Non-Dimensionalization of an Anelastic Stable-Unstable Layer in Rayleigh

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1 General Equations Solved in Rayleigh

In general (with rotation and magnetism), Rayleigh evolves in time a set of coupled PDEs for the 3D vector velocity \boldsymbol{u} , vector magnetic field \boldsymbol{B} , pressure perturbation P (perturbation away from the "reference" or "background" state), and entropy perturbation S. Note that S can also be interpreted as a temperature perturbation in Boussinesq mode. For more details, see Rayleigh's Documentation.

We use standard spherical coordinates (r, θ, ϕ) and cylindrical coordinates $(\lambda, \phi, z) = (r \sin \theta, \phi, r \cos \theta)$, and \hat{e}_q in general denotes a position-dependent unit vector in the direction of increasing q. The full PDE-set is then:

$$\nabla \cdot [f_1(r)\mathbf{u}] = 0, \tag{1.1}$$

$$\nabla \cdot \boldsymbol{B} = 0, \tag{1.2}$$

$$f_1(r) \left[\frac{D\mathbf{u}}{Dt} + c_1 \hat{\mathbf{e}}_z \times \mathbf{u} \right] = c_2 f_2(r) S \hat{\mathbf{e}}_r - c_3 f_1(r) \nabla \left[\frac{P}{f_1(r)} \right],$$

$$+ c_4(\nabla \times \mathbf{B}) \times \mathbf{B} + c_5 \nabla \cdot \mathbf{D}, \qquad (1.3a)$$

where
$$D_{ij} := 2f_1(r)f_3(r) \left[e_{ij} - \frac{1}{3}(\nabla \cdot \boldsymbol{u})\delta_{ij} \right]$$
 (1.3b)

and
$$e_{ij} := \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right),$$
 (1.3c)

$$f_1(r)f_4(r)\frac{DS}{Dt} = -f_1(r)f_4(r)f_{14}(r)u_r + c_6\nabla \cdot [f_1(r)f_4(r)f_5(r)\nabla S] + c_6f_{10}(r) + c_8c_5D_{ij}e_{ij} + \frac{\eta(r)}{4\pi}|\nabla \times \boldsymbol{B}|^2,$$
(1.4)

and
$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - c_7 \nabla \times [f_7(r) \nabla \times \mathbf{B}],$$
 (1.5)

where $D/Dt := \partial/\partial t + \boldsymbol{u} \cdot \nabla$ denotes the material derivative. The spherically-symmetric, time-independent reference (or background) functions $f_i(r)$ and constants c_j set the fluid approximation to be made. Rayleigh has built-in modes to set the f's and c's for single-layer (i.e., either convectively stable or unstable, but not both) Boussinesq or Anelastic spherical shells. More complex systems (coupled stable-unstable systems or alternative non-dimensionalizations) require the user to manually change the f's and c's. This can be done by editing an input binary file that Rayleigh reads upon initialization. The c's can also be changed in the ASCII text-file (i.e., the main_input file).

2 Dimensional Anelastic Equations

We begin by writing down the full dimensional anelastic fluid equations, as they are usually implemented in Rayleigh (reference_type = 2). This form of the anelastic approximation in a spherical shell is derived in, or more accurately, attributed to (since Rayleigh "updates" the background state slightly differently than the cluge-y ASH implementation), two common sources: Gilman & Glatzmaier (1981) and Clune et al. (1999). Rayleigh's dimensional anelastic equation-set is:

$$\nabla \cdot [\overline{\rho}(r)\boldsymbol{u}] = 0, \tag{2.1}$$

$$\nabla \cdot \boldsymbol{B} = 0, \tag{2.2}$$

$$\overline{\rho}(r) \left[\frac{D\boldsymbol{u}}{Dt} + 2\Omega_0 \hat{\boldsymbol{e}}_z \times \boldsymbol{u} \right] = \left[\frac{\overline{\rho}(r)\overline{g}(r)}{c_p} \right] S \hat{\boldsymbol{e}}_r - \overline{\rho}(r) \nabla \left[\frac{P}{\overline{\rho}(r)} \right],
+ \frac{1}{\mu} (\nabla \times \boldsymbol{B}) \times \boldsymbol{B} + \nabla \cdot \boldsymbol{D},$$
(2.3a)

