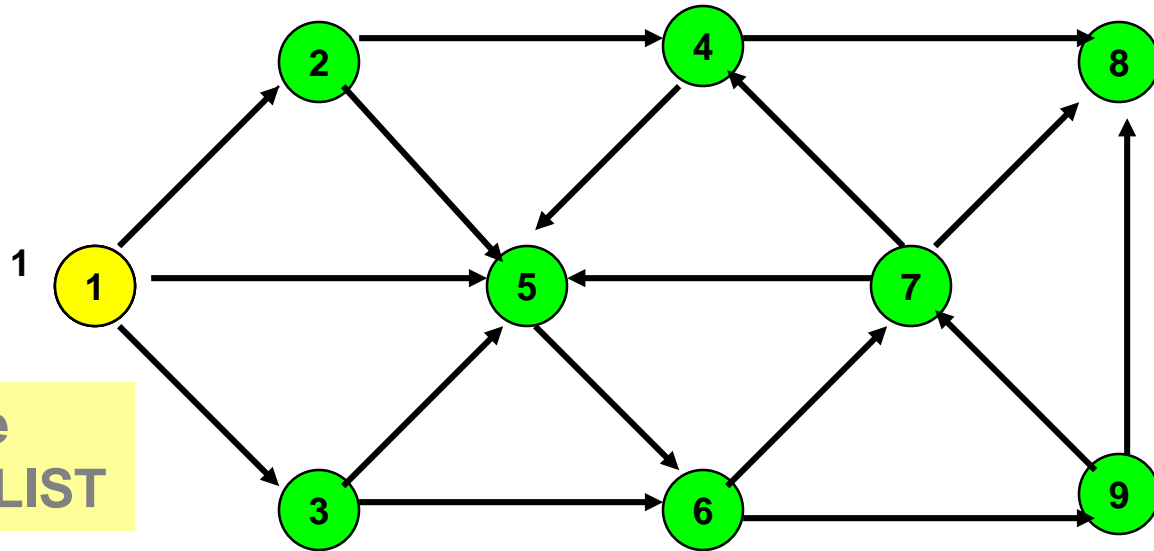
next





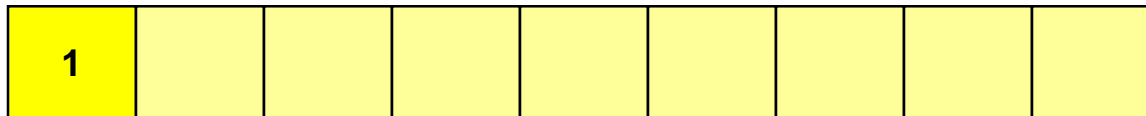
# Select a vertex $i$ in LIST

[VS]

Breadth first search  
animation

In BFS,  $i$  is the  
first vertex in LIST

LIST

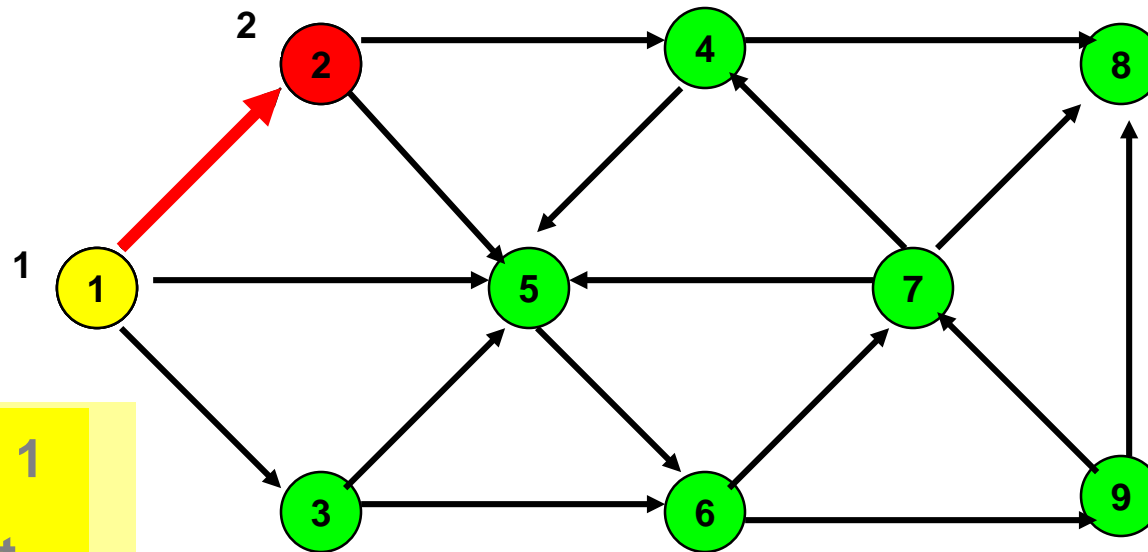


next



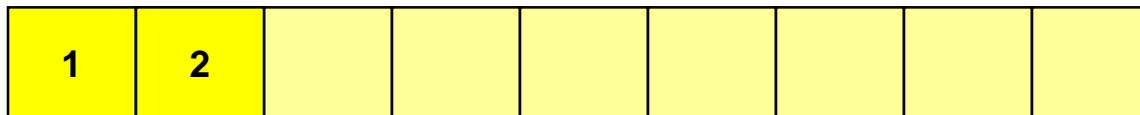
If vertex  $i$  is incident to an admissible edge ... [DU]

Breadth first search  
animation



next := next + 1  
order(j) := next  
add j to LIST

LIST

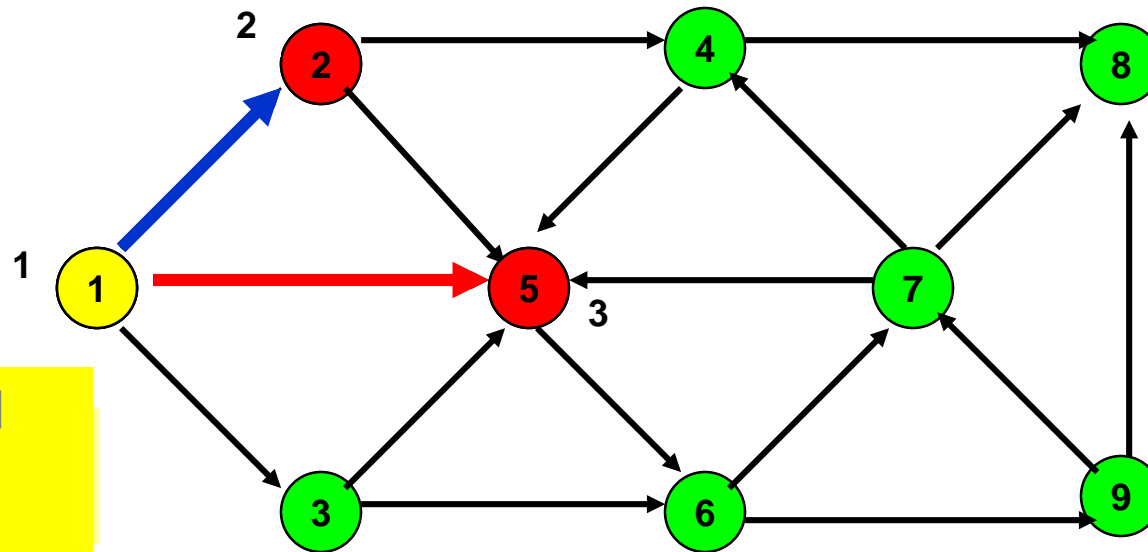


next

2

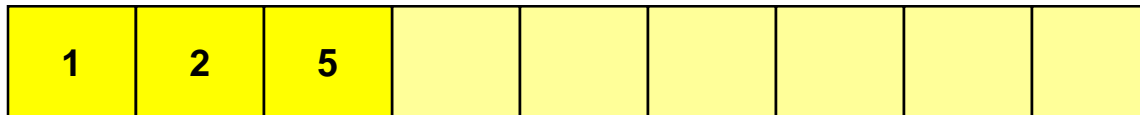
If vertex  $i$  is incident to an admissible edge... [DU]

Breadth first search  
animation



next := next + 1  
order(j) := next  
add j to LIST

LIST

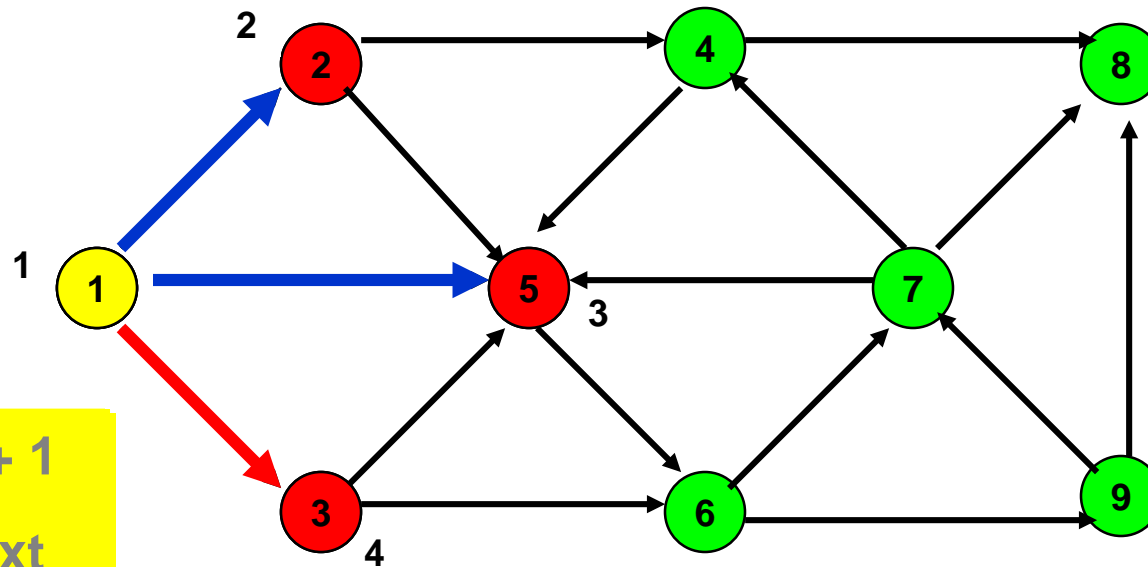


next

3

If vertex  $i$  is incident to an admissible edge ... [DU]

Breadth first search  
animation



next := next + 1  
order(j) := next  
add j to LIST

LIST

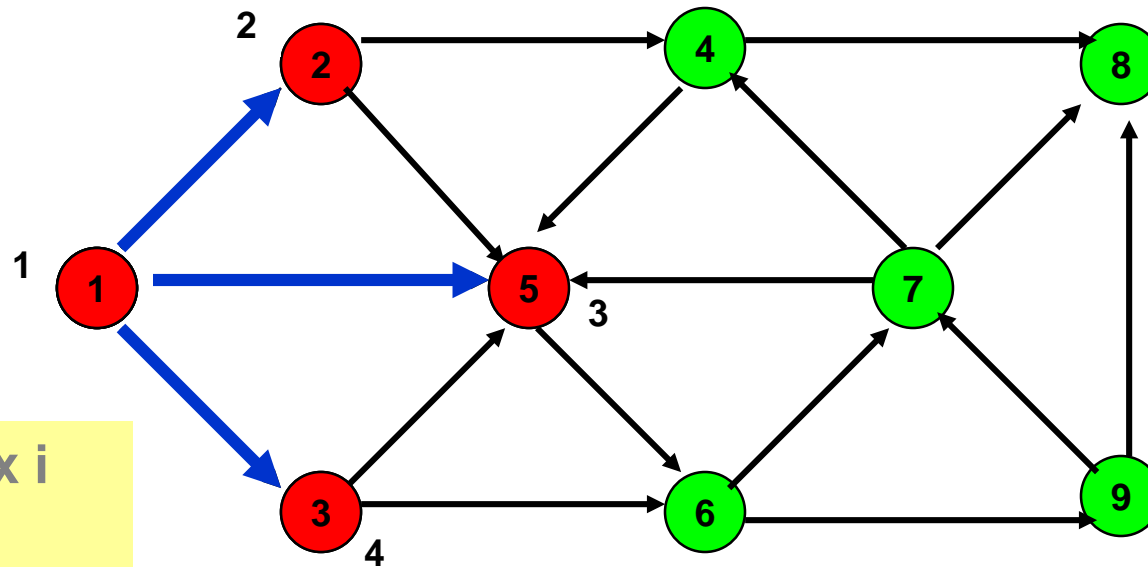
1	2	5	3					
---	---	---	---	--	--	--	--	--

next

4

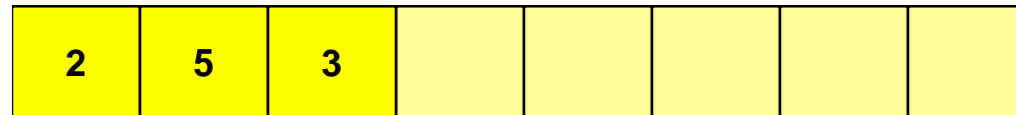
If vertex  $i$  is not incident to an admissible edge [DU]

Breadth first search  
animation



Delete vertex  $i$   
from LIST

LIST

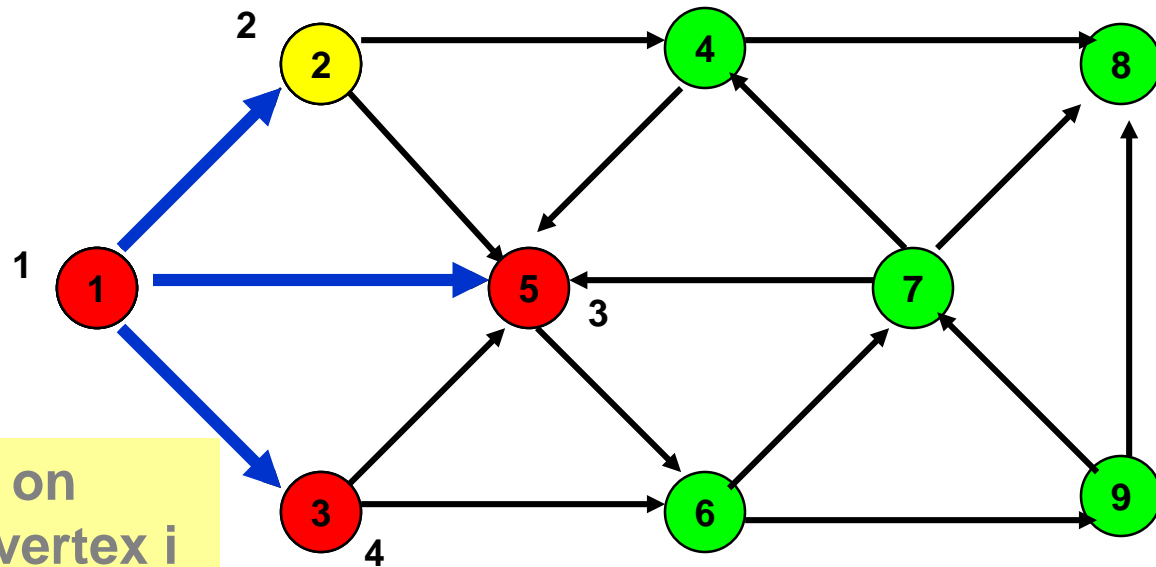


next



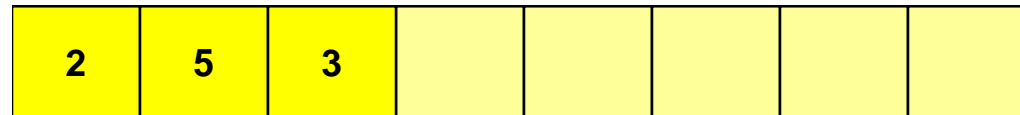
# Select Vertex i

[VS]

