

Precision Timed Machines

by

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Abstract

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Doctor of Philosophy in Electrical Engineering and Computer Science

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This is my abstract

To my wife Emily Cheung, my parents Char-Shine Liu and Shu-Jen Liu, and everyone else whom I've had the privilege of running into for the first twenty-seven years of my life.

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I would like to thank everyone else that made this possible

Chapter 1

Introduction

Outline

(**Todo: make sure to add in timing anomalies**)

1.1 Background

- Discuss the problem
- show the difficulty in execution time analysis as background

Fig. 1.1 shows an image

1.2 Intro Section Header 2

Here is another header

The remaining chapters are organized as follows. Chapter 3 surveys the related research that has been done on architectures to make them more analyzable. Chapter 2 explains the architecture of PRET including the thread-interleaved pipeline and memory hierarchy, Chapter ??, Chapter 5, Chapter 6,

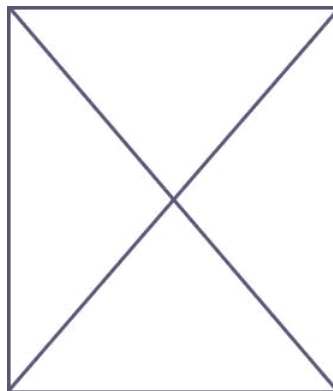


Figure 1.1: Image Placeholder

Chapter 2

Precision Timed Machine

In this chapter we present the PREcision Timed (PRET) Machine. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Nam eu est neque. Suspendisse mollis gravida mi in blandit. Vivamus porta libero at massa sagittis pellentesque. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Sed nibh magna, facilisis ac dapibus vitae, tincidunt nec magna. Morbi ac neque in est porta placerat. Duis viverra blandit ante, ut scelerisque arcu sodales vel. Aenean sapien erat, tincidunt malesuada accumsan a, eleifend feugiat leo. Maecenas auctor nulla non purus fringilla nec hendrerit massa facilisis. Donec vel diam nibh. Maecenas sed massa non mauris faucibus condimentum et et metus. Fusce placerat, dolor et adipiscing suscipit, orci lectus fringilla mauris, a tincidunt dolor mauris id ligula. Vestibulum luctus, dolor in bibendum accumsan, leo turpis suscipit enim, et hendrerit odio metus eget dolor. Morbi in lectus massa.

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2.1 Architecture Design (Todo: Use repeatability? predictability? analyzability?)

It is important to understand why and how current architectures fall short of timing predictability and repeatability. Thus, before we present the PRET architecture, we briefly discuss common architectural designs and their effects on execution time, and point out some key issues and trade-offs when designing architectures for predictable and repeatable timing. The introduction of pipelining vastly improved architecture average-case performance. It allowed faster clock speeds for processors, and improved the instruction throughput compared to single cycle architectures. Pipelining the datapath allowed subsequent instructions to begin execution while prior instructions were still being completed. Ideally each processor cycle one instruction completes and leaves the

pipeline as another enters and begins execution. In reality, different pipeline hazards occur which reduce the throughput and create stalls in the pipeline. The handling of these hazards an important factor to the timing predictability and repeatability of the architecture design. To illustrate this point, we discuss basic hardware additions proposed to reduce performance penalty from hazards, and their effects on execution time and predictability.

We began by looking at how control-flow changes are handled in pipelines. Branches cause control-flow hazards in the pipeline; the instruction after the branch, which should be fetched the next cycle, is unknown until after the branch instruction is completed. Conditional branches adds more complexity(**Todo: ?**), as whether or not the branch is taken depends on an additional condition bit. The code segment in figure 2.1 shows assembly instructions from the ARM instruction set architecture (ISA) that implement the Greatest Common Divisor (GCD) algorithm using conditional branch instructions *beq* (branch equal) and *blt* (branch less than). Conditional branch instructions in ARM branch based on conditional flags that are set with special compare instructions(**Todo: citation**).

The *cmp* instruction is one such compare instruction that subtracts two registers and updates the conditional flags according to the results. The GCD implementation shown in the code uses this mechanism to determine whether to continue or end the algorithm. Figure 2.2 show two ways branches are commonly handled in a single-threaded pipeline.