where
$$D_{ij} := 2\overline{\rho}(r)\overline{\nu}(r) \left[e_{ij} - \frac{1}{3}(\nabla \cdot \boldsymbol{u})\delta_{ij} \right]$$
 (2.3b)

and
$$e_{ij} := \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right),$$
 (2.3c)

$$\overline{\rho}(r)\overline{T}(r)\frac{DS}{Dt} = -\overline{\rho}(r)\overline{T}(r)\frac{d\overline{S}}{dr}u_r + \nabla \cdot [\overline{\rho}(r)\overline{T}(r)\overline{\kappa}(r)\nabla S] + \overline{Q}(r) + D_{ij}e_{ij} + \frac{\overline{\eta}(r)}{\mu}|\nabla \times \boldsymbol{B}|^2,$$
(2.4)

and
$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \nabla \times [\eta(r)\nabla \times \mathbf{B}].$$
 (2.5)

Here, the thermal variables ρ , T, P, and S refer to the density, temperature, pressure, and entropy (respectively). The overbars denote the spherically-symmetric, time-independent background state. The lack of an overbar on a thermal variable indicates the (assumed small) perturbation from the background (for the entropy, S/c_p is assumed small).

Other background quantities that appear are the gravity $\overline{g}(r)$, the momentum, thermal, and magnetic diffusivities $[\overline{\nu}(r), \overline{\kappa}(r), \text{ and } \overline{\eta}(r)]$, respectively, the internal heating $\overline{Q}(r)$, the frame rotation rate Ω_0 , the specific heat at constant pressure c_p , and the vacuum permeability μ (= 4π in c.g.s. units). The equations are written in a frame rotating with angular velocity Ω_0 and the centrifugal force is neglected.

Note that the internal heating function $\overline{Q}(r)$ is also assumed spherically symmetric and fixed in time, but should be interpreted as $-\nabla \cdot \mathcal{F}_{\rm rad}$, where $\mathcal{F}_{\rm rad}$ is the radiative heat flux and properly should be proportional to the gradient of the total (background + perturbed) temperature. If the system is a convection zone, it is driven by a combination of internal heating and the thermal boundary conditions (which are conditions on S), that together ensure the imposed energy flux is transported throughout the layer in a steady state.

Finally, we recall the relation

$$\frac{d\overline{S}}{dr} = c_{\rm p} \frac{\overline{N^2}(r)}{\overline{g}(r)},\tag{2.6}$$

where $\overline{N^2}(r)$ is the squared buoyancy frequency, which we use in favor of $d\overline{S}/dr$ in subsequent equations.

Note that the original equations in Gilman & Glatzmaier (1981) and Clune et al. (1999) were derived assuming a nearly-adiabatic background state (i.e., $d\overline{S}/dr \approx 0$). Brown et al. (2012); Vasil et al. (2013) have raised concerns about using various anelastic approximations in stable layers due to non-energy-conserving gravity waves. Should we be concerned?

3 Non-Dimensional Scheme

We now non-dimensionalize Equations (2.1)–(2.5), according to the following scheme:

$$\nabla \to \frac{1}{L} \nabla,$$
 (3.1a)

$$t \to \tau t,$$
 (3.1b)

$$\boldsymbol{u} \to \frac{L}{\tau} \boldsymbol{u},$$
 (3.1c)

$$S \to \sigma S,$$
 (3.1d)

$$P \to \tilde{\rho} \frac{L^2}{\tau^2} P,$$
 (3.1e)

$$\mathbf{B} \to (4\pi\tilde{\rho})^{1/2} \frac{L}{\tau} \mathbf{B},$$
 (3.1f)

$$\overline{\rho}(r) = \tilde{\rho}\hat{\rho}(r), \tag{3.1g}$$

$$\overline{T}(r) = \tilde{T}\hat{T}(r), \tag{3.1h}$$

$$g(r) = \tilde{g}\hat{g}(r), \tag{3.1i}$$

$$N^2(r) = \widetilde{N}^2 \widehat{N}^2(r), \tag{3.1j}$$

$$\nu(r) = \tilde{\nu}\hat{\nu}(r),\tag{3.1k}$$

$$\kappa(r) = \tilde{\kappa}\hat{\kappa}(r),\tag{3.11}$$

and
$$\eta(r) = \tilde{\eta}\hat{\eta}(r)$$
. (3.1m)

