

# Non-Dimensionalization of an Anelastic Stable–Unstable Layer in **Rayleigh**

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## 1 General Equations Solved in **Rayleigh**

In general (with rotation and magnetism), **Rayleigh** evolves in time a set of coupled PDEs for the 3D vector velocity  $\mathbf{u}$ , vector magnetic field  $\mathbf{B}$ , pressure perturbation  $P$  (perturbation away from the “reference” or “background” state), and entropy perturbation  $S$ . Note that  $S$  can also be interpreted as a temperature perturbation in Boussinesq mode. For more details, see **Rayleigh**’s [Documentation](#).

We use standard spherical coordinates  $(r, \theta, \phi)$  and cylindrical coordinates  $(\lambda, \phi, z) = (r \sin \theta, \phi, r \cos \theta)$ , and  $\hat{\mathbf{e}}_q$  in general denotes a position-dependent unit vector in the direction of increasing  $q$ . The full PDE-set is then:

$$\nabla \cdot [f_1(r)\mathbf{u}] = 0, \quad (1.1)$$

$$\nabla \cdot \mathbf{B} = 0, \quad (1.2)$$

$$f_1(r) \left[ \frac{D\mathbf{u}}{Dt} + c_1 \hat{\mathbf{e}}_z \times \mathbf{u} \right] = c_2 f_2(r) S \hat{\mathbf{e}}_r - c_3 f_1(r) \nabla \left[ \frac{P}{f_1(r)} \right], \\ + c_4 (\nabla \times \mathbf{B}) \times \mathbf{B} + c_5 \nabla \cdot \mathbf{D}, \quad (1.3a)$$

$$\text{where} \quad D_{ij} := 2f_1(r)f_3(r) \left[ e_{ij} - \frac{1}{3}(\nabla \cdot \mathbf{u})\delta_{ij} \right] \quad (1.3b)$$

$$\text{and} \quad e_{ij} := \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad (1.3c)$$

$$f_1(r)f_4(r) \frac{DS}{Dt} = -f_1(r)f_4(r)f_{14}(r)u_r + c_6 \nabla \cdot [f_1(r)f_4(r)f_5(r)\nabla S] \\ + c_6 f_{10}(r) + c_8 c_5 D_{ij} e_{ij} + \frac{\eta(r)}{4\pi} |\nabla \times \mathbf{B}|^2, \quad (1.4)$$

$$\text{and} \quad \frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - c_7 \nabla \times [f_7(r)\nabla \times \mathbf{B}], \quad (1.5)$$

where  $D/Dt := \partial/\partial t + \mathbf{u} \cdot \nabla$  denotes the material derivative. The spherically-symmetric, time-independent reference (or background) functions  $f_i(r)$  and constants  $c_j$  set the fluid approximation to be made. **Rayleigh** has built-in modes to set the  $f$ 's and  $c$ 's for single-layer (i.e., either convectively stable or unstable, but not both) Boussinesq or Anelastic spherical shells. More complex systems (coupled stable-unstable systems or alternative non-dimensionalizations) require the user to manually change the  $f$ 's and  $c$ 's. This can be done by editing an input binary file that **Rayleigh** reads upon initialization. The  $c$ 's can also be changed in the ASCII text-file (i.e., the `main_input` file).

## 2 Dimensional Anelastic Equations

We begin by writing down the full dimensional anelastic fluid equations, as they are usually implemented in **Rayleigh** (`reference_type = 2`). This form of the anelastic approximation in a spherical shell is derived in, or more accurately, attributed to (since **Rayleigh** “updates” the background state slightly differently than the cluge-y **ASH** implementation), two common sources: [Gilman & Glatzmaier \(1981\)](#) and [Clune et al. \(1999\)](#). **Rayleigh**'s dimensional anelastic equation-set is:

$$\nabla \cdot [\bar{\rho}(r)\mathbf{u}] = 0, \quad (2.1)$$

$$\nabla \cdot \mathbf{B} = 0, \quad (2.2)$$

$$\begin{aligned} \bar{\rho}(r) \left[ \frac{D\mathbf{u}}{Dt} + 2\Omega_0 \hat{\mathbf{e}}_z \times \mathbf{u} \right] &= \left[ \frac{\bar{\rho}(r)\bar{g}(r)}{c_p} \right] S \hat{\mathbf{e}}_r - \bar{\rho}(r) \nabla \left[ \frac{P}{\bar{\rho}(r)} \right], \\ &+ \frac{1}{\mu} (\nabla \times \mathbf{B}) \times \mathbf{B} + \nabla \cdot \mathbf{D}, \end{aligned} \quad (2.3a)$$