A simple but effective way of handling control-flow hazards is by simply stalling the pipeline until the branch is resolved. This is shown on the left of the figure. Two pipeline delays (or bubbles) are inserted after each branch instruction to wait until address calculation is completed. The dependencies between instructions are also drawn out to make clear why the pipeline bubbles are necessary. In order for the *blt* instruction to be fetched, its address must be calculated during the execution stage of the *beq* instruction. At the same time, because *beq* is a conditional branch, whether or not the branch is taken depends on the *cmp* instruction. It is assumed that the architecture contains some forwarding circuitry, so the address calculations could be used before the branch instruction is committed. The performance penalty associated with this method is the pipeline delays inserted to wait for the branch address calculation to complete. Some architectures enforce the compiler to insert one or more non-dependent instructions after a branch that is always executed before the change in control-flow of the program. These are called branch delay slots and can mitigate the branch penalty, but become less effective as pipelines grow deeper (have more pipeline stages) in design. In attempt to remove the need of inserting pipeline bubbles, branch predictors were invented to predict the results of a conditional branch before it is resolved(**Todo: citation**). Branch predictors have been heavily researched. Many clever branch predictors have been proposed, and they can accurately predict branches up to 93.5%(**Todo: citation**). With a branch predictor, the pipeline fetches the next instruction based upon the results of the branch prediction, and continues to exe-

```
gcd:
    cmp r0, r1      # compare r0 and r1
    beq end         # branch if r0 == r1
    blt less        # branch if r0 < r1
    sub r0, r0, r1   # r0 = r0 - r1
    b    gcd        # branch to label gcd
less:
    sub r1, r1, r0   # r1 = r1 - r0
    b    gcd        # branch to label gcd
end:
    add r1, r1, r0   # r1 = r1 + r0
    mov r3, r1       # r3 = r1
```

Figure 2.1: Sample code for GCD with conditional branches

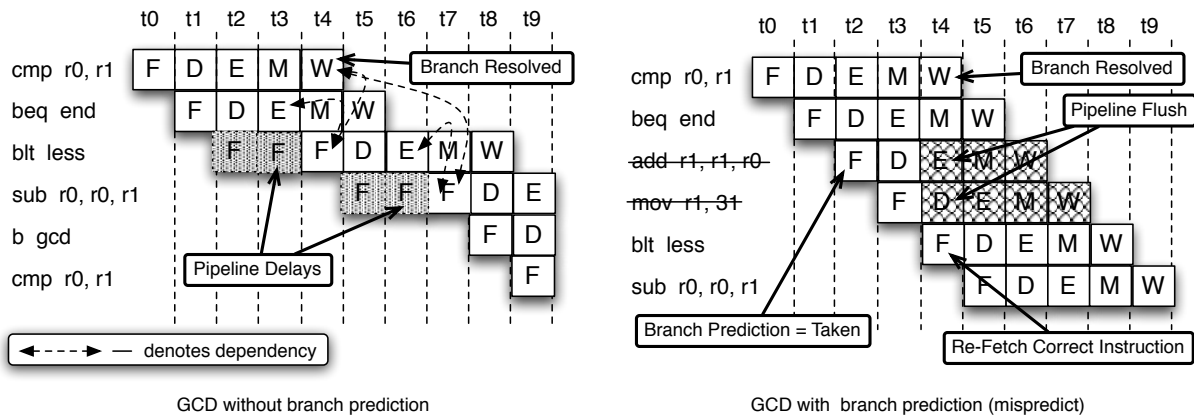


Figure 2.2: Handling of conditional branches in single threaded pipelines

cute speculatively. If the prediction was correct, no penalty occurs for the conditional branch, and execution simply continues. However, when a mispredict occurs, then the misfetched instructions need to be voided and the correct instructions need to be refetched into the pipeline for execution. The right subfigure of figure 2.2 shows the execution of GCD with a branch misprediction. After the *beq* instruction, the branch is predicted to be taken, and the *add* and *mov* instructions from the label *end* is directly fetched into execution. When the *cmp* instruction is completed, a misprediction is detected, so the *add* and *mov* instruction are flushed out of the pipeline while the correct instruction *blt* is immediately re-fetched and execution continues. The misprediction penalty is typically the number of stages between fetch and execute, as those cycles are wasted executing instructions from an incorrect execution path. This penalty only occurs on a mispredict, thus branch prediction typically yields better average performance and is preferred for modern architectures. Nonetheless, it is important to understand the effects of branch prediction on execution time.