Here, L is a typical length-scale, τ is a typical time-scale, and σ is a typical entropy scale. On the right-hand-sides of Equations (3.1a)–(3.1f) (and in the following non-dimensionalizations), ∇ , t, u, S, P, and B are all understood to be non-dimensional. In Equations (3.1g)–(3.1m), the tildes refer to "typical values" of the reference state functions and the hats refer to the radially-dependent non-dimensional versions of the reference-state functions.

Below, we will assume the time scale is either a viscous diffusion time (i.e., $\tau = L^2/\tilde{\nu}$) or a rotational time-scale (i.e., $\tau = \Omega_0^{-1}$). To describe the reference state, we will consider three cases for a given function's "typical value": Its value at the inner shell boundary, its value at the outer shell boundary, or its value volume-averaged over the shell.

4 Non-Dimensional Equations; $\tau = L^2/\tilde{\nu}$

In this case, Equations (2.1)–(2.5) become

$$\nabla \cdot [\hat{\rho}(r)\boldsymbol{u}] = 0, \tag{4.1}$$

$$\nabla \cdot \boldsymbol{B} = 0, \tag{4.2}$$

$$\hat{\rho}(r) \left[\frac{D\boldsymbol{u}}{Dt} + \frac{2}{\operatorname{Ek}} \hat{\boldsymbol{e}}_z \times \boldsymbol{u} \right] = -\hat{\rho}(r) \nabla \left[\frac{P}{\hat{\rho}(r)} \right] + \frac{\operatorname{Ra}}{\operatorname{Pr}} \hat{\rho}(r) \hat{g}(r) S \hat{\boldsymbol{e}}_r,$$

$$+ \nabla \cdot \boldsymbol{D} + (\nabla \times \boldsymbol{B}) \times \boldsymbol{B},$$

$$(4.3a)$$

where
$$D_{ij} := 2\hat{\rho}(r)\hat{\nu}(r) \left[e_{ij} - \frac{1}{3} (\nabla \cdot \boldsymbol{u}) \delta_{ij} \right]$$
 (4.3b)

and
$$e_{ij} := \frac{1}{2} \left(\frac{\partial u_i}{\partial x_i} + \frac{\partial u_j}{\partial x_i} \right),$$
 (4.3c)

$$\hat{\rho}(r)\hat{T}(r)\frac{DS}{Dt} = -\frac{\Pr}{\operatorname{Ra}} B_{\operatorname{visc}} \hat{\rho}(r)\hat{T}(r) \frac{\widehat{N}^{2}(r)}{\hat{g}(r)} u_{r} + \frac{1}{\Pr} \nabla \cdot [\hat{\rho}(r)\hat{T}(r)\hat{\kappa}(r)\nabla S]$$

$$+ \frac{1}{\Pr} \hat{Q}(r) + \frac{\Pr \operatorname{Di}}{\operatorname{Ra}} D_{ij} e_{ij} + \frac{\Pr \operatorname{Di}}{\Pr_{m} \operatorname{Ra}} \hat{\eta}(r) |\nabla \times \boldsymbol{B}|^{2},$$

$$(4.4)$$

and
$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \frac{1}{\Pr_{m}} \nabla \times [\eta(r)\nabla \times \mathbf{B}].$$
 (4.5)