Breadth first search  
animation

The first vertex on  
LIST becomes vertex i

LIST

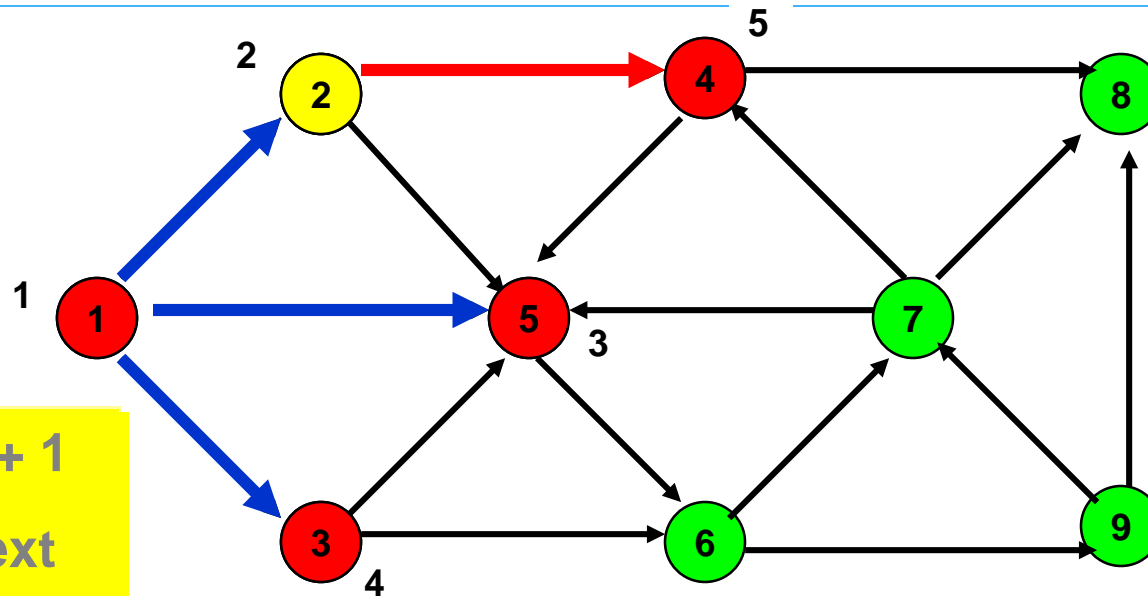


next



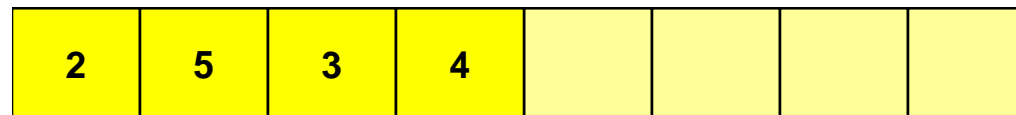
If vertex  $i$  is incident to an admissible edge  $\dots [DU]$

Breadth first search  
animation



next := next + 1  
order(j) := next  
add j to LIST

LIST



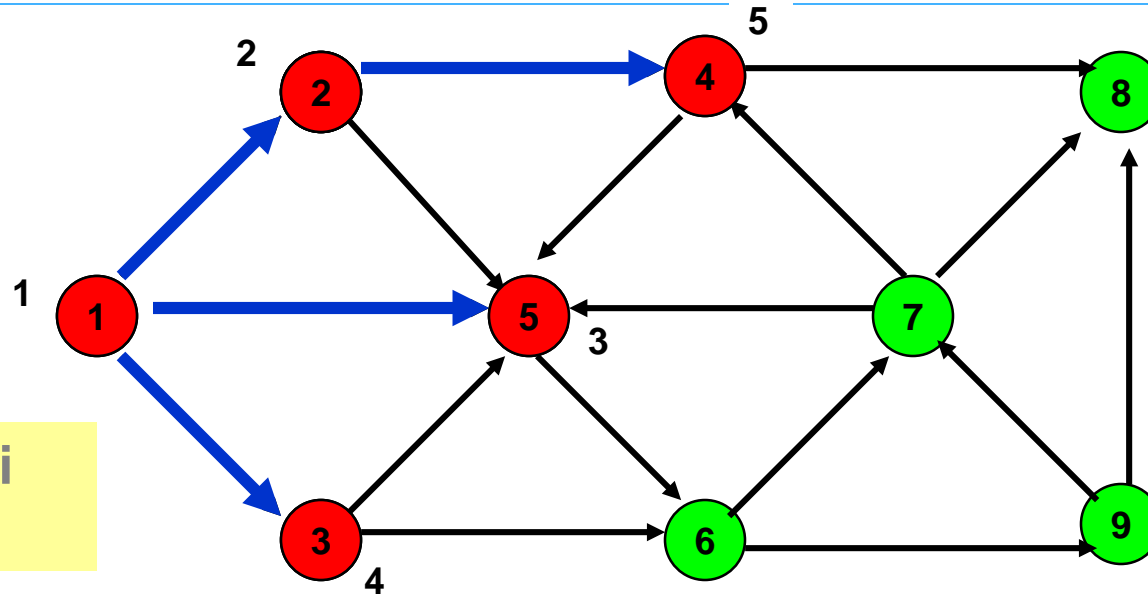
next

5



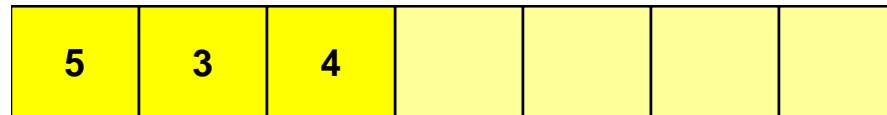
If vertex  $i$  is not incident to an admissible edge [DU]

Breadth first search  
animation



Delete vertex  $i$   
from LIST

LIST

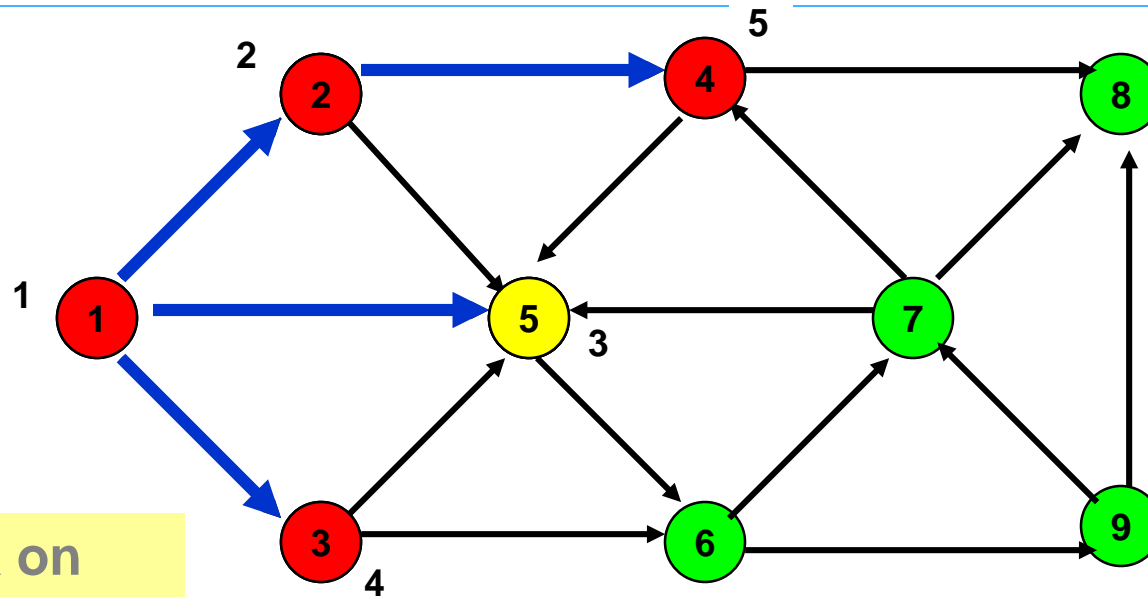


next



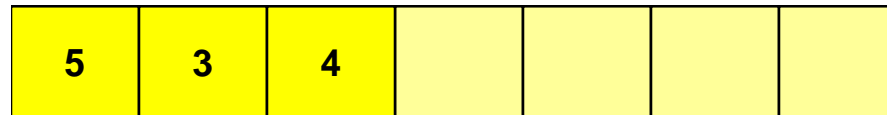
# Select a vertex

[VS]

Breadth first search  
animation

The first vertex on  
LIST becomes node i

LIST

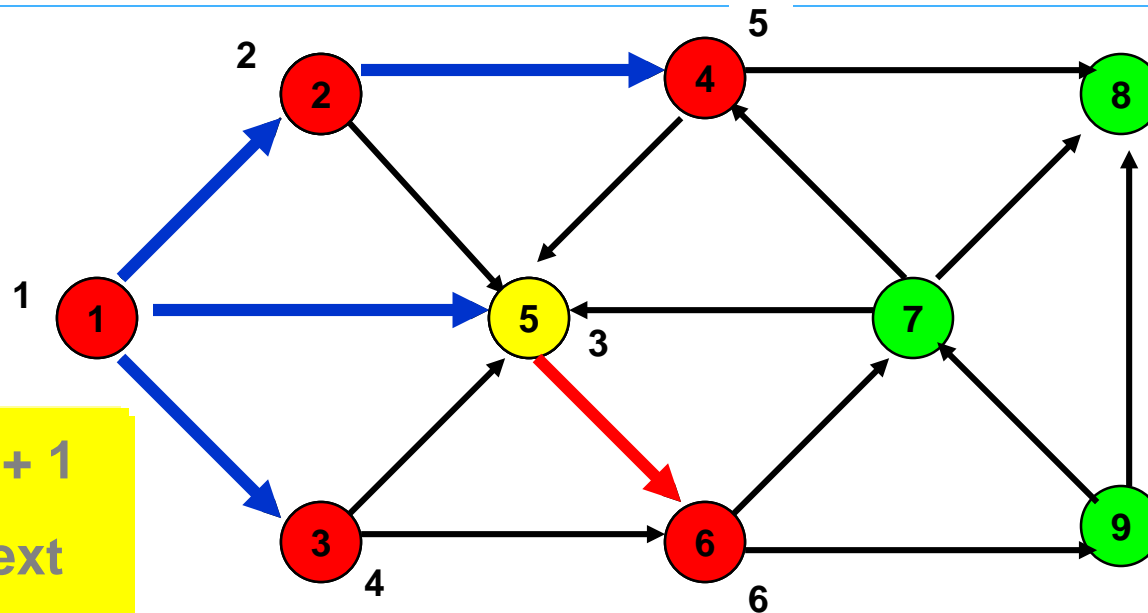


next



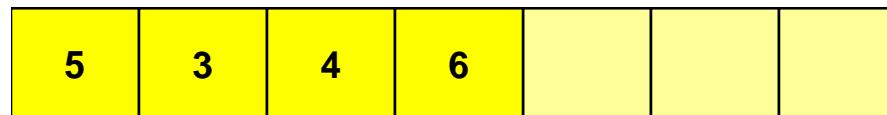
If vertex  $i$  is incident to an admissible edge  $\dots [DU]$

Breadth first search  
animation

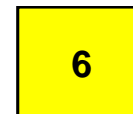


next := next + 1  
order(j) := next  
add j to LIST

LIST

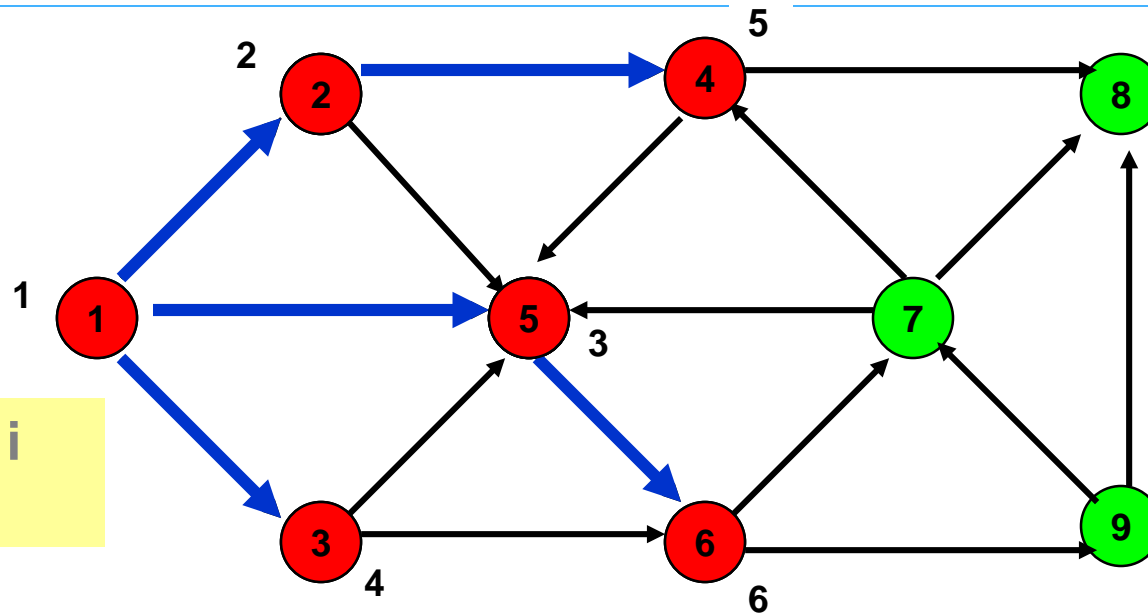


next



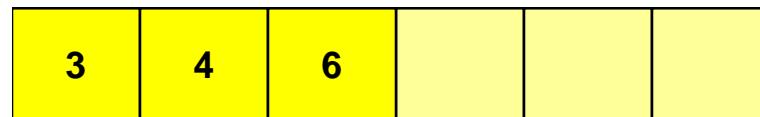
If vertex  $i$  is not incident to an admissible edge [DU]