Typically branch predictors predict branches based upon the history of previous branches encountered. As each branch instruction is resolved, the internal state of the predictor, which stores the branch histories, is updated and used to predict the next branch. This implicitly creates a dependency between branch instructions and their execution history, as the prediction is affected by its history. In other words, the execution time of a branch instruction will depend on the branch results of previous branch instructions. During static execution timing analysis, the state of the branch predictor is unknown because it is often infeasible to keep track of the execution history so far back. There has been work on explicitly modeling branch predictors for execution time analysis (Todo: citation), but the results are (Todo: the results of branch predictor modeling for execution time analysis). As a result, the analysis needs to conservatively account for the potential branch mispredict penalty for each branch, which leads to overestimated execution times. To make matters worse, as architectures grow in complexity, more internal states exist in architectures that could be affected by the speculative execution. For example, cache lines could be evicted when speculatively executing instructions from a mispredicted path, changing the state of the cache. This makes a tight static execution time analysis extremely difficult, if not impossible; explicitly modeling all hardware states and their effects together often lead to an infeasible explosion in state space. On the other hand,

although the simple method of inserting pipeline bubbles for branches could lead to more branch penalties, the static timing analysis is precise and straight forward, as no prediction and speculative execution occur. In the case of the ARM ISA, the analysis simply accounts for the branch penalty after every branch. Additional penalties from a conditional branch can be accounted for by simply checking for instructions that modify the conditional flag above the conditional branch. There is a trade-off in this situation between speculative execution for better average performance, or consistent stalling for better predictability. We explicitly showed the simple method of handling branches for the purpose of pointing out this trade-off. Predictability can be achieved if performance is sacrificed. At the same time, average performance can be improved if predictability is sacrificed. The challenge remains to maintain predictability while improving performance, and how pipeline hazards are handled play an integral part of tackling this challenge.

Some data-hazards can be handled by inserting extra hardware into the pipeline without speculation. For example, figure 2.4 shows the execution of the right code segment from figure ?? on pipelines with and without forwarding. Each instruction in this code segment is data-dependent on its previous instruction. Shown in the top of figure 2.4, without any special circuitry, pipeline bubbles are inserted to ensure read-after-write hazards are handled cor-

rectly. The pipeline forwarding circuitry consists of backwards paths for data from different pipeline stages to the inputs of arithmetic units, and multiplexers to select amongst them. They provide a way to directly access computation results from the previous instruction before it commits. The pipeline controller dynamically detects whether a data-dependency exists, and changes the selection bits to the multiplexers accordingly so the correct operands are used. The bottom of figure 2.4 shows the execution with forwarding in the pipeline. No pipeline bubbles are needed for the first *sub* instruction and *ld* instruction, as the results they depend on can be computed in one cycle by the ALU, and forwarded through the forwarding paths. Stalls are still inserted for the the second *sub* instruction, as it is waiting upon the results of a memory operation. The memory access latency in the figure is arbitrarily chosen to be 5 cycles for illustrative purposes, but obtaining the actual access latencies of memory operations is a complicated subject which is addressed in section 2.1.2. We can thus see that forwarding can address the data-dependencies caused by pipelining – the read-after-write of register computations. However, they cannot address the data-dependencies caused by other long latency operations such as memory operations, so pipeline stalls are still needed. Notice that although there is dynamic execution in the pipeline forwarding circuitry, it is actually possible to statically predict the execution time accurately. The logic in the pipeline controller that enables and selects the correct forwarding bits only needs to check a small set of previous instructions to detect data-dependencies. Thus, static execution time analysis can detect forwarding by simply checking a short window of previous instructions to account for stalls accordingly. (Todo: find papers to back this up) The internal state of the branch predictor on the other hand is dependent on branch histories which may have happened arbitrarily long ago in the execution sequence,