$$\text{where} \quad D_{ij} := 2\bar{\rho}(r)\bar{\nu}(r) \left[ e_{ij} - \frac{1}{3}(\nabla \cdot \mathbf{u})\delta_{ij} \right] \quad (2.3b)$$

$$\text{and} \quad e_{ij} := \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad (2.3c)$$

$$\begin{aligned} \bar{\rho}(r)\bar{T}(r) \frac{DS}{Dt} &= -\bar{\rho}(r)\bar{T}(r) \frac{d\bar{S}}{dr} u_r + \nabla \cdot [\bar{\rho}(r)\bar{T}(r)\bar{\kappa}(r)\nabla S] \\ &+ \bar{Q}(r) + D_{ij}e_{ij} + \frac{\bar{\eta}(r)}{\mu} |\nabla \times \mathbf{B}|^2, \end{aligned} \quad (2.4)$$

$$\text{and} \quad \frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \nabla \times [\eta(r)\nabla \times \mathbf{B}]. \quad (2.5)$$

Here, the thermal variables  $\rho$ ,  $T$ ,  $P$ , and  $S$  refer to the density, temperature, pressure, and entropy (respectively). The overbars denote the spherically-symmetric, time-independent background state. The lack of an overbar on a thermal variable indicates the (assumed small) perturbation from the background (for the entropy,  $S/c_p$  is assumed small).

Other background quantities that appear are the gravity  $\bar{g}(r)$ , the momentum, thermal, and magnetic diffusivities  $[\bar{\nu}(r), \bar{\kappa}(r), \text{ and } \bar{\eta}(r)]$ , respectively, the internal heating or cooling  $\bar{Q}(r)$ , the frame rotation rate  $\Omega_0$ , the specific heat at constant pressure  $c_p$ , and the vacuum permeability  $\mu$  ( $= 4\pi$  in c.g.s. units). The equations are written in a frame rotating with angular velocity  $\Omega_0$  and the centrifugal force is neglected.

Note that the internal heating and cooling function  $\bar{Q}(r)$  is a reference-state quantity (and thus assumed spherically-symmetric and time-independent) but should be interpreted as  $-\nabla \cdot \mathcal{F}_{\text{rad}}$ , where  $\mathcal{F}_{\text{rad}}$  is the radiative heat flux and properly should be proportional to the radiative diffusivity  $\kappa_{\text{rad}}$  (which takes on a specific form in the radiative diffusion approximation, derivable from the opacity) and to the gradient of the total temperature  $\bar{T} + T$ .

In **Rayleigh**, a convective layer is usually driven by a combination of internal heating and the thermal boundary conditions (which are conditions on  $S$ ), that together ensure that an imposed energy flux is transported throughout the layer in a steady state. (Note that energy could also be forced across the layer by fixing the entropy  $S$  at each boundary, such that an “adverse” (negative) radial entropy gradient is obtained in a steady state). **In the Jupiter models, we will allow  $\bar{Q}(r)$  to also include a cooling term at the top of the convection zone. That is, we will set  $\partial S / \partial r \equiv 0$  at both the top and bottom boundary (no conduction in or out), and the flux of energy across the system will be imposed purely by the function  $\bar{Q}(r)$ .**

Finally, we recall the relation

$$\frac{d\bar{S}}{dr} = c_p \frac{\bar{N}^2(r)}{\bar{g}(r)}, \quad (2.6)$$

where  $\bar{N}^2(r)$  is the squared buoyancy frequency, which we will use in favor of  $d\bar{S}/dr$  in subsequent equations.

Note that the original equations in [Gilman & Glatzmaier \(1981\)](#) and [Clune et al. \(1999\)](#) were derived assuming a nearly-adiabatic background state (i.e.,  $d\bar{S}/dr \approx 0$ ). [Brown et al. \(2012\)](#) and [Vasil et al. \(2013\)](#) have raised concerns about using various anelastic approximations in stable layers due to non-energy-conserving gravity waves. Should we be concerned?