Breadth first search  
animation

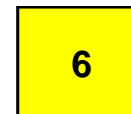


Delete vertex  $i$   
from LIST

LIST

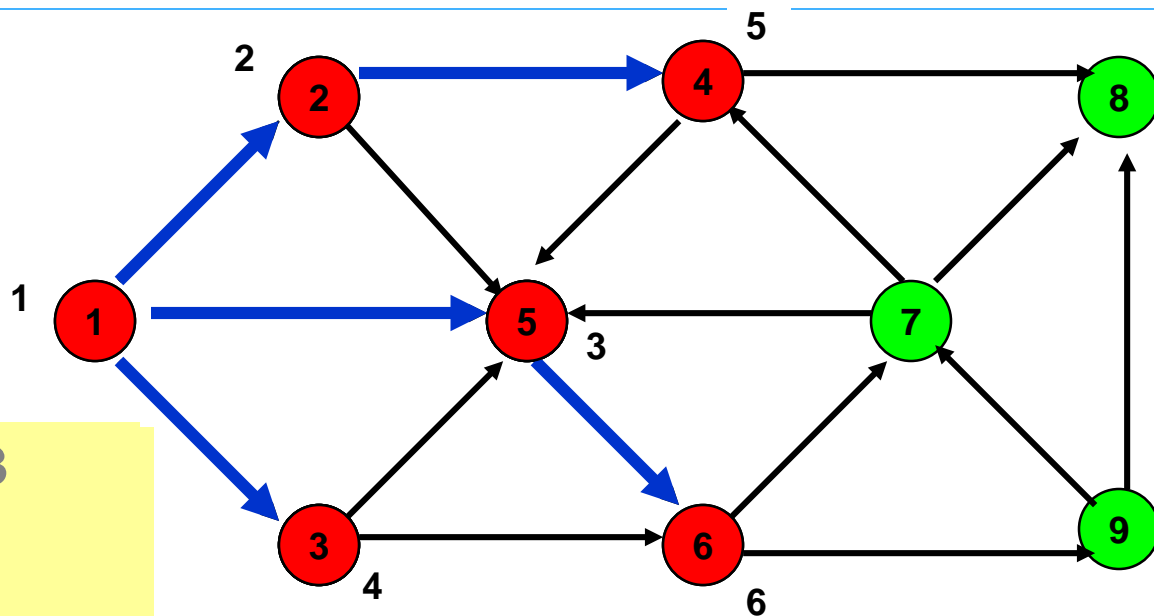


next



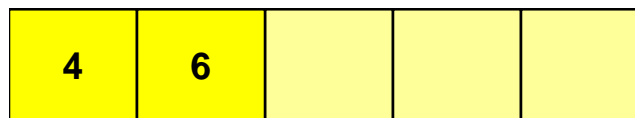
# Select vertex 3

[VS]

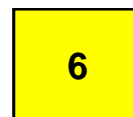
Breadth first search  
animation

delete vertex 3  
from LIST

LIST

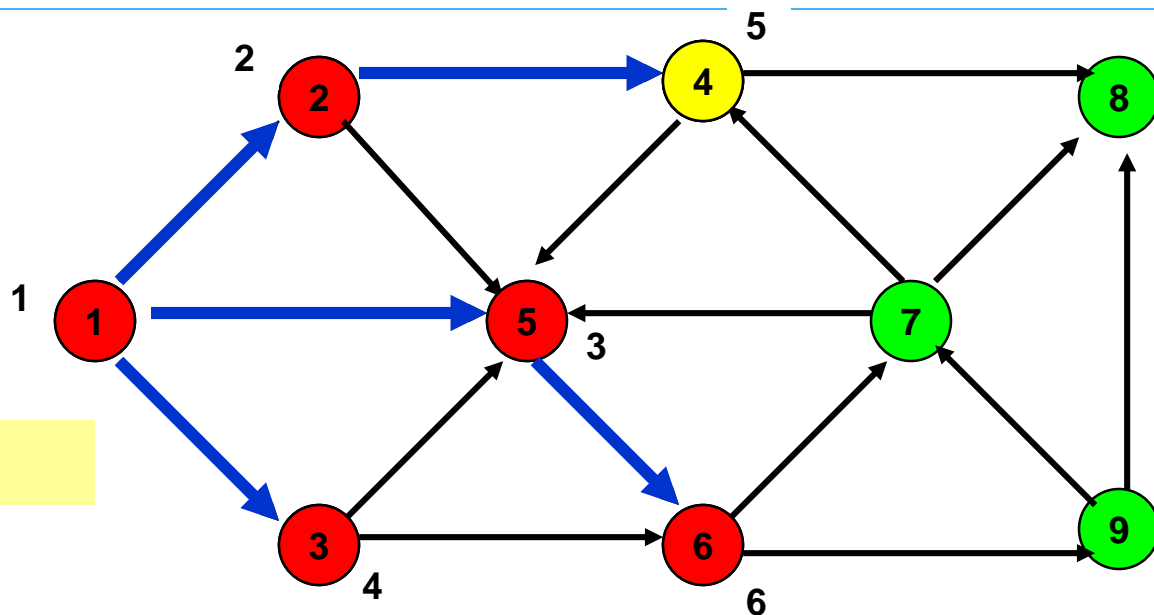


next

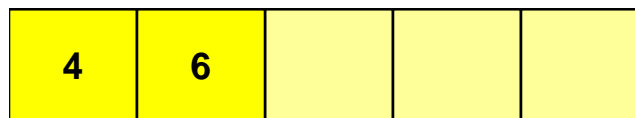


# Select a vertex

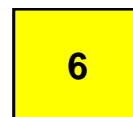
[VS]

Breadth first search  
animation $i := 4$ 

LIST

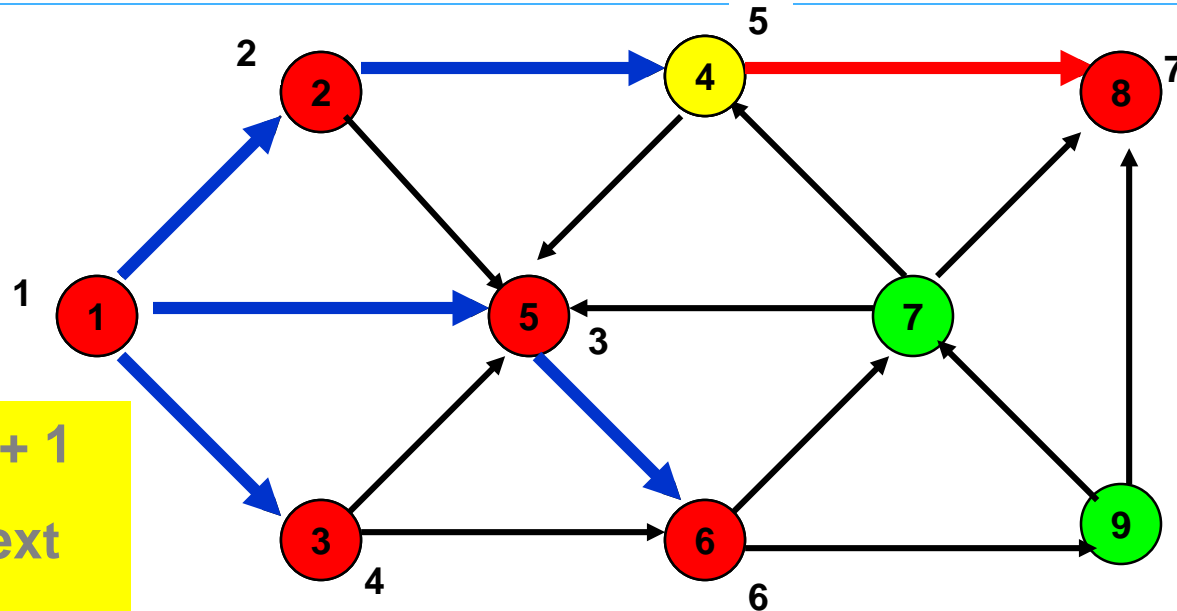


next



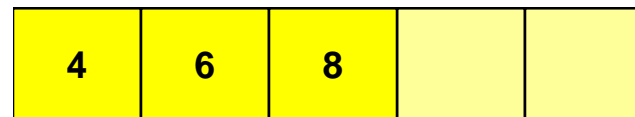
If vertex  $i$  is incident to an admissible edge  $\dots [DU]$

Breadth first search  
animation

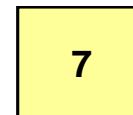


next := next + 1  
order(j) := next  
add j to LIST

LIST

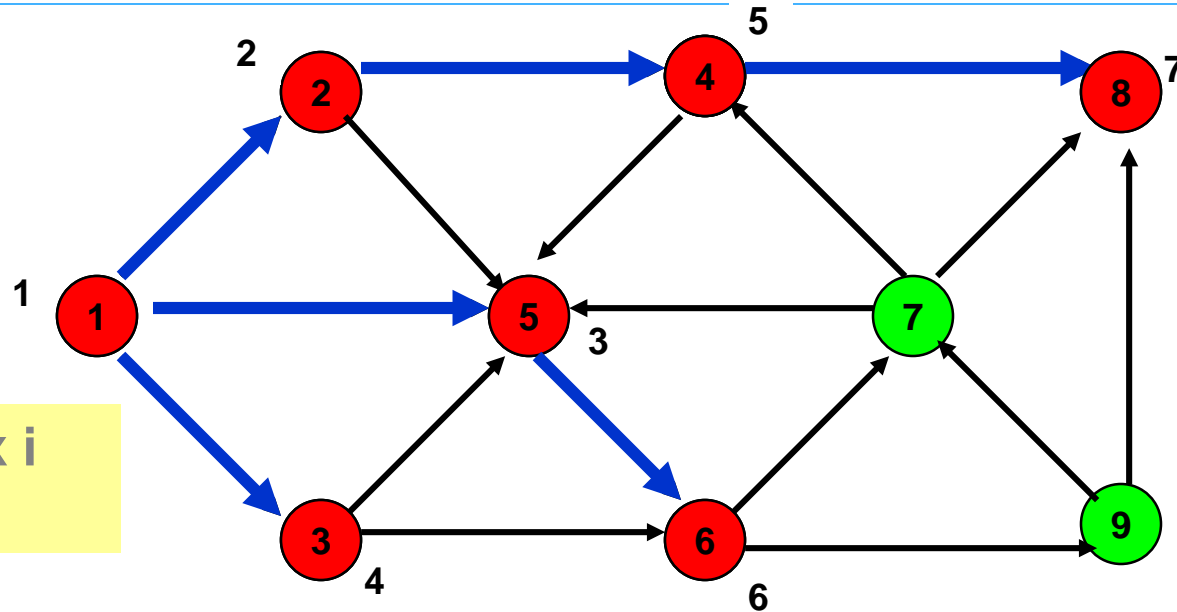


next



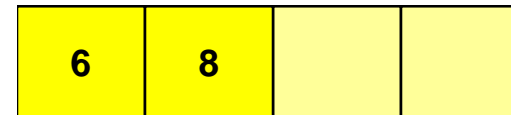
If vertex  $i$  is not incident to an admissible edge [DU]

Breadth first search  
animation

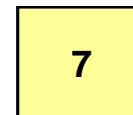


Delete vertex  $i$   
from LIST

LIST



next

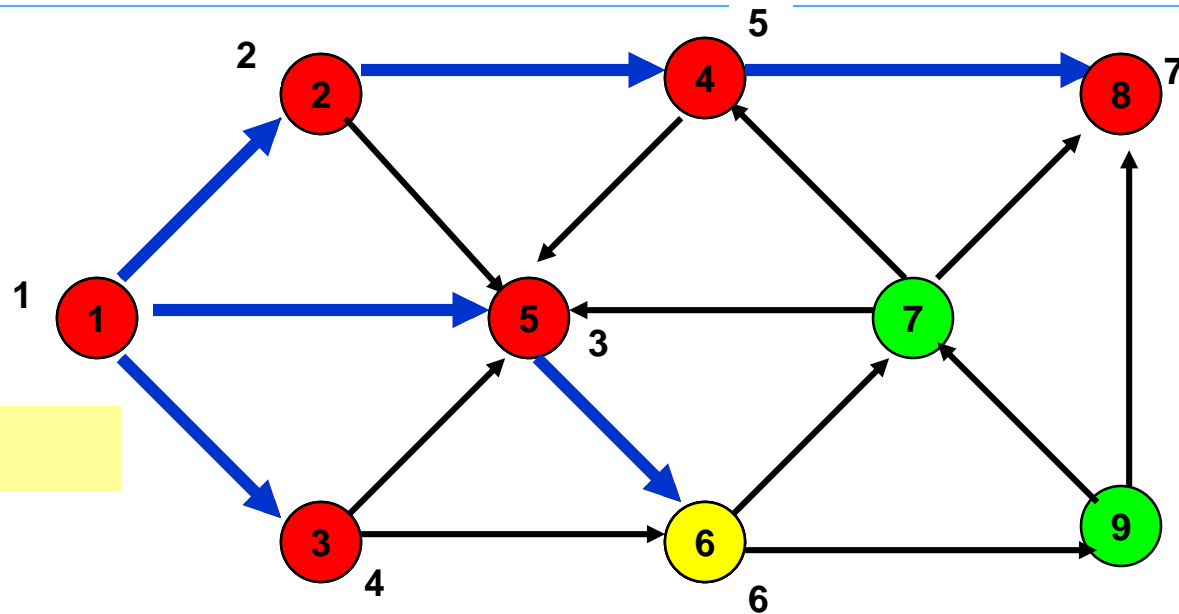




# Select vertex $i$

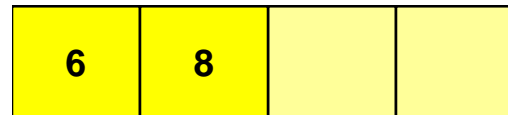
## [VS]