Multithreaded architectures were introduced to improve instruction throughput over instruction latency. The architecture optimizes thread-level parallelism over instruction-level paral-

add	r0, r1, r2	# r0 = r1 + r2
sub	r1, r0, r1	# r1 = r0 - r1
ldr	r2, [r1]	# r2 = mem[r1]
sub	r0, r2, r1	# r0 = r2 - r1
cmp	r0, r3	# compare r0 and r3

Figure 2.3: Sample code with read-after-write dependencies

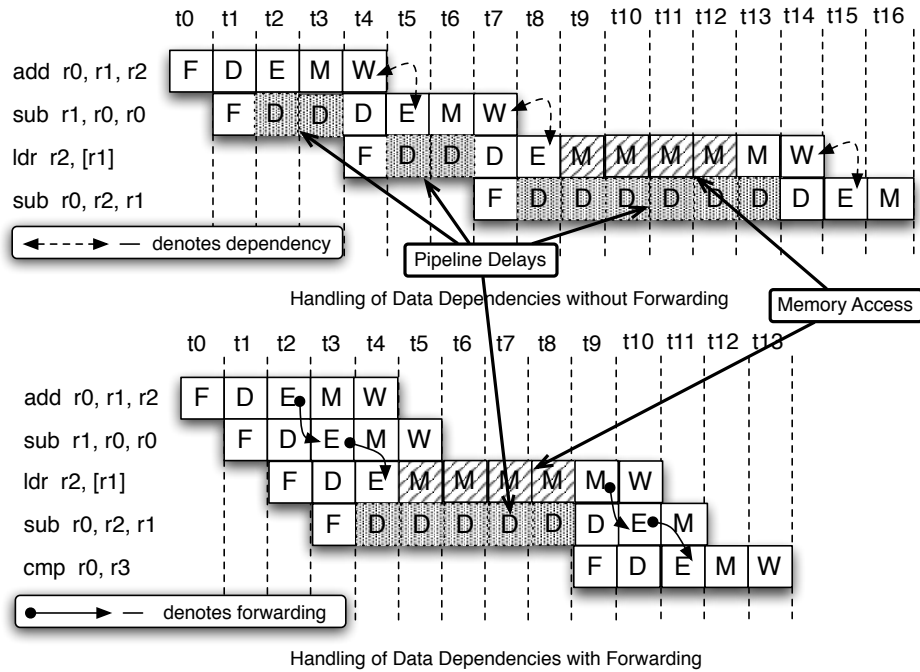


Figure 2.4: Handling of data dependencies in single threaded pipelines

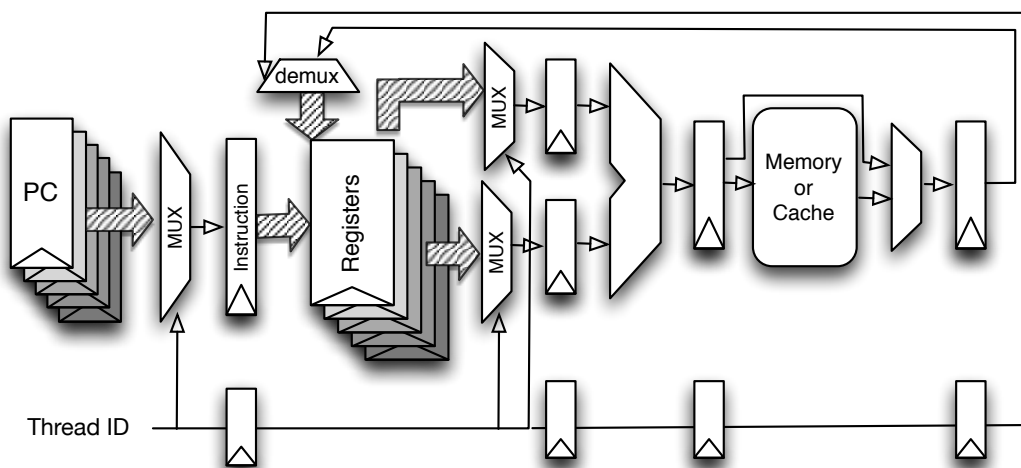


Figure 2.5: Simple Multithreaded Pipeline

lelism to improve performance. Multiple hardware threads are introduced into the pipeline to fully utilize thread-level parallelism. When one hardware thread is stalled, another hardware thread can be fetched into the pipeline for execution to avoid stalling the whole pipeline. To lower the context switching overhead, the pipeline contains physically separate copies of hardware thread states, such as registers files and program counters etc, for each hardware thread. Figure 2.5 shows a architectural level view of a simple multithreaded pipeline. It contains 5 hardware threads, so it has 5 copies of the Program Counter (PC) and Register files. Once a hardware thread is executing in the pipeline, its corresponding thread state can be selected by signaling the correct selection bits to the multiplexers. The rest of the pipeline remains similar to a traditional 5 stage pipeline as introduced in Hennessy and Patterson (Todo: citation). The extra copies of the thread state and the multiplexers used to select them thus contribute to most of the hardware additions needed to implement hardware multithreading.

Ungerer et al. [22] surveyed different multithreaded architectures and categorized them based upon the (Todo: thread selection?) policy and the execution width of the pipeline. The thread selection policy is the context switching scheme used to determine which threads are executing, and how often a context switch occurs. Coarse-grain policies manage hardware threads similar to the way operation systems manage software threads. A hardware thread gain access to the pipeline and continues to execute until a context switch is triggered. Context switches occur less frequently via this policy, so less hardware threads are required to fully utilize the processor. Different coarse-grain policies trigger context switches with different events. Some trigger on dynamic events, such as cache miss or interrupts, and some trigger on static events, such as specialized instructions. Fine-grain policies switch context much more frequently – usually every processor cycle. Both coarse-grain and fine-grain policies can also have different hardware thread scheduling algorithms that are implemented in a hardware thread scheduling controller to determine which hardware thread is switched into execution. The width of the pipeline refers to the number of instructions that can be fetched into execution in one cycle. For example, superscalar architectures have redundant functional units, such as multipliers and ALUs, and can dispatch multiple instructions into execution in a single cycle. Multithreaded architectures with pipeline widths of more than one, such as Simultaneous Multithreaded (SMT) architectures, can fetch and execute instructions from several hardware threads in the same cycle.