The non-dimensional numbers appearing are:

$$Ra := \frac{\tilde{g}L^3}{\tilde{\nu}\tilde{\kappa}} \frac{\sigma}{c_p}, \tag{4.6a}$$

$$\Pr := \frac{\tilde{\nu}}{\tilde{\kappa}},\tag{4.6b}$$

$$Pr_{m} := \frac{\tilde{\nu}}{\tilde{\eta}}, \tag{4.6c}$$

$$Ek := \frac{\tilde{\nu}}{\Omega_0 H^2},\tag{4.6d}$$

$$B_{\text{visc}} := \frac{\widetilde{N}^2 L^4}{\tilde{\nu}^2},\tag{4.6e}$$

and
$$\operatorname{Di} = \frac{\tilde{g}\tilde{L}}{c_{\mathrm{p}}\tilde{T}},$$
 (4.6f)

along with the non-dimensional heating function

$$\hat{Q}(r) := \frac{L^2}{\tilde{\rho}\tilde{T}\tilde{\kappa}\sigma}Q(r). \tag{4.7}$$

Note that the dissipation number is not an independent control parameter, but a function of the non-dimensional parameters characterizing the polytrope.

In general, $\hat{Q}(r)$ is simply an arbitrary—hopefully order unity—function. Assuming the thermal boundary conditions remove whatever $\hat{Q}(r)$ dumps in: If $\hat{Q}(r) \gg 1$, the user is dilating their Rayleigh number without saying so. If $\hat{Q} \ll 1$, the user is contracting their Rayleigh number without saying so. If $\hat{Q}(r) \equiv 0$ (and the user wants to simulate a convection

zone), the user should typically identify σ with $-\Delta S = S_{\rm in} - S_{\rm out}$ (the imposed entropy drop across the layer) and thus set $S \equiv 1$ at $r = r_{\rm in}$ and $S \equiv 0$ at $r = r_{\rm out}$.

The non-dimensional heating takes a specific form if we assume the Rayleigh number is a "flux" Rayleigh number. In that case we identify

$$\sigma = \frac{L \left\langle \mathcal{F}_{\rm nr}(r) \right\rangle_{\rm v}}{\tilde{\rho} \tilde{T} \tilde{\kappa}},\tag{4.8}$$

where
$$\mathcal{F}_{nr}(r) := \frac{1}{r^2} \int_{r_{in}}^r Q(x) x^2 dx$$
 (4.9)

is the flux not carried by radiation in a statistically steady state and $\langle \cdots \rangle_v$ refers to a volume average over the whole shell. We thus have

$$\hat{Q}(r) = \frac{L}{\langle \mathcal{F}_{nr}(r) \rangle_{y}} Q(r) \tag{4.10}$$

and whatever amplitude (luminosity) the user chooses for the dimensional Q(r), the ultimate $\hat{Q}(r)$ will normalize that amplitude away in Equation (4.10).

The viscous buoyancy number $B_{\rm visc}$ is the ratio of the typical squared buoyancy frequency to the squared viscous diffusion time (it is essentially a kind of Richardson number). Although it has to do with background entropy stratification, $B_{\rm visc}$ is nominally independent of the Rayleigh number (which derives from the entropy perturbations associated with the thermal boundary conditions and/or heating that force energy through the layer). However, the following notes are warranted:

- (1) In the typical convection problem (polytropic index $n = n_{\rm ad} := 1/(\gamma 1)$), $d\overline{S}/dr \equiv 0$ and the value of B_{visc} is irrelevant.
- (2) For an isolated stable polytrope $(n > n_{\rm ad})$, it is unclear how the typical entropy perturbation σ is established. Even if energy is driven through the system by the thermal boundary conditions and/or heating, the energy will likely be carried by spherically symmetric conduction (depending on how stable the stratification is) and σ may not be set by the boundary conditions. Furthermore, σ cannot be set by the total entropy contrast across the layer (which is $\sim c_p$, and if $\sigma = c_p$, we would have $B_{\rm visc} Pr = Ra$). That is because no plume can traverse a large portion of the stably stratified layer. Thus, although the user can choose a "Rayleigh number" (probably a misnomer) for the isolated stable layer, they have no way of implementing the background state, boundary conditions, or heating profile to be consistent with their choice.
- (3) For unstable polytropes $(n < n_{\rm ad})$, we first note that if n differs by a factor of unity from $n_{\rm ad}$, order-unity thermal perturbations $S/c_{\rm p}$ are forced and the anelastic approximation is invalid. In any case (either if the simulation survives an order-unity $n_{\rm ad} n$, or if the user chooses a well-posed small $n_{\rm ad} n$ to help drive the convection), we expect that the convection will restratify the system toward adiabaticity. In Equation (2.4), a background $(dS/dr)_{\ell=0}$ will be established to be close to the negative of $d\overline{S}/dr$ and (similar to point (1)), the value of $B_{\rm visc}$ will not truly be an independent parameter, but will help to determine an ultimate "effective" Rayleigh number.
- (4) In the more logical case of a stable layer being pummeled by a neighboring overshooting convection layer, both B_{visc} and Ra are truly independent (and relevant). The