Breadth first search  
animation

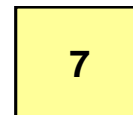


$i := 6$

LIST

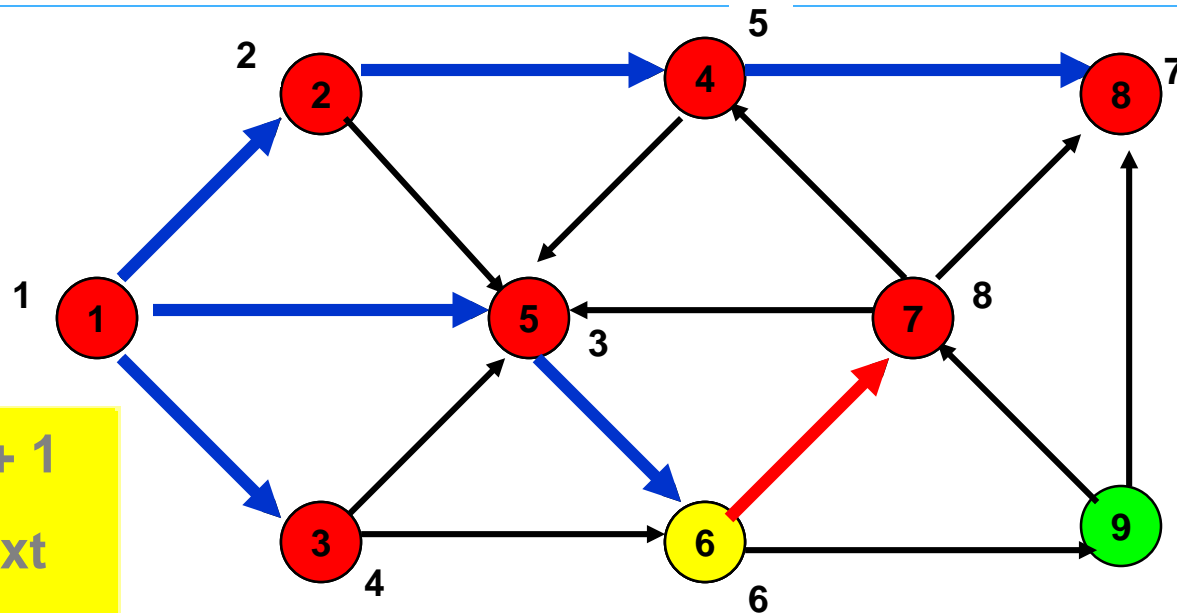


next



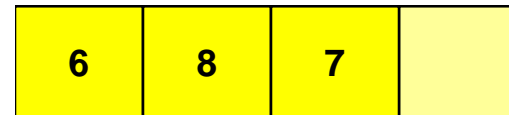
If vertex  $i$  is incident to an admissible edge  $\dots [DU]$

Breadth first search  
animation

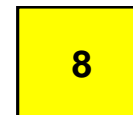


next := next + 1  
order(j) := next  
add j to LIST

LIST

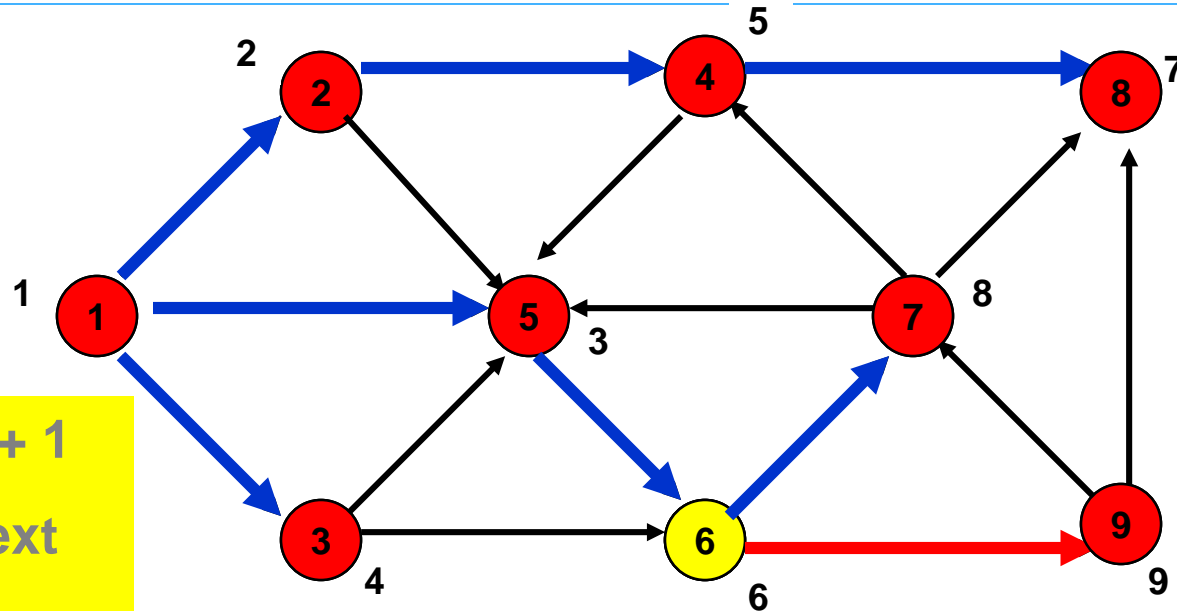


next



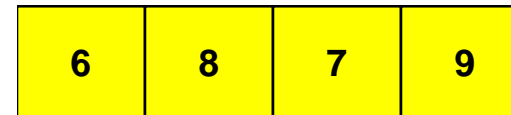
If vertex  $i$  is incident to an admissible edge  $\dots [DU]$

Breadth first search  
animation

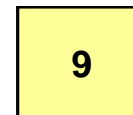


next := next + 1  
order(j) := next  
add j to LIST

LIST

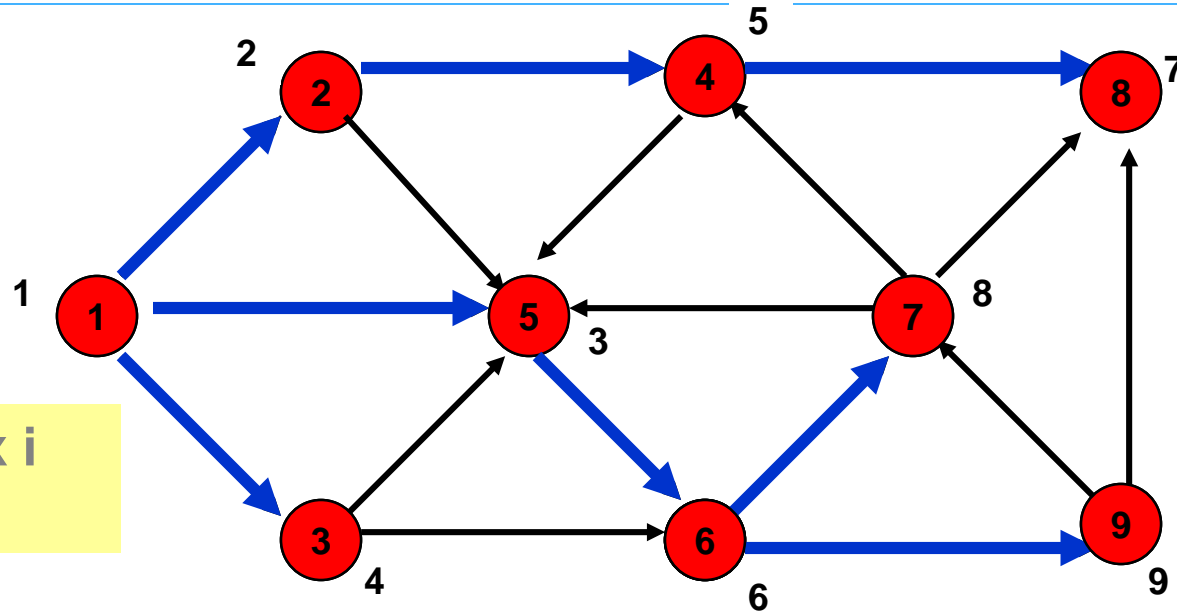


next



If vertex  $i$  is not incident to an admissible edge [DU]

Breadth first search  
animation

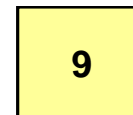


Delete vertex  $i$   
from LIST

LIST

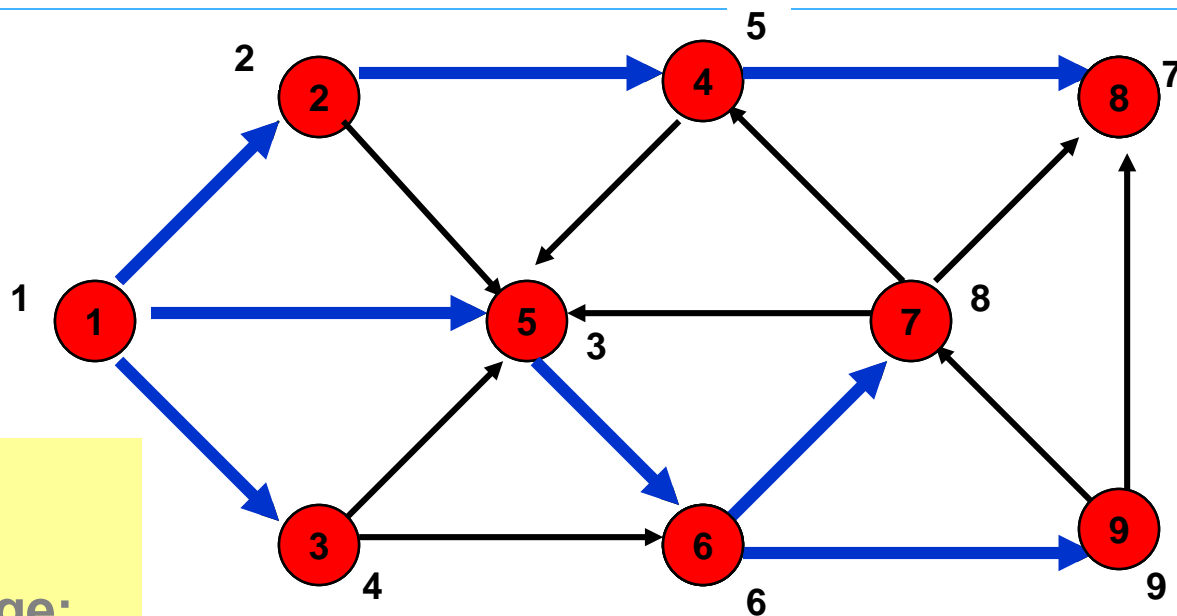


next



## Select vertex 8

[VS]

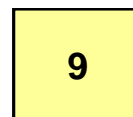
Breadth first search  
animation

vertex 8 is not  
incident to an  
admissible edge;  
delete it from LIST

LIST

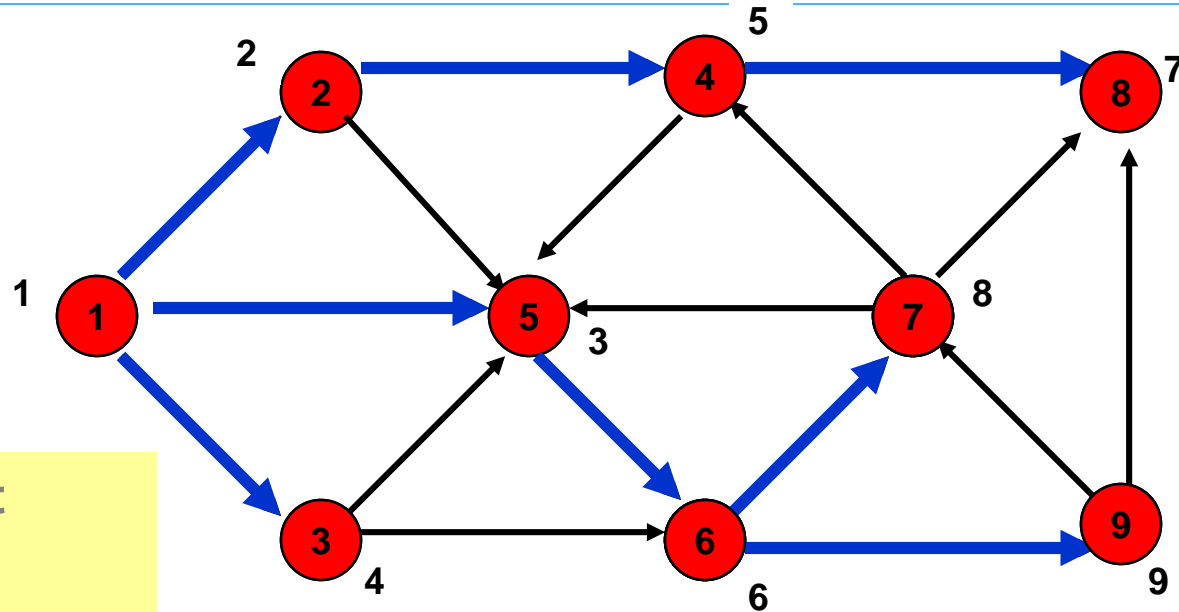


next



## Select vertex 7

[VS]

Breadth first search  
animation

vertex 7 is not  
incident to an  
admissible edge;  
delete it from LIST

LIST

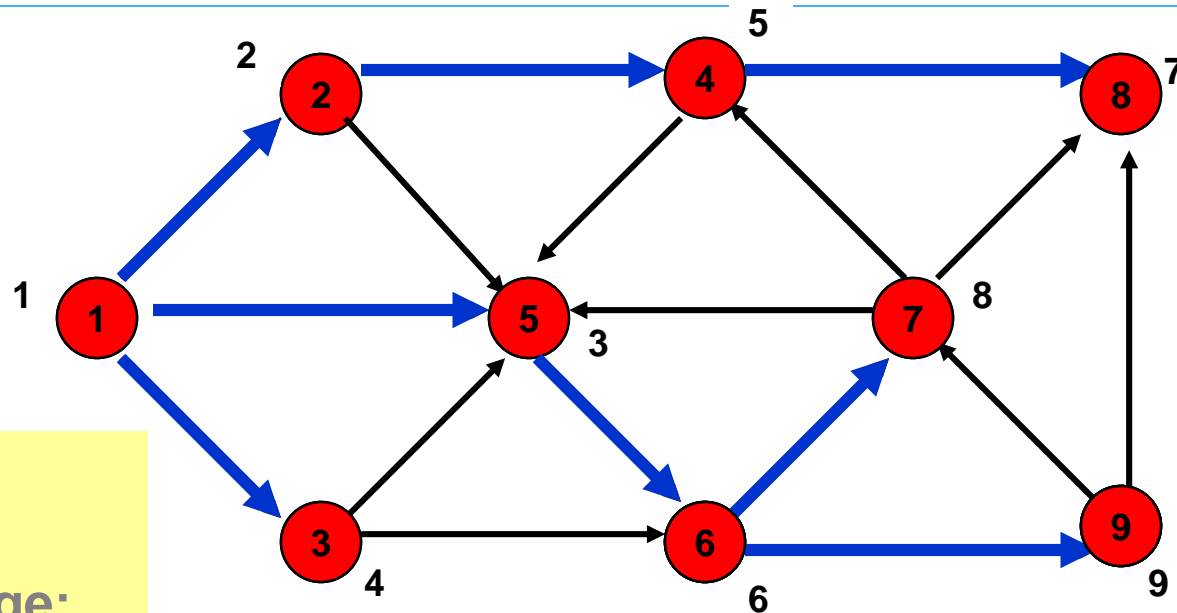
9

next

9

# Select vertex 9

[VS]