Multithreaded architectures typically bring additional challenges to execution time analysis of software running on them. Any timing analysis for code running on a particular hardware thread needs to take into account not only the code itself, but also the thread selection policy of the architecture and sometimes even the execution context of code running on other hardware threads. For example, if dynamic coarse-grain multithreading is used, then a context switch could occur at any point when a hardware thread is executing in the pipeline. This not only has an effect on the control flow of execution, but also the state of any hardware that is shared, such as caches or branch predictors. Thus, it becomes nearly impossible to estimate execution time without knowing the exact execution state of other hardware threads and the state of the thread scheduling controller. However, it is possible to for multithreaded architectures to fully utilize thread-level parallelism while still maintaining timing predictability. Thread-interleaved pipelines use a fine-grain thread switching policy with round robin thread scheduling to achieve high instruction throughput while still allowing precise timing analysis for code running on its hardware threads. Below, its architecture and trade-offs are described and discussed in detail along with examples and explanation of

how timing predictability is maintained. Through the remainder of this chapter, we will use the term “thread” to refer to explicit hardware threads that have physically separate register files, program counters, and other thread states. This is not to be confused the common notion of “threads”, which is assumed to be software threads that is managed by operating systems with thread states stored in memory.

2.1.1 Thread-Interleaved Pipelines

Thread-interleaved pipelines use fine-grain multithreading; every cycle a context switch occurs and a different hardware thread is fetched into execution. The threads are scheduled in a deterministic round robin fashion. This also reduces the context switch overhead down to nearly zero, as no time is needed to determine which thread to fetch next, and barely any hardware is required to implement round robin thread scheduling; a simple $\log(n)$ bit up counter (for n threads) would suffice. Figure 2.6 shows an example execution sequence from a 5 stage thread-interleaved pipeline with 5 threads. The thread-interleaved pipelines shown and presented in this thesis are all of single width. In the figure, time progresses horizontally towards the right, each time step, or column, represents a processor cycle. Each row represents an instruction that is fetched and executed within the pipeline. Each block represents the instruction entering the different stages of the pipeline – fetch (F), decode (D), execute (E), memory (M) and writeback (W). Each color represents instructions from different hardware threads. We can observe from the figure that each time step an instruction from a different hardware thread is fetched into execution and the hardware threads are fetched in a round robin order. At time step 4, the processor is completely filled, and each pipeline stage is occupied by a different hardware thread. This remains the same for time step 5, 6, 7 and so on. The fine-grained thread interleaving and the round robin scheduling combine to form this unique property of thread-interleaved pipelines, which provides the basis for a timing predictable architecture design.