### 3 Non-Dimensional Scheme

We now non-dimensionalize Equations (2.1)–(2.5), according to the following scheme:

$$\nabla \rightarrow \frac{1}{H} \nabla, \quad (3.1a)$$

$$t \rightarrow \tau t, \quad (3.1b)$$

$$\mathbf{u} \rightarrow \frac{H}{\tau} \mathbf{u}, \quad (3.1c)$$

$$S \rightarrow \sigma S, \quad (3.1d)$$

$$P \rightarrow \tilde{\rho} \frac{H^2}{\tau^2} P, \quad (3.1e)$$

$$\mathbf{B} \rightarrow (\mu \tilde{\rho})^{1/2} \frac{H}{\tau} \mathbf{B}, \quad (3.1f)$$

$$\bar{\rho}(r) \rightarrow \tilde{\rho} \bar{\rho}(r), \quad (3.1g)$$

$$\bar{T}(r) \rightarrow \tilde{T} \bar{T}(r), \quad (3.1h)$$

$$\bar{g}(r) \rightarrow \tilde{g} \bar{g}(r), \quad (3.1i)$$

$$\overline{N^2}(r) \rightarrow \widetilde{N^2} \overline{N^2}(r), \quad (3.1j)$$

$$\bar{\nu}(r) \rightarrow \tilde{\nu} \bar{\nu}(r), \quad (3.1k)$$

$$\bar{\kappa}(r) \rightarrow \tilde{\kappa} \bar{\kappa}(r), \quad (3.1l)$$

$$\bar{\eta}(r) \rightarrow \tilde{\eta} \bar{\eta}(r), \quad (3.1m)$$

$$\text{and} \quad \bar{Q}(r) \rightarrow \frac{H^2}{\tilde{\rho} \tilde{T} \tilde{\kappa} \sigma} \bar{Q}(r), \quad (3.1n)$$

Here,  $H$  is a typical length-scale,  $\tau$  a typical time-scale, and  $\sigma$  a typical entropy scale. On the right-hand-sides of Equation (3.1) and in the following non-dimensionalizations, all fluid variables, coordinates, and background-state quantities are understood to be non-dimensional. The tildes refer to “typical values” of the (dimensional) reference-state functions.

Below, we will assume the time-scale is either a viscous diffusion time (i.e.,  $\tau = H^2/\tilde{\nu}$ ) or a rotational time-scale [i.e.,  $\tau = (2\Omega_0)^{-1}$ ].

### 4 Non-Dimensional Equations; $\tau = L^2/\tilde{\nu}$

In this case, Equations (2.1)–(2.5) become

$$\nabla \cdot [\bar{\rho}(r) \mathbf{u}] = 0, \quad (4.1)$$

$$\nabla \cdot \mathbf{B} = 0, \quad (4.2)$$

$$\begin{aligned} \bar{\rho}(r) \left[ \frac{D\mathbf{u}}{Dt} + \frac{1}{\text{Ek}} \hat{\mathbf{e}}_z \times \mathbf{u} \right] = & -\bar{\rho}(r) \nabla \left[ \frac{P}{\bar{\rho}(r)} \right] + \frac{\text{Ra}}{\text{Pr}} \bar{\rho}(r) \bar{g}(r) S \hat{\mathbf{e}}_r, \\ & + \nabla \cdot \mathbf{D} + (\nabla \times \mathbf{B}) \times \mathbf{B}, \end{aligned} \quad (4.3a)$$

$$\text{where} \quad D_{ij} := 2\bar{\rho}(r)\bar{\nu}(r) \left[ e_{ij} - \frac{1}{3}(\nabla \cdot \mathbf{u})\delta_{ij} \right] \quad (4.3b)$$

$$\text{and} \quad e_{ij} := \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad (4.3c)$$

$$\begin{aligned} \bar{\rho}(r)\bar{T}(r)\frac{DS}{Dt} = & -\frac{\text{Pr}}{\text{Ra}} \text{Bu}_{\text{visc}} \bar{\rho}(r)\bar{T}(r) \frac{\bar{N}^2(r)}{\bar{g}(r)} u_r + \frac{1}{\text{Pr}} \nabla \cdot [\bar{\rho}(r)\bar{T}(r)\bar{\kappa}(r)\nabla S] \\ & + \frac{1}{\text{Pr}} \bar{Q}(r) + \frac{\text{PrDi}}{\text{Ra}} D_{ij} e_{ij} + \frac{\text{PrDi}}{\text{Pr}_m \text{Ra}} \bar{\eta}(r) |\nabla \times \mathbf{B}|^2, \end{aligned} \quad (4.4)$$

$$\text{and} \quad \frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \frac{1}{\text{Pr}_m} \nabla \times [\bar{\eta}(r) \nabla \times \mathbf{B}]. \quad (4.5)$$