Breadth first search  
animation

vertex 9 is not  
incident to an  
admissible edge;  
delete it from LIST

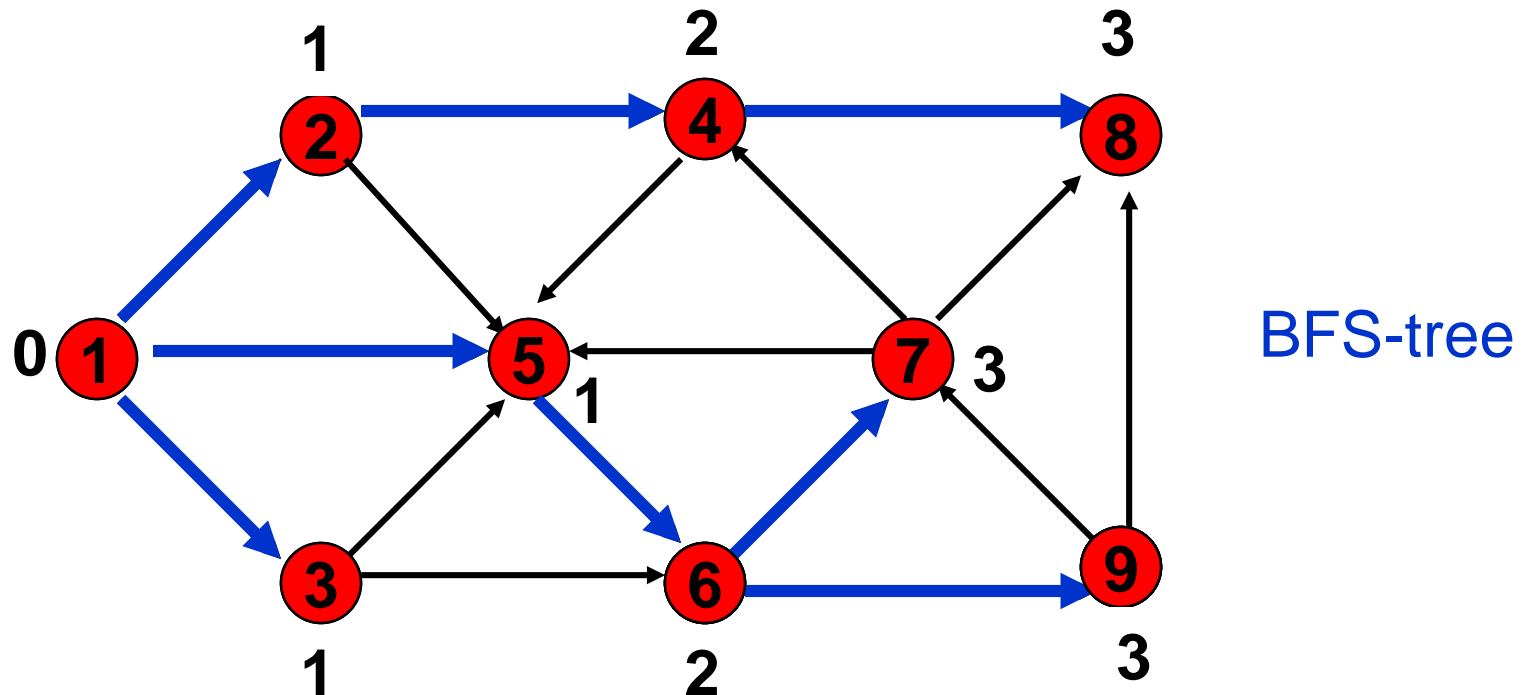
LIST

next

9

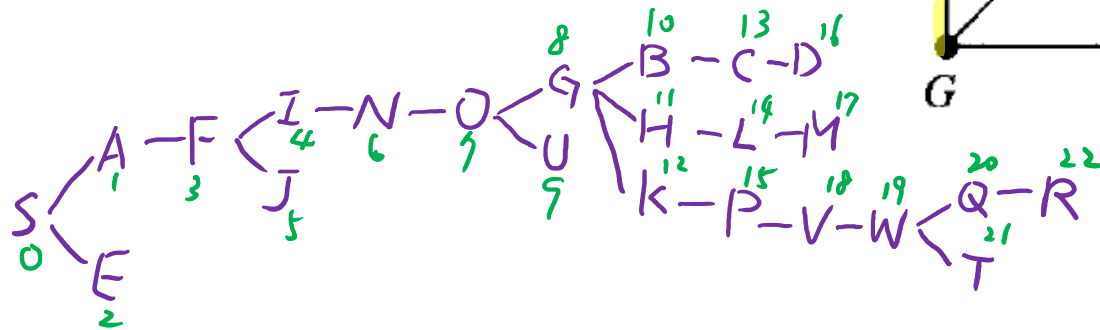
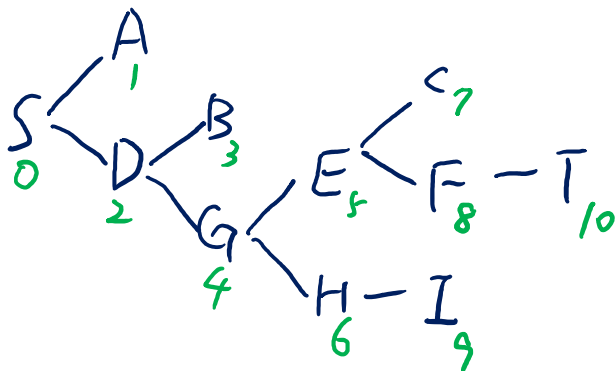
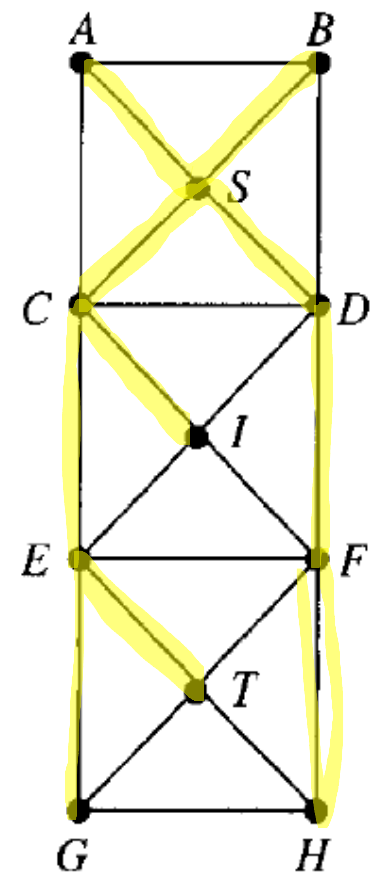
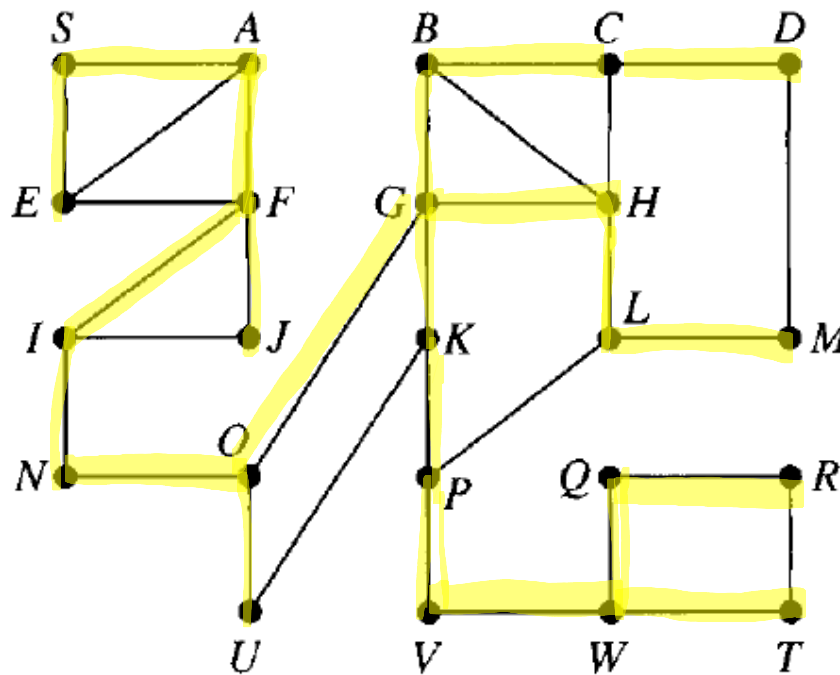
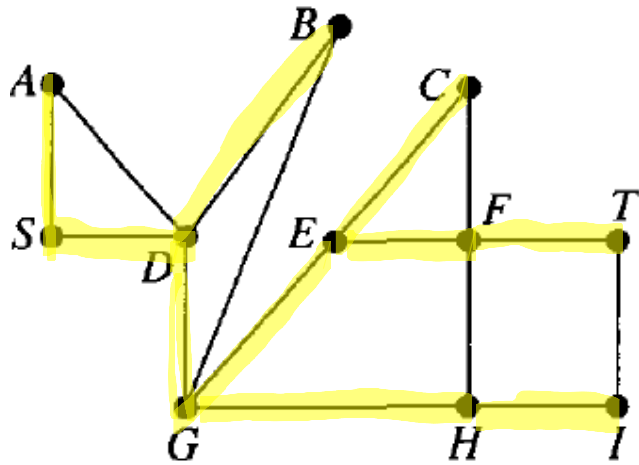
# More on Breadth-First Search

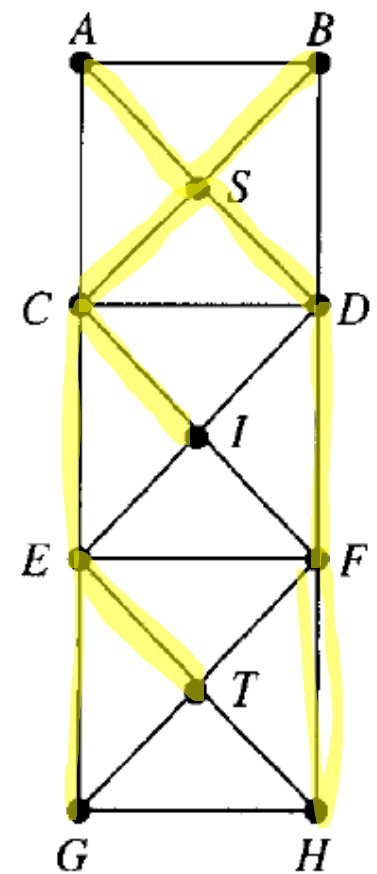
**Theorem.** The breadth first search tree is the “**shortest path tree**”, that is, the path from  $s$  to  $j$  in the tree has the **fewest possible number of edges**.





# Exercise of BFS





# Complexity of Breadth-First Search

## Scan vertex $i$ :

scan all the edges  $(i,j)$  connecting vertex  $i$

**Scan edge  $(i,j)$ :** check whether  $d[j]=M$  or not

if yes then update distance label of vertex  $j$

$d[j]=d[i]+1$ ;  $pred[j]=i$ ;

add  $j$  into LIST;

Two major operations:

**Vertex Selection:** each vertex is selected to be scanned exactly once

i.e. total # of vertex selection operations= $|V|$

**Distance Updating:** each edge is scanned exactly twice

i.e. total # of edge scanning operations= $2|E|$

during these  $2|E|$  scanning, at most  $|E|$  of them perform distance updating

Complexity:  $O(|V|+|E|)=O(|E|)$

usually  $O(|E|)=O(|V|^2)$  but  $O(|E|)$  is a better approximation

# More about Breadth-First Search

How to select a vertex from LIST?

Queue: FIFO

How to trace a path from vertex  $s$  to  $i$ ?

```
begin
  output i;
  while pred[i]  $\neq$  s do
    i=pred[i];
    output i
  end while
  output s
end
```

← This prints out the path from  $s$  to  $i$  in reverse order  
Complexity :  $O(|V|)$

Why the  $d[j]$  represents the shortest distance from  $s$  to  $j$ ?

math. induction

# Shortest Path on Weighted Graphs

**Distance** from  $s$  to  $t$  on a weighted graph = **Weight of a path**

**Negative cycle:**

a cycle that has a total weight which is negative

If there is a path from  $s$  to  $t$  that contains a negative cycle then there exists **NO** shortest path from  $s$  to  $t$

If there exists a shortest path from  $s$  to  $t$  then we can assume such a path is **simple path**  
(Thm 4.4 every  $u$ - $v$  path contains a  $u$ - $v$  simple path)

Shortest path problems:

1-1, 1-ALL, ALL-1, ALL-ALL, SOME-SOME

Nonnegative edge length vs. negative edge length

# Shortest Path Problems

- Single Source Shortest Path (SSSP)

- Nonnegative Arc Cost (**LS**)
- General Arc Cost (**LC**)

0				
	0			
		0		
			0	
				0

0				
	0			
		0		
			0	
				0

- All Pairs Shortest Paths (APSP)

- Combinatorial Type Algorithms (**repeated SSSP**)
- Algebraic Type Algorithms (**FW**)
- LP Type Algorithms (**Network simplex**)

0				
	0			
		0		
			0	
				0

- Multiple Pairs Shortest Paths (MPSP)

0				
	0			
		0		
			0	
				0

0				
	0			
		0		
			0	
				0

# LP form for SSSP Problems

## Primal formulation

$$\begin{aligned} \min \quad & \sum_{(i,j) \in E} c_{ij} x_{ij} \\ \text{s.t.} \quad & \sum_{(i,j) \in E} x_{ij} - \sum_{(j,i) \in E} x_{ji} = b_i \quad \forall i \in V \\ & x_{ij} \geq 0 \quad \forall (i,j) \in E \end{aligned}$$

1-1: from s to t

$$b_s = +1, b_t = -1, b_i = 0 \quad \forall i \in V \setminus \{s, t\}$$

1-ALL: from s to all other vertices

$$b_s = +(n-1), b_i = -1, \quad \forall i \in V \setminus \{s\}$$

ALL-1: from all vertices except t to t

$$b_t = -(n-1), b_i = +1, \quad \forall i \in V \setminus \{t\}$$

## Dual formulation

$$\max \quad \sum_{i \in V} \pi_i b_i$$

s.t.

$$\pi_i - \pi_j \leq c_{ij} \quad \forall (i, j) \in E$$

$\pi$  : free

reduced cost:  $c_{ij}^\pi = c_{ij} - \pi_i + \pi_j$

optimal condition:

$$c_{ij}^\pi \geq 0 \quad \forall (i, j) \in E$$

# Dijkstra's Algorithm

Only valid for graphs with **nonnegative edge length**

1-ALL: from  $s$  to all other vertices

**begin**

initialization:  $S = \emptyset$ ;  $S^c = V$ ;

$d[i] = \infty$ ,  $\text{pred}[i] = \text{NULL}$  for each  $i$  in  $V$

$d[s] = 0$ ,  $\text{pred}[s] = \text{NULL}$ ;

**while**  $|S| < n$  **do**

choose  $i$  from  $S^c$  such that  $d[i] = \min\{d[j] : j \in S^c\}$ ; ←

$S = S \cup \{i\}$ ;  $S^c = S^c \setminus \{i\}$ ;