overshooting flows (driven by Ra, which is a property of the convection zone) establish the typical σ in both the convection zone and overshoot layer. Meanwhile, B_{visc} (which is a property of the stable layer) controls how strongly the overshoot is decelerated.

5 Non-Dimensional Equations; $\tau = \Omega_0^{-1}$

In the previous section, t (and things with time in the dimensions) was implied to mean $(\tilde{\nu}/H^2)t_{\text{dim}}$, where t_{dim} was the dimensional time. We now want to use $t_{\text{new}} = \Omega_0 t_{\text{dim}} = t/\text{Ek}$. We can thus find the new equations easily from Equations (4.1)–(4.5): Every place we see a time dimension, we recall $t = \text{Ek}t_{\text{new}}$, so we multiply the place where the dimension appears by Ek and drop the "new" subscript (e.g., $t \to \text{Ek} t$, $u \to u/\text{Ek}$, etc.). We thus find (after rearranging terms)

$$\nabla \cdot [\hat{\rho}(r)\boldsymbol{u}] = 0, \tag{5.1}$$

$$\nabla \cdot \boldsymbol{B} = 0, \tag{5.2}$$

$$\hat{\rho}(r) \left[\frac{D\boldsymbol{u}}{Dt} + 2\hat{\boldsymbol{e}}_z \times \boldsymbol{u} \right] = -\hat{\rho}(r)\nabla \left[\frac{P}{\hat{\rho}(r)} \right] + \operatorname{Ra}^* \hat{\rho}(r)\hat{g}(r)S\hat{\boldsymbol{e}}_r, + \operatorname{Ek}\nabla \cdot \boldsymbol{D} + (\nabla \times \boldsymbol{B}) \times \boldsymbol{B},$$
 (5.3a)

where
$$D_{ij} := 2\hat{\rho}(r)\hat{\nu}(r) \left[e_{ij} - \frac{1}{3}(\nabla \cdot \boldsymbol{u})\delta_{ij} \right]$$
 (5.3b)

and
$$e_{ij} := \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right),$$
 (5.3c)

$$\hat{\rho}(r)\hat{T}(r)\frac{DS}{Dt} = -\frac{B_{\text{rot}}}{Ra^*}\hat{\rho}(r)\hat{T}(r)\frac{\widehat{N}^2(r)}{\hat{g}(r)}u_r + \frac{Ek}{Pr}\nabla \cdot [\hat{\rho}(r)\hat{T}(r)\hat{\kappa}(r)\nabla S] + \frac{Ek}{Pr}\hat{Q}(r) + \frac{DiEk}{Ra^*}D_{ij}e_{ij} + \frac{DiEk}{Pr_{\text{m}}Ra^*}\hat{\eta}(r)|\nabla \times \boldsymbol{B}|^2,$$
(5.4)

and
$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \frac{\mathrm{Ek}}{\mathrm{Pr}_{m}} \nabla \times [\eta(r)\nabla \times \mathbf{B}].$$
 (5.5)

The new non-dimensional numbers appearing are:

$$Ra^* := \frac{Ek^2}{Pr} Ra = \frac{\tilde{g}}{L\Omega_0^2} \frac{\sigma}{c_p},$$
 (5.6a)

and
$$B_{\rm rot} := Ek^2 B_{\rm visc} = \frac{\widetilde{N}^2}{\Omega_0^2} \sim \frac{\tilde{g}}{L\Omega_0^2} = \frac{1}{\text{oblateness factor}}.$$
 (5.6b)