For thread-interleaved pipelines, if there are, at a minimum, the same number of threads as there are pipeline stages, then the data-dependencies that were caused from pipelining no longer exist. We will use the term “full” thread-interleaved pipelines if there exists at least the same number of threads in the pipeline as pipeline stages. Dependencies arise when an instruction needs data from another instruction that is currently executing in the pipeline and has not yet completed its execution. As illustrated in figure 2.6, instructions in a full thread-interleaved pipeline can never be dependent upon any other instruction that’s currently in executing in the pipeline, because each stage of the pipeline is occupied by an instruction from a different thread. In other words, an instruction will always commit before the next instruction from the same thread is fetched, thus no data-dependency within instructions can occur.

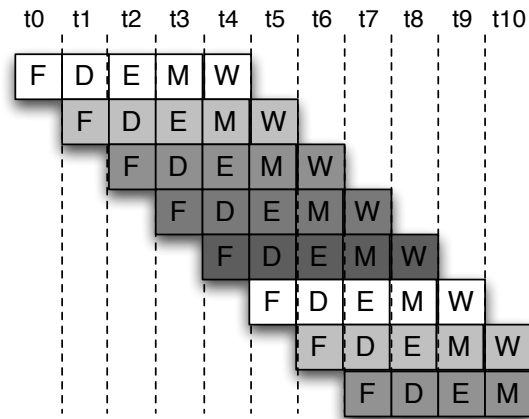


Figure 2.6: Sample execution sequence of a thread-interleaved pipeline with 5 threads and 5 pipeline stages

For instructions that take multiple cycles, a replay mechanism is used so the round robin thread scheduling is preserved and no interference is introduced between the threads. When a multi-cycle instruction is fetched into the pipeline from a thread, it executes as any instruction. As the instruction goes through the pipeline, no results are committed, but instead its state is saved in a hardware thread control block and does not increment the program counter for this thread. When an instruction fetch from this thread occurs, the same instruction is dispatched into the pipeline to continue its execution. If it still has not completed its execution, then the program counter for this thread is again not incremented and the same instruction is dispatched, until it is completed. For instructions that take multiple cycles due to limitations of the pipeline design, this mechanism makes sense. Instructions that do 64-bit operations on a 32-bit pipeline datapath for example falls into this category. In order to abide to the round-robin thread scheduling, the thread simply saves the instruction state and continues execution when it gains access to the pipeline. However, for other multi-cycle instructions, such as memory operations, this mechanism might seem counter intuitive. These instructions require multiple cycles because data is required from other hardware components that have longer access latencies. Memory operations or floating point operations are categorized into such instructions because they are waiting for data from main memory access or computation results from floating point units. Often times multithreaded architectures mark these threads inactive and the thread is not rescheduled until the data is ready. This is done to maximize the throughput of the pipeline, since threads waiting for data from other hardware components cannot make any progress until the data is returned. However, this leads to unpredictable timing behaviors in the threads. When threads are scheduled and unscheduled dynamically, the other threads in the pipeline would dynamically execute more or less frequently depending on which threads are active and inactive. This greatly complicates any timing analysis on the software running on each thread as the execution frequency of the threads would depend on the execution of other threads. Thus, our thread-interleaved pipeline does not mark threads inactive, but simply replays the instruction from the thread. The effects of latency hiding is still present, as other threads continue to progress while one thread is replaying its multi-cycle instruction.