**for** each  $(i, j)$  in  $E[i]$  **do**

**if**  $d[j] > d[i] + c_{ij}$  **then**

$d[j] = d[i] + c_{ij}$ ;  $\text{pred}[j] = i$ ;

**end for**

**end while**

**end**

**Vertex Selection**

select a vertex  $i$  to scan  
then vertex  $i$  becomes  
**permanently labeled**

**Outgoing edge list**

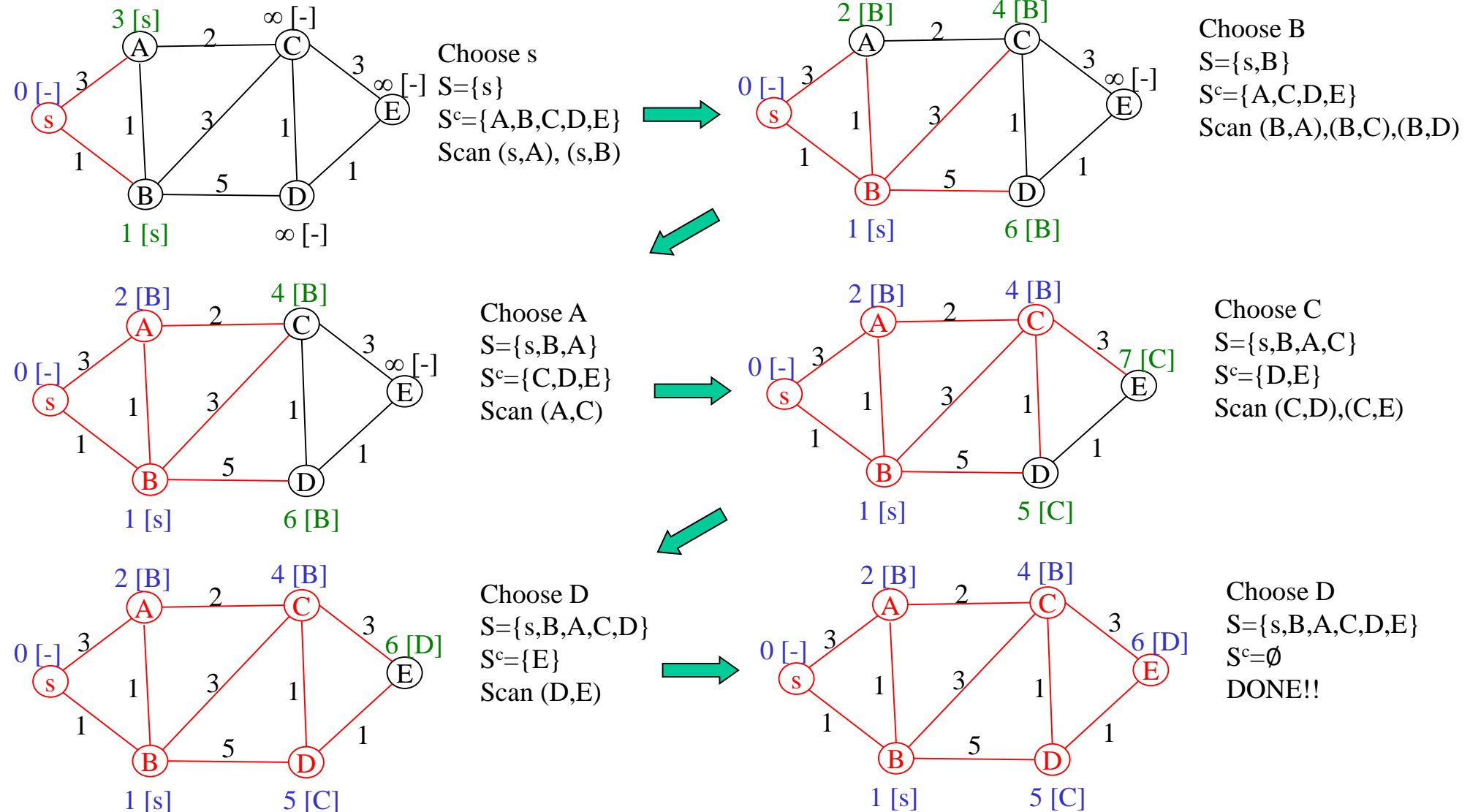
**Scan edge  $(i, j)$**

**Distance Updating**

**(triple comparison)**



# Example of Dijkstra's Algorithm



# Binary Min-Heap

**Priority queue**: any data structure that supports the operations of **search min**, **insert**, and **delete min** (or max)

**Heap**: a min heap is a **complete binary tree** with the property that the value at each vertex is  $\leq$  the values at its children

Suppose there are  $n$  elements in the heap:

- **Search min**:  $O(1)$  (i.e. the toppest vertex)
- **Insert an element**:  $O(\log n)$   
put the new element to the last vertex, then compare with its ancestors, swap parents/children when necessary
- **Delete min**:  $O(\log n)$   
delete the toppest vertex, put the last vertex to be the toppest, then compare with its descendants, swap parents/children when necessary

# More about Binary Heap

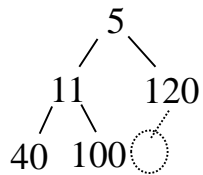
## Why is the depth of a binary tree “log n” for a tree of n vertices?

Since we keep “balanced” binary tree, suppose there are n vertices in the binary tree,

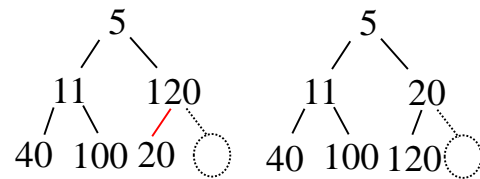
$$n = 1 + 2 + 4 + 8 + \dots + 2^{\lfloor \log n \rfloor - 1} + (n + 1 - 2^{\lfloor \log n \rfloor}), \text{ where } 2^{\lfloor \log n \rfloor} < (n + 1 - 2^{\lfloor \log n \rfloor}) \leq 2^{\lfloor \log n \rfloor + 1}$$

e.g.  $n=12$ ,  $\log n = 3 \dots$ , i.e.  $n=12=1+2+2^2+5$ , where  $2^2 < 5=12+1-2^3 \leq 2^3$

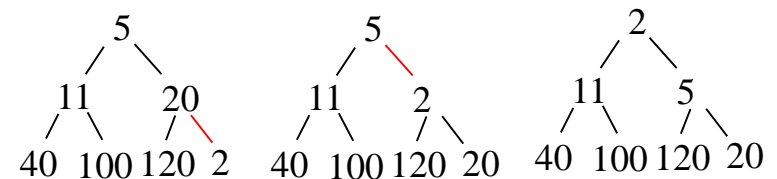
## Graphical illustration of inserting & deleting an element in min-heap



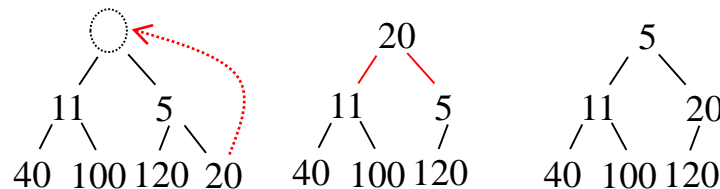
Original min-heap



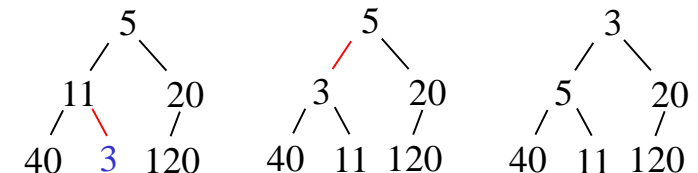
Insert a new element 20



Insert a new element 2



Delete an element 2



Change element 100 to be 3

# Complexity of Dijkstra's Algorithm

## Scan vertex $i$ :

scan all the outgoing edges  $(i,j)$  connecting vertex  $i$  (i.e. edges in  $E[i]$ )

after  $i$  is scanned, we say  $i$  is **permanently labeled**

**Once a vertex is permanently labeled, its distance label is set and won't be changed.**

## Scan edge $(i,j)$ : check whether $d[j] > d[i] + c_{ij}$ or not

if yes then update distance label of vertex  $j$

$d[j] = d[i] + c_{ij}; \quad \text{pred}[j] = i;$

## Two major operations:

**Vertex Selection:** each vertex is selected to be scanned exactly once

however, effort to choose the vertex with minimal distance label:

by linear search:  $|V| + |V-1| + |V-2| + \dots + 1 = O(|V|^2)$

by binary heap:  $O(|V|\log|V|)$

**Distance Updating:** each outgoing edge is scanned exactly once

i.e. total # of edge scanning operations =  $|E|$

using binary heap: after each updating, need to resort the data structure,  
each sorting takes  $O(\log|V|)$ , so totally takes  $O(|E|\log|V|)$

Complexity:  $O(|V|^2 + |E|)$ , or  $O((|V| + |E|)\log|V|)$

There are many other variants of Dijkstra's algorithm. Most of them use different techniques to do the vertex selection.

# Other Implementations of Dijkstra's algorithm

Variants of Dijkstra's algorithm:

**Dial's bucket implementation** (for integral edge length)

$nC+1$  unit-length buckets to store temporarily labeled vertices ( $C=\max\{c_{ij}\}$ )

bucket  $k$  stores vertices with distance label  $k$  (using doubly linked list)

idea: 1. choose the 1st nonempty bucket;

2. scan all vertices in the bucket one by one;

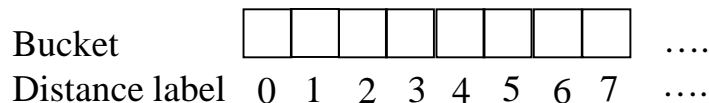
3. redistribute vertices into new buckets if their distance labels are decreased;

e.g. In iteration 5,  $d[E]=9$  (i.e. put vertex  $E$  in the bucket 9), if  $d[E]$  becomes 7

in iteration 7, we have to move vertex  $E$  from bucket 9 to bucket 7

4. remove any scanned vertices;

5. then choose the next nonempty bucket, repeat steps 1~4 until all buckets are checked

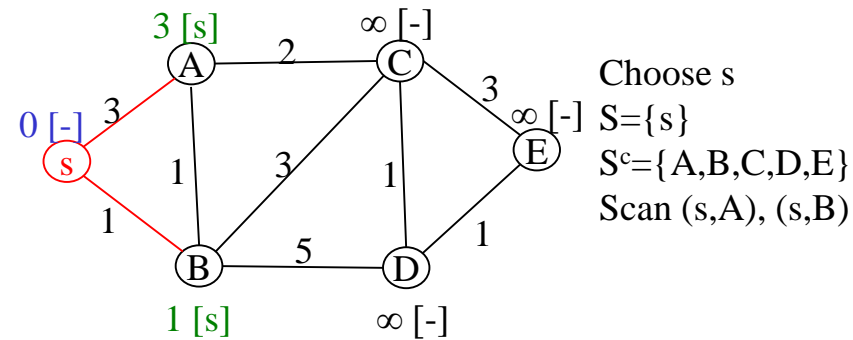


Complexity:  $O(m+nC)$

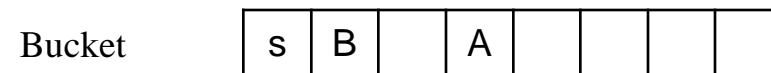
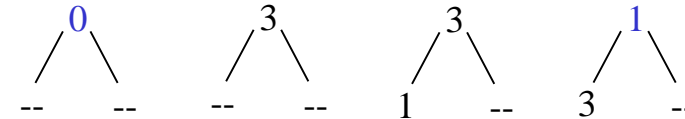
**Radix Heap implementation**

more complicated data structure (not covered in this course)

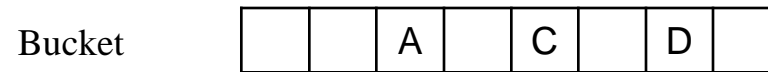
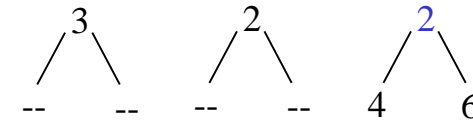
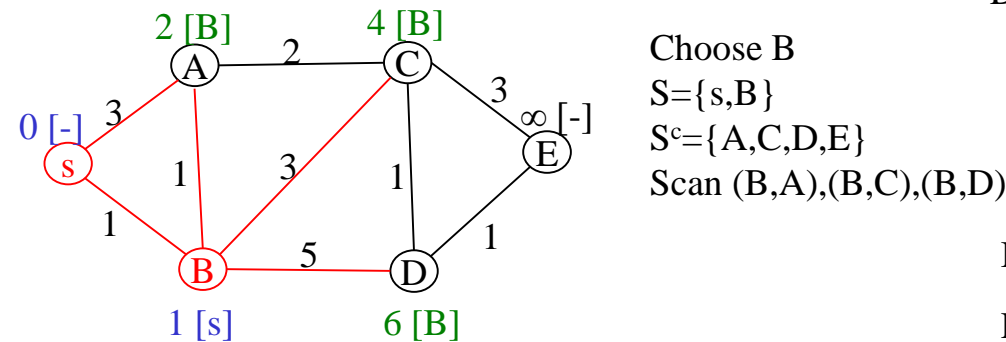
# Binary Heap & Dial's implementation-1



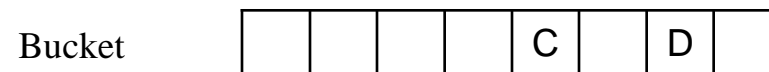
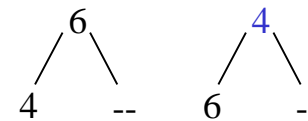
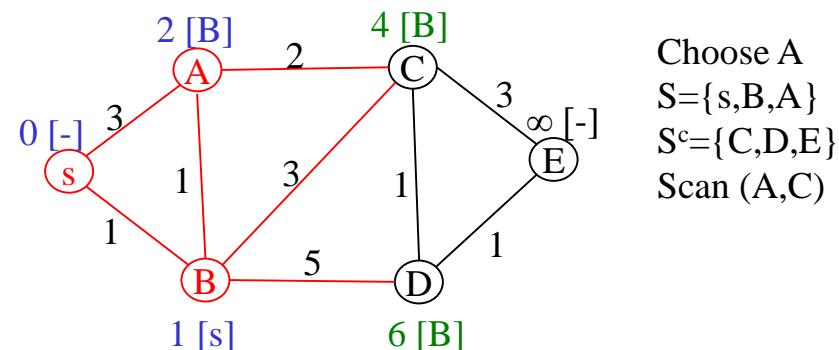
Binary min-heap: parent.key  $\leq$  child.key  
 key: distance label