The non-dimensional numbers appearing are:

$$\text{Ra} := \frac{\tilde{g}H^3}{\tilde{\nu}\tilde{\kappa}} \frac{\sigma}{c_p} \quad (\text{Rayleigh number}), \quad (4.6a)$$

$$\text{Pr} := \frac{\tilde{\nu}}{\tilde{\kappa}} \quad (\text{Prandtl number}), \quad (4.6b)$$

$$\text{Pr}_m := \frac{\tilde{\nu}}{\tilde{\eta}} \quad (\text{magnetic Prandtl number}), \quad (4.6c)$$

$$\text{Ek} := \frac{\tilde{\nu}}{2\Omega_0 H^2} \quad (\text{Ekman number}), \quad (4.6d)$$

$$\text{Bu}_{\text{visc}} := \frac{\tilde{N}^2 H^4}{\tilde{\nu}^2} \quad (\text{buoyancy number}), \quad (4.6e)$$

$$\text{and} \quad \text{Di} = \frac{\tilde{g}H}{c_p \tilde{T}} \quad (\text{dissipation number}), \quad (4.6f)$$

Note that the dissipation number is not an independent control parameter, but a function of the non-dimensional parameters characterizing the reference state (this will be seen in Section ??).

Note the form of the non-dimensional heating-and-cooling function:

$$\bar{Q}(r) := \frac{H^2}{\tilde{\rho}\tilde{T}\tilde{\kappa}\sigma} \bar{Q}_{\text{dim}}(r), \quad (4.7)$$

where the “dim” subscript explicitly denotes the dimensional version of a quantity. In general,  $\bar{Q}(r)$  is simply an arbitrary—hopefully order unity—function. If  $|\bar{Q}(r)| \gg 1$ , the

user is dilating their Rayleigh number without saying so. If  $|\overline{Q}(r)| \ll 1$ , the user is contracting their Rayleigh number without saying so.

The function  $\overline{Q}(r)$  takes a specific form if we assume the Rayleigh number is a “flux” Rayleigh number. In that case, we identify the entropy scale  $\sigma$  via

$$\sigma = \frac{H\widetilde{F}_{\text{nr}}}{\tilde{\rho}\tilde{T}\tilde{\kappa}}, \quad (4.8)$$

$$\text{where} \quad \mathcal{F}_{\text{nr}}(r) := \frac{H}{r^2} \int_{r_{\text{in}}}^r Q_{\text{dim}}(x)x^2 dx \quad (4.9)$$

is the (dimensional) flux not carried by radiation in a statistically steady state,  $\widetilde{F}_{\text{nr}}$  refers to a volume-average of  $\overline{F}_{\text{nr}}(r)$  over the convection zone (CZ) of the shell, and  $r_{\text{in}}$  is the inner shell boundary. In general, we will ensure that  $\overline{Q}(r)$  is nonzero only in the CZ and is normalized to have a total volume integral over the CZ of zero (i.e., heating and cooling balance). Hence,  $\overline{F}_{\text{nr}}(r)$  will zero outside of the CZ.

From Equation (4.7), we thus have

$$\overline{Q}(r) = \frac{H}{\widetilde{F}_{\text{nr}}} \overline{Q}_{\text{dim}}(r). \quad (4.10)$$

The user is thus free to choose the shape of  $\overline{Q}(r)$ , but not its amplitude, since it will have to be renormalized according to Equation (4.10), to be consistent with the definition of the Rayleigh number.

The viscous buoyancy number  $\text{Bu}_{\text{visc}}$  is the ratio of the typical squared buoyancy frequency to the squared viscous diffusion time. It is essentially a “second (stable) Rayleigh number”, and will measure the stiffness of the stable layer. In other words,  $\widetilde{N}^2$  will refer to the typical value of  $\overline{N}^2(r)$  over the stable weather layer (WL) in the shell. The buoyancy number is independent of the Rayleigh number, which measures the ultimate instability of the CZ.