Note that although the " $d\overline{S}/dr$ -terms" in the non-dimensionalizations have seemingly different definitions, they are the same, since:

$$\frac{\Pr}{\text{Ra}} \mathbf{B}_{\text{visc}} = \frac{\mathbf{B}_{\text{rot}}}{\text{Ra}^*} \sim \frac{c_{\text{p}}}{\sigma}.$$
 (5.6c)

6 Non-Dimensional Polytrope

A polytrope depends on the following four non-dimensional parameters:

$$\gamma \coloneqq \frac{c_{\rm p}}{c_{\rm re}}$$
 specific-heat ratio, (6.1a)

$$0 \le n \le \infty$$
 polytropic index, (6.1b)

$$N_{\rho} := \ln \left(\frac{\overline{\rho}_{\rm in}}{\overline{\rho}_{\rm out}} \right)$$
 number of density scale-heights, (6.1c)

and
$$\beta = \frac{r_{\text{in}}}{r_{\text{out}}}$$
 aspect ratio. (6.1d)

If the typical values of the polytrope are taken at the inner boundary, we have

$$\hat{T}(r) = \frac{\overline{T}(r)}{\overline{T}_{in}} = \left[\frac{\beta(1 - e^{-N_{\rho}/n})}{(1 - \beta)^2}\right] \left(\frac{H}{r}\right) - \left(\frac{\beta - e^{-N_{\rho}/n}}{1 - \beta}\right)$$
(6.2a)

$$\hat{\rho}(r) = \frac{\overline{\rho}(r)}{\overline{\rho}_{\rm in}} = \left\{ \left[\frac{\beta(1 - e^{-N_{\rho}/n})}{(1 - \beta)^2} \right] \left(\frac{H}{r} \right) - \left(\frac{\beta - e^{-N_{\rho}/n}}{1 - \beta} \right) \right\}^n, \tag{6.2b}$$

$$\widehat{N}^{2}(r) = \frac{N^{2}(r)}{\widetilde{N}^{2}} = \left(\frac{r_{\rm in}}{r}\right)^{3} \left[\frac{1 - e^{-N_{\rho}/n}}{1 - \beta} - \left(\frac{\beta - e^{-N_{\rho}/n}}{\beta}\right) \frac{r}{H}\right]^{-1},\tag{6.2c}$$

$$\hat{g}(r) = \frac{g(r)}{g_{\rm in}} = \frac{r_{\rm in}^2}{r^2},$$
(6.2d)

and Di =
$$\frac{L}{H} \left(\frac{n+1}{\tilde{n}+1} \right) \left(\frac{1}{\beta} \right) (1 - e^{-N_{\rho}/n}),$$
 (6.2e)

where $H = r_{\text{out}} - r_{\text{in}}$ is the shell depth.

Note that the range on r/H is (by definition)

$$\frac{\beta}{1-\beta} \le \frac{r}{H} \le \frac{1}{1-\beta}.\tag{6.3}$$

If instead we take the typical values at the outer boundary, it is simple to compute the ratios from outer to inner directly from Equations (6.2) and thus change the non-dimensionalization of the polytrope.

If we instead take the typical values as volume-averages, the density profile in Equations (6.2) (because of the n exponent) must be integrated numerically (or else computed from the hypergeometric function) but it is again straightforward to re-scale. Because the formulas are complicated (and I am error-prone), it is easiest to numerically integrate the other functions as well. We note, however, the analytic formula for Di when volume averages are used:

$$\text{Di}_{\mathbf{v}} := \frac{\langle g \rangle_{\mathbf{v}} / g_{\text{in}}}{\langle \overline{T} \rangle_{\mathbf{v}} / \overline{T}_{\text{in}}} \text{Di}
 = \frac{L}{H} \left(\frac{n+1}{\tilde{n}+1} \right) \frac{3\beta (1-\beta)^2 (1-e^{-N_{\rho}/n})}{(3\beta/2)(1-\beta^2)(1-e^{-N_{\rho}/n}) - (1-\beta^3)(\beta-e^{-N_{\rho}/n})}.$$
(6.4)

This emphases the fact that $Di = Di(\gamma, n, N_{\rho}, \beta, L/H)$ is not an independent control parameter of the system.

References

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