Distance label 0 1 2 3 4 5 6 7



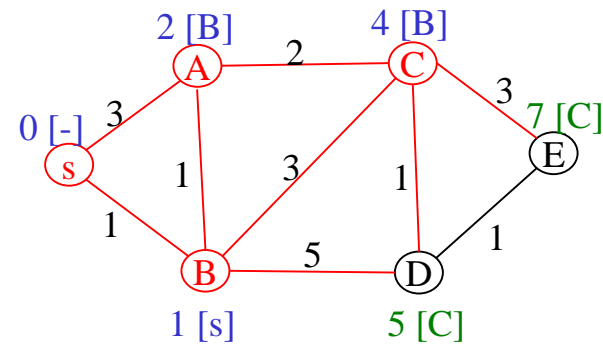
Distance label 0 1 2 3 4 5 6 7



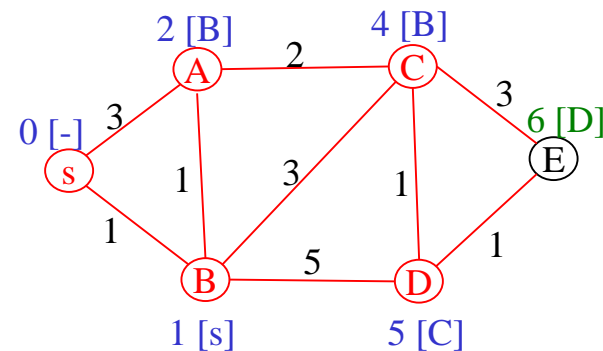
Distance label 0 1 2 3 4 5 6 7

# Binary Heap & Dial's implementation-2

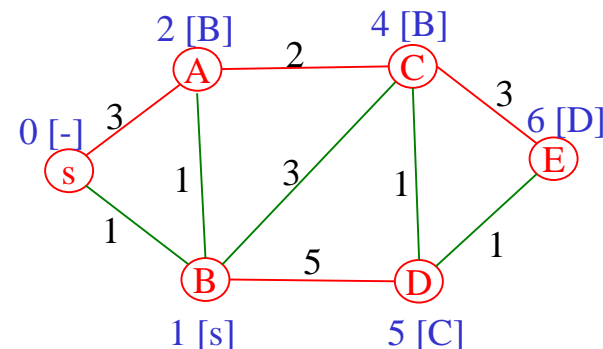
67



Choose C  
 $S = \{s, B, A, C\}$   
 $S^c = \{D, E\}$   
 Scan (C,D), (C,E)

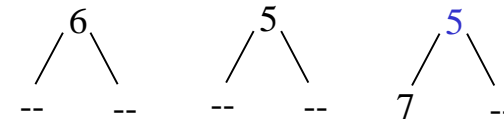


Choose D  
 $S = \{s, B, A, C, D\}$   
 $S^c = \{E\}$   
 Scan (D,E)



Choose D  
 $S = \{s, B, A, C, D, E\}$   
 $S^c = \emptyset$   
 DONE!!

Binary min-heap:  $\text{parent.key} \leq \text{child.key}$

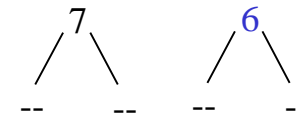


Bucket



Distance label

0 1 2 3 4 5 6 7

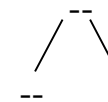


Bucket



Distance label

0 1 2 3 4 5 6 7



Bucket



Distance label

0 1 2 3 4 5 6 7

Trace Paths

s-B-A  
 s-B  
 s-B-C  
 s-B-C-D  
 s-B-C-D-E

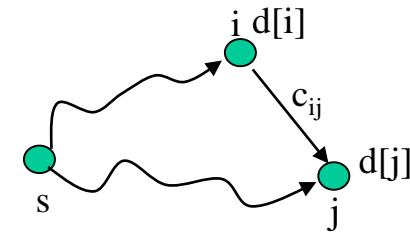
# Triple Comparisons

## Distance Updating:

when we scan vertex  $i$ , we check each outgoing edges  $(i,j)$  as follows:

**if**  $d[j] > d[i] + c_{ij}$  **then**  
 $d[j] = d[i] + c_{ij}; \quad \text{pred}[j] = i;$

such an operation is called a “**triple comparison**”



Remember in the dual form of SSSP

**reduced cost:**  $c_{ij}^\pi = c_{ij} - \pi_i + \pi_j$

**optimal condition:**

$$c_{ij}^\pi \geq 0 \quad \forall (i, j) \in E$$

By defining  $d[i] = -\pi_i$ , the above become

**reduced cost:**  $c_{ij}^\pi = c_{ij} + d[i] - d[j]$

**optimal condition:**

$$c_{ij}^\pi \geq 0 \quad \forall (i, j) \in E \Leftrightarrow d[j] \leq d[i] + c_{ij} \quad \forall (i, j) \in E$$

Thus, triple comparison is an operation to achieve the LP optimal condition.

- All Shortest Path algorithms perform sequences of triple comparisons.



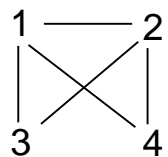
# Number of Paths

How many paths of  $p$  edges between 2 specific vertices?

Thm 4.7 Given a graph  $G$  with vertices  $V$ , edges  $E$

the # paths of  $p$  edges from vertex  $i$  to vertex  $j$  is the  $(i,j)^{\text{th}}$  entry of  $A^p$ , where  $A$  is the adjacency matrix

Ex:



$$A = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \end{bmatrix}, A^2 = \begin{bmatrix} 3 & 2 & 1 & 1 \\ 2 & 3 & 1 & 1 \\ 1 & 1 & 2 & 2 \\ 1 & 1 & 2 & 2 \end{bmatrix}, A^3 = \begin{bmatrix} 4 & 5 & 5 & 5 \\ 5 & 4 & 5 & 5 \\ 5 & 5 & 2 & 2 \\ 5 & 5 & 2 & 2 \end{bmatrix}$$

Paths of 3 edges from 3 to 4: 3-1-2-4, 3-2-1-4

$$A_{3,4}^3 = A_{3,1}^1 A_{1,4}^2 + A_{3,2}^1 A_{2,4}^2 = A_{3,1}^1 (A_{1,2}^1 A_{2,4}^1) + A_{3,2}^1 (A_{2,1}^1 A_{1,4}^1)$$

Paths of 3 edges from 4 to 2: 4-1-3-2, 4-1-4-2, 4-2-1-2, 4-2-3-2, 4-2-4-2

$$\begin{aligned} A_{4,2}^3 &= A_{4,1}^1 A_{1,2}^2 + A_{4,2}^1 A_{2,2}^2 = A_{4,1}^1 (A_{1,3}^1 A_{3,2}^1 + A_{1,4}^1 A_{4,2}^1) \\ &\quad + A_{4,2}^1 (A_{2,1}^1 A_{1,2}^1 + A_{2,3}^1 A_{3,2}^1 + A_{2,4}^1 A_{4,2}^1) \end{aligned}$$

# Path Algebra

**Path algebra:** an ordered semiring  $(S, \oplus, \otimes, e, \emptyset, \leq)$  with  $S = \mathbb{R} \cup \{\infty\}$  with 2 binary operations defined as

	Generalized addition	Generalized multiplication	Unit element	Null element
<b>Original sense</b>	$a \oplus b$	$a \otimes b$	$e$	$0$
<b>Path algebra</b>	$\min\{a, b\}$	$a + b$	$0$	$\infty$

**Measure matrix:**  $C$ , where  $C_{ij}$  represents the length of edge  $(i, j)$   
 $C_{ii} = 0$  for all  $i$ ;  $C_{ij} = \infty$ , if there exists no edge  $(i, j)$

$$C^2 = C \otimes C,$$

$$C^2_{i,j} = (C_{i,1} \otimes C_{1,j}) \oplus (C_{i,2} \otimes C_{2,j}) \oplus \dots \oplus (C_{i,|V|-1} \otimes C_{|V|-1,j}) \oplus (C_{i,|V|} \otimes C_{|V|,j})$$

$$= \min\{C_{i,1} + C_{1,j}, C_{i,2} + C_{2,j}, \dots, C_{i,|V|-1} + C_{|V|-1,j}, C_{i,|V|} + C_{|V|,j}\} \leftarrow \begin{array}{l} |V| \text{ addition} \\ |V|-1 \text{ comparison} \end{array}$$

= length of a shortest path from  $i$  to  $j$  passing at most 2 edges

# Compute APSP by $C^{|V|-1}$

$C_{i,j}^k$  = length of a shortest path from  $i$  to  $j$  passing at most  $k$  edges

Every simple path between 2 vertices at most contains  $|V|-1$  edges

Thus

$C_{i,j}^{|V|-1}$  = length of a shortest path from  $i$  to  $j$

By computing matrix  $C^{|V|-1}$ , we can obtain ALL-ALL shortest paths

How to efficiently compute  $C^{|V|-1}$ ?

$$C \rightarrow C^2 \rightarrow C^4 \rightarrow \dots \rightarrow C^{2^{\lceil \log(|V|-1) \rceil}}$$

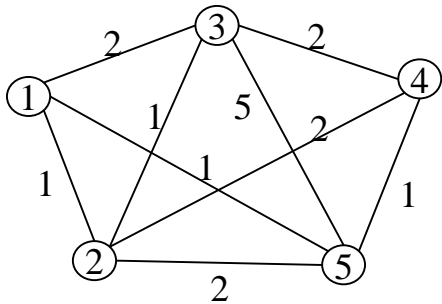
Totally requires  $\lceil \log(|V|-1) \rceil$  times of matrix multiplication

Each matrix multiplication takes  $O(|V|^3)$  ←  $O(|V|^2 * |V|)$  since there are  $|V|^2$  entries, each takes  $O(|V|)$

Thus totally takes  $O(|V|^3 \log |V|)$  time

There are many fast-matrix-multiplication techniques that can be used to improve this complexity, but such algorithms are complicated.

# Example of Matrix Multiplication



$$C^{2^{\lceil \log(5-1) \rceil}} = C^{2^2} = C^4$$

$$C = \begin{bmatrix} 0 & 1 & 2 & \infty & 1 \\ 1 & 0 & 1 & 2 & 2 \\ 2 & 1 & 0 & 2 & 5 \\ \infty & 2 & 2 & 0 & 1 \\ 1 & 2 & 5 & 1 & 0 \end{bmatrix}, C^2 = \begin{bmatrix} 0 & 1 & 2 & 2 & 1 \\ 1 & 0 & 1 & 2 & 2 \\ 2 & 1 & 0 & 2 & 3 \\ 2 & 2 & 2 & 0 & 1 \\ 1 & 2 & 3 & 1 & 0 \end{bmatrix}, C^4 = \begin{bmatrix} 0 & 1 & 2 & 2 & 1 \\ 1 & 0 & 1 & 2 & 2 \\ 2 & 1 & 0 & 2 & 3 \\ 2 & 2 & 2 & 0 & 1 \\ 1 & 2 & 3 & 1 & 0 \end{bmatrix}$$

$$C_{1,2}^2 = \min\{0+1, 1+0, 2+1, \infty+2, 1+2\} = 1$$

$$C_{1,4}^2 = \min\{0+\infty, 1+2, 2+2, \infty+0, 1+1\} = 2$$

$$C_{3,5}^2 = \min\{2+1, 1+2, 0+5, 2+1, 5+0\} = 3$$

# Floyd-Warshall Algorithm

Let  $D$  be a  $n \times n$  distance matrix, whose entry  $D_{ij}$  is initially set to be  $C$

final  $D_{ij}$  denotes the length of the shortest path from  $i$  to  $j$

$PRED$  be a  $n \times n$  predecessor matrix, whose entry  $PRED_{ij}$  is initially set to be  $i$

final  $PRED_{ij}$  denotes the predecessor of  $j$  on the path from  $i$  to  $j$

Triple comparison :  $d_{ij} = \min\{d_{ij}, d_{ik} + d_{kj}\}$

**Claim:**

if we perform triple comparisons for successive  $k=1, 2, \dots, |V|$

then the final  $d_{ij}$  equals the length of the shortest path from  $i$  to  $j$

Floyd-Warshall Algorithm is based on the above claim

**begin**

initialization:  $D := C$ ;  $PRED_{ij} := i$  for each  $(i, j)$ ;

**for**  $k=1$  to  $|V|$  **do**

**for**  $i=1$  to  $|V|$  **do**

**for**  $j=1$  to  $|V|$  **do**

**if**  $d_{ij} > d_{ik} + d_{kj}$  **then**

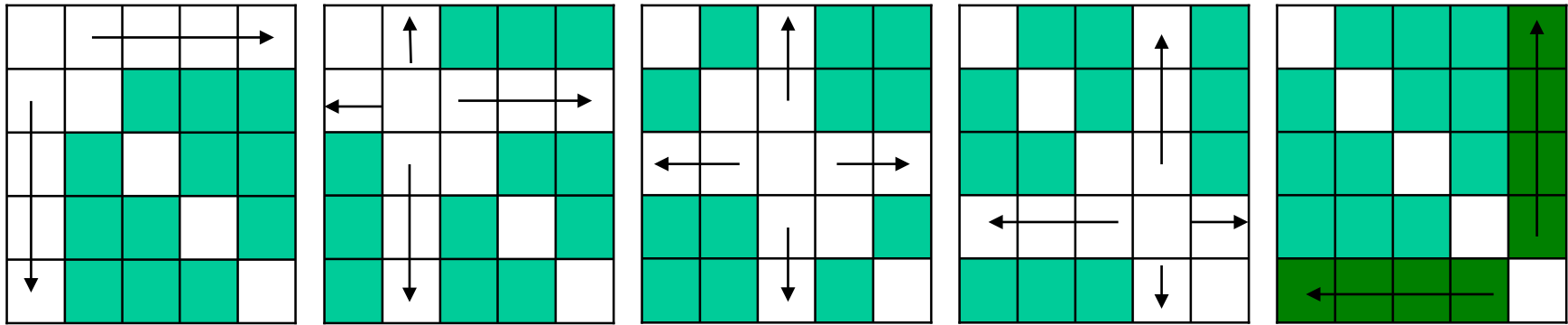
$d_{ij} = d_{ik} + d_{kj}$ ;  $PRED_{ij} = PRED_{kj}$  ;

**end**

# Properties of Floyd-Warshall Algorithm

Let  $D_{ij}^k$  denote the entry  $D_{ij}$  after the  $k^{\text{th}}$  iteration of Floyd-Warshall algorithm

$D_{ij}^k$  is the length of shortest path from  $i$  to  $j$  with **intermediate vertices** in  $\{1, 2, \dots, k-1, k\}$