## 5 Non-Dimensional Equations; $\tau = \Omega_0^{-1}$

In the previous section,  $t$  (and things with time in the dimensions) was implied to mean  $(\tilde{\nu}/H^2)t_{\text{dim}}$ , where  $t_{\text{dim}}$  was the dimensional time. We now want to use a new non-dimensional time,  $t_{\text{new}} = \Omega_0 t_{\text{dim}} = t/\text{Ek}$ . We can thus find the new equations easily from Equations (4.1)–(4.5). Every place we see a time dimension, we recall  $t = \text{Ek}t_{\text{new}}$ , so we multiply the place where the dimension appears by  $\text{Ek}$  and drop the “new” subscript (e.g.,  $t \rightarrow \text{Ek}t$ ,  $\mathbf{u} \rightarrow \mathbf{u}/\text{Ek}$ , etc.). We thus find (after rearranging terms)

$$\nabla \cdot [\overline{\rho}(r)\mathbf{u}] = 0, \quad (5.1)$$

$$\nabla \cdot \mathbf{B} = 0, \quad (5.2)$$

$$\begin{aligned} \bar{\rho}(r) \left[ \frac{D\mathbf{u}}{Dt} + \hat{\mathbf{e}}_z \times \mathbf{u} \right] = & -\bar{\rho}(r) \nabla \left[ \frac{P}{\bar{\rho}(r)} \right] + \text{Ra}^* \bar{\rho}(r) \bar{g}(r) S \hat{\mathbf{e}}_r, \\ & + \text{Ek} \nabla \cdot \mathbf{D} + (\nabla \times \mathbf{B}) \times \mathbf{B}, \end{aligned} \quad (5.3a)$$

$$\text{where} \quad D_{ij} := 2\bar{\rho}(r)\bar{\nu}(r) \left[ e_{ij} - \frac{1}{3}(\nabla \cdot \mathbf{u})\delta_{ij} \right] \quad (5.3b)$$

$$\text{and} \quad e_{ij} := \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right), \quad (5.3c)$$

$$\begin{aligned} \bar{\rho}(r)\bar{T}(r)\frac{DS}{Dt} = & -\frac{\text{Bu}_{\text{rot}}}{\text{Ra}^*} \bar{\rho}(r)\bar{T}(r) \frac{\bar{N}^2(r)}{\bar{g}(r)} u_r + \frac{\text{Ek}}{\text{Pr}} \nabla \cdot [\bar{\rho}(r)\bar{T}(r)\bar{\kappa}(r)\nabla S] \\ & + \frac{\text{Ek}}{\text{Pr}} \bar{Q}(r) + \frac{\text{DiEk}}{\text{Ra}^*} D_{ij} e_{ij} + \frac{\text{DiEk}}{\text{Pr}_m \text{Ra}^*} \bar{\eta}(r) |\nabla \times \mathbf{B}|^2, \end{aligned} \quad (5.4)$$

$$\text{and} \quad \frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \frac{\text{Ek}}{\text{Pr}_m} \nabla \times [\eta(r) \nabla \times \mathbf{B}]. \quad (5.5)$$

The new non-dimensional numbers appearing are:

$$\text{Ra}^* := \frac{\text{Ek}^2}{\text{Pr}} \text{Ra} = \frac{\tilde{g}}{H\Omega_0^2} \frac{\sigma}{c_p}, \quad (5.6a)$$

$$\text{and} \quad \text{Bu}_{\text{rot}} := \text{Ek}^2 \text{Bu}_{\text{visc}} = \frac{\widetilde{N^2}}{4\Omega_0^2} \sim \frac{\tilde{g}}{H\Omega_0^2} = \frac{1}{\text{geometric oblateness}}. \quad (5.6b)$$

Note that although the “ $d\bar{S}/dr$ -terms” in the non-dimensionalizations have seemingly different definitions, they are the same, since:

$$\frac{\text{Pr}}{\text{Ra}} \text{Bu}_{\text{visc}} = \frac{\text{Bu}_{\text{rot}}}{\text{Ra}^*} \sim \frac{c_p}{\sigma}. \quad (5.6c)$$

## References

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