Care must also be taken when adding datapaths that take multiple cycles, or else the interference introduced could easily disrupt the timing analysis of threads. If the added datapath isn't able to support pipelined or simultaneous operations, then it will introduce contention amongst the threads. For example, in figure 2.7 we show the effects of adding a non-pipelined floating point divider that takes 20 cycles to execute. As one thread executes a floating-point division instruction, any other thread that also executes a floating-point division must now wait until the first instruction finishes. If other threads also executes the same instruction, then queuing mechanisms must be introduced, for threads that are contending for the floating-point divider. This would greatly complicate the timing analysis, as the execution time of floating-point division instructions now depend on the execution context of other threads. Pipelining the floating-point divider would increase the throughput at the cost of area and latency. However, by pipelining the floating-point divider unit, each thread that executes a floating-point division can now access it without contention. The replay mechanism also hides the long latency of the instruction, and benefits from the improved latency. Because there is no contention, the timing analysis of floating-point operations are now trivial and predictable.

In summary, blah blah blah...

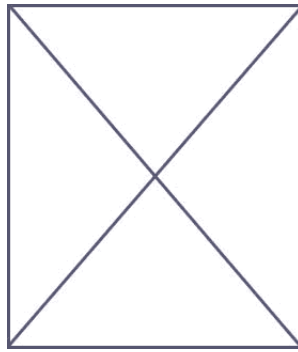


Figure 2.7: None Pipelined Floating Unit

2.1.2 Memory System

2.2 Implementation

2.2.1 PTARM Simulator

2.2.2 PTARM VHDL Softcore

2.2.3 Worst Case Execution Time Analysis

Chapter 3

Related Work

3.1 Architectural Modifications

Fig. 3.1 shows an image

3.2 Related Section Header 2

Here is another header

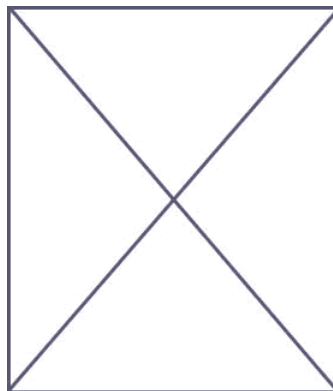


Figure 3.1: Image Placeholder

Chapter 4

Programming Models

Intro text here

4.1 PRET Programming model Section Header

Fig. 4.1 shows an image

4.2 Pret Programming model Section Header 2

Here is another header

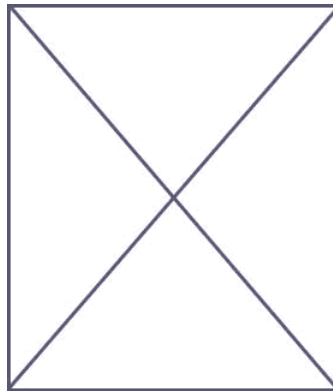


Figure 4.1: Image Placeholder

Chapter 5

Applications

5.1 Eliminating Side-Channel-Attacks

Encryption algorithms are based on strong mathematical properties to prevent attackers from deciphering the encrypted content. However, their implementations in software naturally introduce varying run times because of data-dependent control flow paths. Timing attacks [14] exploit this variability in cryptosystems and extract additional information from executions of the cipher. These can lead to deciphering the secret key. Kocher describes a timing attack as a basic signal detection problem [14]. The “signal” is the timing variation caused by the key’s bits when running the cipher, while “noise” is the measurement inaccuracy and timing variations from other factors such as architecture unpredictability and multitasking. This signal to noise ratio determines the number of samples required for the attack – the greater the “noise,” the more difficult the attack. It was generally conceived that this “noise” effectively masked the “signal,” thereby shielding encryption systems from timing attacks. However, practical implementations of the attack have since been presented [6, 9, 25] that clearly indicate the “noise” by itself is insufficient protection. In fact, the architectural unpredictability that was initially believed to prevent timing attacks was discovered to enable even more attacks. For example, computer architects use caches, branch predictors and complex pipelines to improve the average-case performance while keeping these optimizations invisible to the programmer. These enhancements, however, result in unpredictable and uncontrollable timing behaviors, which were all shown to be vulnerabilities that led to side-channel attacks [3, 20, 2, 8].