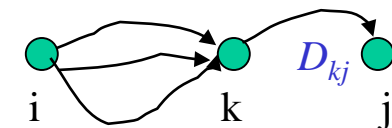


Totally  $O(|V|^3)$  operations, most efficient for complete graph

**Detect negative cycle:** if  $D_{ii}^k < 0$ , there is a neg. cycle with intermediate nodes in  $\{1, \dots, k\}$

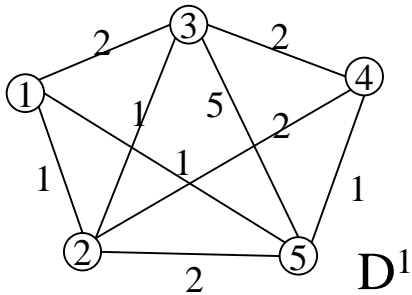
Dynamic Programming:  
Bellman's Equation: 
$$D_{ij} = \begin{cases} \min_{k \neq i, j} (C_{ik} + D_{kj}) & , i \neq j \\ 0 & , i = j \end{cases}$$

$$\Leftrightarrow D = C \otimes D \oplus I_n$$



**Floyd-Warshall algorithm  $\Leftrightarrow$  Gauss Jordan method**

# Example of APSP problems



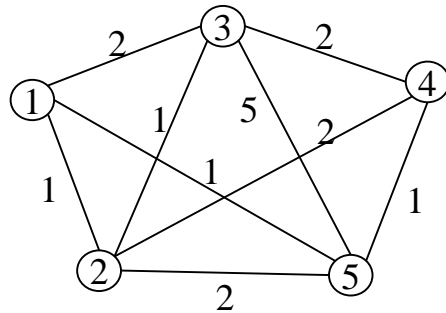
$D_k$ : distance matrix after the  $k^{\text{th}}$  iteration

$\text{PRED}_k$ : predecessor matrix after the  $k^{\text{th}}$  iteration

After the  $|V|-1^{\text{th}}$  iteration, the last column & row are already optimal

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# Trace Shortest Paths by PRED matrix



```

Trace_path(PRED,i,j)
begin
  k=j;
  output k;
  while  $\text{PRED}_{ik} \neq i$  do
    k= $\text{PRED}_{ik}$ ;
    output k
  end while
  output i
end

```

PRED<sup>5</sup>

1	1	1	5	1
2	2	2	2	2
3	3	3	3	1
5	4	4	4	4
5	5	1	5	5

trace path 1 → 4: i=1, j=4

k=j=4

output 4

Since  $\text{PRED}_{14}=5 \neq 1$

k =  $\text{PRED}_{14}=5$

output 5

Since  $\text{PRED}_{15}=1 = 1$

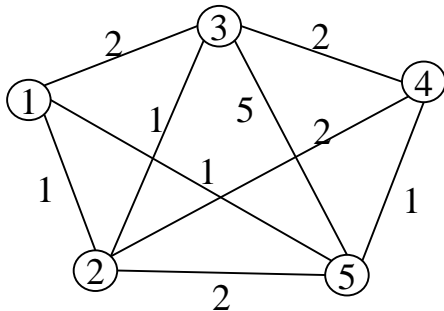
output 1

Thus we obtain  $4 \leftarrow 5 \leftarrow 1$  as the shortest path



# Coloring a Graph

How many colors do we need to color each vertex such that its adjacent vertices have different color?

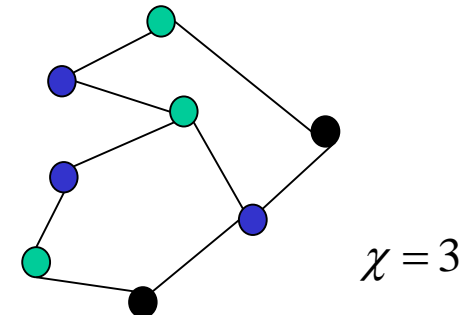
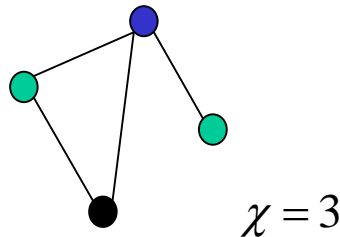
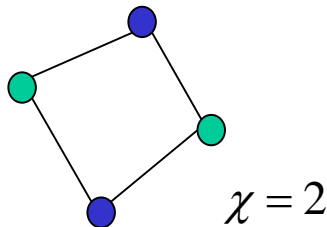


$|V|$  vertices  $\rightarrow$  we can use  $|V|$  or more colors

- Can we use fewer colors?
- How to determine whether  $k$  colors are enough or not?
- Can we do it efficiently?

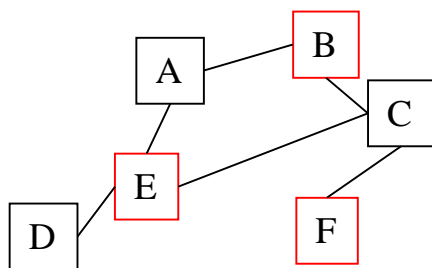
- If we can color a graph with  $k$  colors, we say the graph is **k-colorable**
- The **smallest**  $k$  for which the graph is  $k$ -colorable is called the **chromatic number** of the graph, denoted by  $\chi(G)$

E.g.



# Colors on Even cycle, Odd cycle

Ex: Jim has 6 children: {A,B,C,D,E,F}. Among these 6 kids,  
 C fights with B,F,E; E fights (besides C) with A,D; A fights with B  
 How many rooms do Jim at least need to put all 6 kids so that there will be no fights inside each room?



At least 2 colors

→ 2 rooms: {A,C,D}, {B,E,F}

Observation:

- If  $G$  is  $k$ -colorable, then  $G$  is  $(k+1)$ -colorable
- Isolated vertices are 1-colorable
- Bipartite graphs are 2-colorable
- A complete graph  $K_n$  has chromatic number  $n$
- A cycle of even # of vertices (even cycle) can be colored with 2 colors
- An odd cycle can be colored with 3 colors
  - if a graph contains odd cycles, then it can not be 2-colorable

# 2-colorable Graphs

## Thm 4.8

A graph  $G$  is 2-colorable iff it contains no odd cycle

Pf:  $\rightarrow$ : if a graph contains odd cycles, then it can not be 2-colorable (known already)

$\leftarrow$ : without loss of generality (w.l.o.g.), we assume  $G$  is connected (i.e. the discussion can be applied to any component of  $G$ ).

Suppose  $G$  has no odd cycle. Choose any vertex  $s$ , apply BFS algorithm starting from  $s$ . Each vertex  $j$  will have a distance label  $d[j]$ . Let's color all vertices with even distance label by red color, and blue color, otherwise. Now we want to show any adjacent vertices have different color by contradiction.

By the BFS algorithm, we know that for any adjacent vertices  $i$  and  $j$ ,  $|d[i]-d[j]| = 0$  or  $1$ . Suppose there exists an edge between 2 vertices, say,  $i$  &  $j$ , of the same color, which means  $i$  &  $j$  are adjacent and  $d[i]=d[j]$ . Using the predecessor info by the BFS algorithm, we can trace both the path backwards from  $i$  to  $s$ , and from  $j$  to  $s$  to identify the first common "ancestor" vertex  $W$ . Thus we identify a cycle from  $i$  to  $W$  to  $j$  with edges =  $(d[j]-d[W])+(d[i]-d[W])+1=2(d[j]-d[W])+1$ , which is odd.

This means, if  $\text{color}[i]=\text{color}[j]$ , we will have an odd cycle  $\rightarrow \leftarrow$

Therefore, using BFS algorithm to do the 2-coloring on a graph  $G$  that has no odd cycle, any adjacent vertices will have different color.

# Algorithms to find Chromatic number

There exists **NO** efficient algorithm to find the chromatic number for general graphs, except for the 2-colorable graphs.

The proof of Thm 4.8 gives a polynomial-time algorithm (i.e. BFS) to

- Find a 2-coloring, if it exists
- Find an odd cycle, if the 2-coloring does not exist

For general graphs, there are theorems to give upper bounds on  $\chi(G)$

**Thm 4.9** (by Brooks)

if every vertex of  $G$  has degree at most  $d$ , then  $G$  is  $(d+1)$ -colorable

**Pf:** Trivial if  $G$  has fewer than or equal to  $(d+1)$  vertices.

Suppose the thm holds for a graph  $G$  of  $k$  ( $>d+1$ ) vertices. In a graph  $G$  with  $k+1$  vertices, we can pick any vertex  $v$ , and construct a new graph  $G'$  by deleting  $v$  and all its adjacent edges (at most  $d$  such edges) from  $G$ . By induction hypothesis,  $G'$  is  $(d+1)$ -colorable. Thus we can use  $d+1$  colors to color all of  $v$ 's neighbors. Since  $v$  has at most  $d$  neighbors, we can use  $d$  colors to color all of  $v$ 's neighbors, and color  $v$  by the remaining color.

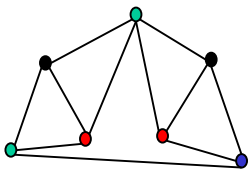
Therefore,  $G$  is still  $(d+1)$ -colorable. This completes the induction.

# More about Coloring

Observation:

if  $G$  contains a  $K_p$  subgraph, then  $G$  is **not**  $(p-1)$ -colorable

Q: if  $G$  does not contain a  $K_p$  subgraph, then  $G$  is  $(p-1)$ -colorable?



**False!**

The graph in the left does not contain a  $K_4$ , but it is NOT 3-colorable

- Chromatic number is **graph isomorphism invariant**

Coloring maps: there are countries on a **planar** map, adjacent countries must be colored by different colors. How many colors are enough?

country  $\Leftrightarrow$  vertex,

country  $i$  is adjacent to country  $j \Leftrightarrow$  draw an edge  $(i,j)$

**Four-Color Thm:**

if  $G$  is a planar graph, then  $\chi(G) \leq 4$  (i.e. at most 4)

*A graph without crossover edges*

Conjectured by Francis Guthrie in 1852, but verified by Kenneth Appel & Wolfgang Haken in 1976

# Exercise on Graph Coloring

Ex1: suppose  $G$  is a graph with 3 vertices. How many ways are there to assign 3 colors to the vertices?  $3^3$

Ex2: show that if a graph with  $n$  vertices has chromatic number  $n$ , then the graph has  $n(n-1)/2$  edges

If not a  $K_n$ , remove any 2 nonadjacent vertices, the remaining graph is  $n-2$  colorable  
(since a graph of  $k$  nodes should be  $k$ -colorable);

then add these 2 vertices back with a new color, which make the chromatic number  $= n-1$ ,  $\rightarrow <$

# Directed Graphs

**Directed graph (digraph):** contains a finite nonempty set  $V$  and a set  $E$  of **ordered pairs** of distinct elements of  $V$ .

**Directed edge  $(i,j)$ :** an edge from vertex  $i$  to vertex  $j$  (not the other way)

**Outdegree of vertex  $v$ :**  $\text{outdeg}(v)$ , # directed edges outgoing from  $v$

**Indegree of vertex  $v$ :**  $\text{indeg}(v)$ , # directed edges incoming to  $v$

**Thm 4.10** in a directed graph  $G=(V,E)$

$$\sum_{v \in V} \text{indeg}(v) = \sum_{v \in V} \text{outdeg}(v) = |E|$$

Adjacency matrix  $A(G)$  & Adjacency lists are defined as before

**Thm 4.11** Let  $A(G)_{ij}$  denotes the  $(i,j)^{\text{th}}$  entry of  $A(G)$

$$\sum_{j=1}^{|V|} A(G)_{ij} = \text{outdeg}(i) \quad \forall i \in V; \quad \sum_{i=1}^{|V|} A(G)_{ij} = \text{indeg}(j) \quad \forall j \in V$$

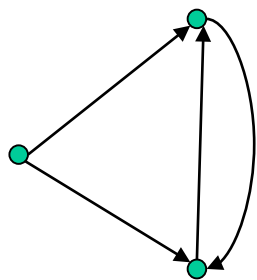
# Directed Multigraphs

**Directed Multigraph:** a Multigraph whose edges are directed

**Thm 4.12**

Every  $u$ - $v$  directed path contains a  $u$ - $v$  simple directed path

A directed multigraph is **strongly connected** if there exists a directed path from any vertex to any other vertex



← Connected, but NOT strongly connected

**Thm 4.13** For a connected directed multigraph  $G$  (with  $|V| \geq 2$ )

- (a) each vertex  $v$  in  $G$  has  $\text{outdeg}(v) = \text{indeg}(v)$  iff  $G$  contains a **directed Euler circuit**
- (b) each vertex in  $G$  has  $\text{outdeg}(v) = \text{indeg}(v)$  except for 2 distinct vertices  $s$  &  $t$  where  $\text{outdeg}(s) = \text{indeg}(s) + 1$ ,  $\text{indeg}(t) = \text{outdeg}(t) + 1$  iff  $G$  contains an **directed Euler path from  $s$  to  $t$**

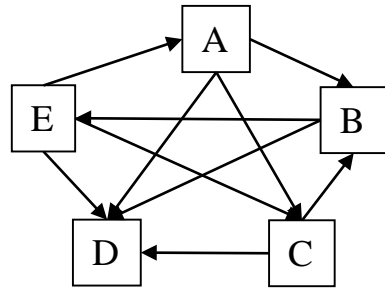


# Tournament

**Tournament:** a digraph  $T$  where for every 2 distinct vertices  $u$  and  $v$  of  $T$ , **exactly one** of  $(u,v)$ ,  $(v,u)$  is an edge

**Score of  $v$  in a tournament:**  $s(v) = \text{outdeg}(v)$

**Score sequence of  $T$ :** list of outdegrees in **nonincreasing** order



Score:  $s(A)=3, s(B)=2, s(C)=2, s(D)=0, s(E)=3$

Score sequence: 3,3,2,2,0

Round-robin competition in sport

A beats BCD, B beats DE, C beats BD, E beats ACD

**find a ranking** → **find a Hamiltonian path**

EACBD is a directed Hamiltonian path

**Thm** Every tournament has a directed Hamiltonian path

A tournament is **transitive** iff whenever  $(u,v)$  &  $(v,w)$  exists, then  $(u,w)$  also exists

**Thm** the following are equivalent

- (1)  $T$  has a unique directed Hamiltonian path
- (2)  $T$  is transitive
- (3) Every player in  $T$  has a different score

# Exercises

p.211 example 4.40

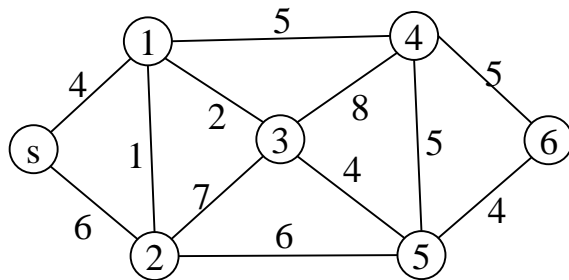
p.213 ex.29,30,31,32

p.214 ex.32,34

p.215 ex.55

p.218 ex.76

Now, do the following new problem set:



Given the graph as left, use Dijkstra's algorithm to compute all the shortest paths starting from vertex s, also trace all these shortest paths by the predecessors.