In order to not be confused with Kocher’s [14] terminology of *timing attacks* on algorithmic timing differences, we classify all above attacks that exploit the timing variability of software implementation *or* hardware architectures as *time-exploiting attacks*. In our case, a *timing attack* is only one possible *time-exploiting attack*. Other time-exploiting attacks include branch predictor, and cache attacks. Examples of other side-channel attacks are power attacks [17, 13], fault injection attacks [4, 10], and many others [25].

In recent years, we have seen a tremendous effort to discover and counteract side-channel attacks on encryption systems [4, 8, 15, 11, 1, 12, 7, 24, 23]. However, it is difficult to be fully assured that all possible vulnerabilities have been discovered. The plethora of research on side-channel exploits [8, 4, 15, 11, 1, 12, 7, 24, 23] indicates that we do not have the complete set of solutions as more and more vulnerabilities are still being discovered and exploited. Just recently, Coppens et al. [8] discovered two previously unknown time-exploiting attacks on modern x86 pro-

cessors caused by the out-of-order execution and the variable latency instructions. This suggests that while current prevention methods are effective at *defending* against their particular attacks, they do not *prevent* other attacks from occurring. This, we believe, is because they do not address the root cause of time-exploiting attacks, which is that run time variability *cannot be controlled* by the programmer.

It is important to understand that the main reason for time-exploiting attacks is *not* that the program runs in a varying amount of time, but that this variability *cannot be controlled* by the programmer. The subtle difference is that if timing variability is introduced in a controlled manner, then it is still possible to control the timing information that is leaked during execution, which can be effective against time-exploiting attacks. However, because of the programmer’s *lack of control* over these timing information leaks in modern architectures, noise injection techniques are widely adopted in attempt to make the attack infeasible. These include adding random delays [14] or blinding signatures [14, 7]. Other techniques such as branch equalization [18, 25] use software techniques to rewrite algorithms such that they take equal time to execute during each conditional branch. We take a different approach, and directly address the crux of the problem, which is the *lack of control* over timing behaviors in software. We propose the use of an embedded computer architecture that is designed to allow predictable and controllable timing behaviors.

At first it may seem that a predictable architecture makes the attacker’s task simpler, because it reduces the amount of “noise” emitted from the underlying architecture. However, we contend that in order for timing behaviors to be controllable, the underlying architecture *must* be predictable. This is because it is meaningless to specify any timing semantics in software if the underlying architecture is unable to honor them. And in order to guarantee the execution of the timing specifications, the architecture must be predictable. Our approach does not attempt to increase the difficulty in performing time-exploiting attacks, but to eliminate them completely.

In this paper, we present the PREcision Timed (PRET) architecture [16] in the context of embedded cryptosystems, and show that an architecture designed for predictability and controllability effectively eliminates all time-exploiting attacks. Originally proposed by Lickly et al [16], PRET provides instruction-set architecture (ISA) extensions that allow programmers to control an algorithm’s temporal properties at the software level. To guarantee that the timing specifications are honored, PRET provides a predictable architecture that replaces complex pipelines and speculation units with multithread-interleaved pipelines, and replaces caches with software-managed fast access memories. This allows PRET to maintain predictability without sacrificing performance. We target embedded applications such as smartcard readers [15], key-card gates [5], set-top boxes [15], and thumbpods [21], which are a good fit for PRET’s embedded nature. We demonstrate the effectiveness of our approach by running both the RSA and DSA [19] encryption algorithms on PRET, and show its immunity against time-exploiting attacks. This work shows that a disciplined defense against time-exploiting attacks requires a combination of software and hardware techniques that ensure controllability and predictability.

5.2 Real Time 1D Computational Fluid Dynamics Simulator

Here is another header

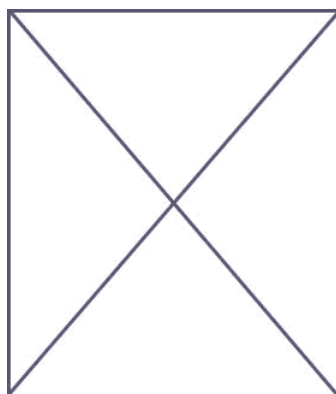


Figure 5.1: Image Placeholder

Chapter 6

Conclusion and Future work

6.1 Summary of Results

This is my summary

6.2 Future Work

Here is what you can keep doing

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